

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

BOARD OF ELECTIONS

CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401)222-2056 [TDD: 222-2239]

RHODE ISLAND BOARD OF ELECTIONS 200 JAN 19 P 3 2b

AFFIDAVIT OF STATE VENDOR UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Instructions:

Every person or business entity contracting with a state agency on or after July 27, 1993 to sell goods and services (contracting party) is deemed to be a "state vendor". Also deemed to be a "state vendor" is (a) a person having a 10% or greater ownership interest in such contracting party, or (b) a person who is an executive officer of such contracting party, or (c) a person who is the spouse or minor child of the contracting party, or of a person having such 10% or greater ownership interest, or of a person who is an executive officer of such contracting party (related parties).

This affidavit must be filed with the State Board of Elections, 50 Branch Avenue, Providence, Rhode Island 02904 by every state vendor in connection with any contract with a state agency to provide goods and/or services costing \$5000 or more. If the contract is in writing, this affidavit must be filed within 60 days after the contract is executed and MUST BE ACCOMPANIED BY A COPY OF THE CONTRACT, OR A WRITTEN SUMMARY THEREOF. If the contract is not in writing, then the affidavit must be filed within 60 days from the date when the state vendor is first notified that the \$5000 threshold has been reached. However, Chapter 17-27 and the Regulations adopted thereunder, permit semi-annual filings of affidavits under certain circumstances.

Every person or business entity qualifying as a state vendor (either as the contracting party or as a related party) and providing goods and services at a cost of \$5000 or more shall be responsible for filing this affidavit. A state vendor who is a contracting party must file this affidavit when the \$5000 threshold has been reached, even if no reportable political contributions have been made either by the contracting party or a related party. A state vendor who is a related party must file this affidavit only when a reportable political contribution has been made by such related party, and then, only if such contribution has not been included in an affidavit filed by the contracting party. A reportable political contribution is defined in question #16. The affidavit, when filed, will be a public document.

The Board of Elections is empowered to impose civil penalties for violations of Chapter 17-27.

The attention of state vendors is directed to the Regulations adopted by the Board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

Statement Under Oath or Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information:

1. Name, address and telephone number of person making this affidavit.

Pare Corporation

NAME OF PERSON MAKING THIS AFFIDAVIT

8 Blackstone Valley Place, Lincoln, RI 02865

ADDRESS

401-334-4100

TELEPHONE NUMBER

2. What is the date of execution of the written contract requiring the filing of this affidavit? [Such contract must be filed with this affidavit.] 7/25/09 DATE

3. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold? DATE

4. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

5. Is this affidavit made by you as an individual or on behalf of a business entity? Individual \_\_\_ On Behalf of Business Entity [X]

6. If on behalf of a business entity, what is the name and nature of the business entity?

Name of Business Entity Pare Corporation

FEIN # 05-0349690

(Check One:) Corporation [X] Partnership \_\_\_ Sole Proprietorship \_\_\_ Other Business Entity \_\_\_

7. If "other business entity" is checked above, what is the nature of such business entity?

8. Are you a state vendor because you are the contracting party in a contract with a state agency? YES [X] NO \_\_\_

9. If yes, what is the name of state agency to which you are providing goods and/or services? RIDOT, RIDEM MHRH, RIEDC, RI Water Resources, RIRRC, RI Turnpike & Bridge Authority, RIAC, and RIC

10. If the answer to question 8 is "no", are you a state vendor because you are related to a contracting party? YES \_\_\_ NO \_\_\_

11. If answer to question 10 is "yes", what is the nature of your relationship with the contracting party?

(a) 10% or greater ownership interest in contracting party \_\_\_

(b) executive officer of contracting party \_\_\_

(c) spouse or minor child of contracting party, or of 10% or greater owner, or of executive officer \_\_\_

NAME OF GENERAL OFFICER, CANDIDATE FOR GENERAL OFFICE OR POLITICAL PARTY	DATE OF CONTRIBUTION	AMOUNT OF CONTRIBUTION	NAME OF CONTRIBUTOR	RELATIONSHIP TO CONTRACTING PARTY
Gov. Bruce Sundlun	9/1/94 PR	\$1,000	Larry Riggs	Owner/Officer
Gov. Bruce Sundlun	9/1/94 PR	\$ 500	George Palmisciano	Owner/Officer
House Democratic Committee	1/29/95 PR	\$ 100	Larry Riggs	Owner/Officer
Weygand Committee	6/24/96 PR	\$ 250	Larry Riggs	Owner/Officer
House Democratic Committee	2/22/97 PR	\$ 100	Larry Riggs	Owner/Officer
James S. Bennett	12/20/97 PR	\$ 250	Larry Riggs	Owner/Officer
James S. Bennett	12/21/97 PR	\$ 250	George Palmisciano	Owner/Officer
Weygand Committee	3/28/98 PR	\$ 200	Larry Riggs	Owner/Officer
Gov. Lincoln Almond	8/1/98 PR	\$1,000	Larry Riggs	Owner/Officer
Friends of John B. Harwood	2/3/01 PR	\$ 200	Larry Riggs	Owner/Officer
Friends of Patrick Lynch	6/26/01 PR	\$ 100	Larry Riggs	Owner/Officer
James Bennett	10/25/01 PR	\$ 150	George Palmisciano	Owner/Officer
Jack Reed	6/18/02 PR	\$ 150	Larry Riggs	Owner/Officer
John Harwood	2/7/02 PR	\$ 200	Larry Riggs	Owner/Officer
James Bennett	3/12/02 PR	\$1,000	George Palmisciano	Owner/Officer
James Bennett	3/19/02 PR	\$1,000	Larry Riggs	Owner/Officer
James Bennett	6/7/02 PR	\$ 500	Kenneth DeCosta	Officer
James Bennett	6/8/02 PR	\$ 500	Demi Riggs	Spouse of Owner
James Bennett	8/15/02 PR	\$ 500	Demi Riggs	Spouse of Owner
Friends of Patrick Lynch	6/5/03 PR	\$ 150	Larry Riggs	Owner/Officer
Langevin Committee	8/8/03 PR	\$ 150	Larry Riggs	Owner/Officer
Friends of Patrick Lynch	6/21/04 PR	\$ 150	Larry Riggs	Owner/Officer
Langevin for Congress	3/12/05 PR	\$ 200	Larry Riggs	Owner/Officer
Friends of Patrick Lynch	2/27/06 PR	\$ 150	Larry Riggs	Owner/Officer
Friends of Patrick Lynch	9/10/06 PR	\$ 300	Larry Riggs	Owner/Officer
Governor Carcieri	9/17/06 PR	\$ 100	George Palmisciano	Owner/Officer
Reginald Centracchio	11/2/06 PR	\$ 50	Kenneth DeCosta	Owner/Officer

Pare Corporation

<u>State Agency</u>	<u>Date of Contract</u>	<u>Amount of Contract</u>	<u>P.O. #</u>
RIDEM	7/25/2009 CO	12,565.00	74A00347697AFY10
RIDOT	7/31/2009 CA	18,604.30	70A00226930A
RIC	8/25/2009	7,180.00	3154120
RIRRC	9/9/2009	Undetermined	
RIDOT	9/23/2009 CA	6,763.94	70A00226930A
RIDEM	9/25/2009	26,000.00	3158757
	<b>TOTAL</b>	<b>71,113.24</b>	

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS  
BOARD OF ELECTIONS  
CAMPAIGN FINANCE DIVISION  
50 Branch Avenue, Providence, Rhode Island 02904  
TEL. (401) 222-2056 [TDD: 222-2239]

2009 JUL 27 PM 1:15  
RHODE ISLAND  
BOARD OF ELECTIONS

AFFIDAVIT OF STATE VENDOR  
UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Instructions:

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The Board of Elections is empowered to impose civil penalties for violations of Chapter 17-27.

The attention of state vendors is directed to the Regulations adopted by the Board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

Statement Under Oath or Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information:

1. Name, address and telephone number of person making this affidavit.

Thomas C. Eagan  
NAME OF PERSON MAKING THIS AFFIDAVIT  
180 South Main Street, Providence, RI 02903  
ADDRESS  
(401) 861-8200  
TELEPHONE NUMBER

2. What is the date of execution of the written contract requiring the filing of this affidavit? [Such contract must be filed with this affidavit.] 5/11/09  
DATE
3. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold? N/A  
DATE
4. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.
5. Is this affidavit made by you as an individual or on behalf of a business entity? Individual  On Behalf of Business Entity
6. If on behalf of a business entity, what is the name and nature of the business entity?  
Name of Business Entity Partridge Snow & Hahn LLP FEIN # 05-0436543  
(Check One:) Corporation  Partnership  Sole Proprietorship  Other Business Entity
7. If "other business entity" is checked above, what is the nature of such business entity?  
\_\_\_\_\_
8. Are you a state vendor because you are the contracting party in a contract with a state agency? YES  NO
9. If yes, what is the name of state agency to which you are providing goods and/or services?  
URI/Board of Governors of Higher Education
10. If the answer to question 8 is "no", are you a state vendor because you are related to a contracting party? YES  NO
11. If answer to question 10 is "yes", what is the nature of your relationship with the contracting party?

(a) 10% or greater ownership interest in contracting party \_\_\_\_\_  
(b) executive officer of contracting party \_\_\_\_\_

(c) spouse or minor child of contracting party, or of 10% or greater owner, or of executive officer \_\_\_\_\_

12. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

Name of Contracting Party \_\_\_\_\_

Address \_\_\_\_\_

State Agency \_\_\_\_\_

13. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? YES X NO \_\_\_\_\_

14. If the answer to question 13 is "no", have you notified all such related parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? YES \_\_\_\_\_ NO \_\_\_\_\_

15. If the answer to question 14 is "yes" provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Name Address Relationship to Contracting Party

16. Within the 24 month period preceding the date of the contract with the state agency; or with respect to contracts that are not in writing, within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, or any R.I. political party? DO NOT INCLUDE ANY CONTRIBUTIONS MADE PRIOR TO OCTOBER 2, 1993 ( Includes an individual contracting party, or a business entity on behalf of a related party, or a related party reporting on his/her own behalf.) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. YES X NO \_\_\_\_\_

[If you checked "NO" above, do not attach a copy of the state contract, or written summary, and do not complete question #17]

17. If the answer to question 16 is "yes", provide the name of the general officer, candidate for general office or political party and the date and amount of each such contribution. DO NOT INCLUDE CONTRIBUTIONS MADE PRIOR TO OCTOBER 2, 1993. List all contributions even if reported on a previously filed affidavit. However, please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below. [If additional sheets are required, please attach them to this affidavit.]

