

FY 2022-2023 Governor's Budget Program Addendum

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LEGISLATIVE MANAGEMENT

AGENCY DESCRIPTION

The Joint Committee on Legislative Management is composed of the top legislative leaders from each political party. The committee is responsible for overseeing and coordinating all administrative functions and support services for the legislative branch of state government. These services include: management of the General Assembly budget; maintenance, supervision and security of the State Capitol Building, the Legislative Office Building, the Old State House and their respective grounds and parking facilities; supervision and

coordination of all aspects of personnel administration for both permanent and temporary employees of the General Assembly; preparation of bills, amendments, bill analyses, fiscal notes, journals, calendars, bulletins and other documents and support information attendant to the legislative process; and supervision over all capital programs involving the renovation, restoration and repair of the State Capitol Building, the Old State House and the repair and maintenance of the Legislative Office Building and parking garage.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|----------------------------------------------------------------------------------|----------------|----------------|
| • Provide Funding for Various Legislative Support Costs | 95,322 | 4,052 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 1,655,033 |
| • Provide Funding for Building Operations and Premises Maintenance | 89,875 | 104,875 |
| • Adjust Funding to Reflect Requirements for Current Staffing and Wage Increases | -10,025,603 | -9,152,325 |
| • Provide Funding for Technology and Security Upgrades | -104,500 | -77,100 |
| Reductions | FY 2022 | FY 2023 |
| • Annualize FY 2021 Rescissions | -1,000,000 | -1,000,000 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Legislative Operational Services | 30,920,433 | 35,815,634 | 38,100,446 | 35,180,514 | 35,180,514 | 40,713,388 | 36,870,944 | 36,870,944 |
| Legislative Support Services | 9,287,799 | 12,268,069 | 13,817,009 | 12,299,569 | 12,299,569 | 15,261,457 | 12,699,528 | 12,699,528 |
| Agency Management Services | 19,303,743 | 21,803,549 | 28,762,782 | 15,462,263 | 14,462,263 | 29,393,562 | 15,851,315 | 14,851,315 |
| TOTAL Agency Programs | 59,511,975 | 69,887,252 | 80,680,237 | 62,942,346 | 61,942,346 | 85,368,407 | 65,421,787 | 64,421,787 |

Summary of Funding

| | | | | | | | | |
|-----------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 57,435,632 | 67,187,252 | 77,980,237 | 60,242,346 | 59,242,346 | 82,668,407 | 62,721,787 | 61,721,787 |
| Private Funds | 2,076,343 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 |
| Total Agency Programs | 59,511,975 | 69,887,252 | 80,680,237 | 62,942,346 | 61,942,346 | 85,368,407 | 65,421,787 | 64,421,787 |

OPERATIONAL SERVICES

Statutory Reference

C.G.S. Section 2-71.

Statement of Need and Program Objectives

To provide a structure through which all proposed legislation must travel, consisting of the House and Senate Clerks' offices, the various committees of cognizance and the majority and minority offices of the Senate and House.

Program Description

The Clerk of the Senate and the Clerk of the House of Representatives are elected on the opening session day of the two-year legislative

term. The Clerks' offices are charged with the publication of all official documents of the General Assembly.

The Majority and Minority Offices of both chambers employ partisan professional staff. These offices, referred to as caucus staffs, are responsible for serving the legislators of their respective parties in their respective chambers. Among the services normally provided to legislators by the caucus staff are research, constituent casework, press releases, speech writing and basic clerical assistance.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|---------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Bills & Resolutions Filed | 5,063 | 4,985 | 4,204 | 5,885 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 23,289,225 | 28,063,865 | 29,657,659 | 27,385,923 | 27,385,923 | 32,905,649 | 29,187,753 | 29,187,753 |
| Other Expenses | 3,735,472 | 2,731,517 | 2,666,517 | 2,286,517 | 2,286,517 | 2,731,517 | 2,756,517 | 2,756,517 |
| Capital Outlay | | | | | | | | |
| Equipment | 0 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| Other Current Expenses | | | | | | | | |
| Interim Salary/Caucus Offices | 677,642 | 536,102 | 741,200 | 536,102 | 536,102 | 572,552 | 536,102 | 536,102 |
| Redistricting | 31,582 | 475,000 | 950,000 | 950,000 | 950,000 | 350,000 | 350,000 | 350,000 |
| Old State House | 521,166 | 600,000 | 650,000 | 600,000 | 600,000 | 700,000 | 600,000 | 600,000 |
| Total--Other Current Expenses | 1,230,390 | 1,611,102 | 2,341,200 | 2,086,102 | 2,086,102 | 1,622,552 | 1,486,102 | 1,486,102 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Interstate Conference Fund | 405,253 | 425,400 | 438,222 | 438,222 | 438,222 | 456,822 | 456,822 | 456,822 |
| New England Board of Higher Education | 183,750 | 183,750 | 196,848 | 183,750 | 183,750 | 196,848 | 183,750 | 183,750 |
| Pmts to Other Than Local Govts | 589,003 | 609,150 | 635,070 | 621,972 | 621,972 | 653,670 | 640,572 | 640,572 |
| Total-General Fund | 28,844,090 | 33,115,634 | 35,400,446 | 32,480,514 | 32,480,514 | 38,013,388 | 34,170,944 | 34,170,944 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 2,076,343 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 |
| Total - All Funds | 30,920,433 | 35,815,634 | 38,100,446 | 35,180,514 | 35,180,514 | 40,713,388 | 36,870,944 | 36,870,944 |

SUPPORT SERVICES**Statutory Reference**

C.G.S. Section 2-71.

Statement of Need and Program Objectives

To provide the General Assembly and its individual members with information services through professional non-partisan staff with expertise in law, fiscal analysis, and research.

Program Description

The legal staff of the Legislative Commissioners' Office (LCO) provides bill drafting, legal research and other legal services to individual legislators, the law revision commission, the joint standing committees of the General Assembly and state officers and agencies. All bills and resolutions favorably reported by committees must be reviewed by the LCO for statutory consistency, clarity and constitutionality before they are voted upon by the General Assembly. The LCO staff also prepares all amendments to bills and resolutions.

The Office of Legislative Research (OLR) provides four major services to General Assembly members: committee staffing, research, bill

analyses and public act summaries. The office responds to questions from individual legislators. The office provides a comprehensive written analysis of every bill that is favorably reported to the Senate or House.

The Office of Fiscal Analysis (OFA) serves as the fiscal support staff to the Committees on Finance, Revenue and Bonding and Appropriations in their respective reviews and analyses of the Governor's recommended budget. Services provided by OFA cover revenues, appropriations, bond authorizations and federal aid programs. OFA assists the two fiscal committees in analyzing the fiscal impact of all individual money bills which may be referred to them. The office prepares fiscal notes which are appended to the file copy of all favorably reported bills and proposed amendments. The office provides information to individual legislators in areas concerning taxes, expenditures and other budgetary matters. A detailed report on the state budget adopted by the General Assembly is prepared by OFA at the end of each session.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-----------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Public & Special Acts | 182 | 2,900 | 250 | 2,900 |
| Proposed Bills & Resolutions | 14 | 280 | 250 | 280 |
| Fully Drafted Bills & Resolutions | 2,532 | 2,700 | 2,200 | 2,700 |
| Files & Reprints Prepared | 6 | 900 | 750 | 900 |
| Amendments Drafted | 46 | 3,200 | 2,500 | 3,200 |
| Favorables | 121 | 1,250 | 100 | 1,250 |
| Strike Everything Amendments | 11 | 580 | 450 | 580 |
| Fiscal Notes Completed | 230 | 3,000 | 2,000 | 3,000 |
| Formal Research Requests | 1,065 | 1,172 | 1,278 | 1,390 |
| Bill Analysis | 26 | 600 | 820 | 1,016 |
| Public Act Summaries | 203 | 105 | 230 | 268 |
| Information Requests of Library | 2,008 | 2,008 | 2,008 | 2,008 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 8,966,450 | 11,098,989 | 12,996,429 | 11,098,989 | 11,098,989 | 14,035,877 | 11,498,948 | 11,498,948 |
| Other Expenses | 321,349 | 1,149,080 | 800,580 | 1,180,580 | 1,180,580 | 1,205,580 | 1,180,580 | 1,180,580 |
| Capital Outlay | | | | | | | | |
| Equipment | 0 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 |
| Total-General Fund | 9,287,799 | 12,268,069 | 13,817,009 | 12,299,569 | 12,299,569 | 15,261,457 | 12,699,528 | 12,699,528 |
| Total - All Funds | 9,287,799 | 12,268,069 | 13,817,009 | 12,299,569 | 12,299,569 | 15,261,457 | 12,699,528 | 12,699,528 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 2-71.

Statement of Need and Program Objectives

To provide management for the General Assembly by assisting in the formulation of policy, developing and controlling the General Assembly budget and staff operations, providing human resources and information technology services and buildings and grounds management and security.

Program Description

The office provides administrative management for the legislative branch and supports the General Assembly's administrative committee with information on which to base policy decisions.

The Financial Unit coordinates the preparation and management of the legislature's biennial budgets. The Human Resources Unit is responsible for the preparation of payrolls and administration of the personnel guidelines of the legislative branch. The Information Technology Unit provides planning, management and coordination of all information processing and hardware support. The Facilities Management Unit provides a clean, safe and healthy environment for employees, legislators, and the visiting public. The State Capitol Police office provides twenty-four hour security coverage for the Capitol Building, the Legislative Office Building, the Old State House, the parking facilities, and surrounding grounds.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |

| | | | | |
|---------------------------------------------------|----|----|----|----|
| Management Staff % of Total Staffing % | 18 | 18 | 18 | 18 |
| Management Expenditures % of Total Expenditures % | 32 | 33 | 33 | 33 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 8,417,664 | 8,837,146 | 12,755,379 | 1,510,485 | 1,510,485 | 13,752,259 | 1,857,137 | 1,857,137 |
| Other Expenses | 10,613,225 | 10,049,403 | 11,890,403 | 11,034,778 | 11,034,778 | 12,440,303 | 11,077,178 | 11,077,178 |
| Capital Outlay | | | | | | | | |
| Equipment | 272,854 | 1,052,000 | 2,252,000 | 1,052,000 | 802,000 | 1,336,000 | 1,052,000 | 802,000 |
| Other Current Expenses | | | | | | | | |
| Flag Restoration | 0 | 65,000 | 65,000 | 65,000 | 65,000 | 65,000 | 65,000 | 65,000 |
| Minor Capital Improvements | 0 | 1,800,000 | 1,800,000 | 1,800,000 | 1,050,000 | 1,800,000 | 1,800,000 | 1,050,000 |
| Other Current Expenses | 0 | 1,865,000 | 1,865,000 | 1,865,000 | 1,115,000 | 1,865,000 | 1,865,000 | 1,115,000 |
| Total-General Fund | 19,303,743 | 21,803,549 | 28,762,782 | 15,462,263 | 14,462,263 | 29,393,562 | 15,851,315 | 14,851,315 |
| Total - All Funds | 19,303,743 | 21,803,549 | 28,762,782 | 15,462,263 | 14,462,263 | 29,393,562 | 15,851,315 | 14,851,315 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 31,282,494 | 36,219,464 | 43,306,873 | 28,892,803 | 48,897,783 | 30,547,836 |
| Salaries & Wages-Temporary | 7,168,681 | 8,588,536 | 7,889,594 | 7,889,594 | 8,762,872 | 8,762,872 |
| Overtime | 495,361 | 475,000 | 475,000 | 475,000 | 475,000 | 475,000 |
| Accumulated Leave | 408,676 | 1,200,000 | 2,200,000 | 1,200,000 | 1,000,000 | 1,200,000 |
| Other Salaries & Wages | 38,933 | 45,000 | 45,000 | 45,000 | 45,000 | 45,000 |
| Emp Allow & Reportable Pymnts | 0 | 0 | 21,000 | 21,000 | 41,130 | 41,130 |
| Other | 1,279,194 | 1,472,000 | 1,472,000 | 1,472,000 | 1,472,000 | 1,472,000 |
| TOTAL - Personal Services-Personal Services | 40,673,339 | 48,000,000 | 55,409,467 | 39,995,397 | 60,693,785 | 42,543,838 |
| Other Expenses | | | | | | |
| Chilled Water | 276,438 | 375,000 | 375,000 | 375,000 | 375,000 | 375,000 |
| Clothing & Footwear | 0 | 0 | 100,000 | 0 | 0 | 0 |
| Communications | 1,090,698 | 586,250 | 586,250 | 586,250 | 586,250 | 586,250 |
| Diesel-Generator | 1,684 | 0 | 0 | 0 | 0 | 0 |
| Electricity | 755,893 | 916,000 | 916,000 | 916,000 | 916,000 | 916,000 |
| Emp Allow & Reportable Pymnts | 0 | 0 | 16,500 | 0 | 1,500 | 0 |
| Employee Expenses | 92,681 | 116,325 | 116,325 | 116,325 | 116,325 | 116,325 |
| Employee Travel | 49,044 | 151,450 | 182,950 | 182,950 | 182,950 | 182,950 |
| Equipment Rental and Maintenance | 338,958 | 382,450 | 382,450 | 382,450 | 382,450 | 382,450 |
| Food And Beverages | 19,289 | 45,000 | 45,000 | 45,000 | 45,000 | 45,000 |
| Hot Water | 230,702 | 375,000 | 375,000 | 375,000 | 375,000 | 375,000 |
| Information Technology | 1,306,174 | 1,339,900 | 1,339,900 | 880,900 | 1,339,900 | 1,350,900 |
| IT Data Services | 0 | 0 | 30,000 | 30,000 | 30,000 | 30,000 |
| IT Hardware Maint & Support | 0 | 0 | 200,000 | 0 | 697,500 | 0 |

| | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|
| Law Enfor & Security Supplies | 0 | 0 | -134,500 | 0 | -107,100 | 0 |
| Motor Veh Parts-Repair & Maint | 0 | 0 | -14,000 | 0 | -14,000 | 0 |
| Motor Vehicle Costs | 52,164 | 102,250 | 102,250 | 102,250 | 102,250 | 102,250 |
| Motor Vehicle Rental | 0 | 0 | 7,000 | 7,000 | 7,000 | 7,000 |
| Natural Gas | 88,214 | 68,000 | 68,000 | 68,000 | 68,000 | 68,000 |
| Other Services | 3,619,341 | 2,317,542 | 3,317,542 | 3,183,042 | 3,317,542 | 3,210,442 |
| Premises Cleaning Services | 0 | 0 | 125,000 | 0 | 0 | 0 |
| Premises Expenses | 4,775,500 | 5,806,483 | 5,806,483 | 5,806,483 | 5,806,483 | 5,806,483 |
| Premises Rent Expense-Landlord | 91,000 | 91,000 | 91,000 | 91,000 | 91,000 | 91,000 |
| Premises Repair/Maint Services | 0 | 0 | 250,000 | 85,875 | 500,000 | 85,875 |
| Printing & Binding | 0 | 0 | -395,000 | 0 | 25,000 | 0 |
| Professional Services | 331,860 | 353,725 | 464,725 | 364,725 | 479,725 | 379,725 |
| Purchased Commodities | 1,468,352 | 813,525 | 813,525 | 813,525 | 813,525 | 813,525 |
| Telephone Repair & Maintenance | 0 | 0 | 150,000 | 0 | 150,000 | 0 |
| Translation & Interpretation | 0 | 0 | -50,000 | 0 | 0 | 0 |
| Water | 82,054 | 90,100 | 90,100 | 90,100 | 90,100 | 90,100 |
| TOTAL-Other Expenses | 14,670,046 | 13,930,000 | 15,357,500 | 14,501,875 | 16,377,400 | 15,014,275 |

Equipment

| | | | | | | |
|-----------------|---------|-----------|-----------|---------|-----------|---------|
| Equipment | 272,854 | 1,172,000 | 2,372,000 | 922,000 | 1,456,000 | 922,000 |
| TOTAL-Equipment | 272,854 | 1,172,000 | 2,372,000 | 922,000 | 1,456,000 | 922,000 |

Other Current Expenses

| | | | | | | |
|-------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Flag Restoration | 0 | 65,000 | 65,000 | 65,000 | 65,000 | 65,000 |
| Minor Capital Improvements | 0 | 1,800,000 | 1,800,000 | 1,050,000 | 1,800,000 | 1,050,000 |
| Interim Salary/Caucus Offices | 677,642 | 536,102 | 741,200 | 536,102 | 572,552 | 536,102 |
| Redistricting | 31,582 | 475,000 | 950,000 | 950,000 | 350,000 | 350,000 |
| Old State House | 521,166 | 600,000 | 650,000 | 600,000 | 700,000 | 600,000 |
| TOTAL-Other Current Expenses | 1,230,390 | 3,476,102 | 4,206,200 | 3,201,102 | 3,487,552 | 2,601,102 |

Pmts to Other Than Local Govts

| | | | | | | |
|---------------------------------------|---------|---------|---------|---------|---------|---------|
| Interstate Conference Fund | 405,253 | 425,400 | 438,222 | 438,222 | 456,822 | 456,822 |
| New England Board of Higher Education | 183,750 | 183,750 | 196,848 | 183,750 | 196,848 | 183,750 |
| TOTAL-Pmts to Other Than Local Govts | 589,003 | 609,150 | 635,070 | 621,972 | 653,670 | 640,572 |

| | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 40,673,339 | 48,000,000 | 55,409,467 | 39,995,397 | 60,693,785 | 42,543,838 |
| Other Expenses | 14,670,046 | 13,930,000 | 15,357,500 | 14,501,875 | 16,377,400 | 15,014,275 |
| Capital Outlay | 272,854 | 1,172,000 | 2,372,000 | 922,000 | 1,456,000 | 922,000 |
| Other Current Expenses | 1,230,390 | 3,476,102 | 4,206,200 | 3,201,102 | 3,487,552 | 2,601,102 |
| Pmts to Other Than Local Govts | 589,003 | 609,150 | 635,070 | 621,972 | 653,670 | 640,572 |
| TOTAL-General Fund | 57,435,632 | 67,187,252 | 77,980,237 | 59,242,346 | 82,668,407 | 61,721,787 |

AUDITORS OF PUBLIC ACCOUNTS

<http://www.cga.ct.gov/apa>

AGENCY DESCRIPTION

The Auditors of Public Accounts are required by statute to audit the books and accounts of each agency of the state government and all public and quasi-public bodies created by the legislature and not subject to the Municipal Auditing Act. The two state auditors may not be of the same political party and are appointed by the General

Assembly to assure the independence and impartiality required for effective auditing.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------------------|----------|----------|
| <ul style="list-style-type: none"> Provide Funding for State Employee Wage Adjustments | 371,982 | 867,165 |
| <ul style="list-style-type: none"> Reflect Impact of 27th Payroll During FY 2023 | 0 | 483,388 |
| Reductions | FY 2022 | FY 2023 |
| <ul style="list-style-type: none"> Annualize FY 2021 Rescissions | -336,570 | -336,570 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 108 | 18 | 0 | 126 | 126 | 126 | 126 | 126 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Auditing State Agencies | 11,281,205 | 12,468,262 | 13,923,305 | 12,468,262 | 12,131,692 | 14,665,670 | 12,951,650 | 12,615,080 |
| TOTAL Agency Programs | 11,281,205 | 12,468,262 | 13,923,305 | 12,468,262 | 12,131,692 | 14,665,670 | 12,951,650 | 12,615,080 |

| <i>Summary of Funding</i> | | | | | | | | |
|---------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 11,281,205 | 12,468,262 | 13,923,305 | 12,468,262 | 12,131,692 | 14,665,670 | 12,951,650 | 12,615,080 |
| Total Agency Programs | 11,281,205 | 12,468,262 | 13,923,305 | 12,468,262 | 12,131,692 | 14,665,670 | 12,951,650 | 12,615,080 |

AUDITING STATE AGENCIES

Statutory References

C.G.S. Chapter 23 and Section 4-61dd.

Statement of Need and Program Objectives

To ensure the integrity with which state financial operations are conducted, accounted and reported. To provide an overview of the safe handling of state funds and resources. To conduct an annual Statewide Single Audit to satisfy federal mandates for audits of federal grants. To examine performance to determine the effectiveness of an agency in achieving its expressed legislative purpose.

Program Description

Each state agency audit includes an examination and verification of accounting records and documents, a determination of the agency's compliance with applicable state and federal statutory and budgetary requirements, verification of the collection and proper handling of

state revenue and examination of expenditures charged to state appropriations and federal grants. A report consisting of comments, recommendations and, in certain cases, certified financial statements, is prepared for each audit performed.

Each performance audit assesses the objectives of the program, determines program results, identifies factors inhibiting performance, assesses compliance with laws and regulations, evaluates management oversight and recommends ways for program improvements. A report consisting of the audit objectives, results of the review and recommendations is prepared for each performance audit completed.

The Auditors of Public Accounts review all whistleblower complaints filed under Section 4-61dd of the General Statutes. The office also

conducts agreed-upon procedures audits of private special education providers.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Audit / Exams Completed | 35 | 35 | 35 | 35 |
| Formal Reports Issued | 35 | 35 | 35 | 35 |
| Total Audit Hours | 140,000 | 140,000 | 140,000 | 140,000 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 108 | 18 | 0 | 126 | 126 | 126 | 126 | 126 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 11,034,137 | 12,196,119 | 13,651,162 | 12,196,119 | 11,859,549 | 14,393,527 | 12,679,507 | 12,342,937 |
| Other Expenses | 247,068 | 272,143 | 272,143 | 272,143 | 272,143 | 272,143 | 272,143 | 272,143 |
| Total-General Fund | 11,281,205 | 12,468,262 | 13,923,305 | 12,468,262 | 12,131,692 | 14,665,670 | 12,951,650 | 12,615,080 |
| Total - All Funds | 11,281,205 | 12,468,262 | 13,923,305 | 12,468,262 | 12,131,692 | 14,665,670 | 12,951,650 | 12,615,080 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|------------|------------|------------|-------------|------------|-------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 10,594,526 | 11,385,539 | 12,191,092 | 11,048,969 | 13,582,947 | 11,532,357 |
| Accumulated Leave | 221,026 | 514,900 | 1,164,390 | 514,900 | 514,900 | 514,900 |
| Other Salaries & Wages | 144,044 | 199,680 | 199,680 | 199,680 | 199,680 | 199,680 |
| Other | 74,541 | 96,000 | 96,000 | 96,000 | 96,000 | 96,000 |
| TOTAL - Personal Services-Personal Services | 11,034,137 | 12,196,119 | 13,651,162 | 11,859,549 | 14,393,527 | 12,342,937 |

Other Expenses

| | | | | | | |
|----------------------------------|---------|---------|---------|---------|---------|---------|
| Communications | 15,467 | 18,570 | 18,570 | 18,570 | 18,570 | 18,570 |
| Employee Expenses | 53,728 | 91,000 | 91,000 | 91,000 | 91,000 | 91,000 |
| Employee Travel | 11,833 | 27,228 | 27,228 | 27,228 | 27,228 | 27,228 |
| Equipment Rental and Maintenance | 6,169 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 |
| Food And Beverages | 2,580 | 8,000 | 8,000 | 8,000 | 8,000 | 8,000 |
| Information Technology | 112,405 | 55,778 | 55,778 | 55,778 | 55,778 | 55,778 |
| Other Services | 10,417 | 15,400 | 15,400 | 15,400 | 15,400 | 15,400 |
| Professional Services | 15,569 | 22,363 | 22,363 | 22,363 | 22,363 | 22,363 |
| Purchased Commodities | 18,900 | 26,304 | 26,304 | 26,304 | 26,304 | 26,304 |
| TOTAL-Other Expenses | 247,068 | 272,143 | 272,143 | 272,143 | 272,143 | 272,143 |

| | | | | | | |
|--------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 11,034,137 | 12,196,119 | 13,651,162 | 11,859,549 | 14,393,527 | 12,342,937 |
| Other Expenses | 247,068 | 272,143 | 272,143 | 272,143 | 272,143 | 272,143 |
| TOTAL-General Fund | 11,281,205 | 12,468,262 | 13,923,305 | 12,131,692 | 14,665,670 | 12,615,080 |

COMMISSION ON WOMEN, CHILDREN, SENIORS, EQUITY AND OPPORTUNITY

AGENCY DESCRIPTION

The Commission on Women, Children, Seniors, Equity & Opportunity was created through Public Act 19-117 to focus on issues affecting women, children and their families, the aging population, and the African American, Asian Pacific-American, Latino and Puerto Rican populations,

with a goal of promoting civic engagement and positive health outcomes, safety and educational success; freedom from poverty; and freedom from discrimination.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | <u>FY 2022</u> | <u>FY 2023</u> |
|-------------------------------------------------------------------------------------------------|----------------|----------------|
| <ul style="list-style-type: none"> Reflect Impact of 27th Payroll During FY 2023 | 0 | 25,954 |
| Reductions | <u>FY 2022</u> | <u>FY 2023</u> |
| <ul style="list-style-type: none"> Annualize FY 2021 Rescissions | -18,788 | -18,788 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Commission on Women, Children, Seniors, Equit, and Opportunity | 445,883 | 596,000 | 791,197 | 698,035 | 679,247 | 874,492 | 723,989 | 705,201 |
| TOTAL Agency Programs | 445,883 | 596,000 | 791,197 | 698,035 | 679,247 | 874,492 | 723,989 | 705,201 |
| <u>Summary of Funding</u> | | | | | | | | |
| General Fund | 440,849 | 596,000 | 789,162 | 696,000 | 677,212 | 872,457 | 721,954 | 703,166 |
| Private Funds | 5,034 | 0 | 2,035 | 2,035 | 2,035 | 2,035 | 2,035 | 2,035 |
| Total Agency Programs | 445,883 | 596,000 | 791,197 | 698,035 | 679,247 | 874,492 | 723,989 | 705,201 |

ENSURING VOICE, ACCESS AND OPPORTUNITY

Statutory Reference

C.G.S. Sections 2-127, 2-128 & 2-129.

Statement of Need and Program Objectives

To study the needs of the state's women, children and their families, the aging population, and targeted underserved and underrepresented peoples, and the nature and scope of access, equity and opportunity, and to identify effective supportive and remedial programs, policies and legislation. To inform individuals and leaders of business, labor, education, state and local government, the media and the General Assembly of findings and recommendations and to perform services that will foster improved outcomes and opportunity.

Program Description

The major activities through which the commission achieves its objectives regarding the targeted constituencies are:

- Analyzing economic and other trends.
- Researching issues and finding supportive and remedial strategies as determined by sound data and established best practices to have a positive impact on desired outcomes.
- Maintaining liaisons between members of the African-American, Asian Pacific-American and Latino and Puerto Rican populations of the state and government agencies, including the General Assembly.
- Developing legislative proposals for legislators and legislative committees through research and input from a wide variety of resources.
- Analyzing legislative and budget proposals and determining the impact on targeted populations, including specific demographic considerations, equity and proportionality.
- Evaluating state agency programs and make administrative and legislative recommendations to foster more effective and coordinated program delivery to targeted constituencies.
- Monitoring and assisting in the implementation of laws.
- Providing public education and information and opportunities for civic engagement about laws, programs, services, organizations and resources.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-------------------------------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Research Documents Generated | 60 | 35 | 45 | 60 |
| Testimony Given on Proposed Legislation | 50 | 15 | 60 | 65 |
| Requests for Information or Assistance | 95 | 10 | 105 | 95 |
| Advocacy Meetings/Coalition Building | 350 | 400 | 425 | 500 |
| Educational Materials Distributed to the Public | 1,400 | 1,600 | 1,800 | 2,000 |
| Forums, Events and Public Speaking Engagements | 95 | 80 | 90 | 100 |
| Traditional Media Coverage (individual articles/radio interviews) | 260 | 235 | 245 | 400 |
| Social Media & Newsletter (Audience reach) | 15,000 | 17,000 | 30,000 | 50,000 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 391,895 | 536,000 | 727,162 | 636,000 | 617,212 | 810,457 | 661,954 | 643,166 |
| Other Expenses | 48,954 | 60,000 | 62,000 | 60,000 | 60,000 | 62,000 | 60,000 | 60,000 |
| Total-General Fund | 440,849 | 596,000 | 789,162 | 696,000 | 677,212 | 872,457 | 721,954 | 703,166 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 5,034 | 0 | 2,035 | 2,035 | 2,035 | 2,035 | 2,035 | 2,035 |
| Total - All Funds | 445,883 | 596,000 | 791,197 | 698,035 | 679,247 | 874,492 | 723,989 | 705,201 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 391,062 | 536,000 | 696,031 | 617,212 | 779,326 | 643,166 |
| Salaries & Wages-Temporary | 0 | 0 | 7,500 | 0 | 7,500 | 0 |
| Accumulated Leave | 833 | 0 | 23,631 | 0 | 23,631 | 0 |
| TOTAL - Personal Services-Personal Services | 391,895 | 536,000 | 727,162 | 617,212 | 810,457 | 643,166 |
| Other Expenses | | | | | | |
| Communications | 159 | 750 | 750 | 750 | 750 | 750 |
| Employee Expenses | 49 | 300 | 300 | 300 | 300 | 300 |
| Employee Travel | 1,280 | 1,500 | 1,500 | 1,500 | 1,500 | 1,500 |
| Equipment Rental and Maintenance | 4,028 | 3,511 | 3,511 | 3,511 | 3,511 | 3,511 |
| Management Consultant Services | 0 | 0 | 2,000 | 0 | 2,000 | 0 |

| | | | | | | |
|-----------------------|---------|---------|---------|---------|---------|---------|
| Other Services | 12,598 | 18,583 | 18,583 | 18,583 | 18,583 | 18,583 |
| Professional Services | 27,600 | 32,506 | 32,506 | 32,506 | 32,506 | 32,506 |
| Purchased Commodities | 3,240 | 2,850 | 2,850 | 2,850 | 2,850 | 2,850 |
| TOTAL-Other Expenses | 48,954 | 60,000 | 62,000 | 60,000 | 62,000 | 60,000 |
| | | | | | | |
| Personal Services | 391,895 | 536,000 | 727,162 | 617,212 | 810,457 | 643,166 |
| Other Expenses | 48,954 | 60,000 | 62,000 | 60,000 | 62,000 | 60,000 |
| TOTAL-General Fund | 440,849 | 596,000 | 789,162 | 677,212 | 872,457 | 703,166 |

GOVERNOR'S OFFICE

AGENCY DESCRIPTION

The Governor is the elected constitutional officer whose responsibilities include: executive direction and supervision of the general administration of the state; appointments of various officials;

presentation of budget recommendations to the General Assembly; and approval or veto of legislation passed by the General Assembly.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------------|---------|---------|
| <ul style="list-style-type: none"> Reflect Impact of 27th Payroll During FY 2023 | 0 | 82,875 |
| Reductions | FY 2022 | FY 2023 |
| <ul style="list-style-type: none"> Annualize FY 2021 Rescissions | -9,049 | -9,049 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|-----------|-----------|-----------|-----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 22 | 6 | 0 | 28 | 28 | 28 | 28 | 28 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 3 | 3 | 3 | 3 | 3 | 3 |
| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Overall Direct & Supv of State | 2,273,764 | 2,510,222 | 2,510,222 | 2,510,222 | 2,501,173 | 2,671,058 | 2,593,097 | 2,584,048 |
| TOTAL Agency Programs | 2,273,764 | 2,510,222 | 2,510,222 | 2,510,222 | 2,501,173 | 2,671,058 | 2,593,097 | 2,584,048 |
| <i>Summary of Funding</i> | | | | | | | | |
| General Fund | 2,273,764 | 2,510,222 | 2,510,222 | 2,510,222 | 2,501,173 | 2,671,058 | 2,593,097 | 2,584,048 |
| Total Agency Programs | 2,273,764 | 2,510,222 | 2,510,222 | 2,510,222 | 2,501,173 | 2,671,058 | 2,593,097 | 2,584,048 |

OVERALL DIRECTION AND SUPERVISION OF THE STATE

Statutory Reference

Connecticut State Constitution Article IV.

Statement of Need and Program Objectives

To direct and supervise the operation of state government.

Program Description

The Governor is responsible for the executive direction and supervision of the general administration and delivery of services of the state.

The office provides staff assistance and liaison in all areas of state government administration and direction for agency programs and policies as well as federal advocacy for all state agencies.

The cornerstone on which the Governor sets the fiscal policies is the submission of budget recommendations to the General Assembly. In odd-numbered years, the Governor makes operating and capital budget recommendations for the biennium, and in even-numbered years recommends adjustments to the biennial budget as necessary.

The Governor appoints commissioners to state agencies and appoints members of boards and commissions, trustees and other officials.

The Governor also has the power to approve or veto legislation passed by the General Assembly, including the authority to veto appropriation line items. A two-thirds vote by each house of the General Assembly is required to override any veto.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 22 | 6 | 0 | 28 | 28 | 28 | 28 | 28 |
| Other Positions Equated to Full-Time | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 3 | 3 | 3 | 3 | 3 | 3 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,912,717 | 2,154,748 | 2,154,748 | 2,154,748 | 2,154,748 | 2,244,109 | 2,237,623 | 2,237,623 |
| Other Expenses | 180,056 | 174,483 | 174,483 | 174,483 | 174,483 | 245,958 | 174,483 | 174,483 |
| Pmts to Other Than Local Govts | | | | | | | | |
| New England Governors' Conference | 74,391 | 74,391 | 74,391 | 74,391 | 70,672 | 74,391 | 74,391 | 70,672 |
| National Governors' Association | 106,600 | 106,600 | 106,600 | 106,600 | 101,270 | 106,600 | 106,600 | 101,270 |
| Pmts to Other Than Local Govts | 180,991 | 180,991 | 180,991 | 180,991 | 171,942 | 180,991 | 180,991 | 171,942 |
| Total-General Fund | 2,273,764 | 2,510,222 | 2,510,222 | 2,510,222 | 2,501,173 | 2,671,058 | 2,593,097 | 2,584,048 |
| Total - All Funds | 2,273,764 | 2,510,222 | 2,510,222 | 2,510,222 | 2,501,173 | 2,671,058 | 2,593,097 | 2,584,048 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 1,815,117 | 2,020,492 | 2,020,492 | 2,020,492 | 2,105,817 | 2,099,331 |
| Salaries & Wages-Temporary | 13,577 | 22,880 | 22,880 | 22,880 | 22,880 | 22,880 |
| Salaries & Wages-Part Time | 81,307 | 103,673 | 103,673 | 103,673 | 107,709 | 107,709 |
| Accumulated Leave | 2,716 | 7,703 | 7,703 | 7,703 | 7,703 | 7,703 |
| TOTAL - Personal Services-Personal Services | 1,912,717 | 2,154,748 | 2,154,748 | 2,154,748 | 2,244,109 | 2,237,623 |
| Other Expenses | | | | | | |
| Communications | 25,092 | 25,092 | 25,092 | 25,092 | 25,092 | 25,092 |
| Employee Expenses | 565 | 565 | 565 | 565 | 565 | 565 |
| Employee Travel | 9,218 | 9,218 | 9,218 | 9,218 | 9,218 | 9,218 |
| Equipment Rental and Maintenance | 11,799 | 11,799 | 11,799 | 11,799 | 11,799 | 11,799 |
| General Office Supplies | 0 | 0 | 0 | 0 | 40,070 | 0 |
| Information Technology | 17,397 | 17,397 | 17,397 | 17,397 | 17,397 | 17,397 |
| Minor Equipment - Controllable | 0 | 0 | 0 | 0 | 24,840 | 0 |
| Motor Vehicle Costs | 8,948 | 8,948 | 8,948 | 8,948 | 8,948 | 8,948 |
| Other Services | 30,391 | 30,391 | 30,391 | 30,391 | 30,391 | 30,391 |
| Premises Expenses | 2,401 | 2,401 | 2,401 | 2,401 | 2,401 | 2,401 |
| Premises Rent Expense-Landlord | 65,785 | 65,785 | 65,785 | 65,785 | 65,785 | 65,785 |

| | | | | | | |
|-----------------------|---------|---------|---------|---------|---------|---------|
| Printing & Binding | 0 | 0 | 0 | 0 | 6,565 | 0 |
| Purchased Commodities | 8,460 | 2,887 | 2,887 | 2,887 | 2,887 | 2,887 |
| TOTAL-Other Expenses | 180,056 | 174,483 | 174,483 | 174,483 | 245,958 | 174,483 |

Pmts to Other Than Local Govts

| | | | | | | |
|--------------------------------------|---------|---------|---------|---------|---------|---------|
| New England Governors' Conference | 74,391 | 74,391 | 74,391 | 70,672 | 74,391 | 70,672 |
| National Governors' Association | 106,600 | 106,600 | 106,600 | 101,270 | 106,600 | 101,270 |
| TOTAL-Pmts to Other Than Local Govts | 180,991 | 180,991 | 180,991 | 171,942 | 180,991 | 171,942 |

| | | | | | | |
|--------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services | 1,912,717 | 2,154,748 | 2,154,748 | 2,154,748 | 2,244,109 | 2,237,623 |
| Other Expenses | 180,056 | 174,483 | 174,483 | 174,483 | 245,958 | 174,483 |
| Pmts to Other Than Local Govts | 180,991 | 180,991 | 180,991 | 171,942 | 180,991 | 171,942 |
| TOTAL-General Fund | 2,273,764 | 2,510,222 | 2,510,222 | 2,501,173 | 2,671,058 | 2,584,048 |

SECRETARY OF THE STATE

<http://www.sots.ct.gov>

AGENCY DESCRIPTION

The Secretary of the State is an elected constitutional officer whose office is the repository and resource for a variety of public records and documents relating to the legislature, elections and businesses and the uniform commercial code.

The Secretary is the Commissioner of Elections and the keeper of the Great Seal of the State. The Secretary grants commissions to notaries public and publishes the *State Register and Manual* (Blue Book). The Secretary oversees compliance with state and federal law relating to voter registration and election administration, and promotes voter registration efforts in the public and private sectors.

RECOMMENDED ADJUSTMENTS

| | FY 2022 | FY 2023 |
|----------------------------------------------------------------------------------------|----------|----------|
| Baseline Adjustments | | |
| • Provide Funding for State Employee Wage Adjustments | 33,179 | 33,179 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 215,487 |
| • Annualize Funding for One Cybersecurity Position for Election Support | 116,679 | 121,167 |
| Reductions | | |
| • Annualize FY 2021 Rescissions | -74,727 | -74,727 |
| Reallocations | | |
| • Transfer Funding to Office of the Comptroller for the Connecticut Data Collaborative | -300,000 | -300,000 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------------|-------------------|-------------------|-------------------|-------------------|--------------------|-------------------|-------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 71 | 14 | 0 | 85 | 86 | 86 | 86 | 86 |
| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Commercial Recording | 4,443,836 | 4,819,503 | 4,982,177 | 4,837,695 | 4,799,264 | 4,982,177 | 4,943,900 | 4,905,469 |
| Management and Support Services | 2,363,627 | 2,568,911 | 2,420,099 | 2,536,778 | 2,200,482 | 2,420,099 | 2,605,985 | 2,269,689 |
| Board of Accountancy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Legislation and Elections Administration Division | 3,736,026 | 4,764,020 | 5,944,498 | 5,827,819 | 5,827,819 | 7,744,498 | 7,672,382 | 7,672,382 |
| TOTAL Agency Programs | 10,543,489 | 12,152,434 | 13,346,774 | 13,202,292 | 12,827,565 | 15,146,774 | 15,222,267 | 14,847,540 |
| Summary of Funding | | | | | | | | |
| General Fund | 8,626,302 | 9,252,434 | 9,546,774 | 9,402,292 | 9,027,565 | 9,546,774 | 9,622,267 | 9,247,540 |
| Federal Funds | 1,917,187 | 2,900,000 | 3,800,000 | 3,800,000 | 3,800,000 | 5,600,000 | 5,600,000 | 5,600,000 |
| Total Agency Programs | 10,543,489 | 12,152,434 | 13,346,774 | 13,202,292 | 12,827,565 | 15,146,774 | 15,222,267 | 14,847,540 |

COMMERCIAL RECORDING

Statutory Reference

C.G.S. Titles 33, 34, 35 et al.

Statement of Need and Program Objective

To make information available to the public regarding corporations, limited partnerships, limited liability companies, statutory trusts, limited liability partnerships, the Uniform Commercial Code and trademarks. To facilitate and foster the growth of business in the state by partnering with private industry, trade organizations, bar associations, and other public and private agencies and organizations in various outreach and advocacy roles.

Program Description

The major activities and responsibilities of the division include generating and transmitting annual reports to businesses of record; reviewing submitted documents for compliance with statutes; receiving records and forwarding writs, summonses and complaints;

creating and updating data records of businesses; and handling public inquiries.

The division reviews documents submitted for filing; provides certified copies and legal existence certificates; verifies funds deposited at the time of document review and reviews; and records all Uniform Commercial Code documents such as financial statements, amendments, judgment liens, notices of attachment and vessel liens. The division also identifies and investigates foreign businesses liable for penalties and past due license fees, if applicable, for transacting business in Connecticut prior to obtaining a certificate of authority to do so. The division assesses penalties, monitors and processes payments, issues demand letters, reviews dispute letters, and recommends proceedings to be initiated by the Office of the Attorney General. The division also administers the Address Confidentiality Program.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 26 | 5 | 0 | 31 | 31 | 31 | 31 | 31 |
| Financial Summary by Program | | | | | | | | |
| General Fund | Actual | Estimated | Requested | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | | | | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 0 | 0 | 144,482 | 0 | 0 | 144,482 | 0 | 0 |
| Other Current Expenses | | | | | | | | |
| Commercial Recording Division | 4,443,836 | 4,819,503 | 4,837,695 | 4,837,695 | 4,799,264 | 4,837,695 | 4,943,900 | 4,905,469 |
| Total-General Fund | 4,443,836 | 4,819,503 | 4,982,177 | 4,837,695 | 4,799,264 | 4,982,177 | 4,943,900 | 4,905,469 |
| Total - All Funds | 4,443,836 | 4,819,503 | 4,982,177 | 4,837,695 | 4,799,264 | 4,982,177 | 4,943,900 | 4,905,469 |

MANAGEMENT AND SUPPORT SERVICES

Statutory Reference

C.G.S. Chapter 33; Article III and IV of the State Constitution.

Statement of Need and Program Objective

To support the Secretary of the State in the organization, direction, and control of all office operations.

Program Description

The program provides for the overall coordination of the office by performing budget, human resources, affirmative action, payroll, accounting, training, publication distribution and sales, public communication, and revenue deposit. The division also is responsible for information technology support.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 31 | 7 | 0 | 38 | 39 | 39 | 39 | 39 |

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,517,041 | 1,673,812 | 1,675,000 | 1,791,679 | 1,763,416 | 1,675,000 | 1,860,886 | 1,832,623 |
| Other Expenses | 483,696 | 745,099 | 745,099 | 745,099 | 437,066 | 745,099 | 745,099 | 437,066 |
| Total-General Fund | 2,000,737 | 2,418,911 | 2,420,099 | 2,536,778 | 2,200,482 | 2,420,099 | 2,605,985 | 2,269,689 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>Federal Contributions</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 21019 Coronavirus Relief Fund | 362,890 | 150,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 2,363,627 | 2,568,911 | 2,420,099 | 2,536,778 | 2,200,482 | 2,420,099 | 2,605,985 | 2,269,689 |

LEGISLATION AND ELECTIONS ADMINISTRATION DIVISION

Statutory Reference

C.G.S. Sections 9-3 and 9-4 et al., Article III of the State Constitution.

Statement of Need and Program Objectives

To preserve the integrity and ensure the accuracy of Connecticut's elections, primaries, and referenda through timely communications and training for election officials concerning their duties; approval of tamper resistant voting systems; monitoring implementation of absentee ballot and voter registration laws; tabulation of vote totals for state and district offices; coordination of voter registration and outreach efforts aimed at young people and other voters and efforts to improve the elections process and promote participation through legislative proposals and advocacy. To increase the availability of information to the public by reviewing, recording, and filing a variety of documents including all bills, acts, and resolutions of the General Assembly. To electronically publish the Regulations of Connecticut State Agencies and manage the e-Regulations System.

Program Description

The division administers, interprets and implements all state and federal laws pertaining to elections, primaries, nominating procedures, and the acquisition and exercise of voting rights.

The division also administers and monitors the implementation of the National Voter Registration Act, the Help America Vote Act (HAVA), the Military and Overseas Voter Empowerment Act (MOVE) and other federally mandated voter registration and election administration laws. This includes oversight of the development of the content of all election and primary forms including absentee ballot materials and instructions and the implementation of provisional ballot and voter identification programs.

In conjunction with local town clerks and registrars, the division provides training for local election officials and poll workers. The office regularly convenes conferences and meetings around the state in an effort to educate the local election officials (including registrars of

voters, town clerks and moderators) of their statutory responsibilities regarding voter registration and the electoral process.

The division also responds to inquiries involving state election and primary law; provides advice to local officials in matters concerning home rule, charter revision, and local referenda; verifies each municipality's printed absentee ballot and sample ballots for accuracy and, where serious errors exist, orders the reprinting of such materials.

In addition, the division is the official keeper of all acts, orders, grants and resolutions of the General Assembly. The division reviews, records and files all General Assembly bills, acts, and resolutions; transmits all acts passed to the Governor; records executive actions and returns vetoed bills and messages to the General Assembly. The division certifies all legislation regarding appropriations, bond authorizations, and orders on the Treasurer and notifies members of the General Assembly of veto and special sessions.

The division compiles, publishes and distributes *the Connecticut State Register and Manual* and maintains the interactive State Register and Manual on the Secretary of the State's website, updating information on state, local, and federal government on a weekly basis. The division records and files approved agency regulations, schedules of state agency meetings, municipal ordinances and special acts. The division also administers Connecticut's notary public program. The Secretary of the State is also responsible for publishing the Regulations of Connecticut State Agencies through the web-based e-Regulations system. The e-Regulations system is the platform for public notice, publication and comments upon proposed regulations, as well as the means to manage the process of the promulgation of new regulations and amendments among the involved agencies including the Office of the Secretary of the State, the various executive branch agencies, the Office of the Governor, the Office of the Attorney General and the legislature's Regulations Review Committee

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 14 | 2 | 0 | 16 | 16 | 16 | 16 | 16 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,070,234 | 1,152,525 | 1,283,003 | 1,166,324 | 1,166,324 | 1,283,003 | 1,210,887 | 1,210,887 |
| Other Expenses | 1,111,495 | 861,495 | 861,495 | 861,495 | 861,495 | 861,495 | 861,495 | 861,495 |
| Total-General Fund | 2,181,729 | 2,014,020 | 2,144,498 | 2,027,819 | 2,027,819 | 2,144,498 | 2,072,382 | 2,072,382 |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| 90401 Help America Vote Act Requirements Payments | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 90401 Help America Vote Act Requirements Payments | 1,554,297 | 2,750,000 | 3,800,000 | 3,800,000 | 3,800,000 | 5,600,000 | 5,600,000 | 5,600,000 |
| Total - All Funds | 3,736,026 | 4,764,020 | 5,944,498 | 5,827,819 | 5,827,819 | 7,744,498 | 7,672,382 | 7,672,382 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 2,518,363 | 2,799,337 | 3,075,130 | 2,902,385 | 3,075,130 | 3,016,155 |
| Salaries & Wages-Part Time | -2,983 | 0 | 0 | 0 | 0 | 0 |
| Longevity Payments | 10,082 | 9,000 | 9,000 | 9,000 | 9,000 | 9,000 |
| Overtime | 18,920 | 18,000 | 18,355 | 18,355 | 18,355 | 18,355 |
| Accumulated Leave | 42,893 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 2,587,275 | 2,826,337 | 3,102,485 | 2,929,740 | 3,102,485 | 3,043,510 |
| Other Expenses | | | | | | |
| Client Services | 0 | 0 | 0 | -300,000 | 0 | -300,000 |
| Communications | 109,681 | 129,681 | 129,681 | 129,681 | 129,681 | 129,681 |
| Educational Services | 0 | 0 | 0 | -8,033 | 0 | -8,033 |
| Employee Expenses | 2,798 | 2,798 | 2,798 | 2,798 | 2,798 | 2,798 |
| Employee Travel | 12,980 | 12,980 | 12,980 | 12,980 | 12,980 | 12,980 |
| Equipment Rental and Maintenance | 47,834 | 47,834 | 47,834 | 47,834 | 47,834 | 47,834 |
| Food And Beverages | 3,933 | 5,600 | 5,600 | 5,600 | 5,600 | 5,600 |
| Information Technology | 988,329 | 957,919 | 957,919 | 957,919 | 957,919 | 957,919 |
| Motor Vehicle Costs | 12,075 | 17,221 | 17,221 | 17,221 | 17,221 | 17,221 |
| Other Services | 282,548 | 282,548 | 282,548 | 282,548 | 282,548 | 282,548 |
| Premises Expenses | 5,294 | 20,294 | 20,294 | 20,294 | 20,294 | 20,294 |
| Professional Services | 13,434 | 13,434 | 13,434 | 13,434 | 13,434 | 13,434 |
| Purchased Commodities | 116,285 | 116,285 | 116,285 | 116,285 | 116,285 | 116,285 |
| TOTAL-Other Expenses | 1,595,191 | 1,606,594 | 1,606,594 | 1,298,561 | 1,606,594 | 1,298,561 |

Other Current Expenses

| | | | | | | |
|-------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Commercial Recording Division | 4,443,836 | 4,819,503 | 4,837,695 | 4,799,264 | 4,837,695 | 4,905,469 |
| TOTAL-Other Current Expenses | 4,443,836 | 4,819,503 | 4,837,695 | 4,799,264 | 4,837,695 | 4,905,469 |
| Personal Services | 2,587,275 | 2,826,337 | 3,102,485 | 2,929,740 | 3,102,485 | 3,043,510 |
| Other Expenses | 1,595,191 | 1,606,594 | 1,606,594 | 1,298,561 | 1,606,594 | 1,298,561 |
| Other Current Expenses | 4,443,836 | 4,819,503 | 4,837,695 | 4,799,264 | 4,837,695 | 4,905,469 |
| TOTAL-General Fund | 8,626,302 | 9,252,434 | 9,546,774 | 9,027,565 | 9,546,774 | 9,247,540 |

LIEUTENANT GOVERNOR'S OFFICE

AGENCY DESCRIPTION

The Lieutenant Governor is the elected constitutional officer who is charged with the following responsibilities: succeeding the Governor in the event the Governor becomes unable to perform the duties of the office or the office becomes vacant during the Governor's term;

operating the state government during the Governor's absence from the state; and presiding over the State Senate and casting the tie-breaking vote when the Senate is equally divided.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2022 | FY 2023 |
|-------------------------------------------------|---------|---------|
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 24,932 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 7 | 0 | 0 | 7 | 7 | 7 | 7 | 7 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Assist Supervision of the State | 636,899 | 705,495 | 705,495 | 705,495 | 705,495 | 725,185 | 730,427 | 730,427 |
| TOTAL Agency Programs | 636,899 | 705,495 | 705,495 | 705,495 | 705,495 | 725,185 | 730,427 | 730,427 |

| <i>Summary of Funding</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| General Fund | 636,899 | 705,495 | 705,495 | 705,495 | 705,495 | 725,185 | 730,427 | 730,427 |
| Total Agency Programs | 636,899 | 705,495 | 705,495 | 705,495 | 705,495 | 725,185 | 730,427 | 730,427 |

ASSISTING IN SUPERVISION OF THE STATE

Statutory Reference

Connecticut State Constitution Article IV, Sections 17-19.

Statement of Need and Program Objectives

To provide assistance in the operation of state government and to assure the continued operation of state government in the Governor's absence from the state or in the event that the Governor is unable to perform the duties of the office or the office becomes vacant.

Program Description

The Lieutenant Governor provides assistance in directing the operations of state government.

The Connecticut Constitution provides for the election of the Lieutenant Governor and conditions for the succession to the Office of Governor. In case of the Governor's death, resignation, refusal to

serve, or removal from office, the Lieutenant Governor shall become Governor until the next regular election. In the case of the inability of the Governor to exercise the powers and perform the duties of office, or in case of impeachment or absence from the state, the Lieutenant Governor shall exercise the powers and authority and perform the duties of Governor until the disability is removed, or the Governor is acquitted or returns.

The Lieutenant Governor is, by virtue of the office, President of the Senate and oversees the procedures of the State Senate, including resolution of disputes. The Lieutenant Governor has a right to debate when in committee of the whole, and when the Senate is equally divided the Lieutenant Governor may cast the tie-breaking vote.

The Lieutenant Governor, by virtue of the office, is also a voting member of the Finance Advisory Committee.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 7 | 0 | 0 | 7 | 7 | 7 | 7 | 7 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 593,421 | 648,244 | 648,244 | 648,244 | 648,244 | 667,934 | 673,176 | 673,176 |
| Other Expenses | 43,478 | 57,251 | 57,251 | 57,251 | 57,251 | 57,251 | 57,251 | 57,251 |
| Total-General Fund | 636,899 | 705,495 | 705,495 | 705,495 | 705,495 | 725,185 | 730,427 | 730,427 |
| Total - All Funds | 636,899 | 705,495 | 705,495 | 705,495 | 705,495 | 725,185 | 730,427 | 730,427 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 582,740 | 648,244 | 648,244 | 648,244 | 667,934 | 673,176 |
| Accumulated Leave | 10,681 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 593,421 | 648,244 | 648,244 | 648,244 | 667,934 | 673,176 |

| Other Expenses | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Communications | 4,869 | 7,869 | 7,869 | 7,869 | 7,869 | 7,869 |
| Employee Travel | 772 | 772 | 772 | 772 | 772 | 772 |
| Equipment Rental and Maintenance | 2,475 | 2,475 | 2,475 | 2,475 | 2,475 | 2,475 |
| Information Technology | 1,789 | 6,789 | 6,789 | 6,789 | 6,789 | 6,789 |
| Motor Vehicle Costs | 11,798 | 12,798 | 12,798 | 12,798 | 12,798 | 12,798 |
| Other Services | 14,209 | 15,982 | 15,982 | 15,982 | 15,982 | 15,982 |
| Purchased Commodities | 7,566 | 10,566 | 10,566 | 10,566 | 10,566 | 10,566 |
| TOTAL-Other Expenses | 43,478 | 57,251 | 57,251 | 57,251 | 57,251 | 57,251 |
| Personal Services | 593,421 | 648,244 | 648,244 | 648,244 | 667,934 | 673,176 |
| Other Expenses | 43,478 | 57,251 | 57,251 | 57,251 | 57,251 | 57,251 |
| TOTAL-General Fund | 636,899 | 705,495 | 705,495 | 705,495 | 725,185 | 730,427 |

STATE ELECTIONS ENFORCEMENT COMMISSION

AGENCY DESCRIPTION

The Elections Enforcement commission is a non-partisan independent agency that has exclusive civil authority to enforce election laws. The commission achieves this objective by focusing on the following core functions:

- To monitor compliance with elections and campaign finance laws
- To maintain and improve the electronic campaign reporting system and act as the state repository for all campaign finance records for party committees, political committees and candidate committees organized for state elections.
- To conduct investigations of complaints concerning possible violations of the state laws governing elections, primaries, and referenda.

The commission also is responsible for auditing financial disclosure statements filed by the state, district and municipal candidates for public office; political parties; and political action committees; and rendering formal and informal advisory opinions and rulings.

The commission administers and enforces the Citizens' Election Program, a public financing program through which grants are awarded to qualified candidates for statewide office and the General Assembly, and also conducts educational seminars and publishing explanatory guides to enhance compliance with the campaign finance laws.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2022 | FY 2023 |
|-------------------------------------------------------|---------|---------|
| • Provide Funding for State Employee Wage Adjustments | 44,102 | 44,102 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 127,076 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 35 | 0 | 0 | 35 | 35 | 35 | 35 | 35 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Elections Enforcement Commission | 4,352,633 | 3,589,636 | 3,633,738 | 3,633,738 | 3,633,738 | 3,759,229 | 3,760,814 | 3,760,814 |
| TOTAL Agency Programs | 4,352,633 | 3,589,636 | 3,633,738 | 3,633,738 | 3,633,738 | 3,759,229 | 3,760,814 | 3,760,814 |

| <i>Summary of Funding</i> | | | | | | | | |
|---------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| General Fund | 3,113,658 | 3,589,636 | 3,633,738 | 3,633,738 | 3,633,738 | 3,759,229 | 3,760,814 | 3,760,814 |
| Federal Funds | 10,064 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Restricted State Accounts | 1,228,911 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 4,352,633 | 3,589,636 | 3,633,738 | 3,633,738 | 3,633,738 | 3,759,229 | 3,760,814 | 3,760,814 |

ELECTION LAW ENFORCEMENT AND CONTROL

Statutory Reference

C.G.S. Section 9-7a, 9-7b, 9-7c and Chapters 155 through 157.

Statement of Need and Program Objectives

To improve public confidence in our electoral process and in the officials who seek or hold a public office by monitoring compliance with and swift enforcement of the election laws.

Program Description

The commission is the administrative agency that conducts investigations and complaints concerning violations of state election laws. The procedure utilized in an investigation may include the issuance of subpoenas, examination of documents and conduct of hearings. Civil enforcement sanctions, including monetary fines, may be imposed directly by the commission against violators of

these laws. Evidence of criminal conduct is referred to the Chief State's Attorney for prosecution.

The commission monitors compliance with campaign financing laws by auditing disclosure reports and disseminating information and advice to candidates, political action committees (PACs) and political parties. Auditing of financial disclosure statements is intended to ensure that errors and omissions are promptly corrected and that violations are deterred. The commission is the campaign filing repository for reports of statewide and General Assembly candidates, PACs and political party committees, and prepares the forms and instructions necessary for compliance with these disclosure requirements.

The commission administers the Citizens' Election Fund, which provides public grants to qualified candidates for statewide office and the General Assembly, and for the compliance of all contribution and expenditure limitations contained in Connecticut's campaign finance statutes. Reports concerning participation in and

receipts and expenditures from the Citizens' Election Program are required.

The commission enforces new restrictions on contributions by lobbyists and state contractors and is required to establish, maintain and update a comprehensive database of state contractors and prospective state contractors to ensure compliance.

The commission is the designated agency for resolution of complaints filed under the Federal Help America Vote Act of 2002.

The commission prepares and distributes campaign guides for compliance with election financing laws; conducts seminars for candidates, campaign treasurers, business, professional and labor organizations, other political action groups and party officials; renders formal and informal advisory opinions and rulings upon request and recommends revisions of election laws to the General Assembly.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 35 | 0 | 0 | 35 | 35 | 35 | 35 | 35 |
| Financial Summary by Program | | | | | | | | |
| General Fund | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Current Expenses | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Elections Enforcement Commission | 3,113,658 | 3,589,636 | 3,633,738 | 3,633,738 | 3,633,738 | 3,759,229 | 3,760,814 | 3,760,814 |
| Total-General Fund | 3,113,658 | 3,589,636 | 3,633,738 | 3,633,738 | 3,633,738 | 3,759,229 | 3,760,814 | 3,760,814 |
| Other Funds Available | | | | | | | | |
| Restricted State Accounts | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Restricted State Accounts | 1,228,911 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | | | | | | | | |
| 90401 Help America Vote Act Requirements Payments | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 90401 Help America Vote Act Requirements Payments | 10,064 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 4,352,633 | 3,589,636 | 3,633,738 | 3,633,738 | 3,633,738 | 3,759,229 | 3,760,814 | 3,760,814 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------|-----------|-----------|-----------|-------------|-----------|-------------|
| <i>Other Current Expenses</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Elections Enforcement Commission | 3,113,658 | 3,589,636 | 3,633,738 | 3,633,738 | 3,759,229 | 3,760,814 |
| TOTAL-Other Current Expenses | 3,113,658 | 3,589,636 | 3,633,738 | 3,633,738 | 3,759,229 | 3,760,814 |
| Other Current Expenses | 3,113,658 | 3,589,636 | 3,633,738 | 3,633,738 | 3,759,229 | 3,760,814 |
| TOTAL-General Fund | 3,113,658 | 3,589,636 | 3,633,738 | 3,633,738 | 3,759,229 | 3,760,814 |

OFFICE OF STATE ETHICS

AGENCY DESCRIPTION

The Office of State Ethics (OSE) is an independent agency that administers Connecticut General Statutes, Chapter 10, Part I for Public Officials and Part II for Lobbyists, and has limited jurisdiction over Part IV, Ethical Considerations Concerning Bidding and State Contracting.

The mission of the OSE is to ensure honesty, integrity and accountability in state government through education, interpretation and enforcement of the State of Connecticut Codes of Ethics.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|-------------------------------------------------------|---------|---------|
| • Provide Funding for State Employee Wage Adjustments | 16,085 | 16,085 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 57,978 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Ethics Commission | 1,375,070 | 1,610,143 | 1,626,228 | 1,626,228 | 1,626,228 | 1,684,727 | 1,684,206 | 1,684,206 |
| TOTAL Agency Programs | 1,375,070 | 1,610,143 | 1,626,228 | 1,626,228 | 1,626,228 | 1,684,727 | 1,684,206 | 1,684,206 |

| Summary of Funding | | | | | | | | |
|-----------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| General Fund | 1,375,070 | 1,610,143 | 1,626,228 | 1,626,228 | 1,626,228 | 1,684,727 | 1,684,206 | 1,684,206 |
| Total Agency Programs | 1,375,070 | 1,610,143 | 1,626,228 | 1,626,228 | 1,626,228 | 1,684,727 | 1,684,206 | 1,684,206 |

CODES OF ETHICS FOR PUBLICEMPLOYEES AND LOBBYISTS

Statutory Reference

C.G.S. Chapter 10, Part I and Part II, limited jurisdiction over Part IV.

Statement of Need and Program Objectives

The OSE promotes integrity in government by providing advice, guidance and education to public officials, state employees and lobbyists; by sensibly interpreting and, when necessary, fairly and impartially enforcing the applicable laws; by administering the lobbyist and financial disclosure filing systems; and by providing the public with access to non-exempt information.

Program Description

The statutory responsibilities of the OSE are broken down into four main categories: education, interpretation, enforcement and preservation. The OSE is charged with providing education to state employees, public officials, lobbyists and legislators on the Codes of Ethics. The Citizen's Ethics Advisory Board is responsible for adjudicating cases brought under the ethics codes and making findings of violations as well as issuing Advisory Opinions – interpretations of the codes as they apply to specific situations.

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Information Technology Initiatives | 0 | 0 | 1,602 | 1,602 | 1,602 | 60,101 | 1,602 | 1,602 |
| Office of State Ethics | 1,375,070 | 1,610,143 | 1,624,626 | 1,624,626 | 1,624,626 | 1,624,626 | 1,682,604 | 1,682,604 |
| Other Current Expenses | 1,375,070 | 1,610,143 | 1,626,228 | 1,626,228 | 1,626,228 | 1,684,727 | 1,684,206 | 1,684,206 |
| Total-General Fund | 1,375,070 | 1,610,143 | 1,626,228 | 1,626,228 | 1,626,228 | 1,684,727 | 1,684,206 | 1,684,206 |

| | | | | | | | | |
|-------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Total - All Funds | 1,375,070 | 1,610,143 | 1,626,228 | 1,626,228 | 1,626,228 | 1,684,727 | 1,684,206 | 1,684,206 |
|-------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Other Current Expenses | | | | | | |
| Information Technology Initiatives | 0 | 0 | 1,602 | 1,602 | 60,101 | 1,602 |
| Office of State Ethics | 1,375,070 | 1,610,143 | 1,624,626 | 1,624,626 | 1,624,626 | 1,682,604 |
| TOTAL-Other Current Expenses | 1,375,070 | 1,610,143 | 1,626,228 | 1,626,228 | 1,684,727 | 1,684,206 |
| | | | | | | |
| Other Current Expenses | 1,375,070 | 1,610,143 | 1,626,228 | 1,626,228 | 1,684,727 | 1,684,206 |
| TOTAL-General Fund | 1,375,070 | 1,610,143 | 1,626,228 | 1,626,228 | 1,684,727 | 1,684,206 |

FREEDOM OF INFORMATION COMMISSION

AGENCY DESCRIPTION

The Freedom of Information Commission is charged with ensuring that the people of Connecticut have access to the records and meetings of all public agencies and that all public agencies fully comply with the Freedom of Information Act.

The commission is actively involved in policy initiatives and projects with other agencies concerning privacy, information technology, and public records administration. It also works cooperatively with authorized local Freedom of Information liaisons for municipalities, school districts and state agencies.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------------------|---------|---------|
| <ul style="list-style-type: none"> Provide Funding for State Employee Wage Adjustments | 16,064 | 16,064 |
| <ul style="list-style-type: none"> Reflect Impact of 27th Payroll During FY 2023 | 0 | 59,651 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 16 | 0 | 0 | 16 | 16 | 16 | 16 | 16 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Freedom of Information Commission | 1,438,420 | 1,707,192 | 1,723,256 | 1,723,256 | 1,723,256 | 1,723,256 | 1,782,907 | 1,782,907 |
| TOTAL Agency Programs | 1,438,420 | 1,707,192 | 1,723,256 | 1,723,256 | 1,723,256 | 1,723,256 | 1,782,907 | 1,782,907 |

| <i>Summary of Funding</i> | | | | | | | | |
|---------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| General Fund | 1,438,420 | 1,707,192 | 1,723,256 | 1,723,256 | 1,723,256 | 1,723,256 | 1,782,907 | 1,782,907 |
| Total Agency Programs | 1,438,420 | 1,707,192 | 1,723,256 | 1,723,256 | 1,723,256 | 1,723,256 | 1,782,907 | 1,782,907 |

ADMINISTRATION AND ENFORCEMENT OF THE STATE'S FREEDOM OF INFORMATION ACT

Statutory Reference

C.G.S. Section 1-200 *et seq.*

Statement of Need and Program Objectives

To ensure that citizens have access to the non-exempt records and meetings of all Connecticut state, local and regional public agencies and to ensure that all public agencies fully comply with the state's Freedom of Information Act.

Program Description

The major activities of the commission are as follows:

To hear and decide citizen complaints and requests for declaratory rulings. The objective is to resolve cases fairly, quickly and inexpensively. To this end, the commission attempts to resolve as

many cases as possible without formal hearings by use of ombudsmen (mediators) and its statutory powers to terminate meritless cases.

To inform and educate public officials and the public of their responsibilities and rights under the Freedom of Information Act; and conduct outreach to promote transparency and accountability in government.

To publish and distribute current versions of the Freedom of Information Act and other literature about the act and the Commission. There is an interactive website www.ct.gov/foi, where decisions can be researched and downloaded and its agendas, notices and minutes can be easily accessed.

To represent the commission, through staff counsel, in all court appeals and other litigation affecting the commission.

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 16 | 0 | 0 | 16 | 16 | 16 | 16 | 16 |

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| <i>Other Current Expenses</i> | | | | | | | | |
| Freedom of Information Commission | 1,438,420 | 1,707,192 | 1,723,256 | 1,723,256 | 1,723,256 | 1,723,256 | 1,782,907 | 1,782,907 |
| Total-General Fund | 1,438,420 | 1,707,192 | 1,723,256 | 1,723,256 | 1,723,256 | 1,723,256 | 1,782,907 | 1,782,907 |
| Total - All Funds | 1,438,420 | 1,707,192 | 1,723,256 | 1,723,256 | 1,723,256 | 1,723,256 | 1,782,907 | 1,782,907 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-----------------------------------|-----------|-----------|-----------|-------------|-----------|-------------|
| <i>Other Current Expenses</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Freedom of Information Commission | 1,438,420 | 1,707,192 | 1,723,256 | 1,723,256 | 1,782,929 | 1,782,907 |
| TOTAL-Other Current Expenses | 1,438,420 | 1,707,192 | 1,723,256 | 1,723,256 | 1,782,929 | 1,782,907 |
| Other Current Expenses | 1,438,420 | 1,707,192 | 1,723,256 | 1,723,256 | 1,782,929 | 1,782,907 |
| TOTAL-General Fund | 1,438,420 | 1,707,192 | 1,723,256 | 1,723,256 | 1,782,929 | 1,782,907 |

STATE TREASURER

AGENCY DESCRIPTION

The State Treasurer is responsible for the safe custody of the property and money of the state and makes disbursements on warrants drawn and presented by the State Comptroller.

The Treasurer invests the state's General Fund as well as the assets of the state's pensions, trusts and other funds. The Treasurer administers the issuance of state bonds and the payment of principal and interest thereon. The Treasurer also manages the process of the borrowing of those funds, the repayment of which is a limited or contingent liability of the state.

The Treasurer serves as the custodian for all unclaimed property remitted to the state. The Treasurer safeguards these assets, publicizes the names of the rightful owners and returns those assets to the owners as they come forward.

The Second Injury Fund, a workers' compensation fund financed by assessments on businesses, is also under the jurisdiction of the Treasurer.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2022 | FY 2023 |
|-------------------------------------------------------|---------|---------|
| • Provide Funding for State Employee Wage Adjustments | 20,508 | 20,508 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 118,188 |

Reductions

| | FY 2022 | FY 2023 |
|---------------------------------|---------|---------|
| • Annualize FY 2021 Rescissions | -30,149 | -30,149 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 40 | 5 | 0 | 45 | 45 | 45 | 45 | 45 |
| Special Transportation Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Private Funds | 10 | 2 | -1 | 11 | 11 | 11 | 11 | 11 |
| Investment Trust Fund | 25 | 7 | -6 | 26 | 27 | 27 | 27 | 27 |
| Second Injury Fund | 32 | 4 | -2 | 34 | 34 | 34 | 34 | 34 |
| Unclaimed Property Fund | 25 | 8 | -7 | 27 | 35 | 35 | 35 | 35 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Debt Management | 129,846,858 | 104,152,860 | 104,086,295 | 104,086,295 | 104,086,295 | 104,190,434 | 104,187,024 | 104,187,024 |
| Investment Services | 27,761,891 | 27,267,737 | 27,267,737 | 27,267,737 | 27,267,737 | 27,267,737 | 27,267,737 | 27,267,737 |
| Cash Management | 4,174,711 | 4,406,276 | 4,412,892 | 4,412,892 | 4,412,892 | 4,444,580 | 4,443,621 | 4,443,621 |
| Second Injury Fund | 12,693,740 | 22,523,880 | 22,523,880 | 22,523,880 | 22,523,880 | 22,523,880 | 22,523,880 | 22,523,880 |
| Unclaimed Property & Escheats | 7,370,697 | 7,134,970 | 7,614,970 | 7,614,970 | 7,614,970 | 7,614,970 | 7,614,970 | 7,614,970 |
| Agency Management Services | 5,277,410 | 6,153,318 | 6,163,775 | 6,163,775 | 6,133,626 | 6,247,006 | 6,220,505 | 6,190,356 |
| TOTAL Agency Programs | 187,125,307 | 171,639,041 | 172,069,549 | 172,069,549 | 172,039,400 | 172,288,607 | 172,257,737 | 172,227,588 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 2,939,453 | 3,177,377 | 3,197,885 | 3,197,885 | 3,167,736 | 3,346,943 | 3,316,073 | 3,285,924 |
| Private Funds | 19,744,436 | 90,470,302 | 90,400,302 | 90,400,302 | 90,400,302 | 90,470,302 | 90,470,302 | 90,470,302 |
| Investment Trust Fund | 22,666,569 | 22,947,224 | 22,947,224 | 22,947,224 | 22,947,224 | 22,947,224 | 22,947,224 | 22,947,224 |
| Second Injury Fund | 7,371,567 | 8,981,256 | 8,981,256 | 8,981,256 | 8,981,256 | 8,981,256 | 8,981,256 | 8,981,256 |
| Unclaimed Property Fund | 6,010,056 | 8,107,920 | 8,587,920 | 8,587,920 | 8,587,920 | 8,587,920 | 8,587,920 | 8,587,920 |
| Special Non-Appropriated Funds | 990,784 | 1,293,512 | 1,293,512 | 1,293,512 | 1,293,512 | 1,293,512 | 1,293,512 | 1,293,512 |
| Restricted State Accounts | 127,402,442 | 36,661,450 | 36,661,450 | 36,661,450 | 36,661,450 | 36,661,450 | 36,661,450 | 36,661,450 |
| Total Agency Programs | 187,125,307 | 171,639,041 | 172,069,549 | 172,069,549 | 172,039,400 | 172,288,607 | 172,257,737 | 172,227,588 |

DEBT MANAGEMENT

Statutory Reference

C.G.S. Chapter 32, Part I.

Statement of Need and Program Objectives

To administer the state’s bond and debt financing program, including the sale of state bonds. To monitor the bond markets, financing structures and economic trends that affect interest rates in order to realize favorable bond issuances. To oversee the issuance of bonds to finance state capital projects, refinances outstanding debt when appropriate, manages debt service payments and cash flow borrowing, and provides information and data to private credit rating agencies.

Program Description

The State of Connecticut finances a wide array of capital projects and programs through the issuance of general obligation bonds backed by the general taxing power of the state. The projects include construction or repair of state offices, institutions, colleges and universities and prisons. The programs include loans or grants for housing, school construction, economic development, venture capital, community care facilities, development rights for farmland and open space acquisition.

Special tax obligation bonds, backed by a stream of gasoline tax and motor vehicle fines, fees and related charges, are issued to fund the non-federal share of the Transportation Infrastructure Renewal

Program including mass transit facilities and highway projects. The division manages the issuance of the general and special obligation bonds of the University of Connecticut under a capital investment program to rebuild and refurbish the University of Connecticut. That program, which began in 1995, now extends to 2024.

The division has developed several revenue bonding programs to meet other state financing needs. The Clean Water Fund bonding program enhances the state’s Clean Water Fund, which provides grants and loans to finance the planning, design and construction of water quality projects throughout the state including projects to improve water quality in Long Island Sound. Other specialized bonding programs include the Bradley International Airport bonding program.

Elements of the bond issuance process include preparing an official statement to disclose all pertinent information regarding the state’s economy and fiscal condition to underwriters and bond investors; making presentations with the Office of Policy and Management to credit rating agencies to obtain a credit rating on each issue; reviewing with bond and tax attorneys individual projects that may be included in a bond issue to assure that each qualifies for the federal tax exemption; structuring the issue for market acceptance; pricing the issue by competitive bid or negotiated sale and investing and releasing bond proceeds in accordance with bond indenture and federal tax requirements.

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 7 | 1 | 0 | 8 | 8 | 8 | 8 | 8 |
| Special Transportation Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Private Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 694,801 | 792,992 | 796,427 | 796,427 | 796,427 | 830,566 | 827,156 | 827,156 |
| Other Expenses | 4,309 | 4,309 | 4,309 | 4,309 | 4,309 | 4,309 | 4,309 | 4,309 |
| Total-General Fund | 699,110 | 797,301 | 800,736 | 800,736 | 800,736 | 834,875 | 831,465 | 831,465 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,061,793 | 65,744,365 | 65,674,365 | 65,674,365 | 65,674,365 | 65,744,365 | 65,744,365 | 65,744,365 |
| Investment Trust Fund | 128 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Second Injury Fund | 20,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 663,385 | 949,744 | 949,744 | 949,744 | 949,744 | 949,744 | 949,744 | 949,744 |
| Restricted State Accounts | 127,402,442 | 36,661,450 | 36,661,450 | 36,661,450 | 36,661,450 | 36,661,450 | 36,661,450 | 36,661,450 |
| Total - All Funds | 129,846,858 | 104,152,860 | 104,086,295 | 104,086,295 | 104,086,295 | 104,190,434 | 104,187,024 | 104,187,024 |

CASH MANAGEMENT

Statutory Reference

C.G.S. Chapter 32, Part I.

Statement of Need and Program Objectives

To protect state deposits through effective internal operations that maximize investment balances, while meeting the state's financial obligations, by speeding deposits, controlling disbursements, minimizing banking costs and balances and providing accurate cash forecasts. To manage the Treasurer's Short-Term Investment Fund (STIF), which is an investment pool for the operating cash of state and local governments.

Program Description

The Cash Management Division is responsible for managing the state's cash, banking relationships and its short-term investment programs, such as the Short-Term Investment Fund (STIF), the Medium-Term Investment Fund (STIF Plus), and other portfolios of permissible investments.

The Bank Control and Reconciliation Unit tracks the state's internal and external cash flow. The unit is also responsible for the reconciliation of treasury bank accounts, the administration of stop

payments and check reissues and the release of state payroll and vendor checks.

The Cash Control Unit forecasts daily and long-term cash availability, funds disbursement accounts, concentrates cash from depository banks, sweeps idle cash into short-term investment vehicles to maximize investment balances and executes electronic transfers.

The Short-Term Investments Unit invests STIF assets, monitors custodian activity and prepares quarterly and annual reports. The unit also administers STIF accounts for state agencies and authorities and municipal and local entities. In addition, the unit manages the Grant Express program, the Debt Express program, and the Clean Water program, which enable municipalities to transfer funds associated with these programs directly into and out of their STIF accounts.

The Client Services Unit consults with state agencies on initiatives to speed the deposit of funds, identifies mechanisms to reduce banking costs, reviews requests by state agencies for new bank accounts, maintains records of the state's bank accounts, and reviews bank invoices and compensation.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 8 | 1 | 0 | 9 | 9 | 9 | 9 | 9 |
| Private Funds | 6 | 2 | -1 | 7 | 7 | 7 | 7 | 7 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 756,672 | 783,493 | 790,109 | 790,109 | 790,109 | 821,797 | 820,838 | 820,838 |
| Other Expenses | 6,471 | 6,471 | 6,471 | 6,471 | 6,471 | 6,471 | 6,471 | 6,471 |
| Total-General Fund | 763,143 | 789,964 | 796,580 | 796,580 | 796,580 | 828,268 | 827,309 | 827,309 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 3,411,568 | 3,616,312 | 3,616,312 | 3,616,312 | 3,616,312 | 3,616,312 | 3,616,312 | 3,616,312 |
| Total - All Funds | 4,174,711 | 4,406,276 | 4,412,892 | 4,412,892 | 4,412,892 | 4,444,580 | 4,443,621 | 4,443,621 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Chapter 32 and Article IV of the State Constitution.

Statement of Need and Program Objectives

To direct and support the operations of the agency by establishing long and short-term goals and objectives and coordinating and providing management services.

Program Description

The Executive Office is responsible for overall policy, planning and general administration of treasury operations. The Policy Unit administers the state's corporate governance program. The Management Services Unit includes the personnel, information services and business office services.

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|-----------|-----------|-----------|-----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 25 | 3 | 0 | 28 | 28 | 28 | 28 | 28 |
| Private Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Investment Trust Fund | 8 | 4 | -4 | 9 | 9 | 9 | 9 | 9 |
| Second Injury Fund | 6 | 1 | -1 | 6 | 6 | 6 | 6 | 6 |
| Unclaimed Property Fund | 4 | 1 | -1 | 4 | 4 | 4 | 4 | 4 |
| | | | | | | | | |
| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,304,460 | 1,475,893 | 1,486,350 | 1,486,350 | 1,456,826 | 1,569,581 | 1,543,080 | 1,513,556 |
| Other Expenses | 172,740 | 114,219 | 114,219 | 114,219 | 113,594 | 114,219 | 114,219 | 113,594 |
| Total-General Fund | 1,477,200 | 1,590,112 | 1,600,569 | 1,600,569 | 1,570,420 | 1,683,800 | 1,657,299 | 1,627,150 |
| | | | | | | | | |
| <i>Other Funds Available</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 136,801 | 145,009 | 145,009 | 145,009 | 145,009 | 145,009 | 145,009 | 145,009 |
| Investment Trust Fund | 1,805,857 | 1,880,328 | 1,880,328 | 1,880,328 | 1,880,328 | 1,880,328 | 1,880,328 | 1,880,328 |
| Second Injury Fund | 917,132 | 1,257,376 | 1,257,376 | 1,257,376 | 1,257,376 | 1,257,376 | 1,257,376 | 1,257,376 |
| Unclaimed Property Fund | 647,521 | 972,950 | 972,950 | 972,950 | 972,950 | 972,950 | 972,950 | 972,950 |
| Special Non-Appropriated Funds | 292,899 | 307,543 | 307,543 | 307,543 | 307,543 | 307,543 | 307,543 | 307,543 |
| Total - All Funds | 5,277,410 | 6,153,318 | 6,163,775 | 6,163,775 | 6,133,626 | 6,247,006 | 6,220,505 | 6,190,356 |

INVESTMENT SERVICES

Statutory Reference

C.G.S. Chapter 32, Part I.

Statement of Need and Program Objectives

To maximize the long-term investment return on pension assets with an acceptable degree of risk so that beneficiary benefit payments are made when due and contributions required from the state and municipal plan sponsors are minimized.

Program Description

Under the direction of the Treasurer and with the advice of the Investment Advisory Council, the Pension Funds Management

Division manages the Connecticut Retirement Plans and Trust Funds (CRPTF) for the benefit of six pension funds and nine trust funds involving approximately 194,000 active and retired participants. The pension funds are the Teachers' Retirement Fund, State Employees' Retirement Fund, Municipal Employees' Retirement Fund, Probate Court Retirement Fund and the State Judges' and State Attorneys' Retirement Funds.

The Combined Investment Funds in which the CRPTF's assets are invested are externally managed with performance oversight maintained by the Pension Funds Management Division.

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Investment Trust Fund | 17 | 3 | -2 | 18 | 18 | 18 | 18 | 18 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 6,866,712 | 6,164,616 | 6,164,616 | 6,164,616 | 6,164,616 | 6,164,616 | 6,164,616 | 6,164,616 |
| Investment Trust Fund | 20,860,584 | 21,066,896 | 21,066,896 | 21,066,896 | 21,066,896 | 21,066,896 | 21,066,896 | 21,066,896 |
| Unclaimed Property Fund | 95 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 34,500 | 36,225 | 36,225 | 36,225 | 36,225 | 36,225 | 36,225 | 36,225 |
| Total - All Funds | 27,761,891 | 27,267,737 | 27,267,737 | 27,267,737 | 27,267,737 | 27,267,737 | 27,267,737 | 27,267,737 |

SECOND INJURY FUND

Statutory Reference

C.G.S. Chapter 568, Part E; Sections 31-349 and 31-354.

Statement of Need and Program Objectives

To relieve employers from liabilities under the Workers' Compensation Act by providing benefits for certain types of workers' compensation claims. The fund is charged with providing indemnity and/or medical benefits to claimants assigned to it by order of the workers' compensation commissioners, and to negotiate settlements of appropriate claims to offset long-term costs to the businesses that pay annual assessments to the Fund.

Program Description

The Second Injury Fund, administered by the Treasurer, is a state-run workers' compensation insurance fund that pays lost wages and medical benefits to qualified injured workers. The fund serves to

manage, and has liability for, workers' compensation claims which involve an uninsured employer or a bankrupt employer who fails to secure workers' compensation insurance; a pro rata liability for indemnity payments paid to any worker who had more than one employer at the time of injury; benefits to widows and dependents when the deceased died as a result of a work-related injury; and cost of living adjustment (COLA) payments for certain types of claims.

The Second Injury Fund operations are financed by assessments on Connecticut employers. Insured employers pay a surcharge on their workers' compensation insurance policies based on annual standard premiums. The assessment for self-insured employers is based on paid losses for medical and indemnity benefits incurred in the prior calendar year.

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Second Injury Fund | 26 | 3 | -1 | 28 | 28 | 28 | 28 | 28 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 8,267,562 | 14,800,000 | 14,800,000 | 14,800,000 | 14,800,000 | 14,800,000 | 14,800,000 | 14,800,000 |
| Second Injury Fund | 4,426,027 | 7,723,880 | 7,723,880 | 7,723,880 | 7,723,880 | 7,723,880 | 7,723,880 | 7,723,880 |
| Unclaimed Property Fund | 151 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 12,693,740 | 22,523,880 | 22,523,880 | 22,523,880 | 22,523,880 | 22,523,880 | 22,523,880 | 22,523,880 |

UNCLAIMED PROPERTY AND ESCHEATS

Statutory Reference

C.G.S. Chapter 32, Part III; Section 3-56a through 3-76.

Statement of Need and Program Objectives

To locate and reunite rightful owners with their unclaimed property and ensure that all unclaimed property, as defined by statute, is rendered to the state for safeguarding. To administer Connecticut's unclaimed property and escheats statutes.

Program Description

Under the Connecticut General Statutes, the Treasurer is custodian of all unclaimed property remitted to the state. A primary activity of the division is to reunite owners with their property, administered through outreach efforts and advertising of its *CTBigList* program. Another core activity of the division is its *Holder Outreach* program. Targeted to businesses and organizations, this program promotes compliance with the statutory obligation to report and remit unclaimed property to the state by the annual March 31st deadline.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Unclaimed Property Fund | 21 | 7 | -6 | 23 | 31 | 31 | 31 | 31 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Second Injury Fund | 2,008,408 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Unclaimed Property Fund | 5,362,289 | 7,134,970 | 7,614,970 | 7,614,970 | 7,614,970 | 7,614,970 | 7,614,970 | 7,614,970 |
| Total - All Funds | 7,370,697 | 7,134,970 | 7,614,970 | 7,614,970 | 7,614,970 | 7,614,970 | 7,614,970 | 7,614,970 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 2,644,445 | 2,940,890 | 2,960,937 | 2,931,413 | 3,107,066 | 3,048,617 |
| Salaries & Wages-Temporary | 17,433 | 17,433 | 17,769 | 17,769 | 19,255 | 17,769 |
| Salaries & Wages-Part Time | 5,916 | 5,916 | 6,029 | 6,029 | 7,472 | 7,013 |
| Longevity Payments | 6,887 | 6,887 | 6,887 | 6,887 | 6,887 | 6,887 |
| Overtime | 629 | 629 | 641 | 641 | 641 | 641 |
| Accumulated Leave | 80,623 | 80,623 | 80,623 | 80,623 | 80,623 | 80,623 |
| TOTAL - Personal Services-Personal Services | 2,755,933 | 3,052,378 | 3,072,886 | 3,043,362 | 3,221,944 | 3,161,550 |
| Other Expenses | | | | | | |
| Communications | 12,780 | 12,780 | 12,780 | 12,780 | 12,780 | 12,780 |
| Employee Travel | 25,983 | 10,983 | 10,983 | 10,983 | 10,983 | 10,983 |
| Equipment Rental and Maintenance | 13,162 | 13,162 | 13,162 | 13,162 | 13,162 | 13,162 |
| Food And Beverages | 494 | 494 | 494 | 494 | 494 | 494 |
| Information Technology | 9,517 | 9,517 | 9,517 | 9,517 | 9,517 | 9,517 |
| Motor Vehicle Costs | 13,580 | 8,059 | 8,059 | 8,059 | 8,059 | 8,059 |
| Other Services | 65,995 | 45,995 | 45,995 | 45,370 | 45,995 | 45,370 |
| Premises Expenses | 15,331 | 7,331 | 7,331 | 7,331 | 7,331 | 7,331 |

| | | | | | | |
|-----------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Professional Services | 3,409 | 3,409 | 3,409 | 3,409 | 3,409 | 3,409 |
| Purchased Commodities | 23,269 | 13,269 | 13,269 | 13,269 | 13,269 | 13,269 |
| TOTAL-Other Expenses | 183,520 | 124,999 | 124,999 | 124,374 | 124,999 | 124,374 |
| Personal Services | 2,755,933 | 3,052,378 | 3,072,886 | 3,043,362 | 3,221,944 | 3,161,550 |
| Other Expenses | 183,520 | 124,999 | 124,999 | 124,374 | 124,999 | 124,374 |
| TOTAL-General Fund | 2,939,453 | 3,177,377 | 3,197,885 | 3,167,736 | 3,346,943 | 3,285,924 |

STATE COMPTROLLER

AGENCY DESCRIPTION

The responsibilities of the Office of the State Comptroller include preparing all accounting statements relating to the financial condition of the state; providing for the financial reporting needs of the executive branch through the Core-CT system; paying all wages and

salaries of state employees and retirees; and administering miscellaneous appropriations including the procurement of medical, dental and pharmacy benefits.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|--------------------------------------------------------------------------------------------------------------|----------------|----------------|
| • Provide Funds for the Cloud Infrastructure Services | 0 | 2,000,000 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 941,734 |
| • Provide Funding for State Employee Wage Adjustment | 249,576 | 249,576 |
| • Reflect Expiring Grant-in-Aid to the Women's Business Development Council as Required in Public Act 19-117 | -450,000 | -450,000 |
| Reductions | FY 2022 | FY 2023 |
| • Annualize FY 2021 Rescissions | -265,852 | -265,852 |
| Reallocations | FY 2022 | FY 2023 |
| • Reallocate Funds from the Secretary of the State for the Connecticut Data Collaborative | 300,000 | 300,000 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 233 | 44 | 0 | 277 | 277 | 277 | 277 | 277 |
| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| OSC and Administrative Services | 2,634,650 | 4,425,672 | 3,992,577 | 4,004,972 | 4,065,116 | 4,085,171 | 4,100,587 | 4,160,731 |
| Accounting, Payroll and Financial Services | 16,455,923 | 17,212,742 | 18,074,936 | 17,529,128 | 17,503,132 | 20,717,876 | 20,083,477 | 20,057,481 |
| Retirement Services | 5,905,969 | 4,931,357 | 4,998,064 | 4,998,064 | 4,998,064 | 5,185,454 | 5,191,567 | 5,191,567 |
| Healthcare Services | 2,045,944 | 2,665,116 | 2,702,299 | 2,702,299 | 2,702,299 | 2,797,462 | 2,800,566 | 2,800,566 |
| TOTAL Agency Programs | 27,042,486 | 29,234,887 | 29,767,876 | 29,234,463 | 29,268,611 | 32,785,963 | 32,176,197 | 32,210,345 |
| Summary of Funding | | | | | | | | |
| General Fund | 27,042,486 | 29,234,887 | 29,767,876 | 29,234,463 | 29,268,611 | 32,785,963 | 32,176,197 | 32,210,345 |
| Total Agency Programs | 27,042,486 | 29,234,887 | 29,767,876 | 29,234,463 | 29,268,611 | 32,785,963 | 32,176,197 | 32,210,345 |

OFFICE OF THE STATE COMPTROLLER AND ADMINISTRATIVE SERVICES

Statutory Reference

Article IV of the State Constitution; C.G.S. Sections 3-112, 3-117, 4-33, 4-33a, 4-36, 4-52 through 4-58 and 4-98.

Statement of Need and Program Objectives

To monitor agency compliance with the state's accounting procedures, and conduct agency internal control reviews and fact-finding activities at the request of the Comptroller.

Program Description

The Administrative Services program includes Business and Services and Support Services. Business Services provides policy and program

direction for the administrative functions of the Office of the State Comptroller; including budget and statewide miscellaneous and fringe benefit accounts. Business services also includes business office functions for the Office of the State Comptroller. Support Services is responsible for the production and distribution of paycheck and deposit advices for state employees and retirees, as well as checks for statewide accounts payable.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 19 | 8 | 0 | 27 | 27 | 27 | 27 | 27 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,558,099 | 3,068,329 | 3,085,234 | 3,097,629 | 2,857,773 | 3,177,828 | 3,193,244 | 2,953,388 |
| Other Expenses | 76,551 | 1,357,343 | 907,343 | 907,343 | 1,207,343 | 907,343 | 907,343 | 1,207,343 |
| Total-General Fund | 2,634,650 | 4,425,672 | 3,992,577 | 4,004,972 | 4,065,116 | 4,085,171 | 4,100,587 | 4,160,731 |
| Total - All Funds | 2,634,650 | 4,425,672 | 3,992,577 | 4,004,972 | 4,065,116 | 4,085,171 | 4,100,587 | 4,160,731 |

ACCOUNTING, PAYROLL AND FINANCIAL SERVICES

Statutory Reference

Article IV of the State Constitution; C.G.S. Sections 3-112, 3-113, 3-115, 3-117, 3-119, 5-260, 5-261 and 5-262.

Statement of Need and Program Objectives

To manage and operate the Core-CT system. To provide, maintain, and modify an integrated central accounting system and preserve purchasing and expenditure integrity. To ensure that all state employees are paid, payroll tax records are maintained, and that federal and state income tax withholding and social security contributions are deposited. To pay state vendors and process grant payments to municipalities, non-profit organizations and individuals, and maintain associated IRS tax records and forms. To record, analyze and report state expenditures and receipts. To publish all official financial reports of the state for compliance with legal and regulatory disclosure requirements.

Program Description

The Accounts Payable Division manages the centralized accounts payable function for the state, maintains the vendor profile database, issues IRS forms in accordance with federal regulations, conducts post-transactional examinations of encumbrances and expenditures for compliance, and performs a variety of processing activities to satisfy federal or state requirements and Freedom of Information requests. The division enforces the statutory, regulatory and accounting provisions mandated by state and federal law and

facilitates the execution of statutory grant programs for payment to municipalities and non-for-profit organizations. The division also processes special payments such as tax-exempt bond funds, debt service, state legal settlements, land condemnations, human resource benefits, federal pass-throughs and state.

The Statewide Fiscal Policy unit develops and implements complex accounting systems and procedures for state agencies to maximize accountability, standardization, and cost. Responsibilities include segregation of duties, management of state's real and personal property, and monitoring of statewide Trustee Accounts.

The Active & Pension Payroll Services Division administers three sections: Active Payroll, Pension Payroll and Statewide Tuition and Travel Reimbursement Programs. The Active Payroll Services section pays all state employees and coordinates all payroll deductions, tax withholdings and social security contributions. It also pre-audits and issues state employee and corresponding vendor payments on a biweekly basis; including deduction reports; administers wage execution records and direct deposit programs statewide. The Payroll Module accommodates state payroll requirements including central agencies, mass salary changes, collective bargaining unit information, complex accounting transactions and extensive management reporting. It produces all the quarterly, annual tax statements, both federal and state, and produces all the statewide employee, and NRI tax forms. The Retirement Payroll (pension) section pays all retirees and administers state pension plans, including retirement benefits to

retired members of the State Employees Retirement System, the Judges, Family Support Magistrate and Compensation Commissioners Retirement System, the Probate Judges and Employees Retirement System, the State Attorneys Retirement System, the Public Defenders Retirement System, the State Judges Retirement System as well as the Municipal Employees Retirement System. It also issues state corresponding vendor payments on a monthly basis; including deduction reports; administers wage execution records and direct the deposit program. It produces the annual tax statements to all retirees' form 1099-R. The Statewide Tuition and Travel Training Unit processes reimbursement payments to collectively bargained state employees for approved tuition, travel, training and conference costs.

The Budget and Financial Analysis Division performs the statewide accounting and financial reporting functions. The division posts, analyzes and reports state expenses and revenues by fund, department and account category inclusive of federal and other funding sources. The division also reports on the state's assets and liabilities. The division prepares analyses of the state's budget condition and projects the budget position to year's end on a monthly basis. The division operates the state accounting components of the Core-CT financial system and is responsible for implementing financial

modules and system upgrades. The division publishes two of the State Comptroller's annual financial reports. These include the Comprehensive Annual Financial Report (CAFR) prepared in accordance with Generally Accepted Accounting Principles (GAAP) and a statutory GAAP budgetary-based report that details and analyzes state expenditures, receipts, and capital budget activities for the fiscal year. The division also performs all statewide cost accounting functions. It computes and reports direct and indirect costs associated with major state programs in order to obtain reimbursement of those costs from federal and other sources. The division is responsible for preparing and negotiating the Statewide Cost Allocation Plan with the U.S. Department of Health and Human Services on an annual basis.

The Information Technology Division is an inter-agency team that supports and maintains Core-CT. Core-CT is a technology platform that performs the state's accounting, accounts payable, accounts receivable, purchasing, billing, project management, human resource, time and attendance, payroll, pension and benefits administration functions.

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 117 | 30 | 0 | 147 | 147 | 147 | 147 | 147 |
| | | | | | | | | |
| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 11,398,436 | 13,415,665 | 14,007,627 | 13,732,051 | 13,732,051 | 14,555,063 | 14,286,400 | 14,286,400 |
| Other Expenses | 5,057,487 | 3,797,077 | 4,067,309 | 3,797,077 | 3,771,081 | 6,162,813 | 5,797,077 | 5,771,081 |
| Total-General Fund | 16,455,923 | 17,212,742 | 18,074,936 | 17,529,128 | 17,503,132 | 20,717,876 | 20,083,477 | 20,057,481 |
| | | | | | | | | |
| Total - All Funds | 16,455,923 | 17,212,742 | 18,074,936 | 17,529,128 | 17,503,132 | 20,717,876 | 20,083,477 | 20,057,481 |

RETIREMENT SERVICES

Statutory References

Article IV of the State Constitution; C.G.S. Chapters 65, 66, 104, 113, 774, 872, 886; pension agreements.

Statement of Need and Program Objectives

To administer and maintain the records and accounts of the State Employees Retirement System; the Alternate Retirement Program for eligible employees of the Board of Higher Education; the State's Attorneys Retirement System; the Public Defenders Retirement System; the Judges, Family Support Magistrates and Compensation Commissioners Retirement System; Special Statutory Retirement Benefits; the Connecticut Municipal Employees Retirement System; and the Connecticut Probate Judges and Employees Retirement System.

Program Description

The Retirement Services Division administers state pension plans serving more than 48,000 retirees, providing a comprehensive package of services including retirement counseling and administrative support to the Connecticut State Employees Retirement Commission.

The Retirement Services Division analyzes and implements statutory, collectively bargained, and federally mandated revisions to the pension plans within its jurisdiction. In addition, the division plans, researches and develops new products based on retirement conditions and trends.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 63 | 3 | 0 | 66 | 66 | 66 | 66 | 66 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 5,882,451 | 4,894,461 | 4,961,168 | 4,961,168 | 4,961,168 | 5,148,558 | 5,154,671 | 5,154,671 |
| Other Expenses | 23,518 | 36,896 | 36,896 | 36,896 | 36,896 | 36,896 | 36,896 | 36,896 |
| Total-General Fund | 5,905,969 | 4,931,357 | 4,998,064 | 4,998,064 | 4,998,064 | 5,185,454 | 5,191,567 | 5,191,567 |
| Total - All Funds | 5,905,969 | 4,931,357 | 4,998,064 | 4,998,064 | 4,998,064 | 5,185,454 | 5,191,567 | 5,191,567 |

HEALTHCARE AND BENEFIT SERVICES

Statutory Reference

Article IV of the State Constitution; C.G.S. Sections 3-119, 5-257, 5-259, 5-259b, 5-259c, 5-264 and 5-264a.

Statement of Need and Program Objectives

To administer state employee and retiree health insurance and other benefits including the state's defined contribution plans.

Program Description

The Healthcare Policy and Benefit Services Division is responsible for the procurement, administration, and evaluation of all benefit

programs for state employees and retirees. The division administers the Connecticut Partnership Plan, a program that offers employee health benefit plans to non-state public employers.

The division is also responsible for the administration of the Health Enhancement Program (HEP), which is designed to encourage preventive care and better maintain chronic conditions – with the ultimate goal of reducing more costly emergency care.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 34 | 3 | 0 | 37 | 37 | 37 | 37 | 37 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,041,643 | 2,657,139 | 2,694,322 | 2,694,322 | 2,694,322 | 2,789,485 | 2,792,589 | 2,792,589 |
| Other Expenses | 4,301 | 7,977 | 7,977 | 7,977 | 7,977 | 7,977 | 7,977 | 7,977 |
| Total-General Fund | 2,045,944 | 2,665,116 | 2,702,299 | 2,702,299 | 2,702,299 | 2,797,462 | 2,800,566 | 2,800,566 |
| Total - All Funds | 2,045,944 | 2,665,116 | 2,702,299 | 2,702,299 | 2,702,299 | 2,797,462 | 2,800,566 | 2,800,566 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|-------------------|-------------------|-------------------|--------------------|-------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 21,052,310 | 23,134,473 | 23,835,713 | 23,332,676 | 24,753,004 | 24,268,945 |
| Salaries & Wages-Temporary | 30,581 | 70,990 | 72,339 | 72,339 | 72,339 | 72,339 |
| Salaries & Wages-Part Time | 358,385 | 397,955 | 405,405 | 405,405 | 410,697 | 410,870 |
| Longevity Payments | 121,334 | 130,000 | 130,000 | 130,000 | 130,000 | 130,000 |
| Overtime | 79,635 | 150,000 | 152,718 | 152,718 | 152,718 | 152,718 |
| Accumulated Leave | 211,524 | 127,176 | 127,176 | 127,176 | 127,176 | 127,176 |
| Other Salaries & Wages | 26,456 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| Other | 404 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 21,880,629 | 24,035,594 | 24,748,351 | 24,245,314 | 25,670,934 | 25,187,048 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Communications | 22,381 | 33,632 | 33,632 | 33,632 | 33,632 | 33,632 |
| Employee Expenses | 420 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| Employee Travel | 1,451 | 187 | 187 | 187 | 187 | 187 |
| Equipment Rental and Maintenance | 88,833 | 95,405 | 95,405 | 95,405 | 95,405 | 95,405 |
| Information Technology | 3,865,719 | 3,831,371 | 4,101,603 | 4,105,375 | 6,197,107 | 6,105,375 |
| Motor Vehicle Costs | 13,533 | 15,383 | 15,383 | 15,383 | 15,383 | 15,383 |
| Other / Fixed Charges | 450,000 | 450,000 | 0 | 0 | 0 | 0 |
| Other Services | 577,939 | 489,633 | 489,633 | 489,633 | 489,633 | 489,633 |
| Premises Expenses | 10,279 | 0 | 0 | 0 | 0 | 0 |
| Professional Services | 76,126 | 127,830 | 127,830 | 127,830 | 127,830 | 127,830 |
| Purchased Commodities | 55,176 | 145,852 | 145,852 | 145,852 | 145,852 | 145,852 |
| TOTAL-Other Expenses | 5,161,857 | 5,199,293 | 5,019,525 | 5,023,297 | 7,115,029 | 7,023,297 |
| | | | | | | |
| Personal Services | 21,880,629 | 24,035,594 | 24,748,351 | 24,245,314 | 25,670,934 | 25,187,048 |
| Other Expenses | 5,161,857 | 5,199,293 | 5,019,525 | 5,023,297 | 7,115,029 | 7,023,297 |
| TOTAL-General Fund | 27,042,486 | 29,234,887 | 29,767,876 | 29,268,611 | 32,785,963 | 32,210,345 |

DEPARTMENT OF REVENUE SERVICES

AGENCY DESCRIPTION

The Department of Revenue Services (DRS) administers state taxes (except for the property tax), and collects state revenue while safeguarding Connecticut taxpayer rights and privacy. The DRS represents the State of Connecticut in litigation before the state's Tax Court and may initiate civil collection actions to collect unpaid taxes, including the placement of liens and the issuance of tax warrants. The DRS also conducts criminal investigations of potential tax violations of the Connecticut General Statutes.

The DRS serves taxpayers and collects funds to help the State of Connecticut carry out its various functions, in addition to providing research, collecting data, and issuing taxpayer guidance. The DRS is focused on fair and equitable tax administration, excellent customer service, and achieving the highest levels of voluntary tax compliance.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2022 | FY 2023 |
|-------------------------------------------------------|---------|-----------|
| • Provide Funding for State Employee Wage Adjustments | 551,663 | 551,663 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 2,289,896 |
| • Provide Funding for Statutory Tax Incidence Report | 375,000 | 0 |

Reductions

| | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------|----------|----------|
| • Annualize FY 2021 Rescissions | -610,857 | -610,857 |
| • Defer Tax Incidence Report to FY 2024 | -375,000 | 0 |
| • Achieve Savings by Closing the Bridgeport Regional Office for 2 Staff | -112,148 | -112,148 |

Revenue

| | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|---------|
| • Provide Funding for Tax Amnesty Program <i>Funding is provided for one-time costs, including information technology support, overtime, marketing and postage to implement the program.</i> | 1,115,000 | 0 |

Expansions

| | FY 2022 | FY 2023 | FY 2024 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-----------|-----------|
| • Provide Funding for Regulation of Recreational Use of Cannabis by Adults <i>Funding is provided in FY 2022 for one-time technology costs, with half-year funding in FY 2022 (annualized in FY 2023), for two Revenue Agents, responsible for the collection and investigation of overdue revenue owed to the state, and five Revenue Examiners to examine the financial records and accounts of businesses and individual taxpayers to ensure accuracy of tax liability as it pertains to recreational cannabis sales.</i> | 286,189 | 490,547 | 472,378 |
| • Provide Funding for the Data Analytics Initiative to Maximize Collections <i>Funding is provided for ongoing costs for software beginning in FY 2022 with additional ongoing costs for 1 Program Manager and 4 Economists in FY 2023.</i> | 750,000 | 1,130,000 | 1,115,926 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 560 | 67 | 0 | 627 | 627 | 625 | 627 | 632 |
| Private Funds | 6 | 1 | 0 | 7 | 7 | 7 | 7 | 7 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Operations | 16,387,906 | 18,294,128 | 18,634,128 | 18,634,128 | 18,634,128 | 19,193,314 | 19,252,400 | 19,252,400 |
| Audit | 21,620,624 | 24,474,347 | 24,474,347 | 24,474,347 | 25,224,347 | 25,321,799 | 25,413,204 | 26,163,204 |
| Collections & Enforcement | 4,657,484 | 5,365,552 | 5,365,552 | 5,365,552 | 6,530,552 | 5,586,566 | 5,571,643 | 5,951,643 |
| Management Services | 16,806,569 | 19,629,221 | 20,605,884 | 20,605,884 | 19,095,780 | 20,605,183 | 20,757,560 | 19,851,880 |
| TOTAL Agency Programs | 59,472,583 | 67,763,248 | 69,079,911 | 69,079,911 | 69,484,807 | 70,706,862 | 70,994,807 | 71,219,127 |

| <i>Summary of Funding</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 57,835,969 | 65,978,248 | 67,244,911 | 67,244,911 | 67,649,807 | 68,871,862 | 69,159,807 | 69,384,127 |
| Federal Funds | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 |
| Private Funds | 1,601,614 | 1,750,000 | 1,800,000 | 1,800,000 | 1,800,000 | 1,800,000 | 1,800,000 | 1,800,000 |
| Total Agency Programs | 59,472,583 | 67,763,248 | 69,079,911 | 69,079,911 | 69,484,807 | 70,706,862 | 70,994,807 | 71,219,127 |

OPERATIONS

Statutory Reference

C.G.S. Title 12.

Statement of Need and Program Objectives

To process and deposit state tax revenues in a timely manner.

Program Description

The Operations Division (along with Audit and Collections) is responsible for annually collecting more than \$19 billion from more than forty different tax sources. Operations processes 4.6 million tax returns which involves the following key activities: processing all state tax returns and corresponding documents; registering taxpayers and issuing tax registration numbers, permits, licenses, cigarette stamps and both motor carrier and International Fuel Tax Agreement decals; processing tax payments and ensuring the timely deposit of state tax revenue; developing and maintaining fraud prevention programs, reviewing the accuracy of tax returns entered into the agency's

integrated tax administration system; issuing timely bills and refunds, and oversight of the agency's electronic filing and payment programs.

This division promotes voluntary taxpayer compliance through tax form and document preparation management, taxpayer education, outreach programs and other general assistance activities including but not limited to responding to taxpayer inquiries concerning bills, refunds, registration issues and general tax issues received by telephone, letter and e-mail.

The Taxpayer Services unit provides education, training and assistance with tax preparation to taxpayers and practitioners, accept non-cash payments, issue temporary motor carrier permits, and accept business registration applications and issue temporary tax ID numbers.

The Mailroom/Print Services Unit prints agency mail outs and provides incoming and outgoing mail services to the department and other agencies.

| <i>Program Measures</i> | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Refund Processed | 115,000 | 120,000 | 120,000 | 120,000 |
| Tax refunds issue without interest expense (%) | 99% | 99% | 99% | 99% |
| Tax Returns processed/yr (000) | 4,000 | 5,000 | 4,500 | 4,500 |
| Returns filed electronically (included in total returns processed) (000) | 3,500 | 4,500 | 4,140 | 4,230 |
| Tax return errors resolved with filing cycle (%) | 87 | 88 | 90 | 92 |
| Taxpayer customer service inquiries (non-phone) (000) | 21,128 | 23,000 | 23,000 | 25,000 |
| Inquiries resolved on self-service basis (%) | 98% | 98% | 98% | 98% |
| Taxpayer service phone calls (000) | 392,000 | 400,000 | 425,000 | 430,000 |

| | | | | |
|---------------------------------|---------|---------|---------|---------|
| Amount of A/R payments received | 379,000 | 450,000 | 500,000 | 500,000 |
| Number A/R payments received | 425,500 | 240,000 | 240,000 | 240,000 |
| New Registrants | 36,500 | 35,000 | 37,000 | 37,500 |
| Permits Renewed | 12,450 | 12,000 | 12,500 | 12,500 |
| Permits/Decals issued (000) | 24 | 27 | 30 | 30 |

| | | | | | | | | |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 169 | 7 | 0 | 176 | 176 | 176 | 176 | 176 |

| | | | | | | | | |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 14,015,470 | 15,799,845 | 16,139,845 | 16,139,845 | 16,139,845 | 16,699,031 | 16,758,117 | 16,758,117 |
| Other Expenses | 2,372,436 | 2,494,283 | 2,494,283 | 2,494,283 | 2,494,283 | 2,494,283 | 2,494,283 | 2,494,283 |
| Total-General Fund | 16,387,906 | 18,294,128 | 18,634,128 | 18,634,128 | 18,634,128 | 19,193,314 | 19,252,400 | 19,252,400 |
| Total - All Funds | 16,387,906 | 18,294,128 | 18,634,128 | 18,634,128 | 18,634,128 | 19,193,314 | 19,252,400 | 19,252,400 |

AUDIT & COMPLIANCE

Statutory Reference

C.G.S. Title 12 and Chapters 216, 217 and 218.

Statement of Need and Program Objectives

To determine the accuracy of tax reporting through comprehensive field and office audits of targeted accounts in order to collect tax revenue due and induce compliance among all taxpayers.

Program Description

The Audit and Compliance Division is organized to conduct examinations for approximately forty state taxes.

The division performs audits of resident and out-of-state based taxpayers to determine the extent of their tax obligations to Connecticut for a variety of tax types. The division operates six field audit units and five office units that conduct more than 82,844 audits annually.

This division directs agency, interagency and interstate compliance programs that include liaison and reciprocal agreements with the federal government, other state governments, several Connecticut state agencies and private organizations.

| | | | | |
|--------------------------------------------|----------------|------------------|------------------|------------------|
| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
| | Actual | Estimated | Projected | Projected |
| Audits conducted (#) | 82,844 | 75,000 | 160,000 | 160,000 |
| Audit assessments (\$000) | 359,003 | 350,000 | 400,000 | 400,000 |
| Total audit refund approved amount (\$000) | 29,000 | 28,000 | 28,000 | 28,000 |
| Average Assessment per Audit (\$) | 4,333 | 4,666 | 2,500 | 2,500 |
| Total audit refunds (#) | 1,500 | 1,400 | 1,400 | 1,400 |

| | | | | | | | | |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 221 | 30 | 0 | 251 | 251 | 251 | 251 | 256 |

| | | | | | | | | |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 21,004,365 | 23,955,138 | 23,955,138 | 23,955,138 | 23,955,138 | 24,802,590 | 24,893,995 | 24,893,995 |
| Other Expenses | 581,259 | 484,209 | 484,209 | 484,209 | 1,234,209 | 484,209 | 484,209 | 1,234,209 |
| Total-General Fund | 21,585,624 | 24,439,347 | 24,439,347 | 24,439,347 | 25,189,347 | 25,286,799 | 25,378,204 | 26,128,204 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Federal Contributions 20205 Highway Planning & Construction | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 |
| Total - All Funds | 21,620,624 | 24,474,347 | 24,474,347 | 24,474,347 | 25,224,347 | 25,321,799 | 25,413,204 | 26,163,204 |

COLLECTION & ENFORCEMENT

Statutory Reference

C.G.S. Title 12.

Statement of Need and Program Objectives

To ensure the collection of overdue state taxes. Collection enforcement activities are directed at those who do not voluntarily pay taxes and are conducted through an efficient, comprehensive and highly visible program.

Program Description

The Collections and Enforcement Unit revenue agents conduct the first level of the collection process. The agents are primarily responsible for

initiating telephone contact to resolve overdue accounts prior to referring cases to the next level. Upon identification, chronic debtors or high-risk cases are immediately referred for enforcement action.

Each year approximately 50,000 overdue accounts are referred for enforcement action. This includes collection of tax warrants, permit suspension hearings, imposition of security bonds and filing of tax liens and bankruptcy proofs of claims. In addition, enforcement efforts include on-site investigations of citizen complaints pertaining to tax violations and follow-up on leads generated through audit examinations and from various third parties.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|----------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Past due tax revenue collected (\$000) | 154,686 | 100,000 | 145,000 | 175,000 |
| Tax Warrants | 36,485 | 10,000 | 45,000 | 57,200 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 57 | 3 | 0 | 60 | 60 | 65 | 60 | 67 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 4,647,386 | 5,306,763 | 5,306,763 | 5,306,763 | 5,356,763 | 5,527,777 | 5,512,854 | 5,892,854 |
| Other Expenses | 9,781 | 58,789 | 58,789 | 58,789 | 1,173,789 | 58,789 | 58,789 | 58,789 |
| Total-General Fund | 4,657,167 | 5,365,552 | 5,365,552 | 5,365,552 | 6,530,552 | 5,586,566 | 5,571,643 | 5,951,643 |

Other Funds Available

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 317 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 4,657,484 | 5,365,552 | 5,365,552 | 5,365,552 | 6,530,552 | 5,586,566 | 5,571,643 | 5,951,643 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Title 12.

Statement of Need and Program Objectives

To set department policy and direction; to allocate, manage and monitor programs and resources; to provide support services and legal and research assistance within the department and to legislators, the Governor's office and the public.

Program Description

The Commissioner's Office sets departmental policy and direction, in coordination with senior staff members, oversees legislative activities and legislatively mandated programs, and determines communication policy. The office includes the agency's affirmative action program, organizational development, and taxpayer advocate functions.

The Legal Division serves as in-house legal counsel to the department.

The Research Unit serves as the department's liaison with the general assembly and other state agencies and monitors the department's legislative proposals and any legislative changes affecting the department.

The Litigation Division represents the Department in all actions before all courts, boards, and commissions. The division provides counsel to other bureaus regarding collection issues, including review of all collections forms and notices. The division coordinates with the Office of the Attorney General the representation of the Department before the Superior Court in connection with collections matters. In addition, counsel for the Litigation Division is responsible for providing internal

and external guidance regarding Connecticut's Insurance Premiums and Health Care Provider taxes including responding to internal and external inquiries, drafting publications, drafting legislation, issuing rulings, and reviewing forms.

The Appellate Division is responsible for reviewing protests filed by taxpayers.

The Criminal Investigation Division is the criminal enforcement arm of the agency.

The Fiscal Administrative Services Division consists of three separate areas of operation; the Business Office which includes purchasing, accounts payable, budget, grants and contracts, and payroll; Central Services includes facilities, record retention and asset management; and Revenue Accounting handles revenue, and general accounting and accounts receivable.

The Information Services Division provides IT systems design, development and implementation. The area administers network data communications and technical support for data processing, office automation, internal email, computer and data security, personal computing support and technology training. The division also conducts equipment evaluation, technology resource acquisition, maintenance, and oversees the storage and retrieval of electronic records.

