



KALASALINGAM
ACADEMY OF RESEARCH AND EDUCATION
(DEEMED TO BE UNIVERSITY)

Under sec. 3 of UGC Act 1956. Accredited by NAAC with "A" Grade

Anand Nagar, Krishnankoil - 626126. Srivilliputtur (Via), Virudhunagar (Dt), Tamil Nadu | info@kalasalingam.ac.in | www.kalasalingam.ac.in



ADMISSION PROSPECTUS

Doctor of Philosophy



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Doctor of Philosophy

Ph.D. Rules and Regulations

(Revised Regulations effective from 2023)

**University Grants Commission (Minimum Standards and
Procedures for Award of Ph.D. Degree)**

Regulations, 2022



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VISION

To be a University of Excellence of International Repute in Education and Research.

MISSION

1. To provide a scholarly teaching-learning ambience which results in creating graduates equipped with skills and acumen to solve real-life problems.
2. To promote research and create knowledge for human welfare, rural and societal development.
3. To nurture entrepreneurial ambition, industrial and societal connect by creating an environment through which innovators and leaders emerge.

Message



“Illyavallal” Dr. K. Sridharan

Chancellor

A warm welcome to Kalasalingam Academy of Research and Education (KARE). Even though the Institution is built in a village, on campus a multicultural atmosphere prevails. Students speaking different languages, professing differing worship patterns, following diverse cultural practices—all make the campus a truly multinational one. You have a whopping list of programmes tailor-made for you. This includes Engineering, Architecture, Arts, Sciences, Business Management and Commerce. These programmes are offered at the Undergraduate and Postgraduate levels. We have an exclusive Centre for Research, which we call International Research Centre; it is equipped with sophisticated machinery and material. Hundreds of full-time scholars are actively involved in research; I wish to humbly state that only a committed institution can offer such a facility. We are particular that the research findings are passed on to the nearby industries and academia. We trust that all your career dreams and aspirations will be fulfilled here. We have an accomplished line of faculty well-trained in their areas and also imbued with teaching skills. Each of our hostels is a home away from home. KARE provides 'Seed Money Grants' to the young faculty members to encourage research. Monetary incentives are given to those who attend National, International conferences and publish research papers in Journals. Fellowships with a monthly stipend of Rs.15,000/- 18,000/- per month are provided to each full-time Ph.D. research scholar. All our efforts to promote research have started yielding fruits. The University has H-Index of 83 with average of 800 publications per year. The University also receives research grants from external funding agencies which strengthens our research activities. I am happy to note that the KARE is bringing out an exclusive Prospectus for Ph.D. research program.

CHANCELLOR

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Note: Ph.D. Regulations and Other Forms related to Research is available at our website: <https://kalasalingam.ac.in/research-downloads/>

To Apply for Ph.D. in KARE - Visit the Web Link
<http://research.kalasalingam.ac.in/> and Apply Before the Deadline.

PREAMBLE

The Degree of Doctor of Philosophy (Ph.D.) is awarded to a candidate who has submitted a thesis on the basis of original, unpublished and independent research in any discipline or involving more than one discipline (inter-disciplinary), that makes a contribution to the advancement of knowledge, which is approved by the Board of Examiners as required.

1. Research Boards:

1.1. University Research Board

The University Research Board (URB) is constituted to formulate the research vision for all the departments in the University and to supervise the research activities of the University. Also, the URB formulates policies and guidelines for the Ph.D. programme and allocation of funds for Research.

The members of the URB are:

- | | |
|------------------------------|---------------|
| ➤ Vice-Chancellor | - Chairman |
| ➤ Director (R&D) | - Secretary |
| ➤ Director (Academic) | - Member |
| ➤ Director (Student Affairs) | - Member |
| ➤ Director (IQAC) | - Member |
| ➤ External Experts | - Two Members |

1.2. Department Research Committee

After the provisional registration of the candidate, Research Advisory Committee (RAC) shall be constituted through the School Research Committee (SRC), with the approval of the Vice-Chancellor for every scholar to review, assess, and monitor the progress of research work time to time.