Name of General Officer, Candidate for General Office, or Political Party Date of Contribution Amount of Contribution Name of Contributor Relationship to Contracting Party

See Exhibit I

18. What is the total gross amount, in dollars, of contracts entered into during said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. DO NOT INCLUDE CONTRACTS ENTERED INTO PRIOR TO JULY 27, 1993 OR THOSE WHICH HAVE REACHED THE \$5000 THRESHOLD PRIOR TO OCTOBER 2, 1993. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state. NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report the total gross amount, in dollars, of contracts entered into from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

State Agency Date of Contract Amount of Contract Fein # P.O.#

See Exhibit II

TOTAL GROSS AMOUNT: \$ \_\_\_\_\_

Partridge Snow & Hahn LLP

by: [Signature]  
Signature of Person Making the Affidavit  
Director of Finance and Administration

State of Rhode Island  
County of Providence

Subscribed and sworn to or affirmed before me, an officer authorized to administer oaths in the jurisdiction where this affidavit was made, on this 23 day of July, 2009

[Signature]  
Signature of Notary or Other Person Authorized to Administer Oaths

Rosa M. Almeida  
Printed Name of Notary or Other Person Authorized to Administer Oaths  
My commission expires 8/15/09

206180  
22-Jul-09

Partridge Snow & Hahn LLP  
**POLITICAL CONTRIBUTION SUMMARY**  
July 1, 2007 to June 30, 2009

Exhibit I

Name of General Officer, Candidate for General Office, Political Party, General Assembly Member or Candidate for G/A	Date of Contribution		Amount of Contribution	Name of Contributor
Frank Caprio	8/07	PR	\$200	David M. Gilden
Frank Caprio	9/07	PR	\$100	Robert K. Taylor
Frank Caprio	9/07	PR	\$250	Eugene G. Bernardo
Frank Caprio	9/07	PR	\$250	Michael A. Gamboli
Frank Caprio	9/07	PR	\$250	James H. Hahn
Frank Caprio	9/07	PR	\$100	Deborah DiNardo
Frank Caprio	9/07	PR	\$500	Normand G. Benoit
Frank Caprio	9/07	PR	\$100	Christopher C. Cassara
Elizabeth Roberts	6/08	PR	\$100	Patricia Antonelli
Elizabeth Roberts	6/08	PR	\$100	James W. Ryan
Elizabeth Roberts	6/08	PR	\$100	Jeffrey H. Gladstone
Frank Caprio	3/08	PR	\$150	Jeffrey H. Gladstone
Elizabeth Roberts	6/08	PR	\$100	James H. Hahn
Frank Caprio	3/08	PR	\$250	James H. Hahn
Elizabeth Roberts	6/08	PR	\$350	Tracy C. Baran
Elizabeth Roberts	6/08	PR	\$100	Normand G. Benoit
Frank Caprio	3/08	PR	\$1,000	Normand G. Benoit
Donald Carcieri	2/08	PR	\$500	Normand G. Benoit
Brian Newberry	5/08	PR	\$100	Normand G. Benoit
Frank Caprio	3/08	PR	\$500	Deborah DiNardo
Elizabeth Roberts	6/08	PR	\$100	Deborah DiNardo
Frank Caprio	3/08	PR	\$500	Eugene G. Bernardo
Elizabeth Roberts	6/08	PR	\$100	Kathleen A. Ryan
Frank Caprio	3/08	PR	\$250	Michael A. Gamboli
William Murphy	1/08	PR	\$250	David M. Gilden
Elizabeth Roberts	6/08	PR	\$100	David M. Gilden
Elizabeth Roberts	6/08	PR	\$100	Melissa E. Darigan
Frank Caprio	3/08	PR	\$250	Melissa E. Darigan
David Bates	5/08	PR	\$250	Melissa E. Darigan
William Murphy	9/08	PR	\$125	James H. Hahn
Joseph Fernandez	10/08	PR	\$100	Melissa E. Darigan
Amy Rice	8/08	PR	\$100	Patricia Antonelli
Joseph Fernandez	3/09		\$50	Patricia Antonelli
Frank Caprio	3/09		\$250	Patricia Antonelli
Frank Caprio	3/09		\$1,000	Normand G. Benoit
Frank Caprio	3/09		\$500	Eugene G. Bernardo
David Bates	6/09		\$100	Melissa E. Darigan
Frank Caprio	3/09		\$250	Melissa E. Darigan
Frank Caprio	3/09		\$100	Deborah DiNardo
Lincoln Chafee	6/09		\$100	Deborah DiNardo
Frank Caprio	3/09		\$250	Michael A. Gamboli
Lincoln Chafee	6/09		\$150	Jeffrey H. Gladstone
William Murphy	2/09		\$250	David M. Gilden
Lincoln Chafee	6/09		\$200	David M. Gilden
Frank Caprio	3/09		\$250	James H. Hahn
Lincoln Chafee	6/09		\$150	James H. Hahn
Lincoln Chafee	6/09		\$150	Michael A. Kehoe
John Loughlin	6/09		\$50	Charles A. Lovell
RI Republican Party	5/09		\$50	Charles A. Lovell
Lincoln Chafee	6/09		\$150	James W. Ryan
Frank Caprio	3/09		\$250	Robert K. Taylor
Lincoln Chafee	6/09		\$150	Robert K. Taylor

<u>STATE AGENCY</u>	<u>DATE OF CONTRACT</u>	<u>AMOUNT OF CONTRACT</u>
RI Dept of Economic Development	12/07	Depends upon work required.
RI Dept of Economic Development	12/07	Depends upon work required.
RI Health and Educational Building Corp	7/08	Depends upon work required.
University of RI/Board of Governors for Higher Education	7/08	Depends upon work required.
RI Public Utilities Commission	9/08	Depends upon work required.
State of RI General Treasurer	10/08	Depends upon work required.
RI Public Finance Management Board	12/08	Depends upon work required.
Narragansett Bay Comission	7/09	Depends upon work required.
State of RI General Treasurer	1/09	Depends upon work required.
University of RI/Board of Governors for Higher Education	5/09	Depends upon work required.

May 11, 2009

Louis J. Saccoccio, Esq.  
General Counsel  
The University of Rhode Island  
Green Hall  
35 Campus Avenue  
Kingston, RI 02881

Dear Mr. Saccoccio:

Enclosed you will find an updated engagement letter, as requested in your letter of May 4<sup>th</sup>. Please don't hesitate to contact me should you have any questions or need any further information.

Sincerely,



Thomas C. Eagan  
Director of Finance  
and Administration

Enclosure

1055167\_1



May 11, 2009

Louis J. Saccoccio Esq.  
General Counsel  
University of Rhode Island  
Office of the General Counsel  
Green Hall  
35 Campus Avenue  
Kingston, RI 02881-0819

**RE: Engagement for Legal Services for Fiscal Year  
Ending June 30, 2010**

Dear Lou:

This letter sets forth the terms upon which we will provide legal representation to the University of Rhode Island ("URI") and the Board of Governors for the Higher Education ("Board of Governors") for the period through June 30, 2010.

**Scope of Work**

We will provide legal representation to URI and the Board of Governors as requested with respect to all aspects regarding tax and ERISA issues, including deferred compensation and cafeteria plans, as well as other issues related to compliance with federal, state and local tort law, regulations or ordinances.

**Termination of Legal Representation**

You may terminate our representation at any time, with or without cause, by written notification. Our own files pertaining to the case will be retained. Your termination of our services will not affect your responsibility for payment of legal services rendered and out-of-pocket costs incurred before termination and in connection with an orderly transition of the matter.

Partridge Snow & Hahn LLP may withdraw from representing you if anything occurs which would impair the effective continuation of our relationship, including significant disagreement concerning the proper handling of your matter.

**Payment for Services**

The rate of compensation provided herein will not exceed the rate of compensation charged by this firm to its preferred public or private clients. Eugene G. Bernardo II will be the principal lawyer and supervising counsel. His hourly billing rate will be \$295. Mr. Bernardo will be assisted primarily by David C. Morganelli (\$285 per hour); Kimberly McCarthy (\$310 per hour); Normand G. Benoit (\$375 per hour); and James H. Hahn (\$375 per hour). Legal assistants will be billed at \$115 per hour. These fees are exclusive of any customary disbursements and out-of-pocket expenses we may incur.

We also hereby certify that all attorneys who are used by the firm who perform legal services hereunder will have the appropriate professional licensing, will be competent to perform such services as reflected by formal training, education, and experience in providing the required services, and that we will have the ability to perform the services as reflected by workload and availability of adequate personnel, equipment and facilities to perform the services expeditiously.

Monthly invoices for both fees and disbursements will be rendered with a breakdown by attorney and legal assistant time, a description of the specific service performed along with a daily log of hours billed. Our monthly invoice will also include normal and customary out-of-pocket costs relating to this matter. Payment for services will be due within thirty (30) days of invoicing, although we may mutually agree to extend that period if a bond closing is forthcoming and our fees are to be paid out of the closing proceeds.

**E-Verify Compliance**

We certify that we have registered to utilize the E-Verify program ([www.dhs.gov/E-Verify](http://www.dhs.gov/E-Verify)) to ensure compliance with federal and state law. We understand and agree that we are required to continue to utilize the services of the E-Verify program for as long as we continue to do business with the State of Rhode Island. We further understand that our failure to continue to utilize the services of the E-Verify program will adversely affect our ability to continue to do business with the State of Rhode Island and our ability to do business with the State of Rhode Island in the future.

If the foregoing terms of this engagement are acceptable to you, please so indicate by signing and returning the enclosed additional copy of this letter, retaining an original for your files.

PARTRIDGE SNOW & HAHN LLP

By: 

Eugene G. Bernardo II, Esquire

Louis J. Saccoccio, Esq.

May 11, 2009

Page 3

ACCEPTED AND APPROVED:

University of Rhode Island

By: \_\_\_\_\_

Date: \_\_\_\_\_

Name:

Title:

Rhode Island Board of Governors for Higher Education

By: \_\_\_\_\_

Date: \_\_\_\_\_

Name:

Title:

cc: Ronald Cavallaro, Esq.

994255\_2

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

BOARD OF ELECTIONS

CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401) 222-2056 [TDD: 222-2239]

AFFIDAVIT OF STATE VENDOR

UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

2009 JUL 27 PM 1:14  
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1. Name, address and telephone number of person making this affidavit.