The Organizational Development Unit leverages opportunities with the primary goal of transforming the agency into a performance driven organization. This unit will create growth and opportunity for employees through the development of a comprehensive training program that provides the skills and knowledge needed to succeed.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------------------------------------------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Projected | Projected |
| Criminal Investigation Division - Arrests | 74 | 100 | 200 | 250 |
| Criminal Investigation Division - Criminal Investigations Opened | 205 | 300 | 400 | 400 |
| Appeals Hearings | 704 | 800 | 820 | 850 |
| Appellate Gross Assessments resolved/yr (\$000) | 69,720 | 75,000 | 80,000 | 80,000 |
| Percentage of Appellate cases resolved (no litigation required) | 98.74% | 98% | 98% | 98% |
| Taxpayer Appeals - resolved/received | 1,912/1,883 | 1,200/1,100 | 2,150/2,000 | 2,300/2,150 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 113 | 27 | 0 | 140 | 140 | 133 | 140 | 133 |
| Private Funds | 6 | 1 | 0 | 7 | 7 | 7 | 7 | 7 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 11,521,471 | 13,583,879 | 14,135,542 | 14,135,542 | 13,162,586 | 14,509,841 | 14,662,218 | 13,918,686 |
| Other Expenses | 3,683,801 | 4,295,342 | 4,670,342 | 4,670,342 | 4,133,194 | 4,295,342 | 4,295,342 | 4,133,194 |
| Total-General Fund | 15,205,272 | 17,879,221 | 18,805,884 | 18,805,884 | 17,295,780 | 18,805,183 | 18,957,560 | 18,051,880 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,601,297 | 1,750,000 | 1,800,000 | 1,800,000 | 1,800,000 | 1,800,000 | 1,800,000 | 1,800,000 |
| Total - All Funds | 16,806,569 | 19,629,221 | 20,605,884 | 20,605,884 | 19,095,780 | 20,605,183 | 20,757,560 | 19,851,880 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|------------|------------|------------|-------------|------------|-------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 49,329,549 | 55,640,439 | 56,530,018 | 55,607,062 | 58,512,018 | 58,431,422 |
| Salaries & Wages-Temporary | 11,378 | 59,918 | 59,918 | 59,918 | 59,918 | 59,918 |
| Salaries & Wages-Part Time | 564,628 | 529,555 | 530,290 | 530,290 | 550,241 | 555,250 |
| Longevity Payments | 381,972 | 420,001 | 420,001 | 420,001 | 420,001 | 420,001 |
| Overtime | 29,017 | 658,212 | 659,561 | 659,561 | 659,561 | 659,561 |
| Accumulated Leave | 665,791 | 1,093,483 | 1,093,483 | 1,093,483 | 1,093,483 | 1,093,483 |
| Other Salaries & Wages | 59,185 | 65,845 | 65,845 | 65,845 | 65,845 | 65,845 |
| Other | 147,172 | 178,172 | 178,172 | 178,172 | 178,172 | 178,172 |
| TOTAL - Personal Services-Personal Services | 51,188,692 | 58,645,625 | 59,537,288 | 58,614,332 | 61,539,239 | 61,463,652 |
| <i>Other Expenses</i> | | | | | | |
| Communications | 446,915 | 914,012 | 914,012 | 914,012 | 914,012 | 914,012 |
| Electricity | 9,947 | 10,973 | 10,973 | 0 | 10,973 | 0 |
| Employee Expenses | 8,969 | 9,894 | 9,894 | 9,894 | 9,894 | 9,894 |
| Employee Travel | 301,902 | 125,030 | 125,030 | 125,030 | 125,030 | 125,030 |
| Equipment Rental and Maintenance | 166,022 | 183,139 | 183,139 | 183,139 | 183,139 | 183,139 |
| Food And Beverages | 4,984 | 5,477 | 5,477 | 5,477 | 5,477 | 5,477 |
| Information Technology | 2,638,375 | 2,510,398 | 2,510,398 | 2,457,412 | 2,510,398 | 2,457,412 |
| IT Data Services | 0 | 0 | 0 | 750,000 | 0 | 750,000 |
| IT Software Licenses/Rental | 0 | 0 | 0 | 1,065,000 | 0 | -50,000 |
| Motor Vehicle Costs | 88,282 | 97,384 | 97,384 | 97,384 | 97,384 | 97,384 |
| Other Services | 2,024,627 | 2,233,812 | 2,233,812 | 2,233,812 | 2,233,812 | 2,233,812 |
| Premises Expenses | 24,253 | 26,753 | 26,753 | 0 | 26,753 | 0 |
| Premises Rent Expense-Landlord | 100,095 | 21,436 | 21,436 | 0 | 21,436 | 0 |
| Professional Services | 122,616 | 105,259 | 480,259 | 105,259 | 105,259 | 105,259 |
| Purchased Commodities | 710,290 | 1,089,056 | 1,089,056 | 1,089,056 | 1,089,056 | 1,089,056 |
| TOTAL-Other Expenses | 6,647,277 | 7,332,623 | 7,707,623 | 9,035,475 | 7,332,623 | 7,920,475 |
| Personal Services | 51,188,692 | 58,645,625 | 59,537,288 | 58,614,332 | 61,539,239 | 61,463,652 |
| Other Expenses | 6,647,277 | 7,332,623 | 7,707,623 | 9,035,475 | 7,332,623 | 7,920,475 |
| TOTAL-General Fund | 57,835,969 | 65,978,248 | 67,244,911 | 67,649,807 | 68,871,862 | 69,384,127 |

OFFICE OF GOVERNMENTAL ACCOUNTABILITY

AGENCY DESCRIPTION

The Office of Governmental Accountability is comprised of agencies and programs whose primary mission is to foster honesty, integrity, and accountability within state government.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|---------------------------------------------------------------------------------------------|---------|---------|
| • Provide Funding for State Employee Wage Adjustments | 8,323 | 8,323 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 69,106 |
| • Adjust Board of Firearms Permit Examiners Funding to Reflect Historical Expenditure Level | -3,500 | -3,500 |
| • Adjust Other Expenses Based On Historical Expenditures | -3,229 | -3,229 |
| • Adjust Funding for the Office of Victim Advocate Based On Historical Expenditures | -2,750 | -2,750 |
| • Adjust Funding for Judicial Review Council to Reflect Historical Expenditures | -1,210 | -1,210 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 15 | 4 | 0 | 19 | 19 | 19 | 19 | 19 |

| <i>Other Positions Equated to Full-Time</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested | Recommended |
| General Fund | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Office of the Child Advocate | 758,772 | 820,782 | 823,344 | 823,344 | 822,966 | 852,730 | 855,246 | 854,868 |
| Contracting Standards Board | 167,231 | 176,909 | 304,392 | 176,909 | 175,870 | 318,671 | 183,713 | 182,674 |
| Judicial Selection | 59,480 | 91,816 | 91,816 | 91,816 | 91,345 | 94,308 | 95,347 | 94,876 |
| Judicial Review Council | 87,006 | 132,963 | 134,868 | 133,658 | 133,108 | 137,227 | 138,999 | 138,449 |
| Office of the Victim Advocate | 376,951 | 432,331 | 432,010 | 429,260 | 428,028 | 447,034 | 446,134 | 444,902 |
| Board of Firearms Permit Examiners | 89,653 | 121,016 | 121,016 | 117,516 | 116,775 | 124,119 | 122,170 | 121,429 |
| OGA Central Administration | 20,289 | 32,287 | 32,287 | 29,058 | 27,444 | 32,287 | 29,058 | 27,444 |
| TOTAL Agency Programs | 1,559,382 | 1,808,104 | 1,939,733 | 1,801,561 | 1,795,536 | 2,006,376 | 1,870,667 | 1,864,642 |

| <i>Summary of Funding</i> | | | | | | | | |
|---------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| General Fund | 1,559,382 | 1,803,927 | 1,939,733 | 1,801,561 | 1,795,536 | 2,006,376 | 1,870,667 | 1,864,642 |
| Private Funds | 0 | 4,177 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 1,559,382 | 1,808,104 | 1,939,733 | 1,801,561 | 1,795,536 | 2,006,376 | 1,870,667 | 1,864,642 |

OFFICE OF THE CHILD ADVOCATE

Statutory Reference

C.G.S. Sections 46a-13k through 46a-13q.

Statement of Need and Program Objectives

To secure and ensure the legal, civil and special rights of children who reside in this state.

Program Description

The Office of the Child Advocate (OCA) reviews the services provided to children by state agencies and investigates complaints against

those agencies; reviews the facilities and procedures of institutions where a juvenile has been placed by a state agency; recommends changes in policies and procedures concerning children including those regarding juvenile justice, child care, foster care and treatment; advocates for systemic reform and formal legal action in order to ensure the legal, civil and special rights of children; and provides training and technical assistance to attorneys representing children and guardians ad litem appointed by the Superior Court.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 7 | 2 | 0 | 9 | 9 | 9 | 9 | 9 |
| | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Child Fatality Review Panel | 105,186 | 108,354 | 108,354 | 108,354 | 108,354 | 112,408 | 112,521 | 112,521 |
| Office of the Child Advocate | 653,586 | 711,931 | 714,990 | 714,990 | 714,612 | 740,322 | 742,725 | 742,347 |
| Other Current Expenses | 758,772 | 820,285 | 823,344 | 823,344 | 822,966 | 852,730 | 855,246 | 854,868 |
| Total-General Fund | 758,772 | 820,285 | 823,344 | 823,344 | 822,966 | 852,730 | 855,246 | 854,868 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 0 | 497 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 758,772 | 820,782 | 823,344 | 823,344 | 822,966 | 852,730 | 855,246 | 854,868 |

STATE CONTRACTING STANDARDS BOARD

Statutory Reference

C.G.S. Section 4e-1 through 47.

Statement of Need and Program Objectives

To ensure integrity, consistency, and efficiencies in state contracting and procurement processes.

Program Description

The State Contracting Standards Board functions as an oversight board, acting on contested awards and procurements, requiring cost-benefit analyses by state contracting agencies before the utilization of privatization contracts, and ensuring compliance with state and federal procurement standards and statutes. The board consists of 14 appointed members. The chair of the board is appointed by the Governor.

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 1 | 0 | 2 | 2 | 2 | 2 | 2 |

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Contracting Standards Board | 167,231 | 176,909 | 304,392 | 176,909 | 175,870 | 318,671 | 183,713 | 182,674 |
| Total-General Fund | 167,231 | 176,909 | 304,392 | 176,909 | 175,870 | 318,671 | 183,713 | 182,674 |
| Total - All Funds | 167,231 | 176,909 | 304,392 | 176,909 | 175,870 | 318,671 | 183,713 | 182,674 |

JUDICIAL SELECTION

Statutory Reference

C.G.S. Section 51-44a.

Statement of Need and Program Objectives

To evaluate, investigate and recommend qualified candidates for consideration by the Governor for nomination as judges for the Superior Court, the Appellate Court and the Supreme Court.

Program Description

The Judicial Selection Commission consists of twelve members. The commission develops a listing of qualified candidates by investigating and interviewing the candidates, including incumbent judges seeking appointment to a different court.

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Judicial Selection Commission | 59,480 | 91,816 | 91,816 | 91,816 | 91,345 | 94,308 | 95,347 | 94,876 |
| Total-General Fund | 59,480 | 91,816 | 91,816 | 91,816 | 91,345 | 94,308 | 95,347 | 94,876 |
| Total - All Funds | 59,480 | 91,816 | 91,816 | 91,816 | 91,345 | 94,308 | 95,347 | 94,876 |

JUDICIAL REVIEW

Statutory Reference

C.G.S. Section 51-51k.

Statement of Need and Program Objectives

To investigate complaints of judicial misconduct made against state judges, family support magistrates and workers' compensation commissioners.

Program Description

The purpose of this agency is to help enforce high standards of judicial conduct on and off the bench in order to preserve the integrity of the court system and promote public confidence in the courts.

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------|-----------|-----------|-----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| <i>Other Positions Equated to Full-Time</i> | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1 | 1 | 1 | 1 | 1 | 1 |
| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| <i>Other Current Expenses</i> | | | | | | | | |
| Judicial Review Council | 87,006 | 132,963 | 134,868 | 133,658 | 133,108 | 137,227 | 138,999 | 138,449 |
| Total-General Fund | 87,006 | 132,963 | 134,868 | 133,658 | 133,108 | 137,227 | 138,999 | 138,449 |
| Total - All Funds | 87,006 | 132,963 | 134,868 | 133,658 | 133,108 | 137,227 | 138,999 | 138,449 |

OFFICE OF VICTIM ADVOCATE

Statutory Reference

C.S.S. Sections 46a-13b, et seq.

Statement of Need and Program Objectives

To provide oversight and advocacy when it has been determined the criminal justice or victim service delivery systems failed crime victims.

To promote the fair and just treatment of crime victims throughout the criminal justice process, and ensure that the voices of crime

victims play a central role in Connecticut's response to violence against those victimized by crime.

Program Description

The Office of the Victim Advocate protects and promotes the rights of crime victims through individual case advocacy; engages in multi-agency efforts to further the constitutional rights of crime victims and advocates for systemic reforms regarding victims' rights and services, legal action, public education and legislative advocacy.

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|-----------|-----------|----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 4 | 1 | 0 | 5 | 5 | 5 | 5 | 5 |
| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| <i>Other Current Expenses</i> | | | | | | | | |
| Office of the Victim Advocate | 376,951 | 428,651 | 432,010 | 429,260 | 428,028 | 447,034 | 446,134 | 444,902 |
| Total-General Fund | 376,951 | 428,651 | 432,010 | 429,260 | 428,028 | 447,034 | 446,134 | 444,902 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 0 | 3,680 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 376,951 | 432,331 | 432,010 | 429,260 | 428,028 | 447,034 | 446,134 | 444,902 |

BOARD OF FIREARMS PERMIT EXAMINERS

Statutory Reference

C.G.S. Sections 29-32b, 28-28 and 29-36f.

Statement of Need and Program Objectives

To provide a means of appeal for any citizen aggrieved by any denial to issue or renew a pistol permit or by revocation of a pistol permit or refusal or failure of any issuing authority to furnish an application.

Program Description

The Board of Firearms Permit Examiners functions as a civilian review board, acting on appeals due to the denial or revocation of pistol permit by a local police chief, first selectman, or the Department of Emergency Services and Public Protection. The board adjudicates appeals through civil administrative hearings.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Other Positions Equated to Full-Time | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Board of Firearms Permit Examiners | 89,653 | 121,016 | 121,016 | 117,516 | 116,775 | 124,119 | 122,170 | 121,429 |
| Total-General Fund | 89,653 | 121,016 | 121,016 | 117,516 | 116,775 | 124,119 | 122,170 | 121,429 |
| Total - All Funds | 89,653 | 121,016 | 121,016 | 117,516 | 116,775 | 124,119 | 122,170 | 121,429 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Other Expenses | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Communications | 409 | 1,287 | 1,287 | 1,287 | 1,287 | 1,287 |
| Other Services | 18,688 | 26,000 | 26,000 | 24,386 | 26,000 | 24,386 |
| Purchased Commodities | 1,192 | 5,000 | 5,000 | 1,771 | 5,000 | 1,771 |
| TOTAL-Other Expenses | 20,289 | 32,287 | 32,287 | 27,444 | 32,287 | 27,444 |
| Other Current Expenses | | | | | | |
| Child Fatality Review Panel | 105,186 | 108,354 | 108,354 | 108,354 | 112,408 | 112,521 |
| Contracting Standards Board | 167,231 | 176,909 | 304,392 | 175,870 | 318,671 | 182,674 |
| Judicial Review Council | 87,006 | 132,963 | 134,868 | 133,108 | 137,227 | 138,449 |
| Judicial Selection Commission | 59,480 | 91,816 | 91,816 | 91,345 | 94,308 | 94,876 |
| Office of the Child Advocate | 653,586 | 711,931 | 714,990 | 714,612 | 740,322 | 742,347 |
| Office of the Victim Advocate | 376,951 | 428,651 | 432,010 | 428,028 | 447,034 | 444,902 |
| Board of Firearms Permit Examiners | 89,653 | 121,016 | 121,016 | 116,775 | 124,119 | 121,429 |
| TOTAL-Other Current Expenses | 1,539,093 | 1,771,640 | 1,907,446 | 1,768,092 | 1,974,089 | 1,837,198 |

| | | | | | | |
|------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Other Expenses | 20,289 | 32,287 | 32,287 | 27,444 | 32,287 | 27,444 |
| Other Current Expenses | 1,539,093 | 1,771,640 | 1,907,446 | 1,768,092 | 1,974,089 | 1,837,198 |
| TOTAL-General Fund | 1,559,382 | 1,803,927 | 1,939,733 | 1,795,536 | 2,006,376 | 1,864,642 |

OFFICE OF POLICY AND MANAGEMENT

AGENCY DESCRIPTION

The Office of Policy and Management (OPM) serves as a staff agency reporting directly to the Governor leading the budgetary, financial oversight of the State, and providing the information and analysis required to formulate public policy for the state and assisting state agencies and municipalities in implementing policy decisions on the Governor's behalf.

The objectives of OPM are to: provide the staff functions of budget, management, planning, intergovernmental relations and labor relations through a single agency; encourage the integration of planning, budgeting and program analysis; provide necessary staff support to the Governor on policy analysis, development and implementation; and seek long-term improvements in the management of state agencies.

OPM is headed by a Secretary and a Deputy Secretary who advise the Governor with the assistance from division heads and directors for Assets Management, Budget and Financial Management, Communications, Criminal Justice Policy and Planning, Data and Policy Analytics, Finance, Health and Human Services Policy and Planning,

Intergovernmental Policy and Planning, Labor Relations, Legal Affairs, Legislative Affairs, and Strategic Initiatives and Accountability.

OPM performs various fiscal functions to monitor, implement and report on the state's budget throughout the fiscal year. OPM prepares the Governor's biennial budget, including the state's capital budget, for submission to the legislature in odd-numbered years. OPM prepares the Governor's budget adjustments for the second half of the biennium that are submitted in even-numbered years.

Areas in which OPM facilitates interagency coordination include urban policy (with particular focus on distressed municipalities), prison overcrowding and reentry strategies, crime prevention and youth development, data access, land use and physical resources, water resources conservation, human capital development to match Connecticut's workers and their skills to available jobs, job creation and economic development, transportation, housing, health care access, and the purchase of human services.

OPM also performs grants management, intergovernmental reviews, and provides technical assistance to municipalities.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|
| • Reflect Impact of Increase in Minimum Wage for Private Providers | -6,000,000 | -6,000,000 |
| • Funding provided in the FY 2020 - FY 2021 biennial budget to address the impact of the increased minimum wage for employees of private providers is realigned from the Office of Policy and Management's Private Providers account to agency budgets. | | |
| • Adjust Funding for Tax Relief for Elderly Renters to Reflect Anticipated Caseload | 2,728,902 | 3,297,885 |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services - General Fund | 4,750,738 | 4,933,459 |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services - Special Transportation Fund | 673,688 | 699,599 |
| • Provide Funding for a Staff Person to Support Recently Enacted Criminal Justice Legislation | 110,427 | 114,674 |
| • This position will assist with the collection of data, oversight and policy analysis for recently enacted criminal justice public acts, including the Trust Act requirements regarding collection of immigration and customs enforcement data (P.A. 19-20 and 19-23); and jailhouse witnesses data (P.A. 19-131). | | |
| • Provide Funding for State Employee Wage Adjustments - General Fund | 39,470 | 39,470 |
| • Adjust Funding for Property Tax Relief Elderly Freeze to Reflect FY 2021 Actual Costs | -30,000 | -30,000 |
| • Adjust Fringe Benefits to Reflect Actual Rates - Insurance Fund | 12,562 | 24,565 |
| • Adjust Funding for Personal Services - Insurance Fund | 2,630 | 2,731 |
| • Provide Funding for State Employee Wage Adjustments - Insurance Fund | 1,945 | 1,945 |
| • Reflect Impact of 27th Payroll During FY 2023 - General Fund | 0 | 454,323 |
| • Reflect Impact of 27th Payroll During FY 2023 - Insurance Fund | 0 | 13,510 |
| Reductions | FY 2022 | FY 2023 |
| • Maintain Funding for Tax Relief for Elderly/Disabled Renters at the FY 2021 Level | -2,728,902 | -3,297,885 |
| • Update Funding for PILOT Grants to Reflect the Conversion of Tax-Exempt Properties to Taxable Status | -891,126 | -891,126 |
| • Remove Temporary Increases to Municipal Stabilization Grants for Groton and Thompson | -500,000 | -500,000 |
| • Funding is adjusted to remove temporary increases provided to the towns of Groton and Thompson in the FY 2021 Municipal Stabilization payment list. | | |
| • Annualize FY 2021 Holdbacks | -259,253 | -259,253 |
| • Annualize FY 2021 Rescissions | -196,010 | -196,010 |

| | | |
|------------------------------------------------------------------------------------------------------|----------|----------|
| • Reduce Funding for the Connecticut Municipal Redevelopment Authority | -125,000 | -125,000 |
| • Adjust Funding for Personal Services and Fringe Benefits to Reflect Current Needs - Insurance Fund | -49,308 | -49,308 |
| • Adjust Funding for Justice Assistance Grants to Reflect Anticipated Federal Match Needs | -40,000 | -40,000 |
| • Adjust Funding for Automated Budget System and Data Base Link | -5,000 | -5,000 |

Expansions

| | FY 2022 | FY 2023 | FY 2024 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| • Provide Funding for Two Positions and Consulting Costs to Assist with Broadband Build-out - Consumer Counsel and Public Utility Control Fund | 469,399 | 381,452 | 367,399 |
| • Provide Funding for Two Positions to Manage the Equity Commission and Funds for an Equity Analysis and Report Related to the Legalization of Cannabis | 257,818 | 189,849 | 182,818 |
| • Funding is provided for two positions to manage the Equity Commission and funds in FY 2022 for an equity analysis and report. The report will consider census, arrest, and other data to identify communities most impacted by the war on drugs. This analysis will be conducted by the Institute for Municipal and Regional Policy at CSCU. | | | |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Consumer Counsel/Public Utility Fund | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 2 |
| General Fund | 100 | 25 | 0 | 125 | 177 | 179 | 177 | 179 |
| Insurance Fund | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| Special Transportation Fund | 0 | 0 | 0 | 0 | 7 | 7 | 7 | 7 |
| Federal Funds | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| Private Funds | 2 | 0 | 0 | 1 | 2 | 2 | 2 | 2 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 2 | 2 | 2 | 2 | 2 | 2 |

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Office of the Secretary and Administrative Support | 1,384,641,149 | 4,662,202 | 2,693,435 | 2,693,435 | 2,693,435 | 2,791,080 | 2,791,199 | 2,791,199 |
| Policy Development, Coordination and Implementatio | 45,101,453 | 39,787,380 | 35,183,827 | 35,183,827 | 34,871,074 | 34,071,649 | 34,080,087 | 33,597,365 |
| Intergovernmental Policy | 352,211,778 | 354,770,476 | 249,896,910 | 357,324,240 | 353,569,611 | 250,638,481 | 357,809,063 | 353,499,504 |
| TOTAL Agency Programs | 1,781,954,380 | 399,220,058 | 287,774,172 | 395,201,502 | 391,134,120 | 287,501,210 | 394,680,349 | 389,888,068 |

| Summary of Funding | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| General Fund | 314,777,061 | 330,390,423 | 224,562,630 | 331,989,960 | 327,502,487 | 226,021,286 | 333,200,234 | 328,075,809 |
| Special Transportation Fund | 0 | 0 | 673,688 | 673,688 | 673,688 | 699,599 | 699,599 | 699,599 |
| Insurance Fund | 533,545 | 606,389 | 623,526 | 623,526 | 574,218 | 648,949 | 649,140 | 599,832 |
| Consumer Counsel/Public Utility Fund | 0 | 0 | 0 | 0 | 469,399 | 0 | 0 | 381,452 |
| Mashantucket Pequot and Mohegan Fund | 51,472,789 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 |
| Federal Funds | 1,389,244,040 | 10,519,336 | 5,402,287 | 5,402,287 | 5,402,287 | 4,014,335 | 4,014,335 | 4,014,335 |
| Private Funds | 5,118,822 | 6,211,927 | 5,039,245 | 5,039,245 | 5,039,245 | 4,644,245 | 4,644,245 | 4,644,245 |
| Restricted State Accounts | 20,000,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Grant Transfers | 808,123 | 19,187 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 1,781,954,380 | 399,220,058 | 287,774,172 | 395,201,502 | 391,134,120 | 287,501,210 | 394,680,349 | 389,888,068 |

OFFICE OF THE SECRETARY AND ADMINISTRATIVE SUPPORT

Statutory Reference

C.G.S. Section 4-65a.

Statement of Need and Program Objectives

To serve as a key advisor to the Governor on policy and financial issues for the State of Connecticut. To advocate for the Governor's policies and to coordinate their implementation. To attain OPM's objectives by directing and coordinating its programs and providing operational support services.

Program Description

As chief financial and policy advisor to the Governor, the Secretary is responsible for policy development and the overall financial management of state government. This responsibility includes protecting the long-term and short-term interests of the state.

The Office of the Secretary, with analytic support from the agency's division heads and directors, advises the Governor on all matters related to fiscal policy; policy initiatives, particularly those initiatives that cross agency lines; state agency management issues, including agency strategic business planning; the reorganization of state government; labor relations; federal issues, in conjunction with the Governor's Washington office; impact of federal and state policies on local governments; financial management policies and practices in all state agencies; and legislative matters.

The Secretary and Deputy Secretary advocate the Governor's policies with legislators, state agencies, private service providers, the general public, legislative task forces, and the boards and commissions to which the Secretary is named a member.

The office directs the development and implementation of the state's biennial operating and capital budgets as well as budget adjustments for the second half of the biennium. The office also serves as Secretary to the State Bond Commission.

In order to improve the quality of state agency management and control, the Office of the Secretary makes strategic and operational decisions that are consistent with the Governor's policies and programs. When state agency management issues arise, the Secretary may direct the analysis of those issues and work with agency heads on implementation of any recommendations.

The office establishes the priorities for the planning and analysis, coordination, and implementation efforts pertaining to the divisions and functions within OPM including Assets Management, Budget and Financial Management, Communications, Criminal Justice Policy and Planning, Data and Policy Analytics, Finance, Health and Human Services Policy and Planning, Intergovernmental Policy and Planning, Labor Relations, Legal Affairs, Legislative Affairs, and Strategic Initiatives and Accountability. The office provides guidance and support to the division heads and directors of these program areas to assist them in attaining their goals.

The Division of Administration provides OPM employees with the operational tools, environment, information, and support necessary to accomplish OPM's mission; articulates and implements the vision and policies of the Secretary of OPM; and directs the administrative management of the agency. The Division of Administration provides support services in the areas of accounting and audit, business operations, payroll and employee benefits, human resources, information systems, and other administrative support.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 21 | 0 | 0 | 21 | 21 | 21 | 21 | 21 |
| | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,059,663 | 2,533,380 | 2,542,466 | 2,542,466 | 2,542,466 | 2,640,111 | 2,640,230 | 2,640,230 |
| Other Expenses | 91,318 | 138,437 | 138,437 | 138,437 | 138,437 | 138,437 | 138,437 | 138,437 |
| Total-General Fund | 2,150,981 | 2,671,817 | 2,680,903 | 2,680,903 | 2,680,903 | 2,778,548 | 2,778,667 | 2,778,667 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Private Funds | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 12,195 | 12,560 | 12,532 | 12,532 | 12,532 | 12,532 | 12,532 | 12,532 |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| 21019 Coronavirus Relief Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 21019 Coronavirus Relief Fund | 1,382,477,973 | 1,977,825 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 1,384,641,149 | 4,662,202 | 2,693,435 | 2,693,435 | 2,693,435 | 2,791,080 | 2,791,199 | 2,791,199 |

POLICY DEVELOPMENT, PLANNING, COORDINATION AND IMPLEMENTATION

Statutory Reference

C.G.S. Sections 4-65a, 4-66, 4-68m, 4-70e, 4-67p and 5-200.

Statement of Need and Program Objectives

To assist the Governor, Secretary and state agencies in making policy decisions and in effectively deploying current and future financial and other resources by planning, formulating, coordinating, implementing and evaluating programs and policies that address state needs.

Program Description

OPM participates in a comprehensive program of resource allocation, planning, policy analysis, management improvement, financial management improvement, and program evaluation that assists the Governor and the Secretary in formulating and implementing strategies, priorities and programs. OPM is organized in the following program areas:

The Bureau of Assets Management develops the long-range plan and use of State-owned or leased property through the development of the State Facility Plan, Inventory of State Real Property, State Property Surplus process and other actions. The Bureau also works in conjunction with the Departments of Administrative Services, Transportation, Energy and Environmental Protection, and Labor on all real estate transactions requiring the Secretary's approval.

The Budget and Financial Management Division develops and implements the state's fiscal and program policies through the formulation of the Governor's operating and capital budget proposals and the execution of the budget as enacted by the General Assembly and signed by the Governor. The division also provides ongoing comprehensive analyses, evaluation and recommendations to the Governor and the Secretary regarding the financial and programmatic implications of public policies, and manages and coordinates the implementation of these financial and programmatic policies among state agencies.

The Communications Unit assists in implementing a strategic communications plan to support the Governor's policy initiatives and to raise public awareness through OPM around the Governor's initiatives. In addition, develops packets of information for dissemination to the public to assist in understanding the operations and finances of state government, and monitors the activities of various legislative committees to advance the Governor's legislative bills and to frame the strategic communications plan.

The Criminal Justice Policy and Planning Division advances the goals of less crime, lower recidivism, and more cost-effective use of resources in the criminal and juvenile justice systems using research, grant administration, interagency coordination, and policy development, implementation, and evaluation. The division manages an array of federal and state grants, including programs that: improve the criminal justice system response to domestic violence, sexual assault, and drug crime; help law enforcement implement violent crime reduction strategies; and forge community and police partnerships to reduce group and gun violence. The division also issues routine reports—including on recidivism, correction population forecasts, criminal justice system trends, and prosecutorial data—and ad hoc reports, such as rates of accidental drug overdose death and homelessness among people with prior incarceration. The division represents the Secretary and the agency on key boards, including for example, the Juvenile Justice Policy and

Oversight Committee, the Criminal Justice Policy Advisory Commission, and the Sentencing Commission.

The Data and Policy Analytics Unit directs and manages activities related to the collection, analysis, sharing, coordination and dissemination of data and is responsible for the development and implementation of the State Data Plan, the State's Open Data portal, and P20 WIN, the State's integrated data system. The use of data across agencies facilitates a system-wide perspective and is a critical component of Governor Lamont's statewide performance and results agenda to implement a data-driven and informed policy agenda in state government that is user-friendly, cost-effective, data-informed, and results-driven.

The Office of Finance reviews approximately 500 audit reports for compliance with the municipal auditing and state single audit acts. This office is the administrative office that provides staffing and support to the Municipal Accountability Review Board (MARB) and the Municipal Finance Advisory Commission (MFAC) with respect to municipalities experiencing fiscal distress or challenges; lead to the development of data analytics, fiscal indicators, and benchmarking tools to monitor fiscal health of municipalities. The Finance Office manages the Information Technology (IT) Capital Investment Program and State Analytical Reporting System (STARS) to enhance statewide systems by providing the technological tools to increase operational efficiencies and dashboard analytical reporting capabilities. All state agencies' Personal Service Agreements (PSA) and Purchase of Service (POS) sole source contracts require approval by this office prior to entering into an agreement according to the procurement standards and policies established. The office also evaluates state agencies' work practices and provides strategic direction related to process improvement methods and makes recommendations for improvements to financial management practices or policies.

The Health and Human Services Policy and Planning Division researches, analyzes, and develops coordinated statewide policies in the areas of health care, human services, housing, and long-term care. The division coordinates implementation of programs and policies across state agencies with the goal of improving the effectiveness of state services and provides data to the executive and legislative branches and the public. The division represents OPM on various boards, commissions, councils and task forces, including for example, the Long-Term Care Planning Committee and the Personal Care Attendant Workforce Council.

The Office of Labor Relations delivers timely and effective labor relations and collective bargaining services on behalf of the state employer for state employees in the executive branch, other than the constituent units of Higher Education, the State Board of Education, and the Division of Criminal Justice; advises and consults with state agencies regarding labor relations and other employee relations matters; represents the state during the contract and statutory grievance process, contract negotiations, midterm bargaining and interest arbitrations for executive branch state employee bargaining units; represents the state in employee related whistleblower complaints and other legal matters; and represents the state in coalition negotiations on statewide issues such as pension and health care benefits.

The Office of Legal Affairs develops and provides legal advice to the Secretary on executive, administrative and statewide matters;

supports the legal functions of the agency in such areas as freedom of information requests, ethics and elections law, personnel matters, litigation, administrative proceedings, procurement, contracting, grant management, and land transfers; reviews complex legal transactions; approves regulations proposed by state agencies; and provides a range of support in the development and implementation of the Governor's legislative agenda and budget.

The Office of Legislative Affairs coordinates the agency's legislative affairs; provides a broad range of support for the Governor's legislative proposals, including initial policy development and

working with the General Assembly to advance the Governor's bills; coordinates engagement throughout the year with legislators on behalf of the Secretary and the Governor; and helps to implement new laws as passed.

The Strategic Initiatives and Accountability Unit analyzes, develops, coordinates and implements new statewide initiatives and special projects to streamline governmental procedures and to improve the effectiveness and efficiency of state government; represents the Secretary on public health emergencies; and coordinates ongoing planning for the continuity of state government.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 66 | 25 | 0 | 91 | 143 | 145 | 143 | 145 |
| Insurance Fund | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| Special Transportation Fund | 0 | 0 | 0 | 0 | 7 | 7 | 7 | 7 |
| Federal Funds | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| Private Funds | 2 | 0 | 0 | 1 | 2 | 2 | 2 | 2 |
| Other Positions Equated to Full-Time | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 7,238,900 | 8,087,491 | 12,971,828 | 12,971,828 | 13,040,854 | 13,466,268 | 13,470,893 | 13,546,950 |
| Other Expenses | 856,846 | 1,015,999 | 1,015,999 | 1,015,999 | 1,075,803 | 1,015,999 | 1,015,999 | 1,000,803 |
| Other Current Expenses | | | | | | | | |
| Automated Budget System and Data Base Link | 19,335 | 26,776 | 26,776 | 26,776 | 20,438 | 26,776 | 26,776 | 20,438 |
| Justice Assistance Grants | 762,807 | 826,328 | 826,734 | 826,734 | 786,734 | 826,734 | 830,356 | 790,356 |
| Project Longevity | 596,519 | 998,750 | 998,750 | 998,750 | 948,813 | 998,750 | 998,750 | 948,813 |
| Total--Other Current Expenses | 1,378,661 | 1,851,854 | 1,852,260 | 1,852,260 | 1,755,985 | 1,852,260 | 1,855,882 | 1,759,607 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Private Providers | 0 | 6,000,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| MRDA | 0 | 500,000 | 500,000 | 500,000 | 100,000 | 500,000 | 500,000 | 100,000 |
| Total--Pmts to Other Than Local Govts | 0 | 6,500,000 | 500,000 | 500,000 | 100,000 | 500,000 | 500,000 | 100,000 |
| Pmts to Local Governments | | | | | | | | |
| Municipal Restructuring | 3,600,000 | 7,300,000 | 7,300,000 | 7,300,000 | 7,300,000 | 7,300,000 | 7,300,000 | 7,300,000 |
| Total-General Fund | 13,074,407 | 24,755,344 | 23,640,087 | 23,640,087 | 23,272,642 | 24,134,527 | 24,142,774 | 23,707,360 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 0 | 0 | 673,688 | 673,688 | 673,688 | 699,599 | 699,599 | 699,599 |
| Total-Special Transportation Fund | 0 | 0 | 673,688 | 673,688 | 673,688 | 699,599 | 699,599 | 699,599 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 313,427 | 349,339 | 353,914 | 353,914 | 327,721 | 379,337 | 367,525 | 341,332 |
| Other Expenses | 5,573 | 6,012 | 6,012 | 6,012 | 6,012 | 6,012 | 6,012 | 6,012 |

Other Current Expenses

| | | | | | | | | |
|----------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| Fringe Benefits | 214,545 | 251,038 | 263,600 | 263,600 | 240,485 | 263,600 | 275,603 | 252,488 |
| Total-Insurance Fund | 533,545 | 606,389 | 623,526 | 623,526 | 574,218 | 648,949 | 649,140 | 599,832 |

**Financial Summary by Program
Consumer Counsel/Public Utility
Fund**

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Expenses | 0 | 0 | 0 | 0 | 104,000 | 0 | 0 | 2,000 |
| Total-Consumer Counsel/Public Utility Fund | 0 | 0 | 0 | 0 | 104,000 | 0 | 0 | 2,000 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|------------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Funds Available | | | | | | | | |
| Private Funds | 4,727,434 | 5,884,136 | 4,844,239 | 4,844,239 | 4,844,239 | 4,574,239 | 4,574,239 | 4,574,239 |
| Restricted State Accounts | 20,000,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Federal Contributions | | | | | | | | |
| 16017 Sexual Assault Services Program | 519,812 | 419,315 | 424,573 | 424,573 | 424,573 | 425,000 | 425,000 | 425,000 |
| 16034 Coronavirus Emergency Supplemental Funding Program | 25,104 | 4,679,115 | 1,171,401 | 1,171,401 | 1,171,401 | 0 | 0 | 0 |
| 16540 Juv Just & Delinquency Prev Alloc Stat | 328,145 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16554 National Criminal History Improvement Pgm | 1,778,605 | 1,800,000 | 1,800,000 | 1,800,000 | 1,800,000 | 1,800,000 | 1,800,000 | 1,800,000 |
| 16560 Justice Research, Evaluation and Development Project | 87,076 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16588 Violence Against Women Formula Grants | 1,740,937 | 1,286,676 | 1,649,908 | 1,649,908 | 1,649,908 | 1,487,064 | 1,487,064 | 1,487,064 |
| 16593 Res Subs Abuse Trtmt State Prisoners | 107,100 | 128,823 | 128,823 | 128,823 | 128,823 | 128,823 | 128,823 | 128,823 |
| 16738 E Byrne Memorial Justice Assistance Grant | 1,955,555 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16751 Edward Byrne Memorial Competitive Grant Program | 175,796 | 227,582 | 227,582 | 227,582 | 227,582 | 173,448 | 173,448 | 173,448 |
| 16812 Second Chance Act Prisoner Reentry Initiative | 12,693 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16813 Nics Act Record Improvement Program | 35,244 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 45,101,453 | 39,787,380 | 35,183,827 | 35,183,827 | 34,871,074 | 34,071,649 | 34,080,087 | 33,597,365 |

INTERGOVERNMENTAL POLICY**Statutory Reference**

C.G.S. Sections 4-65a and 4-66a

Statement of Need and Program Objectives

To initiate and support state policy development with regard to municipalities and regional councils of governments (COGs); administer state tax relief programs and formula grant programs that benefit municipalities, companies and individuals; certify assessors and revaluation companies and their personnel; collect, analyze, and publish municipal data; and coordinate statewide planning to ensure the effective use of state resources

Program Description

The Intergovernmental Policy and Planning Division supports the Governor in developing, analyzing and implementing policies pertaining to the relationship between the state, regional councils of governments and Connecticut's municipalities and municipal stakeholders. The division implements pertinent parts of the budget enacted into law; advocates the Governor's policies, proposals and

initiatives; provides data and information to the executive and legislative branches, local governments, the public and the media; reviews and provides guidance on state regulations for conformity with statewide policies, standards and initiatives; and represents state government on various boards, commissions, councils and task forces. The division also supports the Governor's policies and initiatives through the management, coordination and administration of grants, including for example, the Renters Rebate for Elderly/Disabled Renters, Payment in Lieu of Taxes on State Property and Private Tax-Exempt Property, Local Capital Improvement Program, and the Mashantucket Pequot/Mohegan Fund. The division also maintains ongoing relationships with municipal officials and regional stakeholders; administers and manages municipal and local property tax relief programs. The division also coordinates statewide planning and policy to promote the efficient and effective use of state resources for meeting the current and future needs of state residents through the development and implementation of the State Plan of Conservation and Development. The division assists municipalities

and Councils of Governments in promoting transit-oriented development and regional shared services, while also having oversight

responsibilities for state agency compliance with the Connecticut Environmental Policy Act (CEPA).

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Consumer Counsel/Public Utility Fund | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 2 |
| General Fund | 13 | 0 | 0 | 13 | 13 | 13 | 13 | 13 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 975,722 | 1,058,301 | 1,065,107 | 1,065,107 | 1,065,107 | 1,105,898 | 1,105,947 | 1,105,947 |
| Other Expenses | 32,198 | 34,248 | 34,248 | 34,248 | 34,248 | 34,248 | 34,248 | 34,248 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Tax Relief For Elderly Renters | 24,748,900 | 25,020,226 | 27,726,000 | 27,749,128 | 25,020,226 | 28,551,780 | 28,318,111 | 25,020,226 |
| Pmts to Local Governments | | | | | | | | |
| Reimbursement to Towns for Loss of Taxes on State Property | 54,944,031 | 54,944,031 | 54,944,031 | 54,944,031 | 54,944,031 | 54,944,031 | 54,944,031 | 54,944,031 |
| Reimbursements to Towns for Private Tax-Exempt Property | 109,889,434 | 109,889,434 | 109,889,434 | 109,889,434 | 108,998,308 | 109,889,434 | 109,889,434 | 108,998,308 |
| Reimbursement Property Tax - Disability Exemption | 364,713 | 364,713 | 364,713 | 364,713 | 364,713 | 364,713 | 364,713 | 364,713 |
| Distressed Municipalities | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 |
| Property Tax Relief Elderly Freeze Program | 17,960 | 40,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| Property Tax Relief for Veterans | 2,389,169 | 2,708,107 | 2,708,107 | 2,708,107 | 2,708,107 | 2,708,107 | 2,708,107 | 2,708,107 |
| Municipal Revenue Sharing | 36,819,135 | 36,819,135 | 0 | 36,819,135 | 36,819,135 | 0 | 36,819,135 | 36,819,135 |
| Municipal Transition | 29,917,078 | 32,331,732 | 0 | 32,331,732 | 32,331,732 | 0 | 32,331,732 | 32,331,732 |
| Municipal Stabilization Grant | 37,953,333 | 38,253,335 | 0 | 38,253,335 | 37,753,335 | 0 | 38,253,335 | 37,753,335 |
| Pmts to Local Governments | 273,794,853 | 276,850,487 | 169,416,285 | 276,820,487 | 275,429,361 | 169,416,285 | 276,820,487 | 275,429,361 |
| Total-General Fund | 299,551,673 | 302,963,262 | 198,241,640 | 305,668,970 | 301,548,942 | 199,108,211 | 306,278,793 | 301,589,782 |
| Financial Summary by Program Consumer Counsel/Public Utility Fund | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 0 | 0 | 0 | 0 | 187,384 | 0 | 0 | 194,591 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 0 | 0 | 0 | 0 | 178,015 | 0 | 0 | 184,861 |
| Total-Consumer Counsel/Public Utility Fund | 0 | 0 | 0 | 0 | 365,399 | 0 | 0 | 379,452 |
| Financial Summary by Program Mashantucket Pequot and Mohegan Fund | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Grants To Towns | 51,472,789 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 |
| Total-Mashantucket Pequot and Mohegan Fund | 51,472,789 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 379,193 | 315,231 | 182,474 | 182,474 | 182,474 | 57,474 | 57,474 | 57,474 |
| Grant Transfers | 808,123 | 19,187 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 352,211,778 | 354,770,476 | 249,896,910 | 357,324,240 | 353,569,611 | 250,638,481 | 357,809,063 | 353,499,504 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|------------------------------------------------------------|-------------------|-------------------|-------------------|--------------------|-------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 10,017,337 | 11,128,547 | 16,024,175 | 16,093,201 | 16,657,051 | 16,737,901 |
| Salaries & Wages-Temporary | 8,524 | 84,961 | 86,667 | 86,667 | 86,667 | 86,667 |
| Salaries & Wages-Part Time | 139,571 | 145,185 | 148,080 | 148,080 | 148,080 | 148,080 |
| Longevity Payments | 15,755 | 13,281 | 13,281 | 13,281 | 13,281 | 13,281 |
| Overtime | 7,018 | 0 | 0 | 0 | 0 | 0 |
| Accumulated Leave | 60,517 | 299,998 | 299,998 | 299,998 | 299,998 | 299,998 |
| Other Salaries & Wages | 22,700 | 7,200 | 7,200 | 7,200 | 7,200 | 7,200 |
| Other | 2,863 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 10,274,285 | 11,679,172 | 16,579,401 | 16,648,427 | 17,212,277 | 17,293,127 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Communications | 32,049 | 47,907 | 47,907 | 47,907 | 47,907 | 47,907 |
| Employee Expenses | 3,992 | 7,273 | 7,273 | 7,273 | 7,273 | 7,273 |
| Employee Travel | 5,357 | 16,350 | 16,350 | 16,350 | 16,350 | 16,350 |
| Equipment Rental and Maintenance | 43,544 | 46,200 | 46,200 | 46,200 | 46,200 | 46,200 |
| Food And Beverages | 823 | 0 | 0 | 0 | 0 | 0 |
| Information Technology | 85,228 | 91,254 | 91,254 | 91,254 | 91,254 | 91,254 |
| Motor Vehicle Costs | 5,112 | 8,301 | 8,301 | 8,301 | 8,301 | 8,301 |
| Other / Fixed Charges | 58,361 | 9,253 | 9,253 | 9,253 | 9,253 | 9,253 |
| Other Services | 441,484 | 489,945 | 489,945 | 555,692 | 489,945 | 480,692 |
| Premises Expenses | 4,378 | 20,600 | 20,600 | 20,600 | 20,600 | 20,600 |
| Professional Services | 270,166 | 410,993 | 410,993 | 405,050 | 410,993 | 405,050 |
| Purchased Commodities | 27,173 | 40,608 | 40,608 | 40,608 | 40,608 | 40,608 |
| Reimbursements | 2,695 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-Other Expenses | 980,362 | 1,188,684 | 1,188,684 | 1,248,488 | 1,188,684 | 1,173,488 |
| | | | | | | |
| <i>Other Current Expenses</i> | | | | | | |
| Automated Budget System and Data Base Link | 19,335 | 26,776 | 26,776 | 20,438 | 26,776 | 20,438 |
| Justice Assistance Grants | 762,807 | 826,328 | 826,734 | 786,734 | 826,734 | 790,356 |
| Project Longevity | 596,519 | 998,750 | 998,750 | 948,813 | 998,750 | 948,813 |
| TOTAL-Other Current Expenses | 1,378,661 | 1,851,854 | 1,852,260 | 1,755,985 | 1,852,260 | 1,759,607 |
| | | | | | | |
| <i>Pmts to Other Than Local Govts</i> | | | | | | |
| Tax Relief For Elderly Renters | 24,748,900 | 25,020,226 | 27,726,000 | 25,020,226 | 28,551,780 | 25,020,226 |
| Private Providers | 0 | 6,000,000 | 0 | 0 | 0 | 0 |
| MRDA | 0 | 500,000 | 500,000 | 100,000 | 500,000 | 100,000 |
| TOTAL-Pmts to Other Than Local Govts | 24,748,900 | 31,520,226 | 28,226,000 | 25,120,226 | 29,051,780 | 25,120,226 |
| | | | | | | |
| <i>Pmts to Local Governments</i> | | | | | | |
| Reimbursement to Towns for Loss of Taxes on State Property | 54,944,031 | 54,944,031 | 54,944,031 | 54,944,031 | 54,944,031 | 54,944,031 |
| Reimbursements to Towns for Private Tax-Exempt Property | 109,889,434 | 109,889,434 | 109,889,434 | 108,998,308 | 109,889,434 | 108,998,308 |
| Reimbursement Property Tax - Disability Exemption | 364,713 | 364,713 | 364,713 | 364,713 | 364,713 | 364,713 |
| Distressed Municipalities | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 |

| | | | | | | |
|--------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Property Tax Relief Elderly Freeze Program | 17,960 | 40,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| Property Tax Relief for Veterans | 2,389,169 | 2,708,107 | 2,708,107 | 2,708,107 | 2,708,107 | 2,708,107 |
| Municipal Revenue Sharing | 36,819,135 | 36,819,135 | 0 | 36,819,135 | 0 | 36,819,135 |
| Municipal Transition | 29,917,078 | 32,331,732 | 0 | 32,331,732 | 0 | 32,331,732 |
| Municipal Stabilization Grant | 37,953,333 | 38,253,335 | 0 | 37,753,335 | 0 | 37,753,335 |
| Municipal Restructuring | 3,600,000 | 7,300,000 | 7,300,000 | 7,300,000 | 7,300,000 | 7,300,000 |
| TOTAL-Pmts to Local Governments | 277,394,853 | 284,150,487 | 176,716,285 | 282,729,361 | 176,716,285 | 282,729,361 |
| Personal Services | 10,274,285 | 11,679,172 | 16,579,401 | 16,648,427 | 17,212,277 | 17,293,127 |
| Other Expenses | 980,362 | 1,188,684 | 1,188,684 | 1,248,488 | 1,188,684 | 1,173,488 |
| Other Current Expenses | 1,378,661 | 1,851,854 | 1,852,260 | 1,755,985 | 1,852,260 | 1,759,607 |
| Pmts to Other Than Local Govts | 24,748,900 | 31,520,226 | 28,226,000 | 25,120,226 | 29,051,780 | 25,120,226 |
| Pmts to Local Governments | 277,394,853 | 284,150,487 | 176,716,285 | 282,729,361 | 176,716,285 | 282,729,361 |
| TOTAL-General Fund | 314,777,061 | 330,390,423 | 224,562,630 | 327,502,487 | 226,021,286 | 328,075,809 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 0 | 0 | 673,688 | 673,688 | 699,599 | 699,599 |
| TOTAL - Personal Services-Personal Services | 0 | 0 | 673,688 | 673,688 | 699,599 | 699,599 |
| Personal Services | 0 | 0 | 673,688 | 673,688 | 699,599 | 699,599 |
| TOTAL-Special Transportation Fund | 0 | 0 | 673,688 | 673,688 | 699,599 | 699,599 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 253,695 | 287,498 | 289,274 | 263,081 | 302,784 | 276,591 |
| Salaries & Wages-Part Time | 9,089 | 9,343 | 12,142 | 12,142 | 12,142 | 12,243 |
| Longevity Payments | 454 | 454 | 454 | 454 | 454 | 454 |
| Employee Benefits | 0 | 0 | 0 | 0 | 11,913 | 0 |
| Reimbursements | 50,189 | 52,044 | 52,044 | 52,044 | 52,044 | 52,044 |
| TOTAL - Personal Services-Personal Services | 313,427 | 349,339 | 353,914 | 327,721 | 379,337 | 341,332 |
| <i>Other Expenses</i> | | | | | | |
| Employee Travel | 554 | 0 | 0 | 0 | 0 | 0 |
| Reimbursements | 5,019 | 6,012 | 6,012 | 6,012 | 6,012 | 6,012 |
| TOTAL-Other Expenses | 5,573 | 6,012 | 6,012 | 6,012 | 6,012 | 6,012 |
| <i>Other Current Expenses</i> | | | | | | |
| Fringe Benefits | 214,545 | 251,038 | 263,600 | 240,485 | 263,600 | 252,488 |
| TOTAL-Other Current Expenses | 214,545 | 251,038 | 263,600 | 240,485 | 263,600 | 252,488 |
| Personal Services | 313,427 | 349,339 | 353,914 | 327,721 | 379,337 | 341,332 |

| | | | | | | |
|------------------------|---------|---------|---------|---------|---------|---------|
| Other Expenses | 5,573 | 6,012 | 6,012 | 6,012 | 6,012 | 6,012 |
| Other Current Expenses | 214,545 | 251,038 | 263,600 | 240,485 | 263,600 | 252,488 |
| TOTAL-Insurance Fund | 533,545 | 606,389 | 623,526 | 574,218 | 648,949 | 599,832 |

AGENCY FINANCIAL SUMMARY - CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 0 | 0 | 0 | 187,384 | 0 | 194,591 |
| TOTAL - Personal Services-Personal Services | 0 | 0 | 0 | 187,384 | 0 | 194,591 |
| <i>Other Expenses</i> | | | | | | |
| Information Technology | 0 | 0 | 0 | 4,000 | 0 | 2,000 |
| Other Services | 0 | 0 | 0 | 100,000 | 0 | 0 |
| TOTAL-Other Expenses | 0 | 0 | 0 | 104,000 | 0 | 2,000 |
| <i>Other Current Expenses</i> | | | | | | |
| Fringe Benefits | 0 | 0 | 0 | 178,015 | 0 | 184,861 |
| TOTAL-Other Current Expenses | 0 | 0 | 0 | 178,015 | 0 | 184,861 |
| Personal Services | 0 | 0 | 0 | 187,384 | 0 | 194,591 |
| Other Expenses | 0 | 0 | 0 | 104,000 | 0 | 2,000 |
| Other Current Expenses | 0 | 0 | 0 | 178,015 | 0 | 184,861 |
| TOTAL-Consumer Counsel/Public Utility Fund | 0 | 0 | 0 | 469,399 | 0 | 381,452 |

AGENCY FINANCIAL SUMMARY - MASHANTUCKET PEQUOT AND MOHEGAN FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------------|------------|------------|------------|-------------|------------|-------------|
| <i>Pmts to Local Governments</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Grants To Towns | 51,472,789 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 |
| TOTAL-Pmts to Local Governments | 51,472,789 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 |
| Pmts to Local Governments | 51,472,789 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 |
| TOTAL-Mashantucket Pequot and Mohegan Fund | 51,472,789 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 | 51,472,796 |

DEPARTMENT OF VETERANS AFFAIRS

AGENCY DESCRIPTION

The mission of the Department of Veterans Affairs (DVA) is “Serving Those Who Served” by advocating for and assisting Connecticut Veterans in obtaining entitlements and benefits through the Office of Advocacy and Assistance; providing long-term and Veteran-focused healthcare, social and rehabilitative services through the DVA Healthcare Center; providing residential rehabilitation services

on the DVA campus in Rocky Hill, including medical support, social services, recreation, case management, recovery supports, vocational, employment, and education assistance; and providing cemetery and memorial services for Veterans, their spouses and/or eligible dependents at our state Veterans cemeteries.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

- Provide Funding for State Employee Wage Adjustments
- Reflect Impact of 27th Payroll During FY 2023

FY 2022 **FY 2023**

282,114 282,114

0 796,047

Reductions

- Annualize FY 2021 Rescissions

FY 2022 **FY 2023**

-212,675 -212,675

Reallocations

- Reflect Annualization of Centralized Human Resources and Labor Relations Services

FY 2022 **FY 2023**

-303,154 -314,814

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 211 | 27 | 0 | 238 | 238 | 235 | 238 | 235 |

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Office of Advocacy & Assistance | 804,824 | 934,464 | 946,942 | 946,942 | 946,942 | 945,928 | 982,055 | 982,055 |
| Office of the Commissioner | 13,199,360 | 11,411,360 | 10,870,120 | 10,896,864 | 10,579,194 | 10,548,110 | 10,840,659 | 10,511,329 |
| Veterans' Health Care Services | 12,316,738 | 14,053,712 | 13,731,808 | 13,731,808 | 13,533,649 | 13,260,088 | 13,712,915 | 13,514,756 |
| Residential and Rehabilitative Services | 1,653,329 | 1,795,627 | 1,618,678 | 1,618,678 | 1,618,678 | 1,432,197 | 1,473,485 | 1,473,485 |
| TOTAL Agency Programs | 27,974,251 | 28,195,163 | 27,167,548 | 27,194,292 | 26,678,463 | 26,186,323 | 27,009,114 | 26,481,625 |

Summary of Funding

| | | | | | | | | |
|-----------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 21,682,256 | 24,145,033 | 24,400,403 | 24,427,147 | 23,911,318 | 24,400,403 | 25,223,194 | 24,695,705 |
| Federal Funds | 2,543,623 | 301,758 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 3,748,372 | 3,748,372 | 2,767,145 | 2,767,145 | 2,767,145 | 1,785,920 | 1,785,920 | 1,785,920 |
| Total Agency Programs | 27,974,251 | 28,195,163 | 27,167,548 | 27,194,292 | 26,678,463 | 26,186,323 | 27,009,114 | 26,481,625 |

OFFICE OF ADVOCACY AND ASSISTANCE

Statutory Reference

C.G.S. Sections 27-102I through 27-137.

Statement of Need and Program Objectives

To provide comprehensive assistance and formal representation to Veterans, their spouses and/or eligible dependents in obtaining rights,

benefits and privileges to which they are entitled under federal, state and local laws.

Program Description

The Office of Advocacy and Assistance (OAA) operates five regional offices staffed with Veteran Service Officers (VSOs) who provide

advice, assistance and formal representation to the state’s veterans - administering the process of obtaining comprehensive rights, benefits and privileges to which they are entitled under law. OAA VSOs have assisted thousands of Veterans in preparing and presenting benefit claims to the U.S. Department of Veterans Affairs, resulting in millions in federal dollars flowing into Connecticut in the form of increased benefits to Connecticut Veterans and their families. OAA also publishes numerous brochures including *Office of Advocacy and Assistance, State of Connecticut Veterans Benefits and Reference Guide for Connecticut Veterans, Active Duty, National Guard and Reserves* that help explain the complex federal and state laws that govern health care and financial services for veterans and their dependents.

OAA cooperates with service organizations in disseminating information and furnishing counsel to Connecticut Veterans concerning the availability of educational training and retraining facilities; health, medical, rehabilitation and housing opportunities; and employment and re-employment services and other rights, benefits or privileges. On average, more than 1,000 claims for disability, compensation and pension are handled by the 5 district offices each year along with more than 20,000 calls for assistance.

proof of military service (for those not eligible for other forms of Veterans identification). To date more than 10,600 identity cards have been issued.

Town and Municipal Veterans Representatives: This program, established in October 2013, requires an appointed Veterans Representative in each Connecticut Town and Municipality. The OAA is responsible for training and advising these representatives. To date, OAA trained over 200 representatives and Veterans council members.

The Cemetery and Memorial Services unit is responsible for processing all necessary paperwork for burial in state Veterans cemeteries at Rocky Hill and Middletown for Veterans and their eligible dependents. Additionally, the unit pre-approves those who wish to establish eligibility for burial planning purposes. More than 600 burials are conducted annually in the State Veterans Cemeteries and more than 1,500 calls for assistance are handled by this section. A 3,000 niche above-ground columbarium opened in July 2016 at the Middletown Veterans Cemetery. This facility extends the capacity of serving Veterans and their spouses for an estimated 10 years.

OAA also processes the applications for, and issues, the Connecticut Veterans Wartime Service Medal. To date more than 38,000 CT Wartime Service Medals have been awarded.

The OAA also maintains the corresponding State Veterans Registry database. The database contains the electronic discharges of more than 120,000 CT resident Veterans.

Additional programs and benefits offered through the OAA to CT residents include:

Veterans Flag identifier on Connecticut Driver’s Licenses: This program, established in December 2012, provides eligible Veterans

OAA participates in more than 30 Senior Fairs, Veterans Fairs and Employment seminars yearly. OAA participates in more than six Yellow Ribbon Programs yearly providing recently discharged Veterans with assistance in the above listed programs and VA benefits.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 15 | 3 | 0 | 18 | 18 | 18 | 18 | 18 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 794,887 | 926,531 | 940,024 | 940,024 | 940,024 | 940,024 | 976,151 | 976,151 |
| Other Expenses | 6,187 | 4,183 | 4,183 | 4,183 | 4,183 | 4,183 | 4,183 | 4,183 |
| Total-General Fund | 801,074 | 930,714 | 944,207 | 944,207 | 944,207 | 944,207 | 980,334 | 980,334 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 3,750 | 3,750 | 2,735 | 2,735 | 2,735 | 1,721 | 1,721 | 1,721 |
| Total - All Funds | 804,824 | 934,464 | 946,942 | 946,942 | 946,942 | 945,928 | 982,055 | 982,055 |

OFFICE OF THE COMMISSIONER

Statutory Reference

C.G.S. Sections 27-102I through 27-137.

Statement of Need and Program Objectives

To guide the development of agency policy as well as provide administrative direction and support.

To ensure compliance with laws, rules and regulations governing the operations of the department through the establishment, revision and distribution of agency policies and by reviewing the procedures used to implement those policies.

Program Description

The Office of the Commissioner is tasked with administrative authority for the department; outreach to Veterans and community agencies; liaisons with legislature, statutory and legislative authorities and Veterans service organizations; assessment of the efficiency of programs and evaluation and development of new initiatives to better serve Connecticut Veterans and their families. The Office of the Commissioner also provides overall administrative support to all agency programs through human resource activities, fiscal/administrative services, facility management, and information technology services.

In addition, the Office of the Commissioner provides comprehensive short and long-term planning services, ensures compliance with regulations and is responsible for safety compliance and security.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|------------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 104 | 11 | 0 | 115 | 115 | 112 | 115 | 112 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 6,241,543 | 6,817,000 | 6,899,528 | 6,926,272 | 6,623,118 | 6,899,528 | 7,192,077 | 6,877,263 |
| Other Expenses | 2,388,586 | 2,211,690 | 2,211,690 | 2,211,690 | 2,197,174 | 2,211,690 | 2,211,690 | 2,197,174 |
| Other Current Expenses | | | | | | | | |
| SSMF Administration | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Burial Expenses | 6,666 | 6,666 | 6,666 | 6,666 | 6,666 | 6,666 | 6,666 | 6,666 |
| Headstones | 252,530 | 307,834 | 307,834 | 307,834 | 307,834 | 307,834 | 307,834 | 307,834 |
| Pmts to Other Than Local Govts | 259,196 | 314,500 | 314,500 | 314,500 | 314,500 | 314,500 | 314,500 | 314,500 |
| Total-General Fund | 9,400,721 | 9,854,586 | 9,937,114 | 9,963,858 | 9,646,188 | 9,937,114 | 10,229,663 | 9,900,333 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,255,016 | 1,255,016 | 933,006 | 933,006 | 933,006 | 610,996 | 610,996 | 610,996 |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 64005 GRANTS TO STATES FOR CONSTRUCTION OF STATE HOME FACILITIES | 925,847 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 64203 Middletown Cemetery Expansion II | 710,981 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 64203 Middletown Cemetery Improvement | 906,795 | 301,758 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 13,199,360 | 11,411,360 | 10,870,120 | 10,896,864 | 10,579,194 | 10,548,110 | 10,840,659 | 10,511,329 |

VETERANS HEALTH CARE SERVICES

Statutory Reference

C.G.S. Sections 27-102I through 27-126.

Statement of Need and Program Objectives

To ensure quality healthcare services are provided to assist the veteran reach his/her maximum potential through the development of individualized care plans.

Program Description

The Healthcare Center offers a progressive, caring continuum of healthcare, social, and rehabilitative services. It is a 125 bed facility licensed by the State Department of Public Health and the Federal VA as a skilled nursing facility. The center opened its doors to the growing Veteran community in October 2008. Under the direction of the Healthcare Services Administrator, the medical staff and nursing department provide ongoing comprehensive medical and rehabilitation services in order to improve every aspect of care for each Veteran Patient served.

DVA offers 24-hour healthcare to Veteran Patients who meet nursing home level of care criteria. Programs include general medical care, Alzheimer’s and related dementia care, end-of-life care, long-term care, rehabilitation, respite care, mental health and psychological counseling. In addition to medicine and nursing, specialty areas include physical, occupational, speech and recreational therapy, laboratory, radiology, pharmacy, social work, cardiopulmonary and dental.

The Special Care Unit provides a safe, secured and structured environment for Veteran Patients with Alzheimer’s disease or other related dementias.

The Respite Care Program provides intermittent care to disabled Veterans in an inpatient setting for providing relief to family members or caregivers. Veterans are eligible for 28 days of respite care per calendar year.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 79 | 13 | 0 | 92 | 92 | 92 | 92 | 92 |
| | | | | | | | | |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 10,220,056 | 11,613,500 | 11,763,316 | 11,763,316 | 11,565,157 | 11,763,316 | 12,216,143 | 12,017,984 |
| Other Expenses | 335,736 | 679,266 | 679,266 | 679,266 | 679,266 | 679,266 | 679,266 | 679,266 |
| Total-General Fund | 10,555,792 | 12,292,766 | 12,442,582 | 12,442,582 | 12,244,423 | 12,442,582 | 12,895,409 | 12,697,250 |
| | | | | | | | | |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Private Funds | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,760,946 | 1,760,946 | 1,289,226 | 1,289,226 | 1,289,226 | 817,506 | 817,506 | 817,506 |
| Total - All Funds | 12,316,738 | 14,053,712 | 13,731,808 | 13,731,808 | 13,533,649 | 13,260,088 | 13,712,915 | 13,514,756 |

RESIDENTIAL AND REHABILITATIVE SERVICES

Statutory Reference

C.G.S. Sections 27-102I through 27-126

Statement of Need and Program Objectives

To provide Veterans in need a residential level of care to facilitate rehabilitation and a return to their best life.

Program Description

The basic philosophy of the Veterans Residential Services Facility is to provide comprehensive rehabilitation services and programs to Connecticut Veterans. Rehabilitation includes providing temporary housing, medical care, and recovery support for substance use addictions, social work services, recreational services, educational and

vocational training, and employment search assistance. The ultimate goal is to successfully return Veterans to their best life.

A Memorandum of Understanding (MOU) with the Department of Mental Health and Addiction Services (DMHAS) provides Recovery Support to Veteran residents challenged with living with substance use addictions through education, group activities, motivation and environmental support and Intensive Outpatient Treatment.

The Social Work Department provides case management services to Veteran residents in the Residential Facility. They provide assistance in resolving problems with finances, family, legal matters, and housing. They can also assist with applying for disability and Veteran benefits for which they may be eligible.

The Veterans Vocational Program provides an opportunity for Veteran Residents to participate in a compensated work program earning minimum wage and receiving vocational training to develop basic work skills. Counseling is available to develop a resume and learn interview skills to ensure to greater success in achieving career goals. Educational counseling and guidance are provided to assist Veteran Residents in enrolling and attending local colleges and training institutions to enhance skills and marketability in today's competitive workforce. Additional employment assistance is provided through collaboration with the Connecticut Department of Labor.

Recreational activities including bingo, table games, pool tournaments, weekly bowling leagues, movies and pet therapy are

available on the campus. Community trips to professional ballgames, theater, fishing excursions, shopping malls and local organizations are also available and encouraged.

The Patriots' Landing Program provides temporary housing and support to homeless Veteran families or those that are at risk of homelessness. The Connecticut Department of Veterans Affairs offers five single-family homes for Veteran families in need. Case management services are contracted through a Memorandum of Agreement with the Department of Mental Health and Addiction Services to assist Veterans in transitioning to permanent housing.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 13 | 0 | 0 | 13 | 13 | 13 | 13 | 13 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 914,919 | 1,058,899 | 1,068,432 | 1,068,432 | 1,068,432 | 1,068,432 | 1,109,720 | 1,109,720 |
| Other Expenses | 9,750 | 8,068 | 8,068 | 8,068 | 8,068 | 8,068 | 8,068 | 8,068 |
| Total-General Fund | 924,669 | 1,066,967 | 1,076,500 | 1,076,500 | 1,076,500 | 1,076,500 | 1,117,788 | 1,117,788 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 728,660 | 728,660 | 542,178 | 542,178 | 542,178 | 355,697 | 355,697 | 355,697 |
| Total - All Funds | 1,653,329 | 1,795,627 | 1,618,678 | 1,618,678 | 1,618,678 | 1,432,197 | 1,473,485 | 1,473,485 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 12,998,523 | 14,139,731 | 14,294,073 | 13,819,504 | 14,294,073 | 14,603,891 |
| Salaries & Wages-Temporary | 338,740 | 670,000 | 682,513 | 682,513 | 682,513 | 682,513 |
| Salaries & Wages-Part Time | 2,246,512 | 2,775,000 | 2,826,610 | 2,826,610 | 2,826,610 | 2,826,610 |
| Longevity Payments | 75,515 | 89,300 | 89,300 | 89,300 | 89,300 | 89,300 |
| Overtime | 1,787,566 | 1,998,000 | 2,034,905 | 2,034,905 | 2,034,905 | 2,034,905 |
| Accumulated Leave | 129,315 | 132,000 | 132,000 | 132,000 | 132,000 | 132,000 |
| Other Salaries & Wages | 539,543 | 580,000 | 580,000 | 580,000 | 580,000 | 580,000 |
| Other | 55,691 | 31,899 | 31,899 | 31,899 | 31,899 | 31,899 |
| TOTAL - Personal Services-Personal Services | 18,171,405 | 20,415,930 | 20,671,300 | 20,196,731 | 20,671,300 | 20,981,118 |

Other Expenses

| | | | | | | |
|----------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Capital Outlays | 536 | 0 | 0 | 0 | 0 | 0 |
| Communications | 86,701 | 59,163 | 59,163 | 59,163 | 59,163 | 59,163 |
| Electricity | 485,504 | 465,395 | 465,395 | 465,395 | 465,395 | 465,395 |
| Employee Travel | 1,172 | 995 | 995 | 995 | 995 | 995 |
| Equipment Rental and Maintenance | 148,940 | 145,864 | 145,864 | 145,864 | 145,864 | 145,864 |
| Information Technology | 106,833 | 107,072 | 107,072 | 107,072 | 107,072 | 107,072 |
| Motor Vehicle Costs | 103,713 | 98,908 | 98,908 | 98,908 | 98,908 | 98,908 |
| Natural Gas | 436,409 | 434,179 | 434,179 | 434,179 | 434,179 | 434,179 |
| Oil #2 | 22,473 | 37,363 | 37,363 | 37,363 | 37,363 | 37,363 |
| Other Services | 352,303 | 545,502 | 545,502 | 545,502 | 545,502 | 545,502 |
| Premises Expenses | 650,449 | 514,133 | 514,133 | 499,617 | 514,133 | 499,617 |
| Professional Services | 8,975 | 242,053 | 242,053 | 242,053 | 242,053 | 242,053 |
| Propane | 298 | 183 | 183 | 183 | 183 | 183 |
| Purchased Commodities | 149,776 | 120,727 | 120,727 | 120,727 | 120,727 | 120,727 |
| Water | 186,177 | 131,670 | 131,670 | 131,670 | 131,670 | 131,670 |
| TOTAL-Other Expenses | 2,740,259 | 2,903,207 | 2,903,207 | 2,888,691 | 2,903,207 | 2,888,691 |

Other Current Expenses

| | | | | | | |
|------------------------------|---------|---------|---------|---------|---------|---------|
| SSMF Administration | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 |
| TOTAL-Other Current Expenses | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 |

Pmts to Other Than Local Govts

| | | | | | | |
|--------------------------------------|---------|---------|---------|---------|---------|---------|
| Burial Expenses | 6,666 | 6,666 | 6,666 | 6,666 | 6,666 | 6,666 |
| Headstones | 252,530 | 307,834 | 307,834 | 307,834 | 307,834 | 307,834 |
| TOTAL-Pmts to Other Than Local Govts | 259,196 | 314,500 | 314,500 | 314,500 | 314,500 | 314,500 |

| | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 18,171,405 | 20,415,930 | 20,671,300 | 20,196,731 | 20,671,300 | 20,981,118 |
| Other Expenses | 2,740,259 | 2,903,207 | 2,903,207 | 2,888,691 | 2,903,207 | 2,888,691 |
| Other Current Expenses | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 | 511,396 |
| Pmts to Other Than Local Govts | 259,196 | 314,500 | 314,500 | 314,500 | 314,500 | 314,500 |
| TOTAL-General Fund | 21,682,256 | 24,145,033 | 24,400,403 | 23,911,318 | 24,400,403 | 24,695,705 |

DEPARTMENT OF ADMINISTRATIVE SERVICES

AGENCY DESCRIPTION

The Department of Administrative Services' mission is to perform the business functions of state government, including information technology, human resources, procurement, facilities and real estate management, construction, workers' compensation, fiscal services and fleet management. As part of this mission, DAS establishes statewide policy and provides assistance to agencies on matters related to purchasing, collections, motor vehicle fleet, human resources, information technology, property and facilities management, design and construction of state facilities, and state building and fire code administration. DAS also works to supply the best possible people, goods and services to the agencies in accordance with their business needs and within statutory requirements.

The department has four core functions that cut across all programs:

(1) Protecting the public and the state by developing and enforcing state building and fire codes, conducting inspections and providing

training across the state, maintaining IT and physical premises security, and insuring and managing risk related to state buildings, employees, and other assets; (2) reducing costs by eliminating redundancy through centralized services such as collections, technology, construction services, procurement and other administrative functions; (3) utilizing specialized skills and expertise to assist agencies to lower overall statewide costs, maximize revenues and create efficiencies; and (4) fostering economic growth in the state through the timely management and completion of state building construction projects.

DAS' services enable the state to save money by taking advantage of economies of scale and streamlining services and processes. The services provided help state agencies, municipalities, vendors, colleges and universities, non-profit organizations and the public at large.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------|
| • Adjust Funding Provided for Building Operational and Lease Costs | -5,570,217 | -5,570,217 |
| • Annualize Estimated FY 2021 Deficiency and Provide Funds for Anticipated Premium Increases - General Fund | 2,682,733 | 2,682,733 |
| • Annualize Estimated FY2021 Deficiency and Provide Funds for Anticipated Premium Increases - Special Transportation Fund | 2,077,079 | 2,077,079 |
| • Provide Funds for the Annual License Costs for the Statewide Timekeeping System <i>A statewide timekeeping system was initially funded from the IT Capital Investment Program covering the annual maintenance, licenses, and subscriptions. In FY 2022 the bond funded portion of the project will end and licensing expenses will transition to the operating budget.</i> | 1,555,214 | 1,586,319 |
| • Adjust Personal Services to Reflect Current Services | -467,175 | -467,175 |
| • Provide Funding for State Employee Wage Adjustments | 368,367 | 368,367 |
| • Provide Funds for Anticipated Security and Cleaning Contract Increases Due to Prevailing Wages | 271,707 | 550,853 |
| • Provide Funds for IT Systems Maintenance, Subscriptions and Licenses, and Internet Bandwidth Capacity <i>Funding is provided for maintenance of the State Marshal portal, increased internet bandwidth capacity, and licenses and subscriptions for JobApps and other software.</i> | 265,000 | 527,682 |
| • Provide Funding to Transition Technology Work from Consultants to State Staff <i>Funding is provided for three full-time positions to continue work currently being performed by consultants funded with bond funds. Two positions will focus on the Enterprise Content Management project while one position will be dedicated to the eLicensing system.</i> | 208,000 | 208,000 |
| • Provide Funds for Increased Premium for State Employee Comprehensive Bond <i>Funding is provided for a comprehensive bond to cover all state officers and employees as required by statute. This bond renews every three years and this premium will be paid in FY 2022. The bond indemnifies the state against employee theft, forgery or alteration, money order and counterfeit money, computer crime, and funds transfer fraud.</i> | 44,150 | 1,400 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 1,994,263 |
| Reductions | FY 2022 | FY 2023 |
| • Reflect Savings from the Sale or Transfer of Unneeded State Properties and Property Management Consolidations <i>The Department of Administrative Services will seek to reduce the state's footprint by selling or transferring the following properties; 18-20 Trinity Street, 30 Trinity Street, 129 Lafayette Street, and 38 Wolcott Hill Road. In addition, savings will be achieved by consolidating property management contracts for several properties.</i> | -1,407,706 | -2,012,293 |
| • Annualize FY 2021 Rescissions | -1,148,111 | -1,148,111 |
| • Reflect Savings Resulting from Centralization of Human Resources Functions | -1,048,400 | -6,388,400 |
| • Reduce Operational Costs at 450 Columbus Boulevard by Reducing Security and Cleaning Contracts | -306,056 | -306,056 |

| | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|
| • Achieve Savings by Converting Lighting to Light Emitting Diode (LED) in Various State-owned Buildings <i>These savings represent efficiencies in LED lighting upgrades at 505 Hudson Street, 55 Farmington Avenue, and the Capitol Avenue complex.</i> | -244,750 | -244,750 |
| • Achieve Savings by Reducing Security Costs at Various State Buildings <i>The agency will amend security contracts at 165 Capitol Avenue, 450 Capitol Avenue, and 61 Woodland Street to reduce certain coverages to achieve savings.</i> | -238,264 | -238,264 |
| • Achieve Personal Services Savings | -50,000 | -779,933 |
| • Eliminate Funding for a Leased Copier | -1,661 | -1,661 |

Reallocations

| | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------------------------------------------------------------------|------------|------------|
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services - General Fund | 16,023,850 | 16,640,153 |
| • Centralize Funding for Microsoft 365 Statewide Agreement Under the Department of Administrative Services - General Fund | 5,254,851 | 5,616,623 |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services - Special Transportation Fund | 2,672,073 | 2,774,845 |
| • Centralize Funding for Microsoft 365 Statewide Agreement Under the Department of Administrative Services - Special Transportation Fund | 912,959 | 912,959 |
| • Transfer Funds for Electricity Bill to Military and State Library | -483,875 | -483,875 |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services - Workers' Compensation Fund | 225,355 | 234,023 |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services - Insurance Fund | 208,527 | 216,548 |
| • Reflect Annualization of Centralized Labor Relations Services Under the Office of Policy and Management | -206,798 | -214,752 |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services - Consumer Counsel and Public Utility Control Fund | 136,889 | 142,154 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Consumer Counsel/Public Utility Fund | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| General Fund | 473 | 106 | 0 | 579 | 579 | 755 | 579 | 722 |
| Insurance Fund | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| Special Transportation Fund | 0 | 0 | 0 | 0 | 0 | 31 | 0 | 31 |
| Workers' Compensation Fund | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| Private Funds | 12 | 5 | -5 | 12 | 12 | 12 | 12 | 12 |

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Construction Management | 6,776,974 | 10,456,614 | 10,629,383 | 10,520,083 | 10,520,083 | 10,885,168 | 10,812,940 | 10,812,940 |
| Facilities & Property Mgmt | 36,288,345 | 44,435,669 | 45,684,885 | 38,251,192 | 35,414,633 | 46,116,374 | 38,646,181 | 35,205,035 |
| Enterprise Systems & Technology | 25,630,529 | 30,207,391 | 31,796,224 | 30,349,429 | 35,104,280 | 32,275,390 | 31,295,644 | 36,412,267 |
| Central Administration | 28,225,230 | 31,176,060 | 33,321,894 | 31,485,797 | 49,870,063 | 34,132,429 | 32,385,492 | 45,432,900 |
| Boards and Commissions | 26,015,805 | 23,950,730 | 32,111,793 | 27,168,978 | 27,165,303 | 35,237,217 | 27,159,668 | 27,155,993 |
| TOTAL Agency Programs | 122,936,883 | 140,226,464 | 153,544,179 | 137,775,479 | 158,074,362 | 158,646,578 | 140,299,925 | 155,019,135 |

Summary of Funding

| | | | | | | | | |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 111,709,631 | 128,406,251 | 140,584,429 | 126,764,030 | 142,907,110 | 143,961,923 | 129,288,476 | 139,727,157 |
| Special Transportation Fund | 9,634,746 | 8,934,370 | 12,959,750 | 11,011,449 | 14,596,481 | 14,684,655 | 11,011,449 | 14,699,253 |
| Insurance Fund | 0 | 0 | 0 | 0 | 208,527 | 0 | 0 | 216,548 |
| Consumer Counsel/Public Utility Fund | 0 | 0 | 0 | 0 | 136,889 | 0 | 0 | 142,154 |
| Workers' Compensation Fund | 0 | 0 | 0 | 0 | 225,355 | 0 | 0 | 234,023 |
| Federal Funds | 1,592,506 | 2,860,588 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 0 | 10,871 | 0 | 0 | 0 | 0 | 0 | 0 |
| Restricted State Accounts | 0 | 14,384 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 122,936,883 | 140,226,464 | 153,544,179 | 137,775,479 | 158,074,362 | 158,646,578 | 140,299,925 | 155,019,135 |

CONSTRUCTION & FACILITIES MANAGEMENT

Statutory Reference

C.G.S. Chapters 59, 60, 60a, 173, and 541

Statement of Need and Program Objectives

To manage the planning, design and construction of state-owned facilities. To provide technical assistance to agency facility managers, including all state-managed institutions. To develop, and administer, the state's building, fire safety, and fire prevention codes. To ensure compliance with building and fire codes for all large scale state construction projects. To certify and provide training for local code officials. To acquire, sell and transfer real property based on sound economic principles pursuant to the best interests of the state. To ensure that state employees and the visiting public have a clean, safe and healthy environment in which to work or visit. To ensure the responsive and cost-effective implementation of the state's facilities management plan, capital development program, leasing and property acquisition activities, statewide security of buildings and disposition of surplus state property. To lease out state facilities to private parties, where appropriate. To provide and maintain vehicles that meet the diverse needs of state agencies in a timely and cost effective manner. To review and approve applications for state school construction grants.

Program Description

Construction Management provides technical assistance and oversight of the design and construction of state capital projects. The capital project responsibilities include the qualifications-based selection of architects and engineers, construction contractor procurement, and the management of the design effort and the construction work. The bureau is responsible for ensuring code compliance for all large-scale state owned construction projects by performing plan reviews, conducting inspections and issuing certificates of occupancy.

The State Building Inspector and State Fire Marshal staff develop, publish and administer a broad range of codes and agency regulations intended to protect the public from natural or technological failure or disaster.

The Office of Education and Data Management administers licensing and certification programs and continuing education for local building officials and fire marshals.

The Leasing and Property Transfer Unit acquires, sells, and transfers real property for state agencies. Additionally, it also leases state-owned property to private and nonprofit groups when appropriate.

The Facilities Management Unit administers the operation, maintenance and security of state owned buildings.

The CAS Management Unit operates the Capitol Area Energy System (CAS) district heating and cooling loop. The CAS provides hot water for space heating and domestic hot water production, and chilled water for space cooling for nineteen facilities.

The Statewide Security Unit provides for the overall physical security of the state employees, clients, and in both state-owned and leased facilities, except where specifically exempted by statute.

The Technical Services Unit provides technical engineering, planning and environmental support such as facilities project initiation, infrastructure improvement planning, monthly bonding requests and supervision of agency administered construction projects.

Fleet Services acquires, maintains, replaces and disposes of motor vehicles for the state.

The Office of School Construction Grants and Review provides technical review of the design documents for state funded local school construction projects and is responsible for the review and approval of requests for grant funding for local school construction.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 73 | 7 | 0 | 80 | 80 | 80 | 80 | 80 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 6,139,273 | 9,462,932 | 9,526,401 | 9,526,401 | 9,526,401 | 9,782,186 | 9,819,258 | 9,819,258 |
| Other Expenses | 592,843 | 778,811 | 888,111 | 778,811 | 778,811 | 888,111 | 778,811 | 778,811 |
| Other Current Expenses | | | | | | | | |
| IT Services | 44,858 | 214,871 | 214,871 | 214,871 | 214,871 | 214,871 | 214,871 | 214,871 |
| Total-General Fund | 6,776,974 | 10,456,614 | 10,629,383 | 10,520,083 | 10,520,083 | 10,885,168 | 10,812,940 | 10,812,940 |
| Total - All Funds | 6,776,974 | 10,456,614 | 10,629,383 | 10,520,083 | 10,520,083 | 10,885,168 | 10,812,940 | 10,812,940 |

HUMAN RESOURCES

Statutory Reference

Chapter 67

Statement of Need and Program Objectives

To provide statewide human resource services, planning, and training. To administer the Workers' Compensation program and promote a culture of safety

Program Description

Human Resources designs and manages human resources systems for state agencies; provides quality control and auditing of personnel transactions; manages recruiting and training for agencies, including the development and administration of employment examinations for all classified competitive job titles in the state; administers the executive branch's leave management and benefits program; and provides services in the areas of organizational design, classification, staffing, job evaluation, compensation and the administration of HR laws and regulations.

The Workers' Compensation Unit administers the state workers' compensation program and offers a wide array of loss control and safety services statewide. This division also manages the master property and casualty insurance program for state-funded and federally-funded housing units.

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 32 | 3 | 0 | 35 | 35 | 35 | 35 | 35 |
| | | | | | | | | |
| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,763,371 | 3,488,110 | 3,512,907 | 3,512,907 | 3,512,907 | 3,614,086 | 3,628,750 | 3,628,750 |
| Other Expenses | 25,341,728 | 28,918,996 | 31,043,629 | 29,581,078 | 26,744,519 | 31,355,650 | 29,860,224 | 26,419,078 |
| | | | | | | | | |
| <i>Other Current Expenses</i> | | | | | | | | |
| Rents and Moving | 7,534,608 | 10,571,577 | 10,582,127 | 4,610,985 | 4,610,985 | 10,600,416 | 4,610,985 | 4,610,985 |
| IT Services | 506,308 | 546,222 | 546,222 | 546,222 | 546,222 | 546,222 | 546,222 | 546,222 |
| Other Current Expenses | 8,040,916 | 11,117,799 | 11,128,349 | 5,157,207 | 5,157,207 | 11,146,638 | 5,157,207 | 5,157,207 |
| Total-General Fund | 36,146,015 | 43,524,905 | 45,684,885 | 38,251,192 | 35,414,633 | 46,116,374 | 38,646,181 | 35,205,035 |
| | | | | | | | | |
| <i>Federal Contributions</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>21019 Coronavirus Relief Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 21019 Coronavirus Relief Fund | 142,330 | 910,764 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 36,288,345 | 44,435,669 | 45,684,885 | 38,251,192 | 35,414,633 | 46,116,374 | 38,646,181 | 35,205,035 |

ENTERPRISE SYSTEMS AND TECHNOLOGY

Statutory Reference

C.G.S. Chapter 61.

Statement of Need and Program Objectives

To improve program effectiveness and resolve business issues using technology. To improve delivery of services by implementing technology best practices. To provide and maintain a standardized technology system to streamline government operations, increase efficiency, facilitate better decision-making and eliminate redundant systems for the statewide human resources and procurement functions.

Program Description

The Bureau of Enterprise Systems and Technology (BEST) provides enterprise services to support state agency business and operational needs, from phones and desktops to the state's most critical financial, human service and public safety applications.

BEST provides infrastructure, hosting and development support for state data and distributed systems. BEST works to protect the state's IT infrastructure with a broad array of IT security services, including intrusion protection, business continuity and disaster recovery services for HIPAA-impacted agencies.

BEST provides customer agencies with messaging and e-mail, directory, desktop, file/print, anti-virus and patch management services.

BEST provides network connectivity to state agencies through maintenance and enhancement of the statewide local and wide area networks. It also provides telecommunication system consulting and project management services.

BEST offers the tools and management for expansion and advancement of the state's internet presence, including deployment of a statewide online web content management system.

BEST manages statewide procurement services for information technology and network products and services and administers the centralized telecommunication billing system for state agencies.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 144 | 16 | 0 | 160 | 160 | 163 | 160 | 163 |
| Financial Summary by Program | | | | | | | | |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 11,315,841 | 13,782,429 | 14,305,332 | 14,113,332 | 14,113,332 | 14,875,171 | 14,765,760 | 14,765,760 |
| Other Expenses | 0 | 0 | 1,585 | 0 | 0 | 1,585 | 0 | 0 |
| Other Current Expenses | | | | | | | | |
| IT Services | 12,864,512 | 14,449,883 | 17,489,307 | 16,236,097 | 20,990,948 | 17,398,634 | 16,529,884 | 21,646,507 |
| Total-General Fund | 24,180,353 | 28,232,312 | 31,796,224 | 30,349,429 | 35,104,280 | 32,275,390 | 31,295,644 | 36,412,267 |
| Other Funds Available | | | | | | | | |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 0 | 10,871 | 0 | 0 | 0 | 0 | 0 | 0 |
| Restricted State Accounts | 0 | 14,384 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | | | | | | | | |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 21019 Coronavirus Relief Fund | 1,450,176 | 1,949,824 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 25,630,529 | 30,207,391 | 31,796,224 | 30,349,429 | 35,104,280 | 32,275,390 | 31,295,644 | 36,412,267 |

CENTRAL ADMINISTRATION

Statutory References

C.G.S. Chapters 57, 58, 58a, and 67; Sections 1-83(a)(2), 1-101rr, 31-284a, and 46a-68(b).

Statement of Need and Program Objectives

To set agency policy and direction, allocate, manage and monitor agency programs and resources, and provide legal and legislative support to DAS. To provide Equal Employment Opportunity and Affirmative Action services. To provide written and electronic communications and print, media and web design services. To maximize revenue through collection of money owed to the state. To provide financial services to DAS and several small agencies.

Program Description

The Office of the Commissioner includes the legal and legislative operations of the agency.

The Business office provides fiscal operations and payroll services to DAS and several small agencies. In addition, it operates the statewide mail/courier operation and the DAS print shop.

The Equal Employment Opportunity/Affirmative Action (EEO/AA) Unit develops and implements Affirmative Action Plans and goals for DAS and several other agencies.

Collections Services provides billing and collection services for care provided by the departments of Developmental Services, Social Services, Mental Health and Addiction Services, Children and Families, Correction, and Veterans Affairs, as well as ninety towns participating in the School Based Child and Health Services Program. Collections Services also maintains trustee accounts for individuals residing in

state humane institutions. In addition, this division administers the State and Federal Tax Intercept Program.

The Communications Unit develops a wide range of communications and marketing materials, and provides graphic and web design services.

The Equal Employment Opportunity/Affirmative Action (EEO/AA) Unit develops and implements Affirmative Action Plans and goals for DAS

and several other agencies. It is also responsible for investigating complaints and providing training and counseling on EEO matters.

The Procurement Unit manages the statewide procurement of goods and services and related activities, such the certification of Connecticut small and minority businesses, prequalification of construction contractors, administration of the state purchasing card program, and administration of the surplus property programs.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Consumer Counsel/Public Utility Fund | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| General Fund | 217 | 80 | 0 | 297 | 297 | 470 | 297 | 437 |
| Insurance Fund | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| Special Transportation Fund | 0 | 0 | 0 | 0 | 0 | 31 | 0 | 31 |
| Workers' Compensation Fund | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 20,529,589 | 23,337,981 | 24,076,262 | 23,622,718 | 36,475,992 | 24,862,067 | 24,522,413 | 31,903,503 |
| Other Expenses | 1,045,078 | 1,191,781 | 1,191,781 | 1,191,781 | 1,190,120 | 1,191,781 | 1,191,781 | 1,190,120 |

| Other Current Expenses | | | | | | | | |
|---------------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Tuition Reimbursement - Training and Travel | 584,964 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Loss Control Risk Management | 85,499 | 92,634 | 92,634 | 92,634 | 88,003 | 92,634 | 92,634 | 88,003 |
| Employees' Review Board | 8,565 | 17,611 | 17,611 | 17,611 | 17,611 | 17,611 | 17,611 | 17,611 |
| Quality of Work-Life | 11,400 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Refunds Of Collections | 9,368 | 21,453 | 21,453 | 21,453 | 20,381 | 21,453 | 21,453 | 20,381 |
| W. C. Administrator | 4,975,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 |
| IT Services | 575,767 | 1,114,600 | 2,522,153 | 1,139,600 | 2,522,153 | 2,546,883 | 1,139,600 | 2,532,753 |
| Firefighters Fund | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Other Current Expenses | 6,650,563 | 6,646,298 | 8,053,851 | 6,671,298 | 8,048,148 | 8,078,581 | 6,671,298 | 8,058,748 |
| Total-General Fund | 28,225,230 | 31,176,060 | 33,321,894 | 31,485,797 | 45,714,260 | 34,132,429 | 32,385,492 | 41,152,371 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 0 | 0 | 0 | 0 | 2,672,073 | 0 | 0 | 2,774,845 |

| Other Current Expenses | | | | | | | | |
|-----------------------------------|---|---|---|---|-----------|---|---|-----------|
| IT Services | 0 | 0 | 0 | 0 | 912,959 | 0 | 0 | 912,959 |
| Total-Special Transportation Fund | 0 | 0 | 0 | 0 | 3,585,032 | 0 | 0 | 3,687,804 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 0 | 0 | 0 | 0 | 110,507 | 0 | 0 | 114,758 |

| Other Current Expenses | | | | | | | | |
|-------------------------------|---|---|---|---|---------|---|---|---------|
| Fringe Benefits | 0 | 0 | 0 | 0 | 98,020 | 0 | 0 | 101,790 |
| Total-Insurance Fund | 0 | 0 | 0 | 0 | 208,527 | 0 | 0 | 216,548 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
|-------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|

| Consumer Counsel/Public Utility Fund | | | | | | | | |
|---------------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 0 | 0 | 0 | 0 | 72,643 | 0 | 0 | 75,437 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 0 | 0 | 0 | 0 | 64,246 | 0 | 0 | 66,717 |
| Total-Consumer Counsel/Public Utility Fund | 0 | 0 | 0 | 0 | 136,889 | 0 | 0 | 142,154 |
| Financial Summary by Program | | | | | | | | |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Workers' Compensation Fund | | | | | | | | |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 0 | 0 | 0 | 0 | 118,921 | 0 | 0 | 123,495 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 0 | 0 | 0 | 0 | 106,434 | 0 | 0 | 110,528 |
| Total-Workers' Compensation Fund | 0 | 0 | 0 | 0 | 225,355 | 0 | 0 | 234,023 |
| Total - All Funds | 28,225,230 | 31,176,060 | 33,321,894 | 31,485,797 | 49,870,063 | 34,132,429 | 32,385,492 | 45,432,900 |

BOARDS AND COMMISSIONS

Statutory Reference

C.G.S. Sections 4b-3, 4-142a et seq., 4a-19-4a-21, and 6-38b.

Statement of Need and Program Objectives

To provide oversight of the leasing, sale and acquisition of real estate, as well as proposals for the hiring of architects and engineers to ensure financial prudence and compliance with law. To hear and determine all claims against the state except those claims specifically exempted in statute. To appoint and oversee the administration of the State Marshals. To determine the method by which the state insures itself against losses, to direct the negotiations for purchase of insurance for the state, to develop and implement risk management and loss prevention programs related to state insurance plans, and to designate the state's agent(s) of record.

Program Description

The State Properties Review Board reviews and approves transactions involving the acquisition, leasing, and development of land and

buildings for state use. The board also reviews the sale or lease of surplus state buildings and land and acquisition of highway and railroad rights-of-way.

The Office of the Claims Commissioner conducts hearings for claims seeking more than \$7,500 and/or permission to sue the state, adjudicates all claims against the state for less than \$7,500 and decides which claims meet the statutory rules warranting a waiver of the sovereign immunity of the state.

The State Marshal Commission establishes professional standards, including training requirements and minimum fees for the execution and service of process. The commission investigates and resolves complaints brought against State Marshals.

The State Insurance and Risk Management Board implements risk management and loss prevention programs and determines the method by which the state shall insure its assets and personnel.

| Personnel Summary | | | | | | | | |
|--------------------------------------|---------|-----------|-----------|----------|-------------|-------------|-----------|-------------|
| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Permanent Full-Time Positions | | | | | | | | |
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 7 | 0 | 0 | 7 | 7 | 7 | 7 | 7 |
| Financial Summary by Program | | | | | | | | |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | | | | | | | | |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 806,983 | 811,063 | 816,349 | 816,349 | 816,349 | 845,556 | 849,789 | 849,789 |
| Other Expenses | 210,729 | 291,942 | 362,142 | 300,942 | 300,942 | 372,202 | 300,942 | 300,942 |

| Other Current Expenses | | | | | | | | |
|------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Surety Bonds for State Officials and Employees | 47,689 | 73,500 | 117,650 | 117,650 | 113,975 | 74,900 | 74,900 | 71,225 |
| State Insurance and Risk Mgmt Operations | 15,315,407 | 13,839,855 | 17,855,902 | 14,922,588 | 14,922,588 | 19,259,904 | 14,922,588 | 14,922,588 |
| IT Services | 251 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Current Expenses | 15,363,347 | 13,913,355 | 17,973,552 | 15,040,238 | 15,036,563 | 19,334,804 | 14,997,488 | 14,993,813 |
| Total-General Fund | 16,381,059 | 15,016,360 | 19,152,043 | 16,157,529 | 16,153,854 | 20,552,562 | 16,148,219 | 16,144,544 |
| Financial Summary by Program | | | | | | | | |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| State Insurance and Risk Mgmt Operations | 9,634,746 | 8,934,370 | 12,959,750 | 11,011,449 | 11,011,449 | 14,684,655 | 11,011,449 | 11,011,449 |
| Total-Special Transportation Fund | 9,634,746 | 8,934,370 | 12,959,750 | 11,011,449 | 11,011,449 | 14,684,655 | 11,011,449 | 11,011,449 |
| Total - All Funds | 26,015,805 | 23,950,730 | 32,111,793 | 27,168,978 | 27,165,303 | 35,237,217 | 27,159,668 | 27,155,993 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 39,640,688 | 49,674,446 | 51,019,302 | 63,119,622 | 52,756,512 | 59,632,298 |
| Salaries & Wages-Temporary | 351,971 | 149,388 | 152,131 | 152,131 | 152,131 | 152,131 |
| Salaries & Wages-Part Time | 139,533 | 95,134 | 96,928 | 204,338 | 101,533 | 213,741 |
| Longevity Payments | 230,981 | 205,544 | 205,544 | 205,544 | 205,544 | 205,544 |
| Overtime | 283,024 | 293,276 | 298,619 | 298,619 | 298,619 | 298,619 |
| Accumulated Leave | 510,474 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 378,117 | 444,460 | 444,460 | 444,460 | 444,460 | 444,460 |
| Other | 20,269 | 20,267 | 20,267 | 20,267 | 20,267 | 20,267 |
| TOTAL - Personal Services-Personal Services | 41,555,057 | 50,882,515 | 52,237,251 | 64,444,981 | 53,979,066 | 60,967,060 |
| Other Expenses | | | | | | |
| Chilled Water | 856,142 | 1,197,303 | 1,197,303 | 1,197,303 | 1,197,303 | 1,197,303 |
| Communications | 123,833 | 91,915 | 91,915 | 91,915 | 91,915 | 91,915 |
| Conf/Seminars/Workshop-Hosting | 0 | 0 | 0 | 0 | 149,000 | 0 |
| Diesel-Generator | 619 | 0 | 0 | 0 | 0 | 0 |
| Electricity | 4,857,286 | 5,785,773 | 5,785,773 | 4,901,240 | 5,785,773 | 4,901,240 |
| Employee Expenses | 2,600 | 14,187 | 14,187 | 14,187 | 14,187 | 14,187 |
| Employee Travel | 15,868 | 15,741 | 15,741 | 15,741 | 15,741 | 15,741 |
| Equipment Rental and Maintenance | 219,377 | 198,711 | 198,711 | 197,050 | 198,711 | 197,050 |
| Food And Beverages | 48,368 | 38,099 | 38,099 | 38,099 | 38,099 | 38,099 |
| Government Buildings | 0 | 0 | 1,216,626 | 113,375 | 1,316,378 | 113,375 |
| Hot Water | 938,487 | 1,432,844 | 1,432,844 | 1,432,844 | 1,432,844 | 1,432,844 |
| Information Technology | 576,403 | 842,128 | 842,128 | 842,128 | 842,128 | 842,128 |
| IT Software Licenses/Rental | 0 | 0 | 1,200 | 0 | 1,260 | 0 |
| IT Software Maint & Support | 0 | 0 | 118,300 | 9,000 | 118,300 | 9,000 |

| | | | | | | |
|------------------------------|------------|------------|------------|------------|------------|------------|
| Motor Vehicle Costs | 373,033 | 383,898 | 383,898 | 383,898 | 383,898 | 383,898 |
| Natural Gas | 705,430 | 822,919 | 822,919 | 822,919 | 822,919 | 822,919 |
| Oil #2 | 7,791 | 17,331 | 17,331 | 17,331 | 17,331 | 17,331 |
| Other / Fixed Charges | 14,940 | 15,750 | 15,750 | 15,750 | 15,750 | 15,750 |
| Other Services | 528,337 | 881,802 | 941,802 | 881,802 | 941,802 | 881,802 |
| Premises Cleaning Services | 0 | 0 | 303,390 | 138,988 | 321,593 | 281,967 |
| Premises Expenses | 16,282,915 | 17,779,608 | 17,779,608 | 16,121,804 | 17,779,608 | 15,517,217 |
| Premises Security Guards | 0 | 0 | 489,617 | 115,497 | 533,683 | 251,664 |
| Professional Services | 804,808 | 822,360 | 822,360 | 822,360 | 832,360 | 822,360 |
| Propane | 242 | 375 | 375 | 375 | 375 | 375 |
| Purchased Commodities | 306,029 | 89,591 | 89,591 | 89,591 | 89,591 | 89,591 |
| Reimbursements | 23,005 | 226,552 | 226,552 | 226,552 | 226,552 | 226,552 |
| Salaries & Wages-Full Time | 0 | 0 | 1,585 | 0 | 1,585 | 0 |
| Salaries and Wages | 936 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 |
| Sewer | 291,883 | 315,342 | 430,342 | 315,342 | 430,342 | 315,342 |
| Translation & Interpretation | 0 | 0 | 0 | 0 | 1,000 | 0 |
| Water | 212,046 | 206,301 | 206,301 | 206,301 | 206,301 | 206,301 |
| TOTAL-Other Expenses | 27,190,378 | 31,181,530 | 33,487,248 | 29,014,392 | 33,809,329 | 28,688,951 |

Other Current Expenses

| | | | | | | |
|------------------------------------------------|------------|------------|------------|------------|------------|------------|
| Tuition Reimbursement - Training and Travel | 584,964 | 0 | 0 | 0 | 0 | 0 |
| Loss Control Risk Management | 85,499 | 92,634 | 92,634 | 88,003 | 92,634 | 88,003 |
| Employees' Review Board | 8,565 | 17,611 | 17,611 | 17,611 | 17,611 | 17,611 |
| Surety Bonds for State Officials and Employees | 47,689 | 73,500 | 117,650 | 113,975 | 74,900 | 71,225 |
| Quality of Work-Life | 11,400 | 0 | 0 | 0 | 0 | 0 |
| Refunds Of Collections | 9,368 | 21,453 | 21,453 | 20,381 | 21,453 | 20,381 |
| Rents and Moving | 7,534,608 | 10,571,577 | 10,582,127 | 4,610,985 | 10,600,416 | 4,610,985 |
| W. C. Administrator | 4,975,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 |
| State Insurance and Risk Mgmt Operations | 15,315,407 | 13,839,855 | 17,855,902 | 14,922,588 | 19,259,904 | 14,922,588 |
| IT Services | 13,991,696 | 16,325,576 | 20,772,553 | 24,274,194 | 20,706,610 | 24,940,353 |
| Firefighters Fund | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| TOTAL-Other Current Expenses | 42,964,196 | 46,342,206 | 54,859,930 | 49,447,737 | 56,173,528 | 50,071,146 |

| | | | | | | |
|------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Personal Services | 41,555,057 | 50,882,515 | 52,237,251 | 64,444,981 | 53,979,066 | 60,967,060 |
| Other Expenses | 27,190,378 | 31,181,530 | 33,487,248 | 29,014,392 | 33,809,329 | 28,688,951 |
| Other Current Expenses | 42,964,196 | 46,342,206 | 54,859,930 | 49,447,737 | 56,173,528 | 50,071,146 |
| TOTAL-General Fund | 111,709,631 | 128,406,251 | 140,584,429 | 142,907,110 | 143,961,923 | 139,727,157 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|------------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 0 | 0 | 0 | 2,615,683 | 0 | 2,716,286 |
| Salaries & Wages-Part Time | 0 | 0 | 0 | 56,390 | 0 | 58,559 |
| TOTAL - Personal Services-Personal Services | 0 | 0 | 0 | 2,672,073 | 0 | 2,774,845 |

Other Current Expenses

| | | | | | | |
|------------------------------------------|-----------|-----------|------------|------------|------------|------------|
| State Insurance and Risk Mgmt Operations | 9,634,746 | 8,934,370 | 12,959,750 | 11,011,449 | 14,684,655 | 11,011,449 |
| IT Services | 0 | 0 | 0 | 912,959 | 0 | 912,959 |
| TOTAL-Other Current Expenses | 9,634,746 | 8,934,370 | 12,959,750 | 11,924,408 | 14,684,655 | 11,924,408 |
| Personal Services | 0 | 0 | 0 | 2,672,073 | 0 | 2,774,845 |
| Other Current Expenses | 9,634,746 | 8,934,370 | 12,959,750 | 11,924,408 | 14,684,655 | 11,924,408 |
| TOTAL-Special Transportation Fund | 9,634,746 | 8,934,370 | 12,959,750 | 14,596,481 | 14,684,655 | 14,699,253 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND**Current Expenses by Minor Object**

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 0 | 0 | 0 | 110,507 | 0 | 114,758 |
| TOTAL - Personal Services-Personal Services | 0 | 0 | 0 | 110,507 | 0 | 114,758 |

Other Current Expenses

| | | | | | | |
|------------------------------|---|---|---|---------|---|---------|
| Fringe Benefits | 0 | 0 | 0 | 98,020 | 0 | 101,790 |
| TOTAL-Other Current Expenses | 0 | 0 | 0 | 98,020 | 0 | 101,790 |
| Personal Services | 0 | 0 | 0 | 110,507 | 0 | 114,758 |
| Other Current Expenses | 0 | 0 | 0 | 98,020 | 0 | 101,790 |
| TOTAL-Insurance Fund | 0 | 0 | 0 | 208,527 | 0 | 216,548 |

AGENCY FINANCIAL SUMMARY - CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND**Current Expenses by Minor Object**

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 0 | 0 | 0 | 72,643 | 0 | 75,437 |
| TOTAL - Personal Services-Personal Services | 0 | 0 | 0 | 72,643 | 0 | 75,437 |

Other Current Expenses

| | | | | | | |
|--------------------------------------------|---|---|---|---------|---|---------|
| Fringe Benefits | 0 | 0 | 0 | 64,246 | 0 | 66,717 |
| TOTAL-Other Current Expenses | 0 | 0 | 0 | 64,246 | 0 | 66,717 |
| Personal Services | 0 | 0 | 0 | 72,643 | 0 | 75,437 |
| Other Current Expenses | 0 | 0 | 0 | 64,246 | 0 | 66,717 |
| TOTAL-Consumer Counsel/Public Utility Fund | 0 | 0 | 0 | 136,889 | 0 | 142,154 |

AGENCY FINANCIAL SUMMARY - WORKERS' COMPENSATION FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 0 | 0 | 0 | 118,921 | 0 | 123,495 |
| TOTAL - Personal Services-Personal Services | 0 | 0 | 0 | 118,921 | 0 | 123,495 |
| | | | | | | |
| <i>Other Current Expenses</i> | | | | | | |
| Fringe Benefits | 0 | 0 | 0 | 106,434 | 0 | 110,528 |
| TOTAL-Other Current Expenses | 0 | 0 | 0 | 106,434 | 0 | 110,528 |
| | | | | | | |
| Personal Services | 0 | 0 | 0 | 118,921 | 0 | 123,495 |
| Other Current Expenses | 0 | 0 | 0 | 106,434 | 0 | 110,528 |
| TOTAL-Workers' Compensation Fund | 0 | 0 | 0 | 225,355 | 0 | 234,023 |

ATTORNEY GENERAL

AGENCY DESCRIPTION

The Attorney General is the chief legal officer of the State of Connecticut. The Attorney General's Office serves as legal counsel to all state agencies and acts to protect the public interest for the people of the State of Connecticut.

The mission of the office is to represent and advocate the interests of the state and its citizens, to ensure that state government acts within the letter and spirit of the law, to protect public resources for present and future generations, to preserve and enhance the quality of life of all the state's citizens, and to safeguard the rights of its most vulnerable citizens.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 | |
|----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|------------------------|
| | <ul style="list-style-type: none"> Provide Funding for State Employee Wage Adjustments Reflect Impact of 27th Payroll During FY 2023 | 2,495,267 0 | 2,495,267 1,283,367 |
| Reductions | FY 2022 | FY 2023 | |
| | <ul style="list-style-type: none"> Annualize FY 2021 Rescissions | -205,100 | -205,100 |
| Expansions | FY 2022 | FY 2023 | FY 2024 |
| | <ul style="list-style-type: none"> Provide Funds for Enterprise Electronic Signature Solution | 20,000 | 20,000 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 280 | 31 | 0 | 311 | 311 | 311 | 311 | 311 |
| Private Funds | 3 | 2 | 0 | 5 | 5 | 5 | 5 | 5 |
| Second Injury Fund | 10 | 1 | 0 | 11 | 11 | 11 | 11 | 11 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 7 | 7 | 7 | 7 | 7 | 7 |
| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Legal Services | 33,986,630 | 35,766,915 | 38,426,957 | 38,426,957 | 38,241,857 | 39,698,187 | 39,720,324 | 39,535,224 |
| TOTAL Agency Programs | 33,986,630 | 35,766,915 | 38,426,957 | 38,426,957 | 38,241,857 | 39,698,187 | 39,720,324 | 39,535,224 |
| <i>Summary of Funding</i> | | | | | | | | |
| General Fund | 30,827,037 | 31,890,543 | 34,385,810 | 34,385,810 | 34,200,710 | 35,647,040 | 35,669,177 | 35,484,077 |
| Private Funds | 1,151,660 | 1,391,372 | 1,441,147 | 1,441,147 | 1,441,147 | 1,451,147 | 1,451,147 | 1,451,147 |
| Second Injury Fund | 2,007,933 | 2,485,000 | 2,600,000 | 2,600,000 | 2,600,000 | 2,600,000 | 2,600,000 | 2,600,000 |
| Total Agency Programs | 33,986,630 | 35,766,915 | 38,426,957 | 38,426,957 | 38,241,857 | 39,698,187 | 39,720,324 | 39,535,224 |

LEGAL SERVICES

Statutory Reference

C.G.S. Sections 3-124 through 3-131.

Statement of Need and Program Objectives

To facilitate the efficient and effective provision of state services by preventing legal problems from impeding state operations. To advocate before the courts and administrative boards the rights and welfare of citizens of the state and the public policies of Connecticut.

Program Description

The Office of the Attorney General is organized into one administrative and fifteen legal departments. Each of the fifteen departments provides the entire range of legal services to groups of state agencies. These legal services consist primarily of counseling and representation. The counseling function includes the rendering of informal advice and preparation of formal opinions, as well as the

drafting and review of regulations, contracts, and other instruments for legal sufficiency. The representation function involves representing all state agencies and employees, elected and appointed officials in their official capacities in all phases of litigation in federal and state courts and in administrative proceedings. The office also initiates affirmative litigation as appropriate.

The central management of the Office of the Attorney General includes an executive and administrative staff. The executive staff oversees, directs and manages litigation and the provision of legal services, including contract review and issuance of advisory opinions; oversees education to the public on legal issues; and formulates proposed legislation. The administrative staff manages all business operations, recruits and trains personnel, maintains the information systems and plans and develops systems to improve the efficiency and effectiveness of the office.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Projected | Projected |
| Written Opinions | 49 | 50 | 55 | 55 |
| Court Cases Completed | 10,371 | 12,000 | 12,500 | 12,500 |
| Legal Documents Examined | 7,412 | 7,500 | 7,800 | 7,800 |
| Revenue Generated for General Fund | 166,957,658 | 170,000,000 | 175,000,000 | 175,000,000 |
| Revenue Generated for Special Funds | 565,000 | 525,000 | 575,000 | 575,000 |
| Revenue Generated for Individuals and Businesses | 211,992,778 | 225,000,000 | 230,000,000 | 230,000,000 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 280 | 31 | 0 | 311 | 311 | 311 | 311 | 311 |
| Private Funds | 3 | 2 | 0 | 5 | 5 | 5 | 5 | 5 |
| Second Injury Fund | 10 | 1 | 0 | 11 | 11 | 11 | 11 | 11 |

Other Positions Equated to Full-Time

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------|---------|-----------|-----------|-------------|-----------|-------------|-----------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested |
| General Fund | 7 | 7 | 7 | 7 | 7 | 7 | 7 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 29,835,021 | 30,870,633 | 33,365,900 | 33,365,900 | 33,165,900 | 34,627,130 | 34,649,267 | 34,449,267 |
| Other Expenses | 992,016 | 1,019,910 | 1,019,910 | 1,019,910 | 1,034,810 | 1,019,910 | 1,019,910 | 1,034,810 |
| Total-General Fund | 30,827,037 | 31,890,543 | 34,385,810 | 34,385,810 | 34,200,710 | 35,647,040 | 35,669,177 | 35,484,077 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,151,660 | 1,391,372 | 1,441,147 | 1,441,147 | 1,441,147 | 1,451,147 | 1,451,147 | 1,451,147 |
| Second Injury Fund | 2,007,933 | 2,485,000 | 2,600,000 | 2,600,000 | 2,600,000 | 2,600,000 | 2,600,000 | 2,600,000 |
| Total - All Funds | 33,986,630 | 35,766,915 | 38,426,957 | 38,426,957 | 38,241,857 | 39,698,187 | 39,720,324 | 39,535,224 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|-------------------|-------------------|-------------------|--------------------|-------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 28,588,842 | 29,035,600 | 31,524,834 | 31,324,834 | 32,742,824 | 32,564,961 |
| Salaries & Wages-Temporary | 68,592 | 280,000 | 281,233 | 281,233 | 291,994 | 291,994 |
| Salaries & Wages-Part Time | 862,329 | 1,050,000 | 1,054,624 | 1,054,624 | 1,087,103 | 1,087,103 |
| Longevity Payments | 39,059 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| Overtime | 25,096 | 40,000 | 40,176 | 40,176 | 40,176 | 40,176 |
| Accumulated Leave | 246,520 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Other Salaries & Wages | 10 | 33 | 33 | 33 | 33 | 33 |
| Other | 4,573 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| TOTAL - Personal Services-Personal Services | 29,835,021 | 30,870,633 | 33,365,900 | 33,165,900 | 34,627,130 | 34,449,267 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Capital Outlays | 17,662 | 0 | 0 | 0 | 0 | 0 |
| Communications | 73,048 | 84,712 | 84,712 | 84,712 | 84,712 | 84,712 |
| Electricity | 1,486 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 |
| Employee Expenses | 315 | 135,000 | 135,000 | 135,000 | 135,000 | 135,000 |
| Employee Travel | 104,072 | 6,750 | 6,750 | 6,750 | 6,750 | 6,750 |
| Equipment Rental and Maintenance | 65,135 | 22,400 | 22,400 | 22,400 | 22,400 | 22,400 |
| Information Technology | 227,801 | 82,450 | 82,450 | 102,450 | 82,450 | 102,450 |
| Motor Vehicle Costs | 18,551 | 27,750 | 27,750 | 27,750 | 27,750 | 27,750 |
| Other Services | 335,981 | 72,500 | 72,500 | 72,500 | 72,500 | 72,500 |
| Premises Expenses | 37,661 | 229,500 | 229,500 | 229,500 | 229,500 | 229,500 |
| Premises Rent Expense-Landlord | 27,750 | 4,200 | 4,200 | 4,200 | 4,200 | 4,200 |
| Professional Services | 6,343 | 340,450 | 340,450 | 335,350 | 340,450 | 335,350 |
| Purchased Commodities | 75,411 | 7,698 | 7,698 | 7,698 | 7,698 | 7,698 |
| Salaries and Wages | 800 | 4,000 | 4,000 | 4,000 | 4,000 | 4,000 |
| TOTAL-Other Expenses | 992,016 | 1,019,910 | 1,019,910 | 1,034,810 | 1,019,910 | 1,034,810 |
| | | | | | | |
| Personal Services | 29,835,021 | 30,870,633 | 33,365,900 | 33,165,900 | 34,627,130 | 34,449,267 |
| Other Expenses | 992,016 | 1,019,910 | 1,019,910 | 1,034,810 | 1,019,910 | 1,034,810 |
| TOTAL-General Fund | 30,827,037 | 31,890,543 | 34,385,810 | 34,200,710 | 35,647,040 | 35,484,077 |

DIVISION OF CRIMINAL JUSTICE

AGENCY DESCRIPTION

The Division of Criminal Justice is constitutionally charged with the investigation and prosecution of all criminal matters in the Superior Court. Pursuant to Article 23 of the Connecticut Constitution, the division's mission is to contribute to the due process of criminal law and to achieve justice. Article 23, enacted by the voters in 1984, established the division as an Executive Branch agency and transferred it from the Judicial Branch.

The Chief State's Attorney, as administrative head of the agency, is responsible for planning and establishing agency policy and administering the operations and activities of the central office and over 40 prosecutor's offices throughout the state.

The division is organized into three major activity areas: investigation and prosecution, appellate and collateral litigation, and management and support services. These program areas include: prosecution of all felonies, misdemeanors, infractions, motor vehicle offenses and violations arising under state statutes; investigation and prosecution of particular crimes and offenses of statewide scope and/or requiring special expertise and representation of the state in all appellate, post-trial and post-conviction proceedings related to criminal matters.

The division has expanded its activities in the areas of public integrity, "cold case" investigation, prosecution of elder abuse, Medicaid fraud, Workers' Compensation fraud, gun violence prosecution, computer crime, neighborhood prosecution, shooting task force provision and assistance, witness protection, domestic violence, youth violence, recorded custodial interrogation research, and impaired driving.