Research Advisory Committee and its Functions. -

- (1) The research supervisor of the Ph.D. scholar concerned shall be the convener of this committee, and the committee includes an external expert from academic institution/R&D Centres/ Industry and two internal members within school/ from allied departments.

This committee shall have the following responsibilities:

- i. To review the research proposal and finalize the topic of research.
- ii. To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.
- iii. To periodically review and assist in the progress of the research work of the Ph.D. scholar.

(2) Each semester, a Ph.D. scholar shall appear before the Research Advisory Committee to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance.

2. Eligibility Criteria:

The following are eligible to seek admission to the Ph.D. programme:

1. Candidates who have completed:
 - i. A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in Master/PG aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.
 - ii. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/differently abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in UG aggregate or its equivalent grade on a point scale wherever the grading system is followed with valid GATE score or equivalent state level qualification exam.

A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/ Differently-abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

2. Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/ Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the commission from time to time.

3. NRI candidate can apply for Ph.D. program through online portal available in our university website. NRI candidates seeking admission need to write online entrance test conducted by the University and shall be provided admission based on the performance in written test and personal interview through video conference before the Committee. Candidate should submit all the certificates of qualification, experience, copy of passport, VISA and passport size photographs. Candidate should give progress review through video conference during his/her Ph.D. tenure.

4. Any candidates applied for transfer of Ph.D. program from other institutions (national/international) can be considered/admitted for continuing research can submit the letter of request along with the necessary documents (admission form, Course Work completion form, NoC from the organization where he/she pursuing Ph.D.) to office of R&D. The application will be scrutinized and approved by the committee constituted by the Vice-Chancellor.

3. Programme Duration:

1. Candidates joining after B. Tech. for direct Ph. D. or Integrated Ph. D. will have the minimum duration of four years to complete his Ph. D.

- degree, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.
2. For Candidates who joined for the Integrated Ph.D. and willing to exit the program after the first year, will be awarded M. Tech. by research. if the candidate satisfies the norms laid on coursework and preliminary research by the university
 3. For candidates who have joined the Ph.D. Programme after completing M.Tech. the minimum duration for completing the Ph.D. shall be of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.
 4. A maximum of an additional two (2) years can be given through a process of re-registration, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.
 5. Provided further that, female Ph.D. scholars and persons with disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.
 6. Female Ph.D. scholars may be provided maternity leave/child care leave for up to 240 days in the entire duration of the Ph.D. programme.

4. Admission Procedure:

1. The university shall issue the notification for the Ph.D. admissions twice in the academic year
2. The number of available seats in the various specializations under different disciplines will be determined well in advance in accordance with the norms, and the same will be specified on university website/ advertisement.
3. Admission to the Ph.D. programme shall be made using the following methods:

- i. KARE admit students who qualify for fellowship/scholarship in UGC-NET/UGC-CSIR NET/GATE/CEED and similar National level tests based on an interview.
and/or
- ii. KARE admit students through an Entrance Exam conducted by KARE DPET. The entrance exam syllabus shall consist of 50% of research methodology, and 50% shall be subject specific.
- iii. Students who have secured minimum of 50 % marks in the entrance exam are eligible to be called for the interview.
- iv. A relaxation of 5 % marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/Differently Abled Category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the Commission from time to time.
- v. KARE decide the number of eligible students to be called for an interview based on the number of Ph.D. seats available.
- vi. Provided that for the selection of candidates based on a weightage of 70% for the entrance test conducted by KARE and 30 % for the performance in the interview shall be given.
- vii. Research supervisor will be allocated based on the specialization, research interest and preference of the candidates.
- viii. The School Research Committee shall forward the list of provisionally selected candidates to the office of the Director (R&D) along with a copy of the interviewed applications for further approval and enrolment purposes.
- ix. The provisionally selected candidates shall be announced by the office of the Director (R&D) for further instructions and schedules of the Ph.D. programme.
- x. The decision of the University Research Board will be final in selecting candidates for all the Ph.D. programmes.
- xi. The candidates admitted provisionally will be confirmed after the submission of registration form and tuition fees receipt, including other mentioned documents in the letter of admission.