Thomas C. Eagan  
NAME OF PERSON MAKING THIS AFFIDAVIT  
180 South Main Street, Providence, RI 02903  
ADDRESS  
(401) 861-8200  
TELEPHONE NUMBER

2. What is the date of execution of the written contract requiring the filing of this affidavit? [Such contract must be filed with this affidavit.] 7/1/09  
DATE

3. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold? N/A  
DATE

4. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

5. Is this affidavit made by you as an individual or on behalf of a business entity? Individual \_\_\_ On Behalf of Business Entity X

6. If on behalf of a business entity, what is the name and nature of the business entity?  
Name of Business Entity Partridge Snow & Hahn LLP FEIN # 05-0436543  
(Check One:) Corporation \_\_\_ Partnership X Sole Proprietorship \_\_\_ Other Business Entity \_\_\_

7. If "other business entity" is checked above, what is the nature of such business entity?  
\_\_\_\_\_

8. Are you a state vendor because you are the contracting party in a contract with a state agency? YES X NO \_\_\_

9. If yes, what is the name of state agency to which you are providing goods and/or services?  
Narragansett Bay Commission

10. If the answer to question 8 is "no", are you a state vendor because you are related to a contracting party? YES \_\_\_ NO \_\_\_

11. If answer to question 10 is "yes", what is the nature of your relationship with the contracting party?

(a) 10% or greater ownership interest in contracting party \_\_\_  
(b) executive officer of contracting party \_\_\_  
(c) spouse or minor child of contracting party, or of 10% or greater owner, or of executive officer \_\_\_

12. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

Name of Contracting Party \_\_\_\_\_

Address \_\_\_\_\_

State Agency \_\_\_\_\_

13. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? YES X NO \_\_\_\_\_

14. If the answer to question 13 is "no", have you notified all such related parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? YES \_\_\_\_\_ NO \_\_\_\_\_

15. If the answer to question 14 is "yes" provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Name Address Relationship to Contracting Party

16. Within the 24 month period preceding the date of the contract with the state agency; or with respect to contracts that are not in writing, within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, or any R.I. political party? DO NOT INCLUDE ANY CONTRIBUTIONS MADE PRIOR TO OCTOBER 2, 1993 ( Includes an individual contracting party, or a business entity on behalf of a related party, or a related party reporting on his/her own behalf.) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. YES X NO \_\_\_\_\_

[If you checked "NO" above, do not attach a copy of the state contract, or written summary, and do not complete question #17]

17. If the answer to question 16 is "yes", provide the name of the general officer, candidate for general office or political party and the date and amount of each such contribution. DO NOT INCLUDE CONTRIBUTIONS MADE PRIOR TO OCTOBER 2, 1993. List all contributions even if reported on a previously filed affidavit. However, please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below. [If additional sheets are required, please attach them to this affidavit.]

Name of General Officer, Candidate for General Office, or Political Party Date of Contribution Amount of Contribution Name of Contributor Relationship to Contracting Party

See Exhibit I

18. What is the total gross amount, in dollars, of contracts entered into during said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. DO NOT INCLUDE CONTRACTS ENTERED INTO PRIOR TO JULY 27, 1993 OR THOSE WHICH HAVE REACHED THE \$5000 THRESHOLD PRIOR TO OCTOBER 2, 1993. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state. NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report the total gross amount, in dollars, of contracts entered into from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

State Agency Date of Contract Amount of Contract Fein # P.O.#

See Exhibit II

TOTAL GROSS AMOUNT: \$ \_\_\_\_\_

Partridge Snow & Hahn LLP

by: [Signature]  
Signature of Person Making the Affidavit  
Director of Finance and Administration

State of Rhode Island  
County of Providence

Subscribed and sworn to or affirmed before me, an officer authorized to administer oaths in the jurisdiction where this affidavit was made, on this

23 day of July, 2009

[Signature]  
Signature of Notary or Other Person Authorized to Administer Oaths

Rosa M. Almeida  
Printed Name of Notary or Other Person Authorized to Administer Oaths  
My commission expires 8/15/09

206180  
22-Jul-09

Partridge Snow & Hahn LLP  
**POLITICAL CONTRIBUTION SUMMARY**  
July 1, 2007 to June 30, 2009

Exhibit I

Name of General Officer, Candidate for General Office, Political Party, General Assembly Member or Candidate for G/A	Date of Contribution		Amount of Contribution	Name of Contributor
Frank Caprio	8/07	PR	\$200	David M. Gilden
Frank Caprio	9/07	PR	\$100	Robert K. Taylor
Frank Caprio	9/07	PR	\$250	Eugene G. Bernardo
Frank Caprio	9/07	PR	\$250	Michael A. Gamboli
Frank Caprio	9/07	PR	\$250	James H. Hahn
Frank Caprio	9/07	PR	\$100	Deborah DiNardo
Frank Caprio	9/07	PR	\$500	Normand G. Benoit
Frank Caprio	9/07	PR	\$100	Christopher C. Cassara
Elizabeth Roberts	6/08	PR	\$100	Patricia Antonelli
Elizabeth Roberts	6/08	PR	\$100	James W. Ryan
Elizabeth Roberts	6/08	PR	\$100	Jeffrey H. Gladstone
Frank Caprio	3/08	PR	\$150	Jeffrey H. Gladstone
Elizabeth Roberts	6/08	PR	\$100	James H. Hahn
Frank Caprio	3/08	PR	\$250	James H. Hahn
Elizabeth Roberts	6/08	PR	\$350	Tracy C. Baran
Elizabeth Roberts	6/08	PR	\$100	Normand G. Benoit
Frank Caprio	3/08	PR	\$1,000	Normand G. Benoit
Donald Carcieri	2/08	PR	\$500	Normand G. Benoit
Brian Newberry	5/08	PR	\$100	Normand G. Benoit
Frank Caprio	3/08	PR	\$500	Deborah DiNardo
Elizabeth Roberts	6/08	PR	\$100	Deborah DiNardo
Frank Caprio	3/08	PR	\$500	Eugene G. Bernardo
Elizabeth Roberts	6/08	PR	\$100	Kathleen A. Ryan
Frank Caprio	3/08	PR	\$250	Michael A. Gamboli
William Murphy	1/08	PR	\$250	David M. Gilden
Elizabeth Roberts	6/08	PR	\$100	David M. Gilden
Elizabeth Roberts	6/08	PR	\$100	Melissa E. Darigan
Frank Caprio	3/08	PR	\$250	Melissa E. Darigan
David Bates	5/08	PR	\$250	Melissa E. Darigan
William Murphy	9/08	PR	\$125	James H. Hahn
Joseph Fernandez	10/08	PR	\$100	Melissa E. Darigan
Amy Rice	8/08	PR	\$100	Patricia Antonelli
Joseph Fernandez	3/09		\$50	Patricia Antonelli
Frank Caprio	3/09		\$250	Patricia Antonelli
Frank Caprio	3/09		\$1,000	Normand G. Benoit
Frank Caprio	3/09		\$500	Eugene G. Bernardo
David Bates	6/09		\$100	Melissa E. Darigan
Frank Caprio	3/09		\$250	Melissa E. Darigan
Frank Caprio	3/09		\$100	Deborah DiNardo
Lincoln Chafee	6/09		\$100	Deborah DiNardo
Frank Caprio	3/09		\$250	Michael A. Gamboli
Lincoln Chafee	6/09		\$150	Jeffrey H. Gladstone
William Murphy	2/09		\$250	David M. Gilden
Lincoln Chafee	6/09		\$200	David M. Gilden
Frank Caprio	3/09		\$250	James H. Hahn
Lincoln Chafee	6/09		\$150	James H. Hahn
Lincoln Chafee	6/09		\$150	Michael A. Kehoe
John Loughlin	6/09		\$50	Charles A. Lovell
RI Republican Party	5/09		\$50	Charles A. Lovell
Lincoln Chafee	6/09		\$150	James W. Ryan
Frank Caprio	3/09		\$250	Robert K. Taylor
Lincoln Chafee	6/09		\$150	Robert K. Taylor

**NARRAGANSETT BAY COMMISSION  
AGREEMENT  
FOR LEGAL SERVICES  
CONTRACT NUMBER 2010C**

This Agreement, is made this 15<sup>th</sup> day of July, 2009, by and between the Narragansett Bay Commission (hereinafter the "Commission") acting pursuant to the provisions of Title 46, Chapter 25 of the General Laws of Rhode Island and Partridge Snow & Hahn, LLP (hereinafter "PSH") and their successors and assigns.

The Commission and PHS in consideration of their mutual covenant herein and for other good and valuable consideration agree as follows:

**1. SCOPE OF WORK**

PSH, with Normand Benoit as Lead Counsel, may serve as bond counsel in connection with cash flow borrowing and the financing of Capital Projects, funded directly through proceeds from the issuance of long or short term revenue obligations at the Commission's request.

The Lead Counsel shall utilize and direct the resources of PSH including, but not limited to, attorneys, associate counsel and researchers to accomplish said legal functions at the discretion of the Executive Director or his/her designee in accordance with the Commission's By-Laws.

The parties shall maintain an attorney/client relationship throughout the term of this Agreement.

**2. EXECUTION OF AGREEMENT AND MODIFICATIONS**

This Agreement and any changes or modifications hereto shall be in writing and must be executed by both the Commission and PSH.

**3. TERM**

This Agreement and the terms herein shall be effective July 1, 2009 until June 30, 2010.

**4. RESERVED**

**5. PAYMENT FOR SERVICES**

From the date of July 1, 2009 through June 30, 2010, the Commission shall compensate PSH for legal services at the rates specified below:

- Hourly rate for Normand Benoit is \$375.00
- Hourly rate for Eugene Bernardo, II is \$295.00
- Hourly rate for other attorneys \$140.00 - \$375.00
- Hourly rate for legal assistants \$90.00 - \$135.00
- Partial Hours shall be billed on a pro rata basis

**6. CHANGES/BUDGET ADJUSTMENT**

The Commission may, at any time, by written order, make changes within the general scope of this Agreement, in the services or work to be performed. If such changes cause an increase or decrease in PSH's cost or time required to perform any services under this Agreement, whether or not changed by any order, the Commission and PSH shall make an equitable adjustment and modify this Agreement in writing.

PSH must assert any claim for adjustment under this clause within thirty (30) days from the date it receives the Commission's notification of change, unless the Commission grants additional time before the date of final payment. No claim by PSH for an equitable adjustment shall be allowed if made after final payment under this Agreement.