The division is addressing the proliferation of technology-based evidence and embracing risk assessment and diversion modalities as part of exercising its charging discretion in cases involving low level offenders while also enhancing the role and function of the prosecutor in those efforts.

The division attained jurisdiction over juvenile prosecution as a result of Public Act No. 95-225. Juvenile offenders provide special challenges to prosecutors in that some juveniles may best be diverted to rehabilitative programs to avoid judicial action and detention. However, some juvenile offenders commit crimes serious enough to warrant their transfer to the adult docket.

RECOMMENDED ADJUSTMENTS

| | FY 2022 | FY 2023 | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|---------|
| Baseline Adjustments | | | |
| • Provide Funding for State Employee Wage Adjustments | 323,380 | 323,380 | |
| • Reflect Impact of 27th Payroll During FY 2023 - General Fund | 0 | 1,924,199 | |
| • Reflect Impact of 27th Payroll During FY 2023 - Workers' Compensation Fund | 0 | 15,817 | |
| • Provide Funding for Office of the Inspector General Pursuant to Public Act 20-1 | 1,168,459 | 1,186,284 | |
| • Funding is provided for a Deputy Chief State's Attorney, one Senior Assistant State's Attorney, five Inspectors, one Paralegal, and one Inspector - Crime Scene Analyst. | | | |
| • Provide Funding for Attorney Training | 20,000 | 20,000 | |
| Reductions | | | |
| • Annualize FY 2021 Rescissions | -243,519 | -243,519 | |
| Reallocations | | | |
| • Centralize Funding for Microsoft 365 Statewide Agreement Under the Department of Administrative Services | -32,316 | -32,316 | |
| Expansions | | | |
| • Establish the Conviction Integrity Unit | 363,382 | 375,425 | 363,382 |
| • Funding is provided for 1 Prosecutor, 1 Police Inspector, and 1 Paralegal to re-investigate the cases of convicted persons who claim to be wrongfully convicted and seek review outside the traditional, court based habeas process. Additional funding of \$50,000 is also provided for independent consultants to advise the unit on questions involving scientific testing, methodologies, and forensic evidence collection issues. | | | |
| • Provide Funding for Three Paralegal Positions to Support the Case Management System | 195,000 | 195,000 | 195,000 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 441 | 45 | 0 | 486 | 495 | 501 | 495 | 501 |
| Workers' Compensation Fund | 4 | 0 | 0 | 4 | 4 | 4 | 4 | 4 |
| Federal Funds | 1 | 0 | 1 | 2 | 1 | 1 | 1 | 1 |
| Private Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 5 | 5 | 5 | 5 | 5 | 5 |
| | | | | | | | | |
| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Management and Support Services | 4,290,267 | 5,215,338 | 5,245,647 | 5,195,647 | 4,919,812 | 5,373,032 | 5,331,213 | 5,055,378 |
| Investigation & Prosecution | 41,282,152 | 43,852,628 | 45,434,568 | 44,894,446 | 45,452,828 | 46,916,647 | 46,540,966 | 47,111,391 |
| Appellate & Collateral Litigation | 4,486,946 | 4,776,489 | 4,800,201 | 4,800,201 | 4,800,201 | 4,963,381 | 4,980,956 | 4,980,956 |
| TOTAL Agency Programs | 50,059,365 | 53,844,455 | 55,480,416 | 54,890,294 | 55,172,841 | 57,253,060 | 56,853,135 | 57,147,725 |
| | | | | | | | | |
| Summary of Funding | | | | | | | | |
| General Fund | 48,687,977 | 52,140,676 | 54,239,868 | 53,649,746 | 53,932,293 | 55,993,372 | 55,591,770 | 55,886,360 |
| Workers' Compensation Fund | 682,202 | 847,779 | 850,548 | 850,548 | 850,548 | 864,688 | 866,365 | 866,365 |
| Federal Funds | 542,535 | 728,500 | 252,500 | 252,500 | 252,500 | 262,500 | 262,500 | 262,500 |
| Private Funds | 146,651 | 127,500 | 137,500 | 137,500 | 137,500 | 132,500 | 132,500 | 132,500 |
| Total Agency Programs | 50,059,365 | 53,844,455 | 55,480,416 | 54,890,294 | 55,172,841 | 57,253,060 | 56,853,135 | 57,147,725 |

MANAGEMENT AND SUPPORT SERVICES

Statutory Reference

C.G.S. Sections 51-276 and 54-142h.

Statement of Need and Program Objectives

To direct and coordinate the policy, planning and administration of the Division of Criminal Justice. To ensure that the personnel, payroll, budgeting, accounting, information technology, training, and service requirements of the central office and 40 geographically disparate field offices are met in a timely and efficient manner.

Program Description

Management and Support Services coordinate a variety of activities and services that respond to the programmatic and logistical demands of the division. The responsibilities include: establishing and enforcing policy for the division, developing and supporting legislation related to the criminal justice process, coordinating training and developing manuals related to new issues in prosecution and the law, implementing collective bargaining agreements and coordinating all contracts and grant applications and awards.

The central office also handles planning, implementation and service delivery for budget, payroll, purchasing, accounting, auditing, data systems, inventory control, communications, and personnel functions, including labor relations. Financial and

personnel records are maintained for each office location and in aggregate.

Management and Support Services also provides information technology policies and strategies (in conjunction with the Department of Administrative Services' Bureau of Enterprise Systems and Technology, law enforcement and other agencies), such as planning for major infrastructure improvements, developing and implementing electronic case management, establishing electronic means for document management and legal research and implementing Criminal Justice Information System (CJIS) initiatives.

Further duties include delivering in-service training on issues of criminal law, ethics, and forensic science, as well as diversity training, workplace violence training and management training. The division has prepared a criminal records manual for police departments and has conducted training sessions for police records officers. It also provides an Employee Assistance Program (EAP) in conjunction with the University of Connecticut. Other activities performed by Management Services include: responding to Freedom of Information Act requests; caseload analysis; processing complaints concerning division personnel; coordinating prisoner transportation for extradition; planning facilities for field offices; records retention; managing the agency's fleet of vehicles; coordinating firearms training and law enforcement related purchases and organizing, conducting, and tracking training

opportunities for all division staff with particular emphasis on statutory development requirements for prosecutors.

The division has secured funding from the Herbert and Nell Singer Foundation to engage the services of the Center for Court Innovation – in examining, codifying and optimizing the role of the prosecutor in the handling of low level offenses; seeking to balance

offender accountability with dispositions that serve both the budgetary needs and public safety demands of society. The most recent example of that effort is the division’s pilot program for Early Screening and Intervention which provides both prosecutorial and social work resources for careful and timely review and community-specific disposition of low-level offenses in six of Connecticut’s major cities.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Training - Number of Employees Trained in Sexual Harassment Prevention | 1 | 450 | 25 | 25 |
| Training - Number of Professional Development Hours for all Employees | 1,628 | 1,730 | 1,750 | 1,750 |
| Training - Number of Prosecutor Professional Development Training Hours | 1,466 | 1,615 | 1,600 | 1,600 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 40 | 3 | -5 | 38 | 38 | 38 | 38 | 38 |

Other Positions Equated to Full-Time

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 3 | 3 | 3 | 3 | 3 | 3 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 3,208,878 | 4,054,335 | 4,054,644 | 4,054,644 | 3,823,096 | 4,177,029 | 4,190,210 | 3,958,662 |
| Other Expenses | 1,074,355 | 1,120,594 | 1,170,594 | 1,120,594 | 1,076,307 | 1,175,594 | 1,120,594 | 1,076,307 |

Other Current Expenses

| | | | | | | | | |
|-----------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Criminal Justice Commission | 109 | 409 | 409 | 409 | 409 | 409 | 409 | 409 |
| Total-General Fund | 4,283,342 | 5,175,338 | 5,225,647 | 5,175,647 | 4,899,812 | 5,353,032 | 5,311,213 | 5,035,378 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16000 Law Enforcement | 6,925 | 40,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 |
| Total - All Funds | 4,290,267 | 5,215,338 | 5,245,647 | 5,195,647 | 4,919,812 | 5,373,032 | 5,331,213 | 5,055,378 |

INVESTIGATION AND PROSECUTION

Statutory References

C.G.S. Sections 51-276, 51-286e, 54-82l-m and 54-36h.

Statement of Need and Program Objectives

To represent the people of the State of Connecticut in the investigation and prosecution of all felonies, misdemeanors, and motor vehicle offenses against the laws of the State of Connecticut and the ordinances of local government after arrest by state or local law enforcement officials.

Program Description

Thirteen Judicial District (JD) State's Attorneys' Offices handle major felonies. Nineteen Geographic Area (GA) Offices are responsible for lesser felonies and misdemeanors, including motor vehicle and criminal infractions. Eleven Juvenile Matters Offices are responsible for juvenile crimes.

Connecticut prosecutors are assigned to specific offices and the process of handling cases is the same in both JD and GA locations.

Throughout the process, significant time may be spent assisting and advising police officers in investigations, carefully reviewing all

evidence to ensure that defense counsel is provided all materials that the law requires to be disclosed and developing trial strategy. Prosecutors also spend a great deal of time discussing cases with judges and defense counsel in an effort to resolve cases by dropping charges, entry into court ordered diversionary programs or guilty pleas rather than trial.

In addition to the ongoing review of filed criminal cases – which includes time for detailed examination of video recordings from bodycams as well as witness, victim and custodial interrogations – prosecutor time must be devoted to the review and issuance of arrest, re-arrest and search warrants, as well as post-conviction actions, and the collection of forfeited bail bonds. The division is responsible for the extradition of prisoners from other states and for bringing in witnesses needed for trial who reside in other states.

Juvenile Prosecution became the responsibility of the division of Criminal Justice in 1996. The prosecution of juveniles, which, since July 1, 2012, has included 16 and 17-year-old youths, runs the gamut of offenses from disorderly conduct to the most serious of crimes. Starting in August 2017 through July 2020, the non-delinquent status offenses, referred to as Families With Service Needs or FWSN cases, such as truancy, runaway, beyond control of parent and engaging in indecent and immoral behavior, were removed from the court's jurisdiction and are now handled by the local Youth Service Bureaus.

The division provides training on topics related to understanding the child brain and thought process, legal updates, and other topics relevant to the effective prosecution of cases, as well as upgrading the technology used by staff. With the increasing trend toward diverting juvenile cases out of the court system and into the community-based programs, such as Juvenile Review Boards, the volume of cases handled by the court has decreased. Some of the prosecutors assigned to the Juvenile Courts sit on these boards and bring their knowledge of the law, child development and court programs to the boards.

During FY 2020, the court handled about 6,500 juvenile/delinquency cases and FWSN cases. Juvenile matters personnel work with legislators, police, and other agencies to address the problem of juvenile auto theft and other issues to help reduce juvenile crime and the involvement of juveniles in the juvenile justice system.

The Office of the Traffic Safety Resource Prosecutor (TSRP) was established in 1992, with the assistance of a grant from the Department of Transportation and National Highway Traffic Safety Administration. The office is housed in the Chief State's Attorney's Office and supports one prosecutor whose primary focus is to provide support services to prosecutors and law enforcement officers on impaired driving matters. To carry out this mission, the TSRP routinely answers field calls, conducts legal research, provides case consultation, and issues legal updates. When requested, the TSRP will also second chair trials, and handle conflict-of-interest cases. The TSRP also provides in-service training for prosecutors, teaches at the municipal and state police academies, and coordinates cross-training. In addition, the TSRP works on motor vehicle legislation, and serves as a liaison between the Division of Criminal Justice and the Department of Transportation's Highway Safety Office, the Department of Motor Vehicles, the Division of Scientific Services and victim organizations such as Mothers Against Drunk Driving (MADD).

Housing Court Prosecution is responsible for the prosecution of criminal housing matters. Housing cases are initiated upon complaint of a municipal official, or by any other person to a housing prosecutor for violations of a state or municipal health or safety code. "Housing prosecutors," as they are called, are a small unit of multi-judicial district prosecutors who specialize in criminal landlord/tenant

disputes, as well as health and safety code laws and enforcement. During FY20, 192 criminal housing cases were opened and 166 were disposed of. Additionally, Housing prosecutors routinely provide training to state and municipal new and in-service police, fire marshals, building officials, health officials and other housing related officials on the lawful enforcement of Connecticut's various health and safety codes for the purpose of the protection of public health and safety through the prevention of injury, illness, disability and death arising from unsafe housing.

Community Court, through an innovative partnership between the Judicial Branch, city officials and the Division of Criminal Justice, handles "quality of life" offenses which otherwise would be assigned to the regular court docket. These crimes and violations are targeted because of their impact on the public safety of citizens and their contribution to the deterioration of many neighborhoods. Community Court prosecutors primarily recommend non-judicial sanctions such as community service, substance abuse treatment and referrals to other social service agencies to address these lower-level offenses. In FY 2020, Community Court prosecutors handled 1,206 new matters with 144 cases accepted into the Early Screening and Intervention Program.

The Office of the Chief State's Attorney conducts investigations and prosecutions on a statewide basis in a number of different specialized areas. These investigations are often highly technical and statewide in nature. In the majority of cases that fall into these areas, referrals will be made from state or local police departments or the State's Attorney's Offices. The division draws upon experienced prosecutors and inspectors to respond to surges in demand in particular program areas and to work more efficiently with the State's Attorneys' offices as well as state, local and federal law enforcement agencies.

The Statewide Prosecution Bureau has responsibility for prosecuting cases referred from other state agencies, public integrity matters, elder abuse, and environmental, financial crimes and handles conflict-of-interest cases. Highlights include the investigation and prosecution of public corruption, elder abuse prosecutorial activities to combat the increasing incidence of crimes against the elderly (which range from telemarketing scams to physical abuse), environmental laws, election laws and other designated matters. The bureau also investigates organized crime, domestic violence, narcotics, and other instances of criminal activity wherein a conflict is apparent at the judicial district level.

The Witness Protection Unit was created in 1999 as a result of C.G.S. Sec. 54-82 (s)(t)(u) and implements the Leroy Brown, Jr. and Karen Clarke Witness Protection Program. The unit administers a statewide program, in cooperation with the State's Attorneys and local, state and federal law enforcement agencies, identify and protect witnesses in criminal proceedings where there is evidence of substantial danger that they may suffer from intimidation or retaliatory violence. Services rendered include providing armed escorts to and from court proceedings, temporary relocation, semi-permanent and permanent relocations in and outside Connecticut and police protection. Since its inception, the unit has handled 929 witness protection matters and has assisted 2,328 persons. In FY 2020, the unit opened 24 new cases and assisted 65 individuals (witnesses and family members).

Government Program Fraud Bureau, through its constituent subunits, investigates and prosecutes criminal violations relating to economic fraud, social services recipient and provider fraud, and private health care fraud. Significant subunit activities include:

The Worker's Compensation Fraud Control Unit in the Office of the Chief State's Attorney is responsible for the investigation and

prosecution of all matters involving workers' compensation fraud throughout the state. The bureau is funded by the Connecticut Workers' Compensation Fund and the staff, comprised of a Supervisory Assistant State's Attorney and Police Inspectors, works in conjunction with other state agencies and municipal and private insurers. The bureau currently has 31 pre-arrest and 5 post-arrest cases. In FY 2020, the Bureau received 44 criminal complaints resulting in the collection of approximately \$200,000 in restitution.

The Medicaid Fraud Control Unit exists and operates pursuant to federal law and is charged with conducting a statewide program for investigating and prosecuting violations of all applicable laws pertaining to fraud in the administration of the Medicaid program, the provision of medical assistance, and the activities of providers of medical assistance under the State Medicaid Plan. The Unit's jurisdiction also extends to the investigation and prosecution of complaints of abuse or neglect of patients in health care facilities that receive payments under the State Medicaid Plan, and it may review complaints of the misappropriation of patient's private funds in such facilities. In FY2020, the Unit handled 59 new cases, made 9 arrests, obtained 4 convictions and reported that penalty fees, restitution and recovery collections exceeded \$27.7 million dollars.

Domestic Violence Prosecution in the Division of Criminal Justice, through a combination of state and federal funding, has been enhanced in the Judicial Districts of Ansonia/Milford (special emphasis on sexual assault and stalking), Fairfield, Hartford, New Britain, New Haven, Stamford, Waterbury, and Windham (special emphasis on rural domestic violence and child sexual assault issues) where prosecutors and investigators are given specialized training and handle domestic violence cases exclusively. They work with judicial, police and social service agencies to create a global approach that recognizes the special needs of victims and the importance of accountability for offenders. This approach includes expertise in the investigation and prosecution of statutory rape and also includes outreach programs designed to prevent teen pregnancy. Federal funding has also enabled the division to conduct in-depth trainings on specialized domestic violence topics including the prosecution of strangulation crimes.

The Cold Case Unit investigates and prosecutes serious crimes that have gone "cold," or unsolved for a long period of time. The cases assigned to this unit typically include unsolved murders, some of which took place decades ago. Creating and maintaining a cold case unit demonstrates the Division of Criminal Justice's commitment to victims and the community by solving crimes, holding offenders accountable and ensuring public safety. Those efforts reinforce a community's confidence in its law enforcement and the criminal justice system. The unit works with the Connecticut State Police, local police departments, and nationally recognized forensics experts. The unit has obtained convictions in 56 cases in which arrests have been made, a significant majority of them homicides. The Unit's innovations, including the creation of multiple editions of "Cold Case Playing Cards," distributed to inmates in the Connecticut Department of Corrections, and the relentless use of traditional investigative methods, have been effective strategies in securing these arrests. For example, more than 14 years after Benjamin Baez was fatally shot while sitting in a parked car in Hartford, police arrested his killer. Baez was featured in the playing card deck. A team from the Unit interviewed and re-interviewed witnesses and a grand jury was impaneled to investigate the 2003 killing. The result was the October 2017 arrest of Rafael Ortiz, who was ultimately sentenced to 50 years in prison. In FY2020, the Bureau tried five cases to verdict including four murder cases and a child sexual assault case. Currently, the Bureau is actively investigating approximately 12 cold case homicides. In addition, the Bureau was awarded a grant to utilize genetic genealogy to help solve 50 Hartford cold case homicides.

Shooting Task Forces were established by the Office of the Chief State's Attorney in 2011 and currently are deployed in Hartford and New Haven. These teams link experienced Division of Criminal Justice Inspectors and prosecutors with state and local police officers to aggressively investigate and prosecute cases involving known shooters in Connecticut's most violent cities.

The Centralized Case Presentation Unit, within the Office of the Chief State's Attorney, formed with federal assistance, assists prosecutors statewide in the collection and usage of digitized evidence and in utilizing the latest technology in trial presentations.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-------------------------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Witness Protection Cases Opened | 24 | 27 | 30 | 33 |
| Medicaid Fraud Control Unit - Arrests | 9 | 10 | 10 | 10 |
| Medicaid Fraud Control Unit - Convictions | 4 | 5 | 5 | 5 |
| Medicaid Fraud Control Unit - Investigations Closed | 41 | 40 | 40 | 40 |
| Medicaid Fraud Control Unit - Investigations Opened | 59 | 60 | 60 | 60 |
| Medicaid Fraud Control Unit - Revenue - Fines and Penalties | 24,008,521.90 | 15,000,000.00 | 20,000,000.00 | 20,000,000.00 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 372 | 40 | -1 | 411 | 420 | 426 | 420 | 426 |
| Workers' Compensation Fund | 4 | 0 | 0 | 4 | 4 | 4 | 4 | 4 |
| Federal Funds | 1 | 0 | 1 | 2 | 1 | 1 | 1 | 1 |
| Private Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 35,904,553 | 38,071,397 | 39,366,055 | 39,366,055 | 39,874,437 | 40,743,686 | 40,895,108 | 41,415,533 |
| Other Expenses | 1,195,769 | 1,180,946 | 1,366,946 | 1,330,946 | 1,380,946 | 1,346,946 | 1,310,946 | 1,360,946 |
| Other Current Expenses | | | | | | | | |
| Witness Protection | 213,812 | 164,148 | 264,148 | 164,148 | 164,148 | 264,148 | 164,148 | 164,148 |
| Training And Education | 14,319 | 27,398 | 152,398 | 47,398 | 47,398 | 152,398 | 47,398 | 47,398 |
| Expert Witnesses | 57,168 | 135,413 | 135,413 | 135,413 | 135,413 | 135,413 | 135,413 | 135,413 |
| Medicaid Fraud Control | 1,269,502 | 1,254,282 | 1,309,267 | 1,261,288 | 1,261,288 | 1,356,738 | 1,313,872 | 1,313,872 |
| Cold Case Unit | 201,451 | 228,213 | 301,923 | 228,416 | 228,416 | 312,265 | 239,872 | 239,872 |
| Shooting Taskforce | 1,061,115 | 1,127,052 | 1,317,870 | 1,140,234 | 1,140,234 | 1,365,365 | 1,192,844 | 1,192,844 |
| Other Current Expenses | 2,817,367 | 2,936,506 | 3,481,019 | 2,976,897 | 2,976,897 | 3,586,327 | 3,093,547 | 3,093,547 |
| Total-General Fund | 39,917,689 | 42,188,849 | 44,214,020 | 43,673,898 | 44,232,280 | 45,676,959 | 45,299,601 | 45,870,026 |
| Financial Summary by Program | | | | | | | | |
| Workers' Compensation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 357,929 | 408,464 | 411,233 | 411,233 | 411,233 | 425,373 | 427,050 | 427,050 |
| Other Expenses | 5,442 | 10,428 | 10,428 | 10,428 | 10,428 | 10,428 | 10,428 | 10,428 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 318,831 | 428,887 | 428,887 | 428,887 | 428,887 | 428,887 | 428,887 | 428,887 |
| Total-Workers' Compensation Fund | 682,202 | 847,779 | 850,548 | 850,548 | 850,548 | 864,688 | 866,365 | 866,365 |
| Other Funds Available | | | | | | | | |
| Private Funds | 146,651 | 127,500 | 137,500 | 137,500 | 137,500 | 132,500 | 132,500 | 132,500 |
| Federal Contributions | | | | | | | | |
| 16588 Violence Against Women Formula Grants | 4,504 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 |
| 16738 EDWARD BYRNE MEMORIAL JUSTICE ASSISTANCE GRANT PROGRAM | 61,722 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16820 Postconviction Testing of DNA Evidence to Exonerate the Inno | 17,206 | 45,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16833 National Sexual Assault Kit Initiative | 77,028 | 100,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 17225 Unemployment Insurance | 9,537 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20600 State and Community Highway Safety | 158,664 | 375,000 | 225,000 | 225,000 | 225,000 | 235,000 | 235,000 | 235,000 |
| 20607 Alcohol Open Container Requirements | 56,091 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93788 Opioid STR | 150,858 | 161,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 41,282,152 | 43,852,628 | 45,434,568 | 44,894,446 | 45,452,828 | 46,916,647 | 46,540,966 | 47,111,391 |

APPELLATE AND COLLATERAL LITIGATION

Statutory Reference

C.G.S. Sections 51-276, 51-277, 51-279 and 51-279b.

Statement of Need and Program Objectives

To participate on behalf of the state in all appellate, post-trial and post-conviction proceedings arising out of the initiation of any criminal action, whether or not the proceedings are denominated civil

or criminal for other purposes. To provide research in matters of criminal law and legislation to further specific prosecutorial action and agency policy.

Program Description

The Appellate Bureau represents the State of Connecticut in the vast majority of appeals challenging criminal convictions. The bureau,

which employs approximately 25 prosecutors, prepares written legal arguments (briefs) and presentations (oral argument) before the Connecticut Supreme and Appellate Courts. Appellate Bureau attorneys also track developments and trends throughout the state's trial courts and conduct important research for the Chief State's Attorney on criminal justice issues and training. Since July 1, 2017, the Bureau has opened 795 new appeals. Specifically, in FY2020, the Appellate Bureau opened 169 new appeals and filed 182 briefs. As of the end of FY2020, the Appellate Bureau has 1,337 pending appeals.

The Civil Litigation Bureau is responsible for representing the division's interests in civil matters falling outside the purview of the Office of the Attorney General. The primary charge of the bureau is to investigate and respond to Petitions for Habeas Corpus Relief in both State and Federal court. A Habeas petition challenges a criminal conviction attained in the State of Connecticut, but a conviction can

also be challenged through a Petition for a New Trial. The bureau will assist a field prosecutor in such Petition in order to provide support regarding the Rules of Civil Procedure, appropriate motions, and the applicable law. Members of the division are assisted by the bureau in civil suits when called to a deposition. The bureau also answers subpoenas for persons employed by— or items in possession of— the division. There is one paralegal assigned to the bureau who is additionally tasked with bond forfeiture collection for the entire state. Bureau prosecutors assist the paralegal with forfeiture matters when necessary to address a bond in court or on the papers. In FY2020, prosecutors assigned to the Civil Litigation Bureau have appearances in approximately 625 open state cases and 26 open federal cases, and are scheduling state trials into the year 2024.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 29 | 2 | 6 | 37 | 37 | 37 | 37 | 37 |
| | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 2 | 2 | 2 | 2 | 2 | 2 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 4,400,755 | 4,683,789 | 4,707,501 | 4,707,501 | 4,707,501 | 4,870,681 | 4,888,256 | 4,888,256 |
| Other Expenses | 86,191 | 92,700 | 92,700 | 92,700 | 92,700 | 92,700 | 92,700 | 92,700 |
| Total-General Fund | 4,486,946 | 4,776,489 | 4,800,201 | 4,800,201 | 4,800,201 | 4,963,381 | 4,980,956 | 4,980,956 |
| Total - All Funds | 4,486,946 | 4,776,489 | 4,800,201 | 4,800,201 | 4,800,201 | 4,963,381 | 4,980,956 | 4,980,956 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 40,586,730 | 44,904,521 | 46,184,902 | 46,461,736 | 47,848,098 | 48,319,153 |
| Salaries & Wages-Temporary | 373,785 | 149,998 | 151,885 | 151,885 | 151,885 | 151,885 |
| Salaries & Wages-Part Time | 151,192 | 150,000 | 151,411 | 151,411 | 151,411 | 151,411 |
| Longevity Payments | 1,329,767 | 1,450,002 | 1,485,002 | 1,485,002 | 1,485,002 | 1,485,002 |
| Overtime | 18,016 | 0 | 0 | 0 | 0 | 0 |
| Accumulated Leave | 939,535 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 16,604 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 |
| Other | 98,557 | 135,000 | 135,000 | 135,000 | 135,000 | 135,000 |
| TOTAL - Personal Services-Personal Services | 43,514,186 | 46,809,521 | 48,128,200 | 48,405,034 | 49,791,396 | 50,262,451 |

Other Expenses

| | | | | | | |
|----------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Adjustments | 0 | 0 | 35,000 | 35,000 | 35,000 | 35,000 |
| Cellular Communication Svcs | 0 | 0 | 36,000 | 0 | 36,000 | 0 |
| Communications | 173,883 | 175,000 | 175,000 | 175,000 | 175,000 | 175,000 |
| Court Reporting Services | 0 | 0 | 0 | -11,971 | 0 | -11,971 |
| Electricity | 152,708 | 170,000 | 170,000 | 170,000 | 170,000 | 170,000 |
| Employee Expenses | 5,594 | 5,594 | 5,594 | 5,594 | 5,594 | 5,594 |
| Employee Travel | 53,315 | 65,000 | 65,000 | 65,000 | 65,000 | 65,000 |
| Equipment Rental and Maintenance | 137,696 | 140,000 | 140,000 | 140,000 | 140,000 | 140,000 |
| Food And Beverages | 7,498 | 7,000 | 7,000 | 7,000 | 7,000 | 7,000 |
| Information Technology | 311,769 | 312,500 | 312,500 | 312,500 | 312,500 | 312,500 |
| IT Data Services | 0 | 0 | 90,000 | 90,000 | 70,000 | 70,000 |
| IT Hardware Maint & Support | 0 | 0 | 50,000 | 0 | 55,000 | 0 |
| IT Software Licenses/Rental | 0 | 0 | 0 | -32,316 | 0 | -32,316 |
| Motor Vehicle Costs | 189,104 | 195,000 | 195,000 | 195,000 | 195,000 | 195,000 |
| Natural Gas | 22,288 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| Other / Fixed Charges | 40,874 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| Other Services | 605,036 | 620,000 | 620,000 | 620,000 | 620,000 | 620,000 |
| Premises Expenses | 193,057 | 197,146 | 197,146 | 197,146 | 197,146 | 197,146 |
| Premises Rent Expense-Landlord | 127,172 | 135,000 | 160,000 | 160,000 | 160,000 | 160,000 |
| Professional Services | 105,326 | 112,500 | 112,500 | 162,500 | 112,500 | 162,500 |
| Purchased Commodities | 219,441 | 216,000 | 216,000 | 216,000 | 216,000 | 216,000 |
| Salaries and Wages | -69 | 0 | 0 | 0 | 0 | 0 |
| Water | 11,623 | 13,500 | 13,500 | 13,500 | 13,500 | 13,500 |
| TOTAL-Other Expenses | 2,356,315 | 2,394,240 | 2,630,240 | 2,549,953 | 2,615,240 | 2,529,953 |

Other Current Expenses

| | | | | | | |
|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Witness Protection | 213,812 | 164,148 | 264,148 | 164,148 | 264,148 | 164,148 |
| Training And Education | 14,319 | 27,398 | 152,398 | 47,398 | 152,398 | 47,398 |
| Expert Witnesses | 57,168 | 135,413 | 135,413 | 135,413 | 135,413 | 135,413 |
| Medicaid Fraud Control | 1,269,502 | 1,254,282 | 1,309,267 | 1,261,288 | 1,356,738 | 1,313,872 |
| Criminal Justice Commission | 109 | 409 | 409 | 409 | 409 | 409 |
| Cold Case Unit | 201,451 | 228,213 | 301,923 | 228,416 | 312,265 | 239,872 |
| Shooting Taskforce | 1,061,115 | 1,127,052 | 1,317,870 | 1,140,234 | 1,365,365 | 1,192,844 |
| TOTAL-Other Current Expenses | 2,817,476 | 2,936,915 | 3,481,428 | 2,977,306 | 3,586,736 | 3,093,956 |

| | | | | | | |
|------------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 43,514,186 | 46,809,521 | 48,128,200 | 48,405,034 | 49,791,396 | 50,262,451 |
| Other Expenses | 2,356,315 | 2,394,240 | 2,630,240 | 2,549,953 | 2,615,240 | 2,529,953 |
| Other Current Expenses | 2,817,476 | 2,936,915 | 3,481,428 | 2,977,306 | 3,586,736 | 3,093,956 |
| TOTAL-General Fund | 48,687,977 | 52,140,676 | 54,239,868 | 53,932,293 | 55,993,372 | 55,886,360 |

AGENCY FINANCIAL SUMMARY - WORKERS' COMPENSATION FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 302,455 | 396,464 | 399,233 | 399,233 | 413,373 | 415,050 |
| Longevity Payments | 9,703 | 12,000 | 12,000 | 12,000 | 12,000 | 12,000 |
| Accumulated Leave | 45,771 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 357,929 | 408,464 | 411,233 | 411,233 | 425,373 | 427,050 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Communications | 432 | 500 | 500 | 500 | 500 | 500 |
| Employee Expenses | 250 | 110 | 110 | 110 | 110 | 110 |
| Equipment Rental and Maintenance | 1,642 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 |
| Information Technology | 375 | 1,818 | 1,818 | 1,818 | 1,818 | 1,818 |
| Motor Vehicle Costs | 2,478 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 |
| Other Services | 55 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 |
| Purchased Commodities | 210 | 1,500 | 1,500 | 1,500 | 1,500 | 1,500 |
| TOTAL-Other Expenses | 5,442 | 10,428 | 10,428 | 10,428 | 10,428 | 10,428 |
| | | | | | | |
| <i>Other Current Expenses</i> | | | | | | |
| Fringe Benefits | 318,831 | 428,887 | 428,887 | 428,887 | 428,887 | 428,887 |
| TOTAL-Other Current Expenses | 318,831 | 428,887 | 428,887 | 428,887 | 428,887 | 428,887 |
| | | | | | | |
| Personal Services | 357,929 | 408,464 | 411,233 | 411,233 | 425,373 | 427,050 |
| Other Expenses | 5,442 | 10,428 | 10,428 | 10,428 | 10,428 | 10,428 |
| Other Current Expenses | 318,831 | 428,887 | 428,887 | 428,887 | 428,887 | 428,887 |
| TOTAL-Workers' Compensation Fund | 682,202 | 847,779 | 850,548 | 850,548 | 864,688 | 866,365 |

DEPARTMENT OF EMERGENCY SERVICES AND PUBLIC PROTECTION

AGENCY DESCRIPTION

The mission of the Department of Emergency Services and Public Protection (DESPP), under Connecticut General Statute Section 29-1r, is to provide a coordinated, integrated program for the protection of life and property and for state-wide emergency management and homeland security.

DESPP is committed to protecting and improving the quality of life for all by providing enforcement, regulation, coordination, planning, response, recovery, training and exercise, and scientific services.

The Commission on Fire Prevention and Control (FPC) is dedicated to the reduction of death, injury and property damage due to fire, emergencies and other disasters by increasing the proficiency of fire service personnel through training, education, professional competency certification, and coordination of mutual aid through the Statewide Fire Rescue Disaster Response Plan.

The Division of Emergency Management and Homeland Security (DEMHS) is charged with developing, administering and coordinating a comprehensive statewide emergency management and homeland security program that encompasses all human-made and natural hazards, and includes planning, prevention, mitigation, preparedness, response, recovery and public education components to ensure the safety and well-being of the people of Connecticut.

The Division of Statewide Emergency Telecommunications (DSET) is responsible for the development and implementation of a master plan for emergency telecommunications for Connecticut. DSET also

coordinates with other states and the Federal Communications Commission (FCC), and serves as the state's liaison with the public safety community to ensure that its needs are addressed.

The Police Officers Standards and Training Council (POST) is responsible for the certification and recertification of all police officers, law enforcement instructors, and police training programs throughout Connecticut. The Council also promulgates model policies for adoption by municipal law enforcement.

The Division of Scientific Services (DSS) is a full-service laboratory facility that performs forensic analyses of evidence submitted by State, local and federal law enforcement agencies, Judicial Services and Federal agencies to aid in law enforcement and judicial proceedings. DSS is comprised of the Forensic Biology/DNA Section, the Identification Section, and the Chemical Analysis Section.

The Division of State Police (CSP) is responsible for law enforcement services on limited access highways and delivers primary law enforcement services for all 80 municipalities that do not have their own chartered police departments. CSP provides additional special police resources to all 169 municipalities on request. These special police resources include the bomb squad, aviation unit, marine unit, dive team, tactical unit, canine search and rescue, arson cause and origin investigation, and major crime investigative units. Police Services are distributed across the division's three districts and its Bureau of Criminal Investigations (BCI).

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2022 | FY 2023 |
|---------------------------------------------------------------------------|------------|------------|
| • Provide Funding for State Employee Wage Adjustments | 15,623,498 | 18,422,311 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 6,206,220 |
| • Provide Funds for the Criminal History Repository Modernization Project | 964,528 | 1,010,516 |
| • Provide Funds for the Criminal Justice Information System | 646,392 | 662,501 |
| • Provide Funds for Laboratory Equipment Maintenance Contracts | 110,975 | 124,422 |
| • Provide Funding for Additional Duties Resulting from Public Act 20-1 | 69,656 | 72,335 |
| • Reduce Worker's Compensation Account to Reflect Actual Spending Level | -413,682 | -413,682 |

Reductions

| | FY 2022 | FY 2023 |
|---------------------------------|------------|------------|
| • Annualize FY 2021 Rescissions | -1,375,977 | -1,375,977 |

Reallocations

| | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------------------|------------|------------|
| • Centralize Funding for Workers' Compensation Claims Under the Department of Administrative Services | -3,723,135 | -3,723,135 |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services | -851,931 | -884,698 |

Expansions

| | FY 2022 | FY 2023 | FY 2024 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|---------|
| • Provide Funds to Support Trooper Training Classes <i>Funding is provided to support three trooper training classes over the biennium. In total, the agency is expected to graduate and deploy approximately 255 new troopers over the biennium.</i> | 3,079,759 | 3,227,548 | 762,960 |

- Provide Funding to Address Recreational Use of Cannabis by Adults 1,058,582 1,058,582 1,058,582
Funding is provided to increase the number of troopers certified as Drug Recognition Experts and trained in the Advanced Roadside Impaired Driving Enforcement program. These skilled troopers are present at impaired driving stops and arrests, and their reports and testimony are the foundation of cases involving drug-impaired drivers.

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,382 | 203 | 0 | 1,585 | 1,588 | 1,575 | 1,588 | 1,575 |
| Federal Funds | 21 | 8 | 0 | 28 | 28 | 28 | 28 | 28 |
| Private Funds | 33 | 6 | 7 | 46 | 46 | 46 | 46 | 46 |

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Administrative and Management Services | 23,155,109 | 28,572,432 | 24,545,036 | 23,142,354 | 18,433,058 | 22,306,979 | 21,636,711 | 16,894,648 |
| Police Officer Standards and Training | 1,240,634 | 1,482,507 | 1,710,248 | 1,584,904 | 1,584,904 | 1,730,274 | 1,617,823 | 1,617,823 |
| Fire Prevention and Control | 5,284,610 | 5,152,140 | 4,976,825 | 4,976,825 | 4,976,825 | 4,566,875 | 4,573,625 | 4,573,625 |
| Police Services | 160,584,795 | 159,324,022 | 168,979,291 | 168,226,705 | 171,123,299 | 174,297,186 | 175,026,730 | 178,071,113 |
| Division of Scientific Services | 13,206,529 | 14,480,201 | 12,084,834 | 12,084,834 | 12,084,834 | 11,646,947 | 11,721,287 | 11,721,287 |
| Emergency Management/Homeland Security | 32,380,723 | 37,244,827 | 16,747,535 | 16,747,535 | 16,747,535 | 4,462,621 | 4,472,059 | 4,472,059 |
| Statewide Emergency Telecommunications | 28,537,276 | 33,069,884 | 33,600,818 | 33,110,033 | 33,110,033 | 32,708,995 | 32,117,914 | 32,117,914 |
| TOTAL Agency Programs | 264,389,676 | 279,326,013 | 262,644,587 | 259,873,190 | 258,060,488 | 251,719,877 | 251,166,149 | 249,468,469 |

| Summary of Funding | | | | | | | | |
|--------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| General Fund | 190,757,480 | 187,575,569 | 207,348,333 | 204,576,936 | 202,764,234 | 214,213,920 | 213,660,192 | 211,962,512 |
| Federal Funds | 36,929,375 | 51,508,215 | 18,854,797 | 18,854,797 | 18,854,797 | 3,662,443 | 3,662,443 | 3,662,443 |
| Private Funds | 36,437,507 | 38,268,429 | 34,941,457 | 34,941,457 | 34,941,457 | 33,843,514 | 33,843,514 | 33,843,514 |
| Special Non-Appropriated Funds | 143,291 | 1,562,727 | 1,500,000 | 1,500,000 | 1,500,000 | 0 | 0 | 0 |
| Restricted State Accounts | 19,890 | 112,557 | 0 | 0 | 0 | 0 | 0 | 0 |
| Grant Transfers | 102,133 | 298,516 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 264,389,676 | 279,326,013 | 262,644,587 | 259,873,190 | 258,060,488 | 251,719,877 | 251,166,149 | 249,468,469 |

OFFICE OF COMMISSIONER

Statutory Reference

C.G.S. Sections 29-1b, 29-1r, Title 28, Chapter 518a; Title 29, Chapters 531, 532, 538, 538a, 539, 540 and 541.

Statement of Need and Program Objectives

The Commissioner is responsible for providing a coordinated, integrated program for the protection of life and property and for state-wide emergency management and homeland security. The Commissioner appoints not more than two deputy commissioners, who, under the direction of the commissioner, assist in the administration of the agency. The Commissioner may do all things necessary to apply for, qualify for, and accept any federal funds made available or allotted under any federal act for emergency management or homeland security.

Program Description

ADMINISTRATION AND MANAGEMENT SUPPORT

Several administrative units are within the Office of the Commissioner. These units include:

- Constituent and Victims Services;
- Equal Employment Opportunity;
- Fiscal Services and Grants Administration;
- Human Resources;
- Information Technology and Cybersecurity;
- Internal Affairs; and
- Legislative/Public Information.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 69 | 18 | 0 | 87 | 87 | 76 | 87 | 76 |
| Federal Funds | 4 | 1 | 1 | 5 | 6 | 6 | 6 | 6 |
| Private Funds | 1 | 0 | 1 | 2 | 2 | 2 | 2 | 2 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 7,293,791 | 9,199,925 | 9,355,351 | 9,355,351 | 8,503,420 | 9,691,632 | 9,764,596 | 8,879,898 |
| Other Expenses | 5,477,686 | 3,503,755 | 4,161,755 | 3,503,755 | 3,503,755 | 3,503,755 | 3,503,755 | 3,503,755 |
| Other Current Expenses | | | | | | | | |
| Workers' Compensation Claims | 3,891,826 | 4,136,817 | 4,136,817 | 3,723,135 | 0 | 4,136,817 | 3,723,135 | 0 |
| Criminal Justice Information System | 1,869,323 | 2,684,610 | 3,662,002 | 3,331,002 | 3,196,772 | 3,676,661 | 3,347,111 | 3,212,881 |
| Total--Other Current Expenses | 5,761,149 | 6,821,427 | 7,798,819 | 7,054,137 | 3,196,772 | 7,813,478 | 7,070,246 | 3,212,881 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Police Association of Connecticut | 102,438 | 172,353 | 172,353 | 172,353 | 172,353 | 172,353 | 172,353 | 172,353 |
| Connecticut State Firefighter's Association | 103,470 | 176,625 | 176,625 | 176,625 | 176,625 | 176,625 | 176,625 | 176,625 |
| Pmts to Other Than Local Govts | 205,908 | 348,978 | 348,978 | 348,978 | 348,978 | 348,978 | 348,978 | 348,978 |
| Total-General Fund | 18,738,534 | 19,874,085 | 21,664,903 | 20,262,221 | 15,552,925 | 21,357,843 | 20,687,575 | 15,945,512 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 3,422,909 | 2,131,594 | 780,185 | 780,185 | 780,185 | 780,185 | 780,185 | 780,185 |
| Private Funds | 149,293 | 160,548 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 143,291 | 1,562,727 | 1,500,000 | 1,500,000 | 1,500,000 | 0 | 0 | 0 |
| Restricted State Accounts | 19,715 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16000 Law Enforcement | 6,684 | 10,000 | 10,000 | 10,000 | 10,000 | 0 | 0 | 0 |
| 21019 Coronavirus Relief Fund | 682 | 2,980,449 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97036 Public Assistance Grants | -68 | 89 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97042 Emergency Management Performance Grant | 506,368 | 1,683,989 | 420,997 | 420,997 | 420,997 | 0 | 0 | 0 |
| 97067 Homeland Security Grant Program | 167,701 | 168,951 | 168,951 | 168,951 | 168,951 | 168,951 | 168,951 | 168,951 |
| Total - All Funds | 23,155,109 | 28,572,432 | 24,545,036 | 23,142,354 | 18,433,058 | 22,306,979 | 21,636,711 | 16,894,648 |

POLICE OFFICER STANDARDS AND TRAINING COUNCIL

Statutory Reference

C.G.S. Sections 7-294d through 7-294gg

Statement of Need and Program Objectives

The Police Officer Standards and Training Council (POST) is responsible for providing the necessary standards, training and education for police officers to acquire and maintain state certification which is necessary to perform their police duties and maintain their position as police officers; sets minimum accreditation standards and practices for administration and management of Law Enforcement established by the CLESP program; identifying, designing, providing and evaluating specialized training that responds to and anticipates police needs; administering and controlling

the agency's management and operations to ensure prudent and efficient use of agency appropriations and resources and to administer the affairs of a vocational training and education academy.

Program Description

This program is responsible for the design and delivery of training mandated by state statute, regulation, or professional development needs of Connecticut's law enforcement community.

The program provides to, or oversees for, every newly appointed police officer, the basic police officer training course at the Connecticut police academy and/or at satellite locations. The agency manages the council's yearly agenda. Its Certification Unit issues basic police officer licenses and audits training records to justify renewing licenses on a triennial basis.

In addition, the division licenses and audits law enforcement instructors and schools of basic and advanced police training, grants comparative certification when appropriate and cancels or revokes certification when necessary. It also promulgates model policies for adoption by local police departments. POST also grants Law Enforcement Accreditation to those agencies whose policies and procedures comply with POST Accreditation Standards and conducts annual field audits of the "Compliance to Law Enforcement Standards and Practices (CLESP) Program".

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| CLESP Field Audits | 60 | 79 | 40 | 20 |
| In-Service Training for Police Officers, # of participants | 42,680 | 0 | 2,399 | 1,869 |
| In-Service Training for Police Officers, courses offered | 109 | 0 | 70 | 39 |
| Accreditation and Reaccreditation Awards | 15 | 10 | 6 | 9 |
| Municipal Police recruits trained,920 classroom hours | 620 | 500 | 280 | 340 |
| Basic Training Programs | 8 | 8 | 4 | 4 |
| New Police certification Licenses Issued | 620 | 200 | 280 | 340 |
| Comparative certifications | 71 | 50 | 32 | 39 |
| Lateral transfers | 280 | 100 | 179 | 99 |
| Licenses Revoked | 3 | 0 | 3 | 0 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 14 | 1 | 0 | 15 | 18 | 16 | 18 | 16 |
| Private Funds | 0 | 0 | 2 | 2 | 2 | 2 | 2 | 2 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 892,987 | 1,110,695 | 1,316,867 | 1,191,523 | 1,191,523 | 1,362,598 | 1,250,147 | 1,250,147 |
| Other Expenses | 90,503 | 83,681 | 83,681 | 83,681 | 83,681 | 83,681 | 83,681 | 83,681 |
| Total-General Fund | 983,490 | 1,194,376 | 1,400,548 | 1,275,204 | 1,275,204 | 1,446,279 | 1,333,828 | 1,333,828 |

| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 254,154 | 288,131 | 309,700 | 309,700 | 309,700 | 283,995 | 283,995 | 283,995 |

| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16543 Missing Children'S Assistance | 2,990 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 1,240,634 | 1,482,507 | 1,710,248 | 1,584,904 | 1,584,904 | 1,730,274 | 1,617,823 | 1,617,823 |

COMMISSION ON FIRE PREVENTION AND CONTROL / CONNECTICUT FIRE ACADEMY

Statutory Reference

C.G.S. Section 7-323 (j)-(s).

Statement of Need and Program Objectives

To reduce death, injury and property damage due to fire, accidents, natural and man-made disasters by raising the fire and life safety education awareness level of the public and by increasing the proficiency of firefighters through education, training, certification and technical assistance programs.

Program Description

The major activities of the Office of State Fire Administration are training, certification, public fire and life safety education, consulting services, and statewide mutual aid coordination serving the fire and emergency services community and general public.

The Training Division of the Connecticut Fire Academy offers over 100 OSHA compliance related training programs to fire departments. Fire service personnel in Connecticut may choose from firefighter, instructor, officer, hazardous materials technician, technical rescue, apparatus operator, and terrorism preparedness level training along with a number of fire officer seminars and technical specialty courses compliant with the National Incident Management System (NIMS).

Each program has a complete curriculum, many of which are also disseminated to the nine independent Regional Fire Schools and local fire departments, lowering local curriculum development expenses, and reducing duplication of effort.

The Connecticut Fire Academy serves as the focal point for fire service training and education allowing fire departments greater access and opportunities to meet occupational safety and health regulations. Hands-on and technical/specialty training programs are conducted at this facility. In addition, many training programs are delivered at local fire departments and Regional Fire Schools throughout the state and are taught by per diem adjunct instructors

making them accessible to all of Connecticut's 30,000 fire service personnel.

The Certification Unit offers 40 levels of professional competency testing in accordance with nationally developed standards. Certification is a voluntary program available to the state's career and volunteer fire service. A majority of fire departments mandate certification at the local level through probationary, by-law and contractual requirements. The Division is accredited by the National Board on Fire Service Professional Qualifications and the International Fire Service Accreditation Congress.

Information and Assistance Services provide persons interested in fire prevention and control with access to a network of resources and services that are often beyond the resources of many local fire departments, including:

- Testing procedures for hiring or promoting fire service personnel;
- Juvenile fire-setting intervention training and referrals;
- Community Risk Reduction strategies;
- Advise other state agencies regarding the purchase of firefighting equipment and apparatus;
- Fire and life safety education media campaigns and exhibits;
- Public fire and life safety education resources available for loan to local communities;
- Assist local communities with volunteer firefighter recruitment and retention efforts;
- Administration of the Statewide Fire Rescue Disaster Response Plan;
- Administration of the licensed Candidate Physical Ability Test (CPAT);
- Administer respirator fit testing services to both the public and private sectors;
- Staff the State's Emergency Operations Center (SEOC) with fire service liaisons during activations for disasters, exercises, and other emergencies; and
- Support and coordination of the regional foam trailer program.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|---------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Candidate Physical Ability Test (CPAT) Administration Students Registered | 596 | 650 | 700 | 800 |
| Candidate Physical Ability Test (CPAT) Administration Students Testing | 328 | 390 | 455 | 520 |
| Candidate Physical Ability Test (CPAT) Administration Overall Pass Rate | 55% | 60% | 65% | 65% |
| Certification Examination Administration Driver-Aerial Operator | 37 | 70 | 80 | 60 |
| Certification Examination Administration Driver-Pump Operator | 30 | 110 | 120 | 100 |
| Certification Examination Administration Fire & Life Safety Educator | 18 | 10 | 20 | 20 |
| Certification Examination Administration Fire Service Instructor I | 154 | 205 | 215 | 195 |
| Certification Examination Administration Fire Service Instructor II | 49 | 35 | 45 | 25 |
| Certification Examination Administration Fire Service Instructor III | 24 | 15 | 25 | 20 |
| Certification Examination Administration Health & Safety Officer | 5 | 20 | 20 | 10 |
| Certification Examination Administration Incident Safety Officer | 46 | 75 | 85 | 75 |
| Certification Examination Administration Inspector | 3 | 10 | 20 | 15 |

| | | | | |
|----------------------------------------------------------------------------------------------------------|--------------|--------------|--------------|------------|
| Certification Examination Administration Juvenile Fire Setter Intervention Specialist | 2 | 5 | 10 | 5 |
| Certification Examination Administration Overall Pass Rate % | 78% | 75% | 75% | 75% |
| Certification Examination Administration Rescue Technician-Confined Space | 23 | 25 | 35 | 25 |
| Certification Examination Administration Rescue Technician-Ropes/Rigging | 43 | 65 | 75 | 55 |
| Certification Examination Administration Rescue Technician-Trench | 0 | 60 | 70 | 50 |
| Certification Examination Administration Rescue Technician-Vehicle/Machine | 7 | 50 | 50 | 40 |
| Certification Examination Administration Fire Officer I | 72 | 200 | 210 | 190 |
| Certification Examination Administration Fire Officer II | 82 | 75 | 85 | 65 |
| Certification Examination Administration Fire Officer III | 22 | 30 | 40 | 35 |
| Certification Examination Administration Fire Officer IV | 16 | 25 | 35 | 30 |
| Certification Examination Administration Firefighter I | 351 | 615 | 625 | 605 |
| Certification Examination Administration Firefighter II | 257 | 400 | 410 | 390 |
| Certification Examination Administration Haz Mat Technician | 78 | 100 | 110 | 90 |
| Certification Examination Administration Haz Mat/WMD | 368 | 700 | 710 | 690 |
| Certification Examination Administration Total Certified (By Level) | 1,706 | 2,920 | 3,170 | 2,740 |
| Certification Examination Administration- Driver-Mobile Water Supply | 1 | 10 | 15 | 5 |
| Certification Examination Administration- Fire Investigator | 3 | 10 | 20 | 10 |
| CT Fire Data Fire Related Deaths (Civilian) | 20 | 15 | 15 | 15 |
| CT Fire Data Fire Related Injuries (Civilian) | 132 | 120 | 120 | 120 |
| CT Fire Data Fire Related Injuries (Fire Service) | 633 | 600 | 600 | 600 |
| CT Fire Data Fire Related Loss | \$66,956,983 | \$67,000,000 | \$67,000,000 | 67,000,000 |
| CT Fire Data Total Calls (Other) | 115,905 | 116,000 | 116,000 | 116,000 |
| Office of State Fire Administration- Statewide Fire Rescue Disaster Response Plan Activations-Task Force | 12 | 10 | 10 | 10 |
| Training Deliveries (CFA Campus and Field) Student Contact Hours | 122,624 | 130,000 | 140,000 | 150,000 |
| Training Deliveries (CFA Campus and Field) Students Registered | 3,673 | 3,800 | 4,000 | 4,200 |
| Training Deliveries (CFA Campus and Field) Training Deliveries/Programs | 173 | 185 | 200 | 220 |
| CT Fire Data Total Calls (Fire) | 10,724 | 11,000 | 11,000 | 11,000 |
| Office of State Fire Administration Regional Foam Trailer Activations | 5 | 4 | 4 | 4 |
| Office of State Fire Administration Statewide Fire Rescue Disaster Response Plan Activations-Strike Team | 4 | 5 | 4 | 4 |
| CT Fire Data Total Calls (Rescue/EMS) | 214,701 | 215,000 | 215,000 | 215,000 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 10 | 0 | 0 | 10 | 10 | 10 | 10 | 10 |
| Private Funds | 2 | 0 | 5 | 7 | 7 | 7 | 7 | 7 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,277,084 | 1,378,443 | 1,396,075 | 1,396,075 | 1,396,075 | 1,426,292 | 1,433,042 | 1,433,042 |
| Other Expenses | 1,002,049 | 501,025 | 501,025 | 501,025 | 501,025 | 501,025 | 501,025 | 501,025 |

| Pmts to Other Than Local Govts | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Fire Training School - Willimantic | 150,076 | 150,076 | 150,076 | 150,076 | 150,076 | 150,076 | 150,076 | 150,076 |
| Maintenance of County Base Fire Radio Network | 19,528 | 19,528 | 19,528 | 19,528 | 19,528 | 19,528 | 19,528 | 19,528 |
| Maintenance of State-Wide Fire Radio Network | 12,996 | 12,997 | 12,997 | 12,997 | 12,997 | 12,997 | 12,997 | 12,997 |
| Fire Training School - Torrington | 81,367 | 81,367 | 81,367 | 81,367 | 81,367 | 81,367 | 81,367 | 81,367 |
| Fire Training School - New Haven | 48,364 | 48,364 | 48,364 | 48,364 | 48,364 | 48,364 | 48,364 | 48,364 |
| Fire Training School - Derby | 37,139 | 37,139 | 37,139 | 37,139 | 37,139 | 37,139 | 37,139 | 37,139 |

| | | | | | | | | |
|------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Fire Training School - Wolcott | 100,162 | 100,162 | 100,162 | 100,162 | 100,162 | 100,162 | 100,162 | 100,162 |
| Fire Training School - Fairfield | 70,395 | 70,395 | 70,395 | 70,395 | 70,395 | 70,395 | 70,395 | 70,395 |
| Fire Training School - Hartford | 169,336 | 169,336 | 169,336 | 169,336 | 169,336 | 169,336 | 169,336 | 169,336 |
| Fire Training School - Middletown | 68,470 | 68,470 | 68,470 | 68,470 | 68,470 | 68,470 | 68,470 | 68,470 |
| Fire Training School - Stamford | 55,432 | 55,432 | 55,432 | 55,432 | 55,432 | 55,432 | 55,432 | 55,432 |
| Pmnts to Other Than Local Govts | 813,265 | 813,266 | 813,266 | 813,266 | 813,266 | 813,266 | 813,266 | 813,266 |
| Total-General Fund | 3,092,398 | 2,692,734 | 2,710,366 | 2,710,366 | 2,710,366 | 2,740,583 | 2,747,333 | 2,747,333 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,649,329 | 1,921,527 | 1,908,021 | 1,908,021 | 1,908,021 | 1,826,292 | 1,826,292 | 1,826,292 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20703 HMEP Training Opportunities | 113,221 | 179,441 | 0 | 0 | 0 | 0 | 0 | 0 |
| 21019 Coronavirus Relief Fund | 1,737 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97043 State Fire Training Systems Grants | 7,782 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97044 AFG - COVID-19 Supplement | 0 | 10,763 | 10,763 | 10,763 | 10,763 | 0 | 0 | 0 |
| 97044 Assistance to Firefighters Grant | 420,143 | 347,675 | 347,675 | 347,675 | 347,675 | 0 | 0 | 0 |
| Total - All Funds | 5,284,610 | 5,152,140 | 4,976,825 | 4,976,825 | 4,976,825 | 4,566,875 | 4,573,625 | 4,573,625 |

DIVISION OF STATE POLICE

Statutory Reference

C.G.S. Sections 7-284, 29-4, 29-5, 29-7, 29-22, 29-7c, 29-23, 29-23a, 29-28 through 29-37, 29-38c, 29-38e, 29-153 through 29-161c, 29-165 through 29-179i, 54-222a.

Statement of Need and Program Objectives

To deliver law enforcement services on limited access highways and for the eighty towns that do not have organized police departments; to clear criminal cases by conducting investigations that are within state police jurisdiction or when assisting local, state and federal agencies in major case investigations; and to provide for the safety of Connecticut highways by improving the safe, orderly flow of traffic through enforcement actions in identified problem areas.

The Connecticut State Police is comprised of three offices. The Office of Field Operations is responsible for the delivery of police services statewide through three geographical districts (Western, Central and Eastern), which include a total of 11 Troops and a Major Crime Unit in each of the three districts. Troopers from State Police Troops provide primary law enforcement services for 79 of the 169 towns in Connecticut and most state property and cover approximately 7,000 miles of state highway. The Major Crime Units' primary role is to investigate crimes requiring extended or complex follow up procedures including homicides, serious assaults, and sexual assaults. The resident state trooper program, in existence since 1947, presently consists of 88 troopers assigned to 53 towns. The Office of Field Operations also includes the Bureau of Criminal Investigation, the Traffic Services Unit, the Emergency Services Unit, and the Governor's Security Unit.

The Traffic Services Unit (TSU) is responsible for the administration of specialized traffic enforcement activities statewide. Commercial Vehicle Teams (CVTs) conduct federal motor carrier safety inspections, and the Collision Analysis and Reconstruction Squad (CARS) provides collision analysis and reconstruction services to State Police commands and municipal police agencies. TSU also operates the Motorcycle Unit, the Breath Alcohol Testing Vehicle, which is deployed for state and local police DUI field sobriety checkpoints, and the Seatbelt Convincer and Rollover Demonstrator devices, which are used to educate the public in the importance of utilizing seat belts.

The Governor's Security Unit is comprised of troopers who staff a security operational center at the Governor's residence on a continuous basis and provide personal protection for the Governor, the Lieutenant Governor, and the Governor's family.

The Bureau of Criminal Investigation consists of eight specialized units including the Statewide Narcotics Task Force (SNTF), the Statewide Organized Crime Investigative Task Force (SOCITF), the Statewide Urban Violence Cooperative Crime Control Task Force (SUVCCCTF), the Connecticut Regional Auto Theft Task Force (CRATTF), the Statewide Firearms Trafficking Task Force (SFTTF), the Central Criminal Intelligence Unit (CCIU), the Extradition Unit (EU), and the Counterterrorism Unit (CTU). These units conduct criminal investigations focused on the detection and suppression of various criminal enterprises. The Counterterrorism Unit consists of the Connecticut Intelligence Center (CTIC), the Joint Terrorism Task Force (JTTF), and the Critical Infrastructure Protection Group (CIPG). This unit utilizes many resources within state government to develop unified safety and security measures to prevent, mitigate and manage incidents threatening the quality of life of the residents

of Connecticut. The CTU coordinates the collection, analysis and distribution of information and security warnings to state and local government personnel, agencies and authorities, and the general public through CTIC; establishes standards and protocols for the use of any intelligence information; and works with federal, state, local, and private sector representatives on intelligence and critical infrastructure issues.

The Emergency Service Unit (ESU) is comprised of the Bomb Squad, Dive Team, Marine Unit, Tactical Team (SWAT), Crisis Negotiators, Aviation, Hazardous Materials Technicians, the Mass Transit Security Unit, and the K-9 Unit. ESU is headquartered at the Fleet Administration building in Colchester and provides specialized assistance to all State Police Troops/Units as well as local, federal, and other state agencies.

The Office of Administrative Services is divided into the Bureau of Strategic Information Services and the Bureau of Business Development, which together provide training, planning, and support services to the Department.

The Bureau of Strategic Information Services is tasked with enhancing the regulatory support, policies, and law enforcement technology of the agency, and is divided into the Regulatory Section, the Research, Development, and Planning Section. The Regulatory Section includes the Special Licensing and Firearms Unit (SLFU), which is broken into two components. The Firearms Section of SLFU is responsible for the issuance and revocation of state pistol permits, eligibility, and ammunition certificates, the approval of all firearms transfers, and firearm registration. The Special Licensing Section of SLFU is responsible for permits, licenses, and registrations relating to numerous professions impacting public safety, including security guards, pawn shops, explosives, and boxing and mixed martial arts. The Regulatory Section also includes the Offender Registry Unit, which is comprised of the Sex Offender Registry (SOR) and the Deadly Weapon Offender Registry (DWOR), and the Research, Development, and Planning Section, which works to support field operations through updating and expanding policies and procedures, and automating how we collect, analyze, and disseminate data to better deploy resources and improve the safety and effectiveness of Troopers in the field.

The Bureau of Business Development oversees all infrastructure, fleet, and identification and records aspects of the agency. Through the Infrastructure Planning and Management Unit, multiple key

physical infrastructure projects are managed to improve the more than 50 facilities throughout the agency. The Fleet Administrative Unit oversees the maintenance and continued development of the more than 1,900 vehicle assets of DESPP. The Identification and Records Section provides several functions, including crimes analysis and statistical reporting, public dissemination of reports, and the collection and cataloging of all fingerprint and criminal history data within the State.

The Office of Professional Standards and Support Services is composed of the Bureau of Professional Standards and Compliance, the Bureau of Selections, Training and Support Services, and the Labor Relations Unit. The Bureau of Professional Standards and Compliance consists of the Internal Affairs Unit, the Accreditation Unit, and the Inspections Unit. The Internal Affairs Unit conducts investigations of DESPP employees, constables under the supervision of Resident State Troopers and occasionally other municipal police departments. The Accreditation Unit maintains the required standards of compliance for the department with respect to accreditation. The Inspections Unit conducts staff inspections of all Department commands and facilities and maintains data on the condition of facilities and results of such inspections.

The Bureau of Selection, Training and Investigative Support Services is comprised of the State Police Training Academy, Recruitment and Selection Unit, Background Investigative Unit and the Polygraph Unit. The Training Academy trains qualified applicants as State Police Trooper Trainees and provides mandatory in-service training and specialized law enforcement training for the department. The Recruitment and Selection Unit is dedicated to recruiting and selecting qualified candidates for appointment as State Police Trooper Trainees. The Background Investigative Unit conducts background investigations of applicants, prospective employees, and appointees for the department and other agencies. The Polygraph Unit conducts fair and impartial polygraph examinations for criminal investigations and pre-employment examinations for the State Police as well as municipal police agencies.

The Office of Professional Standards and Support Services also includes the Legal Affairs Unit, which provides legal assistance to all six divisions of DESPP, and the Labor Relations Unit, which represents the agency with respect to the negotiation, implementation and oversight of the numerous bargaining unit contracts of employees of every division of the Department.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------------------------------------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Community Relations Accidental Opioid Overdoses | 13 | 52 | 54 | 55 |
| Community Relations Accidental Opioid Overdoses/Revived | 13 | 50 | 51 | 55 |
| Community Relations Civilian Complaints | 9 | 50 | 50 | 50 |
| Community Relations Lethality Assessment Protocol (LAP) Screens | 98 | 200 | 200 | 200 |
| Community Relations Lethality Assessment Protocol (LAP) Screens/Assessed at Risk | 59 | 100 | 100 | 100 |
| Community Relations- Commendations | 39 | 100 | 100 | 100 |
| Improve roadway/traffic safety within State Police Jurisdiction Number of Traffic Stops (total) | 15,144 | 35,000 | 55,000 | 55,000 |
| Improve roadway/traffic safety within State Police Jurisdiction Number of Arrests (from traffic stops) | 113 | 250 | 300 | 300 |

| | | | | |
|------------------------------------------------------------------------------------------------------|--------|--------|---------|---------|
| Improve roadway/traffic safety within State Police Jurisdiction Number of Infractions Issued | 8,105 | 20,000 | 119,022 | 121,402 |
| Improve roadway/traffic safety within State Police Jurisdiction Number of Traffic Fatalities | 28 | 100 | 131 | 133 |
| Improve roadway/traffic safety within State Police Jurisdiction Number of Traffic Stops (commercial) | 1,180 | 4,000 | 6,000 | 6,000 |
| Improve roadway/traffic safety within State Police Jurisdiction Number of Traffic Stops (passenger) | 13,964 | 35,000 | 35,000 | 35,000 |
| Improve roadway/traffic safety within State Police Jurisdiction- Number of Traffic Accidents | 4,980 | 10,000 | 10,000 | 10,000 |
| Reduce overall crime rates within State Police Jurisdiction Number of Felony Arrests | 366 | 1,500 | 2,000 | 2,000 |
| Trooper Safety Line of Duty Injuries | 13 | 152 | 155 | 158 |
| Trooper Safety Department Accidents | 31 | 176 | 180 | 183 |
| Reduce overall crime rates within State Police Jurisdiction- Number of Felony Crimes Reported | 361 | 2,124 | 2,166 | 2,210 |
| Reduce overall crime rates within State Police Jurisdiction Number of Misdemeanor Crimes Reported | 1,045 | 2,100 | 7,054 | 7,195 |
| Reduce overall crime rates within State Police Jurisdiction Number of Misdemeanor Crimes Closed | 942 | 3,100 | 4,000 | 4,500 |
| Reduce overall crime rates within State Police Jurisdiction Number of Misdemeanor Arrests | 1,038 | 3,000 | 7,536 | 7,686 |
| Reduce overall crime rates within State Police Jurisdiction Number of Felony Cases Closed | 338 | 2,060 | 2,101 | 2,143 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,183 | 173 | 0 | 1,356 | 1,356 | 1,356 | 1,356 | 1,356 |
| Private Funds | 5 | 2 | 1 | 8 | 8 | 8 | 8 | 8 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 131,539,671 | 123,866,586 | 139,045,536 | 139,045,536 | 138,994,118 | 146,097,365 | 147,056,817 | 147,005,399 |
| Other Expenses | 15,926,755 | 18,674,803 | 20,391,917 | 19,639,331 | 21,670,063 | 19,915,227 | 19,685,319 | 22,118,160 |
| Other Current Expenses | | | | | | | | |
| Stress Reduction | 30,000 | 25,354 | 25,354 | 25,354 | 25,354 | 25,354 | 25,354 | 25,354 |
| Fleet Purchase | 6,887,137 | 5,581,737 | 5,581,737 | 5,581,737 | 6,499,017 | 5,581,737 | 5,581,737 | 6,244,697 |
| Other Current Expenses | 6,917,137 | 5,607,091 | 5,607,091 | 5,607,091 | 6,524,371 | 5,607,091 | 5,607,091 | 6,270,051 |
| Total-General Fund | 154,383,563 | 148,148,480 | 165,044,544 | 164,291,958 | 167,188,552 | 171,619,683 | 172,349,227 | 175,393,610 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 2,003,571 | 2,629,349 | 2,419,488 | 2,419,488 | 2,419,488 | 2,426,911 | 2,426,911 | 2,426,911 |
| Restricted State Accounts | 175 | 112,557 | 0 | 0 | 0 | 0 | 0 | 0 |
| Grant Transfers | 102,133 | 298,516 | 0 | 0 | 0 | 0 | 0 | 0 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 11549 State and Local Implementation Grant Program | 37 | 164,040 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16000 Law Enforcement | 434,346 | 1,000,872 | 376,744 | 376,744 | 376,744 | 0 | 0 | 0 |
| 16034 Coronavirus Emergency Supplemental Funding (CESF) | 0 | 226,500 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16554 National Criminal History Improvement Pgm | 618,760 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16554 NATIONAL CRIMINAL HISTORY IMPROVEMENT PROGRAM | 817,946 | 2,686,647 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16588 VIOLENCE AGAINST WOMEN FORMULA GRANTS | 33,750 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16710 COPS Anti-Heroin TF | 155,170 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16710 FY19 CT Law Enforcement Peer Program(CT LEPP) | 0 | 54,857 | 5,143 | 5,143 | 5,143 | 0 | 0 | 0 |

| | | | | | | | | |
|--------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| 16738 E Byrne Memorial Justice Assistance Grant | 296,458 | 42,180 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16738 EDWARD BYRNE MEMORIAL JUSTICE ASSISTANCE GRANT PROGRAM | 239,250 | 144,443 | 36,111 | 36,111 | 36,111 | 0 | 0 | 0 |
| 16738 Sex Offender Registration & Notification (2012) | 18,104 | 27,609 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16738 Sex Offender Registration & Notification (2015-2017) | 138,678 | 109,904 | 8,966 | 8,966 | 8,966 | 0 | 0 | 0 |
| 16742 Paul Coverdell Forensic Sciences Improvement Grant | 91,334 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16750 Support - Adam Walsh Act Implementation Grant Pgm | 109,000 | 326,550 | 176,988 | 176,988 | 176,988 | 31,783 | 31,783 | 31,783 |
| 16835 Body Worn Camera Policy & Implementation Program | 0 | 175,820 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20237 Commercial Vehicle Information Systems and Networks | 157,677 | 341,351 | 85,338 | 85,338 | 85,338 | 0 | 0 | 0 |
| 20600 STATE AND COMMUNITY HIGHWAY SAFETY | 3,671 | 34,847 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20600 State & Community Highway Safety | 128,646 | 218,955 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20607 Alcohol Open Container Requirements | -92,805 | 466,388 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20615 E-911 Grant Program | 0 | 618,059 | 463,544 | 463,544 | 463,544 | 0 | 0 | 0 |
| 20616 National Priority Safety Programs | 108,570 | 222,793 | 0 | 0 | 0 | 0 | 0 | 0 |
| 21019 Coronavirus Relief Fund | 66,085 | 681,915 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97056 Port Security Grant Program | 246,704 | 157,825 | 110,338 | 110,338 | 110,338 | 16,807 | 16,807 | 16,807 |
| 97072 Nat Explosives Detection Canine Team | 219,203 | 202,002 | 202,002 | 202,002 | 202,002 | 202,002 | 202,002 | 202,002 |
| 97075 Mass Transit | -11,823 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97106 Securing the Cities | 282,602 | 200,340 | 50,085 | 50,085 | 50,085 | 0 | 0 | 0 |
| 99125 OTHER FEDERAL ASSISTANCE | 33,990 | 31,223 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 160,584,795 | 159,324,022 | 168,979,291 | 168,226,705 | 171,123,299 | 174,297,186 | 175,026,730 | 178,071,113 |

DIVISION OF SCIENTIFIC SERVICES

Statutory Reference

C.G.S. Section 29-7b, Chapter 961, Part 11a.

Statement of Need and Program Objectives

To improve the quality and quantity of services provided to federal, state, and local law enforcement agencies through the timely application of existing and newly developed scientific and technical procedures. To provide investigative leads through the timely examination of evidence and resulting analysis, and expert testimony to aid in the arrest, conviction, or exculpation of individuals potentially linked to the crime.

Program Description

The Division of Scientific Services is comprised of the DNA and Biology Section, the Identification Section, and the Chemical Analysis Section.

THE FORENSIC BIOLOGY/DNA SECTION

The Forensic Biology Unit identifies the presence of blood, semen, and other body fluids, such as fecal material, saliva, or urine. In addition, examiners collect samples from objects for "touch DNA" examination. The forensic examiners in this Unit also use their training and experience to determine which samples will be forwarded to the DNA Unit for further analysis.

The DNA Unit is comprised of two sub-units. They are the Nuclear Unit and the Database Unit. The Nuclear DNA Unit enters DNA profiles generated from evidentiary samples into CODIS (Combined DNA Index System) to generate matches (hits) to convicted offender DNA profiles as well as DNA profiles from other criminal cases. Eligible profiles from forensic samples and CT convicted offenders are uploaded to the national database for searching against other state databases. At the state level, an analyst can try to match a DNA profile from a local crime scene with an offender's profile within the state to solve cases that span throughout Connecticut. At the national level, an analyst can try to match a DNA profile from a local crime scene sample with an offender's profile from across the nation to try and solve cases that span various states.

The Database Unit's primary purpose is to process convicted offender samples and upload the DNA profiles generated from these samples into CODIS.

IDENTIFICATION SECTION

The Identification Section consists of the Firearms, Latent Prints, Questioned Documents, Imprints, Computer Crime and Electronic Evidence, and Multimedia and Image Enhancement Units.

The Firearms Unit examines all firearms evidence and conducts test fires of all guns scheduled for destruction. All test fires are entered into the National Integrated Ballistic Information Network (NIBIN), a database containing high resolution images of cartridge case evidence. These digital images are compared to other images submitted by other Laboratories or Police Departments to determine if the same firearm was used.

The Latent Print Unit analyzes and compares latent prints to known prints of individuals to make identifications or exclusions. The Unit examines a variety of physical evidence, including submitted photographs and lifts, employing enhancement filters to bring out the best possible detail from latent evidence. This Unit utilizes the Automated Fingerprint Identification System (AFIS) and the FBI’s Next Generation Identification (NGI) System to search latent prints left at crime scenes or developed from submitted evidence against a centralized national fingerprint repository.

The Questioned Document Unit conducts examinations of evidence to determine the authenticity of a document or writing. Comparison of rubber stamp impressions to signature/handwriting comparison can also be provided. The Questioned Document Unit also examines scratch-off lottery tickets prior to release into the Lottery System. Specifically, the Unit exams the test lots of the lottery games for quality of its “scratch coating” to make sure that tampering or detection of numbers is not possible until the ticket is purchased.

The Imprints Unit examines footwear and tire-tracks for characteristics that are unique or individualizing in nature. These characteristics may be used to make comparisons to known exemplars submitted for analysis. Known exemplars are tread or tire track patterns that are replicated from known objects. The Imprints Unit utilizes a shoeprint database called Solemate. This database is used to search for a footwear manufacturer by the physical characteristics of the shoe tread pattern.

The Computer Crimes and Electronic Evidence Unit is divided into two separate sub-units: The Investigations Unit and the Forensic Analysis Unit. In this Unit, State, and local police officers work in conjunction with computer forensic science examiners. The Computer Crimes and Electronic Evidence Unit was one of the founding members of the Internet Crimes Against Children Task Force Program (ICAC), a national network of coordinated task forces representing over 2,000 federal, state, and local law enforcement, and prosecutorial agencies. This Unit works with other federal, state and local law enforcement agencies in responding to cyber enticement, child exploitation and child pornography cases. The Forensic Analysis Unit examines seized computers and other electronic storage devices such as cell phones, tablets, iPods, and gaming devices, for evidentiary value. These analyses provide investigative leads in the exploitation of children in the areas of human trafficking and child pornography.

The Multimedia and Image Enhancement Unit employs state-of-the-art equipment to enhance video information related to criminal activity. This Unit analyzes analog and digital video, audio and photographic evidence, providing duplications and enhancements as requested. The Multimedia and Image Enhancement Unit also assists law enforcement agencies with video retrievals from the crime scene or related locations.

TOXICOLOGY, CONTROLLED SUBSTANCE AND CHEMISTRY SECTION

The Toxicology Unit analyzes blood, urine, and other body fluids in support of driving under the influence (DUI), drug-facilitated sexual assault (DFSA), for local, state, and federal agencies. The unit works with hospitals and the CT Poison Control Center network in cases involving drugs of abuse or other substances. The majority of work within the unit involves analyzing ante mortem samples (e.g., urine, blood) for the presence of ethanol and/or other chemicals which may have caused impairment within drivers of motor vehicles.

The Controlled Substance Unit provides analytical support to law enforcement agencies to identify and quantify drug seizures. The most commonly analyzed substances are marijuana, cocaine, MDMA, heroin, synthetic cannabinoids, bath salts, and fentanyl. Some unit personnel also accompany the U.S. Drug Enforcement Administration (DEA) on raids of illicit/ clandestine drug labs, provide technical support in the examination and analysis of the site for chemical and physical hazards and identify methods of chemical synthesis. Within the Controlled Substance Unit is the Breath-Alcohol sub-unit. This sub-unit is responsible for training and certifying personnel as instructors, and subsequently operators, of the use of breath-alcohol testing devices utilized within the state of CT. This sub-unit also instructs how to maintain statewide quality assurance and operational standards for use by all law enforcement agencies when administering breath-alcohol tests.

The Arson Unit examines fire debris for the identification of suspected accelerants. Unit personnel also participate in the training of investigators for the collection and preservation of arson and explosive evidence.

The Chemistry Unit is responsible for the analysis and identification of gunshot residue. Evidence, typically clothing or swabbing, is examined to determine if an individual may have been in proximity of a recently discharged firearm.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|---------------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Percent Case Requests Released <60 Days | 68% | 72% | 76% | 80% |
| State Standard for Requests Analyzed <60 Days | 90% | 90% | 90% | 90% |
| Total Case Requests Released | 12,770 | 14,266 | 14,979 | 15,727 |
| Chemistry Case Backlog Greater than 60 Days | 9 | 0 | 0 | 0 |
| Chemistry Percent Greater than 60 Days | 72% | 0% | 0% | 0% |
| Chemistry Cases Submitted | 153 | 194 | 199 | 225 |
| Computer Crimes Case Backlog Greater than 60 Days | 5 | 5 | 6 | 6 |
| Computer Crimes Cases Submitted | 313 | 467 | 513 | 564 |

| | | | | |
|-----------------------------------------------------------------------------------------|-------|-------|-------|-------|
| Computer Crimes Percent Greater than 60 Days | 24% | 25% | 25% | 25% |
| Controlled Substances Case Backlog Greater than 60 Days | 1 | 8 | 7 | 6 |
| Controlled Substances Cases Submitted | 269 | 219 | 262 | 314 |
| Controlled Substances Percent Greater than 60 Days | 4% | 4% | 6% | 5% |
| DNA Case Backlog Greater than 60 Days | 209 | 174 | 144 | 119 |
| DNA Cases Submitted | 4,571 | 6,141 | 6,570 | 7,029 |
| DNA Percent Greater than 60 Days | 47% | 40% | 35% | 25% |
| DNA-Combined DNA Index System (CODIS) Missing Persons/Unidentified Human Remains | 2 | 1 | 1 | 1 |
| DNA-Combined DNA Index System (CODIS) Convicted Offenders | 3,033 | 3,336 | 3,669 | 4,035 |
| DNA-Combined DNA Index System (CODIS) Forensic Unknowns | 2,254 | 2,479 | 2,726 | 2,998 |
| DNA-Combined DNA Index System (CODIS) Number of Hits | 1,116 | 1,216 | 1,321 | 1,431 |
| Firearms Cases Submitted | 1,428 | 1,570 | 1,727 | 1,899 |
| Firearms Percent Greater than 60 Days | 22% | 22% | 15% | 15% |
| Firearms Case Backlog Greater than 60 Days | 20 | 20 | 15 | 13 |
| Firearms National Integrated Ballistic Info Network (NIBIN) Hits to Destruction Weapons | 24 | 27 | 30 | 34 |
| Firearms National Integrated Ballistic Info Network (NIBIN) Number of Entries | 2,630 | 2,964 | 3,000 | 3,120 |
| Firearms National Integrated Ballistic Info Network (NIBIN) Number of Hits | 594 | 678 | 759 | 850 |
| Forensic Biology Case Backlog Greater than 60 Days | 12 | 20 | 10 | 9 |
| Forensic Biology Cases Submitted | 2,947 | 4,710 | 4,787 | 4,860 |
| Forensic Biology Percent Greater than 60 Days | 8% | 10% | 5% | 5% |
| Latent Print-Automated Fingerprint Identification System (AFIS) Number of Entries | 680 | 782 | 899 | 1,033 |
| Latent Print-Automated Fingerprint Identification System (AFIS) Number of Hits | 176 | 203 | 208 | 214 |
| Latent Prints Case Backlog Greater than 60 Days | 1 | 3 | 3 | 2 |
| Latent Prints Cases Submitted | 568 | 642 | 716 | 751 |
| Latent Prints Percent Greater than 60 Days | 4% | 6% | 5% | 5% |
| Multimedia Percent Greater than 60 Days | 5% | 3% | 3% | 3% |
| Multimedia Case Backlog Greater than 60 Days | 1 | 1 | 1 | 1 |
| Multimedia Cases Submitted | 71 | 71 | 75 | 75 |
| Toxicology Case Backlog Greater than 60 Days | 51 | 65 | 65 | 55 |
| Toxicology Cases Submitted | 1,473 | 1,065 | 1,192 | 1,251 |
| Toxicology Percent Greater than 60 Days | 25% | 25% | 32% | 25% |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 92 | 7 | 0 | 99 | 99 | 99 | 99 | 99 |
| Federal Funds | 0 | 2 | -1 | 1 | 0 | 0 | 0 | 0 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 8,158,574 | 8,742,269 | 8,973,628 | 8,973,628 | 8,973,628 | 9,334,941 | 9,409,281 | 9,409,281 |
| Other Expenses | 1,847,668 | 1,943,000 | 2,053,975 | 2,053,975 | 2,053,975 | 2,067,422 | 2,067,422 | 2,067,422 |
| Total-General Fund | 10,006,242 | 10,685,269 | 11,027,603 | 11,027,603 | 11,027,603 | 11,402,363 | 11,476,703 | 11,476,703 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 80,951 | 80,645 | 80,645 | 80,645 | 80,645 | 80,645 | 80,645 | 80,645 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| <i>Federal Contributions</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16543 ICAC Forensic Capacity Hiring Program Wounded Vets | 38,847 | 89,491 | 29,830 | 29,830 | 29,830 | 0 | 0 | 0 |
| 16543 Missing Children'S Assistance | 424,231 | 126,099 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16609 2018 Project Safe Neighborhood | 0 | 106,400 | 26,600 | 26,600 | 26,600 | 0 | 0 | 0 |
| 16741 Forensic Dna Capacity Enhancement Program | 728,904 | 565,993 | 264,400 | 264,400 | 264,400 | 0 | 0 | 0 |
| 16741 Forensic DNA Lab Efficiency Improvement Program | 214,816 | 340,741 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16742 Paul Coverdell Forensic Sciences Improvement Grant | 216,899 | 78,939 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16742 Paul Coverdell NFSIA - Competitive | 0 | 246,342 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16820 2016 POST Conviction DNA Testing | 51,645 | 504,716 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16833 National Sexual Assault Kit Initiative | 953,127 | 1,416,332 | 655,756 | 655,756 | 655,756 | 163,939 | 163,939 | 163,939 |
| 20237 Commercial Vehicle Information Systems and Networks | 140,836 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20616 2017-2018 Operational Supplies & Consumables | 38,562 | 44,370 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20616 National Priority Safety Programs | 301,336 | 194,864 | 0 | 0 | 0 | 0 | 0 | 0 |
| 21019 Coronavirus Relief Fund | 10,133 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 13,206,529 | 14,480,201 | 12,084,834 | 12,084,834 | 12,084,834 | 11,646,947 | 11,721,287 | 11,721,287 |

DIVISION OF EMERGENCY MANAGEMENT AND HOMELAND SECURITY

Statutory Reference

C.G.S. Titles 28 and 29

Statement of Need and Program Objectives

The Division of Emergency Management and Homeland Security (DEMHS) is responsible for providing a coordinated, integrated program for statewide emergency management and homeland security, including strategic and operational all-hazards planning, community preparedness, exercise, training, grants planning and management, interoperable communications, and the development of unified safety and security measures. DEMHS is headed by a Deputy Commissioner of DESPP.

Program Description

DEMHS includes the Office of Emergency Management (OEM) and the Connecticut Intelligence Center (CTIC). The Office of Emergency Management (OEM) maintains, coordinates and oversees both the Governor's State Emergency Operations Center (SEOC) and DEMHS' regional offices, corresponding to the state's five DEMHS emergency planning and preparedness regions. These offices serve as direct points of contact to the state's cities, towns and tribal nations for emergency planning and preparedness activities as well as during and after emergencies. DEMHS coordinates and collaborates with state, local, federal, tribal as well as private sector partners and the public to develop, maintain, exercise and train on a comprehensive state-wide emergency management plan and program. The State Emergency Management Director serves as the Statewide Interoperability Coordinator and as the Single Point of Contact for the FirstNet broadband initiative.

The OEM is divided into the following units:

- Operations, Training and Exercise including the five DEMHS regional offices; urban search and rescue team; state emergency operations center; and school safety and security;

- All-Hazards Planning, including regional planning, radiological preparedness, hazard mitigation and disaster recovery units;
- Strategic Planning and Community Preparedness (to include DEMHS grants administration); and
- Field Support which includes internal DEMHS logistics, including SEOC and regional office readiness; supply, coordination, and operation of communications equipment, coordination of Geospatial Information Systems (GIS), and interoperable communications.

The Connecticut Intelligence Center (CTIC) is the state's intelligence fusion center and is headed by a Director. CTIC responsibilities include coordinating the collection, analysis and distribution of information and security warnings to state and local government personnel, agencies and authorities, and the general public; establishing standards and protocols for the use of any intelligence information, and; working with federal, state, local, and private sector representatives on intelligence and critical infrastructure issues. Cyber Security is an expanding role and responsibility of CTIC, which works to coordinate and share information regarding cyber related threats.

The Connecticut Intelligence Center Unit (CTIC) includes a co-located team of local, state, and federal law enforcement officers and agency or discipline representatives. CTIC collects, analyzes, and disseminates both criminal and terrorism-related intelligence to law enforcement officials throughout the state, and pertinent vetted information to authorized and appropriate agencies within the first responder and private sectors. CTIC staff includes 5 Regional Intelligence Liaison Officers corresponding to the five DEMHS regions. CTIC operates under a privacy, civil rights, and civil liberties protection policy.

The Infrastructure Coordination Group (ICG) within CTIC works to assess and protect Connecticut's public and private critical infrastructure assets and key resources, both physical and cyber-

based, which are essential to maintaining minimal operational capabilities of government. The ICG also works with the OEM and the state emergency operations center during emergencies to assist

in preparedness, response, protection, and recovery issues related to the state's critical infrastructure.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|---------------------------------------------------------------------------------------------|----------------|--------------------|--------------------|--------------------|
| | Actual | Estimated | Projected | Projected |
| Medical Reserve Corps Activations | 132 | 100 | 150 | 150 |
| Urban Search and Rescue Team and Incident Management Team Activations | 8 | 5 | 10 | 10 |
| Community Emergency Response Team Activations | 233 | 215 | 215 | 215 |
| Eligible Communities Assisted (municipal and tribal applicants) | 171 | 171 | 171 | 171 |
| Number of FEMA Public Assistance Project Worksheets | 50 | 100 | 150 | 150 |
| FEMA Public Assistance Funding Distributed | \$8,265,775 | 0 | \$16,800,000 | \$11,200,000 |
| Pre-Disaster Mitigation (PDM) Assistance | \$739,578 | \$500,000 | \$2,729,771 | \$10,000,000 |
| Flood Mitigation Assistance Total Funding | 0 | 0 | \$287,500 | \$500,000 |
| HMGP Funds Distributed | \$3,067,962 | incident dependent | incident dependent | incident dependent |
| HMGP Home Acquisitions | 2 | 5 | 5 | 5 |
| HMGP Home Elevations | 8 | 10 | 10 | 10 |
| HMGP Other Projects (generators, infrastructure, plans) | 14 | 15 | 15 | 15 |
| Severe Cold Weather Protocol Activations | 6 | 7 | 6 | 6 |
| Mobile Communications Vehicle Deployments) | 31 | 30 | 30 | 30 |
| Power Outage Average Time for Restoration (hours) | 33.8/54.2 | 32/52 | 30/50 | 28/48 |
| Power Outage Events exceeding 10,000 Customers | 5 | 6 | 7 | 8 |
| School Security Grant Program, Funds Awarded | \$4,488,079 | \$11,000,000 | \$6,311,256 | \$7,584,132 |
| School Security Grant Program, Schools Funded | 164 | 400 | 200 | 300 |
| Number of Full/Partial Activations of State EOC | 5 | 6 | 7 | 8 |
| Number of Days of Activation of State EOC | 266 | 0 | 365 | 180 |
| Number of towns and state agencies receiving EMPG funding | 123 | 128 | 128 | 128 |
| Amount of EMPG funding | \$6,345,877.00 | \$4,951,179.00 | \$4,951,179.00 | \$4,951,179.00 |
| Number of towns and state agencies receiving HSGP fundin | 175 | 175 | 175 | 175 |
| Amount of HSGF funding | \$4,287,500 | \$4,287,500 | \$4,287,500 | \$4,287,500 |
| Number of eligible Nonprofit Organizations receiving NSGP funding | 19 | 14 | 14 | 14 |
| Amount of NSGP funding | \$872,048 | \$700,000 | \$70,000 | \$700,000 |
| Number of towns and state agencies receiving NSEP funding | 22 | 22 | 22 | 22 |
| Amount of NSEP funding | \$5,500,000 | \$5,500,000 | \$5,995,000 | \$6,534,550 |
| Number of trainings conducted | 7 | 15 | 25 | 25 |
| Number of exercises held or participated in | 5 | 15 | 15 | 15 |
| Number of plans reviewed, drafted or revised | 18 | 18 | 18 | 18 |
| Number of LEOs and school security plans received by Regional Coordinator | 726 | 726 | 736 | 746 |
| Number of CTIC/other homeland security/Cybersecurity related documents disseminated by CTIC | 184 | 184 | 184 | 184 |
| Pre-Disaster Mitigation Total Funding | \$1,032,985 | \$1,500,000 | \$1,500,000 | \$1,500,000 |
| Presidential Major Disaster Declarations Received | 1 | 2 | 2 | 2 |
| Shelters/Centers Reported Open | 136 | 150 | 165 | 170 |
| Storm Related Deaths (per declaration) | 2 | 3 | 4 | 5 |
| Storm-Related Injuries (per declaration) | 83 | 100 | 100 | 100 |
| Storm-Related Structures Destroyed (per declaration) | 25 | 10 | 10 | 10 |
| Storm-Related Structures Major Damage (per declaration) | 146 | 500 | 500 | 500 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 14 | 4 | 0 | 18 | 18 | 18 | 18 | 18 |
| Federal Funds | 17 | 5 | 0 | 21 | 21 | 21 | 21 | 21 |
| Private Funds | 6 | 2 | -1 | 7 | 7 | 7 | 7 | 7 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,290,610 | 1,337,472 | 1,366,431 | 1,366,431 | 1,366,431 | 1,412,935 | 1,422,373 | 1,422,373 |
| Other Expenses | 401,126 | 142,625 | 142,625 | 142,625 | 142,625 | 142,625 | 142,625 | 142,625 |
| Total-General Fund | 1,691,736 | 1,480,097 | 1,509,056 | 1,509,056 | 1,509,056 | 1,555,560 | 1,564,998 | 1,564,998 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,722 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 2,389,456 | 1,649,716 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 21019 Coronavirus Relief Fund | 14,197 | 23,803 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97008 URBAN AREAS SECURITY INITIATIVE | 76,319 | 449,022 | 449,022 | 449,022 | 449,022 | 357,349 | 357,349 | 357,349 |
| 97036 Public Assistance Grants | 18,471,136 | 8,251,499 | 6,785,910 | 6,785,910 | 6,785,910 | 0 | 0 | 0 |
| 97039 Hazard Mitigation Grant | 1,128,076 | 6,689,673 | 1,263,477 | 1,263,477 | 1,263,477 | 0 | 0 | 0 |
| 97039 Pre-Disaster Mitigation | 172,341 | 3,728,433 | 385,521 | 385,521 | 385,521 | 0 | 0 | 0 |
| 97042 Emergency Management Performance Grant | 4,839,274 | 9,810,817 | 2,452,704 | 2,452,704 | 2,452,704 | 0 | 0 | 0 |
| 97067 Homeland Security Grant Program | 3,364,897 | 5,161,767 | 3,901,845 | 3,901,845 | 3,901,845 | 2,549,712 | 2,549,712 | 2,549,712 |
| 97309 Flood Mitigation Assistance | 231,569 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 32,380,723 | 37,244,827 | 16,747,535 | 16,747,535 | 16,747,535 | 4,462,621 | 4,472,059 | 4,472,059 |

DIVISION OF STATEWIDE EMERGENCY TELECOMMUNICATIONS

Statutory Reference

C.G.S. Title 28, Chapter 518a; Title 29, Chapters 531, 532, 538, 538a, 539, 540 and 541

Statement of Need and Program Objectives

To improve emergency communications systems to expedite the delivery of emergency medical, fire and police services.

Program Description

The Division of Statewide Emergency Telecommunications (DSET) has oversight of emergency telecommunications, including radio frequency allocation for public safety and emergency services. It also has oversight of the Enhanced 9-1-1 system training and certifies emergency dispatchers.

DSET provides for statewide Enhanced 9-1-1 planning and implementation, public safety tele-communicators training and certification, public safety frequency coordination, funding for regional communications centers, funding for cities with

populations greater than 40,000, public safety answering points (PSAPs), grant assistance for capital expenses for PSAPs and grant assistance for coordinated medical emergency direction (CMED). DSET provides all state and local public safety agencies with street centerline and street address information (geographic information systems or GIS) for emergency response purposes. DSET also provides chairmanship and plan development for the six New England states' 700 MHz, 800MHz and 4.9 GHz planning committees.

DSET has responsibility to fund the maintenance of and manage access to the Public Safety Data Network which serves as a base transport infrastructure and interconnectivity pathway for public safety related applications and services throughout the state. The network provides the connectivity necessary for optimal 9-1-1 services, delivers greater reliability and speed, enables improved interoperability (including radio interoperability), and reduces costs to the state for the delivery of criminal justice information system services such as Connecticut On-Line Law Enforcement

Communications Teleprocessing and National Crime Information Center. To date, there have been over 140 requests for use of the network by various municipal and state public safety organizations.

DSET has received approval for regulation changes to the Regional PSAP subsidy funding formula to provide a more equitable distribution of funding among the regional centers. These changes are designed to lessen the impact of the number of towns comprising a Regional PSAP and place more emphasis on 911 call volume and population.

DSET also oversees the Connecticut Telecommunications System (CTS) which provides land mobile radio and other inter-operable communications for the State Police as well as municipalities, Federal and other state agencies, with over 15,000 radios in use. CTS manages and maintains the microwave transport system for the statewide radio network as well as the 33-state owned and 32 leased or shared tower facilities including licensing, contracts, security, and structural requirements. CTS responsibility includes the Network Control Center which operates on a 24/7/365 basis.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-------------------------------------------------------------|-----------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Percent 911 calls answered in 10 seconds or less | 93.13% | 91.5% | 93% | 93% |
| State Standard for 911 calls answered in 10 seconds or less | 90% | 90% | 90% | 90% |
| Total 911 calls received | 1,994,161 | 2,000,000 | 2,100,000 | 2,100,000 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Federal Funds | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 |
| Private Funds | 19 | 2 | -1 | 20 | 20 | 20 | 20 | 20 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 239 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Expenses | 1,861,278 | 3,500,528 | 3,991,313 | 3,500,528 | 3,500,528 | 4,091,609 | 3,500,528 | 3,500,528 |
| Total-General Fund | 1,861,517 | 3,500,528 | 3,991,313 | 3,500,528 | 3,500,528 | 4,091,609 | 3,500,528 | 3,500,528 |

Other Funds Available

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 26,486,122 | 29,406,919 | 29,443,418 | 29,443,418 | 29,443,418 | 28,445,486 | 28,445,486 | 28,445,486 |

Federal Contributions

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Null | 189,603 | 160,471 | 166,087 | 166,087 | 166,087 | 171,900 | 171,900 | 171,900 |
| 21019 Coronavirus Relief Fund | 34 | 1,966 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|-------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Total - All Funds | 28,537,276 | 33,069,884 | 33,600,818 | 33,110,033 | 33,110,033 | 32,708,995 | 32,117,914 | 32,117,914 |
|-------------------|------------|------------|------------|------------|------------|------------|------------|------------|

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 113,370,188 | 110,840,480 | 125,601,301 | 123,624,026 | 132,833,776 | 131,958,196 |
| Salaries & Wages-Temporary | 795,519 | 796,000 | 814,201 | 814,201 | 818,324 | 818,324 |

| | | | | | | |
|---------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Salaries & Wages-Part Time | 159,790 | 160,000 | 165,810 | 165,810 | 175,321 | 176,696 |
| Longevity Payments | 232,919 | 237,000 | 237,000 | 237,000 | 237,000 | 237,000 |
| Overtime | 26,970,446 | 27,804,210 | 28,837,876 | 29,786,458 | 29,463,642 | 30,412,224 |
| Accumulated Leave | 3,253,296 | 131,000 | 131,000 | 131,000 | 131,000 | 131,000 |
| Other Salaries & Wages | 5,247,429 | 5,666,700 | 5,666,700 | 5,666,700 | 5,666,700 | 5,666,700 |
| Other | 423,369 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 150,452,956 | 145,635,390 | 161,453,888 | 160,425,195 | 169,325,763 | 169,400,140 |

Other Expenses

| | | | | | | |
|----------------------------------|------------|------------|------------|------------|------------|------------|
| Capital Outlays | 49,354 | 0 | 0 | 0 | 0 | 0 |
| Communications | 1,671,990 | 1,690,750 | 1,690,750 | 1,690,750 | 1,690,750 | 1,690,750 |
| Diesel-Generator | 9,870 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| Electricity | 2,032,054 | 2,330,000 | 2,330,000 | 2,330,000 | 2,330,000 | 2,330,000 |
| Employee Expenses | 16,531 | 28,450 | 28,450 | 28,450 | 28,450 | 28,450 |
| Employee Travel | 111,592 | 159,400 | 159,400 | 159,400 | 159,400 | 159,400 |
| Equipment Rental and Maintenance | 2,631,426 | 4,353,753 | 4,955,513 | 4,464,728 | 5,069,256 | 4,478,175 |
| Food And Beverages | 247,151 | 175,000 | 175,000 | 175,000 | 175,000 | 175,000 |
| Information Technology | 2,510,403 | 3,843,094 | 5,699,208 | 4,807,622 | 5,083,518 | 4,853,610 |
| Law Enfor & Security Supplies | 0 | 0 | 519,000 | 0 | 0 | 0 |
| Motor Vehicle Costs | 5,218,662 | 5,657,000 | 5,657,000 | 5,657,000 | 5,657,000 | 5,657,000 |
| Natural Gas | 172,548 | 214,000 | 214,000 | 214,000 | 214,000 | 214,000 |
| Oil #2 | 301,649 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Other / Fixed Charges | 27,407 | 0 | 0 | 0 | 0 | 0 |
| Other Services | 1,084,176 | 873,600 | 873,600 | 873,600 | 873,600 | 873,600 |
| Premises Expenses | 3,229,367 | 3,084,625 | 3,084,625 | 3,084,625 | 3,084,625 | 3,084,625 |
| Professional Services | 606,000 | 474,500 | 474,500 | 474,500 | 474,500 | 474,500 |
| Propane | 29,499 | 42,000 | 42,000 | 42,000 | 42,000 | 42,000 |
| Purchased Commodities | 6,526,188 | 4,864,245 | 4,864,245 | 6,894,977 | 4,864,245 | 7,297,086 |
| Salaries and Wages | 25 | 0 | 0 | 0 | 0 | 0 |
| Sewer | 60,247 | 71,500 | 71,500 | 71,500 | 71,500 | 71,500 |
| Water | 70,926 | 77,500 | 77,500 | 77,500 | 77,500 | 77,500 |
| TOTAL-Other Expenses | 26,607,065 | 28,349,417 | 31,326,291 | 31,455,652 | 30,305,344 | 31,917,196 |

Other Current Expenses

| | | | | | | |
|-------------------------------------|------------|------------|------------|-----------|------------|-----------|
| Stress Reduction | 30,000 | 25,354 | 25,354 | 25,354 | 25,354 | 25,354 |
| Fleet Purchase | 6,887,137 | 5,581,737 | 5,581,737 | 6,499,017 | 5,581,737 | 6,244,697 |
| Workers' Compensation Claims | 3,891,826 | 4,136,817 | 4,136,817 | 0 | 4,136,817 | 0 |
| Criminal Justice Information System | 1,869,323 | 2,684,610 | 3,662,002 | 3,196,772 | 3,676,661 | 3,212,881 |
| TOTAL-Other Current Expenses | 12,678,286 | 12,428,518 | 13,405,910 | 9,721,143 | 13,420,569 | 9,482,932 |

Pmts to Other Than Local Govts

| | | | | | | |
|-----------------------------------------------|---------|---------|---------|---------|---------|---------|
| Fire Training School - Willimantic | 150,076 | 150,076 | 150,076 | 150,076 | 150,076 | 150,076 |
| Maintenance of County Base Fire Radio Network | 19,528 | 19,528 | 19,528 | 19,528 | 19,528 | 19,528 |
| Maintenance of State-Wide Fire Radio Network | 12,996 | 12,997 | 12,997 | 12,997 | 12,997 | 12,997 |
| Police Association of Connecticut | 102,438 | 172,353 | 172,353 | 172,353 | 172,353 | 172,353 |
| Connecticut State Firefighter's Association | 103,470 | 176,625 | 176,625 | 176,625 | 176,625 | 176,625 |
| Fire Training School - Torrington | 81,367 | 81,367 | 81,367 | 81,367 | 81,367 | 81,367 |

| | | | | | | |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Fire Training School - New Haven | 48,364 | 48,364 | 48,364 | 48,364 | 48,364 | 48,364 |
| Fire Training School - Derby | 37,139 | 37,139 | 37,139 | 37,139 | 37,139 | 37,139 |
| Fire Training School - Wolcott | 100,162 | 100,162 | 100,162 | 100,162 | 100,162 | 100,162 |
| Fire Training School - Fairfield | 70,395 | 70,395 | 70,395 | 70,395 | 70,395 | 70,395 |
| Fire Training School - Hartford | 169,336 | 169,336 | 169,336 | 169,336 | 169,336 | 169,336 |
| Fire Training School - Middletown | 68,470 | 68,470 | 68,470 | 68,470 | 68,470 | 68,470 |
| Fire Training School - Stamford | 55,432 | 55,432 | 55,432 | 55,432 | 55,432 | 55,432 |
| TOTAL-Pmts to Other Than Local Govts | 1,019,173 | 1,162,244 | 1,162,244 | 1,162,244 | 1,162,244 | 1,162,244 |
| Personal Services | 150,452,956 | 145,635,390 | 161,453,888 | 160,425,195 | 169,325,763 | 169,400,140 |
| Other Expenses | 26,607,065 | 28,349,417 | 31,326,291 | 31,455,652 | 30,305,344 | 31,917,196 |
| Other Current Expenses | 12,678,286 | 12,428,518 | 13,405,910 | 9,721,143 | 13,420,569 | 9,482,932 |
| Pmts to Other Than Local Govts | 1,019,173 | 1,162,244 | 1,162,244 | 1,162,244 | 1,162,244 | 1,162,244 |
| TOTAL-General Fund | 190,757,480 | 187,575,569 | 207,348,333 | 202,764,234 | 214,213,920 | 211,962,512 |

DEPARTMENT OF MOTOR VEHICLES

AGENCY DESCRIPTION

The mission of the Department of Motor Vehicles (DMV) is to ensure, through licensing and regulation actions, that motor vehicles are operated safely on Connecticut's highways by requiring that they be operated by competent drivers and be properly maintained. The department collects revenues derived from licensing, registration, user fees and sales tax in an efficient and timely way. The department rapidly gathers, efficiently maintains and responsibly disseminates information concerning all vehicles, operators, vessels and organizations it licenses or regulates.

The agency is composed of the following divisions: Affirmative Action, Branch Operations, Fiscal Services, Legal Services, Commercial Vehicle Safety, Information Technology, Copy Records – Phone Center & Special Projects, Organizational Development and Support Services, Vehicle and Business Regulation, Corporate and Public Relations, Human Resources, Emissions Testing, and Licensing and Driver Regulation.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------|---------|
| <ul style="list-style-type: none"> Reflect Impact of 27th Payroll During FY 2023 Provide Funding for State Employee Wage Adjustments | 0 | 2,131,817 | |
| | 754,680 | 754,680 | |
| Reductions | FY 2022 | FY 2023 | |
| <ul style="list-style-type: none"> Reduce Personal Services to Reflect Current Estimates Reduce Funding for Office Supplies Reduce Funding for Postage by Moving Transactions to Online Services | -3,200,000 | -3,200,000 | |
| | -200,000 | -200,000 | |
| | 0 | -350,000 | |
| Reallocations | FY 2022 | FY 2023 | |
| <ul style="list-style-type: none"> Reflect Annualization of Centralized Human Resources and Labor Relations Services Centralize Funding for Microsoft 365 Statewide Agreement Under the Department of Administrative Services | -962,846 | -999,879 | |
| | -178,137 | -178,137 | |
| Expansions | FY 2022 | FY 2023 | FY 2024 |
| <ul style="list-style-type: none"> Provide Funding for Regulation of Recreational Use of Cannabis by Adults <i>Funding will support system updates, training, and additional staff for behavior-based administrative license suspensions for drug-impaired drivers.</i> | 625,639 | 529,446 | 509,837 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 566 | 37 | 0 | 603 | 603 | 598 | 603 | 598 |
| Federal Funds | 16 | 1 | 0 | 17 | 17 | 17 | 17 | 17 |
| Private Funds | 3 | 1 | 0 | 4 | 4 | 4 | 4 | 4 |
| Emissions Enterprise Funds | 61 | 4 | 0 | 62 | 62 | 62 | 62 | 62 |
| Other Positions Equated to Full-Time | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | | | 151 | 151 | 151 | 151 | 151 | 151 |
| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Agency Management Services | 4,264,563 | 4,539,168 | 4,519,614 | 4,519,614 | 4,182,407 | 4,605,405 | 4,636,591 | 4,166,158 |
| Customer Services | 33,003,407 | 38,267,366 | 34,652,822 | 34,652,822 | 34,652,822 | 35,564,173 | 35,895,461 | 35,895,461 |

| | | | | | | | | |
|------------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Regulation of Motor Vehicles & Their Use | 16,015,282 | 19,091,863 | 16,024,802 | 16,024,802 | 12,824,802 | 16,254,385 | 16,337,842 | 13,137,842 |
| Support Services | 20,580,292 | 23,583,076 | 19,891,204 | 19,891,124 | 19,512,987 | 20,193,891 | 20,303,950 | 19,575,813 |
| Auto Emissions Inspection | 2,069,671 | 8,044,452 | 7,551,772 | 7,551,772 | 7,551,772 | 7,860,736 | 7,873,089 | 7,873,089 |
| TOTAL Agency Programs | 75,933,215 | 93,525,925 | 82,640,214 | 82,640,134 | 78,724,790 | 84,478,590 | 85,046,933 | 80,648,363 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Special Transportation Fund | 63,677,731 | 67,871,484 | 71,626,244 | 71,626,164 | 67,710,820 | 73,189,638 | 73,757,981 | 69,359,411 |
| Federal Funds | 5,281,916 | 17,109,409 | 3,023,994 | 3,023,994 | 3,023,994 | 3,023,994 | 3,023,994 | 3,023,994 |
| Private Funds | 627,882 | 627,882 | 627,882 | 627,882 | 627,882 | 627,882 | 627,882 | 627,882 |
| Emmissions Enterprise Funds | 6,344,977 | 7,867,621 | 7,362,094 | 7,362,094 | 7,362,094 | 7,637,076 | 7,637,076 | 7,637,076 |
| Special Non-Appropriated Funds | 709 | 49,529 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 75,933,215 | 93,525,925 | 82,640,214 | 82,640,134 | 78,724,790 | 84,478,590 | 85,046,933 | 80,648,363 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 14-3, 14-4a, 14-6, 14-11b, 14-36d, 14-50, 14-50a, 14-138, and 14-156.

Statement of Need and Program Objectives

To ensure accomplishment of agency objectives by setting agency policy and direction, coordinating agency programs, implementing operational improvements, and allocating and controlling agency resources.

Program Description

The Office of the Commissioner provides executive oversight to the agency, formulates policy, and initiates and approves projects that improve efficiency and agency effectiveness. The agency's customer- centric focus is driven throughout the agency from the Commissioner's Office to all divisions.

The Deputy Commissioner's Office has oversight of Facilities, Licensing and Driver Regulation, Audit, Emissions and Mailroom operations in addition to internal support services for all other divisions. The deputy's office is also responsible for the administration of the department's registration plate procurement and distribution activities.

The Facilities Maintenance Unit manages the upkeep of both the interior and grounds of DMV headquarters and state-owned branch office buildings. They perform general maintenance and repairs to the mechanical systems, including the HVAC systems.

The Affirmative Action Office monitors agency hiring and business practices to ensure that the principles of equal opportunity are adhered.

The Corporate and Public Relations Unit coordinates agency communications, including press and public inquiries, and manages the agency's Internet and Intranet websites.

The Legal Services Division, in addition to providing the Commissioner and agency staff with legal counsel, conducts administrative hearings that result from credential suspensions, handles consumer complaints and addresses failures to comply with regulatory requirements. It also administers the provisions of the state's administrative per se law, coordinates the development of regulations pursuant to new or existing statutes, advocates department-generated legislative initiatives, and monitors legislative activity. This division is responsible for processing requests for information in compliance with the Freedom of Information Act. The division drafts the agency's legislative proposals and monitors the legislative process.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Percent of Administrative Sanctions Reversed at Hearing (%) | 12 | 12 | 12 | 12 |
| Hearings/Part Time Adjudicators | 2968/9 | 2900/9 | 3000/9 | 3000/9 |
| Agency Revenue/Budget Allotment (\$Mil) | 462/68 | 490/71 | 510/72 | 485/73 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 28 | 4 | 0 | 32 | 32 | 27 | 32 | 27 |

| | | | | | | | | |
|---------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|--------------------|--------------------|
| Federal Funds | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| Emmissions Enterprise Funds | 3 | 0 | -3 | 0 | 0 | 0 | 0 | 0 |
| | | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 |
| Other Positions Equated to Full-Time | | | | Actual | Estimated | Requested | Recommended | Requested |
| Special Transportation Fund | | | | 9 | 9 | 9 | 9 | 9 |
| | | | | | | | | |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,733,716 | 3,248,191 | 3,278,166 | 3,278,166 | 2,940,959 | 3,363,957 | 3,395,143 | 2,924,710 |
| Other Expenses | 793,888 | 793,888 | 793,888 | 793,888 | 793,888 | 793,888 | 793,888 | 793,888 |
| Other Current Expenses | | | | | | | | |
| Reflective License Plates | 24,327 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total-Special Transportation Fund | 3,551,931 | 4,042,079 | 4,072,054 | 4,072,054 | 3,734,847 | 4,157,845 | 4,189,031 | 3,718,598 |
| | | | | | | | | |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Emmissions Enterprise Funds | 262,634 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 709 | 49,529 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20237 Safety Data Improvement Program | 1,729 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20616 Administrative Hearings | 447,560 | 447,560 | 447,560 | 447,560 | 447,560 | 447,560 | 447,560 | 447,560 |
| Total - All Funds | 4,264,563 | 4,539,168 | 4,519,614 | 4,519,614 | 4,182,407 | 4,605,405 | 4,636,591 | 4,166,158 |

CUSTOMER SERVICES

Statutory Reference

C.G.S. Sections 14-3, 14-5, 14-12, 14-36, 14-37a, 14-111h and 15-144.

Statement of Need and Program Objectives

To expedite the licensing of drivers and the registration of vehicles and vessels, processing motor vehicle transactions and collecting and rapidly depositing associated state revenues. To provide customer service by processing customer transactions via office visit, mail, phone, or internet. To ensure the proficiency of applicants for driver licenses through testing. To assist customers in resolving issues and complaints with automobile dealerships and repairers. To improve our service delivery model and reduce customer traffic in branch offices by offering more transactions online.

Program Description

Branch Operations Division is responsible for the delivery of in-person, customer-oriented services including the issuance of and revenue collection for motor vehicle registrations, operator licenses, and applications for title and vessel registrations. The division collects sales and use taxes on vehicles not purchased from a Connecticut dealer. It also administers the Motor Voter Program.

The division processes and issues driver licenses and non-driver identification cards, vehicle registrations, titles and other motor vehicle related documents, processes restorations and tests

applicants for driver licenses at eighteen different locations. All services are provided at eight branch offices (Norwalk and Old Saybrook do not do road driving tests), limited services at five sites as well as four photo license centers and the Cheshire Test Center (by appointment only). To increase convenience and access, all full-time branches operate Thursday evenings and Saturday mornings. DMV maintains a web site that allows its customers to check on hours, locations and services prior to visiting. An app is available for checking the wait time in offices as well as sample knowledge tests and a parent quiz. Vehicles requiring safety inspections prior to being registered are inspected at two regional locations. All Vehicle Identification Number checks are now conducted at the 223 emission stations throughout the state.

DMV processes all vessel registrations on behalf of the Department of Energy and Environmental Protection, attempting to resolve ownership problems and ensuring the accuracy of registration documents and fees through follow-up contact with applicants.

The Copy Records - Telephone and Special Projects Division also serve the agency in a variety of support services. Measure What Matters is an ongoing effort within each division and this division offers support in gathering the information and communicating it to the Commissioner. DMV's Telephone Center provides communications related to motor vehicle laws and regulations and departmental

policies and procedures directly to customers via centralized telephone communications and mailings. The Telephone Center schedules driver testing appointments through the phone center.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|---------------------------------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Drivers Tested for CT Licenses (000) | 224 | 250 | 280 | 280 |
| Motor Vehicle Inspections | 5,906 | 7,500 | 7,500 | 7,500 |
| Registration Renewals Processed (000) | 1,158 | 2,000 | 1,700 | 1,700 |
| Revenue Collections By Branches for DMV and Other Agencies (\$000) | 127,237 | 150,000 | 165,000 | 155,000 |
| Revenue Collections for Other Agencies (\$000) | 5,035 | 6,000 | 6,100 | 5,700 |
| Transactions Processed By Branches for DMV and Other Agencies (000) | 912 | 800 | 900 | 900 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 344 | 23 | 0 | 367 | 367 | 367 | 367 | 367 |
| Emmissions Enterprise Funds | 22 | 0 | -22 | 0 | 0 | 0 | 0 | 0 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 120 | 120 | 120 | 120 | 120 | 120 | 120 | 120 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 25,217,928 | 26,896,937 | 30,284,436 | 30,284,436 | 30,284,436 | 31,195,787 | 31,527,075 | 31,527,075 |
| Other Expenses | 4,007,086 | 4,011,900 | 4,011,900 | 4,011,900 | 4,011,900 | 4,011,900 | 4,011,900 | 4,011,900 |

| Capital Outlay | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Equipment | 12,150 | 12,150 | 12,150 | 12,150 | 12,150 | 12,150 | 12,150 | 12,150 |

| Other Current Expenses | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Reflective License Plates | 315 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Commercial Vehicle Information Systems and Networks Project | 316,800 | 324,676 | 324,676 | 324,676 | 324,676 | 324,676 | 324,676 | 324,676 |
| Other Current Expenses | 317,115 | 324,676 | 324,676 | 324,676 | 324,676 | 324,676 | 324,676 | 324,676 |
| Total-Special Transportation Fund | 29,554,279 | 31,245,663 | 34,633,162 | 34,633,162 | 34,633,162 | 35,544,513 | 35,875,801 | 35,875,801 |

| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 19,660 | 19,660 | 19,660 | 19,660 | 19,660 | 19,660 | 19,660 | 19,660 |
| Emmissions Enterprise Funds | 2,598,192 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20232 Commercial Driver License State Programs | 505,637 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 21019 Coronavirus Relief Fund | 325,639 | 7,002,043 | 0 | 0 | 0 | 0 | 0 | 0 |

REGULATION OF MOTOR VEHICLES AND THEIR USE

Statutory Reference

C.G.S. Sections 14-12-12c, 14-111, 14-113-133, 14-180, 14-180a and 38-327.