- xii. If it is found at any point of time that a candidate has not fulfilled the norms as stipulated, the University may revoke the admission with the approval of the Vice-Chancellor.
- xiii. The Fees, once paid, will not be refunded under any circumstances.

4.1 University Research Fellowship to Full Time Scholars

The candidates who are selected as full-time scholars will receive a consolidated monthly stipend of Rs.15,000/- to 24,000/- per month. The stipend is for a period of 3 years.

If they discontinue or could not complete the said program, the amount paid to the respective candidate as monthly stipend will be recovered.

5. Allocation of Research Supervisors:

1. Permanent faculty members working as Professor/Associate Professor of KARE with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working as Assistant Professors in KARE with a Ph.D., and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor. Faculty members working/joining at KARE who have completed their Post Doctoral Fellowship shall be recognized as a Research Supervisor without any preliminary requirement of publications. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors. Ph.D. awarded by the university under the supervision of a faculty member who is not an employee of KARE would be in violation of these Regulations.

For Ph.D. scholars working in central government/ state government research institutions, the scientists in such research institutions who are equivalent to Professor/Associate Professor/Assistant Professor can be recognized as supervisors if they fulfil the above requirements.

Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, KARE may relax the above condition for recognition of a person as research supervisor with reasons recorded in writing with the approval of the URB

Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority.

Adjunct faculty members shall not act as research supervisors and can only act as co-supervisors.

2. In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor from outside the Department/ School /Centre / College/ University may be appointed.
3. An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time.
4. In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the any other higher educational institution to which the scholar intends to relocate, provided all the other conditions in these regulations are followed, and the research work does not pertain to a project sanctioned to the parent institution/supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.
5. Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

5.1 Change of Research Supervisor:

If supervisor left the institution, he can act as a joint supervisor with the approval of SRC or appointment of new supervisor based on the scholar preference and specialization shall be recommended to the Director (R&D) as per norms.

As a matter of policy of KARE, the change of research supervisor when the supervisor is working in institution shall not be permitted. In exceptional cases

such changes may be considered with approval of committee nominated by the Vice-Chancellor.

5.2. Appointment of Joint Supervisor for the Candidate whose supervisor is on Long Leave

If any supervisor goes on leave for more than six months, it is mandatory to appoint a Joint supervisor. The Joint-Supervisor should be a regular faculty of Kalasalingam Academy of Research and Education.

5.3 Appointment of Supervisor for a Candidate whose supervisor has Left the University

If the candidate has completed all the four-course works prescribed by the RAC, published / accepted one paper, and the RAC recommends based on the progress of the research work, the candidate may be permitted to work under the same supervisor, provided the supervisor is willing to supervise the candidate. At the same time, a co-supervisor should be appointed from the University.

In case, the original supervisor is not willing to supervise the candidate or the candidate has not satisfied the above conditions, the RAC will appoint a new supervisor based on the availability of slots and the area of the research of the candidate. If there is no slot with any of the supervisors belonging to the department, the DRC may recommend a supervisor from an allied department.

6. Registration:

The selected candidate has to register and pay registration fee prescribed by the University every semester till the submission of the thesis.

7. Course Work:

Credit Requirements, number, duration, syllabus, minimum standards for completion, etc.

1. The Credit requirement for the Ph.D. coursework (other than direct Ph.D. and integrated Ph.D. candidates) is a minimum of 12 credits,

including a “Research and Publication Ethics” course as notified by UGC vide D.O. No. F.1- 1/2018(Journal/CARE) in 2019 and a research methodology course. The Research Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme.

2. Any candidate joining for Integrated Ph.D. or Ph.D. directly after B.Tech. must complete a minimum of 27 Credits including, a course on research methodology (4 Credits) and a course on research and publication ethics (2 Credits).

Candidate joined for integrated Ph.D. must submit a Report containing the brief explanation of the work completed at the end of the first year with its outcome to be published in Scopus Indexed Journal/Conference. These courses shall be treated as coursework for comprehensive examination if the candidate is continuing to proceed for research after first year. If the candidate is exiting after first year, the courses work completed, and the research report along with the publications shall be the requirement for awarding M.Tech. by Research.