No services for which PSH will charge any additional compensation shall be furnished without the prior written approval of the Commission.

**7. EXTENT OF AGREEMENT**

Nothing herein shall be construed as creating any personal liability on the part of any officer or agent of any public body which may be a party hereto, nor shall it be construed as giving any rights or benefits hereunder to anyone other than the Commission and PSH.

**8. SUB-CONTRACTS**



PSH warrants that they will not sub-contract any portion of the tasks or sub-tasks they are obligated to perform under this Agreement without the prior written consent of the Commission.

**9. NOTICES**

All notices required hereunder shall be in writing to the parties at the following:

COMMISSION:

Narragansett Bay Commission  
One Service Road  
Providence, RI 02905  
ATTN: Laurie Horridge Bissonette, Esquire  
Copy: Raymond J. Marshall, P.E.  
Copy: Vincent J. Mesoella, Chairman

PS&H:

Partridge Snow & Hahn, LLP  
180 South Main Street  
Providence, RI 02903  
ATTN: Normand Beniot, Esquire

**10. CAPTIONS**

Captions and section headings are for reference only and shall not limit or otherwise affect the meaning of the sections hereunder.

**11. INTEGRATION**

This Agreement represents the entire understanding of the Commission and PSH with regard to those matters covered hereunder. This Agreement may not be modified or altered, except in writing, signed by both parties.

**12. JURISDICTION**

This Agreement shall be administered and interpreted under the laws of the State of Rhode Island. Jurisdiction of litigation arising from this Agreement shall be in

the State of Rhode Island. If any part of this Agreement is found to be in conflict with applicable laws, such parts shall be inoperative, null and void, insofar as it is in conflict with said laws, but the remainder of the Agreement shall be in full force in effect.

IN WITNESS WHEREOF, the parties hereto have executed or caused to be executed by their duly authorized representatives, this AGREEMENT in four (4) counterparts, each of which shall be deemed an original.

**FOR THE COMMISSION:**

Witness *Janine Macerini* By: *V. J. Mesolella*  
Vincent J. Mesolella,  
Chairman

Witness *Janine Macerini* By: *Raymond J. Marshall*  
Raymond J. Marshall,  
Executive Director

**FOR PARTRIDGE SNOW & HAHN, LLP:**

Witness *Rosa M. Almeida* By: *Normand M. Benoit*  
Normand Benoit, Esquire  
Attorney at Law

206180  
22-Jul-09

Partridge Snow & Hahn LLP  
**CONTRACTS WITH THE STATE OF RHODE ISLAND**  
July 1, 2007 - June 30, 2009

Exhibit II

<u>STATE AGENCY</u>	<u>DATE OF CONTRACT</u>	<u>AMOUNT OF CONTRACT</u>
RI Dept of Economic Development	12/07	Depends upon work required.
RI Dept of Economic Development	12/07	Depends upon work required.
RI Health and Educational Building Corp	7/08	Depends upon work required.
University of RI/Board of Governors for Higher Education	7/08	Depends upon work required.
RI Public Utilities Commission	9/08	Depends upon work required.
State of RI General Treasurer	10/08	Depends upon work required.
RI Public Finance Management Board	12/08	Depends upon work required.
Narragansett Bay Comission	7/09	Depends upon work required.
State of RI General Treasurer	1/09	Depends upon work required.
University of RI/Board of Governors for Higher Education	5/09	Depends upon work required.

July 23, 2009

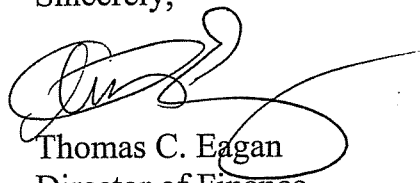
RHODE ISLAND  
BOARD OF ELECTIONS  
2009 JUL 27 PM 1:43

State of Rhode Island  
Board of Elections  
Campaign Finance Division  
50 Branch Avenue  
Providence, RI 02904

Dear Sir/Madame:

Enclosed please find affidavits prepared by the law firm of Partridge Snow & Hahn LLP in compliance with Chapter 17-27 of the General Laws of Rhode Island relating to Political Contributions by State Vendors. Once you have reviewed these documents, please do not hesitate to contact me should you have any questions.

Sincerely,



Thomas C. Eagan  
Director of Finance  
and Administration

Enclosures

1033578\_1

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS  
BOARD OF ELECTIONS  
CAMPAIGN FINANCE DIVISION  
50 Branch Avenue, Providence, Rhode Island 02904  
TEL. (401) 222-2056 [TDD: 222-2239]

2009 JUL 27  
RHODE ISLAND  
BOARD OF ELECTIONS

AFFIDAVIT OF STATE VENDOR  
UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Instructions:

Every person or business entity contracting with a state agency on or after July 27, 1993 to sell goods and services (contracting party) is deemed to be a "state vendor". Also deemed to be a "state vendor" is (a) a person having a 10% or greater ownership interest in such contracting party, or (b) a person who is an executive officer of such contracting party, or (c) a person who is the spouse or minor child of the contracting party, or of a person having such 10% or greater ownership interest, or of a person who is an executive officer of such contracting party (related parties).

This affidavit must be filed with the State Board of Elections, 50 Branch Avenue, Providence, Rhode Island 02904 by every state vendor in connection with any contract with a state agency to provide goods and/or services costing \$5000 or more. If the contract is in writing, this affidavit must be filed within 60 days after the contract is executed and MUST BE ACCOMPANIED BY A COPY OF THE CONTRACT, OR A WRITTEN SUMMARY THEREOF. If the contract is not in writing, then the affidavit must be filed within 60 days from the date when the state vendor is first notified that the \$5000 threshold has been reached. However, Chapter 17-27 and the Regulations adopted thereunder, permit semi-annual filings of affidavits under certain circumstances.

Every person or business entity qualifying as a state vendor (either as the contracting party or as a related party) and providing goods and services at a cost of \$5000 or more shall be responsible for filing this affidavit. A state vendor who is a contracting party must file this affidavit when the \$5000 threshold has been reached, even if no reportable political contributions have been made either by the contracting party or a related party. A state vendor who is a related party must file this affidavit only when a reportable political contribution has been made by such related party, and then, only if such contribution has not been included in an affidavit filed by the contracting party. A reportable political contribution is defined in question #16. The affidavit, when filed, will be a public document.

The Board of Elections is empowered to impose civil penalties for violations of Chapter 17-27.

The attention of state vendors is directed to the Regulations adopted by the Board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

-----  
Statement Under Oath or Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information:

1. Name, address and telephone number of person making this affidavit.

Thomas C. Eagan  
NAME OF PERSON MAKING THIS AFFIDAVIT  
180 South Main Street, Providence, RI 02903  
ADDRESS  
(401) 861-8200  
TELEPHONE NUMBER

2. What is the date of execution of the written contract requiring the filing of this affidavit? [Such contract must be filed with this affidavit.] 2/17/09  
DATE
3. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold? N/A  
DATE
4. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

5. Is this affidavit made by you as an individual or on behalf of a business entity? Individual  On Behalf of Business Entity

6. If on behalf of a business entity, what is the name and nature of the business entity?

Name of Business Entity Partridge Snow & Hahn LLP FEIN # 05-0436543

(Check One:) Corporation  Partnership  Sole Proprietorship  Other Business Entity

7. If "other business entity" is checked above, what is the nature of such business entity?  
\_\_\_\_\_

8. Are you a state vendor because you are the contracting party in a contract with a state agency? YES  NO

9. If yes, what is the name of state agency to which you are providing goods and/or services?

Office of Rhode Island General Treasurer

10. If the answer to question 8 is "no", are you a state vendor because you are related to a contracting party? YES  NO

11. If answer to question 10 is "yes", what is the nature of your relationship with the contracting party?

(a) 10% or greater ownership interest in contracting party   
(b) executive officer of contracting party

(c) spouse or minor child of contracting party, or of 10% or greater owner, or of executive officer

12. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

Name of Contracting Party \_\_\_\_\_

Address \_\_\_\_\_

State Agency \_\_\_\_\_

13. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? YES X NO \_\_\_\_\_

14. If the answer to question 13 is "no", have you notified all such related parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? YES \_\_\_\_\_ NO \_\_\_\_\_

15. If the answer to question 14 is "yes" provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.  
Name Address Relationship to Contracting Party

16. Within the 24 month period preceding the date of the contract with the state agency; or with respect to contracts that are not in writing, within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, or any R.I. political party? DO NOT INCLUDE ANY CONTRIBUTIONS MADE PRIOR TO OCTOBER 2, 1993 (' Includes an individual contracting party, or a business entity on behalf of a related party, or a related party reporting on his/her own behalf.) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. YES X NO \_\_\_\_\_

[If you checked "NO" above, do not attach a copy of the state contract, or written summary, and do not complete question #17]

17. If the answer to question 16 is "yes", provide the name of the general officer, candidate for general office or political party and the date and amount of each such contribution. DO NOT INCLUDE CONTRIBUTIONS MADE PRIOR TO OCTOBER 2, 1993. List all contributions even if reported on a previously filed affidavit. However, please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below. [If additional sheets are required, please attach them to this affidavit.]