Statement of Need and Program Objectives

To establish standards for licensing and identity credentials issued by the agency. To enforce administrative sanctions against incompetent or inadequately insured drivers; to license and monitor the commercial sale, repair and disposal of motor vehicles; to ensure that licensees' facilities are safe, properly equipped and staffed by qualified and competent personnel; to inspect motor vehicle auctions; to improve highway safety in Connecticut by inspecting selected classes of motor vehicles; to check commercial truck safety at weigh stations and on the highways as part of the Federal Motor Carrier Safety Assistance Program (MCSAP); and to issue certificates of title which are recognized nationwide as proof of ownership.

Program Description

The Division of Licensing & Driver Regulation establishes standards for licensing and identity credentials issued by the agency. The Document Integrity Unit audits license credentials and files to ensure their security and integrity using sophisticated facial recognition technology and other tools. The Public Endorsement Review Unit examines the applications and credentials submitted by candidates seeking to drive school busses, taxis and other public transportation vehicles. The Driver Services Division is responsible for maintaining and monitoring driver violation records. It also carries out suspensions and restorations of licenses and registrations. This unit works with the Handicapped Driver Training program located in the Department of Rehabilitation Services which is responsible for the training of those individuals in need of this service. Finally, this division, through its Specialized Testing Unit, is responsible for inspecting and licensing commercial driving schools, conducting school bus and commercial driver license proficiency tests as well as operator license proficiency tests at driving schools.

The Commercial Vehicle Safety Division is responsible for motor carrier truck safety programs as well as safety inspections of school buses and public service vehicles. The division has administrative and staffing responsibilities for the state's weigh stations. It also serves as a primary source of information for vehicle anti-theft activities. This division is responsible for the security of DMV facilities and emergency planning for the safety of the employees and customers. Vehicles requiring safety inspections prior to being registered are inspected at two regional locations and fall under the responsibility of this division.

The Consumer Complaint Center, under the auspices of this division, assists consumers in resolving issues dealing primarily with automobile dealerships and repairers. Personnel conduct field investigations on dealers and repairer complaints and inspect the locations of new applicants.

The Vehicle and Business Regulation Division oversees motor vehicle businesses, including the licensing and regulation of all motor vehicle dealers, repairers and junkyards. The Registration and Title Integrity and Standards Division establishes standards for registration credentials issued by the agency. The Title Unit verifies and issues motor vehicle titles and maintains title records. The Insurance Compliance Unit is responsible for the enforcement of mandatory vehicle insurance requirements. The Registration Business Processing Unit manages registration transactions submitted by licensed vehicle dealers, processes registration renewals received from customers via the mail or through the Internet, assists customers with resolving property tax issues affecting their registration privileges and administers the vessel registration program on behalf of the Department of Energy and Environmental Protection. The International Registration Plan/Uniform Carrier Registration (IRP/UCR) Unit issues the apportioned registrations to interstate commercial vehicle operators. Interstate truckers apply to their home state for a commercial vehicle registration and the fee is distributed proportionately among the states in which the vehicle travels. This eliminates the need to apply to several states for registrations and makes better traffic law enforcement possible.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Consumer Complaints Received | 725 | 725 | 725 | 725 |
| Dealer License Fees Collected (\$000) | 1,242 | 1,200 | 1,200 | 1,200 |
| Dealer License Inspections | 177 | 175 | 175 | 175 |
| Number of Commercial Vehicles Receiving Safety Inspections at Weigh Stations | 2,766 | 4,000 | 6,000 | 6,000 |
| Percent of Suspension Actions Rescinded | 36 | 36 | 36 | 36 |
| Restoration Fees Collected (\$000) | 562 | 780 | 790 | 790 |
| Stolen Vehicles Detected Through Titling Process | 938 | 1,000 | 1,000 | 1,000 |
| Titles Issued (000) | 586 | 700 | 700 | 700 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------------|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 91 | 4 | 0 | 95 | 95 | 95 | 95 | 95 |
| Federal Funds | 14 | 1 | 0 | 15 | 15 | 15 | 15 | 15 |
| Private Funds | 3 | 1 | 0 | 4 | 4 | 4 | 4 | 4 |
| Emmissions Enterprise Funds | 6 | 2 | -7 | 0 | 0 | 0 | 0 | 0 |
| | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | | | 6 | 6 | 6 | 6 | 6 | 6 |
| | | | | | | | | |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 8,548,393 | 10,117,948 | 10,224,734 | 10,224,734 | 7,024,734 | 10,454,317 | 10,537,774 | 7,337,774 |
| Other Expenses | 2,471,204 | 2,471,204 | 2,471,204 | 2,471,204 | 2,471,204 | 2,471,204 | 2,471,204 | 2,471,204 |
| Capital Outlay | | | | | | | | |
| Equipment | 144,208 | 144,208 | 144,208 | 144,208 | 144,208 | 144,208 | 144,208 | 144,208 |
| Other Current Expenses | | | | | | | | |
| Reflective License Plates | 178,287 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total-Special Transportation Fund | 11,342,092 | 12,733,360 | 12,840,146 | 12,840,146 | 9,640,146 | 13,069,729 | 13,153,186 | 9,953,186 |
| | | | | | | | | |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 608,222 | 608,222 | 608,222 | 608,222 | 608,222 | 608,222 | 608,222 | 608,222 |
| Emmissions Enterprise Funds | 808,162 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20218 National Motor Carrier Safety | 2,312,961 | 3,052,991 | 2,576,434 | 2,576,434 | 2,576,434 | 2,576,434 | 2,576,434 | 2,576,434 |
| 20232 Commercial Driver License State Programs | 877,777 | 2,396,560 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20237 Safety Data Improvement Program | 14,394 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20616 Interlocking Ignition Device | 28,933 | 273,097 | 0 | 0 | 0 | 0 | 0 | 0 |
| 21019 Coronavirus Relief Fund | 6,290 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97067 National Center For Missing and Exploited Children | 16,451 | 27,633 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 16,015,282 | 19,091,863 | 16,024,802 | 16,024,802 | 12,824,802 | 16,254,385 | 16,337,842 | 13,137,842 |

SUPPORT SERVICES

Statutory Reference

C.G.S. Sections 14-12, 14-36 and 14-36a.

Statement of Need and Program Objectives

To provide fiscal and logistical support to all agency operations. To enhance the department's effectiveness through computerized accessibility and integrity of information. To provide maintenance and upkeep of buildings and grounds. To support both mail and in-person transactions. To ensure a clean, safe and healthy environment for customers and staff.

Program Description

The Fiscal Services Division provides accounting, budgeting, purchasing, and inventory services for all divisions. It prepares the annual agency budget request, administers the agency budget and banking transactions, processes purchase requests and maintains accounting records.

The Organizational Development and Support Services Division provides internal consulting, training management, and staff development services for the agency. This division provides contracts

and grants administration services in conjunction with Fiscal Services and other business areas.

The Information Systems and Technology Division is functionally divided into two areas. The technical operations/support area is responsible for all hardware support and the development and operation of departmental data processing applications, including the

technical support needed to operate them. The applications area is responsible for the development and maintenance of the software needs of the department, including the development of new applications and the enhancement of existing systems.

| Program Measures | | | | | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|---------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| | | | | | Actual | Estimated | Projected | Projected |
| Number of Employees Who Took DAS Training Classes | | | | | 120 | 60 | 120 | 120 |
| Estimated Total Hours of All Employee Training | | | | | 6,328 | 6,300 | 6,300 | 6,300 |
| Personnel Summary | | | | | | | | |
| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 100 | 6 | 0 | 106 | 106 | 106 | 106 | 106 |
| Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Emissions Enterprise Funds | 5 | 0 | -5 | 0 | 0 | 0 | 0 | 0 |
| Other Positions Equated to Full-Time | | | | | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
| | | | | | Actual | Estimated | Requested | Recommended |
| Special Transportation Fund | | | | | 16 | 16 | 16 | 16 |
| Financial Summary by Program | | | | | | | | |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 9,451,804 | 11,232,589 | 11,450,242 | 11,450,162 | 11,450,162 | 11,752,929 | 11,862,988 | 11,862,988 |
| Other Expenses | 8,128,564 | 8,128,564 | 8,128,564 | 8,128,564 | 7,750,427 | 8,128,564 | 8,128,564 | 7,400,427 |
| Capital Outlay | | | | | | | | |
| Equipment | 312,397 | 312,398 | 312,398 | 312,398 | 312,398 | 312,398 | 312,398 | 312,398 |
| Other Current Expenses | | | | | | | | |
| Reflective License Plates | 1,187,092 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total-Special Transportation Fund | 19,079,857 | 19,673,551 | 19,891,204 | 19,891,124 | 19,512,987 | 20,193,891 | 20,303,950 | 19,575,813 |
| Other Funds Available | | | | | | | | |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Emissions Enterprise Funds | 755,890 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | | | | | | | | |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20218 National Motor Carrier Safety | -2,811 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20232 Commercial Driver License State Programs | 186,650 | 1,008,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20237 High Priority ITD | 209,501 | 2,863,031 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20237 Safety Data Improvement Program | 85,408 | 38,494 | 0 | 0 | 0 | 0 | 0 | 0 |
| 21019 Coronavirus Relief Fund | 265,797 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 20,580,292 | 23,583,076 | 19,891,204 | 19,891,124 | 19,512,987 | 20,193,891 | 20,303,950 | 19,575,813 |

EMISSIONS INSPECTION

Statutory Reference

C.G.S. Section 14-164c.

Statement of Need and Program Objectives

To improve Connecticut's air quality and avoid federal financial penalties for non-compliance in reducing levels of carbon monoxide and hydrocarbons emitted by gasoline powered motor vehicles registered in Connecticut through an annual and biennial inspection program.

Program Description

The Emissions Division is responsible for monitoring vehicle inspection operations to assure compliance with contract standards. Standards are established in conjunction with the Department of Energy and Environmental Protection for equipment accuracy, public awareness and operational efficiency. DMV contracts with a private vendor to perform emissions inspections through a decentralized network of service stations utilizing licensed dealers and repairers to conduct inspections.

DMV developed inspection requirements and standards in conjunction with the Department of Energy and Environmental Protection, taking into account federal standards.

DMV personnel perform the following functions:

- Monitor operations to assure compliance with contract standards for equipment accuracy, public awareness and operational efficiency.
- Check the auto repair industry for effectiveness in making emissions-related repairs.
- Issue inspection licenses to owners of fleets that exceed twenty-four vehicles.
- Examine inspection facilities, equipment and records at least once each month, ensuring that each inspection station is properly staffed and operated and that equipment accuracy meets program requirements. Fleet inspections are not included in the general vendor contract.

Inspection staff investigates and resolves complaints from the public about emissions-related repairs. They also analyze data gathered and work in conjunction with other DMV staff to monitor the auto repair industry.

Data processing staff collects and processes the contractor's test records to verify accuracy and completeness. These records are shared with the Department of Energy and Environmental Protection, which measures program effectiveness in improving air quality.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-----------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Daily Pollutant Reduction Since 1/1/83 (Tons) | 8 | 8 | 8 | 8 |
| Vehicles Failed Initial Inspection (%) | 9 | 9 | 9 | 9 |
| Vehicles Failed Reinspection (%) | 8 | 8 | 8 | 8 |
| Vehicles Inspected (000) | 904 | 900 | 900 | 900 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| Emmissions Enterprise Funds | 25 | 2 | 36 | 62 | 62 | 62 | 62 | 62 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 149,572 | 176,831 | 189,678 | 189,678 | 189,678 | 223,660 | 236,013 | 236,013 |
| Total-Special Transportation Fund | 149,572 | 176,831 | 189,678 | 189,678 | 189,678 | 223,660 | 236,013 | 236,013 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Emmissions Enterprise Funds | 1,920,099 | 7,867,621 | 7,362,094 | 7,362,094 | 7,362,094 | 7,637,076 | 7,637,076 | 7,637,076 |
| Total - All Funds | 2,069,671 | 8,044,452 | 7,551,772 | 7,551,772 | 7,551,772 | 7,860,736 | 7,873,089 | 7,873,089 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------------------------------------|-------------------|-------------------|-------------------|--------------------|-------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 39,441,098 | 43,493,748 | 47,119,180 | 44,206,893 | 48,450,413 | 45,988,930 |
| Salaries & Wages-Temporary | 265,942 | 499,970 | 509,076 | 509,076 | 509,076 | 509,076 |
| Salaries & Wages-Part Time | 4,850,700 | 5,820,841 | 5,925,398 | 5,200,398 | 6,157,559 | 5,516,952 |
| Longevity Payments | 212,634 | 212,634 | 212,634 | 212,634 | 212,634 | 212,634 |
| Overtime | 818,702 | 868,102 | 883,767 | 983,767 | 883,767 | 883,767 |
| Accumulated Leave | 335,136 | 600,000 | 600,000 | 600,000 | 600,000 | 600,000 |
| Other Salaries & Wages | 75,641 | 75,641 | 75,641 | 75,641 | 75,641 | 75,641 |
| Other | 101,560 | 101,560 | 101,560 | 101,560 | 101,560 | 101,560 |
| TOTAL - Personal Services-Personal Services | 46,101,413 | 51,672,496 | 55,427,256 | 51,889,969 | 56,990,650 | 53,888,560 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Communications | 689,927 | 689,927 | 689,927 | 689,927 | 689,927 | 689,927 |
| Electricity | 494,924 | 494,924 | 494,924 | 494,924 | 494,924 | 494,924 |
| Employee Expenses | 78,758 | 78,758 | 78,758 | 78,758 | 78,758 | 78,758 |
| Employee Travel | 37,448 | 37,448 | 37,448 | 37,448 | 37,448 | 37,448 |
| Equipment Rental and Maintenance | 228,195 | 228,195 | 228,195 | 228,195 | 228,195 | 228,195 |
| Food And Beverages | 183 | 183 | 183 | 183 | 183 | 183 |
| Information Technology | 3,788,543 | 3,788,543 | 3,788,543 | 3,788,543 | 3,788,543 | 3,788,543 |
| Motor Vehicle Costs | 406,177 | 406,177 | 406,177 | 406,177 | 406,177 | 406,177 |
| Natural Gas | 81,820 | 81,820 | 81,820 | 81,820 | 81,820 | 81,820 |
| Oil #2 | 12,018 | 12,018 | 12,018 | 12,018 | 12,018 | 12,018 |
| Other Services | 4,048,691 | 4,048,691 | 4,048,691 | 4,048,691 | 4,048,691 | 4,048,691 |
| Premises Expenses | 1,777,264 | 1,777,264 | 1,777,264 | 1,777,264 | 1,777,264 | 1,777,264 |
| Premises Rent Expense-Landlord | 1,061,228 | 1,061,228 | 1,061,228 | 1,061,228 | 1,061,228 | 1,061,228 |
| Professional Services | 45,391 | 45,391 | 45,391 | 45,391 | 45,391 | 45,391 |
| Propane | 2,286 | 2,286 | 2,286 | 2,286 | 2,286 | 2,286 |
| Purchased Commodities | 2,597,070 | 2,601,884 | 2,601,884 | 2,223,747 | 2,601,884 | 1,873,747 |
| Sewer | 6,721 | 6,721 | 6,721 | 6,721 | 6,721 | 6,721 |
| Water | 44,098 | 44,098 | 44,098 | 44,098 | 44,098 | 44,098 |
| TOTAL-Other Expenses | 15,400,742 | 15,405,556 | 15,405,556 | 15,027,419 | 15,405,556 | 14,677,419 |
| | | | | | | |
| <i>Equipment</i> | | | | | | |
| Equipment | 468,755 | 468,756 | 468,756 | 468,756 | 468,756 | 468,756 |
| TOTAL-Equipment | 468,755 | 468,756 | 468,756 | 468,756 | 468,756 | 468,756 |
| | | | | | | |
| <i>Other Current Expenses</i> | | | | | | |
| Reflective License Plates | 1,390,021 | 0 | 0 | 0 | 0 | 0 |
| Commercial Vehicle Information Systems and Networks Project | 316,800 | 324,676 | 324,676 | 324,676 | 324,676 | 324,676 |
| TOTAL-Other Current Expenses | 1,706,821 | 324,676 | 324,676 | 324,676 | 324,676 | 324,676 |

| | | | | | | |
|-----------------------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 46,101,413 | 51,672,496 | 55,427,256 | 51,889,969 | 56,990,650 | 53,888,560 |
| Other Expenses | 15,400,742 | 15,405,556 | 15,405,556 | 15,027,419 | 15,405,556 | 14,677,419 |
| Capital Outlay | 468,755 | 468,756 | 468,756 | 468,756 | 468,756 | 468,756 |
| Other Current Expenses | 1,706,821 | 324,676 | 324,676 | 324,676 | 324,676 | 324,676 |
| TOTAL-Special Transportation Fund | 63,677,731 | 67,871,484 | 71,626,244 | 67,710,820 | 73,189,638 | 69,359,411 |

MILITARY DEPARTMENT

AGENCY DESCRIPTION

The Military Department consists of the Connecticut Army National Guard, Air National Guard and the State Militia. The department is an emergency response force available to the Governor as needed.

The agency has both a state and federal mission. The state mission is to provide trained, disciplined and ready forces for domestic emergencies or as otherwise required by law. The federal mission is to maintain properly trained and equipped units available for prompt mobilization for war or national emergencies.

Collectively, the Connecticut Army and Air National Guards and the organized Militia augment federal, state and local authorities in the event of emergencies; provide emergency management planning, funding and training assistance to communities; and conducts community service programs.

More than ninety percent of the department’s resources are provided by the federal government. The state’s monetary contribution to the organization is required in order to obtain the federal funding under the master cooperative agreement between the state and the Department of Defense’s National Guard Bureau.

During the COVID-19 pandemic, the Military Department provided community support operations. This program complements emergency response operations by providing a pool of talent that can be used to minimize the risk posed by emergency events – before, during and after. Trained and equipped members of the Connecticut National Guard and state militia units provide the unique capacity to be immediately activated as conditions warrant to conduct long-term risk-reduction or recovery operations in support of State agencies. When ordered by the Governor, 5,000 Connecticut National Guard and 200 militia members, many of whom are certified or licensed in a variety of professional occupations, are ready to support lead agencies conduct recovery operations and restore our communities in the aftermath of emergency situations that threatened the lives and/or property of state residents.

COVID-19 TASK FORCE (CTF) – After conducting initial emergency operations throughout the State in response to the onset of the COVID-19 pandemic, in support of various state agencies, including the Department of Public Health, Department of Emergency Services and Public Protection and the Department of Corrections, the Military Department transitioned to long-term recovery operations. The CTF provided medical planning, warehouse management, alternative care facility establishment (e.g., Connecticut Convention Center) and access control, mobile COVID-19 testing, Personal Protective Equipment (PPE) and test-kit distribution, rapid COVID-19 testing site traffic control, nursing home inspections and food delivery to needy citizens. The CTF peaked on 7 May 2020 with 813 Soldiers and Airmen performing duty. From March through December 2020, the CTF

provided support to 162 of 169 Connecticut towns. In September, the CFT downsized and conducted operations with approximately 250 members performing various operational support missions to assist state agencies in COVID-19 mitigation efforts through the year’s end.

Mobile Field Hospital (MFH) – In support of the Department of Public Health, the Military Department provides the personnel to maintain, deploy and sustain the Ottilie W. Lundren Memorial Field Hospital, acquired by the state in 2006, currently based at Camp Hartell, Windsor Locks. The MFH is a 100-bed MFH designed to support the state’s health care system in response to significant emergencies. It is deployable in several parts as a flexible configuration 25-bed units that operate jointly or independently to provide triage and treatment anywhere in the state. CTMD’s MFH force is comprised of fifty-five members of the Governor’s Foot and Horse Guard, who are trained to transport, set-up, maintain, service and recover the MFH. During the state’s early response to the COVID-19 pandemic in March and April of 2020, the MFH was deployed to four sites in 25 bed configurations: St. Francis Hospital (Hartford), Danbury Hospital (Danbury), Middlesex Hospital (Middletown), and Sharon Hospital, Sharon. The MFH were redeployed and returned to storage at Camp Hartell, Windsor Locks between 19 May and 2 June 2020.

Cyber Response Team (CRT) – In support of various agencies, including the Secretary of the State, the Military Department provides a readily deployable team of professional Soldiers and Airmen certified in a variety of information technology/computer skills. Beginning in June 2020, the CRT in support of the Secretary of State’s Office and local municipal registrars provided a technical review of the State’s elections infrastructure, highlighting best practices and areas of concern leveraging the Cyber Resilience Review self-assessment tool, a free product published by the Department of Homeland Security’s Critical Infrastructure Security Agency (DHS-CISA). In support of the City of Hartford, the CRT responded (September 8 – 15, 2020) to a crippling cyberattack that disrupted the majority of the City’s information technology (IT) systems and operations. The CRT collaborated with Hartford’s executive and IT offices to outline the scope of the problem, develop an initial strategy and begin the response effort. The response resulted in the restoration of all city IT systems, allowing for the opening of the city’s schools and restoration of city services, including fire, police and medical dispatch operations. To conduct this response, the CRT provided a comprehensive cyberattack timeline, a forensic analysis of the attacker’s tools and techniques and a comprehensive list of recommendations to prevent future similar cyberattacks.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

- Provide Funds for Veterans' Service Bonuses for Returning Guardsmen
In FY 2022, an estimated 941 Connecticut National Guardsmen will be returning from an active duty deployment. By statute, the maximum service bonus each guardsman can receive is \$500.

| | FY 2022 | FY 2023 |
|--|---------|---------|
| | 377,167 | 0 |

- Provide Funding for State Employee Wage Adjustments 55,093 55,093
- Reflect Impact of 27th Payroll During FY 2023 0 114,500

Reductions

- Annualize FY 2021 Rescissions -39,510 -39,510

Reallocations

- Transfer Funds from the Department of Administrative Services for the Hartford Armory Electricity Bill 191,544 191,544

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 38 | 4 | 0 | 42 | 42 | 42 | 42 | 42 |
| Federal Funds | 74 | 14 | 0 | 84 | 87 | 87 | 87 | 87 |
| Private Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Management Services | 2,350,899 | 2,633,273 | 3,024,652 | 3,047,986 | 3,019,332 | 2,657,013 | 2,746,957 | 2,718,303 |
| Facilities Management | 29,409,605 | 29,884,947 | 29,902,494 | 29,902,494 | 30,083,182 | 30,193,054 | 30,135,864 | 30,316,552 |
| Operation of Militia Units | 954,856 | 1,040,626 | 1,040,626 | 1,040,626 | 1,040,626 | 1,040,626 | 1,040,626 | 1,040,626 |
| TOTAL Agency Programs | 32,715,360 | 33,558,846 | 33,967,772 | 33,991,106 | 34,143,140 | 33,890,693 | 33,923,447 | 34,075,481 |

Summary of Funding

| | | | | | | | | |
|-----------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 5,121,827 | 5,678,992 | 6,087,918 | 6,111,252 | 6,263,286 | 5,815,831 | 5,848,585 | 6,000,619 |
| Federal Funds | 26,913,726 | 27,196,697 | 27,196,697 | 27,196,697 | 27,196,697 | 27,389,538 | 27,389,538 | 27,389,538 |
| Private Funds | 679,807 | 683,157 | 683,157 | 683,157 | 683,157 | 685,324 | 685,324 | 685,324 |
| Total Agency Programs | 32,715,360 | 33,558,846 | 33,967,772 | 33,991,106 | 34,143,140 | 33,890,693 | 33,923,447 | 34,075,481 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Title 27.

Statement of Need and Program Objectives

To improve the effectiveness and performance of the department through staff support in the areas of policy formulation, development and control of the budget, personnel, payroll, federal grant and cooperative agreement administration and records management.

Program Description

Management services encompass the Office of the Adjutant General, Administrative Services and Historical Records.

The Adjutant General's Office provides leadership through the development of policy, the issuance of regulations and operational monitoring to ensure the established standards set are attained and

maintained. The office also coordinates activities with the National Guard Bureau.

The Administrative Services Office is responsible for processing all financial transactions and preparing and monitoring the department's budget, as well as implementing personnel standards, preparing payrolls and maintaining state employee personnel records. It purchases supplies, services, materials, and equipment and issues contracts for repair, modernization and new construction of the department's facilities. It also manages the cooperative agreements between the state and federal government which provide federal funds in support of the state's National Guard.

The Historical Records Office is responsible for maintaining approximately 300,000 military personnel records of those separated, discharged, or retired from the Connecticut National Guard and militia. It responds to public inquiries regarding veterans.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 19 | 1 | 0 | 20 | 20 | 20 | 20 | 20 |
| Federal Funds | 4 | 0 | 0 | 4 | 4 | 4 | 4 | 4 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,409,584 | 1,668,590 | 1,682,602 | 1,706,136 | 1,677,482 | 1,682,602 | 1,772,546 | 1,743,892 |
| Other Expenses | 65,709 | 66,029 | 66,029 | 66,029 | 66,029 | 66,029 | 66,029 | 66,029 |
| Other Current Expenses | | | | | | | | |
| Veteran's Service Bonuses | 84,100 | 93,333 | 470,700 | 470,500 | 470,500 | 93,333 | 93,333 | 93,333 |
| Total-General Fund | 1,559,393 | 1,827,952 | 2,219,331 | 2,242,665 | 2,214,011 | 1,841,964 | 1,931,908 | 1,903,254 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 35,887 | 35,887 | 35,887 | 35,887 | 35,887 | 35,887 | 35,887 | 35,887 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 12401 National Guard Military Operations and Maintenance | 747,062 | 760,877 | 760,877 | 760,877 | 760,877 | 770,605 | 770,605 | 770,605 |
| 12404 National Guard Civilian Youth Opportunities | 8,252 | 8,252 | 8,252 | 8,252 | 8,252 | 8,252 | 8,252 | 8,252 |
| 21019 Coronavirus Relief Fund | 305 | 305 | 305 | 305 | 305 | 305 | 305 | 305 |
| Total - All Funds | 2,350,899 | 2,633,273 | 3,024,652 | 3,047,986 | 3,019,332 | 2,657,013 | 2,746,957 | 2,718,303 |

FACILITIES MANAGEMENT

Statutory Reference

C.G.S. Title 27.

Statement of Need and Program Objectives

To ensure that the members of the Connecticut National Guard and the state militia units have a safe, efficient and secure environment in which to work, train and conduct emergency operations. To furnish to the general public well-maintained facilities for shelters during emergencies.

Program Description

The department maintains thirty-four sites throughout the state, which includes thirteen armories, four armed forces readiness

centers, four maintenance shops, two Army aviation support facilities, four training site facilities, two horse guard facilities, two militia sites and two Air National Guard bases.

The facilities management staff prepare specifications for contracts with outside vendors for minor repair projects; render emergency assistance to the facilities on a 24 hour, 7 day-a-week basis; and provide custodial and maintenance services for all facilities to ensure the facilities meet the requirements of the Connecticut Army and Air National Guard.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 19 | 3 | 0 | 22 | 22 | 22 | 22 | 22 |
| Federal Funds | 70 | 14 | 0 | 80 | 83 | 83 | 83 | 83 |
| Private Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,051,789 | 1,244,742 | 1,262,289 | 1,262,289 | 1,262,289 | 1,367,569 | 1,310,379 | 1,310,379 |
| Other Expenses | 2,035,357 | 2,045,241 | 2,045,241 | 2,045,241 | 2,225,929 | 2,045,241 | 2,045,241 | 2,225,929 |
| Total-General Fund | 3,087,146 | 3,289,983 | 3,307,530 | 3,307,530 | 3,488,218 | 3,412,810 | 3,355,620 | 3,536,308 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 495,261 | 498,611 | 498,611 | 498,611 | 498,611 | 500,778 | 500,778 | 500,778 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>Federal Contributions</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 12400 MILITARY CONSTRUCTION,NATIONAL GUARD | 198,668 | 198,668 | 198,668 | 198,668 | 198,668 | 198,668 | 198,668 | 198,668 |
| 12401 NATIONAL GUARD MILITARY OPERATIONS & MAINTENANCE | 5,495,688 | 5,533,388 | 5,533,388 | 5,533,388 | 5,533,388 | 5,560,501 | 5,560,501 | 5,560,501 |
| 12401 National Guard Military Operations and Maintenance | 19,829,042 | 20,060,498 | 20,060,498 | 20,060,498 | 20,060,498 | 20,216,498 | 20,216,498 | 20,216,498 |
| 12404 National Guard Civilian Youth Opportunities | 274,727 | 274,727 | 274,727 | 274,727 | 274,727 | 274,727 | 274,727 | 274,727 |
| 21019 Coronavirus Relief Fund | 28,647 | 28,647 | 28,647 | 28,647 | 28,647 | 28,647 | 28,647 | 28,647 |
| 97067 Homeland Security Grant Program | 426 | 425 | 425 | 425 | 425 | 425 | 425 | 425 |
| Total - All Funds | 29,409,605 | 29,884,947 | 29,902,494 | 29,902,494 | 30,083,182 | 30,193,054 | 30,135,864 | 30,316,552 |

OPERATION OF MILITIA UNITS

Statutory Reference

C.G.S. Title 27.

Statement of Need and Program Objectives

To respond to emergency situations upon the order of the Governor; to preserve and display the military historical traditions of the state; and to increase the overall effectiveness of the military units.

Program Description

This program encompasses several services connected with the operations of the Connecticut National Guard and state militia units. When ordered by the Governor, 5,000 Connecticut National Guard members are operationally ready to respond to emergency situations that threaten the lives and/or property of state residents.

The state organized militia, comprised of the Governor's First and Second Company Foot Guards and First and Second Company Horse Guards, have an approximate combined strength of 204 individuals. These units perform at official functions attended by the Governor and at various historical and military celebrations throughout the state.

These units are also trained to respond to state civil emergencies or natural disasters. National Guard members provide a variety of programs to the state's youth to promote good citizenship and to help educate them about the dangers of drug usage.

The Air National Guard STARBASE program provides real world applications of math and science through experiential learning in aviation and space-related field for students in grades K-12. The militia also supports the Connecticut National Guard's Quick Reaction Force (QRF) composed of up to 600 ready-to-deploy soldiers. In the aftermath of 9/11, QRF soldiers have deployed to airports, bridges, nuclear power plants, fuel tank farms, and guarding the rail platforms on the Metro North line into New York City.

The QRF is capable of providing assistance to local, state and federal authorities in support of natural disasters, terrorist attacks, or other emergency operations. The QRF core assets come from infantry, military police, air guard security forces, medical, transportation and aviation personnel.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|---------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Veterans' Service Bonuses | 84,100 | 93,300 | 470,700 | 93,300 |
| Veterans' Service Bonuses | 190 | 210 | 950 | 180 |

| | | | | |
|--------------------------|---------|---------|---------|---------|
| Funeral Honors Cost | 388,700 | 460,000 | 460,000 | 460,000 |
| Funeral Honors Performed | 2,949 | 3,400 | 3,400 | 3,400 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 26,926 | 32,106 | 32,106 | 32,106 | 32,106 | 32,106 | 32,106 | 32,106 |
| Other Expenses | 59,662 | 59,951 | 59,951 | 59,951 | 59,951 | 59,951 | 59,951 | 59,951 |
| Other Current Expenses | | | | | | | | |
| Honor Guards | 388,700 | 469,000 | 469,000 | 469,000 | 469,000 | 469,000 | 469,000 | 469,000 |
| Total-General Fund | 475,288 | 561,057 | 561,057 | 561,057 | 561,057 | 561,057 | 561,057 | 561,057 |
| Other Funds Available | | | | | | | | |
| Private Funds | 148,659 | 148,659 | 148,659 | 148,659 | 148,659 | 148,659 | 148,659 | 148,659 |
| Federal Contributions | | | | | | | | |
| 12401 National Guard Military Operations and Maintenance | 2,580 | 2,581 | 2,581 | 2,581 | 2,581 | 2,581 | 2,581 | 2,581 |
| 21019 Coronavirus Relief Fund | 249,404 | 249,404 | 249,404 | 249,404 | 249,404 | 249,404 | 249,404 | 249,404 |
| 97067 Homeland Security Grant Program | 78,925 | 78,925 | 78,925 | 78,925 | 78,925 | 78,925 | 78,925 | 78,925 |
| Total - All Funds | 954,856 | 1,040,626 | 1,040,626 | 1,040,626 | 1,040,626 | 1,040,626 | 1,040,626 | 1,040,626 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 2,342,646 | 2,836,457 | 2,867,014 | 2,861,894 | 2,972,294 | 2,976,394 |
| Salaries & Wages-Part Time | 20,053 | 23,858 | 23,858 | 23,858 | 23,858 | 23,858 |
| Longevity Payments | 9,399 | 11,193 | 11,193 | 11,193 | 11,193 | 11,193 |
| Overtime | 47,274 | 55,668 | 56,670 | 56,670 | 56,670 | 56,670 |
| Accumulated Leave | 53,667 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 2,898 | 3,534 | 3,534 | 3,534 | 3,534 | 3,534 |
| Other | 12,362 | 14,728 | 14,728 | 14,728 | 14,728 | 14,728 |
| TOTAL - Personal Services-Personal Services | 2,488,299 | 2,945,438 | 2,976,997 | 2,971,877 | 3,082,277 | 3,086,377 |
| Other Expenses | | | | | | |
| Capital Outlays | 28,475 | 28,613 | 28,613 | 28,613 | 28,613 | 28,613 |
| Chilled Water | 46,844 | 47,071 | 47,071 | 47,071 | 47,071 | 47,071 |
| Communications | 54,397 | 54,662 | 54,662 | 54,662 | 54,662 | 54,662 |
| Electricity | 465,932 | 468,195 | 468,195 | 659,739 | 468,195 | 659,739 |
| Employee Expenses | 61 | 61 | 61 | 61 | 61 | 61 |
| Employee Travel | 4,225 | 4,245 | 4,245 | 4,245 | 4,245 | 4,245 |
| Equipment Rental and Maintenance | 35,329 | 35,501 | 35,501 | 35,501 | 35,501 | 35,501 |

| | | | | | | |
|-----------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Food And Beverages | 777 | 781 | 781 | 781 | 781 | 781 |
| Hot Water | 87,724 | 88,150 | 88,150 | 88,150 | 88,150 | 88,150 |
| Motor Vehicle Costs | 74,414 | 74,776 | 74,776 | 74,776 | 74,776 | 74,776 |
| Natural Gas | 154,128 | 154,876 | 154,876 | 154,876 | 154,876 | 154,876 |
| Oil #2 | 5,880 | 5,909 | 5,909 | 5,909 | 5,909 | 5,909 |
| Other Services | 40,012 | 40,207 | 40,207 | 40,207 | 40,207 | 40,207 |
| Premises Expenses | 969,870 | 974,580 | 974,580 | 963,724 | 974,580 | 963,724 |
| Professional Services | 29,747 | 29,889 | 29,889 | 29,889 | 29,889 | 29,889 |
| Propane | 12,907 | 12,970 | 12,970 | 12,970 | 12,970 | 12,970 |
| Purchased Commodities | 95,769 | 96,234 | 96,234 | 96,234 | 96,234 | 96,234 |
| Reimbursements | 1,754 | 1,763 | 1,763 | 1,763 | 1,763 | 1,763 |
| Sewer | 17,746 | 17,832 | 17,832 | 17,832 | 17,832 | 17,832 |
| Water | 34,737 | 34,906 | 34,906 | 34,906 | 34,906 | 34,906 |
| TOTAL-Other Expenses | 2,160,728 | 2,171,221 | 2,171,221 | 2,351,909 | 2,171,221 | 2,351,909 |

Other Current Expenses

| | | | | | | |
|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Honor Guards | 388,700 | 469,000 | 469,000 | 469,000 | 469,000 | 469,000 |
| Veteran's Service Bonuses | 84,100 | 93,333 | 470,700 | 470,500 | 93,333 | 93,333 |
| TOTAL-Other Current Expenses | 472,800 | 562,333 | 939,700 | 939,500 | 562,333 | 562,333 |
| Personal Services | 2,488,299 | 2,945,438 | 2,976,997 | 2,971,877 | 3,082,277 | 3,086,377 |
| Other Expenses | 2,160,728 | 2,171,221 | 2,171,221 | 2,351,909 | 2,171,221 | 2,351,909 |
| Other Current Expenses | 472,800 | 562,333 | 939,700 | 939,500 | 562,333 | 562,333 |
| TOTAL-General Fund | 5,121,827 | 5,678,992 | 6,087,918 | 6,263,286 | 5,815,831 | 6,000,619 |

DEPARTMENT OF BANKING

AGENCY DESCRIPTION

The Department of Banking is responsible for the regulation and examination of financial institutions and various related entities chartered, licensed or registered by the state. The commissioner is charged with administering the banking and credit union laws of the state as well as the laws regarding securities, tender offers and

business opportunities. The commissioner also administers the Truth-in-Lending Act and other consumer credit laws.

The department is organized into four units: the Financial Institutions Division, the Securities and Business Investments Division, the Consumer Credit Division and Management Services.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|------------------------------------------------------------|---------|---------|
| • Fund Indirect Overhead at Comptroller's Projected Amount | 243,865 | 243,865 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 468,265 |
| • Adjust Fringe Benefits to Reflect Actual Rates | 210,239 | 636,067 |
| • Provide Funding for State Employee Wage Adjustments | 114,194 | 114,194 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Banking Fund | 115 | 3 | 0 | 118 | 118 | 118 | 118 | 118 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Management Services | 4,896,353 | 6,382,943 | 6,994,772 | 6,700,366 | 6,700,366 | 6,821,844 | 6,932,831 | 6,932,831 |
| Financial Institutions Division | 6,397,072 | 6,924,727 | 7,228,799 | 7,008,899 | 7,008,899 | 7,004,979 | 7,259,245 | 7,259,245 |
| Securities & Business Investments | 5,198,894 | 5,709,859 | 5,997,483 | 5,788,922 | 5,788,922 | 5,795,514 | 5,994,563 | 5,994,563 |
| Consumer Credit | 4,932,616 | 5,611,030 | 5,904,908 | 5,698,670 | 5,698,670 | 5,705,262 | 5,904,311 | 5,904,311 |
| TOTAL Agency Programs | 21,424,935 | 24,628,559 | 26,125,962 | 25,196,857 | 25,196,857 | 25,327,599 | 26,090,950 | 26,090,950 |

| <i>Summary of Funding</i> | | | | | | | | |
|---------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Banking Fund | 21,419,720 | 24,623,344 | 26,120,744 | 25,191,639 | 25,191,639 | 25,322,381 | 26,085,732 | 26,085,732 |
| Private Funds | 5,215 | 5,215 | 5,215 | 5,215 | 5,215 | 5,215 | 5,215 | 5,215 |
| Total Agency Programs | 21,424,935 | 24,628,559 | 26,125,962 | 25,196,857 | 25,196,857 | 25,327,599 | 26,090,950 | 26,090,950 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Titles 36a, 36b, Chapter 831.

Statement of Need and Program Objectives

To establish the required policies and guidelines needed to manage and operate the Department of Banking. To provide support functions to assist line divisions in their regulatory responsibilities. To directly assist and serve agency customers, including the general public, regulated entities and government officials.

Program Description

Management Services encompasses the following units.

The Commissioner's Office sets overall policy for the agency and directs management in the achievement of its regulatory and supervisory responsibilities.

The Government Relations and Consumer Affairs Division (GRCA) is the agency's entry point for the public, legislators, administration staff and media. GRCA assists consumers with issues involving banks, credit unions, investment problems, mortgage lending and other consumer credit matters, foreclosure assistance and issues involving rental

security deposits. GRCA also coordinates all outreach and educational events with the public. The division handles and responds to all media requests, issues press releases and manages the agency's social media and external communications. The division also directs the department's legislative program and serves as the primary contact between the Governor's Office and the General Assembly.

The Business Office is responsible for the accounting, budgeting, fiscal, payroll, purchasing and financial reporting functions of the agency.

The Information Technology Unit provides information systems support to other units within the agency.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Banking Fund | 20 | 0 | 0 | 20 | 20 | 20 | 20 | 20 |
| Financial Summary by Program | | | | | | | | |
| Banking Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,841,409 | 3,004,890 | 3,101,434 | 3,023,599 | 3,023,599 | 3,023,599 | 3,145,348 | 3,145,348 |
| Other Expenses | 1,262,662 | 1,164,661 | 1,164,661 | 1,164,661 | 1,164,661 | 1,164,661 | 1,164,661 | 1,164,661 |
| Capital Outlay | | | | | | | | |
| Equipment | 44,900 | 44,900 | 44,900 | 44,900 | 44,900 | 44,900 | 44,900 | 44,900 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 1,620,974 | 2,042,084 | 2,192,311 | 2,096,933 | 2,096,933 | 2,097,218 | 2,207,649 | 2,207,649 |
| Indirect Overhead | 121,193 | 121,193 | 486,251 | 365,058 | 365,058 | 486,251 | 365,058 | 365,058 |
| Other Current Expenses | 1,742,167 | 2,163,277 | 2,678,562 | 2,461,991 | 2,461,991 | 2,583,469 | 2,572,707 | 2,572,707 |
| Total-Banking Fund | 4,891,138 | 6,377,728 | 6,989,557 | 6,695,151 | 6,695,151 | 6,816,629 | 6,927,616 | 6,927,616 |
| Other Funds Available | | | | | | | | |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 5,215 | 5,215 | 5,215 | 5,215 | 5,215 | 5,215 | 5,215 | 5,215 |
| Total - All Funds | 4,896,353 | 6,382,943 | 6,994,772 | 6,700,366 | 6,700,366 | 6,821,844 | 6,932,831 | 6,932,831 |

FINANCIAL INSTITUTIONS DIVISION

Statutory Reference

C.G.S. Title 36a, Chapters 664a, b, c; 665a and b; 666a, 667 and 668.

Statement of Need and Program Objectives

To safeguard depositor funds by regulating state-chartered depository institutions including state bank and trust companies, savings banks, savings and loan associations, uninsured banks, licensed entities, credit unions, and foreign banking organizations.

Program Description

The major activities of this division include: conducting examinations of state-chartered depository institutions, holding companies and

foreign banking organizations to ensure compliance with statutory and regulatory requirements; preparing a Report of Examination for each institution examined to evaluate safety and soundness of the institution; processing applications for new charters, mergers and acquisitions, establishing new branches, purchase/sale of branches, conversions, trust powers and service corporations; monitoring compliance with the Community Reinvestment Act and investigating complaints; investigating alleged violations of state and federal banking laws; and referring to appropriate law enforcement agency any potential criminal violation of our banking laws.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Banking Fund | 35 | 1 | 2 | 38 | 38 | 38 | 38 | 38 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Banking Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 3,375,306 | 3,442,407 | 3,596,152 | 3,467,425 | 3,467,425 | 3,467,425 | 3,598,539 | 3,598,539 |
| Other Expenses | 143,536 | 181,236 | 181,236 | 181,236 | 181,236 | 181,236 | 181,236 | 181,236 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 2,878,230 | 3,301,084 | 3,451,411 | 3,360,238 | 3,360,238 | 3,356,318 | 3,479,470 | 3,479,470 |
| Total-Banking Fund | 6,397,072 | 6,924,727 | 7,228,799 | 7,008,899 | 7,008,899 | 7,004,979 | 7,259,245 | 7,259,245 |
| Total - All Funds | 6,397,072 | 6,924,727 | 7,228,799 | 7,008,899 | 7,008,899 | 7,004,979 | 7,259,245 | 7,259,245 |

SECURITIES AND BUSINESS INVESTMENTS

Statutory Reference

C.G.S. Title 36b.

Statement of Need and Program Objectives

To protect the Connecticut investing public and to foster capital expansion by discharging enforcement, examination and registration responsibilities under the Connecticut Uniform Securities Act and the Connecticut Business Opportunity Investment Act. To help the investing public make informed investment decisions by requiring full and adequate disclosure concerning securities and business opportunity offerings. To promote industry regulatory compliance as

well as investor protection through a comprehensive educational outreach program.

Program Description

The following activities promote the division's objectives: examining broker-dealer and investment adviser locations; investigating alleged violations of state securities and business opportunity investment laws; addressing complaints and inquiries received from Connecticut investors; registering broker-dealer and investment adviser firms and agents; registering public offerings of securities and business opportunities; and referring to the appropriate law enforcement agency any potential criminal violations.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Banking Fund | 30 | 0 | 0 | 30 | 30 | 30 | 30 | 30 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Banking Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,755,719 | 2,803,825 | 2,940,822 | 2,833,946 | 2,833,946 | 2,833,946 | 2,941,647 | 2,941,647 |
| Other Expenses | 25,653 | 66,950 | 66,950 | 66,950 | 66,950 | 66,950 | 66,950 | 66,950 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 2,417,522 | 2,839,084 | 2,989,711 | 2,888,026 | 2,888,026 | 2,894,618 | 2,985,966 | 2,985,966 |
| Total-Banking Fund | 5,198,894 | 5,709,859 | 5,997,483 | 5,788,922 | 5,788,922 | 5,795,514 | 5,994,563 | 5,994,563 |
| Total - All Funds | 5,198,894 | 5,709,859 | 5,997,483 | 5,788,922 | 5,788,922 | 5,795,514 | 5,994,563 | 5,994,563 |

CONSUMER CREDIT

Statutory Reference

C.G.S. Title 36a, Chapters 668 and 669.

Statement of Need and Program Objectives

To ensure that participants in the granting and servicing of consumer credit deal fairly and lawfully with users of consumer credit in Connecticut. To promote the informed use of credit by active enforcement of the state's consumer credit laws and to disseminate information to consumers and creditors.

Program Description

Program objectives are achieved through the licensing, examination and enforcement of laws and regulations relating to the following activities: mortgage originating, mortgage servicing, small loan lending, check cashing, money transmitting, issuance of Connecticut payment instruments, sales financing, debt adjusting, debt negotiating, consumer collections and student loan servicing.

Objectives are also achieved through the administration of Truth-in-Lending, creditors' collection practices and retail installment sales financing laws.

Licenses are issued to qualified applicants in the following areas: mortgage lending, mortgage correspondent lending, mortgage brokering, mortgage loan originators, mortgage servicers, small loan lending, sales financing, debt adjusting, debt negotiators, consumer collections, money transmission, check cashing and student loan servicers.

Licensed entities and entities engaging in regulated activities are examined and investigated to determine compliance with related laws and regulations. Enforcement actions are taken when appropriate.

Staff participates in consumer education and outreach events relating to regulated industries as needed.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Banking Fund | 30 | 2 | -2 | 30 | 30 | 30 | 30 | 30 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Banking Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,573,730 | 2,811,494 | 2,954,444 | 2,849,891 | 2,849,891 | 2,849,891 | 2,957,592 | 2,957,592 |
| Other Expenses | 103,217 | 122,452 | 122,452 | 122,452 | 122,452 | 122,452 | 122,452 | 122,452 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 2,255,669 | 2,677,084 | 2,828,012 | 2,726,327 | 2,726,327 | 2,732,919 | 2,824,267 | 2,824,267 |
| Total-Banking Fund | 4,932,616 | 5,611,030 | 5,904,908 | 5,698,670 | 5,698,670 | 5,705,262 | 5,904,311 | 5,904,311 |
| Total - All Funds | 4,932,616 | 5,611,030 | 5,904,908 | 5,698,670 | 5,698,670 | 5,705,262 | 5,904,311 | 5,904,311 |

AGENCY FINANCIAL SUMMARY - BANKING FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 10,323,248 | 11,837,663 | 12,366,626 | 11,948,635 | 11,948,635 | 12,416,900 |
| Salaries & Wages-Part Time | 74,876 | 78,122 | 79,395 | 79,395 | 79,395 | 79,395 |
| Longevity Payments | 58,276 | 58,276 | 58,276 | 58,276 | 58,276 | 58,276 |
| Accumulated Leave | 72,830 | 73,000 | 73,000 | 73,000 | 73,000 | 73,000 |
| Other Salaries & Wages | 9,378 | 8,000 | 8,000 | 8,000 | 8,000 | 8,000 |
| Other | 7,556 | 7,555 | 7,555 | 7,555 | 7,555 | 7,555 |
| TOTAL - Personal Services-Personal Services | 10,546,164 | 12,062,616 | 12,592,852 | 12,174,861 | 12,174,861 | 12,643,126 |

Other Expenses

| | | | | | | |
|----------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Capital Outlays | 63,235 | 0 | 0 | 0 | 0 | 0 |
| Communications | 52,111 | 45,000 | 45,000 | 45,000 | 45,000 | 45,000 |
| Employee Expenses | 16,699 | 37,700 | 37,700 | 37,700 | 37,700 | 37,700 |
| Employee Travel | 74,722 | 126,400 | 126,400 | 126,400 | 126,400 | 126,400 |
| Equipment Rental and Maintenance | 15,667 | 13,350 | 13,350 | 13,350 | 13,350 | 13,350 |
| Food And Beverages | 1,450 | 500 | 500 | 500 | 500 | 500 |
| Information Technology | 155,210 | 149,919 | 149,919 | 149,919 | 149,919 | 149,919 |
| Motor Vehicle Costs | 107,593 | 71,200 | 71,200 | 71,200 | 71,200 | 71,200 |
| Other Services | 221,889 | 291,585 | 291,585 | 291,585 | 291,585 | 291,585 |
| Premises Expenses | 30,481 | 7,000 | 7,000 | 7,000 | 7,000 | 7,000 |
| Premises Rent Expense-Landlord | 728,026 | 776,545 | 776,545 | 776,545 | 776,545 | 776,545 |
| Purchased Commodities | 67,985 | 16,100 | 16,100 | 16,100 | 16,100 | 16,100 |
| TOTAL-Other Expenses | 1,535,068 | 1,535,299 | 1,535,299 | 1,535,299 | 1,535,299 | 1,535,299 |

Equipment

| | | | | | | |
|-----------------|--------|--------|--------|--------|--------|--------|
| Equipment | 44,900 | 44,900 | 44,900 | 44,900 | 44,900 | 44,900 |
| TOTAL-Equipment | 44,900 | 44,900 | 44,900 | 44,900 | 44,900 | 44,900 |

Other Current Expenses

| | | | | | | |
|------------------------------|------------|------------|------------|------------|------------|------------|
| Fringe Benefits | 9,172,395 | 10,859,336 | 11,461,445 | 11,071,524 | 11,081,073 | 11,497,352 |
| Indirect Overhead | 121,193 | 121,193 | 486,251 | 365,058 | 486,251 | 365,058 |
| TOTAL-Other Current Expenses | 9,293,588 | 10,980,529 | 11,947,696 | 11,436,582 | 11,567,324 | 11,862,410 |
| Personal Services | 10,546,164 | 12,062,616 | 12,592,852 | 12,174,861 | 12,174,861 | 12,643,126 |
| Other Expenses | 1,535,068 | 1,535,299 | 1,535,299 | 1,535,299 | 1,535,299 | 1,535,299 |
| Capital Outlay | 44,900 | 44,900 | 44,900 | 44,900 | 44,900 | 44,900 |
| Other Current Expenses | 9,293,588 | 10,980,529 | 11,947,696 | 11,436,582 | 11,567,324 | 11,862,410 |
| TOTAL-Banking Fund | 21,419,720 | 24,623,344 | 26,120,744 | 25,191,639 | 25,322,381 | 26,085,732 |

INSURANCE DEPARTMENT

AGENCY DESCRIPTION

The Connecticut Insurance Department's primary mission is consumer protection. The department provides assistance and information to the public and to policymakers, regulates the insurance industry to

promote a competitive and financially sound insurance market for consumers, and enforces the insurance laws to ensure that consumers are treated fairly and protected from unfair practices.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------|----------------|----------------|
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 1,132,943 |
| • Fund Indirect Overhead at Comptroller's Projected Amount | 136,389 | 136,389 |
| • Provide Funding for State Employee Wage Adjustments | 113,937 | 113,937 |
| • Adjust Fringe Benefits to Reflect Actual Rates | -52,449 | -52,449 |
| • Remove Funds for Rent Expense | -122,300 | -122,300 |
| Reallocations | FY 2022 | FY 2023 |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services | -208,527 | -216,548 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Insurance Fund | 151 | 0 | 0 | 151 | 151 | 150 | 151 | 150 |
| Private Funds | 2 | 0 | 0 | 1 | 2 | 2 | 2 | 2 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Agency Management Services | 28,733,581 | 31,608,734 | 32,069,759 | 31,666,542 | 31,458,015 | 32,300,913 | 32,799,485 | 32,582,937 |
| Examination | 144,792 | 144,792 | 144,792 | 144,792 | 144,792 | 144,792 | 144,792 | 144,792 |
| TOTAL Agency Programs | 28,878,373 | 31,753,526 | 32,214,551 | 31,811,334 | 31,602,807 | 32,445,705 | 32,944,277 | 32,727,729 |

| <i>Summary of Funding</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Insurance Fund | 28,491,210 | 31,401,821 | 31,880,615 | 31,477,398 | 31,268,871 | 32,111,769 | 32,610,341 | 32,393,793 |
| Private Funds | 319,434 | 319,434 | 333,936 | 333,936 | 333,936 | 333,936 | 333,936 | 333,936 |
| Special Non-Appropriated Funds | 67,729 | 32,271 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 28,878,373 | 31,753,526 | 32,214,551 | 31,811,334 | 31,602,807 | 32,445,705 | 32,944,277 | 32,727,729 |

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 38a-8.

Statement of Need and Program Objectives

To plan, organize, direct and support all administrative and operational functions and activities of the department to ensure that its mission will be accomplished in an efficient and effective manner.

Program Description

In addition to the Office of the Commissioner, the management services program includes the Business Office, Legal Division, Personnel Administration Unit, and the Communications/Public Relations Unit. Together these areas are responsible for developing and overseeing all aspects of agency policy and management, and ensuring that the department's mission is achieved and maintained.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Insurance Fund | 151 | 0 | 0 | 151 | 151 | 150 | 151 | 150 |
| Private Funds | 2 | 0 | 0 | 1 | 2 | 2 | 2 | 2 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 14,202,269 | 15,496,303 | 15,610,240 | 15,610,240 | 15,499,733 | 16,206,251 | 16,210,634 | 16,095,876 |
| Other Expenses | 1,940,180 | 1,725,916 | 1,725,916 | 1,603,616 | 1,603,616 | 1,725,916 | 1,603,616 | 1,603,616 |
| Capital Outlay | | | | | | | | |
| Equipment | 106,007 | 52,500 | 52,500 | 52,500 | 52,500 | 52,500 | 52,500 | 52,500 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 12,014,286 | 13,898,634 | 13,898,634 | 13,846,185 | 13,748,165 | 13,898,634 | 14,378,734 | 14,276,944 |
| Indirect Overhead | 228,468 | 228,468 | 593,325 | 364,857 | 364,857 | 228,468 | 364,857 | 364,857 |
| Other Current Expenses | 12,242,754 | 14,127,102 | 14,491,959 | 14,211,042 | 14,113,022 | 14,127,102 | 14,743,591 | 14,641,801 |
| Total-Insurance Fund | 28,491,210 | 31,401,821 | 31,880,615 | 31,477,398 | 31,268,871 | 32,111,769 | 32,610,341 | 32,393,793 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 174,642 | 174,642 | 189,144 | 189,144 | 189,144 | 189,144 | 189,144 | 189,144 |
| Special Non-Appropriated Funds | 67,729 | 32,271 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 28,733,581 | 31,608,734 | 32,069,759 | 31,666,542 | 31,458,015 | 32,300,913 | 32,799,485 | 32,582,937 |

EXAMINATIONS

Statutory Reference

C.G.S. Chapters 697, 698, 698a-d, 700, 700a-d, 701d, 702 and 706.

Statement of Need and Program Objectives

To monitor the financial condition of insurance companies to protect policyholders, claimants, and the public by ensuring that only solvent, financially well-managed insurers are licensed to do business in Connecticut.

To protect all life and health insurance policyholders in Connecticut from unfair and deceptive policies. To oversee the regulation of managed care organizations and utilization review companies.

To ensure a competitive market as promulgated by the laws of the state which establish standards for the regulation of personal and commercial risk insurance.

To receive and review insurance related complaints from residents of this state including claims disputes. To conduct outreach programs in order to educate the public on insurance matters.

To evaluate entities seeking a captive insurance license. To regulate prospective and established captive insurers, risk retention groups and risk purchasing groups in the alternative risk market of the State of Connecticut.

Program Description

The principal functions of the Financial Regulation Division are financial analysis, company licensing and the monitoring of the financial condition of all Connecticut-domiciled insurers. The division reviews the applications of insurers incorporated in Connecticut and other states that desire to be admitted or licensed to do business in Connecticut. The division makes recommendations and takes action for the revocation of licenses when a review indicates the financial condition of an insurer is such that it may jeopardize Connecticut policyholders and claimants. The division conducts on-site financial examinations of domestic insurance entities to ensure that such entities remain solvent and capable of meeting their contractual obligation to policyholders and claimants.

All life and health insurance policies must be approved by the Life and Health Division prior to being sold in Connecticut to ensure compliance with statutes, regulations and bulletins. Premium rates are actuarially reviewed for individual health, HMO, Medicare supplement, long-term care and credit insurance policies. Rate increase requests are disapproved if they are judged to be excessive, inadequate or unfairly discriminatory. The division also produces a managed care report card and licenses utilization review companies. The Life and Health Division works closely with the Partnership for Long Term Care to develop legislation and a regulatory response to mitigate the impact of long-term care rate increases.

The Property and Casualty Division reviews all rate, rule and form filings made by property and casualty insurers in the state. The division ensures that rates are not inadequate, unfair or discriminatory. Personal risk and commercial form filings are reviewed to ensure compliance with statutes and regulations. The division also oversees operation of the residual markets (assigned risk plans).

The Consumer Affairs Division reviews complaints, mediates disputes, and informs and educates the public on insurance matters. The unit manages the external appeal process for health insurance policyholders who have exhausted the internal appeal mechanisms provided by a managed care organization or utilization review company and handles the referral to an independent review firm for a binding determination.

The Market Conduct Unit conducts on-site examinations of insurers' and licensed producers' operations, books and records as they relate to coverage written and services provided for Connecticut citizens and commercial enterprises. This unit monitors the conduct of medical utilization review companies to ensure that they operate in

compliance with Managed Care Act and other applicable statutes and regulations.

The Fraud and Investigations Unit staff receives, gathers and reports data on patterns of insurance fraud in Connecticut, and provides outreach programs to aid the public in recognizing, avoiding and reporting suspected insurance fraud. In addition the unit investigates alleged violations by individuals such as bail bondsmen, insurance producers, casualty adjusters and motor vehicle damage appraisers.

The Licensing Unit is responsible for licensing the individuals and entities which the agency regulates. This unit also monitors the collection of the premium tax due from surplus lines brokers.

The Captive Financial Analysis and Examination Unit reviews the applications of captive insurers to be admitted to do business in Connecticut. The division conducts the registration and revocation of authority for captive insurance entities when a review or examination indicates the financial condition of such a captive insurer, entity or group may have an adverse impact on their Connecticut policyholders.

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 144,792 | 144,792 | 144,792 | 144,792 | 144,792 | 144,792 | 144,792 | 144,792 |
| Total - All Funds | 144,792 | 144,792 | 144,792 | 144,792 | 144,792 | 144,792 | 144,792 | 144,792 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|------------|------------|------------|-------------|------------|-------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 13,754,504 | 15,032,803 | 15,145,770 | 15,035,263 | 15,741,781 | 15,631,406 |
| Salaries & Wages-Temporary | 55,287 | 61,000 | 61,939 | 61,939 | 61,939 | 61,939 |
| Longevity Payments | 75,226 | 75,500 | 75,500 | 75,500 | 75,500 | 75,500 |
| Overtime | 1,522 | 2,000 | 2,031 | 2,031 | 2,031 | 2,031 |
| Accumulated Leave | 291,390 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 |
| Other | 24,340 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| TOTAL - Personal Services-Personal Services | 14,202,269 | 15,496,303 | 15,610,240 | 15,499,733 | 16,206,251 | 16,095,876 |

| <i>Other Expenses</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------|---------|---------|---------|---------|---------|---------|
| Capital Outlays | 57,943 | 0 | 0 | 0 | 0 | 0 |
| Chilled Water | 6,561 | 7,000 | 7,000 | 7,000 | 7,000 | 7,000 |
| Communications | 47,670 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| Electricity | 67,254 | 68,000 | 68,000 | 68,000 | 68,000 | 68,000 |
| Employee Expenses | 2,035 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| Employee Travel | 123,161 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| Equipment Rental and Maintenance | 27,499 | 28,000 | 28,000 | 28,000 | 28,000 | 28,000 |

| | | | | | | |
|--------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Food And Beverages | 578 | 150 | 150 | 150 | 150 | 150 |
| Information Technology | 62,447 | 65,000 | 65,000 | 65,000 | 65,000 | 65,000 |
| Motor Vehicle Costs | 4,919 | 5,150 | 5,150 | 5,150 | 5,150 | 5,150 |
| Other Services | 168,088 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| Premises Expenses | 138,407 | 75,000 | 75,000 | 75,000 | 75,000 | 75,000 |
| Premises Rent Expense-Landlord | 1,138,112 | 1,138,116 | 1,138,116 | 1,015,816 | 1,138,116 | 1,015,816 |
| Professional Services | 46,112 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| Purchased Commodities | 49,394 | 44,500 | 44,500 | 44,500 | 44,500 | 44,500 |
| TOTAL-Other Expenses | 1,940,180 | 1,725,916 | 1,725,916 | 1,603,616 | 1,725,916 | 1,603,616 |

Equipment

| | | | | | | |
|-----------------|---------|--------|--------|--------|--------|--------|
| Equipment | 106,007 | 52,500 | 52,500 | 52,500 | 52,500 | 52,500 |
| TOTAL-Equipment | 106,007 | 52,500 | 52,500 | 52,500 | 52,500 | 52,500 |

Other Current Expenses

| | | | | | | |
|------------------------------|------------|------------|------------|------------|------------|------------|
| Fringe Benefits | 12,014,286 | 13,898,634 | 13,898,634 | 13,748,165 | 13,898,634 | 14,276,944 |
| Indirect Overhead | 228,468 | 228,468 | 593,325 | 364,857 | 228,468 | 364,857 |
| TOTAL-Other Current Expenses | 12,242,754 | 14,127,102 | 14,491,959 | 14,113,022 | 14,127,102 | 14,641,801 |

| | | | | | | |
|------------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 14,202,269 | 15,496,303 | 15,610,240 | 15,499,733 | 16,206,251 | 16,095,876 |
| Other Expenses | 1,940,180 | 1,725,916 | 1,725,916 | 1,603,616 | 1,725,916 | 1,603,616 |
| Capital Outlay | 106,007 | 52,500 | 52,500 | 52,500 | 52,500 | 52,500 |
| Other Current Expenses | 12,242,754 | 14,127,102 | 14,491,959 | 14,113,022 | 14,127,102 | 14,641,801 |
| TOTAL-Insurance Fund | 28,491,210 | 31,401,821 | 31,880,615 | 31,268,871 | 32,111,769 | 32,393,793 |

OFFICE OF CONSUMER COUNSEL

AGENCY DESCRIPTION

The Office of Consumer Counsel (OCC) is an independent state agency charged with advocating for Connecticut consumers in all matters involving utility-related services.

The OCC initiates and participates in regulatory and judicial proceedings, both federal and state, working toward the goal that Connecticut's consumers should receive the highest quality and most reliable utility services at the lowest reasonable cost.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|--|
| | | | | |
| • Adjust Fringe Benefits to Reflect Actual Rates | 66,321 | 118,368 | | |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 54,919 | | |
| • Provide Funding for State Employee Wage Adjustments | 13,721 | 13,721 | | |
| • Fund Indirect Overhead at Comptroller's Projected Amount | -6,978 | -6,978 | | |
| Expansions | FY 2022 | FY 2023 | FY 2024 | |
| • Provide Funds to Support Equitable Access to Broadband | 365,522 | 379,580 | 365,522 | |
| <i>Funding will support staff to implement the intent of this legislation. The legislation uses a combination of provisions to achieve three primary goals: making affordable high-speed broadband available for all, promoting economic development, and protecting consumers while reducing costs.</i> | | | | |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Consumer Counsel/Public Utility Fund | 13 | 0 | 0 | 13 | 13 | 15 | 13 | 15 |
| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Utility Consumer Advocacy & Assistance | 1,947,548 | 3,076,755 | 3,161,606 | 3,149,819 | 3,515,341 | 3,090,476 | 3,256,785 | 3,636,365 |
| TOTAL Agency Programs | 1,947,548 | 3,076,755 | 3,161,606 | 3,149,819 | 3,515,341 | 3,090,476 | 3,256,785 | 3,636,365 |
| Summary of Funding | | | | | | | | |
| Consumer Counsel/Public Utility Fund | 1,947,548 | 3,076,755 | 3,161,606 | 3,149,819 | 3,515,341 | 3,090,476 | 3,256,785 | 3,636,365 |
| Total Agency Programs | 1,947,548 | 3,076,755 | 3,161,606 | 3,149,819 | 3,515,341 | 3,090,476 | 3,256,785 | 3,636,365 |

UTILITY CONSUMER ADVOCACY AND ASSISTANCE

Statutory Reference

C.G.S. §16-2a and §16-49.

Statement of Need and Program Objectives

To protect the interests of Connecticut's utility consumers and to help provide them with the lowest utility rates possible commensurate with the highest quality and most reliable services.

Program Description

The OCC represents consumers before the Public Utility Regulatory Authority, state and federal courts, the Federal Energy Regulatory

Commission, the Federal Communications Commission and other forums. OCC continues to be active in state and federal court cases that affect Connecticut utility consumers, and initiates state court cases (administrative appeals) on ratepayers behalf when warranted. OCC also compiles an annual scorecard which tallies ratepayer savings, and serves on energy-related boards and commissions.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Consumer Counsel/Public Utility Fund | 13 | 0 | 0 | 13 | 13 | 15 | 13 | 15 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Consumer Counsel/Public Utility Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 937,808 | 1,414,178 | 1,465,529 | 1,427,899 | 1,615,346 | 1,427,899 | 1,482,818 | 1,677,474 |
| Other Expenses | 202,542 | 332,907 | 332,907 | 332,907 | 332,907 | 332,907 | 332,907 | 332,907 |
| Capital Outlay | | | | | | | | |
| Equipment | 1,100 | 2,200 | 2,200 | 2,200 | 2,200 | 2,200 | 2,200 | 2,200 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 806,098 | 1,286,902 | 1,320,402 | 1,353,223 | 1,531,298 | 1,286,902 | 1,405,270 | 1,590,194 |
| Indirect Overhead | 0 | 40,568 | 40,568 | 33,590 | 33,590 | 40,568 | 33,590 | 33,590 |
| Other Current Expenses | 806,098 | 1,327,470 | 1,360,970 | 1,386,813 | 1,564,888 | 1,327,470 | 1,438,860 | 1,623,784 |
| Total-Consumer Counsel/Public Utility Fund | 1,947,548 | 3,076,755 | 3,161,606 | 3,149,819 | 3,515,341 | 3,090,476 | 3,256,785 | 3,636,365 |
| Total - All Funds | 1,947,548 | 3,076,755 | 3,161,606 | 3,149,819 | 3,515,341 | 3,090,476 | 3,256,785 | 3,636,365 |

AGENCY FINANCIAL SUMMARY - CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 865,748 | 1,305,406 | 1,356,715 | 1,506,532 | 1,319,085 | 1,568,660 |
| Longevity Payments | 3,896 | 5,875 | 5,875 | 5,875 | 5,875 | 5,875 |
| Overtime | 1,390 | 2,096 | 2,138 | 2,138 | 2,138 | 2,138 |
| Accumulated Leave | 61,368 | 92,541 | 92,541 | 92,541 | 92,541 | 92,541 |
| Other Salaries & Wages | -72 | 0 | 0 | 0 | 0 | 0 |
| Other | 5,478 | 8,260 | 8,260 | 8,260 | 8,260 | 8,260 |
| TOTAL - Personal Services-Personal Services | 937,808 | 1,414,178 | 1,465,529 | 1,615,346 | 1,427,899 | 1,677,474 |
| Other Expenses | | | | | | |
| Communications | 7,066 | 11,614 | 11,614 | 11,614 | 11,614 | 11,614 |
| Electricity | 14,608 | 24,010 | 24,010 | 24,010 | 24,010 | 24,010 |
| Employee Expenses | 374 | 615 | 615 | 615 | 615 | 615 |
| Employee Travel | 37,331 | 61,359 | 61,359 | 61,359 | 61,359 | 61,359 |
| Natural Gas | 2,132 | 3,504 | 3,504 | 3,504 | 3,504 | 3,504 |
| Other Services | 61,821 | 101,612 | 101,612 | 101,612 | 101,612 | 101,612 |
| Premises Expenses | 75,250 | 123,684 | 123,684 | 123,684 | 123,684 | 123,684 |
| Purchased Commodities | 3,960 | 6,509 | 6,509 | 6,509 | 6,509 | 6,509 |
| TOTAL-Other Expenses | 202,542 | 332,907 | 332,907 | 332,907 | 332,907 | 332,907 |

Equipment

| | | | | | | |
|-----------------|-------|-------|-------|-------|-------|-------|
| Equipment | 1,100 | 2,200 | 2,200 | 2,200 | 2,200 | 2,200 |
| TOTAL-Equipment | 1,100 | 2,200 | 2,200 | 2,200 | 2,200 | 2,200 |

Other Current Expenses

| | | | | | | |
|------------------------------|---------|-----------|-----------|-----------|-----------|-----------|
| Fringe Benefits | 806,098 | 1,286,902 | 1,320,402 | 1,531,298 | 1,286,902 | 1,590,194 |
| Indirect Overhead | 0 | 40,568 | 33,590 | 33,590 | 33,590 | 33,590 |
| TOTAL-Other Current Expenses | 806,098 | 1,327,470 | 1,353,992 | 1,564,888 | 1,320,492 | 1,623,784 |

| | | | | | | |
|--------------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services | 937,808 | 1,414,178 | 1,465,529 | 1,615,346 | 1,427,899 | 1,677,474 |
| Other Expenses | 202,542 | 332,907 | 332,907 | 332,907 | 332,907 | 332,907 |
| Capital Outlay | 1,100 | 2,200 | 2,200 | 2,200 | 2,200 | 2,200 |
| Other Current Expenses | 806,098 | 1,327,470 | 1,353,992 | 1,564,888 | 1,320,492 | 1,623,784 |
| TOTAL-Consumer Counsel/Public Utility Fund | 1,947,548 | 3,076,755 | 3,154,628 | 3,515,341 | 3,083,498 | 3,636,365 |

OFFICE OF THE HEALTHCARE ADVOCATE

AGENCY DESCRIPTION

The Office of the Healthcare (OHA) assists health insurance consumers to: make informed choices when selecting a plan, understand their rights and responsibilities under their plan, appeal denials of service and reimbursement, and access services through information, referral and assistance.

OHA also provides information to the public, providers, agencies, and others regarding problems and concerns of health care consumers,

and makes legislative and regulatory recommendations to resolve those concerns.

A program management office was established in OHA for administrative purposes only for the administration of multi-payer care delivery, payment, population health and insurance reforms as part of the State Innovation Model (SIM) Initiative.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|--------------------------------------------------------------------------|----------|----------|
| • Provide Funding for State Employee Wage Adjustments | 17,856 | 17,856 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 63,685 |
| • Adjust Funding for Personal Services to Reflect Estimated Requirements | -200,000 | -210,000 |
| • Adjust Funding for Fringe Benefits to Reflect Estimated Requirements | -273,496 | -224,383 |
| • Fund Rent Requirements | 53,000 | 53,000 |
| • Fund Indirect Overhead at Comptroller's Projected Amount | 63,909 | 63,909 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Healthcare Advocate | 2,770,912 | 3,532,016 | 3,655,063 | 3,193,285 | 3,193,285 | 3,718,748 | 3,296,083 | 3,296,083 |
| TOTAL Agency Programs | 2,770,912 | 3,532,016 | 3,655,063 | 3,193,285 | 3,193,285 | 3,718,748 | 3,296,083 | 3,296,083 |

Summary of Funding

| | | | | | | | | |
|-----------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Insurance Fund | 2,770,912 | 3,532,016 | 3,655,063 | 3,193,285 | 3,193,285 | 3,718,748 | 3,296,083 | 3,296,083 |
| Total Agency Programs | 2,770,912 | 3,532,016 | 3,655,063 | 3,193,285 | 3,193,285 | 3,718,748 | 3,296,083 | 3,296,083 |

HEALTHCARE ADVOCATE

Statutory Reference

C.G.S. Sections 38a-1040 through 38a-1051.

Statement of Need and Program Objectives

To assist health insurance consumers with plan selections, understanding their rights and responsibilities and accessing services through information, referral and assistance. To monitor implementation of and facilitate comment on federal and state laws. To facilitate process for stakeholders on mental health delivery in the state and suggest revisions to state law based on its mission.

Program Description

The Office of the Healthcare Advocate (OHA) assists health insurance consumers to make informed choices when selecting a health plan, understand their rights and responsibilities under their plan, appeal denials of service and reimbursement, and access services through

information, referral and assistance. The office was created to promote and protect the interests of covered persons under managed care health plans in Connecticut.

OHA conducts systemic outreach and education to consumers throughout the state via personal appearances, presentations, and media appearances, including educational programming on healthcare rights and attends the senior health fairs conducted each year throughout Connecticut. OHA's outreach materials are available at www.ct.gov/oha under "Publications."

Serving as Connecticut's Office of Health Insurance Consumer Assistance under the Affordable Care Act, OHA continues to provide technical support to consumer assistance programs throughout the

United States. The agency handles several thousand healthcare cases a year, and has returned tens of millions of dollars to consumers in the form of overturned improper denials, access to services and refunds of overpayments and provides direct assistance with the filing and conduct of appeals.

The office also takes on matters that affect large groups of insurance consumers. By law, OHA is authorized to represent Connecticut's healthcare consumers in administrative matters.

OHA also provides information to the public, providers, agencies, and others regarding problems and concerns of health care consumers and makes legislative and regulatory recommendations to resolve those concerns. OHA also gathers information from the public and

providers concerning healthcare issues, in order to effectively resolve those issues.

Though denials of services or treatment are the number one type of complaints OHA receives, the number of cases involving education and counseling continues to increase. Mental health continues to be the biggest clinical category of cases OHA handles. Fortunately, OHA's advocacy resulted in reversals of nearly all of the denials of treatment or services that involve consumers needing treatment for serious, debilitating, or life-threatening illnesses.

OHA collaborates with state agencies on projects to recover funds expended by the state for services for individuals who are covered by state programs and private coverage and whose services were denied by their private plans.

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>Insurance Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,354,739 | 1,655,805 | 1,661,943 | 1,472,828 | 1,472,828 | 1,725,628 | 1,526,513 | 1,526,513 |
| Other Expenses | 184,518 | 245,000 | 298,000 | 298,000 | 298,000 | 298,000 | 298,000 | 298,000 |
| Capital Outlay | | | | | | | | |
| Equipment | 4,925 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 1,226,730 | 1,626,111 | 1,626,111 | 1,353,448 | 1,353,448 | 1,626,111 | 1,402,561 | 1,402,561 |
| Indirect Overhead | 0 | 100 | 64,009 | 64,009 | 64,009 | 64,009 | 64,009 | 64,009 |
| Other Current Expenses | 1,226,730 | 1,626,211 | 1,690,120 | 1,417,457 | 1,417,457 | 1,690,120 | 1,466,570 | 1,466,570 |
| Total-Insurance Fund | 2,770,912 | 3,532,016 | 3,655,063 | 3,193,285 | 3,193,285 | 3,718,748 | 3,296,083 | 3,296,083 |
| Total - All Funds | 2,770,912 | 3,532,016 | 3,655,063 | 3,193,285 | 3,193,285 | 3,718,748 | 3,296,083 | 3,296,083 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 1,351,376 | 1,652,405 | 1,658,543 | 1,469,428 | 1,722,228 | 1,523,113 |
| Longevity Payments | 3,302 | 3,400 | 3,400 | 3,400 | 3,400 | 3,400 |
| Overtime | 61 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 1,354,739 | 1,655,805 | 1,661,943 | 1,472,828 | 1,725,628 | 1,526,513 |
| Other Expenses | | | | | | |
| Communications | 6,161 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| Employee Expenses | 4,954 | 7,000 | 7,000 | 7,000 | 7,000 | 7,000 |
| Employee Travel | 6,040 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 |
| Equipment Rental and Maintenance | 9,883 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| Food And Beverages | 35 | 0 | 0 | 0 | 0 | 0 |
| Information Technology | 8,675 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |

| | | | | | | |
|--------------------------------|---------|---------|---------|---------|---------|---------|
| Other Services | 79,047 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 |
| Premises Expenses | 170 | 0 | 0 | 0 | 0 | 0 |
| Premises Rent Expense-Landlord | 51,822 | 50,000 | 103,000 | 103,000 | 103,000 | 103,000 |
| Purchased Commodities | 17,731 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 |
| TOTAL-Other Expenses | 184,518 | 245,000 | 298,000 | 298,000 | 298,000 | 298,000 |

Equipment

| | | | | | | |
|-----------------|-------|-------|-------|-------|-------|-------|
| Equipment | 4,925 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| TOTAL-Equipment | 4,925 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |

Other Current Expenses

| | | | | | | |
|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Fringe Benefits | 1,226,730 | 1,626,111 | 1,626,111 | 1,353,448 | 1,626,111 | 1,402,561 |
| Indirect Overhead | 0 | 100 | 127,918 | 64,009 | 127,918 | 64,009 |
| TOTAL-Other Current Expenses | 1,226,730 | 1,626,211 | 1,754,029 | 1,417,457 | 1,754,029 | 1,466,570 |

| | | | | | | |
|------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services | 1,354,739 | 1,655,805 | 1,661,943 | 1,472,828 | 1,725,628 | 1,526,513 |
| Other Expenses | 184,518 | 245,000 | 298,000 | 298,000 | 298,000 | 298,000 |
| Capital Outlay | 4,925 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| Other Current Expenses | 1,226,730 | 1,626,211 | 1,754,029 | 1,417,457 | 1,754,029 | 1,466,570 |
| TOTAL-Insurance Fund | 2,770,912 | 3,532,016 | 3,718,972 | 3,193,285 | 3,782,657 | 3,296,083 |

DEPARTMENT OF CONSUMER PROTECTION

AGENCY DESCRIPTION

The department is a regulatory agency responsible for protecting citizens from physical injury and financial loss that may occur as the result of unsafe or fraudulent products and services marketed in the State of Connecticut. The department's mission is to ensure a fair and equitable marketplace as well as safe products and services for consumers in the industries that it regulates. This protection is achieved through the licensure, inspection, investigation, enforcement and public education activities conducted by staff in three major programs: the regulation of consumer related industries which includes food and standards, drugs, cosmetics and medical

devices, alcoholic liquor, and gambling; the investigation of unfair trade practices and occupational/professional licensing; and management services.

The department is responsible for enforcing numerous significant consumer protection laws including: the Connecticut Unfair Trade Practices Act; the Connecticut Pure Food, Drug and Cosmetic Act; the Connecticut State Child Protection Act; and the Connecticut Weights and Measures Act.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2022 | FY 2023 |
|-------------------------------------------------------|----------|---------|
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 547,675 |
| • Provide Funding for State Employee Wage Adjustments | 129,061 | 129,061 |
| • Adjust Funding to Reflect Current Position Level | -237,987 | 237,987 |

Reductions

| | FY 2022 | FY 2023 |
|---------------------------------|----------|----------|
| • Annualize FY 2021 Rescissions | -145,847 | -145,847 |

Expansions

| | FY 2022 | FY 2023 | FY 2024 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|-----------|
| • Provide Funding for Regulation of Recreational Use of Cannabis by Adults <i>Funding will support staff and other expenses to launch, license and regulate the cannabis market for recreational use by adults.</i> | 6,607,041 | 7,517,441 | 7,331,386 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 177 | 45 | 0 | 222 | 222 | 282 | 222 | 282 |
| Federal Funds | 5 | 0 | 1 | 6 | 4 | 4 | 2 | 2 |
| Private Funds | 27 | 1 | 0 | 27 | 28 | 28 | 28 | 28 |

| <i>Other Positions Equated to Full-Time</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 7 | 7 | 7 | 7 | 7 | 7 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Regulation of Consumer Related Industries | 12,206,291 | 14,738,180 | 13,895,771 | 13,895,771 | 20,497,070 | 13,921,401 | 13,864,866 | 21,376,565 |
| Regulation of Trade Practice and Occupational and | 3,557,935 | 4,001,956 | 4,041,596 | 4,041,596 | 4,041,596 | 4,254,715 | 4,186,701 | 4,186,701 |
| Agency Management Services | 5,901,507 | 6,677,811 | 6,755,614 | 6,517,627 | 6,377,522 | 6,998,473 | 7,222,712 | 7,082,607 |
| TOTAL Agency Programs | 21,665,733 | 25,417,947 | 24,692,981 | 24,454,994 | 30,916,188 | 25,174,589 | 25,274,279 | 32,645,873 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 13,463,384 | 15,133,926 | 15,387,987 | 15,150,000 | 21,611,194 | 16,073,959 | 16,173,649 | 23,545,243 |
| Federal Funds | 1,152,135 | 1,746,220 | 763,403 | 763,403 | 763,403 | 306,710 | 306,710 | 306,710 |
| Private Funds | 7,045,414 | 8,531,801 | 8,535,591 | 8,535,591 | 8,535,591 | 8,787,920 | 8,787,920 | 8,787,920 |
| Special Non-Appropriated Funds | 4,800 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 |
| Total Agency Programs | 21,665,733 | 25,417,947 | 24,692,981 | 24,454,994 | 30,916,188 | 25,174,589 | 25,274,279 | 32,645,873 |

REGULATION OF CONSUMER RELATED INDUSTRIES

STATUTORY REFERENCE

C.G.S. Chapters 54, 98, 226, 226a, 226b, 226c, 229a, 246, 250, 250a, 283, 295, 296, 296a, 368a, 370, 400j, 416, 417, 418, 419, 419a, 419b, 419c, 419d, 420b, 420c, 420d, 420e, 420f, 420g, 424, 532, 545, 735b, 735c, 736, 738b, 739, 742, 743b, 743c, 743d, 743e, 743g, 743h, 743i, 743k, 743l, 743m, 743n, 743o, 743p, 743q, 743t, 743u, 743aa, 750, 751, 752, and 753.

STATEMENT OF NEED AND PROGRAM OBJECTIVES

To protect the health and safety of Connecticut citizens by regulating all persons and businesses that manufacture or sell packaged food products in the state in order to detect and prevent the distribution of adulterated, contaminated, or unsanitary food products. To protect the health and safety of Connecticut citizens by regulating all persons and firms involved in the distribution of drugs, cosmetics and medical devices in order to detect and prevent the diversion of drugs from those channels. To protect the health and safety of Connecticut citizens by regulating all persons and firms involved in the distribution, sale and dispensing of alcoholic liquor in order to prevent sales to minors and intoxicated persons, guarantee product integrity and ensure that licensed premises are safe and sanitary. To ensure the highest degree of integrity in the conduct of all forms of legalized gambling and the federally recognized Tribal Nations within the State of Connecticut by enforcing applicable statutes and by monitoring and educating to ensure compliance with the gaming laws and the tribal-state agreements.

PROGRAM DESCRIPTION

Program objectives are achieved through the following activities.

Food, Standards and Product Safety Division

Enforcement - The Food, Standards and Product Safety Division conducts inspections of food-processing plants, warehouses, retail food stores, bakeries, non-alcoholic beverage plants, frozen dessert plants, vending machine locations, apple juice and cider plants, gasoline stations, heating oil dealers and all weighing and measuring devices used commercially such as retail store scales, motor truck scales, petroleum meters and home delivery truck meters, and regulates the manufacture, distribution and sale of bedding and upholstered furniture products and by enforcing the provisions of the State Child Protection Act. Pursuant to a memorandum of understanding with the U. S. Department of Agriculture, the division is responsible for conducting effectiveness checks on any meat and poultry recalls that affect the State of Connecticut.

Crisis Response - The division is an integral part of the state's inter-agency network for rapid response to food crises during emergency situations, natural disasters and nuclear-related emergencies.

Information and Referral - The division responds to inquiries from citizens and licensees who are seeking information about food products, food-handling facilities, laboratory services, weights and measures devices, gasoline stations, petroleum products, product recalls, advertisements, state and federal laws and a wide variety of related issues.

The Measurement Laboratory - The division houses the State of Connecticut Measurement Center which has custody of the physical standards of mass, length, volume, and temperature (clinical thermometer standards). The department must maintain accreditation from the U. S. Department of Commerce National Institute of Standards and Technology in order to ensure that the calibration services provided to its public and private sector customers are certifiable.

Drug Control Division

Enforcement - The Drug Control Division has both regulatory and enforcement authority (administrative and criminal) relating to the distribution of legal drugs in the State of Connecticut. Its oversight includes all health care practitioners who are authorized to prescribe controlled drugs in the state; pharmacies (resident and non-resident) all in-state manufacturers, wholesalers and laboratories that handle controlled drugs and/or other drugs, medical devices and cosmetics; and all out-of-state wholesalers that distribute drugs, medical devices and cosmetics within the State of Connecticut.

The division administers the state's prescription drug monitoring program which is used by physicians, pharmacists and law enforcement to monitor distribution of controlled substance prescriptions, identify patterns of abuse, and initiate enforcement action where necessary. The division also administers the state's controlled substance drop box program.

The division administers the state's medical marijuana program in which the state licenses four medical marijuana producers, eighteen medical marijuana dispensary facilities, tracks the certification of patients by their physicians and approves research protocols to improve medical treatment with medical marijuana.

Crisis Response - The division is an integral component of the state's inter-agency network for rapid response to drug crises during emergency situations, natural disasters and nuclear-related emergencies. Many of the compliance inspections and investigations are performed in collaboration with the Federal Food and Drug Administration and the Connecticut Department of Public Health.

Information and Referral - The division responds to inquiries from citizens, licensees and law enforcement personnel who are seeking information about pharmaceutical products, drugs, cosmetics, medical devices, pharmacists, pharmacies, health care practitioners, product recalls, advertisements, state and federal laws and a wide variety of related issues.

Liquor Control Division

Enforcement - The Liquor Control Division conducts inspections and investigations to ensure compliance with the provisions of state laws and regulations pertaining to the manufacture, importation, sale and dispensing of liquor. Applicants for liquor permits are investigated to assess their eligibility for licensure while establishments with permits are inspected to ensure that safety, sanitary conditions, and suitability of conduct requirements are met. Field agents investigate alleged violations of the State Liquor Control Act that include the sale of alcohol to minors and intoxicated persons; the conduct of premises as it pertains to unlawful activity such as suspected drug dealing, fights, and illegal gambling; deceptive or unfair trade practices; pricing; labeling; violations of regulations regarding adult entertainment; purchases of liquor from prohibited entities; brand registration; reported instances of non-compliance; and consumer complaints involving liquor. The division works in a collaborative manner with state and municipal police officers to conduct joint enforcement actions such as alcohol compliance operations that utilize trained minors. It also seizes liquor products, maintains the evidence for hearings and court cases and subsequently supervises the destruction or disposal of these products.

Licensing staff within the division ensure that renewal permit applications are reviewed and processed in a timely manner to ensure a smooth and continuous operation of permit premises in the state and outside the state.

Information and Referral - The division responds to inquiries from citizens, permittees and law enforcement personnel who are seeking information about permit holders, permit requirements, brand registrations, the State Liquor Control Act and regulations, acceptable forms of identification and a wide variety of related issues. It conducts training programs for state and local law enforcement officers, holds workshops for permittees and servers and assists organizations by providing information and strategies designed to prevent underage drinking.

Liquor Control Commission - The Liquor Control Commission is a three-member regulatory body within the department that is chaired by the Commissioner of Consumer Protection. It oversees provisional permits and holds formal administrative hearings as well as compliance meetings regarding allegations about the suitability of applicants and permittee premises, obtaining liquor permits by fraud, sales to minors and intoxicated persons, unlawful activity on permittee premises and other matters as are delegated to the Liquor Control Commission by the Commissioner of Consumer Protection.

Gaming Division

Casinos - The casino section monitors compliance with gaming procedures and with agreements between the state and the Mashantucket Pequot and Mohegan Tribes. The field staff assists in ensuring compliance with the memoranda of understanding between the tribes and the state by monitoring the drops and buys and reviewing the daily cash count to discover and reconcile any differences.

Casino Gaming Licensing – The casino licensing section processes all applications for casino licenses and registrations in accordance with the Tribal-State Compacts and the Licensing and Disclosure Regulations.

Lottery - The lottery section ensures the integrity of the operation of the Connecticut Lottery Corporation (CLC) by reviewing procedures governing the operation of the lottery; enforcing statutory mandates and regulations; conducting field inspections of CLC headquarters, the lottery on-line vendor and approximately 3,000 lottery agent locations; and overseeing the testing of new lottery games and all game related drawings conducted by the CLC.

Off-Track Betting - The off-track betting (OTB) section ensures the integrity of the off-track betting system operations and betting activity by monitoring compliance with statutes and regulations involving licensing and integrity issues and oversees daily operations of telephone betting and twelve simulcast facilities. The OTB section also ensures that all advance deposit wagers originating or placed from within the boundaries of the state are placed with a licensed authorized operator of the off-track betting system, and appropriate compensations are collected in accordance with state statutes.

Gaming Audit – The gaming audit section consists of two distinct units: Casino and Lottery/OTB audit sections. The Casino Audit section audits revenue generated by video facsimile/slot machines while the Lottery/OTB unit audits revenue generated by the OTB daily tax and chronic gamblers fee and processes Keno payments to the Tribal casinos. In addition, it reviews and makes recommendations on proposed software and hardware modifications to complex computerized wagering systems involving both the OTB and the Connecticut Lottery Corporation.

Gaming Investigations - The gaming investigations section is responsible for criminal and civil investigations related to legalized gaming and is the law enforcement component of the division. The section investigates violations of the statutes and regulations and conducts background investigations for gaming license applications.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|---------------------|-------------------------------|-------------------------------|
| | Actual | Estimated | Projected | Projected |
| Drug Control - Ratio and percentage of assigned investigations resulting in administrative or criminal action | 930/1274 (73%) | 1036/1400 (74%) | 1095/1500 (73%) | 1095/1500 (73%) |
| Gaming - Number of Lottery Drawings conducted / Number of Lottery Drawings monitored | 2,995 / 2,995 (100%) | 3,000 /3,000 (100%) | 3,000 /3,000 (100%) | 3,000 /3,000 (100%) |
| Foods - Ratio and percentage of assigned food inspections of manufacturing and warehouse facilities completed annually to food inspections assigned annually | 353/475 (74%) | 353/475 (74%) | 451/689 = 65% FY 2018-2019 | 455/817 = 56% FY 2019-2020 |
| Liquor - Ratio and percentage of projected and assigned alcohol compliance checks completed annually | 120/150 (80%) | 150/150 (100%) | 100/150 (67%) | 20/150 (13%) |
| Drug Control - Ratio and percentage of law enforcement & health care professionals trained annually | 6,500/37,571 (17%) | 6,500/36,000 (18%) | 10,000/36,000 (27%) | 13000/37,000 (35%) |

| | | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|---------------------------------|---------------------------------------------|---------------------------------------------|
| Foods - Ratio and percentage of consumer complaints closed over 60 days | 115/473 (24%) over 60 days | 115/473 (24%)over 60 days | 112/687 (16%) over 60 days, 2018 2019 | 119/648 (18%) over 60 days, 2019-2020 |
| Liquor - Ratio and percentage of assigned investigations resulting in administrative action | 400/800 (50%) | 600/800 (75%) | 300/800 (48%) | 100/800 (12%) |
| Gaming Casino Audit Section - monthly on site field audits | 18 (COVID) (75%) | 24 | 24 | 24 |
| Gaming Casino Audit Section- monthly monitoring of casino financial reporting, and preparation of various summary statistical management reports | 24 (100%) | 24 | 24 | 24 |
| Gaming - Number of Lottery Sales Agent locations / number of compliance inspections conducted by staff | 2,904 (COVID)/ 6,874 (42%) | 3,150 / 7,000 | 3,250 / 7,050 | 3,375 / 7,200 |
| Gaming -Casino Gaming Licenses Processed | 11146 (111%) | N/A | 10,000 | 10,000 |
| Gaming -OTB, Track Approvals | 125(96%) | N/A | 130 | 135 |
| Gaming - Casino monitored Drops/ Buys/ Kobetron testing | 678/916/4396 (COVID) (55%/78%/84%) | 1218/1169/5200 | 1218 /1169/5200 | 1218 /1169/5200 |
| Liquor - Number of law enforcement officers and retailers trained annually | 250/450 | 400/450 | 400/450 | 450/450 |
| Drug Control - Prescription Monitoring Program number of practitioners registered/total number of practitioners eligible to registered | 26,934/31,234 (86%) | 29,000/30,000 (96%) | 29,900/31,500 (93%) | 30,000/31,000 (96%) |
| Gaming - Number of Investigations completed | 240 | 250 | 255 | 260 |
| Frauds - Ratio and percentage of Hotline Calls handled to all calls | 14,771/16,399 (90%) | 15,000/15,750 (95%) | 15,000/15,750 (95%) | 15,000/15,750 (95%) |
| Investigations - Number of scheduled inspections conducted annually | 433 | 445 | 446 | 450 |
| Occupational & Professional - Number of new license applications processed within 30 days | 350 | 375 | 400 | 425 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 54 | 1 | 0 | 55 | 55 | 119 | 55 | 119 |
| Federal Funds | 5 | 0 | 1 | 6 | 4 | 4 | 2 | 2 |
| Private Funds | 12 | 0 | 0 | 12 | 12 | 12 | 12 | 12 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Recommended |
| General Fund | 5 | 5 | 5 | 5 | 5 | 5 | 5 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 5,750,220 | 6,504,671 | 6,706,365 | 6,706,365 | 10,557,170 | 7,020,307 | 6,963,772 | 11,987,249 |
| Other Expenses | 587,980 | 810,378 | 810,378 | 810,378 | 3,560,872 | 810,378 | 810,378 | 3,298,600 |
| Total-General Fund | 6,338,200 | 7,315,049 | 7,516,743 | 7,516,743 | 14,118,042 | 7,830,685 | 7,774,150 | 15,285,849 |

| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 4,715,993 | 5,676,911 | 5,615,625 | 5,615,625 | 5,615,625 | 5,784,006 | 5,784,006 | 5,784,006 |

| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16838 Comprehensive Opioid Abuse Site-Based Program | 663,394 | 735,196 | 54,346 | 54,346 | 54,346 | 0 | 0 | 0 |
| 93103 Food & Drug Administration Research | 237,059 | 477,644 | 264,975 | 264,975 | 264,975 | 264,999 | 264,999 | 264,999 |
| 93136 INJURY PREVENTN & CONTROL RESEARCH/STATE & COMMUNITY PROG | 133,366 | 401,769 | 406,355 | 406,355 | 406,355 | 41,711 | 41,711 | 41,711 |
| 93243 SUBSTANCE ABUSE/MENTAL HEALTH SRVS PROJECTS REGION/NATIONAL | 118,279 | 131,611 | 37,727 | 37,727 | 37,727 | 0 | 0 | 0 |

| | | | | | | | | |
|-------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Total - All Funds | 12,206,291 | 14,738,180 | 13,895,771 | 13,895,771 | 20,497,070 | 13,921,401 | 13,864,866 | 21,376,565 |
|-------------------|------------|------------|------------|------------|------------|------------|------------|------------|

INVESTIGATIONS OF UNFAIR TRADE PRACTICES & OCCUPATIONAL/PROFESSIONAL LICENSING

STATUTORY REFERENCE

C.G.S. Chapters 246, 283, 368a, 379, 389, 390, 391, 392, 393, 393b, 393c, 394, 396, 396a, 399a, 399b, 400, 400b, 400f, 400g, 400h, 400i, 400l, 400m, 400o, 400p, 416, 420, 420a, 420d, 407, 407a, 412, 416, 419c, 419d, 482, 669, 734a, 734b, 735, 735a, 736, 737, 737a, 740, 741, 743, 743s, 743dd, 826 and 827.

STATEMENT OF NEED AND PROGRAM OBJECTIVES

To protect public health and safety by investigating claims of unfair trade practices and violations of the home improvement act. To ensure that occupational tradespeople and professionals are properly engaged in their practice. To protect individual consumers and businesses from harm by detecting, preventing, and deterring unfair and deceptive business practices. To protect Connecticut citizens from health and safety hazards and from unsafe or unscrupulous practitioners by administering a professional licensing procedure which ensures that only qualified, competent individuals are licensed in the occupational trades and in several professional licensing categories. To protect public health and safety through the enforcement of licensing obligations for numerous occupational and professional trades.

PROGRAM DESCRIPTION

Program objectives are achieved through the following activities.

Investigations Division

Enforcement - The Investigations Division reviews complaints and conducts investigations to determine if applicable consumer protection laws were violated and intervenes to prevent, halt, and deter fraudulent conduct.

The division regulates, among other things, health clubs, funeral home contracts, homemaker companion agencies, charities and closing out sales. The division also investigates any complaints or alleged violations by persons or businesses in the occupations and professions licensed by the Department and regularly presents on that activity at the applicable Board and Commission meetings. The Investigations Division provides financial relief to consumers through its

administration of the Home Improvement Guaranty Fund, the Health Club Guaranty Fund, and the New Home Construction Guaranty Fund.

Occupational/Professional Licensing Division

Enforcement - The Occupational and Professional Licensing Division enforces laws governing approximately 93,000 licensees in 33 areas and, where applicable, administers nationally standardized examinations as approved by each licensing board. License categories handled include, but are not limited to, plumbers, pipefitters, steamfitters, elevator repairers, well-drillers, real estate salespersons and brokers, real estate appraisers, interstate land sales, architects, professional engineers, land surveyors, landscape architects, interior designers, mechanical contractors, television and radio repairers, major contractors, public service technicians, home inspectors, automatic fire sprinkler system layout technicians, fire protection sprinkler fitters, mobile home parks, glaziers, operating stationary engineers and pool and spa repairers. The division ensures that applicants for licensure possess the required education and training by testing for specific knowledge of the licensed fields.

Boards, Commissions and Councils - The division provides administrative support to administer and enforce laws regarding eligibility for licensure and to develop and administer testing procedures that determine competency. These boards include: Plumbing and Piping Work Examining Board; Heating, Piping, Cooling and Sheet Metal Work Examining Board; Electrical Work Examining Board; Elevator Craftsman Work Examining Board; Fire Protection Sprinkler Work Examining Board; Automotive and Flat Glass Work Examining Board; Home Inspector Licensing Board; Architectural Licensing Board; State Boards of Examiners for Professional Engineers and Land Surveyors; State Board of Landscape Architects; Real Estate Commission; Real Estate Appraisal Commission; Major Contractor Advisory Council; Mobile Manufactured Home Advisory Council; and State Board of Accountancy.

Information and Referral - The division responds to inquiries from citizens and licensees who are seeking information about licensing requirements, fees, state and local laws, licensees and a wide variety of related issues.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-------------------------------------------------------------|----------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Complaint Center support - Ratio of calls to chats | Actual 9971:3385 (75%:25%) | Estimated 9000:6000 (60%:40%) | Projected 9000:6000 (60%:40%) | Projected 9000:6000 (60%:40%) |
| Investigations - Number of complaints investigated annually | 1,296 | 1,300 | 1,453 | 1,606 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 78 | 5 | 0 | 83 | 83 | 83 | 83 | 83 |
| Private Funds | 6 | 1 | 0 | 7 | 7 | 7 | 7 | 7 |

| <i>Other Positions Equated to Full-Time</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 |

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,627,041 | 2,895,333 | 2,919,281 | 2,919,281 | 2,919,281 | 3,102,307 | 3,034,293 | 3,034,293 |
| Other Expenses | 151,537 | 151,537 | 151,537 | 151,537 | 151,537 | 151,537 | 151,537 | 151,537 |
| Total-General Fund | 2,778,578 | 3,046,870 | 3,070,818 | 3,070,818 | 3,070,818 | 3,253,844 | 3,185,830 | 3,185,830 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 779,357 | 955,086 | 970,778 | 970,778 | 970,778 | 1,000,871 | 1,000,871 | 1,000,871 |
| Total - All Funds | 3,557,935 | 4,001,956 | 4,041,596 | 4,041,596 | 4,041,596 | 4,254,715 | 4,186,701 | 4,186,701 |

MANAGEMENT SERVICES

STATUTORY REFERENCE

C.G.S. Chapter 416.

STATEMENT OF NEED AND PROGRAM OBJECTIVES

To coordinate and administer policies and programs designed to provide consumer protection to the general public through licensing, investigation, inspection, regulation, enforcement and education.

PROGRAM DESCRIPTION

The Office of the Commissioner sets the agency's course by identifying priorities and by anticipating marketplace problems.

Office of Consumer Education and Communications Outreach is responsible for providing relevant information to the public and alerting citizens to consumer news.

The Legal Services Office provides in-house legal support services through administrative enforcement actions such as formal hearings,

compliance meetings, agreements containing consent orders, assurances of voluntary compliance, investigative demands and subpoenas. The Office also oversees the Agency's Lemon Law program.

The License Services Division processes all licenses, permits, registrations and certificates issued by the department.

The Administrative and Accounting Services Office administers consumer restitution funds and accounts receivable activity.

The Operations Division leads the agency's process improvement efforts, including Lean, and coordinates the agency's participation in the State Data Plan. The division also provides information technology support services to the agency and oversees the consumer complaint center.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|----------------------------------------------------------------------------------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| | Actual | Estimated | Projected | Projected |
| License Services - Ratio and percentage of license renewals processed & issued in less than 5 days | 196,547/204,345 (96%) | 208,000/219,000 (95%) | 211,000/221,000 (95%) | 203,000/212,000 (96%) |
| License Services - Ratio and percentage of renewal license applications processed electronically | 159,343/211,549 (75%) | 171,000/230,000 (74%) | 168,000/215,000 (78%) | 185,000/230,000 (80%) |
| License Services - Ratio and percentage of new license applications processed within 30 days | 26,205/30,790 (85%) | 26,000/32,000 (81%) | 28,000/33,000 (85%) | 30,000/33,000 (91%) |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-----------------------------------------------------|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 45 | 39 | 0 | 84 | 84 | 80 | 84 | 80 |
| Private Funds | 9 | 0 | 0 | 8 | 9 | 9 | 9 | 9 |
| Other Positions Equated to Full-Time | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 4,160,093 | 4,585,494 | 4,613,913 | 4,375,926 | 4,235,821 | 4,802,917 | 5,027,156 | 4,887,051 |
| Other Expenses | 186,513 | 186,513 | 186,513 | 186,513 | 186,513 | 186,513 | 186,513 | 186,513 |
| Total-General Fund | 4,346,606 | 4,772,007 | 4,800,426 | 4,562,439 | 4,422,334 | 4,989,430 | 5,213,669 | 5,073,564 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,550,064 | 1,899,804 | 1,949,188 | 1,949,188 | 1,949,188 | 2,003,043 | 2,003,043 | 2,003,043 |
| Special Non-Appropriated Funds | 4,800 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16838 Comprehensive Opioid Abuse Site-Based Program | 37 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 5,901,507 | 6,677,811 | 6,755,614 | 6,517,627 | 6,377,522 | 6,998,473 | 7,222,712 | 7,082,607 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 13,395,419 | 14,681,816 | 14,928,741 | 18,401,454 | 15,603,468 | 20,588,301 |
| Salaries & Wages-Temporary | 32,887 | 32,887 | 33,494 | 33,494 | 33,494 | 33,494 |
| Salaries & Wages-Part Time | 118,367 | 280,114 | 285,311 | 285,311 | 296,556 | 294,785 |
| Longevity Payments | 100,647 | 100,647 | 100,647 | 100,647 | 100,647 | 100,647 |
| Overtime | 71,627 | 71,627 | 72,959 | 72,959 | 72,959 | 72,959 |
| Accumulated Leave | 243,779 | 243,779 | 243,779 | 243,779 | 243,779 | 243,779 |
| Other Salaries & Wages | 22,066 | 22,066 | 22,066 | 22,066 | 22,066 | 22,066 |
| Other | -1,447,438 | -1,447,438 | -1,447,438 | -1,447,438 | -1,447,438 | -1,447,438 |
| TOTAL - Personal Services-Personal Services | 12,537,354 | 13,985,498 | 14,239,559 | 17,712,272 | 14,925,531 | 19,908,593 |
| Other Expenses | | | | | | |
| Communications | 64,586 | 64,586 | 64,586 | 64,586 | 64,586 | 64,586 |
| Employee Expenses | 1,572 | 1,572 | 1,572 | 1,572 | 1,572 | 1,572 |
| Employee Travel | 12,713 | 12,713 | 12,713 | 12,713 | 12,713 | 12,713 |
| Equipment Rental and Maintenance | 50,590 | 50,590 | 50,590 | 50,590 | 50,590 | 50,590 |

| | | | | | | |
|------------------------|------------|------------|------------|------------|------------|------------|
| Information Technology | 176,907 | 176,907 | 176,907 | 171,165 | 176,907 | 171,165 |
| Motor Vehicle Costs | 320,842 | 325,842 | 325,842 | 325,842 | 325,842 | 325,842 |
| Other / Fixed Charges | -28,596 | -28,596 | -28,596 | -28,596 | -28,596 | -28,596 |
| Other Services | 249,910 | 274,910 | 274,910 | 3,031,146 | 274,910 | 2,768,874 |
| Premises Expenses | 32,991 | 32,991 | 32,991 | 32,991 | 32,991 | 32,991 |
| Professional Services | 4,954 | 4,954 | 4,954 | 4,954 | 4,954 | 4,954 |
| Purchased Commodities | 39,111 | 231,509 | 231,509 | 231,509 | 231,509 | 231,509 |
| Reimbursements | 450 | 450 | 450 | 450 | 450 | 450 |
| TOTAL-Other Expenses | 926,030 | 1,148,428 | 1,148,428 | 3,898,922 | 1,148,428 | 3,636,650 |
| Personal Services | 12,537,354 | 13,985,498 | 14,239,559 | 17,712,272 | 14,925,531 | 19,908,593 |
| Other Expenses | 926,030 | 1,148,428 | 1,148,428 | 3,898,922 | 1,148,428 | 3,636,650 |
| TOTAL-General Fund | 13,463,384 | 15,133,926 | 15,387,987 | 21,611,194 | 16,073,959 | 23,545,243 |

DEPARTMENT OF LABOR

AGENCY DESCRIPTION

The mission of the Connecticut Department of Labor (CTDOL) is to protect and promote the interests of Connecticut's workers and assist workers and employers to be competitive in the global economy.

The department accomplishes its mission by providing a variety of services that benefit the workplace. These services include: income support that assists workers between jobs and stimulates the local economy; protection on the job (through statutes covering wages, safety regulations, working conditions, and on-site health and safety consultations); work-related training programs; job search and recruitment; tax credit incentive programs and maintenance of the collective bargaining relationship. As the Connecticut arm of the U.S. Bureau of Labor Statistics, the department collects, analyzes and disseminates workforce data to inform businesses, the general public, educational institutions, and government planners and policymakers about employment issues and trends.

Informational and enforcement responsibilities that serve both workers and employers are assigned to the department by statutes that cover the payment of wages, collection of Unemployment Insurance (UI) taxes, payment of UI benefits, health and safety,

employment of minors, family and medical leave, representation by labor organizations and resolution of labor disputes.

The department provides both employment and unemployment services to workers and employers, with a strong emphasis on continuous improvement in terms of quality and customer satisfaction. The department's website (www.ct.gov/dol) is highly utilized by jobseekers and employers interested in job fairs, wage standards, unemployment insurance, labor market information, and a wide variety of other workforce services.

CTDOL's major programs, Unemployment Insurance and the Wagner-Peyser Labor Exchange/Employment Services, are federally funded. Federal funding for the administration of the state's UI program is tied to the unemployment rate. Connecticut's unemployment rate has ranged from 3.4 percent to 10.1 percent during 2019-2020.

CTDOL continues to work with a coalition of business, labor and state and federal government agencies to develop federal legislation that would, among other things, increase administrative funding for UI and employment services.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------|------------|------------|
| • Adjust Funding for Workforce Innovation and Opportunity Act to Align with Federal Award | -3,471,501 | -3,471,501 |
| • Annualize Funding for Wage Investigators Pursuant to PA 19-1 JSS | 120,717 | 120,717 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 667,024 |
| • Provide Funding for State Employee Wage Adjustments | 123,434 | 123,434 |

Reductions

| | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|
| • Eliminate Funding for the Workforce Training Authority <i>The Workforce Training Authority (WTA) has not yet convened. In addition, the purpose of the WTA is within the scope of the Office of Workforce Strategy.</i> | -475,000 | -475,000 |
| • Annualize FY 2021 Rescissions | -154,580 | -154,580 |

Reallocations

| | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|
| • Reallocate Resources to Support the Office of Workforce Strategy <i>Funding is transferred to the Office of Workforce Strategy (OWS), which will serve as the principal advisor on workforce policy and be responsible for coordinating the state's strategy on workforce development.</i> | -174,493 | -174,493 |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services | -466,814 | -484,768 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 188 | 4 | 0 | 192 | 192 | 187 | 192 | 187 |
| Workers' Compensation Fund | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| Private Funds | 11 | 0 | 0 | 12 | 11 | 11 | 11 | 11 |
| Employment Security Administration | 365 | 0 | 0 | 365 | 365 | 365 | 365 | 365 |
| Special Non-Appropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Agency Management Services | 2,999,616 | 3,994,816 | 3,918,766 | 3,918,766 | 3,350,771 | 4,140,162 | 4,110,807 | 3,524,858 |
| Job Readiness and Employment Services | 70,896,544 | 80,670,757 | 81,196,058 | 81,195,738 | 80,720,738 | 81,359,123 | 81,452,152 | 80,977,152 |
| Unemployment Insurance | 60,887,892 | 91,269,683 | 92,269,683 | 92,269,683 | 92,269,683 | 92,269,683 | 92,269,683 | 92,269,683 |
| Wage & Workplace Standards | 3,342,418 | 3,083,661 | 3,379,046 | 3,499,763 | 3,499,763 | 3,449,886 | 3,559,725 | 3,559,725 |
| Occupational Safety and Health | 3,515,158 | 3,295,600 | 3,311,856 | 3,307,856 | 3,307,856 | 3,395,801 | 3,386,296 | 3,386,296 |
| Maintaining the Collective Bargaining Relationship | 1,745,495 | 1,738,905 | 1,738,905 | 1,738,905 | 1,738,905 | 1,786,459 | 1,779,157 | 1,779,157 |
| Workforce Job Training & Skill Development | 3,248,219 | 5,785,331 | 5,912,875 | 2,440,440 | 2,212,548 | 5,935,213 | 2,464,284 | 2,236,392 |
| Labor Market Information | 2,501,300 | 2,635,566 | 2,637,495 | 2,637,495 | 2,637,495 | 2,655,604 | 2,653,566 | 2,653,566 |
| TOTAL Agency Programs | 149,136,642 | 192,474,319 | 194,364,684 | 191,008,646 | 189,737,759 | 194,991,931 | 191,675,670 | 190,386,829 |

Summary of Funding

| | | | | | | | | |
|------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 64,926,135 | 71,330,486 | 71,995,519 | 68,644,735 | 67,373,848 | 72,628,020 | 69,306,505 | 68,017,664 |
| Banking Fund | 1,314,356 | 1,425,000 | 1,426,732 | 1,425,478 | 1,425,478 | 1,425,478 | 1,426,732 | 1,426,732 |
| Workers' Compensation Fund | 673,015 | 691,122 | 695,585 | 691,585 | 691,585 | 691,585 | 695,585 | 695,585 |
| Federal Funds | 480,384 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 |
| Private Funds | 1,748,977 | 1,680,863 | 1,900,000 | 1,900,000 | 1,900,000 | 1,900,000 | 1,900,000 | 1,900,000 |
| Employment Security Administration | 78,955,405 | 115,646,848 | 115,646,848 | 115,646,848 | 115,646,848 | 115,646,848 | 115,646,848 | 115,646,848 |
| Special Non-Appropriated Funds | 1,038,370 | 1,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 |
| Total Agency Programs | 149,136,642 | 192,474,319 | 194,364,684 | 191,008,646 | 189,737,759 | 194,991,931 | 191,675,670 | 190,386,829 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 31-1 and 31-2.

Statement of Need and Program Objectives

To ensure that the department delivers necessary services to the public in an integrated and efficient manner and to set policy and manage the department's financial, human and information resources.

Program Description

The **Office of the Commissioner** provides overall management of activities and policy direction to ensure that all CTDOL programs meet the needs of both employees and employers. The Commissioner of Labor is a member of the Governor's Jobs Cabinet and the Connecticut Employment and Training Commission. The office works closely with the Office of Workforce Competitiveness, Workforce Development Boards, other state agencies and community-based organizations to promote effective workforce development strategies in the *American Job Center* (AJC) system.

The **Office of Diversity and Equity Programs (ODEP)** acting on behalf of the Commissioner is charged with the development, implementation and monitoring of the agency's Affirmative Action Plan (Plan). CTDOL is firmly committed to the principles and objectives of equal employment opportunity for all individuals and is an Affirmative Action/Equal Employment Opportunity employer. The Plan documents the agencies good faith efforts to achieve compliance with the state's Affirmative Action Regulations and Contract Compliance laws, the Americans with Disabilities Act, the Fair Employment Practices Act, Title VII of the Civil Rights Act, and other

applicable laws. Equal employment opportunity is the goal of the Plan and to that end numerous steps were taken this past year by the ODEP to coordinate and monitor programs to further equal opportunity in its hiring, promotions, training and other employment-related duties. During the Plan period of May 1, 2019 through April 30, 2020 despite ongoing budgetary concerns, the agency was able to achieve 38 percent of its hiring goals, 60 percent of its promotional goals and 100 percent of its program goals. Details can be found in the agency's Affirmative Action Plan, which was disapproved November 13, 2019 by the Commission on Human Rights and Opportunities.

The demographic information for this period is as follows: 58% of the agency's employees are female and 42% are male. The racial makeup is as follows: 62% are white, 20% are black, 14% are Hispanic and 5% are Asian/American Indian/Alaskan Native/Native Hawaiian or other Pacific Islander.

The **Communications unit** is the primary contact for media inquiries and strives to heighten public awareness of the agency's available services and accomplishments.

The **Employee and Organizational Development unit** ensures that staff has the knowledge, skills and abilities to support the agency's strategic goals. The unit assists the executive management in developing programs that support organizational change through performance improvement initiatives, including training programs and professional development.

The **Legal Division** provides legal counsel to the Executive Administration, Division Directors and Agency staff and interprets a wage range of statutes affecting the Connecticut workplace. The

Division also provides technical assistance in unemployment insurance matters to agency adjudicators and written opinions and verbal guidance in complex cases. Staff members provide educational presentations on the Unemployment Compensation Act to the public. Staff members represent the Unemployment Compensation Administrator in hearings and related proceedings before the Employment Security Appeals Division and the Employment Security Board of Review.

Facilities Management provides services which include the care and control of CTDOL's Central Office, facilities leasing and compliance for CTDOL's local offices; design and planning; facilities project management; printing services; mail services; telecommunication services; security services; warehousing and inventory control.

Business Management develops and provides quality financial and administrative services, which support the agency's overall mission and goals through: securing and managing agency funds by developing budgets and interpreting financial data to support the decision-making process and ensuring the most effective and efficient utilization of agency resources; maintaining and developing quality automated accounting systems that allow accurate and timely production of agency's financial statements and vendor payments; and, providing timely and responsive contract administration services and invoice processing for all contractual financial agreements to which the agency is a party.

Business Management is composed of multiple units that work together to maintain a high level of performance and excellence in all services, which include but are not limited to the following areas: Accounts Payable/Accounts Receivable, Purchasing, Contracts, Budgeting, Accounting, Stock and Administration. These units represent the core services provided to all CTDOL staff, the public and the workforce investment partners who provide employment services to the State of Connecticut.

The Information Technology (IT) division provides information technology infrastructure and applications systems.