3. All Ph.D. scholars, irrespective of discipline, shall be trained in teaching / pedagogy/ writing related to their chosen Ph.D. topic during their doctoral period. Full time Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.
4. The Controller of Examinations shall issue the grade card to the scholars upon successful completion of the coursework.

7.2. Duration

1. Candidates joining after B. Tech. for direct Ph. D. or Integrated Ph. D. will have the minimum duration of four years to complete his Ph. D. degree, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.
2. For Candidates who joined for the Integrated Ph.D. and willing to exit the program after the first year, will be awarded M. Tech. by research.

if the candidate satisfies the norms laid on coursework and preliminary research by the university

3. For candidates who have joined the Ph.D. Programme after completing M.Tech. the minimum duration for completing the Ph.D. shall be of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.
4. A maximum of an additional two (2) years can be given through a process of re-registration, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.
5. Provided further that, female Ph.D. scholars and persons with disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.
6. Female Ph.D. scholars may be provided maternity leave/child care leave for up to 240 days in the entire duration of the Ph.D. programme.

7.3. Marks / Grade of Course work

A Ph.D. scholar has to obtain a minimum of 55% of marks or its equivalent grade prescribed by the University within the stipulated period in order to be eligible to continue in the programme and submit the dissertation/thesis.

8. Comprehensive Oral Examination (Viva-Voce):

All registered scholars are eligible to appear for a comprehensive viva-voce after successful completion of their coursework not less than three semesters from the date of registration. The comprehensive viva-voce shall be conducted by the RAC. If the performance of the research scholar in the comprehensive viva-voce is satisfactory, his/her provisional registration shall be confirmed. If the performance is unsatisfactory, the candidate shall be given an extension and another chance to appear for the comprehensive viva-voce within six months.

In case, the research scholar fails to successfully complete the comprehensive viva-voce within two years from the date of provisional registration, the admission of such scholar shall be cancelled. After the successful completion of comprehensive viva-voce, the university shall issue a notification to the scholar regarding the confirmation of registration. All the Ph.D. registrations shall remain provisional until successful completion of comprehensive viva-voce.

9. Functions of Research Advisory Committee (RAC):

After the provisional registration of the candidate, Research Advisory Committee (RAC) shall be constituted through the School Research Committee (SRC), with the approval of the Vice-Chancellor for every scholar to review, assess, and monitor the progress of research work time to time.

Research Advisory Committee and its Functions. -

- (1) The research supervisor of the Ph.D. scholar concerned shall be the convener of this committee, and the committee includes an external expert from academic institution/R&D Centres/ Industry and two internal members within school/ from allied departments.

This committee shall have the following responsibilities:

- i. To review the research proposal and finalize the topic of research.
 - ii. To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.
 - iii. To periodically review and assist in the progress of the research work of the Ph.D. scholar.
- (2) Each semester, a Ph.D. scholar shall appear before the Research Advisory Committee to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The Research Advisory Committee shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the Office of Director (R&D). A copy of such recommendations shall also be provided to the Ph.D. scholar.

- (3) In case the progress of the Ph.D. scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the Research Advisory Committee may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme.
- (4) If there is a change in external member or if any internal member has left the institution, the reconstitution of the RAC shall be made by the office of the Director (R&D) after receiving the request for reconstitution from supervisor through the SRC chairman. The reason for reconstitution shall also be recorded in SRC meeting held prior to the request.

10. Evaluation and Assessment Methods & Minimum Standards / Credits for Award of the Degree, etc.:

1. Upon satisfactory completion of comprehensive viva-voce, RAC ensures the quality publications based on their research work and recommend for further approval for Synopsis and Thesis submission to the office of Director (Research and Development).
2. The Office of Director (R&D) will verify the publication details and permit the research scholar to submit the synopsis and Thesis.