<u>Name of General Officer, Candidate for General Office, or Political Party</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Name of Contributor</u>	<u>Relationship to Contracting Party</u>
--	-----------------------------	-------------------------------	----------------------------	--

See Exhibit I

18. What is the total gross amount, in dollars, of contracts entered into during said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. DO NOT INCLUDE CONTRACTS ENTERED INTO PRIOR TO JULY 27, 1993 OR THOSE WHICH HAVE REACHED THE \$5000 THRESHOLD PRIOR TO OCTOBER 2, 1993. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state. NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report the total gross amount, in dollars, of contracts entered into from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

<u>State Agency</u>	<u>Date of Contract</u>	<u>Amount of Contract</u>	<u>Fein #</u>	<u>P.O.#</u>
---------------------	-------------------------	---------------------------	---------------	--------------

See Exhibit II

TOTAL GROSS AMOUNT: \$ \_\_\_\_\_

Partridge Snow & Hahn LLP

by: [Signature]  
Signature of Person Making the Affidavit  
Director of Finance and Administration

State of Rhode Island  
County of Providence

Subscribed and sworn to or affirmed before me, an officer authorized to administer oaths in the jurisdiction where this affidavit was made, on this 23 day of July, 2009

[Signature]  
Signature of Notary or Other Person Authorized to Administer Oaths

Rosa M. Almeida  
Printed Name of Notary or Other Person Authorized to Administer Oaths

My commission expires 8/15/09

206180  
22-Jul-09

Partridge Snow & Hahn LLP  
**POLITICAL CONTRIBUTION SUMMARY**  
July 1, 2007 to June 30, 2009

Exhibit I

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Frank Caprio	9/07	PR	\$100	Deborah DiNardo
Frank Caprio	9/07	PR	\$500	Normand G. Benoit
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David Bates	5/08	PR	\$250	Melissa E. Darigan
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Joseph Fernandez	3/09		\$50	Patricia Antonelli
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Frank Caprio	3/09		\$1,000	Normand G. Benoit
Frank Caprio	3/09		\$500	Eugene G. Bernardo
David Bates	6/09		\$100	Melissa E. Darigan
Frank Caprio	3/09		\$250	Melissa E. Darigan
Frank Caprio	3/09		\$100	Deborah DiNardo
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Lincoln Chafee	6/09		\$150	Jeffrey H. Gladstone
William Murphy	2/09		\$250	David M. Gilden
Lincoln Chafee	6/09		\$200	David M. Gilden
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Lincoln Chafee	6/09		\$150	James W. Ryan
Frank Caprio	3/09		\$250	Robert K. Taylor
Lincoln Chafee	6/09		\$150	Robert K. Taylor

206180  
22-Jul-09

Partridge Snow & Hahn LLP  
**CONTRACTS WITH THE STATE OF RHODE ISLAND**  
July 1, 2007 - June 30, 2009

Exhibit II

<u>STATE AGENCY</u>	<u>DATE OF CONTRACT</u>	<u>AMOUNT OF CONTRACT</u>
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University of RI/Board of Governors for Higher Education	7/08	Depends upon work required.
RI Public Utilities Commission	9/08	Depends upon work required.
State of RI General Treasurer	10/08	Depends upon work required.
RI Public Finance Management Board	12/08	Depends upon work required.
Narragansett Bay Comission	7/09	Depends upon work required.
State of RI General Treasurer	1/09	Depends upon work required.
University of RI/Board of Governors for Higher Education	5/09	Depends upon work required.



Normand G. Benoit  
(401) 861-8245  
ngb@psh.com

March 9, 2009

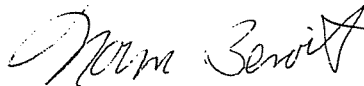
Mark A. Dingley, Esq.  
Chief of Staff/Chief Legal Counsel  
Office of Rhode Island General Treasurer  
State House Room 102  
Providence, RI 02903

Dear Mark:

Per your request, I am returning two signed copies of the Bond Counsel Letter of Engagement between the State of Rhode Island and Partridge Snow & Hahn LLP.

We look forward to working with the State.

Very truly yours,



Normand G. Benoit

NGB/rma  
Enclosures

1042199\_1/999998-75



State of Rhode Island and Providence Plantations  
General Treasurer  
State House - 102  
Providence, Rhode Island 02903

Frank T. Caprio  
General Treasurer

February 17, 2009

Normand G. Benoit, Esquire  
**PARTRIDGE SNOW & HAHN, LLP**  
180 South Main Street  
Providence, RI 02903

Dear Mr. Benoit:

This will confirm the engagement of Partridge Snow & Hahn, LLP (the "Firm") to serve as bond counsel ("Bond Counsel") to the State of Rhode Island (the "State") for a one-year period primarily consisting of the calendar year ending December 31, 2009 (the "Period of Engagement"). The State of Rhode Island shall have the option to extend this engagement for an additional one-year period under the same terms and conditions contained in this engagement by providing the Firm with prior written notice of the extension.

**Scope**

The scope of services to be performed by your Firm as Bond Counsel is set forth in our Request for Proposals to serve as Bond and Disclosure to the State (the "RFP") and your response thereto dated November 14, 2008.

The State has committed to utilizing the Firm and certain other firm(s) as Bond Counsel on its bond issues for the Period of the Engagement. However, the State has reserved the right to retain other Bond Counsel in the event this Firm and the other Bond Counsel have conflicts. This does not mean that this Firm is entitled to represent the State on any particular bond issue. Rather, your Firm acknowledges that the State reserves the right to adjust the rotation of Bond Counsel to reflect conflicts with one of the other Bond Counsel firms or to distribute Bond Counsel work equitably in the discretion of the State. In short, your Firm agrees that the selection of Bond Counsel for any particular bond issue will remain in the sole discretion of the State.

The Firm further agrees to refrain from representing any other party on bond issues for which it is Bond Counsel. However, on those bond issues in which it is not Bond Counsel, the Firm may serve as counsel for any other party who requests the Firm's representation, provided there is not a conflict with the State's interests.

[www.treasury.ri.gov](http://www.treasury.ri.gov)  
(401) 222-2397 / Fax (401) 222-6140



**Fees for Services**

You have agreed to bill on an hourly basis with a per financing transaction cap. The hourly rates of attorneys and legal assistants, as agreed to in your RFP, are outlined below:

Mr. Benoit will be billed at an hourly rate of \$375 per hour

Mr. Bernardo will be billed at an hourly rate of \$295 per hour

Legal assistants will be billed between \$90 and \$135 per hour

Other attorneys will be billed between \$140 and \$375 per hour

Additionally, you have agreed to a cap on your fees as Bond Counsel at the maximums listed below, even if your time exceeds the cap at your normal hourly rates:

<u>Type of Transaction</u>	<u>Lesser of Hourly Rates or the Fee Cap Listed Below</u>
Certificates of Participation Issue	\$50,000
General Obligation Bonds	\$50,000
Tax Anticipation Notes	\$30,000

You have further agreed that for any potential bond issues contemplated in our Request for Proposals the most analogous fee cap for that issue would apply. The engagement shall include representing the State, collaborating with the State Budget Office and with the State's Disclosure Counsel to prepare the State's official statement, providing tax advice, issuing opinions of Bond Counsel and otherwise serving in the capacity as the State's Bond Counsel, including without limitation handling all aspects of the closing of the sales of the State's general obligation bonds, certificates of participation and its short-term securities issued in anticipation of tax or other revenues. Fees shall include all out-of-pocket expenses associated with this engagement except preparation of closing binders.

**General Advice**

The Firm will provide general advice to the State as needed and will not seek additional compensation. However, for work substantially beyond the scope of work contemplated in the RFP, as mutually determined by the State and Bond Counsel, the Firm will bill at the Firm's most favorable cost. Fees for these services will be based upon an hourly basis, unless a fixed fee option is selected by the State. The State and Bond Counsel agree to execute a separate written agreement for any work substantially beyond the scope of work contemplated in the RFP. No additional fees shall be paid by the State unless such writing is executed by the parties hereto.

**Extended Term**

If any of the transactions are scheduled to close, but do not close by December 31, 2009 for any reason, then the term of this engagement shall be extended at no extra cost to the State through the closing of such transaction or transactions, provided, however, if by March 31, 2010, such transaction or transactions has not closed, then any further terms for extension shall be agreed upon by both parties.

**Most Favored Rates**

You have certified to us that all rates of compensation set forth herein do not exceed the rates of compensation charged by your Firm to its preferred public or private clients.

**Billings**

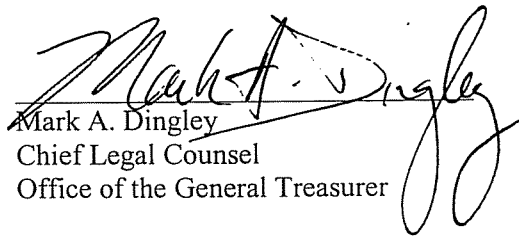
You will provide this office with statements for services rendered. Disbursements shall be a reasonable rate and detailed records shall accompany each bill. When billed in connection with financings, your fees will be paid at the time of closing.

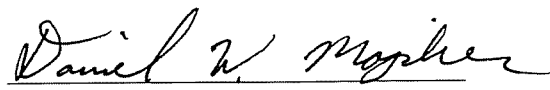
**Conflicts of Interest**

By accepting this engagement as Bond Counsel, the firm acknowledges that it is acting as bond counsel for the State of Rhode Island. The Firm acknowledges that Rule 1.7 of the Rules of Professional Conduct sets forth the standard and the method of resolving any conflict of interest. If consent of the client is required pursuant to Rule 1.7 of the Rules of Professional Conduct, the Firm agrees that such consent must be received from both the Governor and the Treasurer or their designees. Notwithstanding anything herein to the contrary, the conflict of interest provision set forth above is not intended to prohibit the Firm from representing, or requiring the Firm to represent, any client before or against any instrumentality or department of government, quasi-public entity formed by the General Assembly or state bureau, commission, agency, board or entity of the State, including, without limitation, in any hearing or litigation.

If the foregoing is an accurate confirmation of the terms of your engagement, please indicate your agreement by signing and returning to me a duplicate of this letter.

Sincerely,

  
Mark A. Dingley  
Chief Legal Counsel  
Office of the General Treasurer

  
Daniel Majcher  
Governor's Executive Counsel  
Office of the Governor

Accepted and Agreed:

Partridge Snow & Hahn, LLP

By: Mauro M. Beart  
Partner

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

BOARD OF ELECTIONS

CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401) 222-2056 [TDD: 222-2239]

RHODE ISLAND BOARD OF ELECTIONS

10 JAN 26 AM 11: 06

AFFIDAVIT OF STATE VENDOR UNDER CHAPTER 17-27 OF THE GENERAL LASW OF RHODE ISLAND

Instructions:

Every person or business entity contracting with a state agency on or after July 27, 1993 to sell goods and services (contracting party) is deemed to be a "state vendor". Also deemed to be a "state vendor" is (a) a person having a 10% or greater ownership interest in such contracting party, or (b) a person who is an executive officer of such contracting party, or (c) a person who is the spouse or minor child of the contracting party, or of a person having such 10% or greater ownership interest, or of a person who is an executive officer of such contracting party (related parties).

This affidavit must be filed with the State Board of Elections, 50 Branch Avenue, Providence, Rhode Island 02904 by every state vendor in connection with any contract with a state agency to provide goods and/or services costing \$5,000 or more. If the contract is in writing, this affidavit must be filed within 60 days after the contract is executed and MUST BE ACCOMPANIED BY A COPY OF THE CONTRACT, OR A WRITTEN SUMMARY THEREOF. If the contract is not in writing, then the affidavit must be filed within 60 days from the date when the state vendor is first notified that the \$5,000 threshold has been reached. However, Chapter 17-27 and the Regulations adopted thereunder, permit semi-annual filings of affidavits under certain circumstances.