The **Performance and Accountability** unit is a centralized impartial unit for data administration, reporting, and operational and program evaluation. This unit supports administrators of the state's workforce investment system by compiling reports and evaluating data; maintaining and supporting the business system, CTHires, and implementing a Results-Based Accountability system to inform decision making regarding business strategies, service delivery, training, and continuous improvement. In 2019, the unit has continued to modify and supply required state and federal reports.

The **Project Management Office (PMO)** provides skilled resources trained in the discipline of project management to agency automation and technology projects. This critical link between program and information technology staff ensures successful project outcomes and consistency with established statewide project practices and controls.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 63 | 0 | 0 | 63 | 63 | 63 | 63 | 63 |
| Private Funds | 0 | 0 | 0 | 0 | 10 | 10 | 10 | 10 |
| Employment Security Administration | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,757,857 | 3,332,590 | 3,256,540 | 3,256,540 | 2,693,620 | 3,477,936 | 3,448,581 | 2,867,707 |
| Other Expenses | 159,087 | 177,825 | 177,825 | 177,825 | 172,750 | 177,825 | 177,825 | 172,750 |
| Total-General Fund | 2,916,944 | 3,510,415 | 3,434,365 | 3,434,365 | 2,866,370 | 3,655,761 | 3,626,406 | 3,040,457 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 26,976 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Employment Security Administration | 55,696 | 484,401 | 484,401 | 484,401 | 484,401 | 484,401 | 484,401 | 484,401 |
| Total - All Funds | 2,999,616 | 3,994,816 | 3,918,766 | 3,918,766 | 3,350,771 | 4,140,162 | 4,110,807 | 3,524,858 |

JOB READINESS AND EMPLOYMENT SERVICES

Statutory Reference

C.G.S. Chapters 565 and 567, Sections 31-3j, 31-3k, 31-3o, Chapter 319oo, Sections 31-51w, 4-124w, 17b-694, 12-217y, 17b-16, Public Law 105-220, Subtitle B, Section 112 (b)(18)(C).

Statement of Need and Program Objectives

To provide job placement, supportive services and temporary financial assistance to individuals who are unemployed and/or are training for employment.

Program Description

The CTDOL administers the **Workforce Innovation and Opportunity Act (WIOA)** federal funding, which totaled \$34.6 million for program year 2019. Nearly 60,000 individuals were served in the WIOA-supported American Job Centers across Connecticut, with services that include the use of self-service career centers, group activities, career counseling, and access to and attendance in training. WIOA, which was enacted in July 2014 and took effect July 1, 2015, superseded the Workforce Investment Act (WIA) of 1998 as the nation's public workforce system legislation. Designed to improve and strengthen the workforce system, WIOA enhances and increases coordination between service delivery partners engaged in the provision of employment, education, and training services. WIOA retains the customer-focused, one-stop workforce delivery system offered under WIA and emphasizes the development of opportunities and advancements for youth and individuals with significant barriers to employment. Under WIOA, a key factor in assisting participants obtain employment or become re-employed in jobs that lead to self-sufficiency is the availability of high-quality training offerings for in-demand occupations. To fulfill this requirement, CTDOL and its partners engage with Connecticut employers to gather critical information regarding current and future workforce needs and identify occupational trends. Providing career pathways with stackable, portable industry-recognized credentials for the state's workforce will bolster the growth of businesses and help Connecticut remain competitive in an ever-changing economy. During the challenging transition to WIOA, CTDOL worked closely with the state's five Workforce Development Boards and other partner agencies to develop WIOA policies and procedures; update contracts, application forms, and other programmatic documents; establish mandated plans, program linkages and board certifications; and launch a new virtual one-stop employment and management information system (CTHires).

The state **Rapid Response (RR) Unit**, in conjunction with local Workforce Development Boards and other One-Stop partners, is responsible under WIOA Title I (20 CFR, Part 682, Subpart C) for carrying out rapid response activities statewide. Headed by the Connecticut Department of Labor, the RR Unit reaches out to employers contemplating or experiencing layoffs and plant closings. Employers, affected workers, and their unions are provided information on layoff aversion, mass layoff/plant closing, unemployment insurance, WIOA, One-Stop employment services, and various labor laws. The RR Unit also makes referrals to, and coordinates services with, CTDOL units, other agencies, and programs for special intervention or supportive services applicable to dislocated workers and struggling businesses.

During the period of July 2019 to June 2020, the RR Unit made 404 initial outreach calls regarding potential layoffs and responded to 99 WARN notices affecting 9,342 workers. The RR staff made 56 employer and/or union visits and provided 105 presentations to 1,591 impacted workers, which included seven on-site job search and/or

career planning workshops, two Trade Adjustment Assistance Act (TAA) information sessions, and 28 webinars for workers from companies that did not avail themselves of Rapid Response services prior to layoff.

Employment sites where face-to-face contact was not possible were provided packets of information, benefiting an additional 1,129 dislocated workers, including human resources managers and union representatives. An additional 2,706 webinar invitations were sent to workers who were part of large layoffs but whose employers declined on-site visits or had employees who work remotely.

As a means of layoff aversion, the RR Unit coordinated or assisted with two company-specific job fairs providing 450 jobseekers the opportunity to meet with potential hiring employers. RR staff also reached out to 32 employers participating in the Shared Work program to check their status and make appropriate referrals to Economic Development or other resources if the employers indicated that they were continuing to struggle or have difficulty emerging from a downturn in business. RR Unit Staff also played a significant role in explaining, fielding questions, and assisting with the processing applications for roughly 1,100 Shared Work applications the Department received since mid-March when the pandemic began to significantly impact businesses.

The RR Unit submitted 20 TAA petitions on behalf of workers whose jobs were believed to be affected by increased imports or a shift in production to a foreign country. Eight petitions, covering 323 workers, were certified TAA eligible. Four petitions were still pending investigation at the close of the program year, and one petition was withdrawn. Seven petitions resulted in negative determinations.

It should also be noted that between March 23, 2020 and June 30, 2020, RR Unit staff also responded to approximately 15,000 emails from employers, displaced workers, and union representatives who had a variety of questions and/or issues related to job losses due to COVID-19.

Job Corps is a national, federally funded, primarily residential, educational and vocational training program administered by the U.S. Department of Labor that helps low income youth ages 16-24 to gain workplace skills, train for an occupation in demand, and become independent and self-sufficient. With centers in Hartford and New Haven, more than 400 students enroll each year to earn a high school diploma or GED, learn a trade, obtain third party certifications and receive assistance finding a good job. CTDOL employees provide a variety of supports to both the Hartford and New Haven Job Corps Centers. CTDOL is committed to promoting and enhancing the goals of Job Corps students and staff with a vision that includes constantly enlisting new partners and establishing innovative programs to help Connecticut's youth to become educated, trained and established in careers with upward mobility. The wide array of services provided by CTDOL's on-site coordinator proves to be extremely beneficial to the program. Services include but are not limited to: accessing and coordinating services/programs/jobs to provide quality educational/employment opportunities and support programs to students; assisting in the recruitment, training and placement of students; providing technical assistance and training to Job Corps center staff and direct services to students in a variety of employability-related areas; facilitating coordination between the center, area *American Job Centers* and local Workforce Development Boards to support student needs; and providing direct support to students in the area of employability services, such as résumé writing, interviewing preparation, and job search assistance.

There are currently five **Opportunities Industrialization Centers** (OICs), located in New Haven, New Britain, Bridgeport, Waterbury and New London, which deliver services to individuals with significant barriers to employment.

CTDOL administers **Jobs First Employment Services** (JFES) which provides employment services to recipients of the Temporary Family Assistance (TFA), the Department of Social Services' cash assistance program.

The goals of JFES are to enable TFA recipients to become independent of cash assistance, through employment, within 21 months; to remain independent of cash assistance; and to achieve federally mandated work participation requirements.

All TFA families that are not determined to be exempt have 21 months to reach independence through employment. These families are referred to as "time-limited" and the parents are required to participate with JFES.

In FY20, JFES served 5,369 recipients. Of these, 2,041 entered employment at some time during that year with an average wage of \$12.68.

A range of employment services were provided including assessment, case management, job search assistance, vocational education, subsidized employment, adult basic education and other support services. CTDOL contracts with the five Workforce Development Boards to provide these employment-related services for JFES customers. All services are integrated in the statewide One-Stop Centers.

Under the **Wagner-Peyser Act**, CTDOL receives federal funding to provide universal access to an integrated array of labor exchange services including, but not limited to, job search assistance, job referral and placement assistance for jobseekers; reemployment services to Unemployment Insurance claimants; and recruitment services to businesses with job openings.

The Connecticut Department of Labor's self-service job bank, located within the CTHires (www.cthires.com) employment system, offers individuals the ability to rapidly search for jobs, based on search criteria selected by the jobseeker, including location, occupation, industry, skills, salary, employer name, and more. The system offers quality, unduplicated job listings entered directly into CTHires by Connecticut employers or drawn from an employer's corporate website. Jobseekers can post their résumés on CTHires while employers can post jobs and search the résumé bank for qualified candidates. The Virtual Recruiter component of CTHires allows individuals to save a job search and run it periodically to identify new job postings that match their search criteria.

From July 1, 2019 to June 30, 2020, a total of 988 registrations from businesses were processed by CTHires staff. During this same period, employers posted 42,796 new Connecticut job orders, and jobseekers placed 5,405 new résumés into the system. In addition, 114,673 jobs were indexed from corporate websites. Indexing allows jobseekers to click on a job title and be taken directly to the job listing on the employer's website.

Also, during the program year, 28,292 Wagner-Peyser participants received services (staff-assisted or self-service) at AJC offices, with 85,174 staff-assisted services provided statewide. In addition, 2,721 individuals received résumé preparation assistance at CTDOL-sponsored events and job centers.

Special Population Groups

Veterans Services - Connecticut's veterans receive reemployment services from trained staff at American Job Centers (AJCs) throughout

the state. Specialized staff include representatives funded by the Jobs for Veterans State Grants (JVSG) program, which is administered through a federal grant from the USDOL-Veterans' Employment and Training Service:

- Disabled Veterans' Outreach Program (DVOP) specialists provide intensive services and facilitate job placements to meet the employment needs of veterans and eligible spouses with significant barriers to employment (SBE) or other characteristics specified by the Secretary of Labor. DVOPs also conduct outreach to enroll SBE and priority-category veterans into the AJCs.
- Local Veterans' Employment Representatives conduct outreach to area employers to assist veterans in gaining employment, and they facilitate the employment, training, and placement services for all veterans in the AJCs.

During the period July 1, 2019, through June 30, 2020, CTDOL provided employment and training services to 755 JVSG participants, approximately 61 percent of the 1,231 eligible veterans served under Wagner-Peyser.

Second Chance IBEST - The Second Chance IBEST (Integrated Basic Education and Skills Training) program was designed to reduce unemployment and recidivism among ex-offenders/returning citizens and to increase their earnings, employment and credentials. Specifically, program goals include: completion of basic, occupational, and advanced training; increase in earnings and employment; reduction of recidivism; and retention of employment. Focus is on serving ex-offenders discharging to, or residing in, Hartford, New Britain, Manchester, and East Hartford. Participants may be drawn from other Hartford County towns if slots are not filled with participants from the priority towns. Priority is given to participants from the age of 18 through 25.

From July 2019 to June of 2020, an estimated 192 individuals received outreach and recruitment under the Second Chance IBEST program. Of these, 161 attended orientations and completed program applications. Out of the 161 applicants, 67 entered sector training in culinary, manufacturing, or welding, not including construction. An additional 26 participants completed a 40-hour construction credential week. A total of 188 vocational credentials were issued and 3 participants were re-incarcerated during the reporting period. (It should be noted that with a federal Opioid emergency grant from US DOL, an additional 26 participants were served in the program, not with state funds.)

Jobs Funnel - The **Jobs Funnel program is an initiative** comprised of public-private joint efforts around the state. The program places unemployed and underemployed individuals in a variety of employment opportunities including construction and non-construction jobs in both union and non-union settings and apprenticeship training programs. Strategic partnerships support the Jobs Funnels in the areas of outreach and recruitment, job training and employment. The Funnel partnerships have placed 4,580 individuals into jobs since their inception.

Unemployment Insurance Reemployment Services and Eligibility Assessment (UI RESEA) Program - During periods of unemployment, the agency provides a variety of federally-funded reemployment services to residents. CTDOL meets the reemployment needs of many UI claimants through the Unemployment Insurance Reemployment Services and Eligibility Assessment (UI RESEA) program, which serves claimants who are profiled as most likely to exhaust benefits before returning to work and those receiving Unemployment Compensation for ex-service members (UCX). The goal of this program is to provide UI claimants early access to services that will help get them back to

work faster. The UI RESEA program also serves as a prevention/detection program regarding improper UI payments.

CTDOL implemented the UI RESEA program statewide in all five comprehensive *American Job Centers*. Under RESEA, claimants reported to the Bridgeport, Hartford, Hamden, Montville and Waterbury *American Job Centers* (AJC) to receive the following mandated services: orientation to AJC services, UI Eligibility Assessment, review of the claimant's work search efforts, provision of labor market services and career information specific to the claimant's needs and the development and review of an individual reemployment plan. Each RESEA claimant is referred to at least one mandatory reemployment service and/or training based on an assessment of the claimant's most critical need identified in the individual reemployment plan.

From July 2019 to June 2020, CTDOL successfully completed 5,401 Initial RESEA appointments. For this same time period, 5,140 claimants participated in a required reemployment activity.

On March 13, 2020, the RESEA program was temporarily, suspended due to the immediate concern over COVID-19 and the risk to customers and staff. The AJCs were closed to the public and CTDOL did not have the ability to conduct Initial RESEA appointments virtually due to the lack of technology and manual processing of paperwork. In addition, ES/RESEA staff had to be reassigned to UI Benefits to handle the insurmountable workload created by the implementation of four new federal UI programs (PUA, FPUC, PEUC and EB). It is anticipated that CTDOL will resume the program in December of 2020, with the delivery of virtual services.

Shared Work - The Shared Work Program preserves employee's jobs and an employer's trained workforce during a temporary decline in business. Rather than reducing their workforce through layoffs, an employer reduces the hours of work for all, or a unit of affected employees. These employees could then be eligible to receive partial unemployment compensation benefits to help supplement their lost wages. Due to COVID19, CTDOL experienced a large increase in employer participation. To help with the current and future demands, CTDOL partnered with a vendor to help with surge support, pursue automation solutions, launch a virtual agent, and provide outreach efforts to offer a viable solution to CT employers in need. Between October 1, 2019 and September 30, 2020, the program served 13,080 claimants and 1,377 CT employers, 1,203 of which were new to the program. Program highlights and regulations, along with a downloadable plan application, may be found at the Labor Department website:

www.ctdol.state.ct.us/progsupt/bussrvce/shared_work/swp.htm.

Serving People with Disabilities - CTDOL works in conjunction with the Bureau of Rehabilitative Services, Department of Social Services, as well as other state agencies and community-based organizations toward the goal of improving the lives of people with disabilities.

CTDOL increased the capacity of the American Job Centers to serve jobseekers with disabilities over the course of two federal Disability Employment Initiative grants. In collaboration with the five workforce development boards, CTDOL implemented innovative approaches to develop partnerships and referral streams, increase the participation of, and coordinate services and supports for individuals with disabilities in American Job Center programs and services.

CTDOL is also a member of the Governor's Committee on employment of People with Disabilities and supports the Committee's mission of addressing the workforce development needs of individuals with disabilities. CTDOL maintains a website of disability resources for both jobseekers and employers, at www.ctdol.state.ct.us/gendocs/pwd.htm. This website, developed collaboratively between CTDOL and the Governor's Committee on Employment of People with Disabilities, includes information on assistive technology, tax credits, workplace accommodations, and disability employment initiatives in Connecticut.

Migrant and Seasonal Farm workers (MSFWs) - CTDOL is committed to providing MSFWs equal access to the full range of employment services available to the general population. CTDOL's State Monitor Advocate ensures compliance with all laws concerning Migrant and Seasonal Farmworkers. Outreach services were provided to approximately 588 workers, including information on available workforce services, referrals to healthcare providers and other supportive services. Agricultural employers received recruitment assistance, mediation, and interpreter services, as well as technical guidance on compliance with the Migrant and Seasonal Farm Worker regulations.

Trade Adjustment Assistance (TAA) - The TAA program helps individuals who are part of worker groups certified by the U.S. Department of Labor as having lost their jobs or experienced partial separation due to foreign competition. TAA offers a multitude of benefits to eligible workers including reemployment services, training, income support, job search allowance, relocation allowance, and a health coverage tax credit. Certifications also provide a wage subsidy (Reemployment Trade Adjustment Assistance – RTAA) for workers age 50 years or older.

During the PY19, 16 Connecticut companies were certified under Trade, with 469 workers determined eligible for benefits.

Foreign Labor Certification (FLC) - This program allows employers who have been unsuccessful in securing United States workers to hire foreign nationals on a temporary basis in both non-agricultural and agricultural employment environments. In order for a Foreign Labor Certification to be approved, U.S. DOL must ensure that there are in fact an insufficient number of U.S. workers who are able, willing, qualified and available to fill these positions. Further, it must be established that the employment of the foreign nationals will not adversely affect the wages and working conditions of similarly employed U.S. workers. CTDOL no longer responds to requests for prevailing wages from employers' intent on hiring H-1B non-immigrant professionals, H-2B Temporary Nonagricultural, and Permanent Workers. However, all prevailing wage requests can be addressed to: Office of Foreign Labor Certification, U.S. Department of Labor, ETA, National Prevailing Wage Center, 200 Constitution Ave. NW, Room 5311, Washington, DC 20210. The phone number is (202) 693-8200.

FLC handled 101 H-2A job order certifications and inspected 206 housing units at employer/farms in connection with agricultural certifications. During this time period, the CTDOL has also re-established its H-2B program which handles certifications for temporary foreign non-agricultural employment. CTDOL staff processed 47 job orders for H-2B workers.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|---------------------------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| JFES-Number entered employment | 2,041 | 2,041 | 2,041 | 2,041 |
| JFES-Number served | 5,369 | 5,369 | 5,369 | 5,369 |
| VETS-Total Veterans/Eligibles Served (WP) | 1,231 | 923 | 1,250 | 1,375 |
| VETS-Total Veterans/Eligibles Served (Jobs for Veterans State Grants- JVSG) | 755 | 566 | 775 | 852 |
| VETS-Disabled Veterans Served (JVSG) | 164 | 123 | 175 | 192 |
| VETS-Veteran Entered Employment Rate JVSG (%) | 50 | 50 | 50 | 51 |
| VETS-Veteran Emp Retention Rate, JVSG (%) | 49.2 | 48 | 49 | 50 |
| ES-Job orders on CTHires (inc. NLX jobs) | 157,469 | 110,000 | 125,000 | 140,000 |
| ES-Entered Employment Rate (%) | 65.8 | 64 | 62 | 65 |
| ES-Employment Retention Rate (%) | 65.9 | 66 | 62 | 65 |
| ES-Number of Individuals Receiving Staff Assisted and Self Services | 28,295 | 21,221 | 29,500 | 30,000 |
| ES-Number of Individuals Received Resume Preparation Assistance | 2,721 | 2,040 | 2,800 | 3,000 |
| WIOA-Entered Employment Rate Adult % | 71.5 | 72 | 72 | 72 |
| WIOA-Entered Employment Rate Dislocated Workers% | 76.5 | 77 | 78 | 78 |
| WIOA-Placement in Employment or Education Youth% | 76.5 | 77 | 77 | 77 |
| 2nd Chance - Attended Orientation | 161 | 150 | 150 | 150 |
| 2nd Chance - Entered Boot Camp | 132 | 123 | 123 | 123 |
| 2nd Chance - Entered Sector Training | 67 | 62 | 62 | 62 |
| 2nd Chance - Outreach and Recruitment | 192 | 180 | 180 | 180 |
| Connecticut Youth - Participants Enrolled | 2,610 | 2,278 | 2,278 | 2,278 |
| Long Term Unemployed - Enrollments | 145 | 200 | 200 | 200 |
| Long Term Unemployed - Wage Subsidy Placement | 27 | 50 | 50 | 50 |
| STRIVE - Number Enrolled | 38 | 35 | 35 | 35 |
| STRIVE - Number Placed in Employment | 18 | 25 | 25 | 25 |
| Jobs Funnel - Number of Participants Placed in Construction and Non-Construction Employment | 68 | 54 | 50 | 50 |
| Jobs Funnel - Number of Participants Served | 134 | 125 | 125 | 125 |
| Jobs Funnel - Number of Participants that Completed Pre-employment Training | 68 | 67 | 60 | 60 |
| N.H. Jobs Funnel - Number of Participants Placed in Employment | 224 | 300 | 300 | 300 |
| N.H. Jobs Funnel - Number Served | 368 | 350 | 350 | 350 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 52 | 3 | 0 | 55 | 55 | 55 | 55 | 55 |
| Employment Security Administration | 72 | 0 | 0 | 72 | 72 | 72 | 72 | 72 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 69,145 | 170,960 | 171,227 | 171,227 | 171,227 | 177,483 | 176,522 | 176,522 |
| Other Expenses | 93,870 | 95,024 | 95,024 | 95,024 | 95,024 | 95,024 | 95,024 | 95,024 |
| Other Current Expenses | | | | | | | | |
| CETC Workforce | 429,440 | 567,979 | 568,011 | 568,011 | 568,011 | 579,762 | 579,549 | 579,549 |
| Workforce Investment Act | 31,579,837 | 34,614,361 | 34,633,361 | 34,633,361 | 34,633,361 | 34,736,263 | 34,828,836 | 34,828,836 |
| Job Funnels Projects | 110,436 | 700,000 | 700,000 | 700,000 | 700,000 | 700,158 | 700,164 | 700,164 |
| Connecticut's Youth Employment Program | 4,931,275 | 4,969,096 | 5,000,905 | 5,000,905 | 5,000,905 | 5,003,928 | 5,004,018 | 5,004,018 |

| | | | | | | | | |
|---------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Jobs First Employment Services | 12,035,925 | 12,562,412 | 12,566,193 | 12,566,193 | 12,566,193 | 12,590,484 | 12,591,312 | 12,591,312 |
| STRIVE | 0 | 76,058 | 76,125 | 76,125 | 76,125 | 76,258 | 76,261 | 76,261 |
| Opportunities for Long Term Unemployed | 2,506,454 | 3,009,573 | 3,104,702 | 3,104,702 | 3,104,702 | 3,106,276 | 3,106,334 | 3,106,334 |
| Veterans' Opportunity Pilot | 106,853 | 80,000 | 245,047 | 245,047 | 245,047 | 253,594 | 253,773 | 253,773 |
| Second Chance Initiative | 311,481 | 311,594 | 311,829 | 311,829 | 311,829 | 312,368 | 312,381 | 312,381 |
| Cradle To Career | 0 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| New Haven Jobs Funnel | 325,749 | 350,000 | 350,000 | 350,000 | 350,000 | 350,567 | 350,590 | 350,590 |
| Manufacturing Pipeline Initiative | 1,874,941 | 2,003,251 | 2,004,181 | 2,004,181 | 2,004,181 | 2,007,825 | 2,007,935 | 2,007,935 |
| Workforce Training Authority | 0 | 104,283 | 500,000 | 500,000 | 25,000 | 500,000 | 500,000 | 25,000 |
| Other Current Expenses | 54,212,391 | 59,448,607 | 60,160,354 | 60,160,354 | 59,685,354 | 60,317,483 | 60,411,153 | 59,936,153 |
| Total-General Fund | 54,375,406 | 59,714,591 | 60,426,605 | 60,426,605 | 59,951,605 | 60,589,990 | 60,682,699 | 60,207,699 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Banking Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Opportunity Industrial Centers | -9,214 | 0 | 320 | 0 | 0 | 0 | 320 | 320 |
| Customized Services | 844,980 | 950,000 | 950,467 | 950,467 | 950,467 | 950,467 | 950,467 | 950,467 |
| Other Current Expenses | 835,766 | 950,000 | 950,787 | 950,467 | 950,467 | 950,467 | 950,787 | 950,787 |
| Total-Banking Fund | 835,766 | 950,000 | 950,787 | 950,467 | 950,467 | 950,467 | 950,787 | 950,787 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 147 | 437,500 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 |
| Employment Security Administration | 14,891,729 | 19,368,666 | 19,368,666 | 19,368,666 | 19,368,666 | 19,368,666 | 19,368,666 | 19,368,666 |
| Special Non-Appropriated Funds | 313,112 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93674 Chafee Foster Care Independent Living | 480,384 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 |
| Total - All Funds | 70,896,544 | 80,670,757 | 81,196,058 | 81,195,738 | 80,720,738 | 81,359,123 | 81,452,152 | 80,977,152 |

WAGE AND WORKPLACE STANDARDS

Statutory Reference

Chapters 556, 557 Part III, and 558.

Statement of Need and Program Objectives

To ensure that the more than 1.7 million Connecticut employees receive all the wages to which they are entitled without the need for litigation and to enforce labor statutes that safeguard and protect the rights of workers.

Program Description

The Wage and Workplace Standards Division (WWSD) administers a wide range of laws that protect and promote the interests of Connecticut's workers. The division also devotes considerable resources to encourage and assist Connecticut's employers to comply with the laws primarily through seminars and educational outreach. To increase public awareness of the wage and workplace

laws, the division has published guidebooks, bookmarks, brochures, and more information on its extensive website.

We are responsible for the following two major programs:

- Regulation of wages
- Regulation of working conditions

Within the division, there are three (3) main units that service the two major programs, namely:

1. Wage & Hour Investigators

Generally responsible for ensuring compliance with minimum wage and overtime related wage complaints.

2. Wage Enforcement Agents

Generally responsible for incentive-based complaints (commissions and bonuses), payment of final paychecks, as well as prevailing and standard rates. Staff in this job class are also

responsible for the investigation of child labor laws, meal periods, personnel files, drug testing, and other working condition issues.

3. Public Contract Compliance Unit

Responsible for the enforcement of Prevailing and Standard Wage Rate laws. The division has the authority to stop the work of an employer that misclassifies employees as independent contractors or underreports payroll for the purpose of committing fraud against insurance companies by paying lower premiums.

The division issued 64 Stop Work Orders in-Fiscal Year 2020.

The Laws we Enforce, include the following:

1. Final paycheck not received or incorrect.
2. Commissions not received or incorrect.
3. Bonus.
4. Vacation pay upon termination.
5. Minimum wage.
6. Overtime wages.
7. Not paid for all hours worked.
8. Non-payment of Prevailing and Standard Wage Rates.
9. Improper worker classification(s).
10. Bounced paycheck.
11. Illegal deductions.
12. No paystub.
13. Child labor.
14. Electronic monitoring.
15. Smoking in the workplace.
16. Personnel files.
17. Breastfeeding in the workplace.
18. Use of credit report.
19. Drug testing.
20. Meal periods.
21. Smoking outside the workplace.
22. Paid sick leave (C.G.S. 31-57r).

The laws we enforce rely on the following applications:

- Connecticut General Statutes
- State Regulations and Labor Department Wage Orders and Administrative Regulations. Wage orders cover the restaurant and mercantile industries, while Administrative Regulations cover all other businesses (general employment).
- Court cases – legal precedence for guidance.
- Agency policies and enforcement policies
- Laws and interpretation of other CT Agencies: Fair Labor Standards Act, U.S. Department of Labor for guidance only in the interpretation of Connecticut law

In FY20, \$2.8 million in wages were recovered through the regulation of wages that would otherwise not have been remitted to employees who had legally earned them. Complaints are received by the division in paper format, via telephone, and primarily through our Internet-based portal.

Type of investigations include, but are not limited to:

- Complaint
- Special
- Routine

Investigative activities include:

- Examining payroll, time sheets, and other records.
- Interviewing of employees and employers.
- Scheduling hearings and meetings, as well as taking depositions in the manner provided by Sections 52-148a to 52-148e, inclusive.
- Issuing subpoenas for the attendance of witnesses and the production of books and records.

- Issuing Stop Work Orders against an employer requiring the cessation of all business operations.

Investigative Process:

- Complaint is received and assigned.
- In some cases, the claim may be rejected outright for various reasons, including statute of limitations, lack of jurisdiction, etc.
- Claims may be referred to U.S. Department of Labor, particularly if claim is outside our jurisdiction.
- Claimant is notified of declination to investigate.
- Acknowledgement letter is sent to the claimant if a claim is accepted.
- Claimant is contacted by assigned investigator prior to initiating an investigation through either a telephone contact or written correspondence.
- Claim may still not proceed at this stage for other reasons that may include a lack evidence of employer/employee relationship, withdrawal of claim, work performed outside CT, bankruptcy, collective bargaining agreement, arbitration provisions, etc.
- Claimant is promptly notified.
- Contact with an employer is initiated.
- Records are audited. Audit would typically include:
 - Examining and inspecting of any and all books, registers, payrolls and other records of any such employer that in any way appertain to or have a bearing upon the question of wages of any such persons and for the purpose of ascertaining whether the provisions of this part and the orders of the commissioner have been and are being complied with; and requiring from such employer full and correct statements in writing, if deems necessary, of the wages paid to all persons in his employment
 - Interviews of employee(s).
 - Information is sought from other sources such as other state agencies, employer status quarterly returns, Concord, etc.
- The audit may be terminated upon a finding that the claim is unsubstantiated. Both claimant and employer are notified of this decision.
- Exit Conference: If claim is substantiated, 'Exit Conference' is conducted with the employer. This is where the employer is informed for the first time of violations and corrective actions needed.

Employer Expectation (If claim is substantiated):

- Provided with all relevant Corrective Action (CA) sheets.
- Mailed a findings package.
- Employer submits payment for owed wages determined to be due, or elects to contest investigative findings.
- Employer may request for a meeting/conference.
- Employer inaction or refusal to pay wages determined due may result in either:
 1. Referral of case to Attorney General's office for civil litigation, or
 2. Warrant processing and affidavits for criminal referral, or
 3. Settlement is always an option.

Civil Penalty Sec. 31-69: (Wage Violation):

- Fine of not less than \$100.00 or more than \$400.00 for discharging or non-payment of minimum wage or rates applicable to such employee:
- Fine b/w \$4,000.00 and \$10,000.00 or up to 5yrs in prison or both for unpaid wages of more than \$2,000.00

- Fine b/w \$2,000.00 and \$4,000.00 or up to 1yr. in prison or both for unpaid wages b/w \$1,000.00 and \$2,000.00
- Fine b/w \$1,000.00 and \$2,000.00 or 6mons. In prison or both for unpaid wages b/w \$500.00 and \$1,000.00
- Fine b/w \$400.00 and \$1,000.00 or up to 3mons. In prison or both for unpaid wages of \$500.00 or less

Penalty: (Record Keeping Violation):

Failure to keep records, furnish records, authorize access, or hinder access:

- Fine b/w \$50.00 and \$200.00 and each day thereafter.

Civil Penalty: Sec. 31-69a:

- \$300.00 for each violation of Chapters 557 and 558 and subsection (g) of section 31-288.
- Attorney General may institute civil action to collect CP.

The penalties for non-payment of wages reflect the severity of the non-payment. If more than \$2,000 was not paid, it is a Class D felony.

The Labor Commissioner may assess civil penalties for violation of labor laws amounting to \$300 per violation.

The Wage and Workplace Standards Division is also responsible for regulating the working conditions of Connecticut's employers which are captured in statutes and our administrative regulations. The Division's major function is to investigate complaints from employees and former employees as well as requests from employers relating to the following areas: hazardous employment of minors; working hours and working papers of minors under 18 years of age; polygraph as a condition of employment; blacklisting of employees; more than six days employment in a calendar week; cancellation of medical insurance; heat and utilities in the workplace; written employment policy in the workplace; access to personnel files; meal periods; family and medical leave from employment; smoking in the workplace; random drug testing in the workplace; and whistle blowing. In addition, the division licenses, inspects, regulates and otherwise exercises control over the activities of private employment agencies doing business within the state.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|----------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Wage-Conditions of employment inspections | 410 | 375 | 500 | 500 |
| Wage-Private employment agencies | 92 | 85 | 87 | 87 |
| Wage-Complaint investigations | 3,691 | 3,500 | 4,000 | 4,200 |
| Wage-Average amount of wages collected per agent/investigator(s) (\$) | 108,000 | 100,000 | 150,000 | 200,000 |
| Wage-Employees paid wages | 2,459 | 2,000 | 2,000 | 2,200 |
| Wage-Complaints Received | 2,974 | 2,750 | 3,500 | 4,000 |
| Wage-Time between receipt of complaint and start of investigation (months) | 5 | 3 | 4 | 4 |
| Wage-Employer citations for record keeping violations | 1,140 | 1,000 | 950 | 900 |
| Wage-Hearings held on disputed cases | 100 | 100 | 200 | 250 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 22 | 0 | 0 | 22 | 22 | 22 | 22 | 22 |
| Private Funds | 10 | 0 | 0 | 10 | 0 | 0 | 0 | 0 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 1,838,753 | 1,918,067 | 1,931,815 | 2,052,532 | 2,052,532 | 2,002,655 | 2,112,494 | 2,112,494 |
| Other Expenses | 46,659 | 47,231 | 47,231 | 47,231 | 47,231 | 47,231 | 47,231 | 47,231 |
| Total-General Fund | 1,885,412 | 1,965,298 | 1,979,046 | 2,099,763 | 2,099,763 | 2,049,886 | 2,159,725 | 2,159,725 |

| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,457,006 | 1,118,363 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 |
| Total - All Funds | 3,342,418 | 3,083,661 | 3,379,046 | 3,499,763 | 3,499,763 | 3,449,886 | 3,559,725 | 3,559,725 |

OCCUPATIONAL SAFETY AND HEALTH

Statutory Reference

C.G.S. Chapters 571 and 573.

Statement of Need and Program Objectives

To reduce the incidence of occupational injuries and illnesses by ensuring that workplaces are free from recognized safety and health hazards.

Program Description

The Division of Occupational Safety and Health (Conn-OSHA) has four primary responsibilities:

- Protecting state and local government employees by enforcing safety and health standards in public sector workplaces, which includes conducting routine safety and health inspections,

responding to complaints/requests and investigating fatalities or serious accidents.

- Providing free safety and/or health consultative services, at the employer's request, to Connecticut's public and private employers at their locations. The program is geared to help high-hazard establishments and smaller firms or government agencies that lack the expertise or resources to interpret complex standards or conduct extensive health monitoring.
- Developing and conducting individualized safety and health training programs in the private and public sectors.
- Administering the occupational health clinic program. This program serves workers and employers through recognition, diagnosis and prevention of occupational diseases and injuries. It also collects significant data on occupational health and safety.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|---------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| OSHA-Firms surveyed for injury-illness data | 4,500 | 4,500 | 4,500 | 4,500 |
| OSHA-Compliance inspections | 159 | 160 | 165 | 170 |
| OSHA-Violations (Other than Serious) | 210 | 210 | 215 | 215 |
| OSHA-Instances of Violations (Other than Serious) | 281 | 280 | 285 | 290 |
| OSHA-Violations (Serious) | 275 | 275 | 280 | 285 |
| OSHA-Instances of violations (Serious) | 328 | 330 | 335 | 340 |
| OSHA-On-site consultations | 395 | 400 | 405 | 410 |
| OSHA-Training and education programs | 61 | 25 | 30 | 35 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 23 | 1 | 0 | 24 | 24 | 24 | 24 | 24 |
| Workers' Compensation Fund | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,622,106 | 2,381,735 | 2,393,528 | 2,393,528 | 2,393,528 | 2,481,473 | 2,467,968 | 2,467,968 |
| Other Expenses | 220,037 | 222,743 | 222,743 | 222,743 | 222,743 | 222,743 | 222,743 | 222,743 |
| Total-General Fund | 2,842,143 | 2,604,478 | 2,616,271 | 2,616,271 | 2,616,271 | 2,704,216 | 2,690,711 | 2,690,711 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| Workers' Compensation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Occupational Health Clinics | 673,015 | 691,122 | 695,585 | 691,585 | 691,585 | 691,585 | 695,585 | 695,585 |
| Total-Workers' Compensation Fund | 673,015 | 691,122 | 695,585 | 691,585 | 691,585 | 691,585 | 695,585 | 695,585 |
| Total - All Funds | 3,515,158 | 3,295,600 | 3,311,856 | 3,307,856 | 3,307,856 | 3,395,801 | 3,386,296 | 3,386,296 |

MAINTAIN COLLECTIVE BARGAINING RELATIONSHIPS

Statutory Reference

C.G.S. Sections 5-270, 5-280, 7-467 through 7-479, 31-90 through 31-111(b), 52-418 through 52-420, 10-153e.

Statement of Need and Program Objectives

To define and protect the statutory rights of employees to form, join or assist labor organizations and to encourage and protect the right of employees and employers to bargain collectively.

Program Description

The State Board of Mediation and Arbitration resolves impasses in order to promote and maintain stability in collective bargaining whenever disputes occur. Mediation is an informal process to assist the disputing parties in reaching an agreement. Upon receipt of a contract expiration notice, or upon notification that a strike is imminent, a state mediator is assigned to the dispute in an attempt to bring about a settlement. Mediators also assist in resolving grievances prior to and after submission to the board for final and binding arbitration.

Arbitration is a process to resolve grievances by holding a formal hearing to take testimony and receive evidence resulting in the arbitrator's rendering of a final and binding arbitration award.

The board's mission is to have grievances heard in as expeditious a manner as possible by shortening the time between the date when a demand for arbitration is received to when the case is heard. For priority cases, the goal is to have the case heard within four months and non-priority cases from four months to a year.

By law, public employees may not strike. Instead, procedures are in place for arriving at a new contract, without resort to job action. The State Board of Mediation and Arbitration has considerable responsibilities in this process.

The Municipal Interest Arbitration procedure is fixed by statute with a series of mandatory time limits. The panels of arbitrators are not necessarily members of the board. The procedure results in a final and binding arbitration award (contract) in resolution of municipal contract impasses.

State employee bargaining units are eligible for mandatory interest arbitration. Whenever an impasse occurs, the parties can jointly, or singly, initiate arbitration by filing with the State Board of Mediation and Arbitration.

In FY20, a total of 296 grievances were filed for arbitration; 150 grievance arbitration hearings were scheduled; 110 arbitration cases were closed and 65 awards were issued. Expiration notices were received on 217 private sector contracts and the board imposed binding interest arbitration on 315 municipal contracts under C.G.S. Sec. 7-473c. The mediators responded to 462 cases.

The function of *the State Board of Labor Relations* is to ensure that employers and employee organizations abide by the statutory requirements for collective bargaining for public sector employees and a small number of private sector employees in Connecticut. The State Board of Labor Relations administers portions of four statutes setting forth the requirements of the collective bargaining process.

The board performs its functions in the following ways:

Processing petitions from employees seeking to be represented by an employee organization. As part of that process, the labor board conducts secret ballot elections among employees to determine if they wish to be represented by an employee organization.

Upon receipt of written complaints from employee organizations, employees or employers alleging violations of the statutes, informal conferences are conducted with parties to investigate and mediate complaints in order to resolve disputes.

If settlement is not reached after a complaint has been filed and investigated, the case is either recommended for a formal hearing or recommended for dismissal. If a party timely objects to a recommendation for dismissal, the case will be scheduled for a hearing before a full panel of the board. After a full evidentiary hearing, the board issues a final, written decision in the matter.

After the issuance of the final decision, the aggrieved party may then appeal to the Superior Court. A petition for court enforcement may be filed if a party does not comply with an order of the board.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|----------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Labor Relations-Cases filed | 363 | 500 | 510 | 520 |
| Labor Relations-Unfair labor and prohibited practice | 330 | 400 | 410 | 440 |
| Labor Relations-Petitions for representations | 32 | 40 | 40 | 42 |
| Labor Relations-Percent of Cases settled without formal hearings (%) | .70 | .72 | .75 | .75 |
| Labor Relations-Formal hearings (days of evidentiary hearings) | 59 | 65 | 68 | 70 |
| Labor Relations-Decisions issued | 32 | 35 | 38 | 40 |
| Labor Relations-Cases pending end of fiscal year | 175 | 185 | 200 | 220 |
| Mediation & Arbitration-Total cases filed | 828 | 840 | 851 | 860 |
| Mediation cases | 462 | 480 | 486 | 490 |
| Grievances filed | 296 | 276 | 283 | 291 |

| | | | | |
|--------------------------------|--------|--------|--------|--------|
| Filing fees collected (\$) | 19,200 | 17,900 | 18,350 | 18,875 |
| Filing fees not collected (\$) | 10,600 | 55,200 | 56,600 | 58,200 |
| Municipal contracts | 375 | 317 | 320 | 315 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 15 | 0 | 0 | 15 | 15 | 15 | 15 | 15 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,347,771 | 1,336,292 | 1,336,292 | 1,336,292 | 1,336,292 | 1,383,846 | 1,376,544 | 1,376,544 |
| Other Expenses | 397,724 | 402,613 | 402,613 | 402,613 | 402,613 | 402,613 | 402,613 | 402,613 |
| Total-General Fund | 1,745,495 | 1,738,905 | 1,738,905 | 1,738,905 | 1,738,905 | 1,786,459 | 1,779,157 | 1,779,157 |
| Total - All Funds | 1,745,495 | 1,738,905 | 1,738,905 | 1,738,905 | 1,738,905 | 1,786,459 | 1,779,157 | 1,779,157 |

WORKFORCE JOB TRAINING AND SKILL DEVELOPMENT

Statutory Reference

C.G.S. Sections 31-22m to 31-22t.

Statement of Need and Program Objectives

To meet the need for a highly skilled and trained workforce in apprenticeable occupations and to help businesses develop a stable, highly-skilled workforce that will enable them to be highly productive and globally competitive.

Program Description

Registered Apprenticeship is an unsubsidized, proven training system that combines on-the-job training with related classroom instruction to prepare highly-skilled workers for Connecticut's industries. Apprentices learn industry-defined skills at industry-accepted standards. Apprenticeship gives workers versatility by teaching all aspects of a trade and helps participants learn to work with a variety of people in actual working situations. It specifically addresses worker and industry needs.

In Connecticut, apprenticeship is a voluntary training system representing a unique partnership in which business and labor are the primary operators of programs with government playing a support role. An employer/employee relationship must be in existence to establish an apprenticeship program. After successfully completing an apprenticeship program (which usually lasts three to four years, but may range from one to six years), the worker receives an apprenticeship completion certificate and is recognized as a qualified journeyman nationwide. This certificate is one of the oldest and most highly portable industry credentials in use today.

A tax credit designed to encourage the development of skilled workers through apprenticeship training programs is available in manufacturing.

Apprenticeship services are provided to the public through the unit's regional apprenticeship representatives. The representatives develop apprenticeship training programs for sponsors (employers and joint labor management apprenticeship committees), register, monitor and complete apprentices (employees) while providing technical assistance to program participants. The staff makes presentations to employer, labor and educational associations, as well as students and outreach organizations to promote the benefits and opportunities available through apprenticeship.

Business Services helps Connecticut's employers to hire, train and retain workers by analyzing the needs of businesses and customizing solutions. From July 1, 2019 through June 30, 2020, a total of 988 registrations from businesses were processed by *CTHires* staff. During this same period, employers posted 42,796 new Connecticut job orders and jobseekers placed 5,405 new résumés into the system. In addition, 114,673 job orders were indexed from corporate websites. During this same period, Business Services staff assisted employers with more than 556 employer recruitments attended by approximately 5,166 jobseekers.

CTDOL continues to maintain and publish the *Interagency Employer Resource Guide* and *Employer Reference Card* which offer road maps to the many programs, services and incentives available to business. These products are available in print and electronic format and provide an important marketing and training tool for the business services professionals.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-----------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Apprenticeship-Employers using apprenticeship | 1,547 | 1,570 | 1,594 | 1,618 |
| Apprenticeship-Individuals entering training | 3,299 | 3,381 | 3,466 | 3,553 |
| Apprenticeship-Individuals completing training | 1,085 | 1,112 | 1,140 | 1,169 |
| Apprenticeship-Minorities (males) entering training (%) | 48 | 48 | 49 | 50 |
| Apprenticeship-Females entering training (%) | 5 | 5 | 5 | 5 |
| Apprenticeship-Active apprentice enrollment as of June 30, 2020 | 5,710 | 5,881 | 6,057 | 6,239 |
| Long Term Unemployed - Unsubsidized Employment | 52 | 100 | 100 | 100 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 8 | 0 | 0 | 8 | 8 | 8 | 8 | 8 |
| Private Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Employment Security Administration | 5 | 0 | 0 | 5 | 5 | 5 | 5 | 5 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 135,557 | 175,034 | 175,259 | 175,259 | 175,259 | 181,667 | 180,683 | 180,683 |
| Other Expenses | 6,555 | 6,637 | 6,637 | 6,637 | 6,637 | 6,637 | 6,637 | 6,637 |

| Other Current Expenses | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|---------|-----------|-----------|------------|------------|-----------|------------|------------|
| CETC Workforce | 1,889 | 0 | 0 | 0 | -202,892 | 0 | 0 | -202,892 |
| Workforce Investment Act | 0 | 0 | 0 | -3,471,501 | -3,471,501 | 0 | -3,471,501 | -3,471,501 |
| Apprenticeship Program | 446,023 | 499,921 | 501,295 | 501,295 | 501,295 | 518,159 | 518,781 | 518,781 |
| STRIVE | 73,476 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Healthcare Apprenticeship Initiative | 0 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 |
| Workforce Training Authority | 0 | 0 | 0 | 0 | -25,000 | 0 | 0 | -25,000 |
| Other Current Expenses | 521,388 | 999,921 | 1,001,295 | -2,470,206 | -2,698,098 | 1,018,159 | -2,452,720 | -2,680,612 |
| Total-General Fund | 663,500 | 1,181,592 | 1,183,191 | -2,288,310 | -2,516,202 | 1,206,463 | -2,265,400 | -2,493,292 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| Banking Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

| | | | | | | | | |
|--------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| Opportunity Industrial Centers | 478,590 | 475,000 | 475,011 | 475,011 | 475,011 | 475,011 | 475,011 | 475,011 |
| Customized Services | 0 | 0 | 934 | 0 | 0 | 0 | 934 | 934 |
| Other Current Expenses | 478,590 | 475,000 | 475,945 | 475,011 | 475,011 | 475,011 | 475,945 | 475,945 |
| Total-Banking Fund | 478,590 | 475,000 | 475,945 | 475,011 | 475,011 | 475,011 | 475,945 | 475,945 |

| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 254,173 | 125,000 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 |
| Employment Security Administration | 1,851,956 | 4,003,739 | 4,003,739 | 4,003,739 | 4,003,739 | 4,003,739 | 4,003,739 | 4,003,739 |
| Total - All Funds | 3,248,219 | 5,785,331 | 5,912,875 | 2,440,440 | 2,212,548 | 5,935,213 | 2,464,284 | 2,236,392 |

LABOR MARKET INFORMATION

Statutory Reference

C.G.S. Sections 31-2 and 31-3a.

Statement of Need and Program Objectives

To collect, analyze and disseminate an array of data on workforce issues and trends that allow employers, workers and those who assist them to make informed economic, workforce and career decisions. To serve as an information resource to users including businesses, jobseekers, students, government planners and policymakers, economic and workforce development agents, education and training providers, labor and employer organizations, economists, and the public at large.

Program Description

The Office of Research is Connecticut's leading producer of information and statistics on the economy, workforce, occupations, and careers. The office prepares a variety of resources for tracking the health of the state's economy, for assessing the state's needs for skilled workers, for assisting in economic development initiatives, for aiding in education and training program planning, and for guiding the career choices of jobseekers and students. Labor market information (LMI) is provided in numerous publications and other resources in print and on the Internet. Staff respond to numerous requests for economic and workforce information, and participate in many initiatives to strengthen the economy, identify and evaluate the workforce needs of targeted regions or groups, and respond to critical economic and workforce issues.

Web-based LMI for employers, jobseekers, students and others includes the *Connecticut Job & Career ConneCTion (JCC)* and the *Connecticut Education & Training ConneCTion (ETC)*. The JCC (www.ctjobandcareer.org) includes job descriptions, education and training requirements, wage information and employment projections for nearly 700 occupations, making it the most extensive source of local information on jobs and careers in the state. The *Potential Employer Search* finds contact information on Connecticut employers by desired occupation or company search. The Military Skills Translator feature uses the *Military Occupation Classification (MOC)* to identify similar occupations in the civilian workforce.

The ETC (www.cttraining.info) includes information on more than 250 providers and 8,700 training programs and courses, and is the most comprehensive source of information on education and training in Connecticut.

The Office of Research serves as the data matching nexus for the *P20 WIN* (Pre K through Grade 20 Workforce Information Network), that links student longitudinal data throughout Connecticut's primary, secondary, and higher education systems to workforce outcomes

(including industry of employ and wages earned). P20 WIN facilitates the evaluations of the education system as well as its effectiveness in producing desirable employment. The Office of Research has built and maintains a warehouse of wage, workforce training and employment services data.

The *Information for Workforce Investment Planning (IWIP)* publication provides the state's Workforce Development Boards with labor market information for strategic planning, evaluating services, and developing training programs. The IWIP includes information on the labor force, industry employment trends, population changes, public aid recipients, high school dropout rates, and persons with other barriers to employment. The geographic detail contained in the IWIP is extremely useful for planners and policy makers involved in making critical workforce system decisions.

The office undertakes special studies and projects of importance to the state on topics relevant to the labor market, workforce, and the workforce development system. These have included:

- Intensive studies of the impact of employment services on the employment and earnings of participants, of the long-term effect of job displacement on the income of workers, and of the dynamics of job gain and loss in the Connecticut labor market;
- Analyses of Connecticut's older workers: the industrial distribution, geographic distribution, and the wage distribution of the jobs held, and the detailed estimates of earnings losses of older workers (ages 40 and over) who experience mass layoffs relative to a continuously employed comparison group;
- Examination of the earnings losses of displaced workers in Connecticut highlights prime age workers who have lived and worked in Connecticut and who lose their jobs due to a reduction in employment at their place of work;
- Special reports on the demand in Connecticut for occupational skills requiring knowledge in science and math, the profiles of Connecticut's industry clusters, the employment and earnings outcomes of graduates of public higher education, and career information and planning guidance for students and following Connecticut's unemployment insurance claimants through the recession.

The Training and Education Planning System (TEPS) is a tool designed to aid the analysis and discussion of the demand and supply of talent in Connecticut's workforce. It is intended to help identify where there may be skill shortages or surpluses in the labor market, and thereby guide investments in education and training programs by program planners and administrators, as well as by individuals considering career options.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-----------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Labor Market Information (LMI) Website Visitor Sessions | 188,400 | 195,000 | 200,000 | 205,000 |
| LMI Products Requested Print and Web | 32,500 | 33,000 | 35,700 | 36,000 |
| LMI Training, Presentations, Conferences:Customers Served | 18,000 | 22,000 | 23,000 | 24,000 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 5 | 0 | 0 | 5 | 5 | 5 | 5 | 5 |
| Employment Security Administration | 11 | 0 | 0 | 11 | 11 | 11 | 11 | 11 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 325,683 | 435,910 | 436,145 | 436,145 | 436,145 | 450,083 | 447,943 | 447,943 |
| Other Expenses | 62,149 | 62,912 | 62,912 | 62,912 | 62,912 | 62,912 | 62,912 | 62,912 |
| Other Current Expenses | | | | | | | | |
| Connecticut Career Resource Network | 101,093 | 116,385 | 118,079 | 118,079 | 118,079 | 122,250 | 122,352 | 122,352 |
| Total-General Fund | 488,925 | 615,207 | 617,136 | 617,136 | 617,136 | 635,245 | 633,207 | 633,207 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Employment Security Administration | 2,012,375 | 2,020,359 | 2,020,359 | 2,020,359 | 2,020,359 | 2,020,359 | 2,020,359 | 2,020,359 |
| Total - All Funds | 2,501,300 | 2,635,566 | 2,637,495 | 2,637,495 | 2,637,495 | 2,655,604 | 2,653,566 | 2,653,566 |

UNEMPLOYMENT INSURANCE

Statutory Reference

C.G.S. Chapter 567.

Statement of Need and Program Objectives

To reduce the financial hardship of workers unemployed for short periods of time and to stimulate the local economy by maintaining purchasing power.

Program Description

Unemployment Insurance (UI) provides a cushion from the effects of unemployment through partial wage replacement. The program is an economic stabilizer that helps to maintain the purchasing power of the unemployed. Benefits are payable to an eligible unemployed individual for 26 weeks within a 52-week period. The average claimant collects 17.2 weeks of the 26 weeks of unemployment insurance. CTDOL also administers two federally-funded unemployment benefits programs: (1) Disaster Unemployment Assistance for loss of earnings due to natural disaster and (2) Trade Adjustment Assistance for worker groups certified by the USDOL as adversely affected by imports or production shifts to certain countries. The Trade Adjustment Assistance benefits include: training; relocation and job search allowances; Trade Readjustment Allowances (TRA) – weekly federally funded benefits after exhaustion of state unemployment benefits for those individuals in approved training or on a waiver of training; Reemployment Trade Adjustment Assistance (RTAA), that provides eligible individuals age 50 and older who obtain new employment with a wage subsidy to help bridge the salary gap between their old and new employment; and the Health Coverage Tax Credit (HCTC). This is a refundable tax credit equal to 72.5% of the health insurance premium paid by an eligible individual, or as an advance credit paid by the IRS, and eligible individuals pay the remaining 27.5% of the premium for health coverage for themselves and qualified family members (Information on this credit may be located at www.irs.gov/hctc).

Unemployment Insurance (UI) benefits are provided to unemployed persons while claimants look for new work or take part in approved training programs. As a replacement to its *TeleBenefits* telephone system, the agency now exclusively uses an online benefits filing system for first time (initial) or re-opened claims, located at FileCTUI.com. The system is available 365/24/7 in English and Spanish and offers a faster, more streamlined process for initial claims. Prior to this improvement, claimants had to call into CTDOL's *TeleBenefits* telephone system and wait on the phone to speak with a Customer Service Representative to complete a new claim. A "Quick Links" section on the site also allows claimants to access a variety of related services, such as requesting benefit payment history or a mailing address change, and filing appeals to unemployment insurance decisions. In addition, CTDOL offers in person UI assistance at all of its full service *American Job Center* locations and by appointment at its affiliate locations. For locations and hours, go to <http://www.ctdol.state.ct.us/JobCenterCT/>.

Employers issue Separation packets (English and Spanish versions), which include the separation notice or "pink slip", to workers who separate from employment. Separation packets are designed to be used with the new on-line system at FileCTUI.com or by download from CTDOL's Internet site at <http://www.ctdol.state.ct.us/HP/UC-62TwithBabel5-18.pdf>.

Weekly continued claims are filed exclusively online providing claimants access to their benefit payment history and the capability to print out the information.

Employers no longer use the UC-62V, Vacation Shutdown Claim for Unemployment, for temporary layoffs of six weeks or less. Instead, shutdown claims are now filed on line by the employee.

Eligibility determinations are conducted through by-mail interviews with claimants and employers at CTDOL's adjudication centers throughout the state.

CTDOL staff at local *American Job Centers* (AJCs) provide Wagner-Peyser programs which include: assisting claimants in developing a work-search plan and providing re-employment services including core workshops such as résumé writing, job search strategies and interviewing workshops. AJC staff, in conjunction with UI staff, also administer the Reemployment Services and Eligibility Assessment (RESEA) program, which identifies specific UI claimants to report to an AJC to review their eligibility for UI benefits and to develop a work-search plan.

An independent, statutory appeals division consisting of referees and a Board of Review ensures the right of appeal to all parties on any unemployment decision regarding the award or denial of unemployment benefits. This ensures that all parties receive due process. Claimants and employers may use one of the forms listed at (www.ctdol.state.ct.us/appeals/apfrmnt.htm) to file an electronic appeal in unemployment compensation matters.

CTDOL also provides assistance to the Department of Social Services in the collection of child support payments owed by UI claimants.

The **UI Tax Division** assists in carrying out the CTDOL's mission by providing funding needed to pay UI benefits to eligible claimants. The division also accounts for all revenues and expenditures in the UI program. It ensures compliance with federal and state mandates and administers the federal program of Tax Performance Standards and Tax Operations Compliance. The UI Tax Division is comprised of five distinct operational units;

Liability and Registration (Employer Status Unit) - The principal function of the Employer Status Unit is to identify employers liable for UI coverage and to assist them in their effort to comply with State UI laws and regulations. The major tasks within the unit are:

- register liable employers
- determine status (e.g., new; successor; non-subject status)
- notify employers of their liability and initial UI tax rate
- terminate inactive employer accounts
- handle liability appeals
- maintain accurate and current files on each employer

NOTE: Federal funding is contingent upon meeting core measures as defined by USDOL for the timely and accurate registration of employers for UI reporting purposes.

Cashiers (Employer Tax Accounting Unit) - The Employer Tax Accounting Unit receives UI tax and wage information, employer contributions, bond assessments, and special assessments payments. The unit processes and records all tax deposit and tax and wage information and maintains individual employer account ledgers. It processes all employer refunds and credits. It is responsible for billing non-profit organizations, municipalities, and government agencies who are on the reimbursement method of payment, as well as combined wage accounts for UI benefits paid to claimants who performed services in multiple states.

Collections (Delinquent Accounts Unit) - The primary focus of the collection unit is the collection of delinquent taxes and tax returns not filed, and the management of accounts receivable for UI taxes, bond assessments, and special assessments.

Enforcement (Field Audit Unit) - The Field Audit Unit maintains a comprehensive program of field audits in conjunction with and supplemental to conducting employer liability investigations, delinquency collections, UI benefit claim and other field investigations of all types to ensure an equitable tax program. Its functions include:

- selecting employers for audit; auditing employer records; issuing assessments for unpaid taxes
- determining proper worker classification
- handling appeals in contested determinations
- investigating tax rate manipulation - SUTA (State Unemployment Tax Act) dumping
- handling blocked unemployment insurance claims

NOTE: Federal funding is contingent upon meeting core measures as defined by USDOL for administering a comprehensive audit program, which is defined as a program that requires audits of employers of small, medium and large size and that certifies that workers are properly classified as employees or as bona fide independent contractors. Activities specifically related to worker misclassification prevention and detection must be reported quarterly.

Accounting (Fund Accounting Unit) - The Fund Accounting Unit accounts for and maintains general financial control for all funds received and disbursed in the UI program by:

- maintaining general ledger controlling accounts for funds received and disbursed
- preparing reconciliations that determine the accuracy of our cash and receivable accounts
- preparing and submitting federal, state and internal reports on financial transactions and balances
- preparing bank reconciliations to ensure accuracy with financial institutions and the Connecticut State Treasurer's Office

On March 27, 2020, the [Coronavirus Aid, Relief, and Economic Security \(CARES\) Act](#) was signed into law. Due to the COVID-19 pandemic, this economic stimulus package enhanced and expanded unemployment insurance (UI) to claimants who were under/unemployed through no fault of their own. The CARES Act created three new UI programs: Pandemic Unemployment Compensation (PUC), Pandemic Emergency Unemployment Compensation (PEUC), and Pandemic Unemployment Assistance (PUA). These three programs are briefly described as follows: PUC is an additional \$600 per week, from March 29, 2020 through July 25, 2020, payable to all UI recipients of a dollar or more; PEUC is an additional thirteen weeks of UI benefits through December 26, 2020, and available to those exhausting state UI benefits; and PUA is a thirty-nine week program through December 26, 2020, payable to those not covered by state UI (IE self-employed), ineligible or exhausting state UI, and must certify the reason for under/unemployed as defined by the Cares Act.

The Lost Wages Assistance (LWA) program is a federal-state unemployment benefit that provides \$300 in weekly compensation to eligible claimants for a total of six weeks for the period July 26, 2020 through September 5, 2020.

Extended Unemployment Benefits (EB) is an additional thirteen weeks of unemployment benefits due to the increase in the unemployment rate. Due to high unemployment, there was an additional seven more weeks of unemployment defined as High Extended Benefits (HEB). EB and HEB remain in effect only during the defined high unemployment periods. EB and HEB weeks were added to eligible state claimants, payable following the PEUC eligibility. HEB, the additional seven weeks, were also added to PUA eligible customers.

On December 27, 2020, **Cares Act 2** was signed into law and extended the PUA and PEUC programs by adding eleven more weeks of unemployment insurance, both effective December 27, 2020 through March 13, 2021, with a phase-out period of April 10, 2021; and extended PUC with an additional \$300 per week, from December 27,

2020 through March 13, 2021. The law also created another new UI program, Mixed Earners Unemployment Compensation (MEUC) .

Mixed Earners Unemployment Compensation (MEUC) is an additional \$100 weekly payment available to claimants who earn income both as employee and in self-employment; and in such self-employment, earn at least \$5,000 in self-employment net income in the prior tax year. It is payable for the period December 27, 2020 through March 13, 2021. This compensation excludes claimants eligible for the PUA program.

This new MEUC program is expected to be implemented in March 2021.

Primarily due to the COVID-19 pandemic, from March 13, 2020 through January 2021, the Department received and processed over 1.2 million unemployment insurance applications; issuing more than \$6.5 billion dollars in unemployment insurance benefits to the Connecticut under/unemployed worker.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| UI-Total Taxable Benefits Paid to Claimants- UI, Reimbursable, Combined Wage & ST-EB (\$M)*Total Benefits paid to Claimants (\$M) | 1,386 | 1,400 | 1,000 | 700 |
| UI-Average weeks collected | 15 | 18 | 16 | 16 |
| UI-Average amount of payment (\$) (This figure includes check amount, IRS, DRS, and Child Support withholdings) | 298 | 308 | 318 | 328 |
| UI-Taxes paid by employers including Trust Fund Interest (\$M) (This figure is: all taxes paid by Contributory and Reimbursable employers; and reimbursements from the Federal government and other States; and interest earned by the Trust Fund; and Unemployment Compensation Trust Fund Advances) | 825 | 1,400 | 1,000 | 700 |
| UI-Percent of employers delinquent (%) | 20 | 20 | 20 | 20 |
| UI-Trust Fund Net Reserve(\$M) (This figure is comprised of Trust Fund) | 180 | 0 | 0 | 0 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Employment Security Administration | 274 | 0 | 0 | 274 | 274 | 274 | 274 | 274 |
| Special Non-Appropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Expenses | 8,310 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total-General Fund | 8,310 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 10,675 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Employment Security Administration | 60,143,649 | 89,769,683 | 89,769,683 | 89,769,683 | 89,769,683 | 89,769,683 | 89,769,683 | 89,769,683 |
| Special Non-Appropriated Funds | 725,258 | 1,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 |
| Total - All Funds | 60,887,892 | 91,269,683 | 92,269,683 | 92,269,683 | 92,269,683 | 92,269,683 | 92,269,683 | 92,269,683 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|------------------|------------------|------------------|--------------------|-------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 11,641,398 | 12,348,927 | 12,297,428 | 11,734,508 | 12,751,765 | 12,105,766 |
| Salaries & Wages-Temporary | 96,863 | 101,507 | 102,682 | 223,399 | 102,682 | 223,399 |
| Salaries & Wages-Part Time | 1,316 | 0 | 0 | 0 | 0 | 0 |
| Longevity Payments | 76,871 | 75,458 | 75,458 | 75,458 | 75,458 | 75,458 |
| Overtime | 39,910 | 30,000 | 30,542 | 30,542 | 30,542 | 30,542 |
| Accumulated Leave | 368,500 | 179,686 | 179,686 | 179,686 | 179,686 | 179,686 |
| Other Salaries & Wages | 26,166 | 27,000 | 27,000 | 27,000 | 27,000 | 27,000 |
| Other | -3,154,152 | -3,011,990 | -3,011,990 | -3,011,990 | -3,011,990 | -3,011,990 |
| TOTAL - Personal Services-Personal Services | 9,096,872 | 9,750,588 | 9,700,806 | 9,258,603 | 10,155,143 | 9,629,861 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Capital Outlays | 2,308 | 1,918 | 1,918 | 1,918 | 1,918 | 1,918 |
| Client Services | 176 | 178 | 178 | 178 | 178 | 178 |
| Communications | 107,320 | 108,639 | 108,639 | 108,639 | 108,639 | 108,639 |
| Electricity | 137,549 | 139,240 | 139,240 | 139,240 | 139,240 | 139,240 |
| Employee Expenses | 10,903 | 11,037 | 11,037 | 11,037 | 11,037 | 11,037 |
| Employee Travel | 70,563 | 71,431 | 71,431 | 71,431 | 71,431 | 71,431 |
| Equipment Rental and Maintenance | 103,114 | 104,382 | 104,382 | 104,382 | 104,382 | 104,382 |
| Food And Beverages | 322 | 231 | 231 | 231 | 231 | 231 |
| Information Technology | 225,638 | 228,412 | 228,412 | 228,412 | 228,412 | 228,412 |
| Motor Vehicle Costs | 60,308 | 61,049 | 61,049 | 61,049 | 61,049 | 61,049 |
| Natural Gas | 22,969 | 23,252 | 23,252 | 23,252 | 23,252 | 23,252 |
| Other / Fixed Charges | -1,077,231 | -1,077,231 | -1,077,231 | -1,077,231 | -1,077,231 | -1,077,231 |
| Other Services | 670,038 | 678,275 | 678,275 | 673,200 | 678,275 | 673,200 |
| Premises Expenses | 333,364 | 329,130 | 329,130 | 329,130 | 329,130 | 329,130 |
| Premises Rent Expense-Landlord | 28,094 | 28,439 | 28,439 | 28,439 | 28,439 | 28,439 |
| Professional Services | 66,175 | 66,989 | 66,989 | 66,989 | 66,989 | 66,989 |
| Purchased Commodities | 218,309 | 224,965 | 224,965 | 224,965 | 224,965 | 224,965 |
| Sewer | 7,356 | 7,446 | 7,446 | 7,446 | 7,446 | 7,446 |
| Water | 7,116 | 7,203 | 7,203 | 7,203 | 7,203 | 7,203 |
| TOTAL-Other Expenses | 994,391 | 1,014,985 | 1,014,985 | 1,009,910 | 1,014,985 | 1,009,910 |
| | | | | | | |
| <i>Other Current Expenses</i> | | | | | | |
| CETC Workforce | 431,329 | 567,979 | 568,011 | 365,119 | 579,762 | 376,657 |
| Workforce Investment Act | 31,579,837 | 34,614,361 | 34,633,361 | 31,161,860 | 34,736,263 | 31,357,335 |
| Job Funnels Projects | 110,436 | 700,000 | 700,000 | 700,000 | 700,158 | 700,164 |
| Connecticut's Youth Employment Program | 4,931,275 | 4,969,096 | 5,000,905 | 5,000,905 | 5,003,928 | 5,004,018 |
| Jobs First Employment Services | 12,035,925 | 12,562,412 | 12,566,193 | 12,566,193 | 12,590,484 | 12,591,312 |
| Apprenticeship Program | 446,023 | 499,921 | 501,295 | 501,295 | 518,159 | 518,781 |
| Connecticut Career Resource Network | 101,093 | 116,385 | 118,079 | 118,079 | 122,250 | 122,352 |
| STRIVE | 73,476 | 76,058 | 76,125 | 76,125 | 76,258 | 76,261 |
| Opportunities for Long Term Unemployed | 2,506,454 | 3,009,573 | 3,104,702 | 3,104,702 | 3,106,276 | 3,106,334 |
| Veterans' Opportunity Pilot | 106,853 | 80,000 | 245,047 | 245,047 | 253,594 | 253,773 |

| | | | | | | |
|--------------------------------------|------------|------------|------------|------------|------------|------------|
| Second Chance Initiative | 311,481 | 311,594 | 311,829 | 311,829 | 312,368 | 312,381 |
| Cradle To Career | 0 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| New Haven Jobs Funnel | 325,749 | 350,000 | 350,000 | 350,000 | 350,567 | 350,590 |
| Healthcare Apprenticeship Initiative | 0 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 |
| Manufacturing Pipeline Initiative | 1,874,941 | 2,003,251 | 2,004,181 | 2,004,181 | 2,007,825 | 2,007,935 |
| Workforce Training Authority | 0 | 104,283 | 500,000 | 0 | 500,000 | 0 |
| TOTAL-Other Current Expenses | 54,834,872 | 60,564,913 | 61,279,728 | 57,105,335 | 61,457,892 | 57,377,893 |
| Personal Services | 9,096,872 | 9,750,588 | 9,700,806 | 9,258,603 | 10,155,143 | 9,629,861 |
| Other Expenses | 994,391 | 1,014,985 | 1,014,985 | 1,009,910 | 1,014,985 | 1,009,910 |
| Other Current Expenses | 54,834,872 | 60,564,913 | 61,279,728 | 57,105,335 | 61,457,892 | 57,377,893 |
| TOTAL-General Fund | 64,926,135 | 71,330,486 | 71,995,519 | 67,373,848 | 72,628,020 | 68,017,664 |

AGENCY FINANCIAL SUMMARY - BANKING FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------|-----------|-----------|-----------|-------------|-----------|-------------|
| <i>Other Current Expenses</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Opportunity Industrial Centers | 469,376 | 475,000 | 475,331 | 475,011 | 475,011 | 475,331 |
| Customized Services | 844,980 | 950,000 | 951,401 | 950,467 | 950,467 | 951,401 |
| TOTAL-Other Current Expenses | 1,314,356 | 1,425,000 | 1,426,732 | 1,425,478 | 1,425,478 | 1,426,732 |
| Other Current Expenses | 1,314,356 | 1,425,000 | 1,426,732 | 1,425,478 | 1,425,478 | 1,426,732 |
| TOTAL-Banking Fund | 1,314,356 | 1,425,000 | 1,426,732 | 1,425,478 | 1,425,478 | 1,426,732 |

AGENCY FINANCIAL SUMMARY - WORKERS' COMPENSATION FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| <i>Other Current Expenses</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Occupational Health Clinics | 673,015 | 691,122 | 695,585 | 691,585 | 691,585 | 695,585 |
| TOTAL-Other Current Expenses | 673,015 | 691,122 | 695,585 | 691,585 | 691,585 | 695,585 |
| Other Current Expenses | 673,015 | 691,122 | 695,585 | 691,585 | 691,585 | 695,585 |
| TOTAL-Workers' Compensation Fund | 673,015 | 691,122 | 695,585 | 691,585 | 691,585 | 695,585 |

COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES

AGENCY DESCRIPTION

The mission of the Connecticut Commission on Human Rights and Opportunities (CHRO) is to eliminate discrimination through civil and human rights law enforcement and to establish equal opportunity and justice for all persons within the state through advocacy and education.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|-------------------------------------------------------|----------------|----------------|
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 259,770 |
| • Provide Funding for State Employee Wage Adjustments | 69,256 | 69,256 |
| Reductions | FY 2022 | FY 2023 |
| • Annualize FY 2021 Rescissions | -65,718 | -65,718 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 68 | 16 | 0 | 84 | 84 | 84 | 84 | 84 |
| Federal Funds | 0 | 0 | 0 | 0 | 119,000 | 119,000 | 0 | 0 |
| Private Funds | 0 | 0 | 0 | 0 | 11,000 | 11,000 | 0 | 0 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Equal Opportunity Assurance | 129,295 | 6,852,777 | 6,922,033 | 6,922,033 | 6,856,315 | 7,179,950 | 7,181,803 | 7,116,085 |
| TOTAL Agency Programs | 129,295 | 6,852,777 | 6,922,033 | 6,922,033 | 6,856,315 | 7,179,950 | 7,181,803 | 7,116,085 |

| <i>Summary of Funding</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|----------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|---------|
| General Fund | 0 | 6,722,777 | 6,792,033 | 6,792,033 | 6,726,315 | 7,049,950 | 7,051,803 | 6,986,085 | |
| Federal Funds | 118,458 | 119,000 | 119,000 | 119,000 | 119,000 | 119,000 | 119,000 | 119,000 | |
| Private Funds | 10,837 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 | |
| Total Agency Programs | 129,295 | 6,852,777 | 6,922,033 | 6,922,033 | 6,856,315 | 7,179,950 | 7,181,803 | 7,116,085 | |

EQUAL OPPORTUNITY ASSURANCE

Statutory Reference

C.G.S. Sections 46a-51 through 46a-104.

Statement of Need and Program Objectives

To prohibit discrimination in: employment, credit transactions, housing and other public accommodations on the basis of age, ancestry, color, gender expression and identity, genetic information, intellectual disability, learning disability, marital status, past or present history of mental disability, national origin, physical disability including but not limited to blindness, previous opposition to discrimination or participation in a discriminatory practices

proceeding, race, religious creed, sex, sexual orientation, workplace hazards to reproductive systems, criminal record (in regard to state employment and licensing); use of a guide dog and lawful source of income (housing and public accommodations); and familial status (housing).

Program Description

The Commission on Human Rights and Opportunities receives, investigates, conciliates, processes, prosecutes, and adjudicates individual complaints alleging discriminatory practices. The CHRO may initiate complaints of discrimination based on public need, where an

individual complainant has yet to file a complaint. Additionally, the CHRO investigates claims of school-based discrimination, which includes bullying. Further, the CHRO investigates, prosecutes and adjudicates police misconduct and racial profiling cases to ensure fair and impartial policing throughout the state. The CHRO also monitors and enforces compliance with laws requiring affirmative action in state employment and laws requiring contractors and subcontractors doing business with the state to make every effort to implement affirmative action in their employment practices. Further, the CHRO monitors and enforces the state's set aside program which is designed to provide equal opportunity for small and minority businesses, women owned businesses, and disabled owned businesses on state funded projects and municipal public works projects receiving state funds.

The CHRO serves as an advocate for civil rights in Connecticut and as a source of education for the public about human and civil rights issues and laws, and the services provided by the agency. CHRO sponsors various programs to raise the consciousness of youth regarding civil and human rights issues such as Connecticut Kids Court and College Court. The CHRO also serves as a resource providing sexual harassment and civil rights law training to businesses, municipal housing authorities, housing providers and non-profits throughout the state, under its Business Training Institute.

The CHRO compiles facts and data concerning compliance with the various state civil rights laws and other related matters in Connecticut and annually reports the outcomes of the agency's activities to the Governor.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-----------------------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Number of Complaints Closed with the Commission | 2,715 | 2,725 | 2,750 | 2,775 |
| Number of Individuals Assisted by Regional Staff and legal | 59,886 | 60,500 | 62,000 | 63,000 |
| Number of Individuals Served for Housing Related Issues | 2,222 | 2,300 | 2,400 | 2,500 |
| Number of Individuals Who Filed a Complaint with the Commission | 2,226 | 2,400 | 2,500 | 2,600 |
| Number of Plan Reviews (Affirmative Action) | 43 | 43 | 43 | 43 |
| Number of Plan Reviews (Contract Compliance) | 659 | 725 | 797 | 877 |
| Number of Technical Assistance and Project Maintenance Provided for Contract Compliance | 13,115 | 14,427 | 15,869 | 17,456 |
| Number of Individuals Assisted by Education, Outreach and Training | 24,846 | 27,331 | 30,064 | 33,070 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 68 | 16 | 0 | 84 | 84 | 84 | 84 | 84 |
| Federal Funds | 0 | 0 | 0 | 0 | 119,000 | 119,000 | 0 | 0 |
| Private Funds | 0 | 0 | 0 | 0 | 11,000 | 11,000 | 0 | 0 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------|---------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 0 | 6,426,842 | 6,496,098 | 6,496,098 | 6,431,830 | 6,754,015 | 6,755,868 | 6,691,600 |
| Other Expenses | 0 | 289,958 | 289,958 | 289,958 | 288,508 | 289,958 | 289,958 | 288,508 |

Other Current Expenses

| | | | | | | | | |
|------------------------------------|---|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Martin Luther King, Jr. Commission | 0 | 5,977 | 5,977 | 5,977 | 5,977 | 5,977 | 5,977 | 5,977 |
| Total-General Fund | 0 | 6,722,777 | 6,792,033 | 6,792,033 | 6,726,315 | 7,049,950 | 7,051,803 | 6,986,085 |

Other Funds Available

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 10,837 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 |

Federal Contributions

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 14401 Fair Housing Assist Pgm-State & Local | 116,446 | 117,000 | 117,000 | 117,000 | 117,000 | 117,000 | 117,000 | 117,000 |
| 30002 Employment Discrimination State & Local | 2,012 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |

| | | | | | | | | |
|-------------------|---------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Total - All Funds | 129,295 | 6,852,777 | 6,922,033 | 6,922,033 | 6,856,315 | 7,179,950 | 7,181,803 | 7,116,085 |
|-------------------|---------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 0 | 6,178,461 | 6,244,722 | 6,180,454 | 6,502,639 | 6,440,224 |
| Salaries & Wages-Temporary | 0 | 51,368 | 52,372 | 52,372 | 52,372 | 52,372 |
| Salaries & Wages-Part Time | 0 | 4,050 | 4,129 | 4,129 | 4,129 | 4,129 |
| Longevity Payments | 0 | 38,429 | 38,429 | 38,429 | 38,429 | 38,429 |
| Overtime | 0 | 97,774 | 99,686 | 99,686 | 99,686 | 99,686 |
| Accumulated Leave | 0 | 56,359 | 56,359 | 56,359 | 56,359 | 56,359 |
| Other | 0 | 401 | 401 | 401 | 401 | 401 |
| TOTAL - Personal Services-Personal Services | 0 | 6,426,842 | 6,496,098 | 6,431,830 | 6,754,015 | 6,691,600 |
| Other Expenses | | | | | | |
| Communications | 0 | 34,622 | 34,622 | 34,622 | 34,622 | 34,622 |
| Electricity | 0 | 4,030 | 4,030 | 4,030 | 4,030 | 4,030 |
| Employee Expenses | 0 | 292 | 292 | 292 | 292 | 292 |
| Employee Travel | 0 | 4,652 | 4,652 | 4,652 | 4,652 | 4,652 |
| Equipment Rental and Maintenance | 0 | 23,789 | 23,789 | 23,789 | 23,789 | 23,789 |
| Food And Beverages | 0 | 1,107 | 1,107 | 1,107 | 1,107 | 1,107 |
| Information Technology | 0 | 684 | 684 | 684 | 684 | 684 |
| Motor Vehicle Costs | 0 | 10,388 | 10,388 | 10,388 | 10,388 | 10,388 |
| Other Services | 0 | 105,748 | 105,748 | 104,298 | 105,748 | 104,298 |
| Premises Expenses | 0 | 1,355 | 1,355 | 1,355 | 1,355 | 1,355 |
| Premises Rent Expense-Landlord | 0 | 76,256 | 76,256 | 76,256 | 76,256 | 76,256 |
| Professional Services | 0 | 4,051 | 4,051 | 4,051 | 4,051 | 4,051 |
| Purchased Commodities | 0 | 22,984 | 22,984 | 22,984 | 22,984 | 22,984 |
| TOTAL-Other Expenses | 0 | 289,958 | 289,958 | 288,508 | 289,958 | 288,508 |
| Other Current Expenses | | | | | | |
| Martin Luther King, Jr. Commission | 0 | 5,977 | 5,977 | 5,977 | 5,977 | 5,977 |
| TOTAL-Other Current Expenses | 0 | 5,977 | 5,977 | 5,977 | 5,977 | 5,977 |
| Personal Services | 0 | 6,426,842 | 6,496,098 | 6,431,830 | 6,754,015 | 6,691,600 |
| Other Expenses | 0 | 289,958 | 289,958 | 288,508 | 289,958 | 288,508 |
| Other Current Expenses | 0 | 5,977 | 5,977 | 5,977 | 5,977 | 5,977 |
| TOTAL-General Fund | 0 | 6,722,777 | 6,792,033 | 6,726,315 | 7,049,950 | 6,986,085 |

WORKERS' COMPENSATION COMMISSION

AGENCY DESCRIPTION

The core function of the Workers' Compensation Commission is to adjudicate contested workers' compensation claims, schedule hearing dockets, render decisions, and generally provide injured workers and their employers with the tools necessary to ensure claims are filed and all parties are treated fairly.

the General Assembly. Disputed workers' compensation claims are resolved through the informal, pre-formal, and formal hearings processes. Decisions from formal hearings may be appealed by any party to the Compensation Review Board, a panel comprised of the chairman and two trial commissioners.

The chairman of the Workers' Compensation Commission and fifteen trial commissioners are nominated by the Governor and approved by

RECOMMENDED ADJUSTMENTS

| | FY 2022 | FY 2023 | |
|-------------------------------------------------------------------------------------|------------|------------|---------|
| Baseline Adjustments | | | |
| • Fund Indirect Overhead at Comptroller's Projected Amount | -487,754 | -487,754 | |
| • Adjust Fringe Benefits to Reflect Actual Rates | 292,693 | 709,075 | |
| • Provide Funding for State Employee Wage Adjustments | 76,489 | 76,489 | |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 424,880 | |
| Reductions | | | |
| • Adjust Funding to Reflect Current Staffing Needs | -2,456,513 | -2,456,513 | |
| • Adjust Other Expenses to Reflect Updated Lease Costs | -33,516 | -33,516 | |
| Reallocations | | | |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services | -225,355 | -234,023 | |
| Expansions | | | |
| • Increase Workers' Compensation Commissioners' Salaries in Comparison with Judges | 123,057 | 123,057 | 123,057 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Workers' Compensation Fund | 107 | 10 | 0 | 117 | 117 | 116 | 117 | 116 |
| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Workers' Compensation Administration | 20,397,329 | 24,953,819 | 25,393,391 | 24,835,247 | 22,242,920 | 24,835,247 | 25,676,509 | 23,075,514 |
| TOTAL Agency Programs | 20,397,329 | 24,953,819 | 25,393,391 | 24,835,247 | 22,242,920 | 24,835,247 | 25,676,509 | 23,075,514 |
| Summary of Funding | | | | | | | | |
| Workers' Compensation Fund | 20,293,911 | 24,850,151 | 25,289,723 | 24,731,579 | 22,139,252 | 24,731,579 | 25,572,841 | 22,971,846 |
| Private Funds | 103,418 | 103,668 | 103,668 | 103,668 | 103,668 | 103,668 | 103,668 | 103,668 |
| Total Agency Programs | 20,397,329 | 24,953,819 | 25,393,391 | 24,835,247 | 22,242,920 | 24,835,247 | 25,676,509 | 23,075,514 |

WORKERS' COMPENSATION COMMISSION

Statutory Reference

C.G.S. Chapter 568, Sections 31-275 through 31-355.

Statement of Need and Program Objectives

To administer the workers' compensation laws of the State of Connecticut and ensure that workers injured on the job receive medical treatment and timely payment of lost work time benefits.

Program Description

The Workers' Compensation Commission is statutorily mandated to adjudicate and resolve disputes arising from injuries occurring in the workplace. Issues are resolved through hearings, mediation and/or negotiation.

The Compensation Review Board is the appellate level of the Workers' Compensation Commission.

Education programs provide information to employers, employees and the general public.

Safety and Health Programs prevent and reduce workplace injuries by assisting employers in establishing committees to oversee safety programs.

Managed Care Plan applications from employers are reviewed to ensure employees are provided adequate access to medical care while employers strive to achieve cost containment.

Self-Insurance Division reviews applications from employers for eligibility based on fiscal solvency, loss history, and exposure.

Statistical Division compiles data on trends and levels of activity associated with the workers' compensation process and serves as the repository for evidence of employer's compliance with workers' compensation insurance coverage.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------------------|-----------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Injuries/Illnesses Reported | 53,652 | 52,000 | 52,000 | 52,000 |
| Informal Hearings | 37,976 | 36,000 | 36,000 | 36,000 |
| Formal Hearings | 406 | 300 | 400 | 400 |
| Pre-Formal Hearings | 6,767 | 5,000 | 7,000 | 7,000 |
| Voluntary Agreements | 8,641 | 8,000 | 10,000 | 10,000 |
| Stipulations Approved | 6,248 | 6,500 | 6,500 | 6,500 |
| Awards | 1,217 | 1,200 | 1,300 | 1,300 |
| Dismissals | 128 | 120 | 130 | 130 |
| CRB New Appeals | 57 | 50 | 60 | 60 |
| CRB Dispositions | 114 | 60 | 80 | 80 |
| CRB Written Decisions | 36 | 30 | 40 | 40 |
| Self-Insured Municipalities | 34 | 34 | 34 | 34 |
| Self-Insured Companies | 62 | 60 | 60 | 60 |
| Employers Covered by Approved Managed Care Plans | 2,178 | 2,100 | 2,200 | 2,200 |
| Employees Covered by Approved Managed Care Plans | 507,670 | 500,000 | 510,000 | 510,000 |
| Employer Sites Visited | 1,438 | 1,400 | 1,600 | 1,600 |
| Education Services Information Responses | 140,492 | 150,000 | 67,000 | 67,000 |
| Website Visits, Page Views and Downloads | 1,220,066 | 1,400,000 | 1,500,000 | 1,500,000 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Workers' Compensation Fund | 107 | 10 | 0 | 117 | 117 | 116 | 117 | 116 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------------------|-----------|------------|------------|------------|-------------|------------|------------|-------------|
| Workers' Compensation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 9,213,459 | 10,971,397 | 11,328,762 | 11,046,871 | 9,810,344 | 11,046,871 | 11,471,751 | 10,230,650 |
| Other Expenses | 2,322,429 | 2,709,545 | 2,709,545 | 2,709,545 | 2,676,029 | 2,709,545 | 2,709,545 | 2,676,029 |

Capital Outlay

| | | | | | | | | |
|-----------|---|---|---|---|---|---|---|---|
| Equipment | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
|-----------|---|---|---|---|---|---|---|---|

Other Current Expenses

| | | | | | | | | |
|----------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Fringe Benefits | 8,122,056 | 10,533,241 | 11,103,202 | 10,826,949 | 9,504,665 | 10,826,949 | 11,243,331 | 9,916,953 |
| Indirect Overhead | 635,967 | 635,967 | 148,213 | 148,213 | 148,213 | 148,213 | 148,213 | 148,213 |
| Other Current Expenses | 8,758,023 | 11,169,208 | 11,251,415 | 10,975,162 | 9,652,878 | 10,975,162 | 11,391,544 | 10,065,166 |
| Total-Workers' Compensation Fund | 20,293,911 | 24,850,151 | 25,289,723 | 24,731,579 | 22,139,252 | 24,731,579 | 25,572,841 | 22,971,846 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 103,418 | 103,668 | 103,668 | 103,668 | 103,668 | 103,668 | 103,668 | 103,668 |
| Total - All Funds | 20,397,329 | 24,953,819 | 25,393,391 | 24,835,247 | 22,242,920 | 24,835,247 | 25,676,509 | 23,075,514 |

AGENCY FINANCIAL SUMMARY - WORKERS' COMPENSATION FUND

Current Expenses by Minor Object

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 9,045,601 | 10,697,397 | 11,052,931 | 9,534,513 | 10,771,040 | 9,954,819 |
| Salaries & Wages-Temporary | 69,843 | 100,000 | 101,831 | 101,831 | 101,831 | 101,831 |
| Longevity Payments | 60,285 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 |
| Accumulated Leave | 35,069 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| Other Salaries & Wages | -726 | 4,000 | 4,000 | 4,000 | 4,000 | 4,000 |
| Other | 3,387 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 9,213,459 | 10,971,397 | 11,328,762 | 9,810,344 | 11,046,871 | 10,230,650 |

Other Expenses

| | | | | | | |
|----------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Capital Outlays | 5,574 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| Communications | 83,285 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| Electricity | 42,652 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 |
| Employee Travel | 6,587 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 |
| Equipment Rental and Maintenance | 66,253 | 75,000 | 75,000 | 75,000 | 75,000 | 75,000 |
| Food And Beverages | 615 | 800 | 800 | 800 | 800 | 800 |
| Information Technology | 82,753 | 355,745 | 355,745 | 355,745 | 355,745 | 355,745 |
| Motor Vehicle Costs | 12,343 | 14,000 | 14,000 | 14,000 | 14,000 | 14,000 |
| Natural Gas | 3,060 | 4,000 | 4,000 | 4,000 | 4,000 | 4,000 |
| Other Services | 249,700 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 |
| Premises Expenses | 94,509 | 105,000 | 105,000 | 105,000 | 105,000 | 105,000 |
| Premises Rent Expense-Landlord | 1,474,712 | 1,600,000 | 1,600,000 | 1,566,484 | 1,600,000 | 1,566,484 |
| Professional Services | 38,470 | 45,000 | 45,000 | 45,000 | 45,000 | 45,000 |
| Purchased Commodities | 161,503 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| Sewer | 183 | 200 | 200 | 200 | 200 | 200 |
| Water | 230 | 300 | 300 | 300 | 300 | 300 |
| TOTAL-Other Expenses | 2,322,429 | 2,709,545 | 2,709,545 | 2,676,029 | 2,709,545 | 2,676,029 |

Equipment

| | | | | | | |
|-----------------|---|---|---|---|---|---|
| Equipment | 0 | 1 | 1 | 1 | 1 | 1 |
| TOTAL-Equipment | 0 | 1 | 1 | 1 | 1 | 1 |

Other Current Expenses

| | | | | | | |
|----------------------------------|------------|------------|------------|------------|------------|------------|
| Fringe Benefits | 8,122,056 | 10,533,241 | 11,103,202 | 9,504,665 | 10,826,949 | 9,916,953 |
| Indirect Overhead | 635,967 | 635,967 | 148,213 | 148,213 | 148,213 | 148,213 |
| TOTAL-Other Current Expenses | 8,758,023 | 11,169,208 | 11,251,415 | 9,652,878 | 10,975,162 | 10,065,166 |
| Personal Services | 9,213,459 | 10,971,397 | 11,328,762 | 9,810,344 | 11,046,871 | 10,230,650 |
| Other Expenses | 2,322,429 | 2,709,545 | 2,709,545 | 2,676,029 | 2,709,545 | 2,676,029 |
| Capital Outlay | 0 | 1 | 1 | 1 | 1 | 1 |
| Other Current Expenses | 8,758,023 | 11,169,208 | 11,251,415 | 9,652,878 | 10,975,162 | 10,065,166 |
| TOTAL-Workers' Compensation Fund | 20,293,911 | 24,850,151 | 25,289,723 | 22,139,252 | 24,731,579 | 22,971,846 |

DEPARTMENT OF AGRICULTURE

AGENCY DESCRIPTION

The Department of Agriculture is a regulatory agency responsible for protecting public and animal health. The agency's mission is to foster a healthy economic and environmental climate for agriculture by developing, promoting, and regulating agricultural businesses and to ensure a safe, wholesome, and abundant food supply. The agency protects agricultural and aquacultural resources and enforces laws pertaining to livestock and other domestic animals in order to protect

human welfare and assure the humane treatment of animals. This is accomplished through the marketing, licensing, inspection, investigation, enforcement, educational and support services conducted by the staff in the bureaus of regulation and inspection, aquaculture, and agricultural development and resource conservation.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|
| • Provide Funding for State Employee Wage Adjustments | 34,891 | 34,891 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 154,608 |
| Reductions | FY 2022 | FY 2023 |
| • Eliminate the Regional Market Fund Pursuant to Public Act 18-154 <i>The Capital Region Development Authority assumed the operations of the Hartford Regional Market midway through FY 2020. Appropriations for this purpose are no longer necessary.</i> | -1,105,221 | -1,105,221 |
| • Reduce Other Expenses to Reflect Operational Needs | -86,000 | -86,000 |
| • Eliminate Earmarks for 4H Camp in Franklin and Ellington Farmers' Market | -55,000 | -55,000 |
| • Annualize FY 2021 Rescissions | -40,856 | -40,856 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 45 | 7 | 0 | 52 | 52 | 52 | 52 | 52 |
| Regional Market Operation Fund | 0 | 7 | 0 | 7 | 7 | 0 | 7 | 0 |
| Federal Funds | 5 | 1 | -1 | 6 | 5 | 5 | 5 | 5 |
| Private Funds | 3 | 4 | -3 | 4 | 5 | 5 | 5 | 5 |
| Special Non-Appropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Positions Equated to Full-Time | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Management Services | 872,384 | 1,207,891 | 1,215,387 | 1,215,387 | 1,160,387 | 1,347,561 | 1,236,857 | 1,181,857 |
| Agricultural Regulation & Inspection | 11,405,141 | 11,378,032 | 11,397,490 | 11,397,490 | 11,270,634 | 11,397,490 | 11,482,759 | 11,355,903 |
| Bureau of Aquaculture | 984,150 | 1,159,047 | 1,165,558 | 1,165,558 | 1,165,558 | 1,080,058 | 1,116,745 | 1,116,745 |
| Agriculture Development & Resource Preservation | 4,076,548 | 3,556,110 | 4,662,757 | 4,662,757 | 3,557,536 | 4,662,757 | 4,673,939 | 3,568,718 |
| TOTAL Agency Programs | 17,338,223 | 17,301,080 | 18,441,192 | 18,441,192 | 17,154,115 | 18,487,866 | 18,510,300 | 17,223,223 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 5,292,963 | 6,308,080 | 6,342,971 | 6,342,971 | 6,161,115 | 6,475,145 | 6,497,579 | 6,315,723 |
| Regional Market Operation Fund | 607,125 | 0 | 1,105,221 | 1,105,221 | 0 | 1,105,221 | 1,105,221 | 0 |
| Federal Funds | 2,660,211 | 2,442,000 | 2,442,000 | 2,442,000 | 2,442,000 | 2,442,000 | 2,442,000 | 2,442,000 |
| Private Funds | 8,374,231 | 8,129,000 | 8,129,000 | 8,129,000 | 8,129,000 | 8,129,000 | 8,129,000 | 8,129,000 |
| Special Non-Appropriated Funds | 318,955 | 325,000 | 325,000 | 325,000 | 325,000 | 325,000 | 325,000 | 325,000 |
| Restricted State Accounts | 84,738 | 97,000 | 97,000 | 97,000 | 97,000 | 11,500 | 11,500 | 11,500 |
| Total Agency Programs | 17,338,223 | 17,301,080 | 18,441,192 | 18,441,192 | 17,154,115 | 18,487,866 | 18,510,300 | 17,223,223 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 22-6.

To promote the regulation, protection and development of the state's agricultural products and resources.

Statement of Need and Program Objectives

Program Description

This program provides policy direction, management controls, legal guidance and support services for the agency.

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 5 | 1 | 1 | 7 | 7 | 7 | 7 | 7 |
| Special Non-Appropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 448,291 | 750,927 | 758,423 | 758,423 | 758,423 | 890,597 | 779,893 | 779,893 |
| Other Expenses | 101,964 | 131,964 | 131,964 | 131,964 | 76,964 | 131,964 | 131,964 | 76,964 |
| Total-General Fund | 550,255 | 882,891 | 890,387 | 890,387 | 835,387 | 1,022,561 | 911,857 | 856,857 |

Other Funds Available

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Special Non-Appropriated Funds | 318,955 | 325,000 | 325,000 | 325,000 | 325,000 | 325,000 | 325,000 | 325,000 |

Federal Contributions

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10913 Farmland Protection Program | 3,016 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93103 Food and Drug Administration_Research | 158 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|-------------------|---------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Total - All Funds | 872,384 | 1,207,891 | 1,215,387 | 1,215,387 | 1,160,387 | 1,347,561 | 1,236,857 | 1,181,857 |
|-------------------|---------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|

BUREAU OF REGULATORY SERVICES

Statutory Reference

C.G.S. Sections 22-111a through 22-118v, 22-126a, 27-127 through 22-259, 22-272 through 22-326g, 22-327 through 22-367a, 22-380e through 22-380m, 22-381 through 22-391, 22-410 through 22-415j and 53-247 through 53-253.

Statement of Need and Program Objectives

To ensure public health and safety, the health of food-producing animals and the general health and welfare of all domestic animals. To ensure agricultural commodities composition and quality to prevent economic loss to livestock and poultry owners due to animal diseases or contamination. To ensure animal and pet foods meet their label claims. To ensure Connecticut's hemp growers and harvesters produce lawful hemp harvests which are acceptable to be manufacturer. To prevent nuisance and damage to people, animals and property by dogs and other domestic animals, and to protect the environment and public from potential adverse environmental factors associated with agricultural production. To enforce animal cruelty/welfare laws and regulatory standards for pet shops, commercial kennels, dog training and dog boarding facilities. To implement federal and state policy pertinent to food safety.

Program Description

The Dairy and Livestock Program licenses and inspects dairy farms, milk plants and distributors and regulates milk and milk products to ensure that milk producers, processors, distributors and cheese manufacturers comply with safety and composition standards. Bureau staff collect samples of raw and processed milk and milk products from producers and Connecticut's milk and cheese processing plants. These samples are tested for bacteria, antibiotics and butterfat content on a monthly basis. Equipment in milk processing plants is monitored to be sure it is operating and calibrated correctly so milk is safe and wholesome. The program receives and investigates food-borne illness outbreaks and consumer complaints involving milk products and cheese.

The bureau inspects poultry operations to ensure that conditions are maintained so they do not constitute a threat to the environment or public health or become a public nuisance. Poultry are inspected and tested to detect infectious and contagious diseases such as Avian Influenza. The importation of poultry and pet birds is regulated and health certificates are issued for poultry destined for export. The bureau conducts egg room sanitation inspections and participates in programs with the USDA. The bureau registers and inspects small poultry slaughter operations not under USDA supervision which sell poultry and poultry products into food service establishments.

The bureau is responsible for the establishment and implementation of a produce safety program as required under the federal Food Safety Modernization Act. The Produce Safety Program is responsible for inventory development, education, training and enforcement of provisions provided by the federal government concerning produce safety and state law.

The Agricultural Commodities Program seeks to protect pet and animal health, ensure agricultural commodities and pet foods meet standards and label claims and, prevent soil contamination from agricultural commodities. Staff collect samples which are analyzed at the Agricultural Experiment Station and check for registrations of feed, seed and fertilizer products. The samples of fertilizers, animal feeds and seed products are processed for compliance with state truth in labeling laws and to protect soils and crops are from invasive weeds and contaminants.

The Animal Population Control Program is designed to reduce the population of unwanted dogs and cats through a low cost spay and neutering program for dogs and cats adopted from municipal animal control facilities. The program also oversees grants to assist in the spaying and neutering of feral cats and pets for low income individuals. The program is supported by a dedicated fund generated through fees related to the program.

The Second Chance Large Animal Rehabilitation Facility is designed to hold and rehabilitate horses or other large animals that have been seized during animal cruelty investigations. This facility helps the agency to meet its statutory charge of prevention of cruelty to and seizure of abused animals in a cost effective manner. The facility is run in conjunction with the Department of Correction.

The bureau responds to environmental, public health, and public nuisance complaints associated with other agricultural production activities including dairy farms, horse stables, other livestock operations and crop production.

The Animal Control Program has the responsibility for the control of animal diseases; enforcement of animal cruelty laws; investigation of injury, property damage and nuisances caused by dogs; and training and support of municipal animal control officers. Program personnel inspect pet shops, commercial kennels, grooming and training facilities for compliance with state laws and conducts education programs to school, utility companies and civic groups.

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 27 | 4 | -1 | 30 | 30 | 30 | 30 | 30 |
| Federal Funds | 2 | 0 | 0 | 3 | 2 | 2 | 2 | 2 |
| Private Funds | 1 | 1 | -1 | 1 | 1 | 1 | 1 | 1 |

| <i>Other Positions Equated to Full-Time</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|-----------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 | 1 |

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,928,880 | 2,201,927 | 2,221,385 | 2,221,385 | 2,184,534 | 2,221,385 | 2,306,654 | 2,269,803 |
| Other Expenses | 361,605 | 461,105 | 461,105 | 461,105 | 371,100 | 461,105 | 461,105 | 371,100 |
| <i>Other Current Expenses</i> | | | | | | | | |
| Dairy Farmer – Agriculture Sustainability | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 |
| Total-General Fund | 3,290,485 | 3,663,032 | 3,682,490 | 3,682,490 | 3,555,634 | 3,682,490 | 3,767,759 | 3,640,903 |

| <i>Other Funds Available</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 6,868,086 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 |

| <i>Federal Contributions</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10025 Plant & Animal Disease, Pest Control | 122,535 | 115,000 | 115,000 | 115,000 | 115,000 | 115,000 | 115,000 | 115,000 |
| 93103 Food and Drug Administration_Research | 1,124,035 | 1,100,000 | 1,100,000 | 1,100,000 | 1,100,000 | 1,100,000 | 1,100,000 | 1,100,000 |
| Total - All Funds | 11,405,141 | 11,378,032 | 11,397,490 | 11,397,490 | 11,270,634 | 11,397,490 | 11,482,759 | 11,355,903 |

BUREAU OF AQUACULTURE

Statutory Reference

C.G.S. Sections 26-192 through 26-294, and 22-11c through 22-11g.

Statement of Need and Program Objectives

To provide for the planned development and coordination of aquaculture as an agricultural business through programs that assure opportunities for the production of an abundant, safe and wholesome supply of farm raised aquatic plant and animal species.

Program Description

The Shellfish Sanitation Program is responsible for assuring safe shell fishing growing areas for commercial and recreational harvesting and for maintaining certification and compliance with the U.S. Food and Drug Administration’s National Shellfish Sanitation Program.

The bureau performs annual and triennial pollution source assessments and twelve-year comprehensive shoreline sanitary surveys along Connecticut’s 250 mile coastline. It monitors shellfish growing areas in Long Island Sound for the protection of public health by collecting and testing seawater and shellfish meat samples in order to determine levels of indicator bacteria, hazardous algal blooms (HABs) and toxins such as Paralytic Shellfish Poison (PSP).

As part of this program, the bureau is responsible for the sanitary inspection and certification of shellfish dealers involved in harvesting, processing, and wholesale distribution of fresh and frozen oysters, clams and mussels.

The bureau conducts additional testing for viruses, utilizing Male Specific Bacteriophage (MSB). This organism is used in conjunction with traditional fecal coliform testing to evaluate viral impacts to shellfish growing areas from water pollution control facilities (WPCFs) and sewage bypasses. For several decades now viral illnesses (in particular norovirus and Hepatitis A) have been the most common food safety problem associated with bivalve molluscan shellfish. In recent years, many of Connecticut’s coastal sewage treatment plants have been upgraded to ultraviolet disinfection and are state-of-the-art facilities producing a very high-quality effluent. The UV treatment plants are more effective than traditional chemical disinfection using chlorine at removing infectious enteric viruses from effluent. The bureau has been using this technology since 2009 as a science-based approach to evaluating viral impacts to shellfish growing areas and potential for upgrading certain shellfish growing areas that have been identified as being free from significant viral impacts. Upgrading shellfish growing areas will allow additional oyster and clam harvest from areas and will have significant economic benefits for shellfish harvesters.

The Shellfish Habitat Management and Restoration Program serves two functions. The bureau leases shellfish grounds, administers perpetual franchise grounds, provides survey and engineering services, maintains maps and records, collects fees and taxes, sets corner marker buoys, constructs and maintains signals, and mediates boundary and ownership disputes.

The Seaweed Licensing Program identifies hazards and risks associated with cultivating, harvesting, processing and storage, and

developed Hazard Analysis and Critical Control Point (HACCP) standards for fresh and frozen kelp.

The bureau also provides for the cultivation and propagation of shellfish through the management of state-owned natural clam and oyster beds. It maintains spawn stock, monitors predators and diseases and makes assessments of natural disaster impacts.

The Aquaculture Development and Coordination Program is responsible for planning and coordination of aquaculture development including: legislation and regulations, review of Coastal Zone applications, liaison between industry and the regulatory community, promotion, marketing, technology transfer and assistance, communications and addressing issues of regional and national importance.

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 11 | 2 | 0 | 13 | 13 | 13 | 13 | 13 |
| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 779,169 | 829,506 | 836,017 | 836,017 | 836,017 | 836,017 | 872,704 | 872,704 |
| Other Expenses | 114,857 | 154,541 | 154,541 | 154,541 | 154,541 | 154,541 | 154,541 | 154,541 |
| Total-General Fund | 894,026 | 984,047 | 990,558 | 990,558 | 990,558 | 990,558 | 1,027,245 | 1,027,245 |
| <i>Other Funds Available</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 7,649 | 80,000 | 80,000 | 80,000 | 80,000 | 80,000 | 80,000 | 80,000 |
| Restricted State Accounts | 81,784 | 95,000 | 95,000 | 95,000 | 95,000 | 9,500 | 9,500 | 9,500 |
| <i>Federal Contributions</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| 93448 FOOD SAFETY AND SECURITY MONITORING PROJECT | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| | 691 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 984,150 | 1,159,047 | 1,165,558 | 1,165,558 | 1,165,558 | 1,080,058 | 1,116,745 | 1,116,745 |

BUREAU OF AGRICULTURAL DEVELOPMENT AND RESOURCE CONSERVATION

Statutory Reference

C.G.S. Chapters 422, 422a, 424 and 425, Sections 12-107a, b, c, e and f, 12-91 and 22-62 through 22-78a.

Statement of Need and Program Objectives

To expand relationships and encourage consumption of CT farm products and to preserve and safeguard CT farmland and farmland soils through programs and services which enable agribusinesses to expand their markets and capacity for agricultural sustainability.

Program Description

The bureau works to strengthen the viability of Connecticut agribusiness, providing assistance in domestic and international market development, regulatory compliance (local, state and federal), business development, grant procurement and management, agritourism, Connecticut Grown marketing and public information.

The Farmland Preservation Program preserves farmland by purchasing development rights and placing a conservation easement on the land that forever preserves the farmland for agricultural use, restricting non-agricultural uses thus keeping these lands available for food and fiber production. This work is accomplished through

established partnership with local and state-wide land trusts, nonprofits, and municipalities.

In other more densely populated areas, the Community Farms Program works to preserve active food and fiber producing farms. Such farms for reasons of size, soil quality, or location, may not qualify for the traditional Farmland Preservation Program, but may contribute to local economic activity through agricultural production. Such farms typically have fewer than 30 acres of crop land, are active farms in food or fiber production, contain prime farmland soils and/or additional farmland of statewide importance, and have a demonstrated level of community support.

The Bureau provides access to Women, Infant, and Children (WIC) and seniors over the age of 60 meeting income guidelines, Connecticut grown fruits and vegetables through Farmers' Market Nutrition Programs.

Programs such as CT Grown, food export, Farm to Chef and Farm to School within the bureau help strengthen market development between agricultural awareness.

Through a variety of grant opportunities such as Farm Viability, Farm Transition and Farmland Restoration, the bureau extends funding to Connecticut farmers, municipalities and non-profits to enhance

agricultural viability and expand, diversify and transition Connecticut agribusinesses.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| Regional Market Operation Fund | 0 | 7 | 0 | 7 | 7 | 0 | 7 | 0 |
| Federal Funds | 3 | 1 | -1 | 3 | 3 | 3 | 3 | 3 |
| Private Funds | 2 | 3 | -2 | 3 | 4 | 4 | 4 | 4 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 228,665 | 202,719 | 203,977 | 203,977 | 203,977 | 203,977 | 214,834 | 214,834 |
| Other Expenses | 24,494 | 53,349 | 53,349 | 53,349 | 53,349 | 53,349 | 53,349 | 53,349 |
| Other Current Expenses | | | | | | | | |
| Senior Food Vouchers | 261,870 | 354,104 | 354,272 | 354,272 | 354,272 | 354,272 | 354,597 | 354,597 |
| Pmts to Other Than Local Govts | | | | | | | | |
| WIC Coupon Program for Fresh Produce | 43,168 | 167,938 | 167,938 | 167,938 | 167,938 | 167,938 | 167,938 | 167,938 |
| Total-General Fund | 558,197 | 778,110 | 779,536 | 779,536 | 779,536 | 779,536 | 790,718 | 790,718 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Regional Market Operation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 762,600 | 941,796 | 1,412,694 | 1,412,694 | 0 | 1,412,694 | 1,412,694 | 0 |
| Other Expenses | 760,050 | 1,365,035 | 1,638,042 | 1,638,042 | 0 | 1,638,042 | 1,638,042 | 0 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 226,250 | 0 | 361,316 | 361,316 | 0 | 361,316 | 361,316 | 0 |
| Total-Regional Market Operation Fund | 1,748,900 | 2,306,831 | 3,412,052 | 3,412,052 | 0 | 3,412,052 | 3,412,052 | 0 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,498,496 | 1,549,000 | 1,549,000 | 1,549,000 | 1,549,000 | 1,549,000 | 1,549,000 | 1,549,000 |
| Restricted State Accounts | 2,954 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10163 Market Protection & Promotion | 49,768 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| 10170 SPECIALTY CROP BLOCK GRANT PROGRAM - FARM BILL | 214,263 | 45,000 | 45,000 | 45,000 | 45,000 | 45,000 | 45,000 | 45,000 |
| 10572 WIC Farmers Mkt Nutrition Pgm | 260,773 | 255,000 | 255,000 | 255,000 | 255,000 | 255,000 | 255,000 | 255,000 |
| 10575 Farm to School Training and Technical Assistance | 2,814 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |
| 10576 Senior Farmers Market Nutrition Pgm | 51,415 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| 10913 Farmland Protection Program | 830,743 | 835,000 | 835,000 | 835,000 | 835,000 | 835,000 | 835,000 | 835,000 |
| Total - All Funds | 4,076,548 | 3,556,110 | 4,662,757 | 4,662,757 | 3,557,536 | 4,662,757 | 4,673,939 | 3,568,718 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|------------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 3,214,374 | 3,773,392 | 3,805,890 | 3,769,039 | 3,938,064 | 3,923,322 |
| Salaries & Wages-Temporary | 6,366 | 0 | 0 | 0 | 0 | 0 |
| Salaries & Wages-Part Time | 51,299 | 80,577 | 82,063 | 82,063 | 82,063 | 82,063 |
| Longevity Payments | 17,880 | 27,510 | 27,510 | 27,510 | 27,510 | 27,510 |
| Overtime | 41,093 | 40,000 | 40,739 | 40,739 | 40,739 | 40,739 |
| Accumulated Leave | 42,285 | 50,100 | 50,100 | 50,100 | 50,100 | 50,100 |
| Other Salaries & Wages | 3,343 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| Other | 8,365 | 8,500 | 8,500 | 8,500 | 8,500 | 8,500 |
| TOTAL - Personal Services-Personal Services | 3,385,005 | 3,985,079 | 4,019,802 | 3,982,951 | 4,151,976 | 4,137,234 |
| Other Expenses | | | | | | |
| Adjustments | 450 | 450 | 450 | 450 | 450 | 450 |
| Communications | 43,984 | 43,984 | 43,984 | 43,984 | 43,984 | 43,984 |
| Electricity | 19,001 | 19,001 | 19,001 | 19,001 | 19,001 | 19,001 |
| Employee Expenses | 1,275 | 1,275 | 1,275 | 1,275 | 1,275 | 1,275 |
| Employee Travel | 13,259 | 22,943 | 22,943 | 22,943 | 22,943 | 22,943 |
| Equipment Rental and Maintenance | 19,138 | 19,138 | 19,138 | 19,138 | 19,138 | 19,138 |
| Food And Beverages | 150 | 150 | 150 | 150 | 150 | 150 |
| Information Technology | 227 | 227 | 227 | 227 | 227 | 227 |
| Motor Vehicle Costs | 102,350 | 102,350 | 102,350 | 102,350 | 102,350 | 102,350 |
| Natural Gas | 3,701 | 3,701 | 3,701 | 3,701 | 3,701 | 3,701 |
| Other / Fixed Charges | 44,792 | 44,792 | 44,792 | 44,792 | 44,792 | 44,792 |
| Other Services | 248,358 | 436,713 | 436,713 | 291,708 | 436,713 | 291,708 |
| Premises Expenses | 13,660 | 13,660 | 13,660 | 13,660 | 13,660 | 13,660 |
| Professional Services | 299 | 299 | 299 | 299 | 299 | 299 |
| Purchased Commodities | 90,475 | 90,475 | 90,475 | 90,475 | 90,475 | 90,475 |
| Salaries and Wages | 351 | 351 | 351 | 351 | 351 | 351 |
| Water | 1,450 | 1,450 | 1,450 | 1,450 | 1,450 | 1,450 |
| TOTAL-Other Expenses | 602,920 | 800,959 | 800,959 | 655,954 | 800,959 | 655,954 |
| Other Current Expenses | | | | | | |
| Senior Food Vouchers | 261,870 | 354,104 | 354,272 | 354,272 | 354,272 | 354,597 |
| Dairy Farmer – Agriculture Sustainability | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 |
| TOTAL-Other Current Expenses | 1,261,870 | 1,354,104 | 1,354,272 | 1,354,272 | 1,354,272 | 1,354,597 |
| Pmts to Other Than Local Govts | | | | | | |
| WIC Coupon Program for Fresh Produce | 43,168 | 167,938 | 167,938 | 167,938 | 167,938 | 167,938 |
| TOTAL-Pmts to Other Than Local Govts | 43,168 | 167,938 | 167,938 | 167,938 | 167,938 | 167,938 |
| Personal Services | 3,385,005 | 3,985,079 | 4,019,802 | 3,982,951 | 4,151,976 | 4,137,234 |
| Other Expenses | 602,920 | 800,959 | 800,959 | 655,954 | 800,959 | 655,954 |
| Other Current Expenses | 1,261,870 | 1,354,104 | 1,354,272 | 1,354,272 | 1,354,272 | 1,354,597 |
| Pmts to Other Than Local Govts | 43,168 | 167,938 | 167,938 | 167,938 | 167,938 | 167,938 |
| TOTAL-General Fund | 5,292,963 | 6,308,080 | 6,342,971 | 6,161,115 | 6,475,145 | 6,315,723 |

AGENCY FINANCIAL SUMMARY - REGIONAL MARKET OPERATION FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|------------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 208,751 | 0 | 402,018 | 0 | 402,018 | 0 |
| Longevity Payments | 798 | 0 | 1,478 | 0 | 1,478 | 0 |
| Overtime | 36,385 | 0 | 67,402 | 0 | 67,402 | 0 |
| Accumulated Leave | 1,279 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 5,235 | 0 | 0 | 0 | 0 | 0 |
| Other | 1,752 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 254,200 | 0 | 470,898 | 0 | 470,898 | 0 |
| | | | | | | |
| Other Expenses | | | | | | |
| Communications | 4,340 | 0 | 0 | 0 | 0 | 0 |
| Electricity | 17,363 | 0 | 37,420 | 0 | 37,420 | 0 |
| Employee Expenses | 261 | 0 | 0 | 0 | 0 | 0 |
| Equipment Rental and Maintenance | 3,563 | 0 | 0 | 0 | 0 | 0 |
| Information Technology | 1,367 | 0 | 0 | 0 | 0 | 0 |
| Motor Vehicle Costs | 15,266 | 0 | 32,901 | 0 | 32,901 | 0 |
| Natural Gas | 3,320 | 0 | 0 | 0 | 0 | 0 |
| Oil #2 | 8,104 | 0 | 17,466 | 0 | 17,466 | 0 |
| Other Services | 128 | 0 | 0 | 0 | 0 | 0 |
| Premises Expenses | 20,320 | 0 | 79,524 | 0 | 79,524 | 0 |
| Purchased Commodities | 3,600 | 0 | 0 | 0 | 0 | 0 |
| Sewer | 34,369 | 0 | 74,071 | 0 | 74,071 | 0 |
| Water | 14,674 | 0 | 31,625 | 0 | 31,625 | 0 |
| TOTAL-Other Expenses | 126,675 | 0 | 273,007 | 0 | 273,007 | 0 |
| | | | | | | |
| Other Current Expenses | | | | | | |
| Fringe Benefits | 226,250 | 0 | 361,316 | 0 | 361,316 | 0 |
| TOTAL-Other Current Expenses | 226,250 | 0 | 361,316 | 0 | 361,316 | 0 |
| | | | | | | |
| Personal Services | 762,600 | 941,796 | 1,412,694 | 0 | 1,412,694 | 0 |
| Other Expenses | 760,050 | 1,365,035 | 1,638,042 | 0 | 1,638,042 | 0 |
| Other Current Expenses | 226,250 | 0 | 361,316 | 0 | 361,316 | 0 |
| TOTAL-Regional Market Operation Fund | 1,748,900 | 2,306,831 | 3,412,052 | 0 | 3,412,052 | 0 |

DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

AGENCY DESCRIPTION

The mission of the Department of Energy and Environmental Protection (DEEP) is to conserve, improve and protect the natural resources and environment of the State of Connecticut in a manner that encourages the social and economic development of the state while preserving the natural environment and the life forms it supports; and bring cheaper, cleaner and more reliable energy to the residents and businesses of Connecticut through the development and implementation of forward-looking energy policies and programs and the sound regulation of the state's public utility companies.