10.1 Plagiarism Screening

1. Prior to the submission of Synopsis/thesis, the complete work must be subjected to plagiarism check with the facility '*ithenticate*' available at the Office of Director (R&D) or any other facility equivalent to the same. Further, while submitting the thesis for evaluation, the thesis shall have an undertaking from the research scholar and a certificate from the research supervisor, Chairman- Departmental Academic Integrity Panel (DAIP) and Chairman- Institutional Academic Integrity Panel (IAIP) certifying the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution.

2. If a research scholar is found to have been involved in acts of plagiarism, after thorough enquiry by the DAIP and IAIP, penalty shall be imposed considering the severity of the plagiarism. (Following UGC Regulations for Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions, 2018)

10.2 Synopsis Submission

1. Prior to the submission of synopsis, the Scholar shall make a Pre-Synopsis (Ph.D. Seminar) presentation of complete doctoral research work in a colloquium open for all faculty members and other research scholars.
2. Research scholar of Engineering/ Technology/ Physics/ Chemistry shall be permitted to submit synopsis if he/she has at least three papers (published and indexed) in SCOPUS indexed journals/ conference proceedings (SCOPUS indexed), with at least one of them under the category of SCI Journals with Impact factor.
3. Research scholar of Architecture/Social Works/ Mathematics/ MBA/ English shall be permitted to submit synopsis if he/she has at least two papers (published and indexed) in SCOPUS indexed journals/ conference proceedings (SCOPUS indexed), and one paper in a journal approved by UGC CARE.
4. The feedback and comments obtained from them shall suitably be incorporated and synopsis must be approved in the RAC.
5. After satisfying the above criteria/incorporation of comments, one hard copy of the synopsis document and one e-copy (in PDF Format) of the same shall be submitted to the Office of Director (R&D) in addition to the other filled Proforma documents available in the University website.

10.3 Panel of Examiners

1. While submitting the synopsis, the research supervisor shall submit a panel of six external examiners: consisting three of them from within the country while the other three from abroad. Such examiner(s) shall be an academician or established researcher

with a good record of scholarly publications in the field of Research.

2. The Vice-Chancellor shall consider these names and constitute a Board of two external examiners (one from each category mentioned in the above point). Besides, the Vice-Chancellor may also nominate the examiners from outside the panel.

10.4 Thesis Submission

1. One copy of the thesis along with an e-copy prepared in accordance with the format and specification prescribed shall be submitted to the office of Director (R&D). Thesis shall be submitted within three months from the date of the synopsis submission.
2. Under extraordinary circumstances, extension for submission of the thesis shall be permitted not more than a period of six months upon approval of the Director (R&D). In such cases, the late fee shall be paid as applicable.

10.5 Thesis Evaluation

1. The Ph.D. thesis submitted by the research scholar shall be evaluated by two external examiners (one from within India and the other from abroad), from the board of panel members constituted by the Vice-Chancellor. Both the examiners shall send the reports to the Director (R&D) within two months from the date of receipt of the Thesis.
2. The external examiners will include an overall assessment in their report placing the thesis in any one of the following categories:
 - I. Recommend acceptance of the thesis in the present form and further based on the standard attained, classify the work as HIGHLY COMMENDED / COMMENDED.
 - II. Recommend acceptance of the thesis. However, the scholar shall incorporate the corrections indicated in the detailed report and place the corrected copy to the viva-voce examination board, but the corrected thesis need not be sent to the examiner again for evaluation.

- III. Revise the thesis by incorporating the suggested modifications in the thesis and the corrected thesis along with the scholar's clarifications shall be sent to the respective examiner for revaluation.
- IV. Reject the thesis for the reasons set out in the detailed report.