Every person or business entity qualifying as a state vendor (either as the contracting party or as a related party) and providing goods and services at a cost of \$5,000 or more shall be responsible for filing this affidavit. A state vendor who is a contracting party must file this affidavit when the \$5,000 threshold has been reached, even if no reportable political contributions have been made either by the contracting party or a related party. A state vendor who is a related party must file this affidavit only when a reportable political contribution has been made by such related party, and then, only if such contribution has not been included in an affidavit filed by the contracting party. A reportable political contribution is defined in #16. The affidavit, when filed will be a public document.

The Board of Elections is empowered to impose civil penalties for violations of Chapter 17-27.

The attention of state vendors is directed to the Regulations adopted by the board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

Statement Under Oath or Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information:

- 1. Name, address and telephone number of person making this affidavit.

Howard G. Sutton, President, The Providence Journal Company
NAME OF PERSON MAKING THIS AFFIDAVIT

75 Fountain Street, Providence, RI 02902
ADDRESS

(401) 277-7520
TELEPHONE NUMBER

- 2. What is the date of execution of the written contract requiring The filing of this affidavit? [Such contract must be filed with this affidavit.] Various DATE

- 3. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5,000 threshold? Various - 2009 DATE

- 4. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the terms or duration of such contract. If the term is indefinite, so state.

- 5. Is this affidavit made by you as an individual or on behalf of a business entity? Individual On Behalf of Business Entity X

- 6. If on behalf of a business entity, what is the name and nature of the business entity?

Name of Business Entity The Providence Journal Company FEIN # 05-0481988

(Check One:) Corporation X Partnership Sole Proprietorship: Other Business Entity:

7. If "other business entity" is checked above, what is the nature of such business entity?  
\_\_\_\_\_

8. Are you a state vendor because you are the contracting party in a contract with a state agency? YES  NO \_\_\_\_\_

9. If yes, what is the name of state agency to which you are providing goods and/or services?  
Various

10. If the answer to question 8 is "no", are you a state vendor because you are related to a contracting party? YES \_\_\_\_\_ NO \_\_\_\_\_

11. If answer to question 10 is "yes", what is the nature of your relationship with the contracting party?  
(a) 10% or greater ownership interest in contracting party \_\_\_\_\_ (c) spouse or minor child of contracting party, or of 10%  
(b) executive officer of contracting party \_\_\_\_\_ or greater owner, or of executive officer \_\_\_\_\_

12. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.  
Name of Contracting Party Not Applicable  
Address \_\_\_\_\_  
State Agency \_\_\_\_\_

13. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? YES  NO \_\_\_\_\_

14. If the answer to question 13 is "no", have you notified all such related parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? YES \_\_\_\_\_ NO \_\_\_\_\_

15. If the answer to question 14 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

<u>Name</u>	<u>Address</u>	<u>Relationship to Contracting Party</u>
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16. Within the 24 month period preceding the date of the contract with the state agency; or with respect to contracts that are not in writing, within the 24 month period preceding the date of notification that the contract has reached the \$5,000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, or any R.I. political party? DO NOT INCLUDE ANY CONTRIBUTIONS MADE PRIOR TO OCTOBER 2, 1993 (Includes an individual contracting party, or a business entity on behalf of a related party, or a related party reporting on his/her own behalf.) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. YES \_\_\_\_\_ NO

[If you checked "NO" above, do not attach a copy of the state contract or written summary, and do not complete question #17]

17. If the answer to question 16 is "yes", provide the name of the general officer, candidate for general office or political party and the date and amount of each such contribution. DO NOT INCLUDE CONTRIBUTIONS MADE PRIOR TO OCTOBER 2, 1993. List all contributions even if reported on a previously filed affidavit. However, please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below. [If additional sheets are required, please attach them to this affidavit.]

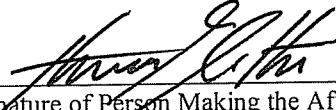
<u>Name of General Officer, Candidate for General Office, or Political Party</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Name of Contributor</u>	<u>Relationship to Contracting Party</u>
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18. What is the total gross amount, in dollars, of contracts entered into during said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. DO NOT INCLUDE CONTRACTS ENTERED INTO PRIOR TO JULY 27, 1993 OR THOSE WHICH HAVE REACHED THE \$5000 THRESHOLD PRIOR TO OCTOBER 2, 1993. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state. NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report the total gross amount, in dollars, of contracts entered into from the date of execution of the last contract entered into during such semi-annual period to the date of which is 24 months prior to the first day of the same semi-annual period.

State Agency                      Date of Contract                      Amount of Contract                      FEIN #                      P.O. #

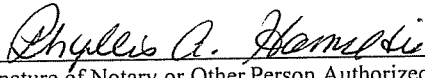
TOTAL GROSS AMOUNT: \$    in excess of \$5,000

Howard G. Sutton, President  
The Providence Journal Company

  
\_\_\_\_\_  
Signature of Person Making the Affidavit

State of            Rhode Island  
County of        Providence

Subscribed and sworn to or affirmed before me, an officer authorized to administer oaths in the jurisdiction where this affidavit was made, on this 2nd th day of January, 2010.

  
\_\_\_\_\_  
Signature of Notary or Other Person Authorized to Administer Oaths

Phyllis A. Hamilton  
\_\_\_\_\_  
Printed Name of Notary or Other Person Authorized to Administer Oaths

My Commission Expires: 4/10/2013



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

BOARD OF ELECTIONS

CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401) 222-2056 [TDD: 222-2239]

NOTICE AND CERTIFICATION OF STATE VENDOR  
FOR RELIEF FROM MULTIPLE FILINGS

The undersigned certifies to the State Board of Elections under Section 17-27-3 of the General Laws of Rhode Island that it has reasonable cause to believe that for the calendar year 2010, it will be required to file two or more affidavits, and that this notice and certification is being filed for the purpose of permitting the undersigned to report on a semi-annual basis to the State Board of Elections for the aforementioned calendar year.

The Providence Journal Company \_\_\_\_\_  
State Vendor

By: Howard G. Sutton, President

\_\_\_\_\_  
Date

*Howard G. Sutton*  
1/22/10

**NOTE:**

State vendors are permitted to file semi-annual reports with the Board of Elections if they reasonably believe that they otherwise would be required to file multiple reports within any single calendar year. In order to avail themselves of this opportunity, state vendors are required to file this Notice and Certification with the State board of Elections for each calendar year no later than the date by which the first report to the Board of Elections for such calendar year would otherwise be due, i.e. 60 days from the date of execution of a contract with a state agency or in the case of unwritten contracts, within 60 days after the state vendor is first notified that the contract has reached the \$5000 reporting threshold.

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS  
BOARD OF ELECTIONS  
CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401) 222-2056 [TDD: 222-2239]

RHODE ISLAND  
BOARD OF ELECTIONS

2009 JUL 31 PM 1:47

**AFFIDAVIT OF STATE VENDOR  
UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND**

Instructions:

Every person or business entity contracting with a state agency on or after July 27, 1993 to sell goods and services (contracting party) is deemed to be a "state vendor". Also deemed to be a "state vendor" is (a) a person having a 10% or greater ownership interest in such contracting party, or (b) a person who is an executive officer of such contracting party, or (c) a person who is the spouse or minor child of the contracting party, or of a person having such 10% or greater ownership interest, or of a person who is an executive officer of such contracting party (related parties).

This affidavit must be filed with the State Board of Elections, 50 Branch Avenue, Providence, Rhode Island 02904 by every state vendor in connection with any contract with a state agency to provide goods and/or services costing \$5,000 or more. If the contract is in writing, this affidavit must be filed within 60 days after the contract is executed and **MUST BE ACCOMPANIED BY A COPY OF THE CONTRACT, OR A WRITTEN SUMMARY THEREOF.** If the contract is not in writing, then the affidavit must be filed within 60 days from the date when the state vendor is first notified that the \$5,000 threshold has been reached. However, Chapter 17-27 and the Regulations adopted thereunder, permit semi-annual filings of affidavits under certain circumstances.

Every person or business entity qualifying as a state vendor (either as the contracting party or as a related party) and providing goods and services at a cost of \$5,000 or more shall be responsible for filing this affidavit. A state vendor who is a contracting party must file this affidavit when the \$5,000 threshold has been reached, even if no reportable political contributions have been made either by the contracting party or a related party. A state vendor who is a related party must file this affidavit only when a reportable political contribution has been made by such related party, and then, only if such contribution has not been included in an affidavit filed by the contracting party. A reportable political contribution is defined in #16. The affidavit, when filed will be a public document.

The Board of Elections is empowered to impose civil penalties for violations of Chapter 17-27.

The attention of state vendors is directed to the Regulations adopted by the board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

**Statement Under Oath or Affirmation**

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information:

1. Name, address and telephone number of person making this affidavit.

Mark T. Ryan, Assistant Secretary of The Providence Journal Company  
NAME OF PERSON MAKING THIS AFFIDAVIT

75 Fountain Street, Providence, RI 02902  
ADDRESS

(401) 277-7869  
TELEPHONE NUMBER

2. What is the date of execution of the written contract requiring  
The filing of this affidavit? [Such contract must be filed with this affidavit.] Various  
DATE

3. If the contract requiring the filing of this affidavit is not in writing,  
when were you first notified that the contract had reached the \$5,000 threshold? Various - 2009  
DATE

4. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the terms or duration of such contract. If the term is indefinite, so state.