DEEP achieves its mission through regulation, compliance assistance, inspection, enforcement, and licensing procedures. These activities help control air, land, and water pollution and ensure that power for electricity, heat and industry, as well as fuels for transportation, are available at the lowest possible prices and in the most environmentally advantageous manner. It is the overarching goal of DEEP to protect the health, safety and welfare of the citizens of the state.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| • Provide Funding for State Employee Wage Adjustments - General Fund | 582,614 | 582,614 | |
| • Adjust Fringe Benefits to Reflect Actual Rates - Consumer Counsel and Public Utilities Control Fund | 469,056 | 911,707 | |
| • Provide Funding for State Employee Wage Adjustments - Consumer Counsel and Public Utilities Control Fund | 189,519 | 189,519 | |
| • Provide Funding for State Employee Wage Adjustments - Special Transportation Fund | 25,059 | 25,059 | |
| • Reflect Impact of 27th Payroll During FY 2023 - General Fund | 0 | 1,704,224 | |
| • Reflect Impact of 27th Payroll During FY 2023 - Consumer Counsel and Public Utilities Control Fund | 0 | 500,765 | |
| • Reflect Impact of 27th Payroll During FY 2023 - Special Transportation Fund | 0 | 84,171 | |
| • Fund Indirect Overhead at Comptroller's Projected Amount | -99 | -99 | |
| Reductions | FY 2022 | FY 2023 | |
| • Adjust Funding to Reflect Current Estimated Requirements - Consumer Counsel and Public Utilities Control Fund | -1,319,110 | -1,319,110 | |
| • Annualize FY 2021 Rescissions | -1,091,612 | -1,091,612 | |
| • Reduce Funds for Closure of Facilities and Agency Infrastructure | -700,000 | -1,400,000 | |
| • Annualize FY 2021 Holdbacks | -175,000 | -175,000 | |
| Reallocations | FY 2022 | FY 2023 | |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services - General Fund | -1,039,331 | -1,079,306 | |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services - Consumer Counsel and Public Utilities Control Fund | -136,889 | -142,154 | |
| • Centralize Funding for Microsoft 365 Statewide Agreement Under the Department of Administrative Services | -34,963 | -34,963 | |
| • Reallocate Funding from Environmental Conservation Account to the Hatcheries Account to Align Expenses | 0 | 0 | |
| Expansions | FY 2022 | FY 2023 | FY 2024 |
| • Provide Funds to Support Equitable Access to Broadband within the Public Utilities Regulatory Authority <i>Funding will support staff at the Public Utilities Regulatory Authority to implement the intent of this legislation. The legislation uses a combination of provisions to achieve three primary goals: making affordable high-speed broadband available for all, promoting economic development, and protecting consumers while reducing costs.</i> | 1,525,895 | 1,584,583 | 1,525,895 |
| • Provide Funds to Support Equitable Access to Broadband within the Department of Energy and Environmental Protection <i>Funding will support staff at the Department of Energy and Environmental Protection to implement the intent of this legislation. The legislation uses a combination of provisions to achieve three primary goals: making affordable high-speed broadband available for all, promoting economic development, and protecting consumers while reducing costs.</i> | 486,166 | 504,864 | 486,166 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Consumer Counsel/Public Utility Fund | 124 | 0 | 0 | 124 | 124 | 136 | 124 | 136 |
| General Fund | 583 | 0 | 0 | 583 | 583 | 571 | 583 | 571 |
| Special Transportation Fund | 29 | 0 | 0 | 29 | 29 | 29 | 29 | 29 |
| Federal Funds | 162 | 24 | 0 | 186 | 186 | 186 | 186 | 186 |
| Private Funds | 83 | 15 | -8 | 90 | 90 | 90 | 90 | 90 |
| Restricted State Accounts | 25 | 2 | 0 | 27 | 27 | 27 | 27 | 27 |

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Environmental Quality | 271,220,226 | 288,291,426 | 289,354,364 | 288,685,557 | 288,169,144 | 288,434,079 | 289,128,203 | 288,136,790 |
| Environmental Conservation | 38,251,612 | 36,747,415 | 37,171,417 | 36,909,855 | 36,576,029 | 36,992,525 | 37,452,854 | 36,891,613 |
| Energy | 27,009,076 | 27,299,732 | 28,356,811 | 27,639,763 | 28,195,825 | 27,684,031 | 28,217,555 | 28,845,738 |
| Administration | 64,939,748 | 74,349,254 | 76,175,000 | 74,916,222 | 72,725,555 | 75,002,086 | 75,973,466 | 73,745,239 |
| TOTAL Agency Programs | 401,420,662 | 426,687,827 | 431,057,592 | 428,151,397 | 425,666,553 | 428,112,721 | 430,772,078 | 427,619,380 |

Summary of Funding

| | | | | | | | | |
|--------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| General Fund | 51,060,272 | 53,953,486 | 55,977,068 | 54,321,522 | 51,280,616 | 54,321,522 | 56,025,746 | 52,244,865 |
| Special Transportation Fund | 2,704,284 | 2,865,368 | 2,968,632 | 2,890,427 | 2,890,427 | 2,890,427 | 2,974,598 | 2,974,598 |
| Consumer Counsel/Public Utility Fund | 22,211,667 | 25,375,930 | 27,206,850 | 26,034,406 | 26,590,468 | 26,106,860 | 26,977,822 | 27,606,005 |
| Federal Funds | 48,442,674 | 62,290,374 | 61,437,762 | 61,437,762 | 61,437,762 | 61,326,632 | 61,326,632 | 61,326,632 |
| Private Funds | 225,565,685 | 229,628,589 | 230,893,200 | 230,893,200 | 230,893,200 | 230,893,200 | 230,893,200 | 230,893,200 |
| Special Non-Appropriated Funds | 10,437,271 | 10,437,730 | 10,437,730 | 10,437,730 | 10,437,730 | 10,437,730 | 10,437,730 | 10,437,730 |
| Restricted State Accounts | 40,998,809 | 42,136,350 | 42,136,350 | 42,136,350 | 42,136,350 | 42,136,350 | 42,136,350 | 42,136,350 |
| Total Agency Programs | 401,420,662 | 426,687,827 | 431,057,592 | 428,151,397 | 425,666,553 | 428,112,721 | 430,772,078 | 427,619,380 |

ENVIRONMENTAL QUALITY

Statutory Reference

C.G.S. Sections 22a-2, 22a-6, 22a-7, 22a-27q; 22a-28 thru 22a-45a, 22a-46 thru 22a-66z 22a-90 thru 22a-113t, 22a-133a thru 22a-134e, 22a-207 thru 22a-256ee, 22a-314 thru 22a-329, 22a-336 thru 22a-339e, 22a-342 thru 22a-354bb, 22a-359 thru 22a-380, 22a-401 thru 22a-411, 22a-416 thru 22a-527, 22a-600 thru 22a-611; 22a-629 thru 22a-640; 23-61b thru 23-61m; 22a-904 thru 22a-904a; 22a-905 thru 22a-905f 25-32d, 25-68b thru 25-68n, 25-69 thru 25-102, Chapter 446, Chapter 446a, Chapter 446b and Chapter 446c.

Statement of Need and Program Objectives

To protect human health and safety and the environment and to enhance the quality of life for the citizens of Connecticut by managing air quality, radioactive materials and radiation. To restore and protect the environment and the health, welfare and safety of the citizens of Connecticut by managing the state's water resources. To protect human health, safety and the environment by ensuring proper waste and materials management.

Program Description

The Environmental Quality Program includes the Bureau of Air Management, Bureau of Water Protection and Land Re-Use and Bureau of Materials Management and Compliance Assurance.

The Bureau of Air Management maintains a comprehensive monitoring network for measuring air quality. The bureau regulates the use, transportation and storage of radioactive materials and monitors for radioactive accumulations from nuclear power plants; develops and implements regulations, policies, procedures and standards for carrying out Connecticut's air and radiation control laws and regulations; issues air pollution control permits; and enforces laws or regulations when they are violated.

The Air Planning and Standards Division develops and implements air quality policy; sets standards for air pollution emissions and ambient air quality; develops strategies to further protect public health and improve air quality; evaluates, develops and implements mobile source strategies and programs; monitors federal regulatory activity; responds with appropriate state action and maintains and operates the comprehensive Connecticut Ambient Air Quality monitoring network.

The Air Engineering and Enforcement Division implements state and federal air pollution control laws and regulations by issuing permits; performing engineering evaluations; evaluating, developing, and implementing stationary source control strategies, programs and regulations; promoting pollution prevention opportunities; collecting emissions fees; and maintaining a state-wide air emission inventory.

The Division of Radiation sets standards for the safe operation of equipment that produces radiation and for the possession, use, storage, transportation and disposal of radioactive material. The division coordinates registration and inspections of facilities using radioactive material, x-ray machines and other radiation producing devices.

The Bureau of Water Protection and Land Re-Use consists of four divisions:

The Planning and Standards Division adopts water quality standards and classifications for Connecticut; establishes total maximum amount of pollutant that can occur in at waterbody daily; monitors and assesses the state’s water quality; provides technical support for permit and enforcement actions; regulates municipal discharges; assists municipalities in the upgrading of municipal sewage facilities; administers the aquifer protection program; and provides watershed management, planning, program development and administrative support functions for the bureau.

The Inland Water Resource Management Division regulates activities in the state’s inland wetlands, watercourses and floodplains; enforces the state’s inland wetlands and floodplain protection statutes; manages allocation of water resources through diversion permitting; and prevents or mitigates natural disasters through flood warning and dam safety programs.

The Remediation Division remediates waste disposal sites and other contaminated sites under state and federal Superfund, Property

Transfer, Urban Sites Remedial Action and Resource Conservation Recovery Act Corrective Action programs.

The Office of Long Island Sound Program coordinates departmental policy and programs affecting Long Island Sound (LIS) and related coastal lands and waters; and undertakes long-range planning for LIS; implements, oversees and enforces the state’s coastal management program, the state Harbor Management Act and the coastal habitat restoration program.

The Bureau of Materials Management and Compliance Assurance administers a wide variety of regulatory programs to ensure the proper management of materials and consists of three divisions:

The Waste Engineering and Enforcement Division ensures environmentally sound and safe waste management practices, encourages resource conservation and recovery; investigates complaints; regulates, inspects, monitors and initiates enforcement actions; issues permits to solid and hazardous waste handlers; processes closures for former solid waste disposal facilities; promotes an integrated and safe system of pesticide use which minimizes human and non-target species exposure to pesticides by regulating the manufacture, sale and application of pesticides; and develops and analyzes solid waste and sustainable materials management policy including producer responsibility and product stewardship programs for electronic wastes, electronics, mattresses, rechargeable batteries and mercury thermostats.

The Permitting and Enforcement Division (Water) implements state and federal water pollution control laws to protect waters of the state from pollution by regulating and promoting effective and responsible wastewater management, treatment and disposal.

The Emergency Response and Spill Prevention Division protects the public and the environment from emergencies resulting from the discharge, spillage, uncontrolled loss, seepage or filtration from substances, materials or wastes.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Consumer Counsel/Public Utility Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| General Fund | 187 | 0 | 0 | 187 | 187 | 187 | 187 | 187 |
| Federal Funds | 104 | 15 | 0 | 119 | 119 | 119 | 119 | 119 |
| Private Funds | 32 | 3 | 0 | 35 | 35 | 35 | 35 | 35 |
| Restricted State Accounts | 21 | 1 | 0 | 22 | 22 | 22 | 22 | 22 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 3,983,076 | 4,477,005 | 4,663,639 | 4,496,670 | 4,496,670 | 4,496,670 | 4,670,060 | 4,670,060 |
| Other Current Expenses | | | | | | | | |
| State Superfund Site Maintenance | 388,061 | 399,577 | 399,577 | 399,577 | 399,577 | 399,577 | 399,577 | 399,577 |
| Laboratory Fees | 129,015 | 129,015 | 129,015 | 129,015 | 122,565 | 129,015 | 129,015 | 122,565 |
| Emergency Spill Response | 5,582,626 | 5,816,538 | 6,031,102 | 5,855,631 | 5,855,631 | 5,855,631 | 6,052,227 | 6,052,227 |
| Solid Waste Management | 3,266,723 | 2,745,434 | 2,823,452 | 2,759,859 | 2,759,859 | 2,759,859 | 2,826,176 | 2,826,176 |
| Underground Storage Tank | 670,794 | 573,653 | 598,316 | 576,662 | 576,662 | 576,662 | 598,966 | 598,966 |

| | | | | | | | | |
|-------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Clean Air | 3,224,545 | 2,968,797 | 3,096,524 | 2,998,877 | 2,798,877 | 2,998,877 | 3,093,161 | 2,693,161 |
| Environmental Quality | 3,632,957 | 4,168,294 | 4,335,332 | 4,199,232 | 3,889,269 | 4,199,232 | 4,334,977 | 3,750,014 |
| Total--Other Current Expenses | 16,894,721 | 16,801,308 | 17,413,318 | 16,918,853 | 16,402,440 | 16,918,853 | 17,434,099 | 16,442,686 |

Pmts to Other Than Local Govts

| | | | | | | | | |
|---------------------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Interstate Environmental Commission | 3,333 | 3,333 | 3,333 | 3,333 | 3,333 | 3,333 | 3,333 | 3,333 |
| New England Interstate Water Pollution Commission | 26,554 | 26,554 | 26,554 | 26,554 | 26,554 | 26,554 | 26,554 | 26,554 |
| Connecticut River Valley Flood Control Commission | 30,295 | 30,295 | 30,295 | 30,295 | 30,295 | 30,295 | 30,295 | 30,295 |
| Thames River Valley Flood Control Commission | 45,151 | 45,151 | 45,151 | 45,151 | 45,151 | 45,151 | 45,151 | 45,151 |
| Pmts to Other Than Local Govts | 105,333 | 105,333 | 105,333 | 105,333 | 105,333 | 105,333 | 105,333 | 105,333 |
| Total-General Fund | 20,983,130 | 21,383,646 | 22,182,290 | 21,520,856 | 21,004,443 | 21,520,856 | 22,209,492 | 21,218,079 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>Special Transportation Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Expenses | 26,397 | 27,145 | 27,145 | 27,145 | 27,145 | 27,145 | 27,145 | 27,145 |
| Total-Special Transportation Fund | 26,397 | 27,145 | 27,145 | 27,145 | 27,145 | 27,145 | 27,145 | 27,145 |

Financial Summary by Program Consumer Counsel/Public Utility Fund

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>Consumer Counsel/Public Utility Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 58,877 | 63,254 | 67,021 | 64,521 | 64,521 | 64,521 | 67,681 | 67,681 |

Other Current Expenses

| | | | | | | | | |
|--------------------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| Fringe Benefits | 50,185 | 54,160 | 61,985 | 57,112 | 57,112 | 57,570 | 59,898 | 59,898 |
| Total-Consumer Counsel/Public Utility Fund | 109,062 | 117,414 | 129,006 | 121,633 | 121,633 | 122,091 | 127,579 | 127,579 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 205,590,992 | 207,060,389 | 208,325,000 | 208,325,000 | 208,325,000 | 208,325,000 | 208,325,000 | 208,325,000 |
| Special Non-Appropriated Funds | 10,282,960 | 10,283,266 | 10,283,266 | 10,283,266 | 10,283,266 | 10,283,266 | 10,283,266 | 10,283,266 |
| Restricted State Accounts | 6,079,549 | 8,020,000 | 8,020,000 | 8,020,000 | 8,020,000 | 8,020,000 | 8,020,000 | 8,020,000 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>Federal Contributions</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 11419 Coastal Zone Mgmt Admin | 2,210,177 | 2,225,000 | 2,225,000 | 2,225,000 | 2,225,000 | 2,225,000 | 2,225,000 | 2,225,000 |
| 12113 St MOA Pgm Reimb Tech Svcs | 33,453 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 |
| 15981 Water Use and Data Research | 51,581 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 |
| 20703 Haz Mat Public Sector Train/Plan Grants | 224,819 | 225,000 | 225,000 | 225,000 | 225,000 | 225,000 | 225,000 | 225,000 |
| 66034 Surveys Studies, Investigations Clean Air Act | 857,409 | 633,903 | 370,624 | 370,624 | 370,624 | 370,624 | 370,624 | 370,624 |
| 66040 State Clean Diesel Grant Program | 442,751 | 479,775 | 479,775 | 479,775 | 479,775 | 479,775 | 479,775 | 479,775 |
| 66437 Long Island Sound Program | 2,249,307 | 4,348,266 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 |
| 66454 Water Quality Management Planning | 250,078 | 197,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 |
| 66458 Capitalization Grants For Clean Water | 7,802,939 | 19,465,000 | 20,000,000 | 20,000,000 | 20,000,000 | 20,000,000 | 20,000,000 | 20,000,000 |
| 66460 Nonpoint Source Implement Grts | 759,131 | 1,006,897 | 1,010,000 | 1,010,000 | 1,010,000 | 1,010,000 | 1,010,000 | 1,010,000 |
| 66461 Wetland Program Grants | 65,460 | 63,750 | 63,750 | 63,750 | 63,750 | 63,750 | 63,750 | 63,750 |
| 66472 Beach Monitoring & Notification | -2,526 | 12,000 | 12,000 | 12,000 | 12,000 | 12,000 | 12,000 | 12,000 |
| 66605 Performance Partnership Grants | 10,549,851 | 9,261,000 | 10,000,000 | 10,000,000 | 10,000,000 | 10,000,000 | 10,000,000 | 10,000,000 |
| 66608 STATE INFORMATION GRANTS | 107,335 | 251,936 | 251,936 | 251,936 | 251,936 | 0 | 0 | 0 |
| 66708 Pollution Prevention Grants Program | 84,360 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |

| | | | | | | | | |
|----------------------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| 66802 Superfund State, Political Subdivision | 342,839 | 777,846 | 550,000 | 550,000 | 550,000 | 550,000 | 550,000 | 550,000 |
| 66804 State & Tribal Underground Storage Tanks Pgm | 378,700 | 332,124 | 332,124 | 332,124 | 332,124 | 332,124 | 332,124 | 332,124 |
| 66805 Leak Underground Storg Tank Trst | 424,481 | 548,569 | 600,000 | 600,000 | 600,000 | 600,000 | 600,000 | 600,000 |
| 66809 Superfund State & Indian Tribe Core | 129,850 | 130,000 | 130,000 | 130,000 | 130,000 | 130,000 | 130,000 | 130,000 |
| 66817 State & Tribal Response Program Grants | 640,399 | 688,000 | 680,000 | 680,000 | 680,000 | 680,000 | 680,000 | 680,000 |
| 77001 RADIATION CONTROL - TRAINING AND COUNSELING | 10,848 | 10,848 | 10,848 | 10,848 | 10,848 | 10,848 | 10,848 | 10,848 |
| 93000 Various Programs | 250,569 | 255,000 | 255,000 | 255,000 | 255,000 | 255,000 | 255,000 | 255,000 |
| 97023 Comm Assistance Pgm St Support | 201,207 | 207,598 | 208,000 | 208,000 | 208,000 | 208,000 | 208,000 | 208,000 |
| 97041 National Dam Safety Program | 81,528 | 91,454 | 95,000 | 95,000 | 95,000 | 95,000 | 95,000 | 95,000 |
| 97047 Pre-Disaster Mitigation | 1,590 | 1,600 | 1,600 | 1,600 | 1,600 | 1,600 | 1,600 | 1,600 |
| Total - All Funds | 271,220,226 | 288,291,426 | 289,354,364 | 288,685,557 | 288,169,144 | 288,434,079 | 289,128,203 | 288,136,790 |

ENVIRONMENTAL CONSERVATION

Statutory Reference

C.G.S. Sections 22a-45b-d, 23-4 thru 23-65, 26-3 thru 26-315. Sections 23-4 thru 23-32; 23-66 thru 23-80 and Chapter 268, 490 and 491.

Statement of Need and Program Objectives

To manage the state's natural resources and provide the public with continued recreational and commercial opportunities for resource utilization through a program of regulation, management, research and public education. To provide for the conservation and management of statewide recreation lands and waters through the acquisition of open space and the management of resources to meet the outdoor recreation needs of the public and provide natural resource protection and public safety services

Program Description

The Environmental Conservation Program includes the Bureau of Natural Resources and Bureau of Outdoor Recreation.

The Bureau of Natural Resources applies fish, wildlife and forest management principles and conducts scientific investigations and assessments to protect these resources and their habitats and to ensure their wise and sustainable use. The bureau consists of four divisions:

The Inland Fisheries Division manages fishery resources to provide sustainable populations and public benefit commensurate with habitat capability and relevant ecological, social and economic considerations.

The Marine Fisheries Division manages marine fish and crustacean resources to provide optimum sustained benefit to user groups while assuring the diversity, abundance and conservation of populations.

The Wildlife Division manages wildlife resources to provide sustained populations of native flora and fauna for optimal public benefit.

The Forestry Division manages state-owned forest lands for long term health and vigor, as well as multiple uses. The division conducts an

urban tree planting and management program and a forest fire prevention control program, including training for municipal fire departments, provision of specialized fire equipment, administration of federal funds to rural fire departments, and participation in the Northeast Forest Fire Protection Commission.

The Bureau of Outdoor Recreation consists of the following divisions:

The State Parks and Public Outreach Division administers the planning, development, operations and maintenance of the lands and facilities within the state park system; provides for water based recreation within the state inland waters and coastal beaches; manages a system of campgrounds; establishes and manages a statewide recreational trail system; manages and operates historic and cultural sites; provides for special events and tourism enhancement; offers educational programs and activities related to the history and natural resources available in the parks; and operates and maintains boat launch access areas.

The Environmental Conservation Police Division officers are appointed by the commissioner to enforce the state's fish and game, boating, recreational vehicle and state park and forest laws and regulations and have full police powers on all DEEP owned lands and facilities. The Division manages the DEEP emergency dispatch center.

The Boating Office administers the boater certification and boating safety education programs; provides oversight for the construction, operation and maintenance of infrastructure for transient vessels and the state's 118 boat launches; administers the marine event permit, marine dealer vessel numbering, hull identification numbering and boating accident reporting programs; issues permits for markers to aid in the regulation of waterway traffic; provides technical assistance to improve navigation safety; administers programs and provides educational programming to keep boat sewage out of Connecticut's waters and prevent the spread of aquatic invasive species.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 150 | 0 | 0 | 150 | 150 | 149 | 150 | 149 |
| Special Transportation Fund | 21 | 0 | 0 | 21 | 21 | 21 | 21 | 21 |
| Federal Funds | 50 | 9 | 0 | 59 | 59 | 59 | 59 | 59 |
| Private Funds | 4 | 0 | 0 | 4 | 4 | 4 | 4 | 4 |
| Restricted State Accounts | 3 | 1 | 0 | 4 | 4 | 4 | 4 | 4 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 9,130,711 | 7,894,100 | 8,071,944 | 7,926,397 | 7,926,397 | 7,926,397 | 8,232,128 | 8,232,128 |
| Other Expenses | 2,069 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Current Expenses | | | | | | | | |
| Mosquito Control | 165,482 | 236,055 | 242,764 | 236,274 | 236,274 | 236,274 | 242,931 | 242,931 |
| Emergency Spill Response | 338,358 | 281,603 | 289,108 | 281,603 | 281,603 | 281,603 | 281,603 | 281,603 |
| Environmental Conservation | 4,278,925 | 3,740,581 | 3,827,349 | 3,758,036 | 3,320,259 | 3,758,036 | 3,873,957 | 3,208,765 |
| Environmental Quality | 135,153 | 139,502 | 139,722 | 139,502 | 139,502 | 139,502 | 139,502 | 139,502 |
| Fish Hatcheries | 2,115,722 | 2,161,194 | 2,207,444 | 2,175,807 | 2,279,758 | 2,175,807 | 2,206,912 | 2,310,863 |
| Total--Other Current Expenses | 7,033,640 | 6,558,935 | 6,706,387 | 6,591,222 | 6,257,396 | 6,591,222 | 6,744,905 | 6,183,664 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Northeast Interstate Forest Fire Compact | 3,082 | 3,082 | 3,082 | 3,082 | 3,082 | 3,082 | 3,082 | 3,082 |
| Total-General Fund | 16,169,502 | 14,456,117 | 14,781,413 | 14,520,701 | 14,186,875 | 14,520,701 | 14,980,115 | 14,418,874 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,424,650 | 1,469,464 | 1,470,314 | 1,469,464 | 1,469,464 | 1,469,464 | 1,470,379 | 1,470,379 |
| Other Expenses | 655,605 | 674,829 | 674,829 | 674,829 | 674,829 | 674,829 | 674,829 | 674,829 |
| Total-Special Transportation Fund | 2,080,255 | 2,144,293 | 2,145,143 | 2,144,293 | 2,144,293 | 2,144,293 | 2,145,208 | 2,145,208 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 656,930 | 660,700 | 660,700 | 660,700 | 660,700 | 660,700 | 660,700 | 660,700 |
| Special Non-Appropriated Funds | 138,464 | 138,464 | 138,464 | 138,464 | 138,464 | 138,464 | 138,464 | 138,464 |
| Restricted State Accounts | 3,560,758 | 3,560,350 | 3,560,350 | 3,560,350 | 3,560,350 | 3,560,350 | 3,560,350 | 3,560,350 |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10093 Voluntary Public Access and Habitat Incentive Program | 2,375 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| 10664 Cooperative Forestry Assistance | 492,594 | 493,000 | 493,000 | 493,000 | 493,000 | 493,000 | 493,000 | 493,000 |
| 10676 Forest Legacy Program | 1,024,855 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 |
| 11407 Interjurisdictional Fisheries Act 1986 | 19,858 | 17,100 | 17,100 | 17,100 | 17,100 | 17,100 | 17,100 | 17,100 |
| 11434 Cooperative Fishery Statistics | 72,995 | 108,775 | 108,775 | 108,775 | 108,775 | 108,775 | 108,775 | 108,775 |
| 11472 Unallied Science Program | 57,096 | 58,000 | 58,000 | 58,000 | 58,000 | 58,000 | 58,000 | 58,000 |
| 11474 Atlantic Coastal Fisheries Cooperative | 161,090 | 250,000 | 266,150 | 266,150 | 266,150 | 266,150 | 266,150 | 266,150 |
| 15605 Sport Fish Restoration | 2,424,708 | 3,109,592 | 3,109,592 | 3,109,592 | 3,109,592 | 3,109,592 | 3,109,592 | 3,109,592 |
| 15608 Fish & Wildlife Management Assistance | 47,839 | 91,000 | 91,000 | 91,000 | 91,000 | 91,000 | 91,000 | 91,000 |
| 15611 Wildlife Restoration | 4,862,457 | 4,414,424 | 4,496,130 | 4,496,130 | 4,496,130 | 4,578,800 | 4,578,800 | 4,578,800 |

| | | | | | | | | |
|---------------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| 15616 Clean Vessel Act | 1,386,779 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 |
| 15622 Sportfishing & Boating Safety Act | 201,478 | 202,000 | 202,000 | 202,000 | 202,000 | 202,000 | 202,000 | 202,000 |
| 15623 North American Wetlands Conservation F | 273,652 | 275,000 | 275,000 | 275,000 | 275,000 | 275,000 | 275,000 | 275,000 |
| 15625 Wildlife Conservation and Restoration | 1,549 | 1,600 | 1,600 | 1,600 | 1,600 | 1,600 | 1,600 | 1,600 |
| 15634 State Wildlife Grants | 406,833 | 407,000 | 407,000 | 407,000 | 407,000 | 407,000 | 407,000 | 407,000 |
| 15667 Highlands Conservation Program | 1,326,500 | 1,330,000 | 1,330,000 | 1,330,000 | 1,330,000 | 1,330,000 | 1,330,000 | 1,330,000 |
| 15916 Outdoor Recreation - Acquisition, Planning, Development | 1,039,250 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 |
| 20219 Recreational Trails Program | 324,705 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93283 Ctrs-Disease Control & Prevention | 188 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97012 Boating Safety Financial Assistance | 1,095,676 | 1,200,000 | 1,200,000 | 1,200,000 | 1,200,000 | 1,200,000 | 1,200,000 | 1,200,000 |
| 99125 Joint Enforcement Agrmnt | 423,226 | 425,000 | 425,000 | 425,000 | 425,000 | 425,000 | 425,000 | 425,000 |
| Total - All Funds | 38,251,612 | 36,747,415 | 37,171,417 | 36,909,855 | 36,576,029 | 36,992,525 | 37,452,854 | 36,891,613 |

ENERGY

Statutory Reference

C.G.S. Titles 16 and 16a, and Chapters 445, 446a, and 446e.

Statement of Need and Program Objectives

To develop, implement and provide oversight of state-wide energy policies. To provide a holistic approach to evaluating energy policies, program options and strategies for the State. To regulate the state’s investor-owned public utilities, ensuring safe, adequate and reliable service and determining fair and reasonable rates.

Program Description

The Energy Program includes the Bureau of Energy and Technology Policy and the Public Utility Control Authority.

The Bureau of Energy and Technology Policy is responsible for the creation of an integrated approach to the state’s energy policy. The bureau is comprised of the following three offices:

The Office of Energy Supply is responsible for the development, implementation and oversight of state-wide policies that impact the ability to produce and/or deliver energy products to consumers. A major focus includes reviewing and evaluating funding and investments in state-wide energy infrastructure and resources such as renewable energy projects.

The Office of Energy Demand is responsible for the development, implementation and oversight of state-wide policies on consumer utilization of energy resources. This office provides direction and oversight of investments in the federal and state energy efficiency programs including weatherization, the state’s “Lead by Example” program, and utility sponsored energy efficiency programs.

The Office of Climate Change and Innovation is responsible for the development, implementation and oversight of state-wide policies and programs to further Connecticut’s climate change goals under the Global Warming Solutions Act (the Act) as well as tracking the state’s progress towards meeting the emission reduction targets in the Act.

The Public Utility Regulatory Authority (PURA) is responsible for the regulation of the state’s investor-owned electric, water, natural gas, and telecommunications utilities. To ensure compliance with the regulation, PURA conducts public hearings and adjudication of contested cases, audits specific utility functions to ensure improved customer service at reasonable costs, monitors and enforces pipeline-safety and underground damage prevention regulations, and designs competitive utility service with equity among the competitors while affording customers the economic benefit of competition along with adequate customer service.

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Permanent Full-Time Positions | | | | | | | | |
| Consumer Counsel/Public Utility Fund | 100 | 0 | 0 | 100 | 100 | 112 | 100 | 112 |
| Federal Funds | 5 | 0 | 0 | 5 | 5 | 5 | 5 | 5 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Consumer Counsel/Public Utility Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 8,770,762 | 8,908,456 | 9,202,885 | 8,960,655 | 9,255,758 | 8,960,655 | 9,266,818 | 9,600,194 |
| Other Expenses | 454,965 | 364,139 | 364,139 | 364,139 | 364,139 | 364,139 | 364,139 | 364,139 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 7,265,615 | 7,510,137 | 8,272,787 | 7,797,969 | 8,058,928 | 7,842,237 | 8,069,598 | 8,364,405 |
| Total-Consumer Counsel/Public Utility Fund | 16,491,342 | 16,782,732 | 17,839,811 | 17,122,763 | 17,678,825 | 17,167,031 | 17,700,555 | 18,328,738 |
| Other Funds Available | | | | | | | | |
| Restricted State Accounts | 9,609,056 | 9,600,000 | 9,600,000 | 9,600,000 | 9,600,000 | 9,600,000 | 9,600,000 | 9,600,000 |
| Federal Contributions | | | | | | | | |
| 20700 Pipeline Safety | 882,044 | 890,000 | 890,000 | 890,000 | 890,000 | 890,000 | 890,000 | 890,000 |
| 20721 Phmsa Pipeline Safety Program One Call Grant | 26,634 | 27,000 | 27,000 | 27,000 | 27,000 | 27,000 | 27,000 | 27,000 |
| Total - All Funds | 27,009,076 | 27,299,732 | 28,356,811 | 27,639,763 | 28,195,825 | 27,684,031 | 28,217,555 | 28,845,738 |

ADMINISTRATION

Statutory Reference

C.G.S. Sections 22a-1-1h, 22a-2, 22a-5, 22a-6, 22a-7, 22a-8, 22a-21, 23-5h; 23-8 through 23-9; 23-12; 23-14; 23-21 through 23-22; 24-1 through 24-4, and 25-102pp-102.

Statement of Need and Program Objectives

To develop and implement the energy and environmental policies of the state and to promote and coordinate management of department programs.

Program Description

The Administration Program Includes the Commissioner's Office and the Bureau of Central Services.

The Commissioner's Office provides policy direction and executive management for the agency. Units under the direct responsibility of the Office of the Commissioner include:

The Office of Adjudications conducts public hearings and ancillary proceedings on permit applications and enforcement actions in all environmental quality and environmental conservation matters under the commissioner's jurisdiction; promotes negotiated settlement of disputed issues; and prepares fact finding and legal conclusions for its final decisions in enforcement actions and recommendations for final decisions by the commissioner in permit applications.

The Office of Legal Counsel assists in the drafting and reviewing of proposed legislation, regulations and departmental policies; advises staff on the interpretation of laws, regulations and departmental policies; assists in the drafting, negotiating and reviewing of contracts and agreements; manages Freedom of Information requests; advises staff on matters relating to ethics laws and policies; assists staff in the drafting, reviewing and interpreting of permits; assists staff in preparing for adjudicative proceedings; and acts as a liaison to the Office of the Attorney General on a variety of legal matters.

The Office of Affirmative Action advances the principles and practices of equal opportunity and affirmative action in employment, services and contract administration.

The Office of the Chief of Staff Operations and Performance provides for the internal and external coordination, planning and dissemination of information concerning energy, environmental protection, and natural resource management policies, programs and regulatory actions. The office consists of the following:

The Government Affairs Unit assists in the drafting and reviewing of proposed legislation and legislative and constituent outreach and response to inquiries.

The Public Affairs Unit focuses on effective communication strategies and outreach to multiple stakeholders.

The Environmental Justice Program ensures that environmental laws are enforced equally across the state and that all residents have access to meaningful participation in the agency decision making process.

The Office of Planning, Operations and Performance conducts agency-wide strategic priority development and planning, policy and program development and coordination, program implementation, and performance measurement. This Office includes the following:

The Planning and Compliance Assurance Unit provides permit and compliance assistance and, more specifically, the client concierge service which provides a central source of information for business, industry and the public regarding department permits, approvals or other authorizations for regulated activities or the permitting process in general.

The Land Management and Environmental Review Unit reviews, appraises and develops proposals for acquisition or exchange for real property acquired by the department; develops easements or leases for use of DEEP land; surveys existing and new state land boundaries and investigates boundary disputes; coordinates state and federal

funding programs for municipal outdoor recreation, open space acquisition, and development; and manages property documents for department owned and managed lands.

The Climate Planning Unit develops and implements policies and programs that address climate change mitigation, adaptation, and resilience in Connecticut. This includes formulating office objectives, setting priorities, and technical direction to ensure Connecticut meets its statutory greenhouse gas reduction targets and adequately prepares for the effects of a changing climate including coordination of the Governor’s Executive Order 3 re-establishing the Governor’s Council on Climate Change (GC3).

The Bureau of Central Services provides support services and serves as the business office for the agency. The bureau consists of following divisions:

The Financial Management Division is responsible for budget, grants, contracts, accounts payable and accounts receivable. The division manages procurement, receiving and warehouse operations; mail and

parcel delivery; asset management and fleet operations. The division is also responsible for the licensing and permit fee collection programs and the administration of the capital programs including the Clean Water Fund, the Emergency Response Cost Recovery Program, and the Underground Tank Reimbursement Fund.

The Engineering and Field Support Services Division is responsible for fleet maintenance operations; the operation of three district field offices; engineering design, review and technical assistance services on construction and maintenance projects; maintenance, repair, replacement and new construction of infrastructure; maintenance of flood control and recreational dams; and restoring degraded wetlands.

The Human Resources Division is responsible for managing the personnel and payroll needs of the department’s employees.

The Office of Information Management is responsible for agency information technology planning, coordination, and management.

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Consumer Counsel/Public Utility Fund | 23 | 0 | 0 | 23 | 23 | 23 | 23 | 23 |
| General Fund | 246 | 0 | 0 | 246 | 246 | 235 | 246 | 235 |
| Special Transportation Fund | 8 | 0 | 0 | 8 | 8 | 8 | 8 | 8 |
| Federal Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| Private Funds | 47 | 12 | -8 | 51 | 51 | 51 | 51 | 51 |
| Restricted State Accounts | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 6,851,413 | 8,510,778 | 9,054,538 | 8,604,072 | 6,879,812 | 8,604,072 | 8,933,688 | 7,171,868 |
| Other Expenses | 467,500 | 449,569 | 449,569 | 449,569 | 439,569 | 449,569 | 449,569 | 439,569 |

| <i>Other Current Expenses</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Mosquito Control | 64,812 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Dam Maintenance | 118,874 | 124,850 | 127,483 | 124,935 | 124,455 | 124,935 | 129,740 | 129,260 |
| Emergency Spill Response | 452,212 | 665,248 | 698,781 | 670,658 | 569,370 | 670,658 | 690,102 | 588,814 |
| Solid Waste Management | 331,506 | 1,005,863 | 1,020,534 | 1,007,514 | 936,094 | 1,007,514 | 1,021,097 | 949,677 |
| Underground Storage Tank | 175,182 | 347,882 | 356,553 | 349,507 | 348,224 | 349,507 | 356,550 | 355,267 |
| Clean Air | 226,490 | 1,148,957 | 1,148,957 | 1,148,957 | 1,100,042 | 1,148,957 | 1,148,957 | 1,100,042 |
| Environmental Conservation | 577,015 | 1,270,328 | 1,382,061 | 1,297,990 | 1,122,947 | 1,297,990 | 1,332,616 | 1,157,573 |
| Environmental Quality | 4,642,636 | 4,590,248 | 4,774,889 | 4,626,763 | 4,568,785 | 4,626,763 | 4,773,820 | 4,715,842 |
| Other Current Expenses | 6,588,727 | 9,153,376 | 9,509,258 | 9,226,324 | 8,769,917 | 9,226,324 | 9,452,882 | 8,996,475 |
| Total-General Fund | 13,907,640 | 18,113,723 | 19,013,365 | 18,279,965 | 16,089,298 | 18,279,965 | 18,836,139 | 16,607,912 |

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>Special Transportation Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 597,632 | 693,930 | 796,344 | 718,989 | 718,989 | 718,989 | 802,245 | 802,245 |
| Total-Special Transportation Fund | 597,632 | 693,930 | 796,344 | 718,989 | 718,989 | 718,989 | 802,245 | 802,245 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Consumer Counsel/Public Utility Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,463,949 | 3,865,367 | 4,146,204 | 3,994,739 | 3,994,739 | 3,994,739 | 4,186,181 | 4,186,181 |
| Other Expenses | 952,958 | 1,115,228 | 1,115,228 | 1,115,228 | 1,115,228 | 1,115,228 | 1,115,228 | 1,115,228 |
| Capital Outlay | | | | | | | | |
| Equipment | 19,412 | 19,500 | 19,500 | 19,500 | 19,500 | 19,500 | 19,500 | 19,500 |
| Other Current Expenses | | | | | | | | |
| Fringe Benefits | 2,174,944 | 3,475,589 | 3,957,100 | 3,660,542 | 3,660,542 | 3,688,270 | 3,828,778 | 3,828,778 |
| Indirect Overhead | 0 | 100 | 1 | 1 | 1 | 1 | 1 | 1 |
| Other Current Expenses | 2,174,944 | 3,475,689 | 3,957,101 | 3,660,543 | 3,660,543 | 3,688,271 | 3,828,779 | 3,828,779 |
| Total-Consumer Counsel/Public Utility Fund | 5,611,263 | 8,475,784 | 9,238,033 | 8,790,010 | 8,790,010 | 8,817,738 | 9,149,688 | 9,149,688 |
| Other Funds Available | | | | | | | | |
| Private Funds | 19,317,763 | 21,907,500 | 21,907,500 | 21,907,500 | 21,907,500 | 21,907,500 | 21,907,500 | 21,907,500 |
| Special Non-Appropriated Funds | 15,847 | 16,000 | 16,000 | 16,000 | 16,000 | 16,000 | 16,000 | 16,000 |
| Restricted State Accounts | 21,749,446 | 20,956,000 | 20,956,000 | 20,956,000 | 20,956,000 | 20,956,000 | 20,956,000 | 20,956,000 |
| Federal Contributions | | | | | | | | |
| 10698 State & Private Forestry Cooperative Fire Assistance | 10,302 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 |
| 11472 Unallied Science Program | 22,229 | 23,000 | 23,000 | 23,000 | 23,000 | 23,000 | 23,000 | 23,000 |
| 15605 SPORT FISH RESTORATION | 429,370 | 658,785 | 658,785 | 658,785 | 658,785 | 658,785 | 658,785 | 658,785 |
| 15611 WILDLIFE RESTORATION | 113,504 | 114,000 | 114,000 | 114,000 | 114,000 | 114,000 | 114,000 | 114,000 |
| 15810 NATIONAL COOPERATIVE GEOLOGIC MAPPING PROGRAM | 70,790 | 73,000 | 73,000 | 73,000 | 73,000 | 73,000 | 73,000 | 73,000 |
| 15814 National Geological and Geophysical Data Preservat | 17,900 | 35,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| 81041 State Energy Program | 943,287 | 684,000 | 684,000 | 684,000 | 684,000 | 684,000 | 684,000 | 684,000 |
| 81042 Weatherization Assist For Low-Income | 1,826,638 | 1,881,440 | 1,937,881 | 1,937,881 | 1,937,881 | 1,996,017 | 1,996,017 | 1,996,017 |
| 81119 DEPARTMENT OF ENERGY SPECIAL PROJECTS CLEAN CITIES | 68,339 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 |
| 93568 LOW-INCOME HOME ENERGY ASSISTANCE | 162,972 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 |
| 97036 DISASTER GRANTS-PUBLIC ASSISTANCE (PRESIDENTIALLY DECLARED) | 74,826 | 136,092 | 136,092 | 136,092 | 136,092 | 136,092 | 136,092 | 136,092 |
| Total - All Funds | 64,939,748 | 74,349,254 | 76,175,000 | 74,916,222 | 72,725,555 | 75,002,086 | 75,973,466 | 73,745,239 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-----------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 17,976,659 | 18,538,764 | 19,391,398 | 16,950,016 | 18,674,276 | 17,721,193 |
| Salaries & Wages-Temporary | 25,037 | 0 | 0 | 0 | 0 | 0 |
| Salaries & Wages-Part Time | 60,377 | 29,058 | 30,691 | 29,591 | 29,591 | 29,591 |
| Longevity Payments | 98,594 | 96,418 | 96,418 | 96,418 | 96,418 | 96,418 |

| | | | | | | |
|---------------------------------------------|------------|------------|------------|------------|------------|------------|
| Overtime | 663,340 | 439,645 | 491,196 | 448,856 | 448,856 | 448,856 |
| Differential Payments | 0 | 0 | 2,420 | 0 | 0 | 0 |
| Accumulated Leave | 694,207 | 1,002,017 | 1,002,017 | 1,002,017 | 1,002,017 | 1,002,017 |
| Other Salaries & Wages | 44,768 | 45,345 | 45,345 | 45,345 | 45,345 | 45,345 |
| Other | 402,218 | 730,636 | 730,636 | 730,636 | 730,636 | 730,636 |
| TOTAL - Personal Services-Personal Services | 19,965,200 | 20,881,883 | 21,790,121 | 19,302,879 | 21,027,139 | 20,074,056 |

Other Expenses

| | | | | | | |
|----------------------------------|---------|---------|---------|---------|---------|---------|
| Communications | 86,560 | 2,147 | 2,147 | 2,147 | 2,147 | 2,147 |
| Electricity | 95,809 | 91,728 | 91,728 | 81,728 | 91,728 | 81,728 |
| Employee Travel | 1,011 | 968 | 968 | 968 | 968 | 968 |
| Equipment Rental and Maintenance | 3,982 | 3,812 | 3,812 | 3,812 | 3,812 | 3,812 |
| Information Technology | 1,525 | 5,318 | 5,318 | 5,318 | 5,318 | 5,318 |
| Motor Vehicle Costs | 28,929 | 27,697 | 27,697 | 27,697 | 27,697 | 27,697 |
| Oil #2 | 18,306 | 17,527 | 17,527 | 17,527 | 17,527 | 17,527 |
| Other / Fixed Charges | 140,000 | 134,037 | 134,037 | 134,037 | 134,037 | 134,037 |
| Other Services | 13,721 | 13,137 | 13,137 | 13,137 | 13,137 | 13,137 |
| Premises Expenses | 72,101 | 65,172 | 65,172 | 65,172 | 65,172 | 65,172 |
| Professional Services | 557 | 533 | 533 | 533 | 533 | 533 |
| Propane | 207 | 198 | 198 | 198 | 198 | 198 |
| Purchased Commodities | 4,468 | 85,005 | 85,005 | 85,005 | 85,005 | 85,005 |
| Sewer | 1,693 | 1,620 | 1,620 | 1,620 | 1,620 | 1,620 |
| Water | 700 | 670 | 670 | 670 | 670 | 670 |
| TOTAL-Other Expenses | 469,569 | 449,569 | 449,569 | 439,569 | 449,569 | 439,569 |

Other Current Expenses

| | | | | | | |
|----------------------------------|------------|------------|------------|------------|------------|------------|
| Mosquito Control | 230,294 | 236,055 | 242,764 | 236,274 | 236,274 | 242,931 |
| State Superfund Site Maintenance | 388,061 | 399,577 | 399,577 | 399,577 | 399,577 | 399,577 |
| Laboratory Fees | 129,015 | 129,015 | 129,015 | 122,565 | 129,015 | 122,565 |
| Dam Maintenance | 118,874 | 124,850 | 127,483 | 124,455 | 124,935 | 129,260 |
| Emergency Spill Response | 6,373,196 | 6,763,389 | 7,018,991 | 6,706,604 | 6,807,892 | 6,922,644 |
| Solid Waste Management | 3,598,229 | 3,751,297 | 3,843,986 | 3,695,953 | 3,767,373 | 3,775,853 |
| Underground Storage Tank | 845,976 | 921,535 | 954,869 | 924,886 | 926,169 | 954,233 |
| Clean Air | 3,451,035 | 4,117,754 | 4,245,481 | 3,898,919 | 4,147,834 | 3,793,203 |
| Environmental Conservation | 4,855,940 | 5,010,909 | 5,209,410 | 4,443,206 | 5,056,026 | 4,366,338 |
| Environmental Quality | 8,410,746 | 8,898,044 | 9,249,943 | 8,597,556 | 8,965,497 | 8,605,358 |
| Fish Hatcheries | 2,115,722 | 2,161,194 | 2,207,444 | 2,279,758 | 2,175,807 | 2,310,863 |
| TOTAL-Other Current Expenses | 30,517,088 | 32,513,619 | 33,628,963 | 31,429,753 | 32,736,399 | 31,622,825 |

Pmts to Other Than Local Govts

| | | | | | | |
|---------------------------------------------------|---------|---------|---------|---------|---------|---------|
| Interstate Environmental Commission | 3,333 | 3,333 | 3,333 | 3,333 | 3,333 | 3,333 |
| New England Interstate Water Pollution Commission | 26,554 | 26,554 | 26,554 | 26,554 | 26,554 | 26,554 |
| Northeast Interstate Forest Fire Compact | 3,082 | 3,082 | 3,082 | 3,082 | 3,082 | 3,082 |
| Connecticut River Valley Flood Control Commission | 30,295 | 30,295 | 30,295 | 30,295 | 30,295 | 30,295 |
| Thames River Valley Flood Control Commission | 45,151 | 45,151 | 45,151 | 45,151 | 45,151 | 45,151 |
| TOTAL-Pmts to Other Than Local Govts | 108,415 | 108,415 | 108,415 | 108,415 | 108,415 | 108,415 |

| | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 19,965,200 | 20,881,883 | 21,790,121 | 19,302,879 | 21,027,139 | 20,074,056 |
| Other Expenses | 469,569 | 449,569 | 449,569 | 439,569 | 449,569 | 439,569 |
| Other Current Expenses | 30,517,088 | 32,513,619 | 33,628,963 | 31,429,753 | 32,736,399 | 31,622,825 |
| Pmts to Other Than Local Govts | 108,415 | 108,415 | 108,415 | 108,415 | 108,415 | 108,415 |
| TOTAL-General Fund | 51,060,272 | 53,953,486 | 55,977,068 | 51,280,616 | 54,321,522 | 52,244,865 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|-----------|-----------|-----------|-------------|-----------|-------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 1,955,021 | 1,974,231 | 2,075,820 | 1,999,290 | 1,999,290 | 2,081,658 |
| Longevity Payments | 13,240 | 13,242 | 13,242 | 13,242 | 13,242 | 13,242 |
| Overtime | 35,890 | 0 | 1,250 | 0 | 0 | 1,345 |
| Differential Payments | 0 | 0 | 425 | 0 | 0 | 458 |
| Other Salaries & Wages | 16,148 | 28,723 | 28,723 | 28,723 | 28,723 | 28,723 |
| Other | 1,983 | 147,198 | 147,198 | 147,198 | 147,198 | 147,198 |
| TOTAL - Personal Services-Personal Services | 2,022,282 | 2,163,394 | 2,266,658 | 2,188,453 | 2,188,453 | 2,272,624 |

Other Expenses

| | | | | | | |
|-----------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Capital Outlays | 556 | 572 | 572 | 572 | 572 | 572 |
| Communications | 4,300 | 4,426 | 4,426 | 4,426 | 4,426 | 4,426 |
| Electricity | 1,723 | 1,773 | 1,773 | 1,773 | 1,773 | 1,773 |
| Employee Expenses | 12,198 | 25 | 25 | 25 | 25 | 25 |
| Employee Travel | 13,527 | 25,455 | 25,455 | 25,455 | 25,455 | 25,455 |
| Food And Beverages | 355 | 157,078 | 157,078 | 157,078 | 157,078 | 157,078 |
| Information Technology | 28,858 | 106,410 | 106,410 | 106,410 | 106,410 | 106,410 |
| Motor Vehicle Costs | 212,862 | 219,095 | 219,095 | 219,095 | 219,095 | 219,095 |
| Other / Fixed Charges | 25,561 | 26,309 | 26,309 | 26,309 | 26,309 | 26,309 |
| Other Services | 120,124 | 132,147 | 132,147 | 132,147 | 132,147 | 132,147 |
| Premises Expenses | 74,635 | 116 | 116 | 116 | 116 | 116 |
| Professional Services | 8,263 | 997 | 997 | 997 | 997 | 997 |
| Purchased Commodities | 175,957 | 24,398 | 24,398 | 24,398 | 24,398 | 24,398 |
| Reimbursements | 3,083 | 3,173 | 3,173 | 3,173 | 3,173 | 3,173 |
| TOTAL-Other Expenses | 682,002 | 701,974 | 701,974 | 701,974 | 701,974 | 701,974 |
| Personal Services | 2,022,282 | 2,163,394 | 2,266,658 | 2,188,453 | 2,188,453 | 2,272,624 |
| Other Expenses | 682,002 | 701,974 | 701,974 | 701,974 | 701,974 | 701,974 |
| TOTAL-Special Transportation Fund | 2,704,284 | 2,865,368 | 2,968,632 | 2,890,427 | 2,890,427 | 2,974,598 |

AGENCY FINANCIAL SUMMARY - CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-----------------------------------------|------------|------------|------------|-------------|------------|-------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Unknown Account | 0 | 0 | 87,333 | 0 | 87,333 | 0 |
| Salaries & Wages-Full Time | 10,875,306 | 11,068,744 | 11,556,209 | 11,544,545 | 11,162,109 | 12,080,936 |
| Salaries & Wages-Temporary | 40,759 | 51,600 | 52,599 | 52,599 | 52,599 | 52,599 |

| | | | | | | |
|---------------------------------------------|------------|------------|------------|------------|------------|------------|
| Salaries & Wages-Part Time | 25,615 | 43,860 | 46,376 | 44,711 | 44,711 | 46,815 |
| Longevity Payments | 48,092 | 46,695 | 46,695 | 46,695 | 46,695 | 46,695 |
| Overtime | 8,695 | 15,000 | 15,290 | 15,290 | 15,290 | 15,290 |
| Differential Payments | 0 | 0 | 430 | 0 | 0 | 543 |
| Accumulated Leave | 209,634 | 202,937 | 202,937 | 202,937 | 202,937 | 202,937 |
| Other Salaries & Wages | 85,487 | 1,408,241 | 1,408,241 | 1,408,241 | 1,408,241 | 1,408,241 |
| TOTAL - Personal Services-Personal Services | 11,293,588 | 12,837,077 | 13,416,110 | 13,315,018 | 13,019,915 | 13,854,056 |

Other Expenses

| | | | | | | |
|------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Capital Outlays | 46,764 | 49,137 | 49,137 | 49,137 | 49,137 | 49,137 |
| Communications | 14,415 | 15,147 | 15,147 | 15,147 | 15,147 | 15,147 |
| Electricity | 74,016 | 77,772 | 77,772 | 77,772 | 77,772 | 77,772 |
| Employee Expenses | 83,626 | 86,727 | 86,727 | 86,727 | 86,727 | 86,727 |
| Employee Travel | 79,770 | 80,443 | 80,443 | 80,443 | 80,443 | 80,443 |
| Food And Beverages | 136 | 3,688 | 3,688 | 3,688 | 3,688 | 3,688 |
| Information Technology | 302,132 | 54,777 | 54,777 | 54,777 | 54,777 | 54,777 |
| Motor Vehicle Costs | 7,483 | 222,630 | 222,630 | 222,630 | 222,630 | 222,630 |
| Natural Gas | 10,800 | 11,348 | 11,348 | 11,348 | 11,348 | 11,348 |
| Other Services | 256,534 | 60,815 | 60,815 | 60,815 | 60,815 | 60,815 |
| Premises Expenses | 441,411 | 726,496 | 726,496 | 726,496 | 726,496 | 726,496 |
| Professional Services | 67,274 | 74,064 | 74,064 | 74,064 | 74,064 | 74,064 |
| Purchased Commodities | 17,946 | 15,312 | 15,312 | 15,312 | 15,312 | 15,312 |
| Reimbursements | 962 | 1,011 | 1,011 | 1,011 | 1,011 | 1,011 |
| Salaries and Wages | 4,654 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-Other Expenses | 1,407,923 | 1,479,367 | 1,479,367 | 1,479,367 | 1,479,367 | 1,479,367 |

Equipment

| | | | | | | |
|-----------------|--------|--------|--------|--------|--------|--------|
| Equipment | 19,412 | 19,500 | 19,500 | 19,500 | 19,500 | 19,500 |
| TOTAL-Equipment | 19,412 | 19,500 | 19,500 | 19,500 | 19,500 | 19,500 |

Other Current Expenses

| | | | | | | |
|------------------------------|-----------|------------|------------|------------|------------|------------|
| Fringe Benefits | 9,490,744 | 11,039,886 | 12,291,872 | 11,776,582 | 11,588,077 | 12,253,081 |
| Indirect Overhead | 0 | 100 | 1 | 1 | 1 | 1 |
| TOTAL-Other Current Expenses | 9,490,744 | 11,039,986 | 12,291,873 | 11,776,583 | 11,588,078 | 12,253,082 |

| | | | | | | |
|--------------------------------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 11,293,588 | 12,837,077 | 13,416,110 | 13,315,018 | 13,019,915 | 13,854,056 |
| Other Expenses | 1,407,923 | 1,479,367 | 1,479,367 | 1,479,367 | 1,479,367 | 1,479,367 |
| Capital Outlay | 19,412 | 19,500 | 19,500 | 19,500 | 19,500 | 19,500 |
| Other Current Expenses | 9,490,744 | 11,039,986 | 12,291,873 | 11,776,583 | 11,588,078 | 12,253,082 |
| TOTAL-Consumer Counsel/Public Utility Fund | 22,211,667 | 25,375,930 | 27,206,850 | 26,590,468 | 26,106,860 | 27,606,005 |

DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

AGENCY DESCRIPTION

The Department of Economic and Community Development (DECD) is the lead state agency responsible for strengthening Connecticut’s competitive position in the new economy. It does so by developing and implementing strategies to attract and retain businesses and jobs, marketing Connecticut as a tourism destination, preserving and promoting cultural and tourism assets, and revitalizing neighborhoods and communities.

DECD administers programs and policies to promote business, community development and brownfield redevelopment, and is the state agency responsible for promoting economic growth.

DECD is the lead state agency to brand Connecticut in business development and tourism marketing throughout all state agencies and industry partners based on a comprehensive and integrated strategic marketing plan.

DECD is home to the Office of the Arts and the State Historic Preservation office, helping communities create a high quality of life through its numerous cultural assets.

DECD promotes in-state business and economic development, as well as out-of-state business recruitment, through the use of tax credits, financing, technical assistance, and enterprise zones. The department’s international staff is dedicated to attracting foreign direct investment to the state and helping Connecticut companies take advantage of export opportunities in the global marketplace.

DECD provides engineering, architectural and construction management services, as well as project oversight for large-scale real estate development, including industrial site development and brownfield redevelopment.

The agency undertakes research and strategic planning activities and provides guidance on all economic and community development matters; develops and implements economic and community development policy; and conducts program evaluation, performance tracking and monitoring.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> • Reflect Impact of 27th Payroll During FY 2023 • Provide Funding for State Employee Wage Adjustments | <div style="text-align: right;">0</div> <div style="text-align: right;">71,463</div> | <div style="text-align: right;">324,676</div> <div style="text-align: right;">71,463</div> |
| Reductions | FY 2022 | FY 2023 |
| <ul style="list-style-type: none"> • Annualize FY 2021 Holdbacks • Annualize FY 2021 Rescissions • Reduce Funding for Other Expenses to Achieve Efficiencies • Adjust Funding for Office of Military Affairs to Reflect Consulting Efficiencies • Reduce Funding for Hartford 2000 to Achieve Efficiencies | <div style="text-align: right;">-160,719</div> <div style="text-align: right;">-81,052</div> <div style="text-align: right;">-49,897</div> <div style="text-align: right;">-20,241</div> <div style="text-align: right;">-4,000</div> | <div style="text-align: right;">-160,719</div> <div style="text-align: right;">-81,052</div> <div style="text-align: right;">-49,897</div> <div style="text-align: right;">-20,241</div> <div style="text-align: right;">-4,000</div> |
| Reallocations | FY 2022 | FY 2023 |
| <ul style="list-style-type: none"> • Provide Funding to Support the Office of Workforce Strategy <i>Funding is provided for the Office of Workforce Strategy (OWS), which will serve as the principal advisor on workforce policy and be responsible for coordinating the state's strategy on workforce development.</i> • Centralize Funding for Microsoft 365 Statewide Agreement Under the Department of Administrative Services | <div style="text-align: right;">465,907</div> <div style="text-align: right;">-26,268</div> | <div style="text-align: right;">465,907</div> <div style="text-align: right;">-26,268</div> |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 74 | 16 | 0 | 90 | 90 | 90 | 90 | 90 |
| Federal Funds | 5 | 1 | 0 | 6 | 6 | 6 | 6 | 6 |
| Private Funds | 9 | 1 | 0 | 9 | 10 | 10 | 10 | 10 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|-------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Administration | 3,238,046 | 4,387,921 | 4,438,090 | 4,428,242 | 4,123,565 | 4,587,855 | 4,596,176 | 4,291,499 |
| Economic and Community Development | 87,996,250 | 53,968,225 | 44,723,172 | 44,705,298 | 45,133,705 | 43,209,714 | 43,201,314 | 43,629,721 |
| Arts & Historic Preservation | 13,478,485 | 11,088,234 | 11,809,785 | 11,802,326 | 11,802,326 | 11,751,622 | 11,748,162 | 11,748,162 |
| Tourism & Brand | 8,654,966 | 8,731,760 | 8,760,405 | 8,755,254 | 8,755,254 | 8,792,212 | 8,791,048 | 8,791,048 |
| TOTAL Agency Programs | 113,367,747 | 78,176,140 | 69,731,452 | 69,691,120 | 69,814,850 | 68,341,403 | 68,336,700 | 68,460,430 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|-------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 14,690,832 | 21,204,706 | 15,725,447 | 15,685,115 | 15,808,845 | 16,014,494 | 16,009,791 | 16,133,521 |
| Tourism Fund | 13,125,911 | 13,069,988 | 13,069,988 | 13,069,988 | 13,069,988 | 13,069,988 | 13,069,988 | 13,069,988 |
| Federal Funds | 2,472,812 | 2,112,097 | 2,883,654 | 2,883,654 | 2,883,654 | 2,483,616 | 2,483,616 | 2,483,616 |
| Private Funds | 82,203,305 | 41,789,349 | 38,052,363 | 38,052,363 | 38,052,363 | 36,773,305 | 36,773,305 | 36,773,305 |
| Special Non-Appropriated Funds | 874,887 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 113,367,747 | 78,176,140 | 69,731,452 | 69,691,120 | 69,814,850 | 68,341,403 | 68,336,700 | 68,460,430 |

ADMINISTRATION

Statutory Reference

C.G.S. Chapters 127b, 127c, 128, 184b 578, 588l, and Sections 22a-1a, 10-392 et seq.

Statement of Need and Program Objectives

The administrative functions of the agency provide direct and indirect support and/or managerial oversight to the operations of the department. Administrative functions include accounts payable/receivable, loan management, human resources, payroll, communications, budgetary planning, fiscal support, portfolio management, financial reviews, management information systems,

facilities management, compliance and monitoring services, audit functions, records maintenance, legal and legislative services.

Program Description

The administrative areas develop and implement policy; provide administrative guidance on economic, community development, tourism, arts and historic preservation matters; provide operational fiscal management, budget control and planning; provide human resource and staff development assistance; conduct audit functions; and coordinate and review proposed bond allocations. Administrative services also include the development and management of the agency's information technology systems.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Office of Workforce Strategy - Support GWC education committee scope by convening stakeholders and working groups to generate strategic educational recommendations in support of the GWC strategic plan. | 0 | 50,000 | 100,000 | 0 |
| Office of Workforce Strategy - Fund & support workforce development related activities & rapid response initiatives (e.g. COVID-19) to increase workforce participation and access | 0 | 200,000 | 200,000 | 200,000 |
| Office of Workforce Strategy - Create Work Based Learning (WBL) Statewide Intermediary functions | 0 | 80,000 | 100,000 | 100,000 |
| Office of Workforce Strategy - Strategic Measures - Increase to launch eight Regional Sector Partnerships by December 2021 | 0 | 4 | 8 | 8 |
| Office of Workforce Strategy - Strategic Measures - Launch three to five skills-based hiring pilot initiatives during 2021 and 2022, including pilot initiatives in Connecticut state government. Agree on a plan to scale skills-based hiring practices statewide by 2023 | 0 | 3 | 5 | 5 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 29 | 5 | 0 | 34 | 34 | 34 | 34 | 34 |

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,505,708 | 3,616,191 | 3,666,360 | 3,656,512 | 3,479,782 | 3,806,537 | 3,814,261 | 3,637,531 |
| Other Expenses | 379,962 | 419,319 | 419,319 | 419,319 | 326,613 | 419,319 | 419,319 | 326,613 |
| Other Current Expenses | | | | | | | | |
| Office of Military Affairs | 125,206 | 202,411 | 202,411 | 202,411 | 182,170 | 206,827 | 206,827 | 186,586 |
| Manufacturing Growth Initiative | 0 | 150,000 | 150,000 | 150,000 | 135,000 | 155,172 | 155,769 | 140,769 |
| Other Current Expenses | 125,206 | 352,411 | 352,411 | 352,411 | 317,170 | 361,999 | 362,596 | 327,355 |
| Total-General Fund | 3,010,876 | 4,387,921 | 4,438,090 | 4,428,242 | 4,123,565 | 4,587,855 | 4,596,176 | 4,291,499 |
| Other Funds Available | | | | | | | | |
| Private Funds | 227,170 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 3,238,046 | 4,387,921 | 4,438,090 | 4,428,242 | 4,123,565 | 4,587,855 | 4,596,176 | 4,291,499 |

ECONOMIC AND COMMUNITY DEVELOPMENT

Statutory Reference

C.G.S. Chapters 578 and 588l, 588gg, Sections 4-66c, 4-66g, 11-1, 12-81, 22a-1a, 32-9t, 32-9cc, 32-220, 32-761, and 38a-88a.

Statement of Need and Program Objectives

The agency's economic development strategy aims to maximize economic opportunities through the creation and retention of jobs, workforce development, business expansion, recruitment and retention, export assistance and foreign investment and the development and implementation of comprehensive long-term economic development strategies.

Community development activities create the environment necessary for sustainable economic growth, stable neighborhoods and healthy communities. Community development activities address quality of life issues that create and reinforce the foundation that effective economic development depends upon for success.

Program Description

DECD utilizes numerous state and federally funded economic development programs and services to address economic, business and workforce development issues and create employment, business expansion, and training opportunities. Some of the programs and services are as follows:

- Small Business Express
- Manufacturing Assistance Act
- Manufacturing Innovation Fund
- The Minority Business Initiative
- Urban & Industrial Sites Reinvestment Tax Credits
- Urban Development Action Grants
- Film, Digital Media Tax Credits
- Technology Talent Fund

- Enterprise Zone Program
- Airport Development Zone Program
- Export Assistance

The ultimate goal of DECD's long-term strategies is to increase the competitiveness of Connecticut's businesses, to identify and nurture emerging industries, attract international businesses to the state and to maintain and expand our high critical core business clusters.

DECD utilizes a number of programs, services and strategies to improve the quality of life in Connecticut's communities. DECD also employs numerous state and federally funded community development programs and services, as well as state bond funds, to improve the quality of life in Connecticut's cities and towns and provide infrastructure improvement opportunities. Some of these programs and services are:

- Urban Action Grants
- Small Town Economic Assistance Program (STEAP)
- Brownfield Programs

The community development strategy centers on servicing the immediate infrastructure needs of communities through individual development projects that result in a broad social impact upon the various constituencies within a community. DECD's strategy is driven by Connecticut's Statewide Plan of Conservation and Development. This plan focuses on the building of broad community foundations that enhance quality of life and support further economic expansion and embrace Smart Growth principles, particularly Transit-Oriented Development. DECD's Office of Brownfield Remediation and Development provides a "one-stop" state resource for information on the programs and services available for brownfield redevelopment in Connecticut.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|----------------------------------------|-----------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Spanish American Merchants Association | 442,194 | 442,194 | 442,194 | 442,194 |
| CCAT-CT Manufacturing Supply Chain | 85,000 | 85,000 | 85,000 | 85,000 |
| Capitol Region Development Authority | 6,249,121 | 6,249,121 | 6,249,121 | 6,249,121 |
| Hartford 2000 | 20,000 | 15,000 | 15,000 | 15,000 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 23 | 6 | 0 | 29 | 29 | 29 | 29 | 29 |
| Private Funds | 6 | 1 | 0 | 7 | 7 | 7 | 7 | 7 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,602,851 | 1,963,691 | 1,995,133 | 1,977,259 | 1,907,259 | 2,070,710 | 2,062,310 | 1,992,310 |
| Other Expenses | 104,728 | 47,075 | 47,075 | 47,075 | 47,075 | 47,075 | 47,075 | 47,075 |

Other Current Expenses

| | | | | | | | | |
|----------------------------------------|-----------|------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Spanish-American Merchants Association | 427,782 | 454,694 | 454,694 | 454,694 | 442,194 | 454,694 | 454,694 | 442,194 |
| CCAT-CT Manufacturing Supply Chain | 90,000 | 100,000 | 100,000 | 100,000 | 85,000 | 100,000 | 100,000 | 85,000 |
| Capital Region Development Authority | 6,249,121 | 11,840,175 | 6,249,121 | 6,249,121 | 6,249,121 | 6,249,121 | 6,249,121 | 6,249,121 |
| Manufacturing Growth Initiative | 81,207 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Hartford 2000 | 20,000 | 20,000 | 20,000 | 20,000 | 10,000 | 20,000 | 20,000 | 10,000 |
| Office of Workforce Strategy | 0 | 0 | 0 | 0 | 535,907 | 0 | 0 | 535,907 |
| Other Current Expenses | 6,868,110 | 12,414,869 | 6,823,815 | 6,823,815 | 7,322,222 | 6,823,815 | 6,823,815 | 7,322,222 |
| Total-General Fund | 9,575,689 | 14,425,635 | 8,866,023 | 8,848,149 | 9,276,556 | 8,941,600 | 8,933,200 | 9,361,607 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| Tourism Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Main Street Initiatives | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| Total-Tourism Fund | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |

| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 77,858,094 | 38,848,442 | 35,343,193 | 35,343,193 | 35,343,193 | 33,754,875 | 33,754,875 | 33,754,875 |
| Special Non-Appropriated Funds | 70,815 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 59061 State Trade and Export Promotion Pilot Grant Program | 227,493 | 352,803 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 |
| 59061 STEP | 0 | 12,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 59061 Step 2017 | -225 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 66818 Brownfields Assessment & Cleanup Coop | 162,053 | 226,477 | 113,239 | 113,239 | 113,239 | 113,239 | 113,239 | 113,239 |
| 66818 EPA Brownfields Assesment | 2,331 | 2,868 | 717 | 717 | 717 | 0 | 0 | 0 |
| Total - All Funds | 87,996,250 | 53,968,225 | 44,723,172 | 44,705,298 | 45,133,705 | 43,209,714 | 43,201,314 | 43,629,721 |

CONNECTICUT OFFICE OF THE ARTS & STATE HISTORIC PRESERVATION OFFICE

Statutory Reference

C.G.S. Chapter 184b, Sections 10-392, 10-395, 10-400 through 10-416b.

Statement of Need and Program Objectives

DECD's Office of the Arts (COA) is the lead agency for support of the creative economy across the state. This includes providing a broad range of funding, arts education, and technical assistance programs to support arts organizations, artists, schools and the creative industries throughout the state. As part of the new strategic plan (2017-2021), COA will use the lenses of relevance, equality, access, diversity, and inclusion to guide programmatic and investment decisions within the framework of artistic excellence.

DECD's State Historic Preservation Office administers a broad range of federal and state programs that identify, register and protect the buildings, sites, structures, districts and objects that comprise Connecticut's cultural heritage. The division also operates five historic properties: Old New-Gate Prison and Copper Mine, Henry Whitfield State Museum, Sloane-Stanley Museum, Prudence Crandall Museum, and Viets Tavern.

Program Description

The Connecticut Office of the Arts receives, coordinates, and disburses federal and state funds through competitive matching grant programs that leverage private funds and are based on objective measures that ensure programmatic excellence and accountability. As part of COA's federal requirement, funds are to reach diverse communities across the state, support a variety of arts and cultural opportunities, reach underserved communities, and support arts education. The office:

- Provides competitive matching project grants that encourage community partnerships and support arts-based projects organized by a wide variety of applicants such as artists, 501(c)(3) non-profits, colleges and universities, schools, municipalities, etc.;
- Supports arts organizations with operating support grants that leverages private investment;
- Maintains regional partnerships that support and expand COA's reach deeper into communities across the state. As part of this regional partnership, COA distributes funds for small community based grants that reach new audiences;

- Develops professional development opportunities based on need and is made available to artists and arts organizations often with support from partners; and
- Supports curriculum integrated arts education learning experiences for students and professional development for teachers, teaching artists, parents, and school administrators through the HOT (Higher Order Thinking) Schools program.

The State Historic Preservation Office (SHPO) is responsible for overseeing the governmental program of historic preservation for Connecticut's citizens.

SHPO awards approximately \$2.5 million in grants each year, funded by the Community Investment Act, to document, restore, rehabilitate and plan for the reuse and preservation of historic buildings owned by municipalities and non-profit organizations. SHPO administers 3 major tax credit programs. In FY 2018 \$31.7 million in tax credits was reserved under the Connecticut Historic Rehabilitation Tax Credit program for projects with eligible costs exceeding \$129 million in private dollars. SHPO staff acts as a liaison between property owners and the National Park Service under the Federal Historic Preservation Tax Incentive Program. SHPO also coordinates closely with DECD's Brownfield's programs to leverage state investments in high priority historic industrial sites with strong economic development potential.

In addition to federal programs, SHPO staff administers several state programs, including:

- State Register of Historic Places & Resources
- Municipal Historic District and Property Designations
- Local Historic District/Commission Training
- Archaeology, including permits and archaeological preserves
- Technical Assistance with Americans with Disabilities Act of 1990
- Lead Paint Abatement, State Building and Fire Codes
- Connecticut Environmental Protection Act
- Connecticut Freedom Trail
- Connecticut Historic Cemeteries and Gravestone Protection
- Minority and Women's History

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------------------------------------------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| DECD Office of the Arts -Arts Commission Competitive Grants (matching) | 149,728 | 1,498,000 | 1,500,000 | 1,750,000 |
| Number of Arts Project Grants Funded to support Individual artist and arts organizational programming across the state. | 27 | 150 | 100 | 100 |
| Total Arts Project Grants Award Amount | 266,400 | 280,000 | 200,000 | 200,000 |
| Total Private Investment Arts Project Grants Match by funded arts organizations | 199,800 | 100,000 | 100,000 | 100,000 |
| Number of Arts Organizations receiving Operating Support used to pay salaries/operating costs etc. | 109 | 300 | 350 | 375 |
| Total Operating Support Grant Award Amounts | 632,800 | 1,000,000 | 1,000,000 | 1,250,000 |
| Total Private Investment Operating Support Grants Match by funded arts organizations | 632,800 | 475,000 | 500,000 | 625,000 |
| Regional Partnership Operating Grant Support to six (6) Regional Arts Orgs (Northwest, Southeast, Western, Shoreline, Waterbury & Windham) | 6 | 7 | 7 | 7 |
| Total Regional Partnership Grant Award Amounts | 204,000 | 298,000 | 238,000 | 238,000 |
| Total Private Investment Regional Grants Match by funded Regionals | 204,000 | 238,000 | 238,000 | 238,000 |

| | | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|--------|---------|---------|
| Regional Initiative Community Grants - (Northwest, Southeast, Western, Shoreline, New Haven, Greater Hartford, Fairfield County, Waterbury & Windham) | 45 | 0 | 40 | 40 |
| Regional Initiative Community Grant Award Amounts | 154,350 | 0 | 150,000 | 150,000 |
| Total Private Investment Regional Community Grants March by funded Regionals | 38,587 | 34,000 | 35,000 | 50,000 |
| Arts Learning Grants | 23 | 25 | 30 | 35 |
| Arts Learn Grants Award Amounts - Arts learning includes Access grants which have a rolling deadline; possible multiple rounds of grant making in these categories | 75,540 | 75,000 | 85,000 | 90,000 |
| Total Private Investment Arts Learning Grants Match | 500 | 10,000 | 15,000 | 30,000 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 13 | 1 | 0 | 14 | 14 | 14 | 14 | 14 |
| Federal Funds | 5 | 1 | 0 | 6 | 6 | 6 | 6 | 6 |
| Private Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,052,108 | 1,074,595 | 1,093,134 | 1,085,675 | 1,085,675 | 1,125,032 | 1,121,572 | 1,121,572 |
| Other Expenses | 75,565 | 84,663 | 84,663 | 84,663 | 84,663 | 84,663 | 84,663 | 84,663 |
| Total-General Fund | 1,127,673 | 1,159,258 | 1,177,797 | 1,170,338 | 1,170,338 | 1,209,695 | 1,206,235 | 1,206,235 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Tourism Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | <hr/> | | | | | | | |
| Hartford Urban Arts Grant | 242,371 | 242,371 | 242,371 | 242,371 | 242,371 | 242,371 | 242,371 | 242,371 |
| New Britain Arts Council | 39,380 | 39,380 | 39,380 | 39,380 | 39,380 | 39,380 | 39,380 | 39,380 |
| Neighborhood Music School | 80,540 | 80,540 | 80,540 | 80,540 | 80,540 | 80,540 | 80,540 | 80,540 |
| Total--Other Current Expenses | 362,291 | 362,291 | 362,291 | 362,291 | 362,291 | 362,291 | 362,291 | 362,291 |

| Pmts to Other Than Local Govts | <hr/> | | | | | | | |
|---------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| National Theatre of the Deaf | 78,758 | 78,758 | 78,758 | 78,758 | 78,758 | 78,758 | 78,758 | 78,758 |
| CT Flagship Producing Theaters Grant | 259,950 | 259,951 | 259,951 | 259,951 | 259,951 | 259,951 | 259,951 | 259,951 |
| Performing Arts Centers | 787,571 | 787,571 | 787,571 | 787,571 | 787,571 | 787,571 | 787,571 | 787,571 |
| Performing Theaters Grant | 337,600 | 381,753 | 381,753 | 381,753 | 381,753 | 381,753 | 381,753 | 381,753 |
| Arts Commission | 1,497,298 | 1,497,298 | 1,497,298 | 1,497,298 | 1,497,298 | 1,497,298 | 1,497,298 | 1,497,298 |
| Art Museum Consortium | 287,313 | 287,313 | 287,313 | 287,313 | 287,313 | 287,313 | 287,313 | 287,313 |
| Litchfield Jazz Festival | 29,000 | 29,000 | 29,000 | 29,000 | 29,000 | 29,000 | 29,000 | 29,000 |
| Arte Inc. | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 |
| CT Virtuosi Orchestra | 15,250 | 15,250 | 15,250 | 15,250 | 15,250 | 15,250 | 15,250 | 15,250 |
| Various Grants | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| Creative Youth Productions | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| Music Haven | 100,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total--Pmts to Other Than Local Govts | 3,613,475 | 3,557,629 | 3,557,629 | 3,557,629 | 3,557,629 | 3,557,629 | 3,557,629 | 3,557,629 |

| Pmts to Local Governments | <hr/> | | | | | | | |
|-----------------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| Greater Hartford Arts Council | 74,079 | 74,079 | 74,079 | 74,079 | 74,079 | 74,079 | 74,079 | 74,079 |
| Connecticut Humanities Council | 850,000 | 850,000 | 850,000 | 850,000 | 850,000 | 850,000 | 850,000 | 850,000 |
| Amistad Committee for the Freedom Trail | 36,414 | 36,414 | 36,414 | 36,414 | 36,414 | 36,414 | 36,414 | 36,414 |
| New Haven Festival of Arts and Ideas | 414,511 | 414,511 | 414,511 | 414,511 | 414,511 | 414,511 | 414,511 | 414,511 |
| New Haven Arts Council | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 |
| Twain/Stowe Homes | 81,196 | 81,196 | 81,196 | 81,196 | 81,196 | 81,196 | 81,196 | 81,196 |

| | | | | | | | | |
|----------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Cultural Alliance of Fairfield | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 |
| Pmts to Local Governments | 1,560,200 | 1,560,200 | 1,560,200 | 1,560,200 | 1,560,200 | 1,560,200 | 1,560,200 | 1,560,200 |
| Total-Tourism Fund | 5,535,966 | 5,480,120 | 5,480,120 | 5,480,120 | 5,480,120 | 5,480,120 | 5,480,120 | 5,480,120 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 3,929,614 | 2,930,907 | 2,682,170 | 2,682,170 | 2,682,170 | 2,991,430 | 2,991,430 | 2,991,430 |
| Special Non-Appropriated Funds | 804,072 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 15904 African American Civil Rights | 0 | 0 | 30,000 | 30,000 | 30,000 | 0 | 0 | 0 |
| 15904 Hist Preservation Fund Grants-In-Aid | 452,533 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15904 Historic Preservation Subgrant | 0 | 0 | 13,367 | 13,367 | 13,367 | 0 | 0 | 0 |
| 15904 HISTORIC PRESERVATION FUND GRANTS-IN-AID | 941,208 | 700,000 | 1,000,000 | 1,000,000 | 1,000,000 | 800,000 | 800,000 | 800,000 |
| 15904 Historic Preservation Fund Grants_in_Aid | 67,439 | 67,949 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 |
| 15925 National Maritime ADMIN | 1,385 | 1,000 | 1,000 | 1,000 | 1,000 | 377 | 377 | 377 |
| 15925 National Maritime Heritage Grant | 11,493 | 99,000 | 155,331 | 155,331 | 155,331 | 0 | 0 | 0 |
| 15957 Relief to Historic Properties Damaged By Hurricane | -70,981 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 45025 Promotion of the Arts Partnership Agreements | 678,083 | 650,000 | 1,200,000 | 1,200,000 | 1,200,000 | 1,200,000 | 1,200,000 | 1,200,000 |
| Total - All Funds | 13,478,485 | 11,088,234 | 11,809,785 | 11,802,326 | 11,802,326 | 11,751,622 | 11,748,162 | 11,748,162 |

TOURISM AND BRAND

Statutory Reference

C.G.S. Chapter 184b, Sections 10-392 through 10-399.

Statement of Need and Program Objectives

DECD is the lead agency for branding and marketing the state for tourism and business development. Tourism's unique mission brings visitors to Connecticut, creating jobs to employ Connecticut residents and support their families, and generating state and local taxes to support public services essential to Connecticut taxpayers. It also motivates Connecticut residents to embody the pride and spirit of an engaging destination excited to support business growth and welcome tourists and visiting friends and families. The objectives are to build a market research-based brand position, craft comprehensive and cost-effective marketing strategies, and execute marketing campaigns to promote Connecticut as a year-round travel and cultural destination that dramatically improves the attractiveness of the state as a place to visit, to live and work, and to grow a business.

Program Description

The role of DECD's Office of Tourism and business development marketing efforts are unique in the state of Connecticut. The office:

- Develops the Connecticut brand and strategic marketing plans including message, and creative in-state, out-of-state and international media strategies;
- Conducts research to guide public and private marketing efforts and measure performance;

- Executes integrated campaigns including, but not limited to, online and traditional advertising, promotions, public relations and direct sales;
- Acts as Connecticut's primary source for state tourism destination information, including the official state tourism website www.CTvisit.com, social media sites and e-newsletters;
- Provides economic development marketing support to the Office of Business Development to help attract and retain companies and their employees to the state.
- Manage web assets that promote Connecticut Live, Work, Play messaging on ChooseCT.com, CTforMe.com and complimentary social media sites.
- Creates partnerships, cooperating marketing opportunities and education opportunities for Connecticut tourism businesses including the Connecticut Convention & Sports Bureau and Discover New England.

The Office of Tourism redesigned and rebuilt the state's official tourism website as of April 2016 with intuitive navigation and responsive design. Substantial yearly improvements have been made yearly, including the introduction of the Tourism Industry Portal. Web traffic and tourism industry partnerships have substantially increased as a result.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-----------------------------------------------------------------------------------------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Impressions Generated by Public Relations (Earned) | 1,000,000 | 800,000 | 900,000 | 1,000,000 |
| CTvisit.com Visitors | 7,000,000 | 4,550,000 | 6,000,000 | 7,000,000 |
| % of Target Market Interested in Visiting CT - *We anticipate a dip in several core metrics due to the impact of COVID-19 | 37% | 35% | 35% | 37% |
| Social Media Community - *We anticipate a dip in several core metrics due to the impact of COVID-19 | 450,000 | 470,000 | 480,000 | 490,000 |
| Business Development Marketing Measures, Perception of CT as a business location % Friendly to business | 41% | 30% | 33% | 40% |
| Business Development Marketing Measures, Perception of CT as a business location, Great place to relocate existing business | 37% | 27% | 30% | 35% |
| Business Development Marketing Measures, Perception of CT as a business location, real place to start up a new business | 36% | 29% | 29% | 33% |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 9 | 4 | 0 | 13 | 13 | 13 | 13 | 13 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 901,936 | 1,118,567 | 1,130,212 | 1,125,061 | 1,125,061 | 1,162,019 | 1,160,855 | 1,160,855 |
| Other Expenses | 74,658 | 113,325 | 113,325 | 113,325 | 113,325 | 113,325 | 113,325 | 113,325 |
| Total-General Fund | 976,594 | 1,231,892 | 1,243,537 | 1,238,386 | 1,238,386 | 1,275,344 | 1,274,180 | 1,274,180 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Tourism Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Statewide Marketing | 4,280,989 | 4,280,912 | 4,280,912 | 4,280,912 | 4,280,912 | 4,280,912 | 4,280,912 | 4,280,912 |

| Pmts to Other Than Local Govts | | | | | | | | |
|---------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Nutmeg Games | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| Discovery Museum | 196,895 | 196,895 | 196,895 | 196,895 | 196,895 | 196,895 | 196,895 | 196,895 |
| Connecticut Science Center | 446,626 | 446,626 | 446,626 | 446,626 | 446,626 | 446,626 | 446,626 | 446,626 |
| Barnum Museum | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 |
| Various Grants | 343,856 | 343,856 | 343,856 | 343,856 | 343,856 | 343,856 | 343,856 | 343,856 |
| Total--Pmts to Other Than Local Govts | 1,048,112 | 1,048,112 | 1,048,112 | 1,048,112 | 1,048,112 | 1,048,112 | 1,048,112 | 1,048,112 |

| Pmts to Local Governments | | | | | | | | |
|---------------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Stepping Stones Museum for Children | 30,863 | 30,863 | 30,863 | 30,863 | 30,863 | 30,863 | 30,863 | 30,863 |
| Maritime Center Authority | 303,705 | 303,705 | 303,705 | 303,705 | 303,705 | 303,705 | 303,705 | 303,705 |
| Beardsley Zoo | 253,879 | 253,879 | 253,879 | 253,879 | 253,879 | 253,879 | 253,879 | 253,879 |
| Mystic Aquarium | 322,397 | 322,397 | 322,397 | 322,397 | 322,397 | 322,397 | 322,397 | 322,397 |
| Northwestern Tourism | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Eastern Tourism | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Central Tourism | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Stamford Downtown Special Services District | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| Pmts to Local Governments | 2,160,844 | 2,160,844 | 2,160,844 | 2,160,844 | 2,160,844 | 2,160,844 | 2,160,844 | 2,160,844 |
| Total-Tourism Fund | 7,489,945 | 7,489,868 | 7,489,868 | 7,489,868 | 7,489,868 | 7,489,868 | 7,489,868 | 7,489,868 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 188,427 | 10,000 | 27,000 | 27,000 | 27,000 | 27,000 | 27,000 | 27,000 |
| Total - All Funds | 8,654,966 | 8,731,760 | 8,760,405 | 8,755,254 | 8,755,254 | 8,792,212 | 8,791,048 | 8,791,048 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|------------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 6,644,437 | 7,160,126 | 7,223,657 | 6,976,927 | 7,503,116 | 7,291,418 |
| Salaries & Wages-Temporary | 136,339 | 294,869 | 300,307 | 300,307 | 300,307 | 300,307 |
| Salaries & Wages-Part Time | 171,320 | 105,203 | 107,180 | 107,180 | 107,180 | 107,180 |
| Longevity Payments | 45,134 | 43,142 | 43,142 | 43,142 | 43,142 | 43,142 |
| Overtime | 21,150 | 29,004 | 29,521 | 29,521 | 29,521 | 29,521 |
| Accumulated Leave | 38,958 | 140,000 | 140,000 | 140,000 | 140,000 | 140,000 |
| Other Salaries & Wages | 120 | 210 | 210 | 210 | 210 | 210 |
| Other | 5,145 | 490 | 40,822 | 490 | 40,822 | 490 |
| TOTAL - Personal Services-Personal Services | 7,062,603 | 7,773,044 | 7,884,839 | 7,597,777 | 8,164,298 | 7,912,268 |

| Other Expenses | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Communications | 69,141 | 72,765 | 72,765 | 72,765 | 72,765 | 72,765 |
| Conf/Seminars/Workshop-Hosting | 0 | 0 | 0 | -49,897 | 0 | -49,897 |
| Electricity | 18,241 | 17,500 | 17,500 | 17,500 | 17,500 | 17,500 |
| Employee Expenses | 8,539 | 14,600 | 14,600 | 14,600 | 14,600 | 14,600 |
| Employee Travel | 24,842 | 31,086 | 31,086 | 31,086 | 31,086 | 31,086 |
| Equipment Rental and Maintenance | 38,803 | 37,230 | 37,230 | 37,230 | 37,230 | 37,230 |
| Food And Beverages | 75 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 |
| Information Technology | 194,569 | 159,300 | 159,300 | 159,300 | 159,300 | 159,300 |
| IT Software Licenses/Rental | 0 | 0 | 0 | -26,268 | 0 | -26,268 |
| Motor Vehicle Costs | 28,509 | 29,000 | 29,000 | 29,000 | 29,000 | 29,000 |
| Natural Gas | 5,157 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 |
| Oil #2 | 5,639 | 6,400 | 6,400 | 6,400 | 6,400 | 6,400 |
| Other Services | 113,466 | 123,475 | 123,475 | 120,153 | 123,475 | 120,153 |
| Overtime | 0 | 0 | 0 | -13,219 | 0 | -13,219 |
| Premises Expenses | 58,991 | 109,575 | 109,575 | 109,575 | 109,575 | 109,575 |
| Professional Services | 46,229 | 34,038 | 34,038 | 34,038 | 34,038 | 34,038 |
| Purchased Commodities | 22,449 | 21,615 | 21,615 | 21,615 | 21,615 | 21,615 |
| Water | 263 | 798 | 798 | 798 | 798 | 798 |
| TOTAL-Other Expenses | 634,913 | 664,382 | 664,382 | 571,676 | 664,382 | 571,676 |

| Other Current Expenses | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------|---------|---------|---------|---------|---------|---------|
| Spanish-American Merchants Association | 427,782 | 454,694 | 454,694 | 442,194 | 454,694 | 442,194 |
| Office of Military Affairs | 125,206 | 202,411 | 202,411 | 182,170 | 206,827 | 186,586 |
| CCAT-CT Manufacturing Supply Chain | 90,000 | 100,000 | 100,000 | 85,000 | 100,000 | 85,000 |

| | | | | | | |
|--------------------------------------|------------|------------|------------|------------|------------|------------|
| Capital Region Development Authority | 6,249,121 | 11,840,175 | 6,249,121 | 6,249,121 | 6,249,121 | 6,249,121 |
| Manufacturing Growth Initiative | 81,207 | 150,000 | 150,000 | 135,000 | 155,172 | 140,769 |
| Hartford 2000 | 20,000 | 20,000 | 20,000 | 10,000 | 20,000 | 10,000 |
| Office of Workforce Strategy | 0 | 0 | 0 | 535,907 | 0 | 535,907 |
| TOTAL-Other Current Expenses | 6,993,316 | 12,767,280 | 7,176,226 | 7,639,392 | 7,185,814 | 7,649,577 |
| Personal Services | 7,062,603 | 7,773,044 | 7,884,839 | 7,597,777 | 8,164,298 | 7,912,268 |
| Other Expenses | 634,913 | 664,382 | 664,382 | 571,676 | 664,382 | 571,676 |
| Other Current Expenses | 6,993,316 | 12,767,280 | 7,176,226 | 7,639,392 | 7,185,814 | 7,649,577 |
| TOTAL-General Fund | 14,690,832 | 21,204,706 | 15,725,447 | 15,808,845 | 16,014,494 | 16,133,521 |

AGENCY FINANCIAL SUMMARY - TOURISM FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|-----------|-----------|-----------|-------------|-----------|-------------|
| <i>Other Current Expenses</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Statewide Marketing | 4,280,989 | 4,280,912 | 4,280,912 | 4,280,912 | 4,280,912 | 4,280,912 |
| Hartford Urban Arts Grant | 242,371 | 242,371 | 242,371 | 242,371 | 242,371 | 242,371 |
| New Britain Arts Council | 39,380 | 39,380 | 39,380 | 39,380 | 39,380 | 39,380 |
| Main Street Initiatives | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| Neighborhood Music School | 80,540 | 80,540 | 80,540 | 80,540 | 80,540 | 80,540 |
| TOTAL-Other Current Expenses | 4,743,280 | 4,743,203 | 4,743,203 | 4,743,203 | 4,743,203 | 4,743,203 |

Pmts to Other Than Local Govts

| | | | | | | |
|--------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Nutmeg Games | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| Discovery Museum | 196,895 | 196,895 | 196,895 | 196,895 | 196,895 | 196,895 |
| National Theatre of the Deaf | 78,758 | 78,758 | 78,758 | 78,758 | 78,758 | 78,758 |
| Connecticut Science Center | 446,626 | 446,626 | 446,626 | 446,626 | 446,626 | 446,626 |
| CT Flagship Producing Theaters Grant | 259,950 | 259,951 | 259,951 | 259,951 | 259,951 | 259,951 |
| Performing Arts Centers | 787,571 | 787,571 | 787,571 | 787,571 | 787,571 | 787,571 |
| Performing Theaters Grant | 337,600 | 381,753 | 381,753 | 381,753 | 381,753 | 381,753 |
| Arts Commission | 1,497,298 | 1,497,298 | 1,497,298 | 1,497,298 | 1,497,298 | 1,497,298 |
| Art Museum Consortium | 287,313 | 287,313 | 287,313 | 287,313 | 287,313 | 287,313 |
| Litchfield Jazz Festival | 29,000 | 29,000 | 29,000 | 29,000 | 29,000 | 29,000 |
| Arte Inc. | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 |
| CT Virtuosi Orchestra | 15,250 | 15,250 | 15,250 | 15,250 | 15,250 | 15,250 |
| Barnum Museum | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 | 20,735 |
| Various Grants | 393,856 | 393,856 | 393,856 | 393,856 | 393,856 | 393,856 |
| Creative Youth Productions | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| Music Haven | 100,000 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-Pmts to Other Than Local Govts | 4,661,587 | 4,605,741 | 4,605,741 | 4,605,741 | 4,605,741 | 4,605,741 |

Pmts to Local Governments

| | | | | | | |
|-----------------------------------------|---------|---------|---------|---------|---------|---------|
| Greater Hartford Arts Council | 74,079 | 74,079 | 74,079 | 74,079 | 74,079 | 74,079 |
| Stepping Stones Museum for Children | 30,863 | 30,863 | 30,863 | 30,863 | 30,863 | 30,863 |
| Maritime Center Authority | 303,705 | 303,705 | 303,705 | 303,705 | 303,705 | 303,705 |
| Connecticut Humanities Council | 850,000 | 850,000 | 850,000 | 850,000 | 850,000 | 850,000 |
| Amistad Committee for the Freedom Trail | 36,414 | 36,414 | 36,414 | 36,414 | 36,414 | 36,414 |

| | | | | | | |
|---------------------------------------------|------------|------------|------------|------------|------------|------------|
| New Haven Festival of Arts and Ideas | 414,511 | 414,511 | 414,511 | 414,511 | 414,511 | 414,511 |
| New Haven Arts Council | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 |
| Beardsley Zoo | 253,879 | 253,879 | 253,879 | 253,879 | 253,879 | 253,879 |
| Mystic Aquarium | 322,397 | 322,397 | 322,397 | 322,397 | 322,397 | 322,397 |
| Northwestern Tourism | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Eastern Tourism | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Central Tourism | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Twain/Stowe Homes | 81,196 | 81,196 | 81,196 | 81,196 | 81,196 | 81,196 |
| Cultural Alliance of Fairfield | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 | 52,000 |
| Stamford Downtown Special Services District | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| TOTAL-Pmts to Local Governments | 3,721,044 | 3,721,044 | 3,721,044 | 3,721,044 | 3,721,044 | 3,721,044 |
| Other Current Expenses | 4,743,280 | 4,743,203 | 4,743,203 | 4,743,203 | 4,743,203 | 4,743,203 |
| Pmts to Other Than Local Govts | 4,661,587 | 4,605,741 | 4,605,741 | 4,605,741 | 4,605,741 | 4,605,741 |
| Pmts to Local Governments | 3,721,044 | 3,721,044 | 3,721,044 | 3,721,044 | 3,721,044 | 3,721,044 |
| TOTAL-Tourism Fund | 13,125,911 | 13,069,988 | 13,069,988 | 13,069,988 | 13,069,988 | 13,069,988 |

DEPARTMENT OF HOUSING

AGENCY DESCRIPTION

The Department of Housing (DOH) is the lead agency for housing-related matters in the state. DOH provides centralized leadership and a comprehensive approach to eliminating homelessness and meeting the housing needs of low- and moderate-income individuals, families

and communities in Connecticut for quality and sustainable housing by enhancing the supply of, and access to, safe and affordable housing and by improving the infrastructure of neighborhoods and communities.

RECOMMENDED ADJUSTMENTS

| | FY 2022 | FY 2023 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------|
| Baseline Adjustments | | |
| • Adjust Housing/Homeless Services Funding to Reflect Current Expenditure Levels | -3,000,000 | -3,000,000 |
| • Reflect Annualization of Minimum Wage Increase for Employees of Private Providers | 291,079 | 565,067 |
| • Adjust Funding for the Subsidized Assisted Living Demonstration | -42,000 | 250,000 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 80,677 |
| • Provide Funding for State Employee Wage Adjustments | 17,325 | 17,325 |
| Reductions | | |
| • Annualize FY 2021 Holdbacks | -574,899 | -574,899 |
| • Annualize FY 2021 Rescissions | -19,358 | -19,358 |
| Reallocations | | |
| • Reallocate Funding to the Department of Mental Health and Addiction Services for Supportive Housing Services <i>Funding is transferred from the Housing/Homeless Services account to the Department of Mental Health and Addiction Services to support wrap-around services for 47 individuals anticipated to receive federal HUD mainstream vouchers during FY 2022.</i> | -352,500 | -352,500 |
| • Reallocate Funding to Support State Match for Federal Homeless Youth Grant <i>The agency will utilize available funding in the Housing/Homeless Services account to support the state match for the federal homeless youth grant. Previously the state match was supported through Community Investment Act funds.</i> | 0 | 0 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 16 | 7 | 0 | 23 | 23 | 23 | 23 | 23 |
| Insurance Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Federal Funds | 20 | 7 | -1 | 26 | 26 | 26 | 26 | 26 |
| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Department of Housing | 697,820 | 1,002,546 | 1,569,319 | 1,566,688 | 1,857,330 | 835,826 | 838,976 | 1,419,618 |
| Housing/Community Development Program | 278,830,793 | 322,574,476 | 322,847,713 | 319,828,874 | 318,591,475 | 269,363,754 | 266,613,319 | 265,085,920 |
| TOTAL Agency Programs | 279,528,613 | 323,577,022 | 324,417,032 | 321,395,562 | 320,448,805 | 270,199,580 | 267,452,295 | 266,505,538 |
| Summary of Funding | | | | | | | | |
| General Fund | 93,465,919 | 100,590,249 | 103,878,123 | 100,856,653 | 99,909,896 | 104,248,220 | 101,500,935 | 100,554,178 |
| Banking Fund | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 |
| Insurance Fund | 102,360 | 156,000 | 156,000 | 156,000 | 156,000 | 158,383 | 158,383 | 158,383 |

| | | | | | | | | |
|---------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Federal Funds | 154,949,310 | 201,710,773 | 198,562,909 | 198,562,909 | 198,562,909 | 143,910,781 | 143,910,781 | 143,910,781 |
| Private Funds | 8,138,606 | 8,950,000 | 9,650,000 | 9,650,000 | 9,650,000 | 9,712,196 | 9,712,196 | 9,712,196 |
| Restricted State Accounts | 22,202,418 | 11,500,000 | 11,500,000 | 11,500,000 | 11,500,000 | 11,500,000 | 11,500,000 | 11,500,000 |
| Total Agency Programs | 279,528,613 | 323,577,022 | 324,417,032 | 321,395,562 | 320,448,805 | 270,199,580 | 267,452,295 | 266,505,538 |

ADMINISTRATION

Statutory Reference

C.G.S. Sections 8-37r and 8-37ooo.

Statement of Need and Program Objectives

To establish policies and direction; to communicate housing information to the public; and to enable efficient implementation of housing programs and policies through the delivery of essential support services in management, policy and planning.

Program Description

The Office of the Commissioner sets policy and issues directives and guidance on administration and housing procedural matters. Among other things, administrative services also include budgetary planning, legal services, legislative support, communications, portfolio management, architectural services, managerial oversight, compliance monitoring, and advancement of equal opportunity and affirmative action in employment and services.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-----------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 5 | 3 | 1 | 9 | 9 | 9 | 9 | 9 |
| Insurance Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 506,426 | 546,963 | 553,349 | 550,718 | 432,184 | 577,860 | 581,010 | 462,476 |
| Other Expenses | 87,684 | 99,583 | 99,583 | 99,583 | 98,759 | 99,583 | 99,583 | 98,759 |
| Other Current Expenses | | | | | | | | |
| Homeless Youth | 0 | 0 | 0 | 0 | 410,000 | 0 | 0 | 700,000 |
| Total-General Fund | 594,110 | 646,546 | 652,932 | 650,301 | 940,943 | 677,443 | 680,593 | 1,261,235 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Crumbling Foundations | 102,360 | 156,000 | 156,000 | 156,000 | 156,000 | 158,383 | 158,383 | 158,383 |
| Total-Insurance Fund | 102,360 | 156,000 | 156,000 | 156,000 | 156,000 | 158,383 | 158,383 | 158,383 |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 14228 Comm Dev Block Gt/State'S Program | 1,350 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14269 CDBG-DR-Public Facilities-Sand | 0 | 200,000 | 760,387 | 760,387 | 760,387 | 0 | 0 | 0 |
| Total - All Funds | 697,820 | 1,002,546 | 1,569,319 | 1,566,688 | 1,857,330 | 835,826 | 838,976 | 1,419,618 |

HOUSING AND COMMUNITY DEVELOPMENT

Statutory Reference

C.G.S. Chapters 124b, 126a, 127b, 127c, 128, 133, 135, 137e, 138, 138a, 138b, 138i, and Sections 16a-40a, 17b-106, 17b-337, 17b-347e, and 47-288.

Statement of Need and Program Objectives

To reduce the incidence of homelessness of individuals and families and promote independent living by increasing housing stability; finance the creation and preservation of quality, affordable housing to meet the needs of all individuals and families to ensure that Connecticut continues to be a great place to live and work; finance community development projects to strengthen communities statewide; and develop and implement strategic and policy planning related to housing and community development.

Program Description

As the lead agency for all matters relating to housing and community development in Connecticut, DOH addresses the need for quality affordable housing statewide through a wide range of initiatives and other activities.

To address the acute need for affordable housing in Connecticut, DOH administers numerous state and federally funded housing, community development and housing support programs to create affordable housing opportunities. Since 2011, DOH and the Connecticut Housing Finance Authority (CHFA) have created, rehabilitated, or financed over 17,000 affordable housing units. Some of these programs include the following:

The Affordable Housing and Housing Trust Fund programs provide gap funding, through a series of competitive and selective funding rounds, to owners of existing developments and developers of proposed new developments to increase the supply of safe, decent and affordable housing in Connecticut. Through these programs, DOH seeks to leverage non-state funds to catalyze the creation and/or preservation of affordable, supportive, and service enhanced multifamily housing opportunities and affordable homeownership to promote healthy lives, strong communities and a robust economy. DOH funds may be provided in the form of grants, loans or a combination thereof.

The Predevelopment Loan program provides financial assistance to housing developers seeking assistance with predevelopment costs incurred in connection with the construction, rehabilitation, or renovation of a wide variety of affordable, supportive and mixed-income housing.

The HOME program is a federal block grant program that provides funding to states and localities to be used exclusively for affordable housing activities to benefit low-income households. DOH administers the HOME funds allocated to Connecticut to finance the creation and preservation of affordable housing developments and related activities.

The Community Development Block Grant – Small Cities program is a federal block grant program administered by DOH. Under this program, DOH makes grants to eligible municipalities on a competitive basis to fund projects that achieve local community and economic development objectives consistent with federal program parameters.

The Main Street Investment Fund (MSIF) program provides funding to develop or improve town commercial districts in order to attract small businesses, increase local jobs, and improve pedestrian access and livability in town centers. By investing in infrastructure renovations and other improvements, municipalities can create vibrant, walkable centers where existing and new businesses can thrive and lay the

foundation for expanded economic activity and housing opportunities.

The Congregate Facilities Operating Cost program provides financial assistance to offset the cost of congregate services in state-financed congregate housing for frail elderly persons.

DOH also maintains a continuum of housing related services to support individuals who have become homeless and individuals who need assistance in maintaining their current housing as they strive for independence. DOH support may be provided directly to individuals or through funding to property owners, municipalities and community-based agencies. Programs include:

The Emergency Shelter program supports numerous homeless shelters statewide to provide emergency shelter, nutrition and social support services.

The Security Deposit Guarantee program removes barriers for chronically homeless individuals with limited resources by guaranteeing landlords the equivalent of up to two month's rent.

The Rental Assistance Program (RAP) and Section 8 Federal Housing Choice Voucher (HCV) programs provide direct rental subsidies to property owners on behalf of families and individuals in an effort to fill the gap between what these renters can afford to pay and the fair market rent charged by the landlord. These programs guarantee that minimum housing quality standards are met to ensure safe, sanitary and decent housing. A family's income may not exceed 50% of the median income for the county or metropolitan area in which the family chooses to live but families typically pay between 30% and 40% of monthly income on rent and utilities. The RAP program is state funded and the HCV program is federally funded. As of January 2021, there are 6,561 individuals and families housed with RAP certificates and 8,231 households are housed under the HCV Program. RAP certificates also enable eligible nursing facility residents to safely move to lower cost community settings and to a more self-sufficient lifestyle through the Money Follows the Person (MFP) program overseen by the Department of Social Services.

The HCV Family Unification program administered in conjunction with the Department of Children and Families promotes family unity by providing housing assistance to families for whom the lack of adequate housing is a primary factor in the separation, or the threat of imminent separation, of children from their families.

Grants for Housing for Individuals with AIDS supports the operation of residences and services to individuals with AIDS. These residences include emergency shelters, transitional living programs, independent living programs and supported living programs.

The Elderly Rental Assistance (ERAP) program provides rental assistance to low-income elderly persons residing in state-assisted rental housing for the elderly. Such housing must comply with applicable state and local health, housing, building and safety codes.

In addition, DOH funds a free rental housing locator service and provides leadership and partial funding in implementing the coordinated access system statewide, which offers comprehensive assessments and referral services to meet the housing needs of vulnerable individuals and families. DOH further serves the state's most vulnerable population by providing supplemental funding for emergency shelters to ensure they have the capacity to house the homeless during severe cold weather. As the second state in the nation to have effectively ended veteran homelessness and now having matched all chronically homeless individuals to housing, the state has a proven track record of success.

DOH also monitors and analyzes the Connecticut housing and community development environment by undertaking several strategic planning efforts, including the Consolidated Plan for Housing and Community Development, performs certain strategy and policy functions related to housing and community development, and

provides technical assistance to non-profit and for-profit developers and municipalities for the preservation, rehabilitation and development of affordable housing and associated housing support programs and services.

| Program Measures | | | | | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-------------------------------------------------------------|--|--|--|--|----------------|------------------|------------------|------------------|
| | | | | | Actual | Estimated | Projected | Projected |
| Security Deposit Guarantee Program (Guarantees Issued) | | | | | 429 | 800 | 800 | 800 |
| Elderly Rental Assistance (Elderly Renters Assisted) | | | | | 967 | 1,186 | 967 | 960 |
| Rental Assistance Program (Households Assisted) | | | | | 6,486 | 5,967 | 6,800 | 6,800 |
| Affordable Housing Units Completed (based on calendar year) | | | | | 1,623 | 1,600 | 3,600 | 2,000 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 11 | 4 | -1 | 14 | 14 | 14 | 14 | 14 |
| Federal Funds | 20 | 7 | -1 | 26 | 26 | 26 | 26 | 26 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,261,025 | 1,406,482 | 1,438,891 | 1,420,052 | 1,420,052 | 1,477,732 | 1,468,054 | 1,468,054 |
| Other Expenses | 77,208 | 65,310 | 65,310 | 65,310 | 65,310 | 65,310 | 65,310 | 65,310 |
| Other Current Expenses | | | | | | | | |
| Elderly Rental Registry and Counselors | 1,011,170 | 1,014,722 | 1,014,722 | 1,014,722 | 1,011,170 | 1,014,722 | 1,014,722 | 1,011,170 |
| Homeless Youth | 2,284,904 | 2,292,929 | 2,292,929 | 2,292,929 | 2,234,904 | 2,292,929 | 2,292,929 | 2,234,904 |
| Total--Other Current Expenses | 3,296,074 | 3,307,651 | 3,307,651 | 3,307,651 | 3,246,074 | 3,307,651 | 3,307,651 | 3,246,074 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Subsidized Assisted Living Demonstration | 2,612,000 | 2,678,000 | 2,636,000 | 2,636,000 | 2,636,000 | 2,928,000 | 2,928,000 | 2,928,000 |
| Congregate Facilities Operation Costs | 7,189,480 | 7,189,480 | 7,189,480 | 7,189,480 | 7,189,480 | 7,189,480 | 7,189,480 | 7,189,480 |
| Elderly Congregate Rent Subsidy | 1,935,242 | 1,942,424 | 1,942,424 | 1,942,424 | 1,935,626 | 1,942,424 | 1,942,424 | 1,935,626 |
| Housing/Homeless Services | 75,925,554 | 82,779,130 | 86,038,372 | 83,038,372 | 81,869,348 | 86,023,092 | 83,282,335 | 81,823,311 |
| Total--Pmts to Other Than Local Govts | 87,662,276 | 94,589,034 | 97,806,276 | 94,806,276 | 93,630,454 | 98,082,996 | 95,342,239 | 93,876,417 |
| Pmts to Local Governments | | | | | | | | |
| Housing/Homeless Services - Municipality | 575,226 | 575,226 | 607,063 | 607,063 | 607,063 | 637,088 | 637,088 | 637,088 |
| Total-General Fund | 92,871,809 | 99,943,703 | 103,225,191 | 100,206,352 | 98,968,953 | 103,570,777 | 100,820,342 | 99,292,943 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Banking Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Fair Housing | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 |
| Total-Banking Fund | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 8,138,606 | 8,950,000 | 9,650,000 | 9,650,000 | 9,650,000 | 9,712,196 | 9,712,196 | 9,712,196 |
| Restricted State Accounts | 22,202,418 | 11,500,000 | 11,500,000 | 11,500,000 | 11,500,000 | 11,500,000 | 11,500,000 | 11,500,000 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 14181 Supportive Hsng- Persons W/ Disabiliti | 2,114,854 | 2,114,854 | 2,114,854 | 2,114,854 | 2,114,854 | 2,114,854 | 2,114,854 | 2,114,854 |
| 14195 Sec 8 Hsng Assist Pmts-Special Allocat | 6,043,479 | 1,080,000 | 1,080,000 | 1,080,000 | 1,080,000 | 1,080,000 | 1,080,000 | 1,080,000 |
| 14219 CDBG-CV | 0 | 9,657,861 | 9,620,634 | 9,620,634 | 9,620,634 | 0 | 0 | 0 |
| 14228 Comm Dev Block Gt/State'S Program | 9,084,157 | 13,490,000 | 13,490,000 | 13,490,000 | 13,490,000 | 13,490,000 | 13,490,000 | 13,490,000 |
| 14231 Emergency Shelter Grants Program | 2,268,497 | 2,269,089 | 2,269,089 | 2,269,089 | 2,269,089 | 2,269,089 | 2,269,089 | 2,269,089 |
| 14231 ESG-CV | 0 | 10,375,442 | 10,375,443 | 10,375,443 | 10,375,443 | 0 | 0 | 0 |
| 14239 Home Investment Partnerships Program | 6,982,906 | 10,450,000 | 10,450,000 | 10,450,000 | 10,450,000 | 10,450,000 | 10,450,000 | 10,450,000 |
| 14241 Housing Opportunities-Pers W/ Aids | 252,205 | 263,259 | 263,259 | 263,259 | 263,259 | 263,259 | 263,259 | 263,259 |
| 14249 Section 8 Moderate Rehabilitation Sro | 64,520 | 64,520 | 64,520 | 64,520 | 64,520 | 64,520 | 64,520 | 64,520 |
| 14267 CoC CT Balance of State RRP | 71,586 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14267 CoC New Reach Rapid Rehousing | 1,237,922 | 1,988,987 | 1,988,987 | 1,988,987 | 1,988,987 | 1,988,987 | 1,988,987 | 1,988,987 |
| 14267 CoC Youth Continuum | 282,843 | 230,444 | 230,444 | 230,444 | 230,444 | 230,444 | 230,444 | 230,444 |
| 14267 Continuum of Care Program | 2,666,423 | 4,112,200 | 4,112,200 | 4,112,200 | 4,112,200 | 4,112,200 | 4,112,200 | 4,112,200 |
| 14267 DOH Coordinated Access 211 | 282,838 | 387,475 | 387,475 | 387,475 | 387,475 | 387,475 | 387,475 | 387,475 |
| 14267 DOH ODFC 211 SSO | 68,891 | 363,006 | 363,006 | 363,006 | 363,006 | 363,006 | 363,006 | 363,006 |
| 14267 DOH ODFC CAN SSO 2017 | 293,006 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14267 DOH ODFC RRH Bonus 2017 | 1,051,301 | 1,678,367 | 1,678,367 | 1,678,367 | 1,678,367 | 1,678,367 | 1,678,367 | 1,678,367 |
| 14267 DOH Permanent Supportive Hsg | 770,196 | 829,695 | 829,695 | 829,695 | 829,695 | 829,695 | 829,695 | 829,695 |
| 14269 Community Development Block Grant Disaster Recover | 2,047,140 | 1,182,158 | 2,947,270 | 2,947,270 | 2,947,270 | 0 | 0 | 0 |
| 14269 Community Development Block Grant Disaster Recovery - Hurric | 4,304,414 | 11,576,928 | 8,796,060 | 8,796,060 | 8,796,060 | 0 | 0 | 0 |
| 14272 National Disaster Resilience Competition | 3,165,685 | 22,807,500 | 22,446,002 | 22,446,002 | 22,446,002 | 0 | 0 | 0 |
| 14275 NATIONAL HOUSING TRUST | 995,242 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 | 2,700,000 |
| 14275 NATIONAL HOUSING TRUST ADMIN | 513,063 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 |
| 14276 Youth Homelessness Demonstration Program | 2,735,584 | 2,185,479 | 2,185,479 | 2,185,479 | 2,185,479 | 2,185,479 | 2,185,479 | 2,185,479 |
| 14326 Project Rental Assistance Demonstration (Pra Demo) Program O | 76,257 | 92,513 | 92,513 | 92,513 | 92,513 | 92,513 | 92,513 | 92,513 |
| 14326 Section 811 Project Rental As | 424,513 | 840,193 | 1,046,422 | 1,046,422 | 1,046,422 | 1,340,090 | 1,340,090 | 1,340,090 |
| 14856 Lower Inc Hsng Asst Sec8 Mod Rehab | 48,041 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |
| 14871 Section 8 Housing Choice Vouchers | 95,043,038 | 90,470,409 | 90,470,409 | 90,470,409 | 90,470,409 | 90,470,409 | 90,470,409 | 90,470,409 |
| 14896 Family Self-Sufficiency Program | 206,931 | 206,931 | 206,931 | 206,931 | 206,931 | 206,931 | 206,931 | 206,931 |
| 14905 Lead Hazard Reduction Demonstration Grant Program | 1,147,081 | 743,463 | 743,463 | 743,463 | 743,463 | 743,463 | 743,463 | 743,463 |
| 93667 Social Services Block Grant | 6,851,722 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 | 6,500,000 |
| 97036 DISASTER GRANTS-PUBLIC ASSISTANCE (PRESIDENTIALLY DECLARED) | 3,853,625 | 2,500,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 278,830,793 | 322,574,476 | 322,847,713 | 319,828,874 | 318,591,475 | 269,363,754 | 266,613,319 | 265,085,920 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|-------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 1,472,603 | 1,607,815 | 1,625,140 | 1,506,606 | 1,688,492 | 1,584,900 |
| Longevity Payments | 15,806 | 14,251 | 14,251 | 14,251 | 14,251 | 14,251 |
| Accumulated Leave | 909 | 54,350 | 54,350 | 54,350 | 54,350 | 54,350 |
| Other | 278,133 | 277,029 | 298,499 | 277,029 | 298,499 | 277,029 |
| TOTAL - Personal Services-Personal Services | 1,767,451 | 1,953,445 | 1,992,240 | 1,852,236 | 2,055,592 | 1,930,530 |
| Other Expenses | | | | | | |
| Communications | 14,683 | 14,850 | 14,850 | 14,850 | 14,850 | 14,850 |
| Employee Expenses | 1,210 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 |
| Employee Travel | 3,050 | 3,800 | 3,800 | 3,800 | 3,800 | 3,800 |
| Equipment Rental and Maintenance | 7,699 | 9,700 | 9,700 | 9,700 | 9,700 | 9,700 |
| Information Technology | 4,042 | 13,500 | 13,500 | 13,500 | 13,500 | 13,500 |
| Motor Vehicle Costs | 4,112 | 4,532 | 4,532 | 4,532 | 4,532 | 4,532 |
| Other Services | 57,668 | 58,847 | 58,847 | 58,023 | 58,847 | 58,023 |
| Premises Expenses | 350 | 400 | 400 | 400 | 400 | 400 |
| Professional Services | 59,420 | 40,646 | 40,646 | 40,646 | 40,646 | 40,646 |
| Purchased Commodities | 12,658 | 12,618 | 12,618 | 12,618 | 12,618 | 12,618 |
| TOTAL-Other Expenses | 164,892 | 164,893 | 164,893 | 164,069 | 164,893 | 164,069 |
| Other Current Expenses | | | | | | |
| Elderly Rental Registry and Counselors | 1,011,170 | 1,014,722 | 1,014,722 | 1,011,170 | 1,014,722 | 1,011,170 |
| Homeless Youth | 2,284,904 | 2,292,929 | 2,292,929 | 2,644,904 | 2,292,929 | 2,934,904 |
| TOTAL-Other Current Expenses | 3,296,074 | 3,307,651 | 3,307,651 | 3,656,074 | 3,307,651 | 3,946,074 |
| Pmts to Other Than Local Govts | | | | | | |
| Subsidized Assisted Living Demonstration | 2,612,000 | 2,678,000 | 2,636,000 | 2,636,000 | 2,928,000 | 2,928,000 |
| Congregate Facilities Operation Costs | 7,189,480 | 7,189,480 | 7,189,480 | 7,189,480 | 7,189,480 | 7,189,480 |
| Elderly Congregate Rent Subsidy | 1,935,242 | 1,942,424 | 1,942,424 | 1,935,626 | 1,942,424 | 1,935,626 |
| Housing/Homeless Services | 75,925,554 | 82,779,130 | 86,038,372 | 81,869,348 | 86,023,092 | 81,823,311 |
| TOTAL-Pmts to Other Than Local Govts | 87,662,276 | 94,589,034 | 97,806,276 | 93,630,454 | 98,082,996 | 93,876,417 |
| Pmts to Local Governments | | | | | | |
| Housing/Homeless Services - Municipality | 575,226 | 575,226 | 607,063 | 607,063 | 637,088 | 637,088 |
| TOTAL-Pmts to Local Governments | 575,226 | 575,226 | 607,063 | 607,063 | 637,088 | 637,088 |
| Personal Services | 1,767,451 | 1,953,445 | 1,992,240 | 1,852,236 | 2,055,592 | 1,930,530 |
| Other Expenses | 164,892 | 164,893 | 164,893 | 164,069 | 164,893 | 164,069 |
| Other Current Expenses | 3,296,074 | 3,307,651 | 3,307,651 | 3,656,074 | 3,307,651 | 3,946,074 |
| Pmts to Other Than Local Govts | 87,662,276 | 94,589,034 | 97,806,276 | 93,630,454 | 98,082,996 | 93,876,417 |
| Pmts to Local Governments | 575,226 | 575,226 | 607,063 | 607,063 | 637,088 | 637,088 |
| TOTAL-General Fund | 93,465,919 | 100,590,249 | 103,878,123 | 99,909,896 | 104,248,220 | 100,554,178 |

AGENCY FINANCIAL SUMMARY - BANKING FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Other Current Expenses | | | | | | |
| Fair Housing | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 |
| TOTAL-Other Current Expenses | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 |
| | | | | | | |
| Other Current Expenses | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 |
| TOTAL-Banking Fund | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 | 670,000 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Other Current Expenses | | | | | | |
| Crumbling Foundations | 102,360 | 156,000 | 156,000 | 156,000 | 158,383 | 158,383 |
| TOTAL-Other Current Expenses | 102,360 | 156,000 | 156,000 | 156,000 | 158,383 | 158,383 |
| | | | | | | |
| Other Current Expenses | 102,360 | 156,000 | 156,000 | 156,000 | 158,383 | 158,383 |
| TOTAL-Insurance Fund | 102,360 | 156,000 | 156,000 | 156,000 | 158,383 | 158,383 |

AGRICULTURAL EXPERIMENT STATION

AGENCY DESCRIPTION

The Connecticut Agricultural Experiment Station, chartered in 1875, was the first state agricultural experiment station in America. For more than 145 years, station scientists have addressed the needs of Connecticut and the opportunities of science with investigations of insects, ticks, crops and forests, plant diseases and breeding, and soil and water.