10.6 Decision Based on Thesis Report

- (1) On receipt of the reports from the examiners, the following procedure shall be adopted for the award of Ph.D. Degree
 - a) If both the examiners recommend the award of the Ph.D. Degree, the thesis shall be provisionally accepted. Any minor revision, modification, etc., suggested by the examiners shall be carried out and approved by the RAC before the oral examination.
 - b) If any examiner recommends revision and re-submission of the Ph.D. and desires to evaluate it again, the scholar shall be permitted to revise and resubmit the thesis within 6 months, which shall be referred to the same examiner, for offering his/her final recommendation on it. In such case, the examiner should offer his/her specific comments.
 - c) In case the candidate fails to submit the revised form of the thesis within six months, the process of thesis evaluation gets cancelled. Then, the candidate shall submit the revised synopsis again to start the process of thesis evaluation by paying the prescribed fee.
 - d) If one external examiner recommends the award of the Ph.D. Degree while the other external examiner recommends rejection, then the thesis shall be referred to a third examiner to be nominated by the Vice-Chancellor. The majority assessment of the three shall decide the status of the thesis.
 - e) If both the external examiners recommend rejection with specific valid comments, the Ph.D. thesis shall be rejected, and the scholar declared ineligible for award of Degree and the registration of the candidate cancelled.

10.7 Viva-Voce

1. Upon receiving the thesis evaluation reports from both the examiners, the office of Director (R&D) shall convey the decision to the research supervisor(s) along with the copies of the reports received from the examiners.
2. The Director (R&D) shall forward the reports to the supervisor to convene the RAC meeting within a month to verify the incorporation of all the comments and suggestions given by the Examiners and for further recommendations.
3. Upon submitting the revised thesis to the office of Director (R&D), the viva voce board is constituted with the approval of the Vice Chancellor. The composition of the viva -voce panel shall be:
 - a. Research Supervisor - Convener
 - b. One of the examiners who evaluated the thesis - Member
4. The circular for the same in the prescribed format shall be communicated to all the faculty members/ research scholars/ other departments/ other institutions, at least one week prior to the viva – voce Examination.
5. The viva-voce shall be open to all faculty members of the university, other research scholars and other interested experts/researchers both within and outside the university. Examiners/Attendees may seek clarification/questions, if any, to be answered by the candidate at the time of viva-voce.
6. If the performance of the scholar in the viva-voce examination is reported as unsatisfactory, the scholar may be given an opportunity but not later than six months from the date of the viva-voce examination.
7. If the performance of the candidate in the viva-voce examination on the second occasion also is reported to be unsatisfactory, the Vice-Chancellor, if he/she deems it necessary, shall refer the remarks of the viva-voce examination board, along with the thesis and comments of the examiners, to a committee constituted by the

Vice-Chancellor. The decision of the Vice-Chancellor on the recommendations of the committee shall be final.

8. In case of scholars who are abroad and unable to be present physically, viva- voce examination may be held through video-conferencing mode with prior approval of the Vice-Chancellor.
9. After successful completion of the viva-voce, the research supervisor shall prepare and forward the minutes of the meeting along with duly corrected versions of both the hard and the soft copies of the thesis as well as giving specific recommendations for the award of the Ph.D. degree to the office of Director (R&D).
10. Upon receiving the final version of thesis along with other necessary documents, the Director (R&D) shall give “No Objection Certificate” to the Office of Controller of Examinations for declaration of result with the prior approval of Vice-Chancellor.

10.8 Award of Ph.D. Degree

1. M. Tech. by Research

Candidates enrolled in Integrated Ph. D shall be awarded with M. Tech. by Research if he/she wants to exit at the end of first year completing the course requirement and project work as per the regulations.

2. Ph.D.

1. Upon submission of “No Objection Certificate” from the office of Research and Development, the university shall issue a Ph.D. provisional certificate to the candidate from the office of the Controller of Examinations.
2. The university shall award the Ph.D. degree certificate to the candidate during the upcoming convocation based on the recommendation by the Board of Management of KARE.
3. However, for those who have qualified for the Ph.D. Degree in inter/multidisciplinary, the University may issue the degree in the respective discipline based on the recommendations of the Research Advisory Committee (RAC).

11. Depository With INFLIBNET

After the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the Institution concerned shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to the public.

12. Issue of Provisional Certificate

The provisional Certificate shall include a certification that the Ph.D. degree has been awarded in accordance with the provision of the UGC regulations.

13. Fees To Be Paid

Fee is to be paid at the time of admission and subsequently the research scholars have to pay the Research fees in the starting of every semester till submission of thesis.