5. Is this affidavit made by you as an individual or on behalf of a business entity? Individual \_\_\_\_\_ On Behalf of Business Entity X

6. If on behalf of a business entity, what is the name and nature of the business entity?

Name of Business Entity The Providence Journal Company FEIN # 05-0481988

(Check One:) Corporation X Partnership \_\_\_\_\_ Sole Proprietorship: \_\_\_\_\_ Other Business Entity: \_\_\_\_\_

7. If "other business entity" is checked above, what is the nature of such business entity?

\_\_\_\_\_

8. Are you a state vendor because you are the contracting party in a contract with a state agency? YES X NO \_\_\_\_\_

9. If yes, what is the name of state agency to which you are providing goods and/or services?

Various

10. If the answer to question 8 is "no", are you a state vendor because you are related to a contracting party? YES \_\_\_\_\_ NO \_\_\_\_\_

11. If answer to question 10 is "yes", what is the nature of your relationship with the contracting party?

- (a) 10% or greater ownership interest in contracting party \_\_\_\_\_
- (b) executive officer of contracting party \_\_\_\_\_
- (c) spouse or minor child of contracting party, or of 10% or greater owner, or of executive officer \_\_\_\_\_

12. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

Name of Contracting Party Not Applicable

Address \_\_\_\_\_

State Agency \_\_\_\_\_

13. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? YES X NO \_\_\_\_\_

14. If the answer to question 13 is "no", have you notified all such related parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? YES \_\_\_\_\_ NO \_\_\_\_\_

15. If the answer to question 14 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

<u>Name</u>	<u>Address</u>	<u>Relationship to Contracting Party</u>
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16. Within the 24 month period preceding the date of the contract with the state agency; or with respect to contracts that are not in writing, within the 24 month period preceding the date of notification that the contract has reached the \$5,000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, or any R.I. political party? DO NOT INCLUDE ANY CONTRIBUTIONS MADE PRIOR TO OCTOBER 2, 1993 (Includes an individual contracting party, or a business entity on behalf of a related party, or a related party reporting on his/her own behalf.) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. YES \_\_\_\_\_ NO X

[If you checked "NO" above, do not attach a copy of the state contract or written summary, and do not complete question #17]

17. If the answer to question 16 is "yes", provide the name of the general officer, candidate for general office or political party and the date and amount of each such contribution. DO NOT INCLUDE CONTRIBUTIONS MADE PRIOR TO OCTOBER 2, 1993. List all contributions even if reported on a previously filed affidavit. However, please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below. [If additional sheets are required, please attach them to this affidavit.]

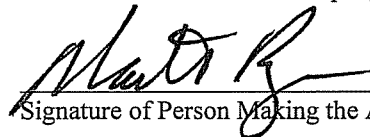
<u>Name of General Officer, Candidate for General Office, or Political Party</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Name of Contributor</u>	<u>Relationship to Contracting Party</u>
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18. What is the total gross amount, in dollars, of contracts entered into during said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. DO NOT INCLUDE CONTRACTS ENTERED INTO PRIOR TO JULY 27, 1993 OR THOSE WHICH HAVE REACHED THE \$5000 THRESHOLD PRIOR TO OCTOBER 2, 1993. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state. NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report the total gross amount, in dollars, of contracts entered into from the date of execution of the last contract entered into during such semi-annual period to the date of which is 24 months prior to the first day of the same semi-annual period.

State Agency                                      Date of Contract                                      Amount of Contract                                      FEIN #                                      P.O. #

TOTAL GROSS AMOUNT: \$      in excess of \$5,000

Mark T. Ryan, Assistant Secretary  
The Providence Journal Company

  
\_\_\_\_\_  
Signature of Person Making the Affidavit

State of                      Rhode Island  
County of                      Providence

Subscribed and sworn to or affirmed before me, an officer authorized to administer oaths in the jurisdiction where this affidavit was made, on this 31st day of July, 2009.

  
\_\_\_\_\_  
Signature of Notary or Other Person Authorized to Administer Oaths

Phyllis A. Hamilton  
\_\_\_\_\_  
Printed Name of Notary or Other Person Authorized to Administer Oaths

My Commission Expires: 4/10/2013

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

BOARD OF ELECTIONS

CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

TEL. (401) 222-2056 [TDD: 222-2239]

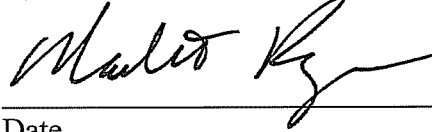
NOTICE AND CERTIFICATION OF STATE VENDOR  
FOR RELIEF FROM MULTIPLE FILINGS

The undersigned certifies to the State Board of Elections under Section 17-27-3 of the General Laws of Rhode Island that it has reasonable cause to believe that for the calendar year 2009, it will be required to file two or more affidavits, and that this notice and certification is being filed for the purpose of permitting the undersigned to report on a semi-annual basis to the State Board of Elections for the aforementioned calendar year.

The Providence Journal Company

State Vendor

By: Mark T. Ryan, Assistant Secretary



\_\_\_\_\_  
Date

**NOTE:**

State vendors are permitted to file semi-annual reports with the Board of Elections if they reasonably believe that they otherwise would be required to file multiple reports within any single calendar year. In order to avail themselves of this opportunity, state vendors are required to file this Notice and Certification with the State board of Elections for each calendar year no later than the date by which the first report to the Board of Elections for such calendar year would otherwise be due, i.e. 60 days from the date of execution of a contract with a state agency or in the case of unwritten contracts, within 60 days after the state vendor is first notified that the contract has reached the \$5000 reporting threshold.

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

BOARD OF ELECTIONS

CAMPAIGN FINANCE DIVISION

50 Branch Avenue, Providence, Rhode Island 02904

RHODE ISLAND BOARD OF ELECTIONS

TEL. (401)222-2056 [TDD: 222-2239]

2009 JAN 11 AM 9:59

AFFIDAVIT OF STATE VENDOR

UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

Instructions:

Every person or business entity contracting with a state agency on or after July 27, 1993 to sell goods and services (contracting party) is deemed to be a "state vendor". Also deemed to be a "state vendor" is (a) a person having a 10% or greater ownership interest in such contracting party, or (b) a person who is an executive officer of such contracting party, or (c) a person who is the spouse or minor child of the contracting party, or of a person having such 10% or greater ownership interest, or of a person who is an executive officer of such contracting party (related parties).

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The Board of Elections is empowered to impose civil penalties for violations of Chapter 17-27.

The attention of state vendors is directed to the Regulations adopted by the Board of Elections in connection with the preparation and filing of this affidavit which are on file at the offices of both the Board of Elections and the Secretary of State.

Statement Under Oath or Affirmation

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information:

1. Name, address and telephone number of person making this affidavit.

THOMAS E. WALSH

NAME OF PERSON MAKING THIS AFFIDAVIT 125 HOLDEN STREET, PROVIDENCE, RI 02908

ADDRESS 401-521-2700

TELEPHONE NUMBER

2. What is the date of execution of the written contract requiring the filing of this affidavit? [Such contract must be filed with this affidavit.]

11/16/2009

DATE

3. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold?

DATE

4. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

5. Is this affidavit made by you as an individual or on behalf of a business entity? Individual \_\_\_ On Behalf of Business Entity X

6. If on behalf of a business entity, what is the name and nature of the business entity?

Name of Business Entity RDW GROUP, INC. FEIN # 05-0421718

(Check One:) Corporation X Partnership \_\_\_ Sole Proprietorship \_\_\_ Other Business Entity \_\_\_

7. If "other business entity" is checked above, what is the nature of such business entity?

8. Are you a state vendor because you are the contracting party in a contract with a state agency? YES X NO \_\_\_

9. If yes, what is the name of state agency to which you are providing goods and/or services?

RHODE ISLAND RESOURCE RECOVERY

10. If the answer to question 8 is "no", are you a state vendor because you are related to a contracting party? YES \_\_\_ NO \_\_\_

11. If answer to question 10 is "yes", what is the nature of your relationship with the contracting party?

(a) 10% or greater ownership interest in contracting party \_\_\_ (b) executive officer of contracting party \_\_\_

(c) spouse or minor child of contracting party, or of 10% or greater owner, or of executive officer \_\_\_

12. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

Name of Contracting Party \_\_\_\_\_

Address \_\_\_\_\_

State Agency \_\_\_\_\_

13. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? YES X NO \_\_\_\_\_

14. If the answer to question 13 is "no", have you notified all such related parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? YES \_\_\_\_\_ NO \_\_\_\_\_

15. If the answer to question 14 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Name	Address	Relationship to Contracting Party
Michael M. Doyle	125 Holden St, Providence, RI 02908	Stockholder
Patricia A. Doyle	125 Holden St, Providence, RI 02908	Stockholder/spouse
Thomas E. Walsh	125 Holden St, Providence, RI 02908	Stockholder
Maureen Walsh	125 Holden St, Providence, RI 02908	Stockholder/spouse
Jeffrey Patch	125 Holden St, Providence, RI 02908	Stockholder
Ruth Patch	125 Holden St, Providence, RI 02908	Stockholder/spouse

16. Within the 24 month period preceding the date of the contract with the state agency; or with respect to contracts that are not in writing, within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, or any R.I. political party? DO NOT INCLUDE ANY CONTRIBUTIONS MADE PRIOR TO OCTOBER 2, 1993 ( Includes an individual contracting party, or a business entity on behalf of a related party, or a related party reporting on his/her own behalf.) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. YES X NO \_\_\_\_\_

[If you checked "NO" above, do not attach a copy of the state contract, or written summary, and do not complete question #17]

17. If the answer to question 16 is "yes", provide the name of the general officer, candidate for general office or political party and the date and amount of each such contribution. DO NOT INCLUDE CONTRIBUTIONS MADE PRIOR TO OCTOBER 2, 1993. List all contributions even if reported on a previously filed affidavit. However, please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below. [If additional sheets are required, please attach them to this affidavit.]

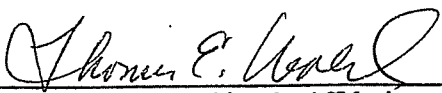
Name of General Officer, Candidate for General Office, or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
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See Attached Schedule

18. What is the total gross amount, in dollars, of contracts entered into during said preceding 24 month period between the contracting party and ALL state agencies? Include all contracts regardless of amount. DO NOT INCLUDE CONTRACTS ENTERED INTO PRIOR TO JULY 27, 1993 OR THOSE WHICH HAVE REACHED THE \$5000 THRESHOLD PRIOR TO OCTOBER 2, 1993. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state. NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report the total gross amount, in dollars, of contracts entered into from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

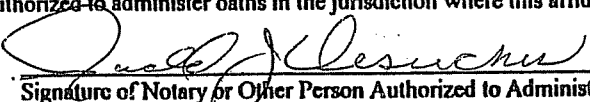
State Agency	Date of Contract	Amount of Contract	Fcin #	P.O.#
RI Resource Recovery	9/22/08	\$801,500	05-0366883	RFP 863
Higher Education, URI	5/19/08	\$225,794	-	6435-A
RI Public Transit Auth.	5/31/07&7/3/2008	\$130,510	-	N/A
RI Dept of Education	1/17/08	\$ 70,000	-	N/A
RI Turnpike&Bridge Auth.	11/21/07	\$ 78,973		Req#1043957

TOTAL GROSS AMOUNT: \$ 1,306,777

  
Signature of Person Making the Affidavit

State of Rhode Island  
County of Providence

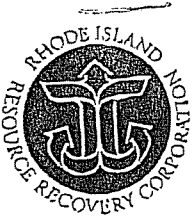
Subscribed and sworn to or affirmed before me, an officer authorized to administer oaths in the jurisdiction where this affidavit was made, on this 8th day of January, 2010