A corps of scientists investigates subjects that hold promise for benefiting Connecticut and enlarging the scientific knowledge base. Studies in the areas of analytical chemistry, genetics, entomology, plant pathology and ecology, environmental sciences, and forestry and horticulture are carried out in five departments.

Experiment Station scientists publish research findings in peer-reviewed scientific journals, technical bulletins, and fact sheets. Results of their investigations are also presented in lectures to local community and scientific audiences worldwide. Further development of the agency's website (<https://portal.ct.gov/caes>) and the expanded use of social media have improved efforts to transfer new information

to state residents and to encourage more direct public involvement in the agency's programs.

| Program Measure | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------|---------|
| Scientific manuscripts, written by Experiment Station scientists, report on the discoveries of current research. Publications increase scientific knowledge, present solutions for people in Connecticut and elsewhere, and appear in leading scientific journals that have worldwide distribution. | | | |
| Published Manuscripts | | | |
| FY 2020 | FY 2021 | FY 2022 | FY 2023 |
| 135 | 135 | 135 | 135 |

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2022 | FY 2023 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------|
| <ul style="list-style-type: none"> Annualize Funding for 16 Mosquito Trapping Sites Funded in FY 2021 from Carryforward <i>The establishment of these new sites was in response to a 2019 EEE outbreak that resulted in four human cases and three deaths. The new trapping locations in eastern Connecticut will allow comprehensive surveillance and science-based controls to ensure early detection of disease in order to provide timely information to state agencies and local health departments that will notify the public.</i> | 150,000 | 152,369 |
| <ul style="list-style-type: none"> Provide Funding for State Employee Wage Adjustments | 18,784 | 18,784 |
| <ul style="list-style-type: none"> Reflect Impact of 27th Payroll During FY 2023 | 0 | 249,680 |

Reductions

| | FY 2022 | FY 2023 |
|---------------------------------------------------------------------------------|---------|---------|
| <ul style="list-style-type: none"> Annualize FY 2021 Rescissions | -64,452 | -64,452 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------|---------|---------|-----------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 62 | 8 | 0 | 70 | 71 | 71 | 71 | 71 |
| Federal Funds | 28 | 0 | 0 | 28 | 28 | 28 | 28 | 28 |
| Private Funds | 7 | 0 | 0 | 7 | 7 | 7 | 7 | 7 |
| Special Non-Appropriated Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 2 | 2 | 2 | 2 | 2 | 2 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Management and Support Services | 1,795,410 | 2,503,938 | 2,512,868 | 2,512,868 | 2,508,543 | 2,512,868 | 2,549,519 | 2,545,194 |
| Food Safety | 1,487,356 | 1,542,878 | 1,544,003 | 1,544,003 | 1,544,003 | 1,544,003 | 1,572,457 | 1,572,457 |
| Public Health | 2,785,929 | 2,583,679 | 2,735,112 | 2,735,112 | 2,735,112 | 2,735,112 | 2,785,553 | 2,785,553 |
| Environment | 2,590,417 | 2,713,384 | 2,716,310 | 2,716,310 | 2,716,310 | 2,716,310 | 2,774,111 | 2,774,111 |
| Agriculture | 3,146,122 | 3,249,668 | 3,254,038 | 3,254,038 | 3,193,911 | 3,254,038 | 3,319,550 | 3,259,423 |
| Regulatory | 642,262 | 679,741 | 679,741 | 679,741 | 679,741 | 679,741 | 692,931 | 692,931 |
| TOTAL Agency Programs | 12,447,496 | 13,273,288 | 13,442,072 | 13,442,072 | 13,377,620 | 13,442,072 | 13,694,121 | 13,629,669 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 7,099,013 | 7,499,788 | 7,668,572 | 7,668,572 | 7,604,120 | 7,668,572 | 7,920,621 | 7,856,169 |
| Federal Funds | 4,073,113 | 4,048,500 | 4,048,500 | 4,048,500 | 4,048,500 | 4,048,500 | 4,048,500 | 4,048,500 |
| Private Funds | 1,673,360 | 1,525,000 | 1,525,000 | 1,525,000 | 1,525,000 | 1,525,000 | 1,525,000 | 1,525,000 |
| Special Non-Appropriated Funds | -397,990 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 |
| Total Agency Programs | 12,447,496 | 13,273,288 | 13,442,072 | 13,442,072 | 13,377,620 | 13,442,072 | 13,694,121 | 13,629,669 |

MANAGEMENT AND SUPPORT SERVICES

Statutory Reference

C.G.S. Section 22-79.

Statement of Need and Program Objectives

To ensure that the scientific work of the Experiment Station is maintained through the efficient operation of the laboratories and farms. To ensure that citizens are well served by having queries answered promptly, accurately and professionally.

Program Description

This program supports the scientific work of the Experiment Station in areas such as payroll, personnel administration, purchasing of supplies and equipment, accounting and budgeting.

The maintenance staff renovates, operates and maintains the laboratories, greenhouses, and other properties in New Haven; Lockwood Farm in Mt. Carmel; the farm, laboratory and greenhouses at the Valley Laboratory in Windsor; and the research center in Griswold. Clean, well-kept and well-equipped laboratories and farms contribute to scientific productivity and safety of Experiment Station employees and state residents who visit these facilities.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-----------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Interviews with Media | 175 | 180 | 190 | 200 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 13 | 0 | 0 | 13 | 13 | 13 | 13 | 13 |
| Special Non-Appropriated Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

Other Positions Equated to Full-Time

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 |

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 944,490 | 1,078,906 | 1,087,836 | 1,087,836 | 1,087,836 | 1,087,836 | 1,124,487 | 1,124,487 |
| Other Expenses | 865,031 | 865,032 | 865,032 | 865,032 | 860,707 | 865,032 | 865,032 | 860,707 |
| Total-General Fund | 1,809,521 | 1,943,938 | 1,952,868 | 1,952,868 | 1,948,543 | 1,952,868 | 1,989,519 | 1,985,194 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 63,329 | 60,000 | 60,000 | 60,000 | 60,000 | 60,000 | 60,000 | 60,000 |
| Private Funds | 241 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 354 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | -397,990 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>Federal Contributions</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Null | 96,873 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| 10202 Cooperative Forestry Research | 1,186 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10203 Paymt Ag Exp Sta Hatch Act | 59,451 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| 10310 Agriculture and Food Research Initiative (AFRI) | 162,267 | 175,000 | 175,000 | 175,000 | 175,000 | 175,000 | 175,000 | 175,000 |
| 93323 Epidemiology and Lab Capacity For Infectious Diseases | 178 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 1,795,410 | 2,503,938 | 2,512,868 | 2,512,868 | 2,508,543 | 2,512,868 | 2,549,519 | 2,545,194 |

REGULATORY

Statutory Reference

C.G.S. Sections 22-84, 22-89-91; 22-97-100.

Statement of Need and Program Objectives

To safeguard agriculture and forests through surveys to detect infestations, monitor forest health; and through registration and inspection of bee hives, commodities, and nurseries; assure freedom from pests and quality to promote economic production and trade.

Program Description

The Experiment Station Director has charge of all matters pertaining to official control, suppression or extermination of insects or diseases

which are, or threaten to become, serious pests of plants of economic importance. The Office of the State Entomologist and plant/apiary inspectors register beekeepers, examine apiaries, register and inspect nurseries, dealers, and nursery stock, and issue certificates of such inspection. Staff members inspect nurseries in order to certify shipments of plants and plant products to other states or countries. In addition, forest and agricultural pest surveys are conducted to monitor for existing and potential pests of concern. The Experiment Station cooperates with the Department of Energy and Environmental Protection, Department of Agriculture, and agents of the United States Department of Agriculture in surveys, the inspection of nurseries, and regulation and control of plant pests.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Human Food Samples Tested | 184 | 190 | 200 | 200 |
| Animal/Pet Feed Samples Tested | 30 | 35 | 40 | 40 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 6 | 0 | 0 | 6 | 6 | 6 | 6 | 6 |
| Federal Funds | 5 | 0 | 0 | 5 | 5 | 5 | 5 | 5 |
| Private Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 666,362 | 702,878 | 704,003 | 704,003 | 704,003 | 704,003 | 732,457 | 732,457 |
| Total-General Fund | 666,362 | 702,878 | 704,003 | 704,003 | 704,003 | 704,003 | 732,457 | 732,457 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 133,906 | 140,000 | 140,000 | 140,000 | 140,000 | 140,000 | 140,000 | 140,000 |
| Private Funds | 15,148 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Null | 3,160 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| 10001 Chemical Analysis - Honey Bees | 33,617 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| 10170 SPECIALTY CROP BLOCK GRANT PROGRAM - FARM BILL | 1,288 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10203 Paymt Ag Exp Sta Hatch Act | 106,021 | 110,000 | 110,000 | 110,000 | 110,000 | 110,000 | 110,000 | 110,000 |
| 10303 Fire Blight | 142 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| 10310 Agriculture and Food Research Initiative (AFRI) | 739 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93102 ISO | 138,624 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| 93103 Food and Drug Administration_Research | 20,824 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93448 Food Safety & Security Monitoring Project | 364,694 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 |
| 99125 Bumble Bees | 2,831 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 1,487,356 | 1,542,878 | 1,544,003 | 1,544,003 | 1,544,003 | 1,544,003 | 1,572,457 | 1,572,457 |

PUBLIC HEALTH

Statutory Reference

C.G.S. Sections 22-81 and 22-81a.

Statement of Need and Program Objectives

To investigate mosquitoes and ticks and the disease-causing agents they transmit to people and animals. To devise methods of monitoring and reducing these pests and the diseases they cause. To develop and evaluate strategies to eliminate bed bugs in human dwellings.

Program Description

Experiment Station scientists study mosquitoes and ticks that transmit disease organisms, and bed bugs that feed on people, to develop an understanding of their habits and devise methods of control. Mosquitoes and ticks are tested for pathogen prevalence and distribution in the state. Seasonal surveillance for mosquito-borne

diseases are made available to the general public in real time. Biological, cultural and integrated tick control methods are evaluated to reduce the risk of disease. Scientists often initiate experiments in response to a public or government inquiry. Results of experiments are reported orally and in written form to the general public and other scientists.

Scientists at the Experiment Station have developed novel highly sensitive molecular-based assays to detect and identify bacterial and viral vector-borne pathogens that cause disease in humans. Staff members also identify and test ticks removed from humans for the disease organisms that cause Lyme disease, anaplasmosis and babesiosis.

Staff scientists also conduct research on factors influencing vector competence and on the evolutionary forces shaping pathogenic viruses.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Mosquitoes Identified and Tested for Disease | 239,960 | 240,000 | 240,000 | 240,000 |
| Ticks Tested for Disease | 3,653 | 3,700 | 3,800 | 3,900 |
| Mosquito Surveillance Trapping Sites Statewide | 92 | 108 | 108 | 108 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 11 | 1 | 0 | 12 | 13 | 13 | 13 | 13 |
| Federal Funds | 4 | 0 | 0 | 4 | 4 | 4 | 4 | 4 |
| Private Funds | 5 | 0 | 0 | 5 | 5 | 5 | 5 | 5 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 799,518 | 763,299 | 763,913 | 763,913 | 763,913 | 763,913 | 798,068 | 798,068 |

| Other Current Expenses | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Mosquito and Tick Disease Prevention | 488,832 | 522,880 | 673,699 | 673,699 | 673,699 | 673,699 | 689,985 | 689,985 |
| Total-General Fund | 1,288,350 | 1,286,179 | 1,437,612 | 1,437,612 | 1,437,612 | 1,437,612 | 1,488,053 | 1,488,053 |

| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 8,565 | 12,500 | 12,500 | 12,500 | 12,500 | 12,500 | 12,500 | 12,500 |
| Private Funds | 896,360 | 750,000 | 750,000 | 750,000 | 750,000 | 750,000 | 750,000 | 750,000 |

| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10001 Tick Management | 152,605 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| 10025 Plant & Animal Disease, Pest Control | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10170 SPECIALTY CROP BLOCK GRANT PROGRAM - FARM BILL | 10,945 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| 10203 Paymt Ag Exp Sta Hatch Act | 109,683 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 |
| 10310 Wastes and Biochar For Nutrients | 582 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15611 WILDLIFE RESTORATION | 509 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 47048 Air Oxidation | 1,581 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93069 Public Health Emergency Preparedness | 10,473 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93323 Epidemiology and Lab Capacity For Infectious Diseases | 304,389 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 | 250,000 |
| 93855 Allergy, Immunology and Transplantation Research | 1,881 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 2,785,929 | 2,583,679 | 2,735,112 | 2,735,112 | 2,735,112 | 2,735,112 | 2,785,553 | 2,785,553 |

ENVIRONMENT

Statutory Reference

C.G.S. Section 22-81.

Statement of Need and Program Objectives

To discover methods of removing toxic chemicals in soil and water that may affect the well-being of plants, domesticated animals and humans. To devise ways to control pests using fewer pesticides and environmentally safer products. To develop economical management of forests through scientific experiments. To protect coastal wetlands by investigating diebacks and herbivore interactions.

Program Description

Experiment Station scientists develop integrated pest management methods that use fewer pesticides and are more economical and

acceptable to control noxious insect pests, invasive weeds, and plant parasitic nematodes. This requires an understanding of the life cycle of the pests and their diseases and predators. Scientists pursue methods that include the introduction of microbes, parasites and predators from other countries and the discovery and use of established biological and cultural controls.

Scientists are employing novel, tomographic technologies to quantify internal decay in living trees to gain a more accurate estimate of the importance of forests in carbon sequestration and their role in mitigating global climate change.

Research focuses on finding alternatives to pesticides for controlling parasitic nematodes, insects, weeds and plant pathogens that occur on plants or in the soil.

Scientists are studying the persistence of hazardous pollutants in soil and water and are developing new chemical methods to degrade them or detoxify them to environmentally safe by-products.

Forest health is measured by observing changes on natural and managed tracts located throughout the state. These studies allow for direct assessment of the effects of deer browsing, invasive species, insects, diseases, and a changing climate on the environment. Research linking invasive species to elevated risk of exposure to Lyme disease is a catalyst for enhanced management practices. New projects are increasing our knowledge and understanding of the

appropriate selection, location, and maintenance of trees in urban and suburban spaces to increase utility reliability, public safety, public health, environmental benefits, and reduce costs and risks for municipalities.

Research is carried out on the identification and control of invasive plants and harmful algae in lakes and ponds. Scientists also study threats to saltmarshes associated with climate change and rising sea levels by deciphering the role of associated pathogens, herbivores and the microbial shifts in the soils following dieback events.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Projected | Projected |
| Forest Acres Surveyed for Gypsy Moth | 1.8 Million | 1.8 Million | 1.8 Million | 1.8 Million |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 12 | 3 | 0 | 15 | 15 | 15 | 15 | 15 |
| Federal Funds | 8 | 0 | 0 | 8 | 8 | 8 | 8 | 8 |
| Private Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,262,477 | 1,359,235 | 1,361,937 | 1,361,937 | 1,361,937 | 1,361,937 | 1,415,916 | 1,415,916 |

Other Current Expenses

| | | | | | | | | |
|-----------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Wildlife Disease Prevention | 95,809 | 99,149 | 99,373 | 99,373 | 99,373 | 99,373 | 103,195 | 103,195 |
| Total-General Fund | 1,358,286 | 1,458,384 | 1,461,310 | 1,461,310 | 1,461,310 | 1,461,310 | 1,519,111 | 1,519,111 |

Other Funds Available

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 308,432 | 305,000 | 305,000 | 305,000 | 305,000 | 305,000 | 305,000 | 305,000 |
| Private Funds | 65 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 141 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 8,690 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Federal Contributions

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Null | 6,889 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 |
| 10001 Tick Management | 7,013 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10025 PLANT & ANIMAL DISEASE, PEST CONTROL, AND ANIMAL CARE | 222 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10025 Plant & Animal Disease, Pest Control | 108,304 | 110,000 | 110,000 | 110,000 | 110,000 | 110,000 | 110,000 | 110,000 |
| 10170 SPECIALTY CROP BLOCK GRANT PROGRAM - FARM BILL | -4,541 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 |
| 10202 Cooperative Forestry Research | 161,767 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| 10203 Paymt Ag Exp Sta Hatch Act | 383,769 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| 10303 Fire Blight | 1,591 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10303 Integrated Programs | 13,237 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| 10310 Agriculture and Food Research Initiative (AFRI) | 31,341 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 | 50,000 |

| | | | | | | | | |
|-------------------------------------------------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| 10310 Wastes and Biochar For Nutrients | 32,968 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 |
| 10664 COOPERATIVE FORESTRY ASSISTANCE | 4,451 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| 10680 FOREST HEALTH PROTECTION | 33,664 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| 12355 Tick Prevention | 26,915 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| 15611 WILDLIFE RESTORATION | 602 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 47048 Air Oxidation | 105,690 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 |
| 93323 Epidemiology and Lab Capacity For Infectious Diseases | 921 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 2,590,417 | 2,713,384 | 2,716,310 | 2,716,310 | 2,716,310 | 2,716,310 | 2,774,111 | 2,774,111 |

AGRICULTURE

Statutory Reference

C.G.S. Section 22-81.

Statement of Need and Program Objectives

To ensure a safe, ample and economical supply of nutritious food through scientific investigations designed to increase yields and produce nutritional quality.

To preserve agricultural uses of land in Connecticut by introducing high value crops and management strategies to suppress pests that reduce crop yield and quality.

Program Description

By maintaining full service plant and insect information clinics, scientists provide accurate diagnosis and identification of plant diseases and insect pests. By applying integrated pest management methods in orchards and fields, scientists seek less expensive and safer methods to discourage damage caused by insects and diseases. Plant pathologists conduct research to advance our understanding of disease mechanisms and develop novel approaches such as using biocontrol and RNA silencing technologies for management of pathogens of field, orchard and nursery crops. Innovative methods are being employed to promote soil and plant health and the

nutritional quality of harvests using prescribed fertilizers, earthworms, biochar, and compost to enhance the microflora in the rhizosphere to suppress soil-borne diseases and thus avoid the use of chemical pesticides. Work continues on improving organic farming practices and assisting towns and cities with the development of community gardens. Programs underway are designed to protect pollinators by studying the impact of diseases, pests and pesticides on honey and bumble bees. New investigations on the impact of nanoparticles and metallic oxides on plant productivity and nutritional quality have been initiated.

At its Griswold Research Center, Valley Laboratory, and Lockwood Farm, experiments are being conducted to identify new crops and management strategies that will benefit local consumers and farmers and preserve agricultural land.

Excessive fertilization on croplands and suburban lawns wastes money, promotes disease and insect damage, and contaminates surface and groundwater. Scientists develop more efficient fertilization methods by applying small amounts at critical times during the growing season and by testing different types of compost. They explore movement of fertilizer in the environment to document the ecological effects of applying fertilizer and manure.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Inquiries Answered (residents/commercial) | 15,709 | 16,000 | 16,000 | 16,000 |
| Seed Samples Tested | 392 | 400 | 400 | 400 |
| Hemp Samples Tested | 119 | 125 | 135 | 145 |
| Consumer Plant Samples Analyzed and Tested | 2,029 | 2,050 | 2,100 | 2,100 |
| Soil Tests Processed | 10,160 | 10,500 | 11,000 | 11,000 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 16 | 3 | 0 | 19 | 19 | 19 | 19 | 19 |
| Federal Funds | 10 | 0 | 0 | 10 | 10 | 10 | 10 | 10 |

| <i>Other Positions Equated to Full-Time</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|---------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 | 1 |

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,666,329 | 1,766,168 | 1,770,538 | 1,770,538 | 1,710,411 | 1,770,538 | 1,836,050 | 1,775,923 |
| Total-General Fund | 1,666,329 | 1,766,168 | 1,770,538 | 1,770,538 | 1,710,411 | 1,770,538 | 1,836,050 | 1,775,923 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 67,620 | 65,000 | 65,000 | 65,000 | 65,000 | 65,000 | 65,000 | 65,000 |
| Private Funds | 21,851 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 |
| Private Funds | 144,010 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| Private Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 2,602 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| Private Funds | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| <i>Federal Contributions</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Null | 115,270 | 110,000 | 110,000 | 110,000 | 110,000 | 110,000 | 110,000 | 110,000 |
| 10001 Tick Management | 271 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10025 Plant & Animal Disease, Pest Control, and Animal Care | 46,032 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| 10025 Plant & Animal Disease, Pest Control | 101 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10170 Fire Blight | 39,452 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| 10170 HOPS | 32,893 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| 10170 Hops as a Specialty Crop | 5,926 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| 10170 Overwintering Crops | 815 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10170 Peaches | 6,379 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| 10170 SPECIALTY CROP BLOCK GRANT PROGRAM - FARM BILL | 18,794 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 |
| 10170 Specialty Crop Pollinators | 14,388 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| 10200 Grants Agricultur Rsrch, Special Rsrch | 1,773 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 |
| 10202 Cooperative Forestry Research | 187,284 | 185,000 | 185,000 | 185,000 | 185,000 | 185,000 | 185,000 | 185,000 |
| 10203 Paymt Ag Exp Sta Hatch Act | 314,637 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 |
| 10303 Biofumigants | 14,912 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| 10303 Fire Blight | 162,101 | 175,000 | 175,000 | 175,000 | 175,000 | 175,000 | 175,000 | 175,000 |
| 10309 Specialty Crop Research Initiative | 515 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 |
| 10310 Agriculture and Food Research Initiative (AFRI) | 224,909 | 220,000 | 220,000 | 220,000 | 220,000 | 220,000 | 220,000 | 220,000 |
| 10680 FOREST HEALTH PROTECTION | 14,404 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| 10699 Partnership Agreements | 8,642 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| 47048 Air Oxidation | 3,533 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 99125 Bumble Bees | 30,673 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| Total - All Funds | 3,146,122 | 3,249,668 | 3,254,038 | 3,254,038 | 3,193,911 | 3,254,038 | 3,319,550 | 3,259,423 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 5,396,463 | 5,822,204 | 5,839,164 | 5,779,037 | 5,839,164 | 6,010,978 |
| Salaries & Wages-Temporary | 35,040 | 50,000 | 50,107 | 50,107 | 50,107 | 50,107 |
| Salaries & Wages-Part Time | 74,133 | 80,510 | 81,134 | 81,134 | 81,134 | 81,134 |
| Longevity Payments | 32,025 | 33,711 | 33,711 | 33,711 | 33,711 | 33,711 |
| Overtime | 1,634 | 3,000 | 3,050 | 3,050 | 3,050 | 3,050 |
| Accumulated Leave | 106,522 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 3,524 | 23,302 | 23,302 | 23,302 | 23,302 | 23,302 |
| TOTAL - Personal Services-Personal Services | 5,649,341 | 6,012,727 | 6,030,468 | 5,970,341 | 6,030,468 | 6,202,282 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Communications | 40,451 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| Electricity | 416,033 | 425,000 | 425,000 | 420,675 | 425,000 | 420,675 |
| Employee Expenses | 642 | 500 | 500 | 500 | 500 | 500 |
| Employee Travel | 281 | 0 | 0 | 0 | 0 | 0 |
| Equipment Rental and Maintenance | 43,551 | 45,000 | 45,000 | 45,000 | 45,000 | 45,000 |
| Food And Beverages | 13 | 0 | 0 | 0 | 0 | 0 |
| Information Technology | 29,516 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| Motor Vehicle Costs | 25,753 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| Natural Gas | 80,831 | 81,000 | 81,000 | 81,000 | 81,000 | 81,000 |
| Oil #2 | 10,827 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| Other Services | 15,460 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| Premises Expenses | 79,969 | 80,000 | 80,000 | 80,000 | 80,000 | 80,000 |
| Professional Services | 2,565 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 |
| Propane | 5,574 | 5,600 | 5,600 | 5,600 | 5,600 | 5,600 |
| Purchased Commodities | 58,562 | 49,432 | 49,432 | 49,432 | 49,432 | 49,432 |
| Sewer | 20,622 | 21,000 | 21,000 | 21,000 | 21,000 | 21,000 |
| Water | 34,381 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 |
| TOTAL-Other Expenses | 865,031 | 865,032 | 865,032 | 860,707 | 865,032 | 860,707 |
| | | | | | | |
| <i>Other Current Expenses</i> | | | | | | |
| Mosquito and Tick Disease Prevention | 488,832 | 522,880 | 673,699 | 673,699 | 673,699 | 689,985 |
| Wildlife Disease Prevention | 95,809 | 99,149 | 99,373 | 99,373 | 99,373 | 103,195 |
| TOTAL-Other Current Expenses | 584,641 | 622,029 | 773,072 | 773,072 | 773,072 | 793,180 |
| | | | | | | |
| Personal Services | 5,649,341 | 6,012,727 | 6,030,468 | 5,970,341 | 6,030,468 | 6,202,282 |
| Other Expenses | 865,031 | 865,032 | 865,032 | 860,707 | 865,032 | 860,707 |
| Other Current Expenses | 584,641 | 622,029 | 773,072 | 773,072 | 773,072 | 793,180 |
| TOTAL-General Fund | 7,099,013 | 7,499,788 | 7,668,572 | 7,604,120 | 7,668,572 | 7,856,169 |

DEPARTMENT OF PUBLIC HEALTH

AGENCY DESCRIPTION

The Department of Public Health (DPH) is responsible for protecting the health and safety of the people of Connecticut; actively working to prevent disease and promote wellness through planning, education and programs such as prenatal care, immunizations, AIDS awareness and nutrition supplements; monitoring and assuring response to public health emergencies, infectious diseases, environmental and

occupational health hazards; regulating health care providers such as health facilities, health professionals and emergency medical services; providing testing and monitoring support through the state laboratory, and collecting and analyzing health data to help plan future policy. DPH is also the repository for all birth, adoption, paternity, marriage and death certificates

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|
| • Reflect Anticipated Price Increases for Childhood Vaccines - Insurance Fund | 1,501,414 | 3,040,363 |
| • Reflect Impact of 27th Payroll During FY 2023 - General Fund | 0 | 1,400,912 |
| • Provide Funding for State Employee Wage Adjustments - General Fund | 350,688 | 350,688 |
| • Reflect Impact of 27th Payroll During FY 2023 - Insurance Fund | 0 | 45,440 |
| • Provide Funding for State Employee Wage Adjustments - Insurance Fund | 9,987 | 9,987 |
| • Adjust Fringe Benefits to Reflect Actual Rates - Insurance Fund | 8,391 | 8,391 |
| • Reflect Annualization of Minimum Wage Increase for Employees of Private Providers - General Fund | 2,826 | 5,641 |
| • Reflect Annualization of Minimum Wage Increase for Employees of Private Providers - Insurance Fund | 1,656 | 2,878 |
| • Adjust Funding for Newly Established Health and Human Services Network to Reflect Current Expenditure Trends | -100,000 | -100,000 |
| <i>\$250,000 was first appropriated in FY 2020 to allow the Department of Public Health to act as a fiduciary for a newly established Lesbian, Gay, Bisexual, Transgender and Queer Health and Human Services Network. This funding is intended to assist the Network with conducting a needs analysis concerning health and human services for LGBTQ persons, and awarding grants to organizations that assist in the mission of the Network. Of this amount, \$121,175 is currently obligated.</i> | | |

Reductions

| | FY 2022 | FY 2023 |
|---------------------------------|----------|----------|
| • Annualize FY 2021 Rescissions | -401,561 | -401,561 |

Reallocations

| | FY 2022 | FY 2023 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|
| • Transfer Funding for Mary Morrisson School Based Health Center from the Department of Social Services <i>Because the Department of Public Health (DPH) provides grants to other school based health centers, funding in FY 2020 and FY 2021 for the Mary Morrisson School Based Health Center was transferred from the Department of Social Services to DPH. Beginning in FY 2022, funds are reallocated to DPH.</i> | 125,000 | 125,000 |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services | -715,875 | -743,408 |

Revenue

| | FY 2022 | FY 2023 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------|
| • Continue and Streamline Safe Drinking Water Assessment <i>Public Act 19-117 authorized the collection of an assessment from water companies that own non-transient non-community public water systems and community public water systems through FY 2021. Assessment fees were established within the public act, based upon the classification and size of the owned system. Commencing in FY 2022, a streamlined assessment methodology will sustain the department's efforts to ensure continued administration of safe drinking water standards for public drinking water. Annual revenues of approximately \$2.2 million will be generated through this revised methodology.</i> | 0 | 0 |

Expansions

| | FY 2022 | FY 2023 | FY 2024 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------|---------|
| • Implement Certain Recommendations of PFAS Task Force <i>Funding is provided to support one Toxicologist, one Laboratory Consultant, one Chemist and one Environmental Analyst. These staff will provide toxicological expertise to assist with updating standards and action levels for</i> | 408,295 | 420,152 | 408,295 |

drinking water, review laboratories to become approved for PFAS testing, implement PFAS testing of drinking water at the state's public health laboratory, support testing of public water systems, and educate stakeholders to protect the public health from the impacts of PFAS in drinking water. Also recommended is \$100,000 for laboratory testing supplies.

- Support Cannabis Public Health Surveillance Activities 112,166 106,999 104,888
In anticipation of the implementation of regulation of adult use of cannabis in Connecticut, funding is provided for the following purposes: \$11,500 in FY 2022 to add a module of questions to the Behavior Risk Factor Surveillance System to obtain baseline data on marijuana use; \$50,000 in each year of the biennium to expand the capacity of a syndromic surveillance system to query and analyze marijuana-related morbidity data; and one Epidemiologist to monitor, analyze, compile and disseminate timely data.
- Ensure the Provision of Safe Drinking Water 110,548 114,800 110,548
Funding is provided to support one Engineer Intern to enhance response to drinking water issues in schools undergoing construction projects, and one Environmental Analyst to assist the department in its continued administration of safe drinking water standards for public drinking water.

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 372 | 109 | 0 | 481 | 481 | 480 | 481 | 480 |
| Insurance Fund | 8 | 1 | 0 | 9 | 9 | 9 | 9 | 9 |
| Federal Funds | 260 | 59 | 26 | 340 | 341 | 341 | 339 | 339 |
| Private Funds | 24 | 4 | 2 | 29 | 30 | 30 | 30 | 30 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 9 | 9 | 9 | 9 | 9 | 9 |

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Operational & Support Services | 21,246,692 | 66,773,329 | 64,724,343 | 64,724,343 | 63,606,907 | 50,904,869 | 50,893,181 | 49,748,212 |
| Environmental Health & Drinking Water | 19,961,128 | 15,000,222 | 15,052,105 | 15,052,105 | 15,403,111 | 15,281,513 | 15,276,203 | 15,640,709 |
| Healthcare Quality and Safety | 18,730,279 | 21,627,513 | 22,024,273 | 22,024,273 | 22,024,273 | 22,292,660 | 22,265,984 | 22,265,984 |
| Public Health Initiatives | 140,105,179 | 145,024,504 | 137,702,764 | 137,711,155 | 137,836,155 | 133,414,305 | 133,417,714 | 133,542,714 |
| Laboratory Services | 17,767,086 | 55,089,961 | 53,743,750 | 53,743,750 | 53,911,587 | 43,578,241 | 43,572,826 | 43,743,272 |
| Commissioner's Programs | 58,226,583 | 131,360,295 | 90,224,514 | 91,625,928 | 91,625,928 | 84,459,850 | 87,407,154 | 87,407,154 |
| Health Statistics and Surveillance | 6,069,728 | 48,741,578 | 48,620,492 | 48,620,492 | 48,732,658 | 37,817,090 | 37,812,888 | 37,919,887 |
| TOTAL Agency Programs | 282,106,675 | 483,617,402 | 432,092,241 | 433,502,046 | 433,140,619 | 387,748,528 | 390,645,950 | 390,267,932 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 58,518,686 | 60,010,853 | 61,864,367 | 61,764,367 | 61,402,940 | 63,341,041 | 63,168,094 | 62,790,076 |
| Insurance Fund | 64,855,464 | 72,671,053 | 72,682,696 | 74,192,501 | 74,192,501 | 72,707,743 | 75,778,112 | 75,778,112 |
| Federal Funds | 123,192,000 | 326,528,634 | 273,331,119 | 273,331,119 | 273,331,119 | 231,923,598 | 231,923,598 | 231,923,598 |
| Private Funds | 29,084,005 | 24,406,862 | 24,214,059 | 24,214,059 | 24,214,059 | 19,776,146 | 19,776,146 | 19,776,146 |
| Special Non-Appropriated Funds | 6,456,520 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 282,106,675 | 483,617,402 | 432,092,241 | 433,502,046 | 433,140,619 | 387,748,528 | 390,645,950 | 390,267,932 |

OPERATIONAL & SUPPORT SERVICES

Statutory Reference:

C.G.S. Sections 19a-2a, 25, 72 – 74, 131g, 200 et seq., and 240 et seq.

Statement of Need and Program Objectives

To protect and promote public health and safety by ensuring the provision of public health services at the local level, planning for and responding to public health emergencies and ensuring that department-wide administrative activities are coordinated and accomplished in an effective and efficient manner.

Program Description

The Contracts and Grants Management Section provides oversight and administration of approximately 518 contracts (annual funding of approximately \$151 million, with contractually obligated funding of approximately \$668 million); performs support services to the department in the following contracting areas: compliance with state and federal regulations, contract budget planning, training/education, fiscal oversight; provides agency grantees and their auditors a single point of contact for accounting and audit issues related to grants and contracts; and serves as a contract management liaison with the Office of Policy and Management, the Office of the Attorney General, and the Department of Administrative Services.

The Fiscal Services Section manages and administers budget planning, preparation, and monitoring of state, federal, private, and bond grant expenditures. The section manages approximately \$306 million in state, federal and private funding annually. It is also responsible for revenue collections of approximately \$47.7 million. Other related tasks include: revenue accounting, accounts payable/receivable and purchasing, including emphasis on procurement from small and minority-owned vendors; mail services, asset management and inventory control; payroll and fringe benefit administration; technical assistance to contracting

units within the department; and monitoring the final financial settlement of agency grants and contracts.

The Human Resources Section, prior to August 28, 2020, provided comprehensive personnel management to the department, including labor relations for seven bargaining units and managerial/confidential employees, recruitment, merit system administration, performance appraisal review, statistical personnel status reports, payroll, fringe benefit administration, classification work for appropriate job titles, and performance assessment and recognition system for managers. Human Resources staff, now centralized under the Department of Administrative Services, are responsible for developing and implementing strategic planning, organizational structure, position management, and performance management; advising agency leadership on key state employee issues; and coordinating services. Labor Relations staff, now centralized under the Office of Policy and Management, serve as onsite labor relations subject matter experts.

The Information Technology Section works both independently and in conjunction with the Department of Administrative Services' Bureau of Enterprise Systems and Technology (DAS/BEST) to provide the highest quality support services. Services are delivered through two divisions, Infrastructure and Development, which work closely together to provide information technology functionality and direction to the agency, and external entities having interfaces to DPH's internal systems. Responsibilities include strategic planning, maintaining critical agency infrastructure, providing platform services, operations services, networking services, application services and security services. In 2020, the Information Technology Section provided on-call support for field surveyors conducting infection control surveys for nursing homes, and helped build the IT infrastructure for the long term care facility active surveillance system, the Connecticut Travel Advisory, K-12 school COVID-19 test results submission, personal protective equipment requests and State Public Health Laboratory testing.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Number of state/federal/restricted accounts for which financial oversight provided | 175 | 180 | 180 | 180 |
| Number of personal computers supported | 1,300 | 1,300 | 1,300 | 1,300 |
| Number of remote Women, Infants & Children sites/computers supported | 23/300 | 23/300 | 23/300 | 23/300 |
| Number of information technology applications supported and managed | 107 | 107 | 107 | 107 |
| Number of contracts overseen and administered | 518 | 518 | 518 | 518 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 50 | 22 | 0 | 72 | 72 | 64 | 72 | 64 |
| Federal Funds | 26 | 7 | -4 | 25 | 26 | 26 | 26 | 26 |
| Private Funds | 4 | 2 | 0 | 6 | 6 | 6 | 6 | 6 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 5,788,652 | 4,794,719 | 5,171,184 | 5,171,184 | 4,091,839 | 5,377,511 | 5,365,823 | 4,258,945 |
| Other Expenses | 663,957 | 1,046,456 | 1,046,456 | 1,046,456 | 1,008,365 | 1,046,456 | 1,046,456 | 1,008,365 |
| Total-General Fund | 6,452,609 | 5,841,175 | 6,217,640 | 6,217,640 | 5,100,204 | 6,423,967 | 6,412,279 | 5,267,310 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 263,041 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 466,448 | 359,862 | 359,862 | 359,862 | 359,862 | 277,631 | 277,631 | 277,631 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10557 WIC Special Supplemental Food Program | 880,427 | 940,256 | 940,256 | 940,256 | 940,256 | 940,256 | 940,256 | 940,256 |
| 66468 Drinking Water Revolving Loan Program - Set Aside | 319,196 | 396,000 | 396,000 | 396,000 | 396,000 | 396,000 | 396,000 | 396,000 |
| 66701 Asbestos TSCA/OCM Program Grant Agreement | -13,946 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93069 Public Health Emergency Preparedness | 5,248,896 | 640,405 | 640,405 | 640,405 | 640,405 | 640,405 | 640,405 | 640,405 |
| 93069 Public Health Preparedness & Response for Bioterrorism | -22,463 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93070 Maint & Enh CT Envir Pub Hlth | 246,898 | 211,750 | 211,750 | 211,750 | 211,750 | 211,750 | 211,750 | 211,750 |
| 93110 MATERNAL AND CHILD HEALTH | 6,202 | 6,202 | 6,202 | 6,202 | 6,202 | 6,202 | 6,202 | 6,202 |
| 93130 Primary Care Svcs Resource Coord | 262 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93136 INJURY PREVENTN & CONTROL RESEARCH/STATE & COMMUNITY PROG | 206,591 | 693,887 | 422,569 | 422,569 | 422,569 | 422,569 | 422,569 | 422,569 |
| 93136 Rape Prevention and Education | 93 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93268 Immunization Grants | 167,844 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| 93268 To Prevent Disease, Disability | 5,295 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93323 ELC Enhancing Det COVID | 0 | 53,899,885 | 53,899,886 | 53,899,886 | 53,899,886 | 40,011,657 | 40,011,657 | 40,011,657 |
| 93323 Epidemiology and Lab Capacity For Infectious Diseases | 75,851 | 63,051 | 63,051 | 63,051 | 63,051 | 63,051 | 63,051 | 63,051 |
| 93336 CT Behavioral Risk BRFS | 9,861 | 9,000 | 14,000 | 14,000 | 14,000 | 14,000 | 14,000 | 14,000 |
| 93354 Public Health Crisis Response | 1,830,497 | 2,159,008 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93426 IMPROVING THE HEALTH OF AMERICANS | 2,565 | 2,700 | 2,700 | 2,700 | 2,700 | 2,700 | 2,700 | 2,700 |
| 93439 State Physical Activity and Nutrition (SPAN) | 4,106 | 4,300 | 4,300 | 4,300 | 4,300 | 4,300 | 4,300 | 4,300 |
| 93733 Capacity Building Assistance to Strengthen Public Health Imm | 15,965 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93758 Preventive Health and Health Services Block Grant | 4,010 | 0 | 4,500 | 4,500 | 4,500 | 4,500 | 4,500 | 4,500 |
| 93777 State Survey & Certification ofHealth | 91,234 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| 93778 MEDICAL ASSISTANCE PROGRAM | 169,929 | 138,661 | 138,661 | 138,661 | 138,661 | 138,661 | 138,661 | 138,661 |
| 93817 Hospital Preparedness Program (Hpp) Ebola Preparedness and R | 1,255,482 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93889 Bioterrorism Hospital Preparedness | 2,223,286 | 56,485 | 56,485 | 56,485 | 56,485 | 56,485 | 56,485 | 56,485 |
| 93889 NATIONAL BIOTERRORISM HOSPITAL PREPAREDNESS PROGRAM | 527,162 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|-----------------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| 93926 Healthy Start Initiative | 23,143 | 36,000 | 36,000 | 36,000 | 36,000 | 36,000 | 36,000 | 36,000 |
| 93940 Comprehensive HIV Prevention | 165,790 | 167,080 | 151,669 | 151,669 | 151,669 | 151,669 | 151,669 | 151,669 |
| 93994 Maternal & Child Health Services | 219,361 | 221,000 | 221,000 | 221,000 | 221,000 | 221,000 | 221,000 | 221,000 |
| 97067 Medical Preparedness and Response(DEMS) | 72,362 | 65,000 | 65,000 | 65,000 | 65,000 | 0 | 0 | 0 |
| 99125 Survey Epidemiology and End Results | 328,695 | 361,622 | 372,407 | 372,407 | 372,407 | 382,066 | 382,066 | 382,066 |
| Total - All Funds | 21,246,692 | 66,773,329 | 64,724,343 | 64,724,343 | 63,606,907 | 50,904,869 | 50,893,181 | 49,748,212 |

ENVIRONMENTAL HEALTH AND DRINKING WATER

Statutory Reference

C.G.S. Sections 16-261a and 262; 19a-2a, 14, 29a, 36, 310, 313, 320, 329–333; 20-341a - 341m, 358 - 366, 435 - 442, 474–482; 22a-430(g), 434a, 475 - 483, and 471; 25-32 TO 25-51., 40; 31-40a and 400.

Statement of Need and Program Objectives

To ensure the quality of environmental public health services through the development and enforcement of state and federal laws and regulations and promote the expansion or development of new services to meet consumer needs.

To ensure the purity and adequacy of Connecticut’s public drinking water through the development and enforcement of state and federal laws and regulations, thereby preventing waterborne illnesses and disease, and promoting the production and distribution of public drinking water at the highest attainable standard.

Program Description

The Environmental Health and Drinking Water Branch is responsible for implementing state and federal regulatory programs.

The Environmental Health Section is comprised of multiple programs that work closely with local health departments and sister agencies. Section responsibilities include initial licensure and regulatory oversight of 5,600 environmental health practitioners, the ongoing training and certification of 375 local health officials to inspect food service establishments, ongoing training and annual refresher courses for lead and asbestos professionals, and the training and certification of approximately 55 local health officials annually to review and approve subsurface sewage disposal systems. In addition to ensuring compliance with asbestos, lead, private well, sub-surface sewage disposal system, radon and food safety regulations and/or control strategies, and providing technical assistance to the workforce and others, the section also approves public mausoleums, columbaria, crematories, and private burial grounds.

If a child is found to be lead poisoned, an epidemiological investigation and an environmental inspection are conducted of the property where the child lives. DPH follows up to assist the local health director with abatement and additional follow-up measures as appropriate.

The Environmental Health Section is also responsible for approving large subsurface sewage disposal systems and proprietary subsurface sewage system components, issuing exceptions for central sewage systems, off-site sewage systems, and separating distance reductions

to water supply wells, and providing education and outreach to the estimated 867,000 people in Connecticut served by a private residential well. Public swimming pools and beach monitoring are also within the purview of the section; responsibilities include the approval of new public swimming pools and ensuring the safe use of swimming areas.

Staff within the section’s environmental and occupational health assessment program are responsible for assessing the risk of environmental and toxic hazards, the investigation of outbreaks or unusual occurrences of illness that may be related to environmental or occupational exposure and measures and providing technical assistance to the public and sister agencies.

The Drinking Water Section is responsible for the administration of all state and federal safe drinking water requirements. The section holds EPA primary enforcement powers and administers millions of dollars annually in low interest loans for drinking water infrastructure improvements through the Drinking Water State Revolving Fund, a program that since 1998 has loaned over \$360 million to address aging drinking water infrastructure and source water contamination. It assures the security, quality and adequacy of our state’s public drinking water. This is accomplished through certification, technical assistance, education, regulatory enforcement, and regional participation in drinking water forums. Over 2,500 entities provide drinking water to almost 2.8 million residents in Connecticut. Homeland security efforts and emergency response to drinking water issues are also coordinated.

Section staff annually inspect over 600 active public water suppliers, and review over 200 applications for water supply upgrades and improvements. Water quality concerns that impact human health are also addressed, including the challenges of emerging contaminants such as perflourinated compounds, and reemerging contaminations such as sodium, chloride and lead are part of the daily work and focus of the section. Cross-connection and watershed surveys are reviewed annually to ensure the protection of the water supply infrastructure and public drinking water sources, as well as to facilitate work with local town governments to address potential impacts to water quality and quantity. The section certifies and renews licenses to over 1,000 operators engaged in the operation, treatment and delivery of drinking water to the public. The section also oversees implementing and enforcing seventeen Safe Drinking Water Act rules, encompassing over 100 contaminants, including lead and copper.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Number of water supply well pollution assessments for septic system repair within sanitary protective radius | 135 | 140 | 135 | 135 |
| Number of technical assistance responses to questions about chemical exposures and environmental public health concerns from local health departments, state and federal regulatory agencies, community members and other stakeholders | 21 | 25 | 24 | 22 |
| Number of quantitative assessments of public health risks from exposure to hazardous chemicals at a waste site | 5 | 3 | 5 | 9 |
| Prevalence of lead poisoning (=5 µg/dL) among children under 6 years of age | 1.8% | 2.3% | 2.3% | 2.2% |
| Percentage of population served by community water systems in CT that provide drinking water that meets all applicable health standards | 99.3% | 98% | 98% | 98% |
| Percentage of community water systems monitoring for all health-based standards | 82% | 90% | 90% | 90% |
| Percentage of sanitary surveys conducted at community water systems where no significant deficiencies were identified | 81% | 85% | 85% | 85% |
| Percentage of significant deficiencies identified at community water systems that were corrected | 80% | 84% | 84% | 84% |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 58 | 14 | 0 | 72 | 72 | 77 | 72 | 77 |
| Federal Funds | 29 | 10 | 4 | 43 | 41 | 41 | 41 | 41 |
| Private Funds | 0 | 1 | 0 | 1 | 1 | 1 | 1 | 1 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested | Recommended |
| General Fund | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 5,233,677 | 5,876,488 | 5,937,143 | 5,937,143 | 6,288,149 | 6,166,551 | 6,161,241 | 6,525,747 |
| Other Expenses | 106,079 | 169,830 | 169,830 | 169,830 | 169,830 | 169,830 | 169,830 | 169,830 |
| Total-General Fund | 5,339,756 | 6,046,318 | 6,106,973 | 6,106,973 | 6,457,979 | 6,336,381 | 6,331,071 | 6,695,577 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Children's Health Initiatives | 270,195 | 270,196 | 270,196 | 270,196 | 270,196 | 270,196 | 270,196 | 270,196 |
| Total-Insurance Fund | 270,195 | 270,196 | 270,196 | 270,196 | 270,196 | 270,196 | 270,196 | 270,196 |

| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------|-----------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 58,747 | 75,239 | 68,945 | 68,945 | 68,945 | 68,945 | 68,945 | 68,945 |
| Private Funds | 15,777 | 23,636 | 23,636 | 23,636 | 23,636 | 23,636 | 23,636 | 23,636 |
| Private Funds | 425 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 6,456,520 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10559 Summer Food Svc Pgm For Children | 44,644 | 41,946 | 41,946 | 41,946 | 41,946 | 41,946 | 41,946 | 41,946 |
| 10578 WIC Special Project (RQNS) | -10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|--------------------------------------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| 14269 Community Development Blank G | 4,258 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 66032 State Indoor Radon Grants | 238,073 | 220,000 | 220,000 | 220,000 | 220,000 | 220,000 | 220,000 | 220,000 |
| 66204 Multipurpose Grants to States and Tribes | 69,722 | 70,104 | 70,104 | 70,104 | 70,104 | 70,104 | 70,104 | 70,104 |
| 66432 Water Supply Supervision Grant | 1,080,694 | 1,084,000 | 1,084,000 | 1,084,000 | 1,084,000 | 1,084,000 | 1,084,000 | 1,084,000 |
| 66468 Capitalization Grants For Drinking Water | 2,099,642 | 2,751,000 | 2,751,000 | 2,751,000 | 2,751,000 | 2,751,000 | 2,751,000 | 2,751,000 |
| 66468 Drinking Water Revolving Loan Program - Set Aside | 176,545 | 97,000 | 97,000 | 97,000 | 97,000 | 97,000 | 97,000 | 97,000 |
| 66468 DWSRF - 2% Technical Assistance Set-Aside | 135,058 | 220,220 | 220,220 | 220,220 | 220,220 | 220,220 | 220,220 | 220,220 |
| 66468 DWSRF Construction Subsidy | 1,425,844 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 |
| 66472 Beach Monitoring and Notificat | 41,801 | 55,382 | 44,382 | 44,382 | 44,382 | 44,382 | 44,382 | 44,382 |
| 66701 Asbestos TSCA/OCM Program Grant Agreement | 9,616 | 83,000 | 83,000 | 83,000 | 83,000 | 83,000 | 83,000 | 83,000 |
| 66701 TOXIC SUBSTANCES COMPLIANCE MONITORING | 49,088 | 104,000 | 104,000 | 104,000 | 104,000 | 104,000 | 104,000 | 104,000 |
| 66707 TSCA Title IV State Lead Grants Program | 311,383 | 266,000 | 266,000 | 266,000 | 266,000 | 266,000 | 266,000 | 266,000 |
| 93069 Public Health Emergency Preparedness | 352,538 | 418,501 | 418,501 | 418,501 | 418,501 | 418,501 | 418,501 | 418,501 |
| 93070 A Comprehensive Approach To Address Asthma | 425 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93070 Maint & Enh CT Envir Pub Hlth | 557,013 | 455,000 | 455,000 | 455,000 | 455,000 | 455,000 | 455,000 | 455,000 |
| 93070 Reduce Drinking Water Exposure | 129,926 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| 93103 Food and Drug Administration_Research | 62,227 | 69,415 | 69,415 | 69,415 | 69,415 | 69,415 | 69,415 | 69,415 |
| 93136 INJURY PREVENTN & CONTROL RESEARCH/STATE & COMMUNITY PROG | 72,321 | 441,029 | 511,133 | 511,133 | 511,133 | 511,133 | 511,133 | 511,133 |
| 93197 CHILDHOOD LEAD POISONING PREVENTION PROJ. - STATE/LOCAL | 294,564 | 448,705 | 448,705 | 448,705 | 448,705 | 448,705 | 448,705 | 448,705 |
| 93240 APPLETREE COVID19 | 0 | 61,582 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93240 State Capacity Building | 436,555 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93262 Occupational Health Expanded Surveillance | 150,497 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| 93268 Immunization Grants | 425 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93323 Epidemiology and Lab Capacity For Infectious Diseases | 9,562 | 7,949 | 7,949 | 7,949 | 7,949 | 7,949 | 7,949 | 7,949 |
| 93753 Child Lead Poisoning Prevention | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93777 State Survey & Certification ofHealth | -1,414 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93817 Hospital Preparedness Program (Hpp) Ebola Preparedness and R | 68,087 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93889 Bioterrorism Hospital Preparedness | 624 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 19,961,128 | 15,000,222 | 15,052,105 | 15,052,105 | 15,403,111 | 15,281,513 | 15,276,203 | 15,640,709 |

HEALTHCARE QUALITY AND SAFETY

Statutory Reference

C.G.S. Sections 19a-14, 17, 30, 88, 127I-n, 490 – 560; Chapters 369-381a, 383-388, and 398-399.

Statement of Need and Program Objectives

To protect the health and safety of the people of Connecticut through the regulatory oversight of health care professionals and facilities; and to ensure that regulatory oversight is fair, educational and transparent.

Program Description

Regulatory oversight of health care professionals, providers and facilities is consolidated in the Branch of Healthcare Quality and Safety. Oversight is accomplished via programs that regulate entry through licensure/certification; monitor compliance with applicable state and federal laws and regulations; investigate complaints, incidents and adverse events and implement disciplinary or corrective action against licensees who do not conform to established standards of care. The branch also provides educational programs and technical assistance to regulated professions and industries on emerging trends and best practices.

The Practitioner Licensing and Investigations Section licenses, certifies and registers over 230,000 health practitioners in more than 65 professions and investigates consumer complaints and other practice related issues involving these practitioners. The section receives and processes all licensure and renewal applications and administers examinations. Regulated health care practitioners include professions such as physicians, dentists, nurses, behavioral health practitioners, veterinarians, chiropractors, rehabilitation practitioners and podiatrists. The section is also responsible for inspecting funeral homes and optical shops, maintaining the Connecticut nurse aide registry and the physician profile program, inspecting and reviewing health professional education programs for nurses, nurse aides and barbers/hairdressers.

The Office of Legal Compliance provides prosecutorial, regulatory, and legislative support to the branch, as well as prosecutorial

support to the Environmental Health Section of the department. The office also processes criminal background checks annually for nursing home administrators and long-term care employees, as well as child day care providers.

The Office of Emergency Medical Services is responsible for strategic planning, regulatory and statutory oversight, as well as programmatic implementation of the emergency medical services (EMS) system in Connecticut. For EMS providers, this includes development of the educational framework for their training, licensing, and investigation in the case of a complaint against them for standard of care or other regulatory violations. For EMS organizations, this includes coordination of the overall EMS system through review and consideration of new EMS organizations and requested changes in services provided by current EMS organizations, oversight and analysis of EMS data, as well as investigation in the case of a complaint for regulatory violations that put the public’s health at risk.

The Facility Licensing and Investigations Section licenses upwards of 2,900 healthcare institutions in 28 different levels of care, including, but not limited to hospitals, nursing facilities, outpatient dialysis facilities, outpatient surgical facilities and behavioral health facilities; investigates approximately 1,500 consumer complaints regarding healthcare institutions annually; and conducts certification activities on behalf of the Centers for Medicare and Medicaid Services.

Program Measures

| | FY 2020 Actual | FY 2021 Estimated | FY 2022 Projected | FY 2023 Projected |
|------------------------------------------------------------------------------------------------------------------|-------------------|----------------------|----------------------|----------------------|
| Regulated practitioners: new/total licenses or certificates issued | 16,082/224,722 | 16,886/228,093 | 17,730/231,514 | 21,264/239,985 |
| Percentage of long term care facilities in substantial compliance with state and federal regulatory requirements | 98% | 98% | 98% | 98% |

Personnel Summary

| | FY 2020 Filled | FY 2020 Vacant | FY 2021 Change | FY 2021 Total | FY 2022 Requested | FY 2022 Recommended | FY 2023 Requested | FY 2023 Recommended |
|---------------|-------------------|-------------------|-------------------|------------------|----------------------|------------------------|----------------------|------------------------|
| General Fund | 117 | 35 | 0 | 152 | 152 | 152 | 152 | 152 |
| Federal Funds | 37 | 10 | -1 | 46 | 47 | 47 | 47 | 47 |
| Private Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

Other Positions Equated to Full-Time

| | FY 2020 Actual | FY 2021 Estimated | FY 2022 Requested | FY 2022 Recommended | FY 2023 Requested | FY 2023 Recommended |
|--------------|-------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| General Fund | 3 | 3 | 3 | 3 | 3 | 3 |

Financial Summary by Program

| | FY 2020 Actual | FY 2021 Estimated | FY 2022 Requested | FY 2022 Baseline | FY 2022 Recommended | FY 2023 Requested | FY 2023 Baseline | FY 2023 Recommended |
|--------------------|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 10,484,261 | 11,342,142 | 12,193,280 | 12,193,280 | 12,193,280 | 12,684,676 | 12,658,000 | 12,658,000 |
| Other Expenses | 499,890 | 490,245 | 490,245 | 490,245 | 490,245 | 490,245 | 490,245 | 490,245 |
| Total-General Fund | 10,984,151 | 11,832,387 | 12,683,525 | 12,683,525 | 12,683,525 | 13,174,921 | 13,148,245 | 13,148,245 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------------------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,011,310 | 1,254,614 | 1,254,614 | 1,254,614 | 1,254,614 | 1,254,614 | 1,254,614 | 1,254,614 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16838 Comprehensive Opioid Abuse Site-Based Program | 1,755 | 698,244 | 700,000 | 700,000 | 700,000 | 700,000 | 700,000 | 700,000 |
| 66432 Water Supply Supervision Grant | 14,854 | 120,000 | 120,000 | 120,000 | 120,000 | 120,000 | 120,000 | 120,000 |
| 66468 Capitalization Grants For Drinking Water | 105,902 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 66468 DWSRF - 2% Technical Assistance Set-Aside | 8,952 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93064 Clinical Lab Improvement Amendment Program | 140,697 | 159,678 | 159,678 | 159,678 | 159,678 | 159,678 | 159,678 | 159,678 |
| 93136 INJURY PREVENTN & CONTROL RESEARCH/STATE & COMMUNITY PROG | 123,544 | 566,774 | 345,159 | 345,159 | 345,159 | 345,159 | 345,159 | 345,159 |
| 93354 Public Health Crisis Response | 649,082 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93758 Preventive Health and Health Services Block Grant | 29,831 | 0 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| 93777 Medicare CARE Act | 0 | 626,822 | 362,303 | 362,303 | 362,303 | 139,294 | 139,294 | 139,294 |
| 93777 State Survey & Certification of Health | 5,660,201 | 6,368,994 | 6,368,994 | 6,368,994 | 6,368,994 | 6,368,994 | 6,368,994 | 6,368,994 |
| Total - All Funds | 18,730,279 | 21,627,513 | 22,024,273 | 22,024,273 | 22,024,273 | 22,292,660 | 22,265,984 | 22,265,984 |

PUBLIC HEALTH INITIATIVES

Statutory Reference

C.G.S. Sections 7-36 et seq.; 8-219e, 266 - 270a; 10-204a, 206, 206b; 17b-185, 808; 19a-2a, 7, 7a, 7d, 7f - h, 7j, 25, 36, 48 - 50, 53 - 55, 56a - b, 59, 59b - c, 61, 62a, 74, 110, 110a, 111a-b, 112a, 116, 121, 215 - 216, 250 - 269, 490(a), 581 - 585, and 593; 20-474 - 482; 45a-691; and 52-146k.

Statement of Need and Program Objectives

To enable data-based preventive health decision-making by collecting and analyzing data on the occurrence of potentially preventable and emerging diseases and associated risk factors. To enhance preparedness for and response to public health emergencies involving infectious diseases by development and analysis of special surveillance systems, development of a flexible emergency response capacity and participation in emergency response plans.

To promote health and prevent disease by lowering the financial barriers to care and improving access to primary and preventive health care services. To reduce preventable chronic diseases, injuries and infectious diseases through surveillance, outbreak investigation, outreach, immunizations, education, prevention and health treatment.

Program Description

The department improves and protects the health of Connecticut's residents through promotion of primary and preventive health care at every stage of life; education of individuals and target population groups to make choices in diet, exercise and personal protective measures that enhance health, promote wellness, and reduce risk of injury and preventable disease; collection of data to assess chronic

and infectious disease and injury risk factors and monitor trends to improve individual and population health; disease surveillance and linked intervention activities such as patient counseling, public education, provision of vaccines or medicines, and organization of special clinics; and planning and capacity development for emerging disease problems and possible bioterrorist threats such as anthrax or smallpox.

The Community, Family Health and Prevention Section oversees programs and conducts surveillance activities to inform policy development, address population health, and promote healthier lifestyles including physical activity, better nutrition, avoidance of behavioral risks and education on chronic disease self-management and prevention throughout the life course (including pregnant women; mothers and infants; children and adolescents, and children and youth with special health care needs). Programs include: the Office of Oral Health; case management for pregnant women and teens; children and youth with special health care needs (medical homes, respite and extended services); family planning; health access programs; early hearing detection and intervention; maternal mortality review; the Office of Injury Prevention; intimate partner violence; primary care office; school based health centers; sexual violence and rape prevention education; sickle cell disease outreach and support; epidemiology unit; asthma, breast and cervical cancer, colorectal cancer, diabetes, heart disease/stroke, nutrition/physical activity/obesity, tobacco, genomics; and the Women, Infants & Children (WIC) Program.

The Office of Local Health Administration (OLHA) serves as the primary interface between DPH and Connecticut's local health departments and districts (LHDs). Responsibilities include advising the

commissioner on the approval of appointments of local directors of health and acting directors of health; administering per capita grants-in-aid for LHDs; and providing technical assistance and consultation to DPH programs, local health directors, local officials and residents on local public health issues. The office also provides guidance to local health departments to become full-time health departments if they are part-time, and to form and/or join other health districts.

The Office of Public Health Preparedness and Response (OPHPR) is responsible for the design, development and implementation of public health emergency plans and initiatives. The OPHPR ensures compliance with state and federal mandates with respect to public health preparedness and is responsible for identifying and securing grants in support of these initiatives. It provides incident command, operational management of DPH and coordination with strategic partners in emergency situations. The office also coordinates the Centers for Disease Control and Prevention Public Health Emergency Preparedness effort, statewide Medical Reserve Corps and the

Assistant Secretary of Preparedness and Response Hospital Preparedness Program. In addition, the OPHPR oversees the mobile field hospital project, including directing deployments when required on a statewide basis

The HIV, STD, TB and Viral Hepatitis Section encompasses surveillance and prevention programs for these infectious diseases, including outbreak detection and investigation; and planning for the prevention of further disease transmission by connecting those burdened by disease with medication, medical case management and confidential partner services. Areas of focus include the prevention of perinatal infectious diseases; operation of the Connecticut AIDS Drug Assistance Program; provision of harm reduction services with syringe service providers; and to identify, prevent and promote health education for human immunodeficiency virus, hepatitis, sexually transmitted diseases and tuberculosis.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Percentage of prescribers registered with Prescription Drug Monitoring Program | 90.8% | 93% | 95% | 97% |
| Number of patients served by community health centers | 398,000 | 400,000 | 405,000 | 420,000 |
| Number of students participating in community level sexual violence prevention training sessions | 897 | 880 | 970 | 1,065 |
| Number of children and youth with special health care needs and their families served through the CT Medical Home initiative | 8,400 | 8,500 | 8,550 | 8,600 |
| Number of eligible clients having access to and receiving reproductive health care services | 38,173 | 39,000 | 39,500 | 40,000 |
| Percentage of clients having preventive reproductive health exam that receive breast and cervical cancer screening | 97% | 98% | 99% | 100% |
| Number of school based health center clients having preventive reproductive health exam that receive prevalent bacterial STD/chlamydia screening | 2,112 | 1,796 | 2,100 | 2,200 |
| Percentage of female clients receiving a reproductive health exam that participate in the development of a reproductive life plan | 97% | 98% | 100% | 100% |
| Number of HIV test events conducted by funded HIV testing agencies | 30,000 | 30,000 | 30,000 | 30,000 |
| Percentage of all funded HIV tests conducted in non-healthcare settings associated with persons at risk for HIV infection | 80% | 85% | 90% | 95% |
| Percentage of persons who test positive for HIV that receive their test results | 100% | 100% | 100% | 100% |
| Percentage of persons with newly diagnosed HIV infection identified through funded HIV testing and partner services linked to HIV medical care within 30 days after diagnosis | 85% | 90% | 95% | 98% |
| Percentage of persons who receive HIV positive test results interviewed by HIV partner services | 90% | 95% | 100% | 100% |
| Number of Mobile Field Surge Hospital 100-bed/training deployments | 5/1 | 4/2 | 4/3 | 4/4 |
| Percentage of population receiving full-time local health services | 97% | 97% | 98% | 98% |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 32 | 14 | 0 | 46 | 46 | 46 | 46 | 46 |
| Insurance Fund | 5 | 1 | 0 | 6 | 6 | 6 | 6 | 6 |
| Federal Funds | 119 | 26 | -38 | 106 | 106 | 106 | 106 | 106 |
| Private Funds | 15 | 2 | 2 | 18 | 18 | 18 | 18 | 18 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|-----------|
| Other Positions Equated to Full-Time | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 | 1 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 3,482,628 | 3,364,818 | 3,394,831 | 3,394,831 | 3,394,831 | 3,532,348 | 3,521,148 | 3,521,148 |
| Other Expenses | 153,177 | 145,907 | 145,907 | 145,907 | 145,907 | 145,907 | 145,907 | 145,907 |

Pmts to Other Than Local Govts

| | | | | | | | | |
|---------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Community Health Services | 1,481,549 | 1,486,753 | 1,486,753 | 1,486,753 | 1,486,753 | 1,486,753 | 1,486,753 | 1,486,753 |
| Rape Crisis | 548,128 | 548,128 | 548,128 | 548,128 | 548,128 | 548,128 | 548,128 | 548,128 |
| Total--Pmts to Other Than Local Govts | 2,029,677 | 2,034,881 | 2,034,881 | 2,034,881 | 2,034,881 | 2,034,881 | 2,034,881 | 2,034,881 |

Pmts to Local Governments

| | | | | | | | | |
|------------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Local and District Departments of Health | 4,210,499 | 4,210,499 | 4,210,499 | 4,210,499 | 4,210,499 | 4,210,499 | 4,210,499 | 4,210,499 |
| School Based Health Clinics | 10,545,428 | 10,550,187 | 10,553,013 | 10,553,013 | 10,678,013 | 10,555,828 | 10,555,828 | 10,680,828 |
| Pmts to Local Governments | 14,755,927 | 14,760,686 | 14,763,512 | 14,763,512 | 14,888,512 | 14,766,327 | 14,766,327 | 14,891,327 |
| Total-General Fund | 20,421,409 | 20,306,292 | 20,339,131 | 20,339,131 | 20,464,131 | 20,479,463 | 20,468,263 | 20,593,263 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

Other Current Expenses

| | | | | | | | | |
|----------------------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Needle and Syringe Exchange Program | 447,180 | 460,741 | 460,741 | 460,741 | 460,741 | 460,741 | 460,741 | 460,741 |
| Children's Health Initiatives | 2,022,371 | 2,119,057 | 2,123,589 | 2,127,038 | 2,127,038 | 2,132,753 | 2,144,643 | 2,144,643 |
| AIDS Services | 4,860,369 | 4,987,064 | 4,987,064 | 4,987,064 | 4,987,064 | 4,987,064 | 4,987,064 | 4,987,064 |
| Breast and Cervical Cancer Detection and Treatment | 2,065,512 | 2,189,256 | 2,191,304 | 2,193,048 | 2,193,048 | 2,198,055 | 2,205,486 | 2,205,486 |
| Immunization Services | 0 | 0 | 0 | 3,198 | 3,198 | 7,910 | 3,198 | 3,198 |
| Total--Other Current Expenses | 9,395,432 | 9,756,118 | 9,762,698 | 9,771,089 | 9,771,089 | 9,786,523 | 9,801,132 | 9,801,132 |

Pmts to Other Than Local Govts

| | | | | | | | | |
|---------------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| X-Ray Screening and Tuberculosis Care | 776,370 | 965,148 | 966,804 | 966,804 | 966,804 | 968,026 | 968,026 | 968,026 |
|---------------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|

Pmts to Local Governments

| | | | | | | | | |
|--------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Venereal Disease Control | 179,998 | 197,341 | 197,341 | 197,341 | 197,341 | 197,341 | 197,341 | 197,341 |
| Total-Insurance Fund | 10,351,800 | 10,918,607 | 10,926,843 | 10,935,234 | 10,935,234 | 10,951,890 | 10,966,499 | 10,966,499 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 2,274,738 | 3,492,815 | 3,401,025 | 3,401,025 | 3,401,025 | 3,401,025 | 3,401,025 | 3,401,025 |
| Private Funds | 0 | 2,770 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 24,322,244 | 18,764,476 | 18,764,476 | 18,764,476 | 18,764,476 | 14,476,690 | 14,476,690 | 14,476,690 |
| Private Funds | 240,112 | 83,000 | 0 | 0 | 0 | 0 | 0 | 0 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

| | | | | | | | | |
|---------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| | -3,599 | 7,578 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10557 Local Agcy Tech Asst Fund | 405,252 | 477,463 | 498,948 | 498,948 | 498,948 | 523,896 | 523,896 | 523,896 |

| | | | | | | | | |
|-----------------------------------------------------------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| 10557 WIC Special Supplemental Food Program | 37,506,804 | 38,268,774 | 38,268,774 | 38,268,774 | 38,268,774 | 38,268,774 | 38,268,774 | 38,268,774 |
| 10561 State Admin Match Grt Food Stamp | 84,555 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10561 STATE ADMINISTRATIVE MATCHING GRANTS FOR THE SUPPLEMENTAL NU | 774,290 | 715,901 | 715,901 | 715,901 | 715,901 | 715,901 | 715,901 | 715,901 |
| 10578 WIC Infrastructure Enhancement Grant | 50,000 | 202,500 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10578 WIC Special Project (RQNS) | 4,300 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 66032 State Indoor Radon Grants | 107 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93069 Public Health Emergency Preparedness | 341,689 | 4,373,209 | 4,373,209 | 4,373,209 | 4,373,209 | 4,373,209 | 4,373,209 | 4,373,209 |
| 93069 Public Health Preparedness & Response for Bioterrorism | 47,495 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93070 A Comprehensive Approach To Address Asthma | 520,892 | 562,500 | 562,500 | 562,500 | 562,500 | 562,500 | 562,500 | 562,500 |
| 93070 Maint & Enh CT Envir Pub Hlth | 8,338 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93092 Personal Responsibility Educat | 566,311 | 570,000 | 570,000 | 570,000 | 570,000 | 570,000 | 570,000 | 570,000 |
| 93110 Maternal & Child Health | 123,981 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 |
| 93110 Maternal and Child Health | -6,921 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93116 Grants For Tuberculosis Control Programs | 607,811 | 608,000 | 608,000 | 608,000 | 608,000 | 608,000 | 608,000 | 608,000 |
| 93130 Primary Care Svcs Resource Coord | 4,161 | 4,161 | 4,161 | 4,161 | 4,161 | 4,161 | 4,161 | 4,161 |
| 93136 Enhanced State Surveillance of Opioid-Involved Morbidity and Mortality | 84,282 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93136 INJURY PREVENTN & CONTROL RESEARCH/STATE & COMMUNITY PROG | 2,747,330 | 8,098,746 | 5,019,894 | 5,019,894 | 5,019,894 | 5,019,894 | 5,019,894 | 5,019,894 |
| 93136 Rape COVID 19 Prevention | 0 | 38,322 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93136 Rape Prevention and Education | 424,915 | 737,890 | 723,656 | 723,656 | 723,656 | 723,656 | 723,656 | 723,656 |
| 93236 Oral Health Workforce | 113,780 | 631,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| 93243 Elm City Project Launch | 9,645 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93251 Universal Newborn Hearing Screening | 241,864 | 235,000 | 235,000 | 235,000 | 235,000 | 235,000 | 235,000 | 235,000 |
| 93268 Immunization Grants | 4,027,079 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93268 To Prevent Disease, Disability | 232,511 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93270 Viral Hepatitis Prevention and Surveillance | 133,876 | 378,579 | 135,000 | 135,000 | 135,000 | 135,000 | 135,000 | 135,000 |
| 93283 Advance Cancer Genomic | 106,717 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93283 CDC - Investigations and Technical Assistance | 34,670 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93305 National State Based Tobacco Control Programs | 664,507 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93314 CDC Statewide Early Hearing Screening, Diagnosis and Intervention Electronic Data | 10,474 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93317 CT EIP Non-PPHF CFDA 93.317 | 3,505,323 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93323 ELC Enhancing Det COVID | 0 | 741,267 | 741,267 | 741,267 | 741,267 | 550,267 | 550,267 | 550,267 |
| 93323 EPIDEMIOLOGY AND LAB CAPACITY FOR INFECTIOUS DISEASES | 2,862,723 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93336 CT Behavioral Risk BRFSS | 1,172 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93354 Public Health Crisis Response | 1,130,767 | 2,529,097 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93366 State Actions to Improve Oral Health Outcomes | 360,138 | 700,000 | 570,000 | 570,000 | 570,000 | 570,000 | 570,000 | 570,000 |
| 93387 CT Tobacco Control Program | 0 | 850,841 | 850,841 | 850,841 | 850,841 | 850,841 | 850,841 | 850,841 |

| | | | | | | | | |
|--------------------------------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| 93426 IMPROVING THE HEALTH OF AMERICANS | 1,400,391 | 1,430,000 | 1,430,000 | 1,430,000 | 1,430,000 | 1,430,000 | 1,430,000 | 1,430,000 |
| 93436 WELL-INTEGRATED SCREENING AND EVALUATION FOR WOMEN ACROSS TH | 720,787 | 695,000 | 695,000 | 695,000 | 695,000 | 695,000 | 695,000 | 695,000 |
| 93439 State Physical Activity and Nutrition (SPAN) | 1,108,993 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 |
| 93478 Preventing Maternal Deaths: Supporting Maternal Mortality Re | 105,557 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 |
| 93521 ELC PPHF | 268,919 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93521 The Affordable Care Act | -282 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93524 Assess Practices-Labs-FoodNet | 0 | 1,334 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93566 Refugee and Entrant Assistance - State | 20,283 | 52,240 | 94,982 | 94,982 | 94,982 | 94,982 | 94,982 | 94,982 |
| 93576 Refugee Preventive Health | 73,853 | 80,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93624 CT State Innovation Model | 534 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93733 Capacity Building Assistance to Strengthen Public Health Imm | 202,330 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93735 Prevention and Public Health Funds | 292,400 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93758 Preventive Health and Health Services Block Grant | 1,781,953 | 0 | 1,902,448 | 1,902,448 | 1,902,448 | 1,902,448 | 1,902,448 | 1,902,448 |
| 93778 MEDICAL ASSISTANCE PROGRAM | 613,097 | 500,330 | 500,330 | 500,330 | 500,330 | 500,330 | 500,330 | 500,330 |
| 93788 Opioid STR | 374,608 | 5,392 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93815 Domestic Ebola Supplement to E | 171,112 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93889 Bioterrorism Hospital Preparedness | 0 | 2,204,538 | 2,204,538 | 2,204,538 | 2,204,538 | 2,204,538 | 2,204,538 | 2,204,538 |
| 93889 NATIONAL BIOTERRORISM HOSPITAL PREPAREDNESS PROGRAM | 0 | 1,797,010 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93898 NCCCP & Prog Management (MLC) | 241,642 | 240,700 | 240,700 | 240,700 | 240,700 | 240,700 | 240,700 | 240,700 |
| 93917 Hiv Care Formula Grants | 6,249,628 | 10,789,881 | 10,789,881 | 10,789,881 | 10,789,881 | 10,789,881 | 10,789,881 | 10,789,881 |
| 93917 Part B COVID-19 | 0 | 203,981 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93919 Cooperative Agreements For State-Based | 1,206,010 | 1,210,000 | 1,210,000 | 1,210,000 | 1,210,000 | 1,210,000 | 1,210,000 | 1,210,000 |
| 93926 Healthy Start Initiative | 1,339,792 | 1,108,121 | 1,108,121 | 1,108,121 | 1,108,121 | 1,108,121 | 1,108,121 | 1,108,121 |
| 93928 Special Projects of National Significance | -18,668 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93940 Comprehensive HIV Prevention | 4,443,577 | 4,478,146 | 4,065,095 | 4,065,095 | 4,065,095 | 4,065,095 | 4,065,095 | 4,065,095 |
| 93943 Project CoRECT | 84,509 | 176,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93946 Cooperative Agreements to Support Stat | 147,766 | 158,000 | 158,000 | 158,000 | 158,000 | 158,000 | 158,000 | 158,000 |
| 93977 Preventive Health Services Sexually Tr | 654,792 | 769,543 | 769,543 | 769,543 | 769,543 | 769,543 | 769,543 | 769,543 |
| 93994 Maternal & Child Health Services | 3,283,954 | 3,400,500 | 3,400,500 | 3,400,500 | 3,400,500 | 3,400,500 | 3,400,500 | 3,400,500 |
| 99125 Survey Epidemiology and End Results | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 140,105,179 | 145,024,504 | 137,702,764 | 137,711,155 | 137,836,155 | 133,414,305 | 133,417,714 | 133,542,714 |

LABORATORY SERVICES

Statutory Reference

C.G.S. Sections 19a-26, 29, 55, 55a, 111a-b; and 25-40.

Statement of Need and Program Objectives

To protect the health and safety of Connecticut residents by: providing high quality, timely and cost-effective laboratory services for detection of infectious disease agents, newborn genetic, metabolic, and endocrine disorders, and environmental chemicals and toxins; and by providing testing data to health and environmental agencies for disease prevention and control and for environmental remediation.

Program Description

The State Public Health Laboratory (SPHL) supports the needs of all communities in the state by analyzing clinical specimens and environmental samples submitted by local health departments, state and federal agencies, the health care community and water utilities. Test results and analytical data are used to monitor for agents harmful to the public, to identify the cause of outbreaks, and to assure that control measures are effective. The SPHL provides well over 2 million test results on approximately 150,000 specimens and samples that it receives each year. Analytical data are used to monitor for agents harmful to the public health, identify the cause of outbreaks, and assure that control measures (e.g., vaccines, antibiotics, environmental remediation) are effective.

The SPHL provide tests for infectious agents in humans, animals, food and water and provides reference testing in support of epidemiological surveillance and outbreak investigations using advanced molecular testing methodologies. Laboratory services include testing for infectious disease agents, such as tuberculosis, influenza, norovirus, sexually transmitted diseases, blood parasites, and viral diseases transmitted by mosquitoes and ticks. It also provides testing for rabies virus, DNA fingerprinting of food-borne

pathogens, testing for highly drug resistant pathogens, and testing of all Connecticut newborns for the presence of 64 inherited disorders that cause severe mental and/or physical illnesses. The laboratory provides blood lead testing for uninsured and underinsured children. It is designated as the state's biological emergency response laboratory, is certified to analyze samples for biological threat agents, and participates in the National Laboratory Response Network. The laboratory provides identification services for "white powder" events for state and federal law enforcement.

The SPHL also tests for over 100 toxic chemicals in housing and schools, public drinking water supplies and private wells; in rivers, lakes and streams; in wastewater and soils; and in consumer products and other materials where there is potential human exposure. It also provides analytical support by testing environmental samples collected for investigations involving elevated blood lead levels in children. The laboratory tests environmental samples such as paint chips, folk medicines and other environmental sources implicated in exposures of children with elevated levels of lead in blood.

Other services include monitoring of the nuclear power industry, serving on the state's nuclear response team, and maintaining preparedness and capabilities to respond to radiation or other toxins in food or environmental samples. The laboratory is designated as the state's chemical emergency response laboratory to provide testing of human clinical specimens in the event of an incident involving chemical threat agents.

The laboratory has started a significant upgrade to its information management system that has the capability to allow for a client to view their data on-line and download final results.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|--------------|----------------|--------------|
| | Actual | Estimated | Projected | Projected |
| Number of newborn specimens screened for genetic, metabolic, and endocrine disorders | 37,391 | 35,694 | 36,043 | 37,500 |
| Laboratory testing quality: number of external accreditations earned/percentage correct on proficiency performance/number of proficiency tests performed | 11/98.8%/2,100 | 11/99%/2,100 | 11/99.5%/2,300 | 11/99%/2,300 |
| Number of environmental test procedures for chemicals and toxins | 27,359 | 22,780 | 23,847 | 24,000 |
| Number of specimens and samples tested | 146,530 | 134,514 | 143,510 | 150,000 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 67 | 13 | 0 | 80 | 80 | 81 | 80 | 81 |
| Federal Funds | 22 | 1 | 17 | 39 | 39 | 39 | 39 | 39 |
| Private Funds | 4 | 0 | 0 | 4 | 4 | 4 | 4 | 4 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 4,936,116 | 4,998,884 | 5,252,982 | 5,252,982 | 5,320,819 | 5,456,182 | 5,450,767 | 5,521,213 |
| Other Expenses | 6,098,705 | 5,459,821 | 5,309,821 | 5,309,821 | 5,409,821 | 5,309,821 | 5,309,821 | 5,409,821 |
| Total-General Fund | 11,034,821 | 10,458,705 | 10,562,803 | 10,562,803 | 10,730,640 | 10,766,003 | 10,760,588 | 10,931,034 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Children's Health Initiatives | 599,177 | 599,177 | 599,177 | 599,177 | 599,177 | 599,177 | 599,177 | 599,177 |
| Total-Insurance Fund | 599,177 | 599,177 | 599,177 | 599,177 | 599,177 | 599,177 | 599,177 | 599,177 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 2,500 | 124 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 357,604 | 275,890 | 275,890 | 275,890 | 275,890 | 212,847 | 212,847 | 212,847 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10479 FSIS FERN Cooperative Agreement Program | 113,511 | 80,000 | 80,000 | 80,000 | 80,000 | 80,000 | 80,000 | 80,000 |
| 66432 Water Supply Supervision Grant | 146,915 | 160,000 | 160,000 | 160,000 | 160,000 | 160,000 | 160,000 | 160,000 |
| 66444 Lead Testing in School and Child Care Program Drinking Water | 135,748 | 695,252 | 415,500 | 415,500 | 415,500 | 415,500 | 415,500 | 415,500 |
| 66472 Beach Monitoring and Notificat | 28,317 | 154,618 | 144,618 | 144,618 | 144,618 | 144,618 | 144,618 | 144,618 |
| 93069 Public Health Emergency Preparedness | 1,588,855 | 1,349,727 | 1,349,727 | 1,349,727 | 1,349,727 | 1,349,727 | 1,349,727 | 1,349,727 |
| 93069 Public Health Preparedness & Response for Bioterrorism | 37,656 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93103 Food & Drug Administration Research | 145,185 | 145,000 | 145,000 | 145,000 | 145,000 | 145,000 | 145,000 | 145,000 |
| 93103 Food and Drug Administration_Research | 60,726 | 199,422 | 199,422 | 199,422 | 199,422 | 199,422 | 199,422 | 199,422 |
| 93110 MATERNAL AND CHILD HEALTH | 35,238 | 70,134 | 143,798 | 143,798 | 143,798 | 143,798 | 143,798 | 143,798 |
| 93116 Grants For Tuberculosis Control Programs | 58,271 | 60,000 | 60,000 | 60,000 | 60,000 | 60,000 | 60,000 | 60,000 |
| 93136 Enhanced State Surveillance of Opioid-Involved Morbidity and Mortality | 26,645 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93136 INJURY PREVENTN & CONTROL RESEARCH/STATE & COMMUNITY PROG | 92,755 | 425,527 | 259,141 | 259,141 | 259,141 | 259,141 | 259,141 | 259,141 |
| 93283 Advance Cancer Genomic | 10,016 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93317 CT EIP Non-PPHF CFDA 93.317 | 330,083 | 1,105,681 | 1,105,681 | 1,105,681 | 1,105,681 | 1,105,681 | 1,105,681 | 1,105,681 |
| 93323 ELC Enhancing Det COVID | 0 | 35,071,956 | 35,071,957 | 35,071,957 | 35,071,957 | 26,035,066 | 26,035,066 | 26,035,066 |
| 93323 EPIDEMIOLOGY AND LAB CAPACITY FOR INFECTIOUS DISEASES | 1,431,354 | 2,433,459 | 2,433,459 | 2,433,459 | 2,433,459 | 1,164,684 | 1,164,684 | 1,164,684 |
| 93354 Public Health Crisis Response | 796,175 | 1,041,680 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93940 Comprehensive HIV Prevention | 280,046 | 282,225 | 256,193 | 256,193 | 256,193 | 256,193 | 256,193 | 256,193 |
| 93977 Preventive Health Services Sexually Tr | 24,456 | 57,884 | 57,884 | 57,884 | 57,884 | 57,884 | 57,884 | 57,884 |
| 93994 Maternal & Child Health Services | 431,032 | 423,500 | 423,500 | 423,500 | 423,500 | 423,500 | 423,500 | 423,500 |
| Total - All Funds | 17,767,086 | 55,089,961 | 53,743,750 | 53,743,750 | 53,911,587 | 43,578,241 | 43,572,826 | 43,743,272 |

COMMISSIONER'S PROGRAMS

Statutory Reference

C.G.S. Sections 4-61u; 4a-60, 60a and 61; 5-277; 19a-1a, 1d, 2a, 4 j - k, 7, 7a, 14, 25, 127k, 127l, 178, 200 et seq., 240 et seq., and 634; 46b-20 et seq.; 28-15; 46a-51 to 125; 53- et seq.; 53a-181j to 181i and 54-85b et seq.

Statement of Need and Program Objectives

To establish program direction and ensure conformance with overall agency policy.

Program Description

Various offices provide support to the agency's major programs.

The Office of the Commissioner establishes program direction and ensures conformance with overall agency policy. In 2020, the Governor implemented the Unified Command Structure and the Office of the Commissioner coordinated all aspects of the agency's response to the COVID-19 pandemic.

The Affirmative Action Office facilitates state-mandated sexual harassment prevention and diversity trainings; investigates discrimination complaints; monitors agency selection and business practices for compliance with state/federal regulations and laws; and compiles an annual Affirmative Action Plan.

The Communications Office provides a full range of communication activities that serve the department and its stakeholders. Key functions include public information, freedom of information, media and community relations, marketing communications, issues management and public affairs, internet services, internal communications, and crisis and emergency risk communications.

The Government Relations Office is responsible for legislative and regulatory information and referral activities, including the implementation of strategies to achieve the goals of the department's legislative agenda. The office tracks and analyzes public health-related legislation, ensures the implementation of approved legislation and coordinates the development of regulations.

The Legal Office and Public Health Hearing Office preside over hearings and render decisions in cases concerning: individual healthcare providers who are not overseen by licensing boards; orders issued by local health directors; orders concerning the Women, Infants and Children's (WIC) Program; facility licensees; voluntary and

involuntary transfers of water companies; orders issued to water companies; involuntary discharges from long-term care facilities; reporting adverse actions to federally mandated databases; and conducting need for new or expanded service hearings concerning emergency medical service providers. The Legal Office also investigates, responds to, and represents the department in Commission on Human Rights and Opportunities proceedings; provides legal and administrative support for fourteen professional licensing boards; provides legal guidance on ethics questions and other legal issues; and ensures compliance with the Health Insurance Portability and Accountability Act of 1996 (HIPAA).

The Infectious Diseases Section encompasses surveillance programs for emerging infections and more than fifty acute communicable diseases, including conditions potentially associated with bioterrorism; outbreak detection and investigation; planning for the public health response to infectious disease emergencies; and programs for the prevention of perinatal infectious diseases, vaccine-preventable diseases, human immunodeficiency virus (HIV), hepatitis, sexually transmitted diseases and tuberculosis.

The Office of Public Health Systems Improvement (PHSI) manages, coordinates and supports organization-wide and multi-sector activities that result in measurable improvements in public health structures, systems and outcomes. After successfully managing the agency's national public health accreditation board effort, PHSI ensures that the agency maintains standards required to keep its accreditation. PHSI also leads statewide assessment, planning and performance improvement activities through coordination and technical assistance to agency personnel and public health partners.

PHSI administers the Office of Health Equity, which works to monitor the health status of at-risk populations to ensure that health equity is a cross cutting principle in all agency programs, data collection, and planning efforts, and that DPH activities focus on the underlying social determinants of health, and the promotion and implementation of culturally and linguistically appropriate services.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------------------------------------------------------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Percentage of programs with an active performance dashboard | 40% | 61% | 70% | 85% |
| Number of employees actively engaged in quality improvement efforts | 138 | 150 | 200 | 250 |
| Percentage of staff trained in quality improvement/performance management | 51% | 55% | 60% | 65% |
| Number of healthcare provider sites performing electronic data exchange with CT Immunization Information System (CT WiZ) | 22 | 393 | 493 | 593 |
| Units of childhood vaccines purchased for distribution to family healthcare providers | 669,341 | 710,421 | 770,390 | 770,390 |
| Number of private/local health and community health center sites that receive vaccines from state immunization program | 607/109 | 607/109 | 607/109 | 607/109 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-----------------------------------------------------------------|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 32 | 9 | 0 | 41 | 41 | 41 | 41 | 41 |
| Insurance Fund | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| Federal Funds | 3 | 3 | 47 | 53 | 53 | 53 | 51 | 51 |
| Private Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 2 | 2 | 2 | 2 | 2 | 2 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,292,106 | 3,495,633 | 3,812,031 | 3,812,031 | 3,812,031 | 3,962,851 | 3,954,395 | 3,954,395 |
| Other Expenses | 295,603 | 169,749 | 169,749 | 169,749 | 169,749 | 169,749 | 169,749 | 169,749 |
| Other Current Expenses | | | | | | | | |
| LGBTQ Health and Human Services Network | 19,250 | 250,000 | 250,000 | 150,000 | 150,000 | 250,000 | 150,000 | 150,000 |
| Total-General Fund | 2,606,959 | 3,915,382 | 4,231,780 | 4,131,780 | 4,131,780 | 4,382,600 | 4,274,144 | 4,274,144 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Immunization Services | 53,634,292 | 60,883,073 | 60,886,480 | 62,387,894 | 62,387,894 | 60,886,480 | 63,942,240 | 63,942,240 |
| Total-Insurance Fund | 53,634,292 | 60,883,073 | 60,886,480 | 62,387,894 | 62,387,894 | 60,886,480 | 63,942,240 | 63,942,240 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 42,635 | 50,439 | 43,476 | 43,476 | 43,476 | 43,476 | 43,476 | 43,476 |
| Private Funds | 27,525 | 21,236 | 21,236 | 21,236 | 21,236 | 16,383 | 16,383 | 16,383 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| | 4,609 | 291 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93069 Public Health Emergency Preparedness | 143,562 | 733,148 | 733,148 | 733,148 | 733,148 | 733,148 | 733,148 | 733,148 |
| 93130 Primary Care Svcs Resource Coord | 173,363 | 215,769 | 194,411 | 194,411 | 194,411 | 194,411 | 194,411 | 194,411 |
| 93136 INJURY PREVENTN & CONTROL RESEARCH/STATE & COMMUNITY PROG | 58,483 | 208,375 | 126,898 | 126,898 | 126,898 | 126,898 | 126,898 | 126,898 |
| 93268 COVID19 Immunization CARES | 0 | 38,030,820 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93268 Immunization Grants | 19,862 | 4,442,093 | 4,442,093 | 4,442,093 | 4,442,093 | 4,442,093 | 4,442,093 | 4,442,093 |
| 93283 Advance Cancer Genomic | 36,476 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93317 CT EIP Non-PPHF CFDA 93.317 | 0 | 4,046,865 | 3,734,087 | 3,734,087 | 3,734,087 | 3,734,087 | 3,734,087 | 3,734,087 |
| 93317 EIP COVID19 Response | 0 | 2,600,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93323 ELC CARES COVID-19 | 0 | 763,569 | 663,569 | 663,569 | 663,569 | 0 | 0 | 0 |
| 93323 ELC Enhancing Det COVID | 0 | 9,587,661 | 9,587,662 | 9,587,662 | 9,587,662 | 7,177,236 | 7,177,236 | 7,177,236 |
| 93323 Epidemiology and Lab Capacity For Infectious Diseases | 6,589 | 5,138,174 | 5,138,174 | 5,138,174 | 5,138,174 | 2,301,538 | 2,301,538 | 2,301,538 |

| | | | | | | | | |
|---------------------------------------------------------|------------|-------------|------------|------------|------------|------------|------------|------------|
| 93354 Public Health Crisis Response | 0 | 626,900 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93426 IMPROVING THE HEALTH OF AMERICANS | 11,078 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 |
| 93624 CT State Innovation Model | 1,031,332 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93758 Preventive Health and Health Services Block Grant | 319,258 | 0 | 325,000 | 325,000 | 325,000 | 325,000 | 325,000 | 325,000 |
| 93777 State Survey & Certification of Health | 24,921 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93889 Bioterrorism Hospital Preparedness | 0 | 500 | 500 | 500 | 500 | 500 | 500 | 500 |
| 93994 Maternal & Child Health Services | 85,639 | 85,000 | 85,000 | 85,000 | 85,000 | 85,000 | 85,000 | 85,000 |
| Total - All Funds | 58,226,583 | 131,360,295 | 90,224,514 | 91,625,928 | 91,625,928 | 84,459,850 | 87,407,154 | 87,407,154 |

HEALTH STATISTICS AND SURVEILLANCE

Statutory Reference

C.G.S. Sections 6-60; 7-42, 48, 50, 51 and 62b; 19a-2a, 7, 41, 42, 45, 127k and 322.

Statement of Need and Program Objectives

To provide comprehensive health data for planning, conduct population health status monitoring and provide support to DPH staff and local, regional, state, and federal partners.

Program Description

The Health Statistics and Surveillance Section (HSS) is responsible for data collection, analysis and dissemination of a wide range of critical public health data. HSS assures that access to certified copies of vital records is efficient, and that comprehensive and accurate population health statistics that portray the health status of Connecticut's population are accessible to the public, health care providers, researchers, and legislators. HSS collects and analyzes data in six areas: vital records (births, deaths, marriages and fetal deaths); current behavioral risk factor population surveys for adults and youth; inpatient hospitalizations; adverse event reports from hospitals and healthcare facilities; reports on all newly diagnosed cancer cases; and annual state-town population estimates.

The Surveillance, Analysis and Reporting Unit analyzes and reports annually on a wide variety of health data: births, deaths, healthcare facilities' reports of adverse events, inpatient hospitalizations and population estimates. These data are critical for local health status monitoring efforts. This unit provides health statistics information for a wide range of users including health promotion and healthcare quality programs within DPH and throughout the state. The unit also supports quality control efforts in other state agencies such as the Office of the State Comptroller and the Department of Motor Vehicles. Monthly record matching allows these agencies to remove recently deceased persons from their databases and in some cases to achieve significant savings by eliminating unnecessary payments.

The Office of Vital Records (OVR) oversees the statewide vital registration system, which consists of Connecticut's 169 towns and the OVRs; works with developers of technology systems to modernize the vital records system; ensures the integrity of vital records data through quality assurance programs, data collection instruments, and pertinent law revisions; provides vital records data to the Centers for Disease Control and Prevention and other federal and state agencies for public health surveillance, research, fraud prevention and other

purposes; manages the state paternity registry and works in partnership with the Department of Social Services and obstetric hospitals to assist couples with the establishment of paternity process; collaborates with the Social Security Administration to administer social security numbers for newborns and notification of deaths; processes all adoptions for Connecticut-born children, foreign-born children adopted by Connecticut residents, and adoptions finalized in Connecticut; amends records to reflect changes related to gender identity; maintains permanent archival copies of births, deaths, fetal deaths, and marriage records and issues certified copies of birth, marriage, deaths and fetal deaths records upon request from eligible parties.

The Connecticut Tumor Registry is an electronic database of information on over 1.1 million cancers diagnosed in Connecticut residents from 1935 through 2018. The state has the oldest population-based cancer registry in the nation, and it is used by researchers to examine cancer patterns, risk factors, outcomes, and other cancer concerns in Connecticut. The registry has a long history of continuing support from the National Cancer Institute.

The Health Survey Unit administers the national Behavioral Risk Factor Surveillance Survey system and the Connecticut School Health Survey, which provide current data on risk behaviors and health care practices that affect our population's health. The analysis of these health data is used internally and externally to identify strategic health care needs within Connecticut and to monitor progress in meeting those needs.

During the spring of 2020 the OVR was in the process of transitioning from a paper-based death registration system to an electronic death registration system (EDRS). The OVR brought parts of the EDRS into operation sooner than planned to more quickly and accurately record and share COVID-19 related death information with the CT Office of the Chief Medical Examiner, our DPH reporting partners, and the National Center for Health Statistics. The EDRS is currently in a phased rollout process.

In response to COVID-19, the Survey Unit helped provide estimates of the CT population that is at elevated risk for COVID, estimates to assist in a mass vaccination plan, and modified an adult survey in May 2020 to collect COVID-19 testing, risk and preventive behavior data. The SAR Unit assisted in the computation of COVID-19 incidence rates and assisted in various data quality improvement projects for to improve accuracy and timeliness of data. In collaboration with Connecticut hospitals and researchers the CTR established the Connecticut

Cancer-COVID-19 Research Initiative. The goals are to assess the impact of the SARS-COV-2 pandemic and to assess patterns of care on both newly diagnosed and existing cancers.

| Program Measures | | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-----------------------------------------|--|----------------|------------------|------------------|------------------|
| | | Actual | Estimated | Projected | Projected |
| Vital record events in CT: Births | | 34,875 | 32,875 | 31,350 | 30,200 |
| Vital record events in CT: Deaths | | 36,800 | 35,000 | 33,500 | 33,800 |
| Vital record events in CT: Marriages | | 12,000 | 22,000 | 18,000 | 18,000 |
| Vital record events in CT: Fetal Deaths | | 200 | 200 | 190 | 190 |
| Paternity actions | | 9,400 | 12,500 | 12,500 | 12,500 |
| Cancers diagnosed in CT residents | | 22,346 | 22,435 | 22,525 | 22,615 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 16 | 2 | 0 | 18 | 18 | 19 | 18 | 19 |
| Federal Funds | 24 | 3 | 2 | 29 | 29 | 29 | 29 | 29 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 | 1 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,372,145 | 1,324,362 | 1,436,283 | 1,436,283 | 1,486,949 | 1,491,474 | 1,487,272 | 1,544,271 |
| Other Expenses | 306,836 | 286,232 | 286,232 | 286,232 | 347,732 | 286,232 | 286,232 | 336,232 |
| Total-General Fund | 1,678,981 | 1,610,594 | 1,722,515 | 1,722,515 | 1,834,681 | 1,777,706 | 1,773,504 | 1,880,503 |

| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 899 | 2,761 | 899 | 899 | 899 | 899 | 899 | 899 |

| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| | 20,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93066 National Death Index | 1,662 | 1,464 | 1,464 | 1,464 | 1,464 | 1,464 | 1,464 | 1,464 |
| 93079 Cooperative Agreements to Promote Adolescent Health | 60,471 | 98,000 | 98,000 | 98,000 | 98,000 | 98,000 | 98,000 | 98,000 |
| 93110 Maternal & Child Health | 9,468 | 9,400 | 9,400 | 9,400 | 9,400 | 9,400 | 9,400 | 9,400 |
| 93197 CHILDHOOD LEAD POISONING PREVENTION PROJ. - STATE/LOCAL | -1,434 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93323 ELC Enhancing Det COVID | 0 | 42,458,881 | 42,458,882 | 42,458,882 | 42,458,882 | 31,518,623 | 31,518,623 | 31,518,623 |
| 93323 Epidemiology and Lab Capacity For Infectious Diseases | 915 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93336 CT Behavioral Risk BRFSS | 357,987 | 418,000 | 413,000 | 413,000 | 413,000 | 413,000 | 413,000 | 413,000 |
| 93354 Public Health Crisis Response | 279,212 | 162,788 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|---------------------------------------------------------|------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| 93624 CT State Innovation Model | 167,027 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93758 Preventive Health and Health Services Block Grant | 31,870 | 0 | 32,000 | 32,000 | 32,000 | 32,000 | 32,000 | 32,000 |
| 93994 Maternal & Child Health Services | 302,759 | 305,000 | 305,000 | 305,000 | 305,000 | 305,000 | 305,000 | 305,000 |
| 96000 Social Security Administration | 10,480 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| 99125 SMART | 10,129 | 13,500 | 13,500 | 13,500 | 13,500 | 13,500 | 13,500 | 13,500 |
| 99125 Survey Epidemiology and End Results | 2,649,033 | 2,938,297 | 3,015,823 | 3,015,823 | 3,015,823 | 3,097,489 | 3,097,489 | 3,097,489 |
| 99125 Tumor Registry Special Proj | 31,558 | 172,884 | 0 | 0 | 0 | 0 | 0 | 0 |
| 99125 Vital Statistics Cooperative Program | 226,067 | 336,159 | 336,159 | 336,159 | 336,159 | 336,159 | 336,159 | 336,159 |
| 99999 Other | 232,644 | 203,850 | 203,850 | 203,850 | 203,850 | 203,850 | 203,850 | 203,850 |
| Total - All Funds | 6,069,728 | 48,741,578 | 48,620,492 | 48,620,492 | 48,732,658 | 37,817,090 | 37,812,888 | 37,919,887 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 32,030,870 | 33,576,493 | 35,562,284 | 34,952,448 | 37,015,163 | 36,315,139 |
| Salaries & Wages-Temporary | 56,444 | 59,412 | 60,543 | 60,543 | 60,543 | 62,872 |
| Salaries & Wages-Part Time | 398,485 | 557,142 | 567,224 | 567,224 | 588,204 | 589,040 |
| Longevity Payments | 203,803 | 204,001 | 204,001 | 204,001 | 204,001 | 204,001 |
| Overtime | 142,836 | 205,002 | 208,686 | 208,686 | 208,686 | 216,712 |
| Accumulated Leave | 566,346 | 570,003 | 570,003 | 570,003 | 570,003 | 570,003 |
| Other Salaries & Wages | 23,440 | 24,993 | 24,993 | 24,993 | 24,993 | 25,952 |
| Other | 167,361 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 33,589,585 | 35,197,046 | 37,197,734 | 36,587,898 | 38,671,593 | 37,983,719 |
| <i>Other Expenses</i> | | | | | | |
| Capital Outlays | 72,113 | 0 | 0 | 0 | 0 | 0 |
| Communications | 192,910 | 186,480 | 186,480 | 186,480 | 186,480 | 186,480 |
| Electricity | 730,297 | 907,749 | 907,749 | 907,749 | 907,749 | 907,749 |
| Employee Expenses | 18,912 | 18,957 | 18,957 | 18,957 | 18,957 | 18,957 |
| Employee Travel | 23,331 | 22,480 | 22,480 | 22,480 | 22,480 | 22,480 |
| Equipment Rental and Maintenance | 851,741 | 859,741 | 859,741 | 859,741 | 859,741 | 859,741 |
| Information Technology | 687,591 | 851,548 | 851,548 | 851,548 | 851,548 | 851,548 |
| Motor Vehicle Costs | 157,166 | 157,166 | 157,166 | 157,166 | 157,166 | 157,166 |
| Natural Gas | 152,752 | 152,752 | 152,752 | 152,752 | 152,752 | 152,752 |
| Other / Fixed Charges | 46,830 | 46,830 | 46,830 | 46,830 | 46,830 | 46,830 |
| Other Services | 526,699 | 603,332 | 603,332 | 664,832 | 603,332 | 653,332 |
| Premises Expenses | 2,402,810 | 2,059,468 | 2,059,468 | 2,059,468 | 2,059,468 | 2,059,468 |
| Professional Services | 286,461 | 276,741 | 276,741 | 276,741 | 276,741 | 276,741 |
| Purchased Commodities | 1,876,699 | 1,530,229 | 1,380,229 | 1,442,138 | 1,380,229 | 1,442,138 |
| Water | 97,935 | 94,767 | 94,767 | 94,767 | 94,767 | 94,767 |
| TOTAL-Other Expenses | 8,124,247 | 7,768,240 | 7,618,240 | 7,741,649 | 7,618,240 | 7,730,149 |

Other Current Expenses

| | | | | | | |
|-----------------------------------------|--------|---------|---------|---------|---------|---------|
| LGBTQ Health and Human Services Network | 19,250 | 250,000 | 250,000 | 150,000 | 250,000 | 150,000 |
| TOTAL-Other Current Expenses | 19,250 | 250,000 | 250,000 | 150,000 | 250,000 | 150,000 |

Pmts to Other Than Local Govts

| | | | | | | |
|--------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Community Health Services | 1,481,549 | 1,486,753 | 1,486,753 | 1,486,753 | 1,486,753 | 1,486,753 |
| Rape Crisis | 548,128 | 548,128 | 548,128 | 548,128 | 548,128 | 548,128 |
| TOTAL-Pmts to Other Than Local Govts | 2,029,677 | 2,034,881 | 2,034,881 | 2,034,881 | 2,034,881 | 2,034,881 |

Pmts to Local Governments

| | | | | | | |
|------------------------------------------|------------|------------|------------|------------|------------|------------|
| Local and District Departments of Health | 4,210,499 | 4,210,499 | 4,210,499 | 4,210,499 | 4,210,499 | 4,210,499 |
| School Based Health Clinics | 10,545,428 | 10,550,187 | 10,553,013 | 10,678,013 | 10,555,828 | 10,680,828 |
| TOTAL-Pmts to Local Governments | 14,755,927 | 14,760,686 | 14,763,512 | 14,888,512 | 14,766,327 | 14,891,327 |

| | | | | | | |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Personal Services | 167,947,925 | 182,585,230 | 185,988,670 | 182,939,490 | 193,357,965 | 189,918,595 |
| Other Expenses | 8,124,247 | 7,768,240 | 7,618,240 | 7,741,649 | 7,618,240 | 7,730,149 |
| Other Current Expenses | 19,250 | 250,000 | 250,000 | 150,000 | 250,000 | 150,000 |
| Pmts to Other Than Local Govts | 2,029,677 | 2,034,881 | 2,034,881 | 2,034,881 | 2,034,881 | 2,034,881 |
| Pmts to Local Governments | 14,755,927 | 14,760,686 | 14,763,512 | 14,888,512 | 14,766,327 | 14,891,327 |
| TOTAL-General Fund | 192,877,026 | 207,399,037 | 210,655,303 | 207,754,532 | 218,027,413 | 214,724,952 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|------------|------------|------------|-------------|------------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Other Current Expenses | | | | | | |
| Needle and Syringe Exchange Program | 447,180 | 460,741 | 460,741 | 460,741 | 460,741 | 460,741 |
| Children's Health Initiatives | 2,891,743 | 2,988,430 | 2,992,962 | 2,996,411 | 3,002,126 | 3,014,016 |
| AIDS Services | 4,860,369 | 4,987,064 | 4,987,064 | 4,987,064 | 4,987,064 | 4,987,064 |
| Breast and Cervical Cancer Detection and Treatment | 2,065,512 | 2,189,256 | 2,191,304 | 2,193,048 | 2,198,055 | 2,205,486 |
| Immunization Services | 53,634,292 | 60,883,073 | 60,886,480 | 62,391,092 | 60,894,390 | 63,945,438 |
| TOTAL-Other Current Expenses | 63,899,096 | 71,508,564 | 71,518,551 | 73,028,356 | 71,542,376 | 74,612,745 |

Pmts to Other Than Local Govts

| | | | | | | |
|---------------------------------------|---------|---------|---------|---------|---------|---------|
| X-Ray Screening and Tuberculosis Care | 776,370 | 965,148 | 966,804 | 966,804 | 968,026 | 968,026 |
| TOTAL-Pmts to Other Than Local Govts | 776,370 | 965,148 | 966,804 | 966,804 | 968,026 | 968,026 |

Pmts to Local Governments

| | | | | | | |
|---------------------------------|---------|---------|---------|---------|---------|---------|
| Venereal Disease Control | 179,998 | 197,341 | 197,341 | 197,341 | 197,341 | 197,341 |
| TOTAL-Pmts to Local Governments | 179,998 | 197,341 | 197,341 | 197,341 | 197,341 | 197,341 |

| | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|
| Other Current Expenses | 63,899,096 | 71,508,564 | 71,518,551 | 73,028,356 | 71,542,376 | 74,612,745 |
| Pmts to Other Than Local Govts | 776,370 | 965,148 | 966,804 | 966,804 | 968,026 | 968,026 |
| Pmts to Local Governments | 179,998 | 197,341 | 197,341 | 197,341 | 197,341 | 197,341 |
| TOTAL-Insurance Fund | 64,855,464 | 72,671,053 | 72,682,696 | 74,192,501 | 72,707,743 | 75,778,112 |

OFFICE OF HEALTH STRATEGY

AGENCY DESCRIPTION

The Office of the Health Strategy (OHS) coordinates the state's health care reform activities.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> • Provide Funding for State Employee Wage Adjustments • Reflect Impact of 27th Payroll During FY 2023 - General Fund • Reflect Impact of 27th Payroll During FY 2023 - Insurance Fund • Annualize Contract Costs Necessary to Implement Benchmark Initiatives • Remove Funding Associated with Health Information Exchange (HIE) Revenue Intercept - Insurance Fund <p style="font-size: small; margin-top: 10px;"><i>Reflects a revised funding mechanism for the positions that staff the HIE. Through FY 2021, the positions and contracts necessary to support the HIE were funded through a revenue intercept. Beginning in 2022, this IT project must be fully budgeted under the Office of Health Strategy and the associated salaries, fringes and contract costs will be included in the Medicaid administrative claim prepared by the Department of Social Services. The federal grants revenue generated through these activities will offset insurance assessments</i></p> | <div style="display: flex; justify-content: space-between;"> 34,729 58,139 </div> <div style="display: flex; justify-content: space-between;"> 0 80,565 </div> <div style="display: flex; justify-content: space-between;"> 0 41,798 </div> <div style="display: flex; justify-content: space-between;"> 788,000 788,000 </div> <div style="display: flex; justify-content: space-between;"> -87,000 -90,346 </div> | | |
| Reductions | FY 2022 | FY 2023 | |
| <ul style="list-style-type: none"> • Annualize FY 2021 Rescissions | <div style="display: flex; justify-content: space-between;"> -45,112 -45,112 </div> | | |
| Expansions | FY 2022 | FY 2023 | FY 2024 |
| <ul style="list-style-type: none"> • Provide Funding to Support Activities of the Health Information Exchange (HIE) - General Fund <p style="font-size: small; margin-top: 5px;"><i>Through FY 2021, the positions and contracts necessary to support the HIE were funded through a revenue intercept. Beginning in 2022, this IT project must be fully budgeted under the Office of Health Strategy and the associated salaries, fringes and contract costs will be included in the Medicaid administrative claim prepared by the Department of Social Services. The federal grants revenue generated through these activities will offset insurance assessments.</i></p> <ul style="list-style-type: none"> • Provide Funding to Support Activities of the Health Information Exchange (HIE) - Insurance Fund <p style="font-size: small; margin-top: 5px;"><i>Through FY 2021, the positions and contracts necessary to support the HIE were funded through a revenue intercept. Beginning in 2022, this IT project must be fully budgeted under the Office of Health Strategy and the associated salaries, fringes and contract costs will be included in the Medicaid administrative claim prepared by the Department of Social Services. The federal grants revenue generated through these activities will offset insurance assessments.</i></p> | <div style="display: flex; justify-content: space-between;"> 651,629 676,691 </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> 5,387,194 5,387,194 </div> | <div style="display: flex; justify-content: space-between;"> 651,629 </div> | <div style="display: flex; justify-content: space-between;"> 651,629 </div> |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 23 | 0 | 0 | 23 | 23 | 30 | 23 | 30 |
| Insurance Fund | 10 | 0 | 0 | 10 | 10 | 10 | 10 | 10 |
| Federal Funds | 6 | 0 | -6 | 0 | 0 | 0 | 0 | 0 |
| Other Positions Equated to Full-Time | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Health Strategy | 5,603,831 | 6,177,697 | 7,000,426 | 6,913,426 | 12,907,137 | 7,122,789 | 7,055,853 | 13,074,626 |
| TOTAL Agency Programs | 5,603,831 | 6,177,697 | 7,000,426 | 6,913,426 | 12,907,137 | 7,122,789 | 7,055,853 | 13,074,626 |

Summary of Funding

| | | | | | | | | |
|-----------------------|-----------|-----------|-----------|-----------|------------|-----------|-----------|------------|
| General Fund | 2,008,444 | 2,149,240 | 2,963,468 | 2,175,468 | 2,781,985 | 3,044,033 | 2,256,033 | 2,887,612 |
| Insurance Fund | 3,595,387 | 4,028,457 | 4,036,958 | 4,737,958 | 10,125,152 | 4,078,756 | 4,799,820 | 10,187,014 |
| Total Agency Programs | 5,603,831 | 6,177,697 | 7,000,426 | 6,913,426 | 12,907,137 | 7,122,789 | 7,055,853 | 13,074,626 |

HEALTH STRATEGY

Statutory Reference

CSG 19a -754a

Statement of Need and Program Objectives

Create an accountable entity to develop and implement a comprehensive and cohesive health care vision for the state, including health care reforms and a coordinated state cost containment strategy.