14. Cancellation of Registration:

1. In two successive reviews, if the RAC decides that the progress of the Scholar is unsatisfactory, it shall record the reasons for the same and suggest corrective measures. If the Scholar fails to implement these corrective measures, the RAC may recommend to the Director (R&D) with specific reasons for cancellation of the registration of the research scholar.
2. Before recommending a cancellation of Ph.D. registration, the RAC should issue a notice to the candidate for his/her explanation. If the explanation is not agreed by the RAC, the provisional registration of the candidate shall stand cancelled.
3. A scholar who voluntarily withdraw/cancel his/her registration for any reason thereof, shall submit an request application through the proper channel to the Director (R&D) and university whose decision in such matters shall be deemed as final.
4. The registration of the Ph.D. scholar shall be cancelled if he/she has not paid the semester fees for two consecutive semesters/not attended two consecutive reviews.

5. The registration of the Ph.D. scholar shall be cancelled if he/she has exceeded the maximum programme duration stipulated for a Ph.D. programme.
6. The registration of the Ph.D. scholar shall be immediately cancelled upon involvement in any act violation leading to a defamation and allegations to the university.

15. Applicability of the Provision

These regulations will come into effect from July 2016 session. However, in the case of candidates registered for the Ph.D. programme prior to July 2016, the previous regulations with appropriate modifications shall be applicable wherever necessary.

Annexures

Date:

From

To

The Registrar
Kalasalingam Academy of Research and Education
Anand Nagar, Krishnankoil: 626 126.

Respected Sir,

Sub: Appointment of _____ in the
Department of _____ joining report
Submitted – reg.

Ref: Your selection intimation letter/mail dated _____

With reference to your letter, I hereby report for duty as
_____ in the Department of
_____ from _____ F.N.

Thanking you,

Yours sincerely,

Forwarded to Director (R&D)

Forwarded to Registrar

DRC Chairman

Director (R&D)

UNDERTAKING WITH THE MANAGEMENT OF THE KALASALINGAM
ACADEMY OF RESEARCH AND EDUCATION, ANAND NAGAR,
KRISHNANKOIL-626 126. SRIVILLIPUTTUR TALUK.
(For Research Scholars)

I,, have been appointed as RESEARCH SCHOLAR in the Department of, Kalasalingam Academy of Research and Education, hereby solemnly declare and affirm to abide by the following conditions:

1. I shall work sincerely and put forth my best to publish at least 3 Research Papers in SCI journals with impact factor.
2. I will draw only the salary that is agreed at the time of Interview and specified in my appointment order.
3. I shall not leave without completing my Ph.D. unless my services are terminated by the Administration.
4. If I opt to leave this scheme without completing the research work, I agree to refund the entire fellowship amount received during the period.
5. The Management may terminate my services without assigning any reason after giving one month notice.
6. I shall take up extra responsibilities assigned by the Vice Chancellor/Superiors pertaining to the University without additional remuneration.
7. I shall produce all my original certificates anytime for verification.
8. I shall abide by all the rules and regulations of the University and agree to maintain the tone and discipline of the University and be a loyal worker for the University.
9. In case, if I am found guilty of working against the interest of the Institution, through words or deeds my services can be terminated immediately without assigning any reason, whatsoever.
10. My fellowship is liable to be terminated under any of the following circumstances.
 - a) My academic performance as assessed by the Department Research Committee is not satisfactory.
 - b) Management found myself involved in any act of misconduct detrimental to the interest of the University.
 - c) Publication of my work in any substandard and paid journals.

To this I put my signature.

Signature

Countersigned.

REGISTRAR

KALASALINGAM ACADEMY OF RESEARCH AND EDUCATION
(Deemed to be University under Sec. 3 of UGC Act 1956)
Anand Nagr, Krishnankoil – 626126.

ISSUE OF ID CARD

Name of the Scholar	
Designation	RESEARCH SCHOLAR
Department	
Date of Joining	
Date of Birth	
Blood Group	
Residential Address	
Contact Number	
Hosteller / College Transport / Day scholar	
Library Registration Number	

For Office Use Only

Date:

To

ID Card in-charge:
Please Issue ID Card.

HEAD OF THE DEPARTMENT

REGISTRAR