  
Signature of Notary or Other Person Authorized to Administer Oaths

Ronald J. Desrocher  
Printed Name of Notary or Other Person Authorized to Administer Oaths

Name of General Officer, Candidate for General Office, or Political Party PR=Previously Reported	Date of Contribution		updated: '12/31/2009 Amount of Contribution	Name of Contributor	Relationship to Contracting Party
Friends of Ralph Mollis	4/25/2007	PR	\$ 250.00	Michael M. Doyle	Stockholder
Friends of Dan Issa	04/25/2007	PR	\$ 100.00	Michael M. Doyle	Stockholder
Friends of Patrick Lynch	04/25/2007	PR	\$ 300.00	Michael M. Doyle	Stockholder
Friends of Patrick Lynch	05/10/2007	PR	\$ 300.00	Michael M. Doyle	Stockholder
Friends of John Revens	05/14/2007	PR	\$ 150.00	Michael M. Doyle	Stockholder
Friends of Senator Goodwin	05/14/2007	PR	\$ 100.00	Michael M. Doyle	Stockholder
Friends Of Rep. McCauley	05/30/2007	PR	\$ 100.00	Michael M. Doyle	Stockholder
The Cicillini Committee	8-Nov-07	PR	\$ 500.00	Michael M. Doyle	Stockholder
Rudy Guillani Presidential Comm	14-Dec-07	PR	\$ 250.00	Michael M. Doyle	Stockholder
Friends of William Murphy	17-Dec-07	PR	\$ 250.00	Michael M. Doyle	Stockholder
Friends of Patrick Lynch	28-Mar-08	PR	\$ 1,000.00	Michael M. Doyle	Stockholder
Reed Committee	16-Jun-08	PR	\$ 500.00	Michael M. Doyle	Stockholder
DeToy For North Kingston	10-Aug-08	PR	\$ 200.00	Michael M. Doyle	Stockholder
Friends of Patrick Lynch	20-Mar-09		\$ 1,000.00	Michael M. Doyle	Stockholder
The Cicillini Committee	14-Sep-09		\$ 1,000.00	Michael M. Doyle	Stockholder
Friends of Mayor Ciciline	Sep-07	PR	\$ 1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Elizabeth Roberts	Sep-07	PR	\$ 500.00	Patricia A. Horan-Doyle	Stockholder
Friends of Governor Carcieri	Oct-07	PR	\$ 1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Scott Avedesian	Nov-07	PR	\$ 500.00	Patricia A. Horan-Doyle	Stockholder
Friends of Jack Reed	Nov-07	PR	\$ 500.00	Patricia A. Horan-Doyle	Stockholder
Friends of Patrick Lynch	Dec-07	PR	\$ 1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Patrick Lynch	Mar-08	PR	\$ 1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Mayor Ciciline	May-08	PR	\$ 500.00	Patricia A. Horan-Doyle	Stockholder
Friends of Patrick Lynch	Nov-09		\$ 1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Mayor Ciciline	Dec-09		\$ 1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of mayor Avedesian	May, 2009		\$ 1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of frank Caprio	April, 2009		\$ 1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of jack Reed	Mar, 09		\$ 1,000.00	Patricia A. Horan-Doyle	Stockholder
Friends of Governor carcieri	Feb, 09		\$ 1,000.00	Patricia A. Horan-Doyle	Stockholder
Citizens for Fogarty	7/1/2002	PR	\$ 250.00	Thomas E. Walsh	Stockholder
Myrth for Governor	10/22/2002	PR	\$ 500.00	Thomas E. Walsh	Stockholder
Carcieri For Governor	12/09/2002	PR	\$ 500.00	Thomas E. Walsh	Stockholder
Fiends of Terry Hassett	03/09/2005	PR	\$ 150.00	Thomas E. Walsh	Stockholder
Fogarty for Governor Campaign	06/16/2006	PR	\$ 500.00	Thomas E. Walsh	Stockholder
The Reed Committee	06/09/2008	PR	\$ 500.00	Thomas E. Walsh	Stockholder
Friends of Terry Hassett	03/09/2005	PR	\$ 150.00	Jeffrey Patch	Stockholder
			\$ 33,250.00		





December 9, 2009

VIA FAX: (401) 521-0014

65 Shun Pike  
Johnston, RI 02919-4512  
TEL: (401) 942-1430  
FAX: (401) 946-5174  
www.rirc.org

Ms. Beth A. Bailey  
Vice President/Director of Public Relations  
RDW Group, Inc.  
125 Holden Street  
Providence, RI 02908

Dear Ms. Bailey:

RE: EFB No. 488 - Interim Advertising and Public Relations Services

Approval has recently been received to have your firm provide the above-referenced goods and/or services through an exemption from bidding for a contract amount of \$75,000.00.

Please be advised, however, that in conjunction with this procurement, our purchasing procedures require that you provide currently dated Certificate(s) of Insurance and an EEO Compliance Certificate, both of which are described on the attached sheet entitled "Conditions Precedent."

A representative of the Rhode Island EEO Office will be contacting you shortly concerning the information that you will need to provide, and the EEO Certificate will be forwarded to me from that office. All other certifications should be forwarded to my attention as soon as possible. Your prompt compliance with the requirements related to this procurement will ensure timely processing of invoices.

Should you have any questions, please do not hesitate to contact me at 401/942-1430, Extension 240.

Very truly yours,

A handwritten signature in cursive script that reads "Patricia A. Cerbo".

Patricia A. Cerbo  
Purchasing Director

Attachment

State of Rhode Island and Providence Plantations  
**BOARD of ELECTIONS**  
**CAMPAIGN FINANCE DIVISION**  
50 Branch Avenue, Providence Rhode Island 02904  
TEL (401) 222-2345

RHODE ISLAND  
BOARD OF ELECTIONS  
2009 SEP -4 AM 10: 10

**AFFIDAVIT OF STATE VENDOR**  
UNDER CHAPTER 17-27 OF THE GENERAL LAWS OF RHODE ISLAND

**Statement Under Oath of Affirmation**

The undersigned, upon oath or affirmation, does hereby make the following statements and provide the following information

1. Name, address and telephone number of person making this affidavit

**MS. Claire Richards**

Name of Person Making this Affidavit

**39 East Orchard Avenue**

Address1

Address2

**Providence, RI 02906**

City State Zip

**401 831-5237**

Phone

2. Within the 24 month period preceding the date of the contract with the state agency, or with respect to the contracts that are not in writing within the 24 month period preceding the date of notification that the contract has reached the \$5000 threshold, have you contributed an aggregate amount of more than \$250 within a calendar year to any R.I. general officer, candidate for R.I. general office, any member of the general assembly or candidate for general assembly, or any R.I. political party? (includes an individual contracting party, or business entity on behalf of a related party, or a related party reporting on his/her own behalf) NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period.

Yes  No

3. If the answer to question 2 is "yes", provide the name of the R.I. general officer, candidate for R.I. general office, member of the general assembly or candidate for general assembly, or R.I. political party and the date and the amount of each contribution. List all contributions even if reported on a previously filed affidavit. However please indicate the previously reported contributions by the letter "PR" following the date of the contribution listed below.

Name of Officer, Candidate or Political Party	Date of Contribution	Amount of Contribution	Name of Contributor	Relationship to Contracting Party
Ms. Elizabeth Roberts	06/01/2009	500.00	Ms. Claire Richards	I am the contracting party

4. What is the date of execution of the written contract requiring filing of this affidavit 08/21/2009  
[Such contract must be filed with this affidavit]

5. If the contract requiring the filing of this affidavit is not in writing, when were you first notified that the contract had reached the \$5000 threshold?

6. If the contract requiring the filing of this affidavit is not in writing, describe the goods and/or services to be provided, the state agency involved, and the term or duration of such contract. If the term is indefinite, so state.

Agency	Goods / Services	Contract Expire Date
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7. Is this affidavit made by you as an individual or on behalf of a business entity? Individual  Business Entity

8. If on behalf of a business entity, what is the name and nature of the business entity?

Business Name FEIN#

Corporation  Partnership  Sole Proprietorship  Other Business Entity

9. If "Other Business Entity" is checked above what is the nature of such business entity?

10. Are you a state vendor because you are the contracting party in a contract with a state Yes  No
11. If yes, what is the name of the state agency to which you are providing goods and/or Department of Health
12. If the answer to question 10 is "no", are you a state vendor because you are related to a contracting Yes  No
13. If the answer to question 12 is "yes", what is the nature of your relationship with the contracting party?  
I am the contracting party

14. If you are a related party, provide the name and address of the contracting party and the state agency involved in such contract.

Name of Contracting Party \_\_\_\_\_ State Agency \_\_\_\_\_

Address1 \_\_\_\_\_

Address2 \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

15. If you are the contracting party, have you surveyed all related parties and does this affidavit contain all reportable political contributions made by such related parties? Yes  No

16. If the answer to question 15 is "no", have you notified all such parties of the requirements of the law and of their obligation to file this affidavit if they have made reportable political contributions? Yes  No

17. If the answer to question 16 is "yes", provide the names and addresses of related parties who might be required to file this affidavit and the nature of such relationship.

Name	Address	Relationship
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18. What is the total gross amount in dollars, of contracts entered into during the said preceding 24 month period between the contracting period and ALL state agencies? Include all contracts regardless of amount. If you are filing this affidavit as a party related to the contracting party and do not have knowledge of the information required by this question, please so state by marking "Yes." NOTE: If this is a semi-annual filing (i.e. January 1 to June 30 or July 1 to December 31), you must report contributions made from the date of execution of the last contract entered into during such semi-annual period to the date which is 24 months prior to the first day of the same semi-annual period. Yes  No

State Agency	Date of Contract	Amount of Contract	FEIN #	P.O. #
Governor's Office	05/23/2009	up to { 10,000.00	None	1138926
<b>Total Gross Amount:</b>		10,000.00		

State of: RI  
County of: Providence

Clare Richards  
Signature of Person making the Affidavit

Subscribed and sworn to or Affirmed before me, an official authorized to administer oaths in the jurisdiction where this affidavit was made, on this

1st day of September 2009  
Christine DiFilippo 8/28/2011  
Signature of Notary or Other Person Authorized to Administer

Christine DiFilippo  
Printed Name of Notary or Other Person Authorized to Administer Oaths