Program Description

To develop and implement key components of the state’s health care reform and cost control strategies by:

- Overseeing and coordinating health system planning for the state and monitoring health care costs;
- Implementing a Cost Growth Benchmark for all healthcare expenditures while promoting increased resources for

primary care and protecting quality as required by Executive Order #5

- Directing and coordinating the state’s health information technology initiatives, including Health Information Exchange and the All-Payer Claims Database;
- Directing and overseeing the successor payment and healthcare delivery initiatives to the state innovation model;
- Authorizing and overseeing the collection of data required to carry out the duties of the Health Systems Planning Unit, including the Certificate of Need program, the statewide health care facilities and services plan, and financial and discharge reporting of healthcare facilities, and
- Convening forums within state government and with external stakeholders to discuss health care issues designed to develop effective health care cost and quality strategies.

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 23 | 0 | 0 | 23 | 23 | 30 | 23 | 30 |
| Insurance Fund | 10 | 0 | 0 | 10 | 10 | 10 | 10 | 10 |

Other Positions Equated to Full-Time

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------|---------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Requested | Recommended | Recommended | Requested | Recommended |
| General Fund | 1 | | 1 | 1 | 1 | 1 | 1 | 1 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,948,836 | 2,111,198 | 2,137,426 | 2,137,426 | 2,768,943 | 2,217,991 | 2,217,991 | 2,874,570 |
| Other Expenses | 59,608 | 38,042 | 826,042 | 38,042 | 13,042 | 826,042 | 38,042 | 13,042 |
| Total-General Fund | 2,008,444 | 2,149,240 | 2,963,468 | 2,175,468 | 2,781,985 | 3,044,033 | 2,256,033 | 2,887,612 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 807,577 | 1,021,026 | 1,029,527 | 985,365 | 985,365 | 1,071,325 | 1,025,464 | 1,025,464 |
| Other Expenses | 2,100,953 | 2,136,767 | 2,136,767 | 2,924,767 | 8,311,961 | 2,136,767 | 2,924,767 | 8,311,961 |

Capital Outlay

| | | | | | | | | |
|-----------|---|--------|--------|--------|--------|--------|--------|--------|
| Equipment | 0 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
|-----------|---|--------|--------|--------|--------|--------|--------|--------|

Other Current Expenses

| | | | | | | | | |
|----------------------|-----------|-----------|-----------|-----------|------------|-----------|-----------|------------|
| Fringe Benefits | 686,857 | 860,664 | 860,664 | 817,826 | 817,826 | 860,664 | 839,589 | 839,589 |
| Total-Insurance Fund | 3,595,387 | 4,028,457 | 4,036,958 | 4,737,958 | 10,125,152 | 4,078,756 | 4,799,820 | 10,187,014 |
| Total - All Funds | 5,603,831 | 6,177,697 | 7,000,426 | 6,913,426 | 12,907,137 | 7,122,789 | 7,055,853 | 13,074,626 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND**Current Expenses by Minor Object**

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 1,855,832 | 1,966,926 | 1,991,941 | 2,623,458 | 2,070,138 | 2,726,717 |
| Salaries & Wages-Temporary | 0 | 0 | 0 | 0 | 2,368 | 2,368 |
| Salaries & Wages-Part Time | 58,769 | 60,772 | 61,975 | 61,975 | 61,975 | 61,975 |
| Longevity Payments | 11,624 | 13,000 | 13,000 | 13,000 | 13,000 | 13,000 |
| Overtime | 290 | 500 | 510 | 510 | 510 | 510 |
| Accumulated Leave | 22,321 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 |
| TOTAL - Personal Services-Personal Services | 1,948,836 | 2,111,198 | 2,137,426 | 2,768,943 | 2,217,991 | 2,874,570 |

Other Expenses

| | | | | | | |
|--------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Communications | 1,257 | 0 | 0 | 0 | 0 | 0 |
| Employee Expenses | 4,305 | 2,764 | 2,764 | 2,764 | 2,764 | 2,764 |
| Employee Travel | 1,119 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 |
| Information Technology | 3,166 | 4,422 | 4,422 | 4,422 | 4,422 | 4,422 |
| Management Consultant Services | 0 | 0 | 788,000 | 0 | 788,000 | 0 |
| Other Services | 18,585 | 19,394 | 19,394 | 4,394 | 19,394 | 4,394 |
| Professional Services | 20,714 | 0 | 0 | 0 | 0 | 0 |
| Purchased Commodities | 10,462 | 10,462 | 10,462 | 462 | 10,462 | 462 |
| TOTAL-Other Expenses | 59,608 | 38,042 | 826,042 | 13,042 | 826,042 | 13,042 |
| Personal Services | 1,948,836 | 2,111,198 | 2,137,426 | 2,768,943 | 2,217,991 | 2,874,570 |
| Other Expenses | 59,608 | 38,042 | 826,042 | 13,042 | 826,042 | 13,042 |
| TOTAL-General Fund | 2,008,444 | 2,149,240 | 2,963,468 | 2,781,985 | 3,044,033 | 2,887,612 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND**Current Expenses by Minor Object**

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 787,755 | 1,017,954 | 1,026,445 | 982,283 | 1,068,243 | 1,022,382 |
| Salaries & Wages-Temporary | 1,998 | 0 | 0 | 0 | 0 | 0 |
| Longevity Payments | 1,694 | 2,572 | 2,572 | 2,572 | 2,572 | 2,572 |
| Overtime | 254 | 500 | 510 | 510 | 510 | 510 |
| Accumulated Leave | 15,876 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 807,577 | 1,021,026 | 1,029,527 | 985,365 | 1,071,325 | 1,025,464 |

Other Expenses

| | | | | | | |
|----------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Communications | 28,167 | 53,136 | 53,136 | 53,136 | 53,136 | 53,136 |
| Employee Expenses | 1,929 | 58,000 | 58,000 | 58,000 | 58,000 | 58,000 |
| Employee Travel | 479 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 |
| Equipment Rental and Maintenance | 13,965 | 12,000 | 12,000 | 12,000 | 12,000 | 12,000 |
| Food And Beverages | 836 | 0 | 0 | 0 | 0 | 0 |
| Information Technology | 747,623 | 757,300 | 757,300 | 757,300 | 757,300 | 757,300 |
| Management Consultant Services | 0 | 0 | 0 | 6,175,194 | 0 | 6,175,194 |
| Other / Fixed Charges | 414,967 | 0 | 0 | 0 | 0 | 0 |
| Other Services | 3,338 | 4,989 | 4,989 | 4,989 | 4,989 | 4,989 |
| Professional Services | 884,991 | 1,246,342 | 1,246,342 | 1,246,342 | 1,246,342 | 1,246,342 |
| Purchased Commodities | 4,658 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |
| TOTAL-Other Expenses | 2,100,953 | 2,136,767 | 2,136,767 | 8,311,961 | 2,136,767 | 8,311,961 |

Equipment

| | | | | | | |
|-----------------|---|--------|--------|--------|--------|--------|
| Equipment | 0 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| TOTAL-Equipment | 0 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |

Other Current Expenses

| | | | | | | |
|------------------------------|-----------|-----------|-----------|------------|-----------|------------|
| Fringe Benefits | 686,857 | 860,664 | 860,664 | 817,826 | 860,664 | 839,589 |
| TOTAL-Other Current Expenses | 686,857 | 860,664 | 860,664 | 817,826 | 860,664 | 839,589 |
| Personal Services | 807,577 | 1,021,026 | 1,029,527 | 985,365 | 1,071,325 | 1,025,464 |
| Other Expenses | 2,100,953 | 2,136,767 | 2,136,767 | 8,311,961 | 2,136,767 | 8,311,961 |
| Capital Outlay | 0 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| Other Current Expenses | 686,857 | 860,664 | 860,664 | 817,826 | 860,664 | 839,589 |
| TOTAL-Insurance Fund | 3,595,387 | 4,028,457 | 4,036,958 | 10,125,152 | 4,078,756 | 10,187,014 |

OFFICE OF THE CHIEF MEDICAL EXAMINER

AGENCY DESCRIPTION

The Office of the Chief Medical Examiner (OCME), functioning under the regulations of the Commission on Medicolegal Investigations and accreditation by the National Association of Medical Examiners, is the only state agency that investigates and certifies fatalities in the following categories: deaths due to any form of injury, whether resulting from accident, suicide or homicide or under suspicious circumstances; sudden or unexpected deaths not due to readily recognizable disease or within 24 hours of hospital admission; deaths of any individual whose body is to be disposed of in a manner which will render it unavailable for later examination (e.g., cremation); deaths resulting from employment; deaths due to disease which might constitute a threat to the public health (e.g., meningitis, West Nile virus), and deaths from medical complications. Medicolegal

investigations protect the public health by diagnosing previously unsuspected contagious disease; by identifying hazardous environmental conditions in the workplace, in the home and elsewhere; by identifying trends such as changes in numbers of homicides, traffic fatalities and drug and alcohol related deaths; by issuing an accurate death certificate with an etiologically specific underlying cause of death; by investigating deaths that are to undergo cremation; and by identifying new types and forms of drugs appearing in the state, or existing drugs/substances becoming new subjects of abuse. Information provided by these death investigations may assist in the proper adjudication in criminal and civil matters.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|-------------------------------------------------------|----------------|----------------|
| • Provide Funding to Support Cost and Caseload Growth | 630,000 | 650,288 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 263,696 |
| • Provide Funding for State Employee Wage Adjustments | 80,592 | 80,592 |
| Reductions | FY 2022 | FY 2023 |
| • Annualize FY 2021 Rescissions | -7,211 | -7,211 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------------------------|-----------|-----------|-----------|-----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 50 | 1 | 0 | 51 | 51 | 51 | 51 | 51 |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 6 | 7 | 7 | 7 | 7 | 7 |
| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Medicolegal Investigations | 7,538,929 | 8,069,625 | 8,908,996 | 8,257,004 | 8,249,793 | 8,936,819 | 8,320,798 | 8,313,587 |
| TOTAL Agency Programs | 7,538,929 | 8,069,625 | 8,908,996 | 8,257,004 | 8,249,793 | 8,936,819 | 8,320,798 | 8,313,587 |
| <i>Summary of Funding</i> | | | | | | | | |
| General Fund | 7,043,251 | 7,826,222 | 8,688,806 | 8,036,814 | 8,029,603 | 8,936,819 | 8,320,798 | 8,313,587 |
| Federal Funds | 495,678 | 243,403 | 220,190 | 220,190 | 220,190 | 0 | 0 | 0 |
| Total Agency Programs | 7,538,929 | 8,069,625 | 8,908,996 | 8,257,004 | 8,249,793 | 8,936,819 | 8,320,798 | 8,313,587 |

AUTOPSIES AND EXAMINATIONS

Statutory References

C.G.S. Sections 19a-400 through 19a-414.

Statement of Need and Program Objectives

To provide accurate certification of the cause and manner of death and to identify, document, and interpret relevant forensic scientific information for use in public health surveillance and criminal and civil legal proceedings necessary for the investigation of violent, suspicious, and sudden unexpected deaths.

Program Description

The initial investigation begins when a death is reported to the agency. Over two-thirds of all the deaths in Connecticut are reported to the OCME. An inquiry including a scene investigation, if appropriate, is made into the circumstances surrounding the death and a determination is made whether an autopsy is required.

All medicolegal autopsies are performed by forensic pathologists at the Farmington facility. In CY 2020, the agency investigated 30,185 reported deaths, performed 2,893 autopsies, and issued 23,848 cremation certificates. The number of reported deaths has increased 45% over the past five years while the number of autopsies has increased 30%. The number of cremation investigations has increased 46% over the past five years. The state's General Fund receives a fee of \$150 per each cremation investigation.

In conjunction with such examinations, toxicological analysis and other forensic scientific examinations are performed. Autopsy report completion times exceeded accreditation requirements with greater than 90% of reports completed within 60 and 90 days following the autopsy. Complete records of all investigations are maintained by the

agency and are available to the family of the deceased; to any federal, state or municipal governmental agency or public health authority investigating the death; to insurance companies with a legitimate interest in the death; to all parties in civil litigation proceedings; and to treating physicians. In addition, records may be made available to other individuals with the written consent of the family, by court order, or by applicable state statute.

The office shares information with other state agencies involved in monitoring fatalities such as the departments of Children and Families, Transportation, Correction, and Public Health, the Office of Child Advocate, and various agencies interested in the control of narcotics and other drugs. There may be involvement with federal agencies such as the Occupational Safety and Health Administration (when death may relate to a hazard in the workplace), the National Transportation Safety Board (in the event of an airplane crash), the Federal Bureau of Investigation (when death is incident to violation of federal law), the National Violent Death Reporting System, and the Drug Enforcement Agency. Deaths due to potentially contagious disease are promptly reported to the epidemiology section of the Connecticut Department of Public Health.

Forensic pathologists and investigators are actively involved in the education of law enforcement officers, medical and law students, pathology residents, attorneys, emergency medical technicians, nurses, and mortuary students. The professional staff is available for pre-trial conferences with attorneys from both sides of litigation to discuss available forensic, pathologic, and other scientific information.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Percent of autopsy reports completed within 60 days | 90% | 90% | 90% | 90% |
| Percent of autopsy reports completed within 90 days | 95% | 95% | 95% | 95% |
| Average turn-around-time for toxicology reports (NMS) | 12 days | 12 days | 12 days | 12 days |
| Number of autopsies | 2,800 | 2,900 | 3,000 | 3,100 |
| Number of cremations | 24,000 | 20,000 | 18,000 | 18,300 |
| Number of reported cases | 30,000 | 25,000 | 22,000 | 22,300 |
| Number of OCME death scenes | 1,750 | 1,800 | 1,850 | 1,900 |
| Percent of OCME scenes attended by an OCME investigator | 99% | 99% | 99% | 99% |
| Investigator death certificates (out-of-office certifications) | 1,150 | 1,100 | 1,000 | 900 |
| Remains transported by the OCME | 2,600 | 2,650 | 2,700 | 2,750 |
| Remains transported by contract service | 600 | 650 | 650 | 700 |
| Organ and Tissue Donation: Total organ, tissue, and eye recovery procedures on OCME cases | 380 | 400 | 420 | 440 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 50 | 1 | 0 | 51 | 51 | 51 | 51 | 51 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 6 | 7 | 7 | 7 | 7 | 7 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 5,837,460 | 6,338,564 | 6,803,995 | 6,449,156 | 6,449,156 | 7,059,608 | 6,733,140 | 6,733,140 |
| Other Expenses | 1,160,340 | 1,442,198 | 1,839,351 | 1,542,198 | 1,534,987 | 1,831,751 | 1,542,198 | 1,534,987 |
| Capital Outlay | | | | | | | | |
| Equipment | 23,310 | 23,310 | 23,310 | 23,310 | 23,310 | 23,310 | 23,310 | 23,310 |
| Other Current Expenses | | | | | | | | |
| Medicolegal Investigations | 22,141 | 22,150 | 22,150 | 22,150 | 22,150 | 22,150 | 22,150 | 22,150 |
| Total-General Fund | 7,043,251 | 7,826,222 | 8,688,806 | 8,036,814 | 8,029,603 | 8,936,819 | 8,320,798 | 8,313,587 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 12420 Military Medical Research and Development | 18,760 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16738 EDWARD BYRNE MEMORIAL JUSTICE ASSISTANCE GRANT PROGRAM | 58,657 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16742 Paul Coverdell Forensic Sciences Improvement Grant Program | 170,623 | 23,213 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93136 INJURY PREVENTN & CONTROL RESEARCH/STATE & COMMUNITY PROG | 161,641 | 220,190 | 220,190 | 220,190 | 220,190 | 0 | 0 | 0 |
| 93354 Public Health Crisis Response | 85,997 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 7,538,929 | 8,069,625 | 8,908,996 | 8,257,004 | 8,249,793 | 8,936,819 | 8,320,798 | 8,313,587 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|-----------|-----------|-----------|-------------|-----------|-------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 4,535,927 | 4,883,612 | 4,444,011 | 4,448,799 | 4,634,884 | 4,645,707 |
| Salaries & Wages-Temporary | 71,191 | 138,068 | 140,309 | 140,309 | 145,805 | 145,979 |
| Salaries & Wages-Part Time | 285,345 | 320,472 | 325,673 | 325,673 | 341,486 | 341,986 |
| Longevity Payments | 16,319 | 13,195 | 13,195 | 13,195 | 13,195 | 13,195 |
| Overtime | 440,008 | 490,650 | 707,927 | 623,313 | 724,849 | 645,567 |
| Differential Payments | 0 | 0 | 676,108 | 402,795 | 702,617 | 445,634 |
| Accumulated Leave | 32,653 | 5,436 | 5,436 | 5,436 | 5,436 | 5,436 |
| Other Salaries & Wages | 451,260 | 484,131 | 484,131 | 484,131 | 484,131 | 484,131 |
| Emp Allow & Reportable Pymnts | 0 | 0 | 3,505 | 2,088 | 3,505 | 2,088 |
| Other Settlements - Reportable | 0 | 0 | 700 | 417 | 700 | 417 |
| Other | 4,757 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 |
| TOTAL - Personal Services-Personal Services | 5,837,460 | 6,338,564 | 6,803,995 | 6,449,156 | 7,059,608 | 6,733,140 |

Other Expenses

| | | | | | | |
|----------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Clothing & Footwear | 0 | 0 | 2,550 | 0 | 450 | 0 |
| Communications | 38,805 | 50,805 | 50,805 | 50,805 | 50,805 | 50,805 |
| Delivery Services | 0 | 0 | 16,375 | 0 | 16,375 | 0 |
| Employee Expenses | 6,438 | 10,668 | 10,668 | 10,668 | 10,668 | 10,668 |
| Employee Travel | 10,791 | 10,791 | 10,791 | 10,791 | 10,791 | 10,791 |
| Equipment Lease/Rental-Other | 0 | 0 | 18,000 | 0 | 18,000 | 0 |
| Equipment Rental and Maintenance | 25,728 | 25,728 | 25,728 | 25,728 | 25,728 | 25,728 |
| Express Postage | 0 | 0 | 440 | 0 | 440 | 0 |
| Fees And Permits | 0 | 0 | 5,000 | 0 | 0 | 0 |
| General Office Supplies | 0 | 0 | 1,000 | 0 | 1,000 | 0 |
| Hazardous Waste Disposal Srvcs | 0 | 0 | 10,150 | 0 | 10,150 | 0 |
| Information Technology | 91,659 | 91,659 | 91,659 | 91,659 | 91,659 | 91,659 |
| IT Software Maint & Support | 0 | 0 | 25,713 | 0 | 25,713 | 0 |
| Laboratory Services & Testing | 0 | 0 | 198,625 | 0 | 198,625 | 0 |
| Laboratory Supplies | 0 | 0 | 4,500 | 0 | 4,500 | 0 |
| Medical Supplies | 0 | 0 | 23,250 | 0 | 22,750 | 0 |
| Motor Vehicle Costs | 118,984 | 126,512 | 126,512 | 126,512 | 126,512 | 126,512 |
| Motor Vehicle Fuel - Diesel | 0 | 0 | 1,000 | 0 | 1,000 | 0 |
| Motor Vehicle Fuel - Gasoline | 0 | 0 | 2,600 | 0 | 2,600 | 0 |
| Motor Vehicle Rental | 0 | 0 | 4,800 | 0 | 4,800 | 0 |
| Other Services | 577,549 | 762,748 | 762,748 | 862,748 | 762,748 | 862,748 |
| Premises Cleaning Supplies | 0 | 0 | 1,575 | 0 | 1,575 | 0 |
| Premises Expenses | 21,552 | 34,552 | 34,552 | 34,552 | 34,552 | 34,552 |
| Professional Services | 70,129 | 86,854 | 86,854 | 79,643 | 86,854 | 79,643 |
| Purchased Commodities | 198,705 | 241,881 | 241,881 | 241,881 | 241,881 | 241,881 |
| Regular Postage | 0 | 0 | 325 | 0 | 325 | 0 |
| Transportation Of Persons-Gen | 0 | 0 | 81,250 | 0 | 81,250 | 0 |
| TOTAL-Other Expenses | 1,160,340 | 1,442,198 | 1,839,351 | 1,534,987 | 1,831,751 | 1,534,987 |

Equipment

| | | | | | | |
|-----------------|--------|--------|--------|--------|--------|--------|
| Equipment | 23,310 | 23,310 | 23,310 | 23,310 | 23,310 | 23,310 |
| TOTAL-Equipment | 23,310 | 23,310 | 23,310 | 23,310 | 23,310 | 23,310 |

Other Current Expenses

| | | | | | | |
|------------------------------|--------|--------|--------|--------|--------|--------|
| Medicolegal Investigations | 22,141 | 22,150 | 22,150 | 22,150 | 22,150 | 22,150 |
| TOTAL-Other Current Expenses | 22,141 | 22,150 | 22,150 | 22,150 | 22,150 | 22,150 |

| | | | | | | |
|------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services | 5,837,460 | 6,338,564 | 6,803,995 | 6,449,156 | 7,059,608 | 6,733,140 |
| Other Expenses | 1,160,340 | 1,442,198 | 1,839,351 | 1,534,987 | 1,831,751 | 1,534,987 |
| Capital Outlay | 23,310 | 23,310 | 23,310 | 23,310 | 23,310 | 23,310 |
| Other Current Expenses | 22,141 | 22,150 | 22,150 | 22,150 | 22,150 | 22,150 |
| TOTAL-General Fund | 7,043,251 | 7,826,222 | 8,688,806 | 8,029,603 | 8,936,819 | 8,313,587 |

DEPARTMENT OF DEVELOPMENTAL SERVICES

AGENCY DESCRIPTION

The Department of Developmental Services (DDS) plans for and assists in the development of a comprehensive array of supports and services for Connecticut citizens who have intellectual disability or Prader-Willi Syndrome.

ELIGIBILITY FOR SERVICES

Section 1-1g of the Connecticut General Statutes defines “intellectual disability” as “significant limitation in intellectual functions and deficits in adaptive behavior that originated during the developmental period before eighteen years of age.” Thus, three factors must be in place for a person to be diagnosed as having intellectual disability: the person has an intelligence quotient (IQ) of less than 70 as measured by a standardized intelligence test; the everyday behavior or adaptive behavior of the person is markedly below what is expected for someone of the same age in the same cultural group; and the intellectual disability occurred before the individual’s 18th birthday.

| INDIVIDUALS ELIGIBLE FOR DDS SERVICES (as of September 2020) | | | | |
|-------------------------------------------------------------------------|----------------|--------------------|---------------|----------------|
| Age Range | In Home | Out of Home | Total | Percent |
| Children (0-17) | 2,154 | 62 | 2,216 | 13% |
| Young Adults (18-21) | 1,683 | 117 | 1,800 | 10% |
| Adults (22 and older) | 7,781 | 5,368 | 13,149 | 77% |
| Total | 11,618 | 5,547 | 17,165 | 100% |
| Percent | 68% | 32% | | |

THE DEPARTMENT’S MISSION

The mission of DDS is to partner with the individuals supported by the agency and their families, to support lifelong planning and to join with others to create and promote meaningful opportunities for individuals to fully participate as valued members of their communities. DDS works to ensure that all citizens supported by the agency are valued contributors to their communities as family members, friends, neighbors, students, employees, volunteers, members of civic and religious associations, voters and advocates. These individuals: live, learn, work and enjoy community life in places where they can use their personal strengths, talents and passions; have safe, meaningful and empowering relationships; have families who feel supported from the earliest years and throughout their lifetimes; have lifelong

opportunities and the assistance to learn things that matter to them; make informed choices, take responsibility for their lives and experience the dignity of risk; earn money to facilitate personal choices; and know their rights and responsibilities and pursue opportunities to live the life they choose.

SERVICES AND TRENDS

As of September 30, 2020, 17,165 persons with intellectual disability were made eligible for services through the department. Each of these individuals may have access to, at a minimum, family support services, including helpline case management.

DDS operates three Home and Community-Based Services (HCBS) Waivers, under which most of the department’s supports are funded. Services delivered through and outside of these waivers include primarily residential and day/employment supports. It is these supports that are typically annually funded for supported individuals; additional individual and family supports may be offered on an intermittent basis.

Residential services and supports are tailored to meet the needs of the individual in their family or own home, in a community companion home, in a community living arrangement, with continuous residential supports, with supported living, or in a campus setting. These supports are offered by private providers or DDS, or may be self-directed by the individual, meaning that services are delivered by staff hired by the individual or their legal representative. Individuals who direct their own supports are required to use a fiscal intermediary to assure appropriate payment, reimbursement, and overall fiscal accountability. Individualized residential supports are designed to best meet the individual’s needs, goals and preferences to live in the community in a home of their choosing.

In addition to residential supports, the department offers day and employment services. Programs specifically aimed at achievement of employment goals include individualized or group supported employment, pre-vocational programs, and a new type of service aimed at preparing individuals for competitive employment, called transitional services. Individuals may also access day support options or individualized day supports, which lead to the acquisition, improvement, and/or retention of skills and abilities to prepare individuals for work and/or community participation, or support meaningful socialization, leisure, and retirement activities. Day and employment supports are primarily offered through private providers, with a small number of individuals supported by department staff, or self-directing their own services.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|
| <ul style="list-style-type: none"> • Fund Caseload Growth for Employment and Day Services Placements <i>Supports annualization of FY 2021 placements and provides employment and day supports for 325 individuals in FY 2022 and FY 2023 who will be graduating high school, 88 individuals in FY 2022 and 76 individuals in FY 2023 who will be aging out of services provided by the Department of Children and Families or local education agencies, and 20 individuals in FY 2022 and FY 2023 transitioning under Money Follows the Person.</i> | 8,385,000 | 19,133,000 |
| <ul style="list-style-type: none"> • Provide Funding for State Employee Wage Adjustments | 3,009,388 | 3,009,388 |
| <ul style="list-style-type: none"> • Reflect Impact of 27th Payroll During FY 2023 | 0 | 8,181,496 |
| <ul style="list-style-type: none"> • Adjust Funding to Reflect Natural Attrition in the Behavioral Services Program | -2,325,000 | -2,325,000 |
| <ul style="list-style-type: none"> • Reduce Supplemental Payments for Medical Services to Reflect Projected Census | -100,000 | -200,000 |
| <ul style="list-style-type: none"> • Realign Funds to Annualize FY 2021 Other Expenses Requirements | 0 | 0 |
| | | |
| Reductions | FY 2022 | FY 2023 |
| <ul style="list-style-type: none"> • Transition to Individual Supported Employment Services | -4,140,000 | -4,140,000 |
| <ul style="list-style-type: none"> • Annualize FY 2021 Rescissions | -3,544,008 | -3,544,008 |
| <ul style="list-style-type: none"> • Achieve Overtime Savings by Hiring Part-Time Staff <i>Savings will be achieved by hiring approximately 200 new part-time direct care staff to fill vacancies in the system currently being covered by full-time staff being paid overtime wages at time-and-one-half or double time.</i> | -2,077,280 | -2,077,280 |
| <ul style="list-style-type: none"> • Achieve Savings Due to the Closure of One Public Community Living Arrangement <i>Savings will be achieved as the census in public group homes is reduced through natural attrition and consolidation of buildings.</i> | -1,030,000 | -1,030,000 |
| | | |
| Reallocations | FY 2022 | FY 2023 |
| <ul style="list-style-type: none"> • Centralize Funding for Workers' Compensation Claims Under the Department of Administrative Services | -15,404,040 | -15,404,040 |
| <ul style="list-style-type: none"> • Reflect Annualization of Centralized Human Resources and Labor Relations Services | -2,402,059 | -2,494,446 |
| <ul style="list-style-type: none"> • Reallocate Funding to the Rent Subsidy Account from the Department of Social Services <i>Funding is transferred to the Rent Subsidy account from the Community Residential Services account at the Department of Social Services to better align current program requirements across agencies.</i> | 250,000 | 250,000 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,937 | 543 | 0 | 2,480 | 2,480 | 2,450 | 2,480 | 2,450 |
| Federal Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| | | | | | | | | |
| Other Positions Equated to Full-Time | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 463 | 463 | 463 | 463 | 463 | 463 |
| | | | | | | | | |
| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Agency Management Services | 38,698,476 | 40,888,592 | 41,593,413 | 41,649,546 | 38,190,027 | 43,188,051 | 43,246,343 | 39,694,437 |
| Resource Supports | 64,017,629 | 70,734,801 | 72,041,999 | 72,041,999 | 71,260,499 | 74,354,928 | 74,354,928 | 73,573,428 |
| Employment & Day Supports | 258,206,460 | 287,044,998 | 300,751,525 | 295,445,460 | 291,305,460 | 312,242,419 | 306,317,598 | 302,177,598 |
| Residential Supports | 155,149,908 | 173,791,660 | 177,745,934 | 175,320,934 | 155,354,566 | 182,143,566 | 179,618,566 | 159,652,198 |
| TOTAL Agency Programs | 516,072,473 | 572,460,051 | 592,132,871 | 584,457,939 | 556,110,552 | 611,928,964 | 603,537,435 | 575,097,661 |

Summary of Funding

| | | | | | | | | |
|-----------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 514,989,229 | 571,762,551 | 591,006,871 | 583,331,939 | 554,984,552 | 610,552,964 | 602,161,435 | 573,721,661 |
| Federal Funds | 608,122 | 575,000 | 600,000 | 600,000 | 600,000 | 600,000 | 600,000 | 600,000 |
| Private Funds | 475,122 | 122,500 | 526,000 | 526,000 | 526,000 | 776,000 | 776,000 | 776,000 |
| Total Agency Programs | 516,072,473 | 572,460,051 | 592,132,871 | 584,457,939 | 556,110,552 | 611,928,964 | 603,537,435 | 575,097,661 |

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 17a-210.

Statement of Need and Program Objectives

To plan, develop, implement, and direct a comprehensive array of supports for Connecticut’s citizens with intellectual disability.

Program Description

Agency Management Services ensure that a comprehensive, integrated, equitable, and cost-effective system of supports is available to individuals with intellectual disability through coordinated planning,

systems improvement, and standardizations of best practices. This includes support to private providers, as partners in the delivery of supports and services.

Ongoing staff development opportunities are available to public and private employees. Trainings designed to develop and enhance employee competence occur in the classroom, through web-based platforms, on-the-job training, and through mentoring activities. Programs include: health and wellness, safety and basic protections, medication administration, specialized supports, person-centered planning, individual and family support, case management, and quality systems improvement.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 370 | 7 | 0 | 377 | 377 | 347 | 377 | 347 |
| Federal Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 16 | 16 | 16 | 16 | 16 | 16 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 31,522,362 | 34,412,982 | 34,692,803 | 34,748,936 | 31,289,417 | 36,037,441 | 36,095,733 | 32,543,827 |
| Other Expenses | 5,916,183 | 5,431,570 | 5,431,570 | 5,431,570 | 5,431,570 | 5,431,570 | 5,431,570 | 5,431,570 |
| Other Current Expenses | | | | | | | | |
| Clinical Services | 11,525 | 11,590 | 11,590 | 11,590 | 11,590 | 11,590 | 11,590 | 11,590 |
| ID Partnership Initiatives | 71,562 | 141,040 | 141,040 | 141,040 | 141,040 | 141,040 | 141,040 | 141,040 |
| Emergency Placements | 142,340 | 216,410 | 216,410 | 216,410 | 216,410 | 216,410 | 216,410 | 216,410 |
| Other Current Expenses | 225,427 | 369,040 | 369,040 | 369,040 | 369,040 | 369,040 | 369,040 | 369,040 |
| Total-General Fund | 37,663,972 | 40,213,592 | 40,493,413 | 40,549,546 | 37,090,027 | 41,838,051 | 41,896,343 | 38,344,437 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 426,382 | 100,000 | 500,000 | 500,000 | 500,000 | 750,000 | 750,000 | 750,000 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93630 Developmental Disabilities Basic Support | 608,122 | 575,000 | 600,000 | 600,000 | 600,000 | 600,000 | 600,000 | 600,000 |
| Total - All Funds | 38,698,476 | 40,888,592 | 41,593,413 | 41,649,546 | 38,190,027 | 43,188,051 | 43,246,343 | 39,694,437 |

RESOURCE SUPPORTS

Statutory Reference

C.G.S. Sections 17a-210 and 17a-219a.

Statement of Need and Program Objectives

To coordinate the planning, development and administration of a system of case management supports and services based on individual needs and preferences. These supports maximize federal resources with a 50% reimbursement to the General Fund.

To assist families with children with disabilities to find the support services and assistance to lead lives in their communities.

Program Description

Case management is available to individuals eligible for DDS services and is designed to assist individuals and their families to plan for and coordinate needed supports and services, including those directly provided by DDS, purchased from private providers, or self-directed. The agency operates regional helplines for individuals without specifically assigned case managers.

Family Supports offer a wide range of supports and services that assist families who care for individuals with intellectual disability. Supports may include: respite, short-term crisis support, in-home supports, skill training, transportation, behavioral support, clinical supports, and grants to defray extraordinary one-time expenses. During FY 2020, 801 people utilized public respite centers (87 children and 714 adults), 2,071 individuals received grants (559 children and 1,512 adults) and an average of 592 individuals/families were served by individual and family support teams each quarter.

Specialized supports are available to individuals who require assistance due to physical disability, challenging behaviors, or health needs. Department staff, contracted specialists, and community providers conduct assessments and develop plans for specialized support services that include: behavioral, physical and occupational therapy, nursing, medical, dental, dietary, psychological, and psychiatric, communication, and assistive technology.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 569 | 497 | 0 | 1,066 | 1,066 | 1,066 | 1,066 | 1,066 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested | Recommended |
| General Fund | 29 | 29 | 29 | 29 | 29 | 29 | 29 | 29 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 54,131,030 | 59,094,882 | 60,396,351 | 60,396,351 | 59,896,351 | 62,709,280 | 62,709,280 | 62,209,280 |
| Other Expenses | 932,226 | 855,263 | 855,263 | 855,263 | 855,263 | 855,263 | 855,263 | 855,263 |
| Other Current Expenses | | | | | | | | |
| Family Support Grants | 3,058,025 | 3,700,840 | 3,700,840 | 3,700,840 | 3,700,840 | 3,700,840 | 3,700,840 | 3,700,840 |
| Clinical Services | 2,139,384 | 2,151,387 | 2,151,387 | 2,151,387 | 2,151,387 | 2,151,387 | 2,151,387 | 2,151,387 |
| Behavioral Services Program | 424,427 | 642,184 | 642,184 | 642,184 | 642,184 | 642,184 | 642,184 | 642,184 |
| Emergency Placements | 1,498,699 | 2,278,591 | 2,284,320 | 2,284,320 | 2,002,820 | 2,284,320 | 2,284,320 | 2,002,820 |
| Total--Other Current Expenses | 7,120,535 | 8,773,002 | 8,778,731 | 8,778,731 | 8,497,231 | 8,778,731 | 8,778,731 | 8,497,231 |

Pmts to Other Than Local Govts

| | | | | | | | | |
|-------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Employment Opportunities and Day Services | 1,795,623 | 1,996,654 | 1,996,654 | 1,996,654 | 1,996,654 | 1,996,654 | 1,996,654 | 1,996,654 |
| Total-General Fund | 63,979,414 | 70,719,801 | 72,026,999 | 72,026,999 | 71,245,499 | 74,339,928 | 74,339,928 | 73,558,428 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 38,215 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| Total - All Funds | 64,017,629 | 70,734,801 | 72,041,999 | 72,041,999 | 71,260,499 | 74,354,928 | 74,354,928 | 73,573,428 |

EMPLOYMENT AND DAY SUPPORTS

Statutory Reference

C.G.S Sections 17a-217, 17a-218 and 17a-226.

Statement of Need and Program Objectives

To provide pre-vocational services for individuals to perform in a work setting, with a path to integrated community employment and to provide intensive ongoing supports to individuals and groups to enable individuals to maintain competitive employment.

To provide services to individuals tailored to their specific personal outcomes related to the improvement or retention of skills and abilities to prepare and support an individual for community participation.

Program Description

Pre-vocational services consist of supports delivered for the purpose of furthering habilitation goals and creating a path to integrated community-based employment for which an individual is compensated at or above the minimum wage. In FY 2020, 66 individuals were supported through pre-vocational programs.

Group Supported Employment (GSE) provides supports for a group of individuals in a structured work environment, who are on the path to competitive employment. During FY 2020, 2,546 individuals were supported through GSE programs.

Day Support Options offer adults with intellectual disability opportunities to experience valued adult roles, develop relationships, and make personal choices while participating in leisure, recreation and, volunteer service activities within their community. Activities occur in a variety of natural settings such as stores, libraries, restaurants, recreational facilities and community centers. Supports include the development, maintenance or enhancement of independent functioning skills including but not limited to sensory-motor, cognition, personal grooming, hygiene, toileting, assistance in

developing and maintaining friendships of choice and skills to use in daily interactions; the development of work skills; opportunities to earn money; and opportunities to participate in community activities. During FY 2020, 4,925 individuals participated in this program.

Individual Supported Employment (ISE) provides supports to help individuals work as paid employees in work settings that also employ persons without disabilities.

Supported employment may include: assistance in locating a job or developing a job; supports that assist the individual in achieving self-employment through the operation of a business; job site training, job coaching, transportation, family support, or any service necessary to achieve and maintain the supported employment placement, throughout the term of the employment. In FY 2020, 651 individuals were supported through this program.

Adult Day Health supports are provided through a community-based program designed to offer a variety of health, social and related support services including, but not limited to, socialization, supervision and monitoring, personal care and nutrition in a protective setting during any part of a day. In FY 2020, 32 individuals were supported through this program.

Individualized Day Supports provide services to individuals, tailored to their specific personal outcomes related to the acquisition, improvement or retention of skills and abilities to prepare and support an individual for work and community participation or meaningful retirement activities. Supports include non-vocational, vocational and retirement supports. Non-vocational and vocational individualized day supports cannot be provided in or from a facility-based day program. Individualized day retirement program may be provided in the home in accordance with the individual's plan. In FY 2020, 1,815 individuals received individualized day supports.

| | | | | | | | | |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 33 | 0 | 0 | 33 | 33 | 33 | 33 | 33 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 5 | 5 | 5 | 5 | 5 | 5 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,943,343 | 3,213,249 | 3,225,211 | 3,225,211 | 3,225,211 | 3,349,349 | 3,349,349 | 3,349,349 |
| Other Expenses | 28,614 | 26,268 | 26,268 | 26,268 | 26,268 | 26,268 | 26,268 | 26,268 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Employment Opportunities and Day Services | 255,223,978 | 283,797,981 | 297,489,046 | 292,182,981 | 288,042,981 | 308,855,802 | 302,930,981 | 298,790,981 |
| Total-General Fund | 258,195,935 | 287,037,498 | 300,740,525 | 295,434,460 | 291,294,460 | 312,231,419 | 306,306,598 | 302,166,598 |
| Other Funds Available | | | | | | | | |
| Private Funds | 10,525 | 7,500 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 |
| Total - All Funds | 258,206,460 | 287,044,998 | 300,751,525 | 295,445,460 | 291,305,460 | 312,242,419 | 306,317,598 | 302,177,598 |

RESIDENTIAL SUPPORTS

Statutory Reference

C.G.S. Sections 17a-210, 17a-227(d) and 17a-228.

Statement of Need and Program Objectives

To provide supports to individuals necessary to develop relationships, exercise personal choice, develop competence and participate in community life within a family environment.

To provide continuous supports to ensure the health and safety of individuals with intellectual disability in a community-based home or to provide less than 24-hour supports to allow for individuals to live in their own home in order to live as independently as possible.

To provide intermediate care facilities for individuals who require a more intensive level of care.

Program Description

Community Companion Homes (CCH) offer individuals the opportunity to live in a private family home licensed by DDS. A CCH family provider provides individuals assistance with the acquisition, retention, or improvement of skills related to activities of daily living, such as personal grooming and cleanliness, bed making and household chores, eating and the preparation of food, and the social and adaptive skills necessary to enable the individual to reside in a community-based setting. In FY 2020, 387 individuals received supports in CCHs.

Community Living Arrangements (CLA) provide 24-hour supports in community-based, licensed homes. Individuals are provided assistance with the acquisition, retention, or improvement of skills related to activities of daily living. CLAs create an environment that assists individuals they serve to become contributing citizens in their

community as family members, friends, neighbors, students, employees, volunteers, members of civic and religious associations, voters, advocates, etc. CLAs are operated by DDS or private providers under contract with the department. In FY 2020, 3,641 individuals resided in CLAs.

Intermediate Care Facilities (ICF) operated by DDS include three regional centers and Southbury Training School (STS). All regional campus units, as well as STS, are certified and are therefore eligible for 50% Medicaid reimbursement. As of September 2020, the population at STS had declined to 156 residents and the population at the regional centers had declined to 144 individuals.

Individual Home Supports (IHS) assist with the acquisition, improvement, or retention of skills and provide the necessary supports to achieve personal outcomes that enhance an individual's ability to live in their community. Supports are tailored to the individual's needs, and may include assistance in locating an apartment or home in the community, health care, shopping, managing finances, housekeeping, transportation, developing relationships, and leisure activities. During FY 2020, 2,868 individuals were supported through IHS.

Continuous Residential Supports (CRS) ensure the health and safety of individuals with intellectual disability in a non-licensed, community-based home for three or fewer individuals. CRS assists with the acquisition, improvement, or retention of skills and provides the necessary support to achieve personal outcomes that enhance an individual's ability to live in their community. In FY 2020, 732 individuals were supported in CRS settings.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 965 | 39 | 0 | 1,004 | 1,004 | 1,004 | 1,004 | 1,004 |
| | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 413 | 413 | 413 | 413 | 413 | 413 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 103,530,984 | 110,024,838 | 114,348,386 | 112,948,386 | 109,371,106 | 118,746,018 | 117,346,018 | 113,768,738 |
| Other Expenses | 9,538,413 | 10,156,255 | 8,756,255 | 10,156,255 | 10,126,255 | 8,756,255 | 10,156,255 | 10,126,255 |
| Other Current Expenses | | | | | | | | |
| Housing Supports and Services | 0 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 |
| Clinical Services | 173,772 | 174,747 | 174,747 | 174,747 | 174,747 | 174,747 | 174,747 | 174,747 |
| Workers' Compensation Claims | 13,879,393 | 15,404,040 | 15,404,040 | 15,404,040 | 0 | 15,404,040 | 15,404,040 | 0 |
| Behavioral Services Program | 14,493,661 | 20,929,795 | 21,929,795 | 19,604,795 | 18,476,197 | 21,929,795 | 19,604,795 | 18,476,197 |
| Supplemental Payments for Medical Services | 2,955,256 | 3,008,132 | 3,008,132 | 2,908,132 | 2,908,132 | 3,008,132 | 2,808,132 | 2,808,132 |
| ID Partnership Initiatives | 704,230 | 1,387,960 | 1,387,960 | 1,387,960 | 1,311,510 | 1,387,960 | 1,387,960 | 1,311,510 |
| Emergency Placements | 2,061,986 | 3,134,999 | 3,165,725 | 3,165,725 | 3,165,725 | 3,165,725 | 3,165,725 | 3,165,725 |
| Total--Other Current Expenses | 34,268,298 | 45,439,673 | 46,470,399 | 44,045,399 | 27,436,311 | 46,470,399 | 43,945,399 | 27,336,311 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Rent Subsidy Program | 4,764,808 | 4,782,312 | 4,782,312 | 4,782,312 | 5,032,312 | 4,782,312 | 4,782,312 | 5,032,312 |
| Employment Opportunities and Day Services | 3,047,405 | 3,388,582 | 3,388,582 | 3,388,582 | 3,388,582 | 3,388,582 | 3,388,582 | 3,388,582 |
| Pmts to Other Than Local Govts | 7,812,213 | 8,170,894 | 8,170,894 | 8,170,894 | 8,420,894 | 8,170,894 | 8,170,894 | 8,420,894 |
| Total-General Fund | 155,149,908 | 173,791,660 | 177,745,934 | 175,320,934 | 155,354,566 | 182,143,566 | 179,618,566 | 159,652,198 |
| Total - All Funds | 155,149,908 | 173,791,660 | 177,745,934 | 175,320,934 | 155,354,566 | 182,143,566 | 179,618,566 | 159,652,198 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 127,444,800 | 136,131,566 | 140,938,031 | 134,134,645 | 146,434,828 | 139,541,214 |
| Salaries & Wages-Temporary | 1,962,907 | 2,142,909 | 2,182,642 | 2,182,642 | 2,267,304 | 2,267,304 |
| Salaries & Wages-Part Time | 16,447,113 | 17,955,324 | 18,275,743 | 22,416,243 | 18,985,120 | 23,125,620 |
| Longevity Payments | 1,150,656 | 1,256,173 | 1,256,173 | 1,256,173 | 1,256,173 | 1,256,173 |
| Overtime | 38,407,801 | 41,929,820 | 42,680,003 | 36,462,223 | 44,336,564 | 38,118,784 |
| Differential Payments | 0 | 0 | 0 | 0 | 210,383 | 210,383 |
| Accumulated Leave | 1,263,114 | 1,378,942 | 1,378,942 | 1,378,942 | 1,378,942 | 1,378,942 |
| Other Salaries & Wages | 4,864,240 | 5,310,293 | 5,310,293 | 5,310,293 | 5,331,850 | 5,331,850 |
| Other | 587,088 | 640,924 | 640,924 | 640,924 | 640,924 | 640,924 |
| TOTAL - Personal Services-Personal Services | 192,127,719 | 206,745,951 | 212,662,751 | 203,782,085 | 220,842,088 | 211,871,194 |

Other Expenses

| | | | | | | |
|----------------------------------|------------|------------|------------|------------|------------|------------|
| Client Services | 495,500 | 454,869 | 454,869 | 454,869 | 454,869 | 454,869 |
| Communications | 932,051 | 855,621 | 855,621 | 855,621 | 855,621 | 855,621 |
| Diesel-Generator | 2,861 | 2,626 | 2,626 | 2,626 | 2,626 | 2,626 |
| Electricity | 1,491,545 | 1,369,237 | 1,369,237 | 1,569,237 | 1,369,237 | 1,569,237 |
| Employee Expenses | 10,917 | 10,022 | 10,022 | 10,022 | 10,022 | 10,022 |
| Employee Travel | 513,034 | 470,965 | 470,965 | 470,965 | 470,965 | 470,965 |
| Equipment Rental and Maintenance | 656,904 | 603,037 | 603,037 | 603,037 | 603,037 | 603,037 |
| Food And Beverages | 2,023,130 | 1,857,231 | 1,857,231 | 2,177,231 | 1,857,231 | 2,177,231 |
| Information Technology | 403,287 | 370,217 | 370,217 | 370,217 | 370,217 | 370,217 |
| Motor Vehicle Costs | 2,235,490 | 2,052,178 | 2,052,178 | 2,252,178 | 2,052,178 | 2,252,178 |
| Natural Gas | 954,207 | 875,962 | 875,962 | 875,962 | 875,962 | 875,962 |
| Oil #2 | 211,950 | 194,570 | 194,570 | 194,570 | 194,570 | 194,570 |
| Other Services | 312,461 | 286,838 | 286,838 | 286,838 | 286,838 | 286,838 |
| Premises Expenses | 2,227,006 | 2,044,390 | 2,044,390 | 2,394,390 | 2,044,390 | 2,394,390 |
| Premises Rent Expense-Landlord | 1,344,971 | 1,234,685 | 1,234,685 | 1,234,685 | 1,234,685 | 1,234,685 |
| Professional Services | 865,084 | 794,147 | 794,147 | 794,147 | 794,147 | 794,147 |
| Propane | 26,967 | 24,755 | 24,755 | 24,755 | 24,755 | 24,755 |
| Purchased Commodities | 1,349,204 | 2,638,567 | 1,238,567 | 1,538,567 | 1,238,567 | 1,538,567 |
| Sewer | 199,087 | 182,762 | 182,762 | 182,762 | 182,762 | 182,762 |
| Water | 159,780 | 146,677 | 146,677 | 146,677 | 146,677 | 146,677 |
| TOTAL-Other Expenses | 16,415,436 | 16,469,356 | 15,069,356 | 16,439,356 | 15,069,356 | 16,439,356 |

Other Current Expenses

| | | | | | | |
|--------------------------------------------|------------|------------|------------|------------|------------|------------|
| Housing Supports and Services | 0 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 |
| Family Support Grants | 3,058,025 | 3,700,840 | 3,700,840 | 3,700,840 | 3,700,840 | 3,700,840 |
| Clinical Services | 2,324,681 | 2,337,724 | 2,337,724 | 2,337,724 | 2,337,724 | 2,337,724 |
| Workers' Compensation Claims | 13,879,393 | 15,404,040 | 15,404,040 | 0 | 15,404,040 | 0 |
| Behavioral Services Program | 14,918,088 | 21,571,979 | 22,571,979 | 19,118,381 | 22,571,979 | 19,118,381 |
| Supplemental Payments for Medical Services | 2,955,256 | 3,008,132 | 3,008,132 | 2,908,132 | 3,008,132 | 2,808,132 |
| ID Partnership Initiatives | 775,792 | 1,529,000 | 1,529,000 | 1,452,550 | 1,529,000 | 1,452,550 |
| Emergency Placements | 3,703,025 | 5,630,000 | 5,666,455 | 5,384,955 | 5,666,455 | 5,384,955 |
| TOTAL-Other Current Expenses | 41,614,260 | 54,581,715 | 55,618,170 | 36,302,582 | 55,618,170 | 36,202,582 |

Pmts to Other Than Local Govts

| | | | | | | |
|-------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Rent Subsidy Program | 4,764,808 | 4,782,312 | 4,782,312 | 5,032,312 | 4,782,312 | 5,032,312 |
| Employment Opportunities and Day Services | 260,067,006 | 289,183,217 | 302,874,282 | 293,428,217 | 314,241,038 | 304,176,217 |
| TOTAL-Pmts to Other Than Local Govts | 264,831,814 | 293,965,529 | 307,656,594 | 298,460,529 | 319,023,350 | 309,208,529 |
| Personal Services | 192,127,719 | 206,745,951 | 212,662,751 | 203,782,085 | 220,842,088 | 211,871,194 |
| Other Expenses | 16,415,436 | 16,469,356 | 15,069,356 | 16,439,356 | 15,069,356 | 16,439,356 |
| Other Current Expenses | 41,614,260 | 54,581,715 | 55,618,170 | 36,302,582 | 55,618,170 | 36,202,582 |
| Pmts to Other Than Local Govts | 264,831,814 | 293,965,529 | 307,656,594 | 298,460,529 | 319,023,350 | 309,208,529 |
| TOTAL-General Fund | 514,989,229 | 571,762,551 | 591,006,871 | 554,984,552 | 610,552,964 | 573,721,661 |

DEPARTMENT OF MENTAL HEALTH AND ADDICTION SERVICES

AGENCY DESCRIPTION

The Connecticut Department of Mental Health and Addiction Services is a health care agency whose mission is to promote the overall health and wellness of persons with behavioral health needs through an integrated network of holistic, comprehensive, effective and efficient services and supports that foster dignity, respect and self-sufficiency in those we serve.

DMHAS envisions a recovery system of high-quality behavioral health care that offers Connecticut residents an array of accessible services and recovery supports from which they are able to select those which

are effective in addressing their individual health concerns. These services and supports are culturally responsive, attentive to trauma, built on personal, family, and community strengths, and focus on promoting each person’s recovery, wellness and full citizenship. Finally, services and supports are integrated, responsive, and coordinated within the context of a locally managed system of care in collaboration with the community, thereby ensuring continuity of care both over time and across organizational boundaries. As a result, each person has maximal opportunities for establishing, or reestablishing, a safe, dignified and meaningful life in the communities of their choice

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| • Provide Funding for State Employee Wage Adjustments | 3,773,682 | 3,773,682 | |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 11,560,100 | |
| • Annualize Funding for Various FY 2021 Deficiencies | 6,288,724 | 6,288,724 | |
| • Reflect Annualization of Minimum Wage Increases for Employees of Private Providers | 603,826 | 1,321,639 | |
| • Provide Funds for Caseload Growth and Additional Operating Costs in FY 2022 | 3,979,466 | 7,242,144 | |
| <i>Funds will support 30 new Money Follows the Person (MFP) placements and annualization each year, continued discharges from Connecticut Valley Hospital, caseload increases in the General Assistance Managed Care account and additional operating costs.</i> | | | |
| Reductions | FY 2022 | FY 2023 | |
| • Annualize FY 2021 Rescissions | -2,135,782 | -2,135,782 | |
| Reallocations | FY 2022 | FY 2023 | |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services | -3,674,644 | -3,815,976 | |
| • Centralize Funding for Workers' Compensation Claims Under the Department of Administrative Services | -16,721,165 | -16,721,165 | |
| • Reallocate Personal Services to the Professional Services Account to Support Increased Costs | 0 | 0 | |
| • Reallocate Funding from the Department of Housing to Support Caseload Increases | 352,500 | 352,500 | |
| <i>Funding will support wrap-around services for 47 individuals anticipated to receive federal HUD Mainstream vouchers during FY 2022.</i> | | | |
| • Consolidate Funding for Katie Blair House Under Managed Service System Account | 0 | 0 | |
| Expansions | FY 2022 | FY 2023 | FY 2024 |
| • Fund Prevention and Enforcement Activities Related to Legalization of Cannabis | 533,750 | 1,070,096 | 1,067,500 |
| <i>Funding will support a position for secret shopper and minor decoy programs, an awareness campaign and prevention and education activities.</i> | | | |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 3,022 | 418 | 0 | 3,440 | 3,440 | 3,396 | 3,440 | 3,396 |
| Federal Funds | 9 | 0 | 0 | 9 | 9 | 9 | 8 | 8 |
| Private Funds | 1 | 1 | 0 | 2 | 2 | 2 | 2 | 2 |
| | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 298 | 298 | 298 | 298 | 298 | 298 |
| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Agency Management Services | 28,372,737 | 29,045,437 | 29,794,236 | 29,281,942 | 24,485,287 | 30,230,867 | 29,695,088 | 24,757,101 |
| Residential,Crisis, and Respite Services | 105,217,313 | 115,711,036 | 115,617,065 | 113,350,899 | 112,754,664 | 123,414,834 | 115,572,814 | 114,976,579 |
| Inpatient | 152,372,269 | 170,450,376 | 170,529,209 | 169,279,071 | 156,216,790 | 177,755,430 | 176,126,850 | 163,064,569 |
| Outpatient Treatment | 133,286,686 | 138,897,025 | 140,336,657 | 139,785,985 | 137,285,504 | 143,303,353 | 142,199,362 | 139,698,881 |
| Community Support Services | 248,747,551 | 253,546,866 | 257,304,658 | 256,144,577 | 255,085,115 | 260,087,481 | 258,522,421 | 257,462,959 |
| Advocacy,Education,Research and Prevention | 41,858,726 | 39,604,025 | 37,775,941 | 37,775,941 | 37,895,714 | 29,347,609 | 29,344,177 | 30,250,296 |
| TOTAL Agency Programs | 709,855,282 | 747,254,765 | 751,357,766 | 745,618,415 | 723,723,074 | 764,139,574 | 751,460,712 | 730,210,385 |
| Summary of Funding | | | | | | | | |
| General Fund | 620,033,831 | 652,687,698 | 664,072,747 | 658,333,396 | 636,438,055 | 686,552,849 | 673,873,987 | 652,623,660 |
| Insurance Fund | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 |
| Federal Funds | 77,131,564 | 82,563,744 | 75,587,958 | 75,587,958 | 75,587,958 | 65,889,664 | 65,889,664 | 65,889,664 |
| Private Funds | 12,084,460 | 11,522,919 | 11,284,684 | 11,284,684 | 11,284,684 | 11,284,684 | 11,284,684 | 11,284,684 |
| Special Non-Appropriated Funds | 193,050 | 68,027 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 709,855,282 | 747,254,765 | 751,357,766 | 745,618,415 | 723,723,074 | 764,139,574 | 751,460,712 | 730,210,385 |

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 17a-450, 17a-451, 17a-480, 17a-636 and 17a-637.

Statement of Need and Program Objectives

To ensure that DMHAS provides appropriate, efficient and effective programs and services to Connecticut's adults who suffer from psychiatric or substance use disorders within the resources available.

To lead the state's community-based prevention, intervention and treatment efforts through the development of a comprehensive policy and the assurance of the quality and appropriate range of services provided by the statewide network of grant-funded programs.

To plan for, integrate, coordinate, support and direct the delivery of programs and services to individuals through systems of care.

Program Description

Agency Management Services consists of four primary functions: conduct comprehensive statewide planning, research, data collection

and policy analysis to support the development of programs that address psychiatric and substance use disorders; support administrative and financial management of the agency's programs including the operation of state programs by performing such functions as accounting, budget coordination, grants/contracts management, field audits and personnel services; ensure responsible program management and the provision of quality services through on-site monitoring of grantees, program evaluation, training and technical assistance and inform the general public (through press releases and television public service announcements), community organizations, municipalities, legislators and other state agencies about psychiatric and substance use disorders and available resources in the state.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|---------------------------------------------------------------------|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Projected | Projected | | | | |
| Number of On-Site Monitoring Visits includes Fidelity Reviews | 176 | 181 | 186 | 191 | | | | |
| Number of Learning Collaboratives and Technical Assistance | 199 | 204 | 209 | 214 | | | | |
| Number of Trainings | 526 | 536 | 541 | 546 | | | | |
| Number of Provider Single State Audits Reviewed | 155 | 155 | 155 | 155 | | | | |
| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 230 | 61 | 0 | 291 | 291 | 247 | 291 | 247 |
| Federal Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| Other Positions Equated to Full-Time | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 16 | 16 | 16 | 16 | 16 | 16 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 18,231,514 | 19,943,031 | 20,097,899 | 20,136,919 | 16,462,275 | 20,957,994 | 20,997,014 | 17,181,038 |
| Other Expenses | 2,809,417 | 2,806,703 | 3,180,017 | 2,806,703 | 2,806,703 | 3,180,017 | 2,806,703 | 2,806,703 |
| Other Current Expenses | | | | | | | | |
| Managed Service System | 2,113,096 | 2,130,133 | 2,133,760 | 2,133,760 | 2,133,760 | 2,141,393 | 2,141,393 | 2,141,393 |
| General Assistance Managed Care | 105,378 | 303,552 | 481,552 | 303,552 | 303,552 | 493,227 | 315,227 | 315,227 |
| Workers' Compensation Claims | 1,018,863 | 1,007,939 | 1,122,011 | 1,122,011 | 0 | 1,145,496 | 1,122,011 | 0 |
| Young Adult Services | 63,042 | 69,627 | 69,627 | 69,627 | 69,627 | 69,627 | 69,627 | 69,627 |
| Home and Community Based Services | 0 | 0 | 0 | 0 | 0 | 30,513 | 30,513 | 30,513 |
| Other Current Expenses | 3,300,379 | 3,511,251 | 3,806,950 | 3,628,950 | 2,506,939 | 3,880,256 | 3,678,771 | 2,556,760 |
| Total-General Fund | 24,341,310 | 26,260,985 | 27,084,866 | 26,572,572 | 21,775,917 | 28,018,267 | 27,482,488 | 22,544,501 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 8,683 | 8,123 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 193,050 | 68,027 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93103 Food & Drug Administration Research | 17,133 | 21,372 | 22,440 | 22,440 | 22,440 | 23,562 | 23,562 | 23,562 |
| 93243 CT MATx | 1,333 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 CT SPF for Prescription Drugs | 118 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 Promoting Integrated Care in Connecticut | 1,125 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 Substance Abuse/Mental Health Srvs Projects Regional/National | 7,212 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93788 CT Promotes Recovery from Opioid Addiction | 658,093 | 658,602 | 658,602 | 658,602 | 658,602 | 160,710 | 160,710 | 160,710 |
| 93788 CT's Targeted Response to the Opioid Crisis | 2,524 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93958 Block Grants For Community Mental Health | 3,142,156 | 2,028,328 | 2,028,328 | 2,028,328 | 2,028,328 | 2,028,328 | 2,028,328 | 2,028,328 |

ADVOCACY, EDUCATION, RESEARCH AND PREVENTION

Statutory Reference

C.G.S. Sections 17a-476, 17a-453a and 17a-676.

Statement of Need and Program Objectives

To promote the recovery of adults suffering from severe and prolonged mental illnesses and/or substance use disorders by ensuring clean, safe and affordable living environments are available for learning and re-learning rehabilitative skills necessary for independent living.

To stabilize individuals who are experiencing acute emotional crises and divert them from inpatient hospitalization through the provision of crisis and respite services.

Program Description

Mental health and substance use residential services include a broad spectrum of residential and housing opportunities which vary in intensity based on individual needs. Services may include medical oversight, on-site staff supervision; counseling and follow-up support to assure residents receive needed community supports and psychiatric services. Mental health residential services include group homes, intensive residential programs, and transitional residential programs. Certain residential services are highly specialized, focusing on the needs of Young Adults, Deaf and Hearing Impaired, and individuals with Acquired or Traumatic Brain Injury (ABI/TBI).

Residential substance use services offer a range of treatment and ongoing living opportunities. Residential detoxification programs offer medical management of the withdrawal from alcohol and drugs. Counseling which addresses recovery from substance use is an

integral part of the daily treatment process. Residential rehabilitation programs offer recovery-oriented treatment services in a structured, therapeutic environment for individuals who require supports in order to maintain a drug-free lifestyle. Services include halfway houses, residential drug-free programs and a range of intensive residential programs. The range of services represents a continuum of care that affords an individual a progression through appropriate levels of care.

Some programs in this area are also designed to assess and treat adults and families in acute emotional crisis in order to stabilize their condition and prevent hospitalization when possible and to arrange for further treatment when necessary. These services are available seven days a week, primarily during first and second shift hours where mental health workers rapidly assess and treat individuals and families through face-to-face and telephone contacts. These services emphasize reducing suicide risk, risk of harm to others and likelihood of hospitalization. Emergency services are provided in crisis intervention centers, general hospital emergency departments, walk-in clinics or by mobile crisis teams. Crisis services may also include short-term respite beds which seek to divert consumers from inpatient psychiatric hospitalization.

Specialized crisis services are linked to certain police departments across the state. Crisis Intervention Teams (CITs) assist trained police officers in dealing with persons in psychiatric crisis. Police are trained across the state on dealing with persons with psychiatric disorders. CITs serve Hartford, Bridgeport, Fairfield, Stamford, Norwalk, Greenwich, Waterbury, New Haven, West Haven, Norwich, New London, Waterford, Groton, Danbury and Newington.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Prevention Providers | 353 | 373 | 373 | 373 |
| Evidence Based Programs, Practices, and Policies | 377 | 382 | 382 | 382 |
| Pre-Trial Intervention Program Admissions | 5,105 | 6,097 | 7,089 | 7,089 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 26 | 66 | 0 | 92 | 92 | 92 | 92 | 92 |
| Federal Funds | 6 | 0 | 0 | 6 | 6 | 6 | 5 | 5 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 12 | 12 | 12 | 12 | 12 | 12 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|
|------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|

| General Fund | Actual | Estimated | Requested | Baseline Recommended | Requested | Baseline Recommended | Requested | Baseline Recommended |
|--------------------------------------------------------------------------------------|----------------|------------------|------------------|-----------------------------|------------------|-----------------------------|------------------|-----------------------------|
| Personal Services | 2,664,458 | 2,805,349 | 2,846,880 | 2,846,880 | 2,880,630 | 2,969,039 | 2,969,039 | 3,039,135 |
| Other Expenses | 343,756 | 343,424 | 343,424 | 343,424 | 593,424 | 343,424 | 343,424 | 1,343,424 |
| Other Current Expenses | | | | | | | | |
| Housing Supports and Services | 61,388 | 61,597 | 61,597 | 61,597 | 61,597 | 61,597 | 61,597 | 61,597 |
| Managed Service System | 1,993,979 | 2,010,217 | 2,020,412 | 2,020,412 | 2,020,412 | 2,031,162 | 2,031,162 | 2,031,162 |
| Legal Services | 706,179 | 706,179 | 706,179 | 706,179 | 706,179 | 706,179 | 706,179 | 706,179 |
| Connecticut Mental Health Center | 1,978,525 | 1,978,525 | 1,978,525 | 1,978,525 | 1,978,525 | 1,978,525 | 1,978,525 | 1,978,525 |
| Professional Services | 23,099 | 23,125 | 23,125 | 23,125 | 23,125 | 23,125 | 23,125 | 23,125 |
| General Assistance Managed Care | 80,000 | 79,558 | 79,586 | 79,586 | 79,586 | 79,618 | 79,618 | 79,618 |
| Workers' Compensation Claims | 148,902 | 147,306 | 163,977 | 163,977 | 0 | 167,409 | 163,977 | 0 |
| Young Adult Services | 300,078 | 297,092 | 297,566 | 297,566 | 297,566 | 298,129 | 298,129 | 298,129 |
| Home and Community Based Services | 56,278 | 61,074 | 61,074 | 61,074 | 61,074 | 61,074 | 61,074 | 61,074 |
| Forensic Services | 29,567 | 30,194 | 30,194 | 30,194 | 30,194 | 30,194 | 30,194 | 30,194 |
| Total--Other Current Expenses | 5,377,995 | 5,394,867 | 5,422,235 | 5,422,235 | 5,258,258 | 5,437,012 | 5,433,580 | 5,269,603 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Grants for Substance Abuse Services | 2,128,006 | 2,138,370 | 2,156,250 | 2,156,250 | 2,156,250 | 2,177,506 | 2,177,506 | 2,177,506 |
| Grants for Mental Health Services | 40,000 | 40,130 | 40,130 | 40,130 | 40,130 | 40,130 | 40,130 | 40,130 |
| Pmts to Other Than Local Govts | 2,168,006 | 2,178,500 | 2,196,380 | 2,196,380 | 2,196,380 | 2,217,636 | 2,217,636 | 2,217,636 |
| Total-General Fund | 10,554,215 | 10,722,140 | 10,808,919 | 10,808,919 | 10,928,692 | 10,967,111 | 10,963,679 | 11,869,798 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | | | | | | | | |
| Private Funds | 2,783,033 | 2,447,036 | 2,404,462 | 2,404,462 | 2,404,462 | 2,404,462 | 2,404,462 | 2,404,462 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | | | | | | | | |
| 93103 Food & Drug Administration Research | 806,365 | 1,340,366 | 1,418,760 | 1,418,760 | 1,418,760 | 1,500,240 | 1,500,240 | 1,500,240 |
| 93243 2020 State Pilot Grant Program for Treatment for Pregnant and Postpartum Women | 0 | 900,000 | 900,000 | 900,000 | 900,000 | 900,000 | 900,000 | 900,000 |
| 93243 CT SPF for Prescription Drugs | 430,157 | 371,615 | 300,092 | 300,092 | 300,092 | 0 | 0 | 0 |
| 93243 Promoting Integrated Care in Connecticut | 1,874,786 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 |
| 93243 Substance Abuse/Mental Health Srvs Projects Regionl/National | 2,490,959 | 1,861,925 | 182,765 | 182,765 | 182,765 | 0 | 0 | 0 |
| 93665 Emergency Grants to Address Mental and Substance Use Disorders During COVID-19 | 0 | 250,000 | 50,000 | 50,000 | 50,000 | 0 | 0 | 0 |
| 93788 CT Promotes Recovery from Opioid Addiction | 16,759,158 | 13,550,590 | 13,550,590 | 13,550,590 | 13,550,590 | 5,415,443 | 5,415,443 | 5,415,443 |
| 93788 CT's Targeted Response to the Opioid Crisis | 217,705 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93958 Block Grants For Community Mental Health | 547,839 | 597,989 | 597,989 | 597,989 | 597,989 | 597,989 | 597,989 | 597,989 |
| 93959 SAPT Block Grant 2005 | 5,394,509 | 5,562,364 | 5,562,364 | 5,562,364 | 5,562,364 | 5,562,364 | 5,562,364 | 5,562,364 |
| Total - All Funds | 41,858,726 | 39,604,025 | 37,775,941 | 37,775,941 | 37,895,714 | 29,347,609 | 29,344,177 | 30,250,296 |

INPATIENT SERVICES

Statutory Reference

C.G.S. Section 17a-458, 17a-560 through 17a-576 and 17a-635(4).

Statement of Need and Program Objectives

To improve the mental health (level of functioning) of adults gravely disabled by mental illness and those dangerous to themselves or others in order to enable them to live in a less restrictive treatment setting through the provision of inpatient treatment programs.

To reduce the negative consequences associated with alcohol and other drugs by reducing alcohol and other drug use through inpatient detoxification and intensive residential services that are responsive to the individual's needs.

To protect the individual and society by operating a maximum-security hospital that provides tertiary-level psychiatric care and treatment of adults with forensic involvement who have presented diagnostic and/or severe behavioral management problems for other DMHAS facilities or Department of Correction facilities.

To perform court ordered evaluations of adults with forensic involvement. To provide ongoing psychiatric care and treatment to individuals found not guilty by reason of insanity.

Program Description

Inpatient mental health services are available at Connecticut Valley Hospital (CVH), Whiting Forensic Hospital (WFH), Connecticut Mental Health Center and Greater Bridgeport Community Mental Health Center (GBCMHC), each providing services to a wide range of psychiatrically disabled adults. GBCMHC has one unit for individuals with co-occurring problems. WFH provides specialized forensic services to individuals involved with the criminal justice system. DMHAS also contracts with two private hospitals, St. Vincent's and Natchaug, for a small number of intermediate level psychiatric beds.

All DMHAS state-operated inpatient facilities provide a range of therapeutic programs designed to meet the treatment needs of adults

in the most cost-effective manner possible. Specialty services include Geriatrics, Traumatic/Acquired Brain Injury, Young Adult Services, Cognitive Rehabilitation and Dialectical Behavior Training.

The WFH consists of the state's sole maximum-security hospital, as well as intermediate level inpatient services. The division accepts referrals of men and women 18 years of age or older from both psychiatric and correctional institutions, as well as persons committed by the superior court for evaluation and treatment. Services provided include psychiatric and nursing care, occupational therapy, education services, vocational assessment and recreational activities. WFH also provides assessments of dangerous offenders, research, teaching and training services in the field of forensic psychiatry.

CVH offers substance abuse inpatient services that include detoxification and intensive residential.

Addiction inpatient treatment and rehabilitation is provided at Blue Hills in Hartford and Merritt Hall under the management of CVH for individuals, regardless of ability to pay. There are two primary treatment settings:

Medically Managed Detoxification Services involve 24-hour medically-directed evaluation, care and treatment of adults with substance use disorders, within a medically managed inpatient setting that includes 24-hour physician and nursing coverage.

Intensive Medically Monitored Inpatient Treatment Services offer an organized service, staffed by designated addiction treatment personnel, including physicians, that provides a planned regimen of 24-hour professionally directed evaluation, care and treatment in an inpatient setting. These services are provided to adults whose sub-acute biomedical and emotional/behavioral problems are sufficiently severe to require inpatient care.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-----------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Bed Capacity Inpatient State Operated | 726 | 726 | 726 | 726 |
| Individuals Served Inpatient State Operated | 3,318 | 3,678 | 4,038 | 4,038 |
| Readmission Rate Inpatient State Operated | 7.7% | 7.4% | 7.1% | 6.8% |
| Bed Utilization Rate Inpatient State Operated | 88% | 91% | 93% | 93% |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 1,689 | 72 | 0 | 1,761 | 1,761 | 1,761 | 1,761 | 1,761 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 157 | 157 | 157 | 157 | 157 | 157 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 100,411,666 | 104,377,530 | 113,807,602 | 113,807,602 | 110,671,820 | 120,561,641 | 120,561,641 | 117,425,859 |

| | | | | | | | | |
|---------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Expenses | 15,899,330 | 21,481,449 | 17,614,372 | 17,372,009 | 17,372,009 | 17,614,372 | 17,372,009 | 17,372,009 |
| Other Current Expenses | | | | | | | | |
| Managed Service System | 687,207 | 692,638 | 693,908 | 693,908 | 693,908 | 695,417 | 695,417 | 695,417 |
| Professional Services | 8,374,893 | 14,284,265 | 9,789,865 | 8,884,265 | 9,884,265 | 9,847,065 | 8,884,265 | 9,884,265 |
| General Assistance Managed Care | 2,998,543 | 2,981,994 | 3,154,539 | 3,068,748 | 3,068,748 | 3,337,563 | 3,158,259 | 3,158,259 |
| Workers' Compensation Claims | 9,926,814 | 12,120,388 | 10,926,499 | 10,926,499 | 0 | 11,154,228 | 10,926,499 | 0 |
| Young Adult Services | 4,870,822 | 5,260,497 | 5,261,523 | 5,261,523 | 5,261,523 | 5,262,743 | 5,262,743 | 5,262,743 |
| Behavioral Health Medications | 6,310,800 | 6,346,797 | 6,346,797 | 6,346,797 | 6,346,797 | 6,346,797 | 6,346,797 | 6,346,797 |
| Nursing Home Contract | 409,594 | 409,594 | 425,978 | 409,594 | 409,594 | 425,978 | 409,594 | 409,594 |
| Forensic Services | 1,497,985 | 1,529,783 | 1,531,045 | 1,531,045 | 1,531,045 | 1,532,545 | 1,532,545 | 1,532,545 |
| Total--Other Current Expenses | 35,076,658 | 43,625,956 | 38,130,154 | 37,122,379 | 27,195,880 | 38,602,336 | 37,216,119 | 27,289,620 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Grants for Mental Health Services | 201,426 | 202,081 | 202,081 | 202,081 | 202,081 | 202,081 | 202,081 | 202,081 |
| Total-General Fund | 151,589,080 | 169,687,016 | 169,754,209 | 168,504,071 | 155,441,790 | 176,980,430 | 175,351,850 | 162,289,569 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 783,189 | 763,360 | 775,000 | 775,000 | 775,000 | 775,000 | 775,000 | 775,000 |
| Total - All Funds | 152,372,269 | 170,450,376 | 170,529,209 | 169,279,071 | 156,216,790 | 177,755,430 | 176,126,850 | 163,064,569 |

OUTPATIENT SERVICES

Statutory Reference

C.G.S. Sections 17a-450, 17a-453a, 17a-476 and 17a-676.

Statement of Need and Program Objectives

To improve or maintain the psychological functioning of adults who require ongoing clinical treatment for mental health and substance use disorders through individual, group or family therapy and medication support when necessary.

To improve functioning of young adults, persons with acquired or traumatic brain injury (ABI/TBI), and persons who are deaf/hard of hearing/ through a range of community-based mental health services specifically tailored to the individual needs of these populations.

Program Description

Outpatient treatment is typically provided in mental health or substance use outpatient clinics and offers a range of services of varied intensity. This program is focused on providing clinical supports in the community to individuals with mental health and substance use issues. Health professionals evaluate, diagnose and treat individuals or families through medication and regularly scheduled therapy visits as needed. Treatment helps to improve or sustain the level of functioning of adults who might otherwise require hospitalization. Outpatient services also focus on the special needs of the communities in which individuals are located to best serve persons who are elderly, are members of minority groups, are poor or are persons with prior hospitalizations.

Outpatient treatment (including prescription and monitoring of medication) may be of an extended duration. Service types include traditional outpatient services, intake and evaluation, treatment for

problem gambling, partial hospitalization, intensive outpatient, assertive community treatment, and Medication Assisted Treatment , e.g., methadone or buprenorphine and specialized services designed to address unique needs like young adults, and the hearing impaired. Outpatient treatment services also include behavioral health homes which seek to foster integration of medical and psychiatric needs. In response to the federal Nursing Home Reform Act, DMHAS is also involved in determining the appropriateness of nursing home care and the need for active treatment for persons experiencing mental illness.

Services provided to individuals with specialized needs include the following:

Deaf/Hard-of-Hearing Outpatient Services are provided by specially trained staff. In addition, the agency trains professionals to provide culturally-sensitive services, operates a network of consultative services and develops intermediate care programs and housing for individuals who have been discharged from state hospitals.

Young Adult Outpatient Services are provided to young adults who may have been referred from the Department of Children and Families (DCF) and, per a clinical assessment, have been determined to require ongoing mental health services.

Community Services for People with ABI/TBI consist primarily of case management services which are closely linked to the neuropsychiatry service at Connecticut Valley Hospital and the ABI services of the Department of Social Services.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|---------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Methadone Maintenance Slots | 9,045 | 9,045 | 9,045 | 9,045 |
| Methadone Maintenance Individuals Served | 13,216 | 13,216 | 13,216 | 13,216 |
| Percent of Individuals in Methadone Maintenance Treatment >= 1 Year | 73% | 74% | 75% | 76% |
| Outpatient SA Slots | 3,243 | 3,243 | 3,243 | 3,243 |
| Outpatient SA Individuals Served | 16,009 | 17,399 | 18,789 | 18,789 |
| Outpatient MH Slots | 25,325 | 25,325 | 25,325 | 25,325 |
| Outpatient MH Individuals Served | 31,426 | 32,976 | 34,526 | 34,526 |
| Assertive Community Treatment Slots | 1,162 | 1,162 | 1,162 | 1,162 |
| Assertive Community Treatment Individuals Served | 1,332 | 1,372 | 1,412 | 1,412 |
| Behavioral Health Homes Individuals Enrolled | 9,365 | 9,366 | 9,600 | 9,840 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 740 | 96 | 0 | 836 | 836 | 836 | 836 | 836 |
| Private Funds | 1 | 1 | 0 | 2 | 2 | 2 | 2 | 2 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 57 | 57 | 57 | 57 | 57 | 57 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 40,544,115 | 44,300,595 | 44,672,220 | 44,672,220 | 44,672,220 | 46,072,281 | 46,072,281 | 46,072,281 |
| Other Expenses | 5,214,642 | 5,209,600 | 5,348,996 | 5,298,324 | 5,298,324 | 5,348,996 | 5,298,324 | 5,298,324 |

| Other Current Expenses | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Managed Service System | 19,293,059 | 19,411,255 | 19,512,544 | 19,512,544 | 19,512,544 | 19,750,001 | 19,750,001 | 19,750,001 |
| Connecticut Mental Health Center | 5,174,577 | 5,174,577 | 5,674,577 | 5,174,577 | 5,174,577 | 6,174,577 | 5,174,577 | 5,174,577 |
| Professional Services | 2,279,940 | 2,282,492 | 2,282,492 | 2,282,492 | 2,282,492 | 2,282,492 | 2,282,492 | 2,282,492 |
| Workers' Compensation Claims | 2,265,796 | 2,241,504 | 2,500,481 | 2,500,481 | 0 | 2,553,800 | 2,500,481 | 0 |
| Nursing Home Screening | 652,784 | 652,784 | 652,784 | 652,784 | 652,784 | 652,784 | 652,784 | 652,784 |
| Young Adult Services | 27,997,915 | 29,119,128 | 29,395,700 | 29,395,700 | 29,395,700 | 30,313,704 | 30,313,704 | 30,313,704 |
| TBI Community Services | 2,033,751 | 2,074,460 | 2,090,040 | 2,090,040 | 2,090,040 | 2,132,318 | 2,132,318 | 2,132,318 |
| Behavioral Health Medications | 358,760 | 360,806 | 360,806 | 360,806 | 360,806 | 360,806 | 360,806 | 360,806 |
| Discharge and Diversion Services | 365,694 | 363,185 | 363,847 | 363,847 | 363,847 | 364,634 | 364,634 | 364,634 |
| Forensic Services | 136,559 | 139,458 | 139,458 | 139,458 | 139,458 | 139,458 | 139,458 | 139,458 |
| Total--Other Current Expenses | 60,558,835 | 61,819,649 | 62,972,729 | 62,472,729 | 59,972,248 | 64,724,574 | 63,671,255 | 61,170,774 |

| Pmts to Other Than Local Govts | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Grants for Substance Abuse Services | 4,849,025 | 4,872,641 | 4,913,510 | 4,913,510 | 4,913,510 | 4,962,095 | 4,962,095 | 4,962,095 |
| Grants for Mental Health Services | 15,556,017 | 15,606,569 | 15,641,231 | 15,641,231 | 15,641,231 | 15,682,436 | 15,682,436 | 15,682,436 |
| Pmts to Other Than Local Govts | 20,405,042 | 20,479,210 | 20,554,741 | 20,554,741 | 20,554,741 | 20,644,531 | 20,644,531 | 20,644,531 |
| Total-General Fund | 126,722,634 | 131,809,054 | 133,548,686 | 132,998,014 | 130,497,533 | 136,790,382 | 135,686,391 | 133,185,910 |

| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 2,383,030 | 2,632,000 | 2,632,000 | 2,632,000 | 2,632,000 | 2,632,000 | 2,632,000 | 2,632,000 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------------------------------------------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93092 DOULA Prep Grant | 149,234 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 CT MATx | 252,725 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 Healthy Transitions:Improving Life Trajectories for Youth Young Adults with Serious Mental Disorders | 0 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 |
| 93243 PRIME Clinic: Stepped Care for Youth | 700,000 | 500,000 | 200,000 | 200,000 | 200,000 | 150,000 | 150,000 | 150,000 |
| 93958 Block Grants For Community Mental Health | 433,527 | 433,527 | 433,527 | 433,527 | 433,527 | 433,527 | 433,527 | 433,527 |
| 93959 SAPT Block Grant 2005 | 2,420,536 | 2,297,444 | 2,297,444 | 2,297,444 | 2,297,444 | 2,297,444 | 2,297,444 | 2,297,444 |
| 93994 DOULA CMFPW Grant | 225,000 | 225,000 | 225,000 | 225,000 | 225,000 | 0 | 0 | 0 |
| Total - All Funds | 133,286,686 | 138,897,025 | 140,336,657 | 139,785,985 | 137,285,504 | 143,303,353 | 142,199,362 | 139,698,881 |

COMMUNITY SUPPORT SERVICES

Statutory Reference

C.G.S. Sections 17a-450, 17a-453a, 17a-476, 17a-560, 17a-576, 17a-635, and 17a-676.

Statement of Need and Program Objectives

To promote the recovery of adults suffering from severe and prolonged mental illnesses and/or substance use disorders by ensuring that recovery-oriented support services are available to support individuals through their recovery process.

To assist persons with psychiatric and substance use disorders to successfully engage in community-based employment through the provision of specialized work-related services and supports and to participate in community life by teaching them vocational and daily living skills, improving their interpersonal skills and increasing their ability to plan and manage their lives.

To improve or maintain the functioning of adults who require ongoing community support through a comprehensive range of rehabilitative and supportive services.

To assist the courts in diagnosing the mental status of individuals being processed through the criminal justice system and to provide ongoing psychiatric care and treatment to individuals found not guilty by reason of insanity.

To reduce incarceration of persons with behavioral health disorders by providing courts with community-based clinical alternatives to incarceration when appropriate.

Program Description

Community support services include a range of supportive services that assist persons with substance use or mental health issues to live

independently in the community. The program encompasses housing and emergency shelters, case management, employment, social rehabilitation, peer services, and specialized forensic services. This program and the services that are provided in this category seek to assist consumers to obtain and maintain stable housing, employment, and increase social skills and daily living skills that promote recovery. By successfully engaging individuals in a variety of community-based support services, individuals increase their ability to plan and manage their lives.

The following are discrete services offered to provide clinical and community support services and housing to people with mental illness and/or addictions who are justice involved under this program:

Offices of Forensic Evaluation are located in Bridgeport, New Haven, Hartford and Norwich. Services are tailored to the service recipient's needs. The Offices of Forensic Evaluations are responsible for assessments as required by statute. In addition, court clinics provide consultations to public defenders, judges, criminal justice and correctional personnel. Forensic psychiatrists are employed for the purpose of providing expert advice to DMHAS about competency restoration and services for insanity acquittees and other high-risk individuals with severe mental illness.

Jail Diversion Programs are provided statewide to all geographical area courts. These programs are provided on-site at the court to identify, diagnose, refer into treatment and monitor defendants with behavioral health treatment needs, thus reducing the need for incarceration and facilitating access to treatment.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Supervised Apartments Capacity | 643 | 643 | 643 | 643 |
| Supervised Apartments Individuals Served | 788 | 803 | 818 | 818 |
| Supervised Apartments Utilization | 91% | 92% | 93% | 93% |
| Residential Support Slots | 565 | 565 | 565 | 565 |

| | | | | | | | | |
|-----------------------------------------------------|--|--|--|--|-------|-------|-------|-------|
| Residential Support Individuals Served | | | | | 632 | 665 | 698 | 698 |
| Social Rehabilitation Individuals Served | | | | | 6,143 | 6,178 | 6,198 | 6,218 |
| Employment Services Individuals Served | | | | | 4,597 | 4,597 | 4,597 | 4,597 |
| Employment Services Individuals Employed | | | | | 50% | 51.2% | 52% | 53% |
| Outreach & Engagement Individuals Served | | | | | 5,174 | 5,174 | 5,174 | 5,174 |
| Outreach & Engagement Individuals in Stable Housing | | | | | 84% | 84% | 84% | 84% |
| Community Support Programs Individuals Served | | | | | 5,433 | 5,548 | 5,663 | 5,663 |
| Case Management Individuals Served | | | | | 6,974 | 7,449 | 7,924 | 7,924 |
| Jail Diversion Individuals Served | | | | | 3,007 | 3,292 | 3,577 | 3,577 |

| | | | | | | | | |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 250 | 123 | 0 | 373 | 373 | 373 | 373 | 373 |

| | | | | | | | | |
|---------------------------------------------|--|--|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Other Positions Equated to Full-Time | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 48 | 48 | 48 | 48 | 48 | 48 |

| | | | | | | | | |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 22,942,905 | 25,070,943 | 25,273,318 | 25,273,318 | 25,273,318 | 25,951,024 | 25,951,024 | 25,951,024 |
| Other Expenses | 541,004 | 540,480 | 560,516 | 540,480 | 540,480 | 560,516 | 540,480 | 540,480 |

| | | | | | | | | |
|-----------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Other Current Expenses | | | | | | | | |
| Housing Supports and Services | 22,826,910 | 22,904,566 | 23,295,870 | 22,943,370 | 23,295,870 | 23,341,998 | 22,989,498 | 23,341,998 |
| Managed Service System | 25,689,044 | 25,894,507 | 25,953,143 | 25,953,143 | 25,968,293 | 26,050,308 | 26,050,308 | 26,065,458 |
| Connecticut Mental Health Center | 695,221 | 695,221 | 695,221 | 695,221 | 695,221 | 695,221 | 695,221 | 695,221 |
| Professional Services | 1,962,500 | 1,964,696 | 1,964,696 | 1,964,696 | 1,964,696 | 1,964,696 | 1,964,696 | 1,964,696 |
| General Assistance Managed Care | 12,502,799 | 12,433,796 | 12,613,125 | 12,525,580 | 12,525,580 | 12,803,759 | 12,620,790 | 12,620,790 |
| Workers' Compensation Claims | 1,282,158 | 1,268,411 | 1,411,962 | 1,411,962 | 0 | 1,441,517 | 1,411,962 | 0 |
| Young Adult Services | 34,768,175 | 34,467,496 | 34,536,722 | 34,536,722 | 34,536,722 | 34,658,373 | 34,658,373 | 34,658,373 |
| TBI Community Services | 101,460 | 104,533 | 104,545 | 104,545 | 104,545 | 104,559 | 104,559 | 104,559 |
| Discharge and Diversion Services | 10,911,332 | 10,836,475 | 10,996,204 | 10,996,204 | 10,996,204 | 11,299,658 | 11,019,658 | 11,019,658 |
| Home and Community Based Services | 19,711,465 | 20,573,614 | 22,399,300 | 22,399,300 | 22,399,300 | 23,418,568 | 23,418,568 | 23,418,568 |
| Katie Blair House | 15,000 | 15,150 | 15,150 | 15,150 | 0 | 15,150 | 15,150 | 0 |
| Forensic Services | 8,276,632 | 8,415,796 | 9,151,641 | 8,451,641 | 8,451,641 | 9,245,763 | 8,545,763 | 8,545,763 |
| Total--Other Current Expenses | 138,742,696 | 139,574,261 | 143,137,579 | 141,997,534 | 140,938,072 | 145,039,570 | 143,494,546 | 142,435,084 |

| | | | | | | | | |
|---------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Pmts to Other Than Local Govts | | | | | | | | |
| Grants for Substance Abuse Services | 6,574,435 | 6,606,455 | 6,661,899 | 6,661,899 | 6,661,899 | 6,727,809 | 6,727,809 | 6,727,809 |
| Grants for Mental Health Services | 38,990,253 | 39,116,959 | 39,205,874 | 39,205,874 | 39,205,874 | 39,311,573 | 39,311,573 | 39,311,573 |
| Employment Opportunities | 8,762,159 | 8,791,514 | 8,818,026 | 8,818,026 | 8,818,026 | 8,849,543 | 8,849,543 | 8,849,543 |
| Pmts to Other Than Local Govts | 54,326,847 | 54,514,928 | 54,685,799 | 54,685,799 | 54,685,799 | 54,888,925 | 54,888,925 | 54,888,925 |
| Total-General Fund | 216,553,452 | 219,700,612 | 223,657,212 | 222,497,131 | 221,437,669 | 226,440,035 | 224,874,975 | 223,815,513 |

| | | | | | | | | |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Managed Service System | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Total-Insurance Fund | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 |
| Other Funds Available | | | | | | | | |
| Private Funds | 938,373 | 529,299 | 330,491 | 330,491 | 330,491 | 330,491 | 330,491 | 330,491 |
| Federal Contributions | | | | | | | | |
| 14238 Shelter Plus Care | 35,676 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 |
| 14267 Continuum of Care Program | 22,788,339 | 24,706,451 | 24,706,451 | 24,706,451 | 24,706,451 | 24,706,451 | 24,706,451 | 24,706,451 |
| 93150 Projects For Assistance In Transition | 804,903 | 799,483 | 799,483 | 799,483 | 799,483 | 799,483 | 799,483 | 799,483 |
| 93243 Substance Abuse/Mental Health Srvs Projects Regionl/National | 181,802 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93958 Block Grants For Community Mental Health | 2,064,564 | 2,011,534 | 2,011,534 | 2,011,534 | 2,011,534 | 2,011,534 | 2,011,534 | 2,011,534 |
| 93959 SAPT Block Grant 2005 | 4,968,065 | 5,367,110 | 5,367,110 | 5,367,110 | 5,367,110 | 5,367,110 | 5,367,110 | 5,367,110 |
| Total - All Funds | 248,747,551 | 253,546,866 | 257,304,658 | 256,144,577 | 255,085,115 | 260,087,481 | 258,522,421 | 257,462,959 |

RESIDENTIAL, RESPITE, AND CRISIS SERVICES

Statutory Reference

C.G.S. Sections 17a-476, 17a-453a and 17a-676.

Statement of Need and Program Objectives

To promote the recovery of adults suffering from severe and prolonged mental illnesses and/or substance use disorders by ensuring clean, safe and affordable living environments are available for learning and re-learning rehabilitative skills necessary for independent living.

To stabilize individuals who are experiencing acute emotional crises and divert them from inpatient hospitalization through the provision of crisis and respite services.

Program Description

Mental health and substance use residential services include a broad spectrum of residential and housing opportunities which vary in intensity based on individual needs. Services may include medical oversight, on-site staff supervision; counseling and follow-up support to assure residents receive needed community supports and psychiatric services. Mental health residential services include group homes, intensive residential programs, and transitional residential programs. Certain residential services are highly specialized, focusing on the needs of Young Adults, Deaf and Hearing Impaired, and individuals with Acquired or Traumatic Brain Injury (ABI/TBI).

Residential substance use services offer a range of treatment and ongoing living opportunities. Residential detoxification programs offer medical management of the withdrawal from alcohol and drugs. Counseling which addresses recovery from substance use is an

integral part of the daily treatment process. Residential rehabilitation programs offer recovery-oriented treatment services in a structured, therapeutic environment for individuals who require supports in order to maintain a drug-free lifestyle. Services include halfway houses, residential drug-free programs and a range of intensive residential programs. The range of services represents a continuum of care that affords an individual a progression through appropriate levels of care.

Some programs in this area are also designed to assess and treat adults and families in acute emotional crisis in order to stabilize their condition and prevent hospitalization when possible and to arrange for further treatment when necessary. These services are available seven days a week, primarily during first and second shift hours where mental health workers rapidly assess and treat individuals and families through face-to-face and telephone contacts. These services emphasize reducing suicide risk, risk of harm to others and likelihood of hospitalization. Emergency services are provided in crisis intervention centers, general hospital emergency departments, walk-in clinics or by mobile crisis teams. Crisis services may also include short-term respite beds which seek to divert consumers from inpatient psychiatric hospitalization.

Specialized crisis services are linked to certain police departments across the state. Crisis Intervention Teams (CITs) assist trained police officers in dealing with persons in psychiatric crisis. Police are trained across the state on dealing with persons with psychiatric disorders. CITs serve Hartford, Bridgeport, Fairfield, Stamford, Norwalk, Greenwich, Waterbury, New Haven, West Haven, Norwich, New London, Waterford, Groton, Danbury and Newington.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| MH Group Homes Bed Capacity | 177 | 177 | 177 | 177 |
| MH Group Homes Individuals Served | 247 | 247 | 247 | 247 |
| MH Group Homes Admissions | 85 | 85 | 85 | 85 |
| MH Group Homes Discharges | 94 | 85 | 85 | 85 |
| MH Group Homes Readmission Rate | 18% | 18% | 18% | 18% |
| MH Group Homes Bed Utilization | 93% | 94% | 94% | 95% |
| SA Residential Detoxification Bed Capacity | 112 | 112 | 112 | 112 |
| SA Residential Detoxification Individuals Served | 5,521 | 5,596 | 5,671 | 5,746 |
| SA Residential Detoxification Admissions | 8,244 | 8,292 | 8,340 | 8,388 |
| SA Residential Detoxification Discharges | 8,196 | 8,246 | 8,296 | 8,346 |
| SA Residential Detoxification Readmission Rate | 18% | 18% | 18% | 18% |
| SA Residential Detoxification Bed Utilization | 91% | 81% | 81% | 81% |
| SA Residential Treatment Bed Capacity | 1,004 | 1,113 | 1,113 | 1,113 |
| SA Residential Treatment Individuals Served | 6,977 | 7,306 | 7,744 | 8,209 |
| SA Residential Treatment Admissions | 6,163 | 6,497 | 6,887 | 7,300 |
| SA Residential Treatment Discharges | 6,285 | 6,543 | 6,936 | 7,352 |
| SA Residential Treatment Readmission Rate | 6.8% | 6.9% | 7% | 7% |
| SA Residential Treatment Bed Utilization | 89% | 90% | 92% | 94% |
| Shelters Bed Capacity | 168 | 173 | 173 | 173 |
| Shelters Individuals Served | 515 | 548 | 581 | 614 |
| Respite Bed Capacity | 100 | 100 | 100 | 100 |
| Respite Individuals Served | 819 | 864 | 909 | 954 |
| Respite Admissions | 916 | 971 | 1,026 | 1,081 |
| Respite Discharges | 912 | 967 | 1,022 | 1,081 |
| Respite Bed Utilization | 67% | 70% | 73% | 76% |
| Mobile Response Individuals Served | 7,305 | 7,695 | 8,085 | 8,085 |
| Mobile Response Evaluations | 5,094 | 5,299 | 5,504 | 5,504 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 87 | 0 | 0 | 87 | 87 | 87 | 87 | 87 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 8 | 8 | 8 | 8 | 8 | 8 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 9,688,191 | 10,380,725 | 10,491,593 | 10,491,593 | 10,491,593 | 10,833,555 | 10,833,555 | 10,833,555 |
| Other Expenses | 390,274 | 389,898 | 392,877 | 389,898 | 389,898 | 392,877 | 389,898 | 389,898 |
| Other Current Expenses | | | | | | | | |
| Managed Service System | 6,160,144 | 6,195,130 | 6,215,245 | 6,215,245 | 6,215,245 | 6,254,541 | 6,254,541 | 6,254,541 |
| Professional Services | 245,844 | 246,119 | 246,119 | 246,119 | 246,119 | 246,119 | 246,119 | 246,119 |
| General Assistance Managed Care | 25,061,471 | 24,923,154 | 26,158,062 | 25,544,875 | 25,544,875 | 27,468,162 | 26,186,601 | 26,186,601 |
| Workers' Compensation Claims | 541,422 | 535,617 | 596,235 | 596,235 | 0 | 608,715 | 596,235 | 0 |

| | | | | | | | | |
|--------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Young Adult Services | 8,337,130 | 8,756,681 | 10,411,259 | 8,761,259 | 8,761,259 | 13,716,702 | 8,766,702 | 8,766,702 |
| TBI Community Services | 6,088,996 | 6,273,448 | 6,274,174 | 6,274,174 | 6,274,174 | 6,275,038 | 6,275,038 | 6,275,038 |
| Behavioral Health Medications | 13,076 | 13,151 | 13,151 | 13,151 | 13,151 | 13,151 | 13,151 | 13,151 |
| Medicaid Adult Rehabilitation Option | 4,169,615 | 4,184,260 | 4,184,260 | 4,184,260 | 4,184,260 | 4,184,260 | 4,184,260 | 4,184,260 |
| Discharge and Diversion Services | 13,106,737 | 16,016,818 | 17,525,564 | 17,525,564 | 17,525,564 | 20,523,792 | 18,928,792 | 18,928,792 |
| Home and Community Based Services | 724,250 | 785,981 | 840,079 | 840,079 | 840,079 | 894,192 | 894,192 | 894,192 |
| Forensic Services | 156,959 | 160,291 | 160,431 | 160,431 | 160,431 | 160,598 | 160,598 | 160,598 |
| Total--Other Current Expenses | 64,605,644 | 68,090,650 | 72,624,579 | 70,361,392 | 69,765,157 | 80,345,270 | 72,506,229 | 71,909,994 |

Pmts to Other Than Local Govts

| | | | | | | | | |
|-------------------------------------|------------|------------|------------|------------|------------|-------------|------------|------------|
| Grants for Substance Abuse Services | 4,274,939 | 4,295,759 | 4,331,820 | 4,331,820 | 4,331,820 | 4,374,689 | 4,374,689 | 4,374,689 |
| Grants for Mental Health Services | 11,314,092 | 11,350,859 | 11,377,986 | 11,377,986 | 11,377,986 | 11,410,233 | 11,410,233 | 11,410,233 |
| Pmts to Other Than Local Govts | 15,589,031 | 15,646,618 | 15,709,806 | 15,709,806 | 15,709,806 | 15,784,922 | 15,784,922 | 15,784,922 |
| Total-General Fund | 90,273,140 | 94,507,891 | 99,218,855 | 96,952,689 | 96,356,454 | 107,356,624 | 99,514,604 | 98,918,369 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 5,187,322 | 5,142,731 | 5,142,731 | 5,142,731 | 5,142,731 | 5,142,731 | 5,142,731 | 5,142,731 |
| Private Funds | 830 | 370 | 0 | 0 | 0 | 0 | 0 | 0 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------------------------------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93243 Substance Abuse/Mental Health Srvs Projects Regional/National | -90,901 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93665 Emergency Grants to Address Mental and Substance Use Disorders During COVID-19 | 0 | 1,360,000 | 340,000 | 340,000 | 340,000 | 0 | 0 | 0 |
| 93667 Social Services Block Grant | 1,818,375 | 1,824,120 | 1,824,120 | 1,824,120 | 1,824,120 | 1,824,120 | 1,824,120 | 1,824,120 |
| 93889 Hospital Emergency Preparedness | 10,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93958 Block Grants For Community Mental Health | 2,182,698 | 2,495,202 | 2,495,202 | 2,495,202 | 2,495,202 | 2,495,202 | 2,495,202 | 2,495,202 |
| 93959 SAPT Block Grant 2005 | 5,835,849 | 6,596,157 | 6,596,157 | 6,596,157 | 6,596,157 | 6,596,157 | 6,596,157 | 6,596,157 |
| 97032 Crisis Counseling Assistance and Training Program Immediate Services Program | 0 | 704,038 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97032 Crisis Counseling Assistance and Training Program Regular Services Program | 0 | 3,080,527 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 105,217,313 | 115,711,036 | 115,617,065 | 113,350,899 | 112,754,664 | 123,414,834 | 115,572,814 | 114,976,579 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 186,892,420 | 198,133,401 | 207,240,725 | 200,503,069 | 214,778,873 | 207,936,231 |
| Salaries & Wages-Temporary | 7,820,195 | 8,583,458 | 8,736,023 | 8,736,023 | 8,849,155 | 8,849,155 |
| Salaries & Wages-Part Time | 7,924,489 | 8,697,929 | 8,852,829 | 8,852,829 | 8,891,781 | 8,891,781 |
| Longevity Payments | 976,095 | 1,071,362 | 1,071,362 | 1,071,362 | 1,071,362 | 1,071,362 |
| Overtime | 52,490,323 | 50,490,322 | 51,386,872 | 51,386,872 | 53,779,369 | 53,779,369 |
| Accumulated Leave | 1,725,928 | 1,894,381 | 1,894,381 | 1,894,381 | 1,894,381 | 1,894,381 |
| Other Salaries & Wages | 13,471,392 | 14,786,220 | 14,786,220 | 14,786,220 | 14,859,513 | 14,859,513 |
| Reimbursements | -77,218,547 | -77,218,547 | -77,218,547 | -77,218,547 | -77,218,547 | -77,218,547 |
| Other | 400,554 | 439,647 | 439,647 | 439,647 | 439,647 | 439,647 |
| TOTAL - Personal Services-Personal Services | 194,482,849 | 206,878,173 | 217,189,512 | 210,451,856 | 227,345,534 | 220,502,892 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Capital Outlays | 15,742 | 16,754 | 16,754 | 16,754 | 16,754 | 16,754 |
| Client Services | 201,955 | 201,759 | 201,759 | 201,759 | 201,759 | 201,759 |
| Communications | 1,065,482 | 1,064,452 | 1,064,452 | 1,189,452 | 1,064,452 | 1,564,452 |
| Diesel-Generator | 22,267 | 22,245 | 22,245 | 22,245 | 22,245 | 22,245 |
| Electricity | 2,410,188 | 2,407,859 | 2,407,859 | 2,407,859 | 2,407,859 | 2,407,859 |
| Employee Expenses | 104,645 | 104,544 | 104,544 | 104,544 | 104,544 | 104,544 |
| Employee Travel | 196,664 | 196,474 | 196,474 | 196,474 | 196,474 | 196,474 |
| Equipment Rental and Maintenance | 1,488,645 | 1,487,205 | 1,487,205 | 1,487,205 | 1,487,205 | 1,487,205 |
| Food And Beverages | 4,039,853 | 4,035,948 | 4,035,948 | 4,035,948 | 4,035,948 | 4,035,948 |
| Information Technology | 1,733,835 | 1,732,159 | 2,105,473 | 1,732,159 | 2,105,473 | 1,732,159 |
| Motor Vehicle Costs | 1,133,978 | 1,132,882 | 1,132,882 | 1,132,882 | 1,132,882 | 1,132,882 |
| Natural Gas | 1,060,860 | 1,059,834 | 1,059,834 | 1,059,834 | 1,059,834 | 1,059,834 |
| Oil #2 | 15,695 | 15,680 | 15,680 | 15,680 | 15,680 | 15,680 |
| Other / Fixed Charges | 293,536 | 292,227 | 292,227 | 292,227 | 292,227 | 292,227 |
| Other Services | 2,322,711 | 2,320,466 | 2,320,466 | 2,320,466 | 2,320,466 | 2,320,466 |
| Premises Expenses | 5,969,172 | 11,563,404 | 7,770,464 | 7,453,964 | 7,770,464 | 7,453,964 |
| Premises Rent Expense-Landlord | 1,978,655 | 1,976,742 | 2,065,016 | 2,065,466 | 2,065,016 | 2,065,466 |
| Professional Services | 551,311 | 550,779 | 550,779 | 675,779 | 550,779 | 1,050,779 |
| Purchased Commodities | 2,350,567 | 2,348,295 | 2,348,295 | 2,348,295 | 2,348,295 | 2,348,295 |
| Reimbursements | -2,600,000 | -2,600,000 | -2,600,000 | -2,600,000 | -2,600,000 | -2,600,000 |
| Salaries and Wages | 9,756 | 9,746 | 9,746 | 9,746 | 9,746 | 9,746 |
| Sewer | 447,207 | 446,774 | 446,774 | 446,774 | 446,774 | 446,774 |
| Steam | 297,621 | 297,333 | 297,333 | 297,333 | 297,333 | 297,333 |
| Water | 88,078 | 87,993 | 87,993 | 87,993 | 87,993 | 87,993 |
| TOTAL-Other Expenses | 25,198,423 | 30,771,554 | 27,440,202 | 27,000,838 | 27,440,202 | 27,750,838 |
| | | | | | | |
| <i>Other Current Expenses</i> | | | | | | |
| Housing Supports and Services | 22,888,298 | 22,966,163 | 23,357,467 | 23,357,467 | 23,403,595 | 23,403,595 |
| Managed Service System | 55,936,529 | 56,333,880 | 56,529,012 | 56,544,162 | 56,922,822 | 56,937,972 |
| Legal Services | 706,179 | 706,179 | 706,179 | 706,179 | 706,179 | 706,179 |
| Connecticut Mental Health Center | 7,848,323 | 7,848,323 | 8,348,323 | 7,848,323 | 8,848,323 | 7,848,323 |

| | | | | | | |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Professional Services | 12,886,276 | 18,800,697 | 14,306,297 | 14,400,697 | 14,363,497 | 14,400,697 |
| General Assistance Managed Care | 40,748,191 | 40,722,054 | 42,486,864 | 41,522,341 | 44,182,329 | 42,360,495 |
| Workers' Compensation Claims | 15,183,955 | 17,321,165 | 16,721,165 | 0 | 17,071,165 | 0 |
| Nursing Home Screening | 652,784 | 652,784 | 652,784 | 652,784 | 652,784 | 652,784 |
| Young Adult Services | 76,337,162 | 77,970,521 | 79,972,397 | 78,322,397 | 84,319,278 | 79,369,278 |
| TBI Community Services | 8,224,207 | 8,452,441 | 8,468,759 | 8,468,759 | 8,511,915 | 8,511,915 |
| Behavioral Health Medications | 6,682,636 | 6,720,754 | 6,720,754 | 6,720,754 | 6,720,754 | 6,720,754 |
| Medicaid Adult Rehabilitation Option | 4,169,615 | 4,184,260 | 4,184,260 | 4,184,260 | 4,184,260 | 4,184,260 |
| Discharge and Diversion Services | 24,383,763 | 27,216,478 | 28,885,615 | 28,885,615 | 32,188,084 | 30,313,084 |
| Home and Community Based Services | 20,491,993 | 21,420,669 | 23,300,453 | 23,300,453 | 24,404,347 | 24,404,347 |
| Nursing Home Contract | 409,594 | 409,594 | 425,978 | 409,594 | 425,978 | 409,594 |
| Katie Blair House | 15,000 | 15,150 | 15,150 | 0 | 15,150 | 0 |
| Forensic Services | 10,097,702 | 10,275,522 | 11,012,769 | 10,312,769 | 11,108,558 | 10,408,558 |
| TOTAL-Other Current Expenses | 307,662,207 | 322,016,634 | 326,094,226 | 305,636,554 | 338,029,018 | 310,631,835 |

Pmts to Other Than Local Govts

| | | | | | | |
|--------------------------------------|------------|------------|------------|------------|------------|------------|
| Grants for Substance Abuse Services | 17,826,405 | 17,913,225 | 18,063,479 | 18,063,479 | 18,242,099 | 18,242,099 |
| Grants for Mental Health Services | 66,101,788 | 66,316,598 | 66,467,302 | 66,467,302 | 66,646,453 | 66,646,453 |
| Employment Opportunities | 8,762,159 | 8,791,514 | 8,818,026 | 8,818,026 | 8,849,543 | 8,849,543 |
| TOTAL-Pmts to Other Than Local Govts | 92,690,352 | 93,021,337 | 93,348,807 | 93,348,807 | 93,738,095 | 93,738,095 |

| | | | | | | |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Personal Services | 194,482,849 | 206,878,173 | 217,189,512 | 210,451,856 | 227,345,534 | 220,502,892 |
| Other Expenses | 25,198,423 | 30,771,554 | 27,440,202 | 27,000,838 | 27,440,202 | 27,750,838 |
| Other Current Expenses | 307,662,207 | 322,016,634 | 326,094,226 | 305,636,554 | 338,029,018 | 310,631,835 |
| Pmts to Other Than Local Govts | 92,690,352 | 93,021,337 | 93,348,807 | 93,348,807 | 93,738,095 | 93,738,095 |
| TOTAL-General Fund | 620,033,831 | 652,687,698 | 664,072,747 | 636,438,055 | 686,552,849 | 652,623,660 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Other Current Expenses</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Managed Service System | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 |
| TOTAL-Other Current Expenses | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 |
| Other Current Expenses | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 |
| TOTAL-Insurance Fund | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 | 412,377 |

PSYCHIATRIC SECURITY REVIEW BOARD

AGENCY DESCRIPTION

The Psychiatric Security Review Board (PSRB) has jurisdiction over all persons acquitted of a crime by reason of mental disease or defect who are committed by Superior Court. The six-member, autonomous board consists of a psychiatrist, psychologist, parole/probation

expert, a layperson, a victim services advocate and an attorney who functions as the chairperson and is appointed by the Governor in accord with the General Assembly.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

- Provide Funding for State Employee Wage Adjustments
- Reflect Impact of 27th Payroll During FY 2023

| | FY 2022 | FY 2023 |
|--|---------|---------|
| | 125 | 125 |
| | 0 | 10,730 |

Reductions

- Annualize FY 2021 Rescissions

| | FY 2022 | FY 2023 |
|--|---------|---------|
| | -3,123 | -3,123 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Psychiatric Security Review | 297,074 | 324,824 | 324,949 | 324,949 | 321,826 | 335,679 | 335,679 | 332,556 |
| TOTAL Agency Programs | 297,074 | 324,824 | 324,949 | 324,949 | 321,826 | 335,679 | 335,679 | 332,556 |

Summary of Funding

| | | | | | | | | |
|-----------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| General Fund | 297,074 | 324,824 | 324,949 | 324,949 | 321,826 | 335,679 | 335,679 | 332,556 |
| Total Agency Programs | 297,074 | 324,824 | 324,949 | 324,949 | 321,826 | 335,679 | 335,679 | 332,556 |

PSYCHIATRIC SECURITY REVIEW

Statutory Reference

C.G.S. Sections 17a-580 through 17a-603, 53a-169, 54-250(10) and 54-256.

Statement of Need and Program Objectives

To protect public safety through the oversight of persons acquitted of a crime by reason of mental disease or defect.

To determine the level of supervision, treatment and placement of an acquittee that is required to protect the public.

Program Description

The Psychiatric Security Review Board holds statutorily mandated public hearings that may result in any of the following orders:

maximum security confinement, confinement in a hospital, temporary leave from the hospital or conditional release to the community. The board may recommend to the court either discharge from the board or continued confinement to the board beyond the original commitment term. The board monitors acquittees in the community and those in institutions through mandated reporting requirements. The board provides notification to victims of all board hearings and their right to make a victim impact statement. In addition, the board registers sex offenders per Connecticut law and registers all acquittees with the Department of Emergency Services and Public Protection for enforcement of gun control laws.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 276,555 | 299,756 | 299,881 | 299,881 | 296,883 | 310,611 | 310,611 | 307,613 |
| Other Expenses | 20,519 | 25,068 | 25,068 | 25,068 | 24,943 | 25,068 | 25,068 | 24,943 |
| Total-General Fund | 297,074 | 324,824 | 324,949 | 324,949 | 321,826 | 335,679 | 335,679 | 332,556 |
| Total - All Funds | 297,074 | 324,824 | 324,949 | 324,949 | 321,826 | 335,679 | 335,679 | 332,556 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 275,283 | 298,484 | 298,609 | 295,611 | 309,339 | 306,341 |
| Longevity Payments | 1,272 | 1,272 | 1,272 | 1,272 | 1,272 | 1,272 |
| TOTAL - Personal Services-Personal Services | 276,555 | 299,756 | 299,881 | 296,883 | 310,611 | 307,613 |

| Other Expenses | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-----------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Employee Travel | 1,576 | 0 | 0 | 0 | 0 | 0 |
| Other Services | 18,943 | 25,068 | 25,068 | 24,943 | 25,068 | 24,943 |
| TOTAL-Other Expenses | 20,519 | 25,068 | 25,068 | 24,943 | 25,068 | 24,943 |
| Personal Services | 276,555 | 299,756 | 299,881 | 296,883 | 310,611 | 307,613 |
| Other Expenses | 20,519 | 25,068 | 25,068 | 24,943 | 25,068 | 24,943 |
| TOTAL-General Fund | 297,074 | 324,824 | 324,949 | 321,826 | 335,679 | 332,556 |

DEPARTMENT OF TRANSPORTATION

AGENCY DESCRIPTION

The Department of Transportation develops and maintains statewide transportation systems. Its mission is to provide a safe and efficient intermodal transportation network that improves the quality of life and promotes economic vitality for the state and the region. The department consists of the following bureaus:

The Bureau of Engineering and Construction manages the design and construction of capital projects for all transportation modes. Using state bonding matched with federal funding, the bureau constructs and improves the highway system through major capital improvement projects. The bureau administers improvements to the state-owned transportation infrastructure; programs work to ensure full utilization of available state and federal funds; distributes funds by formula to the state's municipalities; oversees all aspects of construction contracts; and is responsible for real property acquisition related to transportation projects.

The Bureau of Highway Operations is the department's largest bureau and is responsible for roadside and bridge maintenance for over 10,000 lane miles of highway and 4,126 bridges. The bureau is also responsible for snow and ice removal operations; managing two highway operation centers which provide CHAMP (Connecticut Highway Assistance Motorist Program) vehicles for roadside assistance to disabled motorists; traffic management and quick clearance of highway accidents; repair of accident damage; congestion mitigation; and operation of traveler information systems such as highway variable message signs. The bureau acquires and maintains the highway equipment necessary for highway and bridge maintenance and is responsible for maintenance of safety appurtenances such as line striping, highway illumination, signs, traffic signals, and vegetation management; issues oversize and overweight truck permits; and issues highway encroachment and special event permits.

The Bureau of Finance and Administration provides the fiscal and support services necessary for the development and implementation of the department's programs, including budgeting; accounting; financial management; payroll and benefits management; auditing; occupational health and safety; record storage and retrieval; purchasing; inventory control; printing; mail delivery; contract pre-qualification; advertising and awarding of construction contracts; procurement of federal-aid and billing for federal-aid

reimbursements; monitoring and evaluation of equal employment opportunity and contract compliance relative to affirmative action; reviewing and processing of all agreements, leases and department claims; and maintaining the majority of the department's buildings and grounds. In addition, the bureau administers fuel distribution for most state agencies, and oversees the operation of the twenty-three service plazas on the Governor John Davis Lodge Turnpike (I-95 and I-395) and the Merritt and Wilbur Cross Parkways.

The Bureau of Policy and Planning is responsible for recommending transportation policy and developing and updating the statewide strategic transportation vision and plan which recommends alternatives for providing transportation services in Connecticut. In addition, the bureau maintains inventories of the current transportation systems, travel volumes, truck weights and crash data; develops forecasts of future travel, land use and development; develops and evaluates specific transportation needs; assesses the environmental impact of transportation plans, programs and projects; develops plans, recommendations and programs with prioritized listings of projects by transportation mode; is responsible for coordinating with the Councils of Governments on all aspects of transportation planning, including but not limited to the development of the Statewide Transportation Improvement Program and Air Quality conformity analysis; is responsible for the administration of the State Highway Safety Program and tracks performance measures for the entire department. The bureau also conducts research to improve the safety of the modal systems and increase their operating efficiency.

The Bureau of Public Transportation offers services through a broad-based network of bus, rail, rideshare and paratransit transportation facilities and services. Utilizing revenue, bonding and federal funding, the bureau acquires, maintains in a state of good repair, and overhauls, as necessary, the rolling stock used for bus and rail operations; designs, constructs and maintains improvements to existing and new public transportation facilities; and directs the statutory regulation of motorbus, taxi, livery, intrastate household goods, transportation network and railroad entities. The bureau also directs the operation, management and development of the Connecticut River ferries.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2022 | FY 2023 |
|---------------------------------------------------------------------------------------------|------------|------------|
| • Provide Funding for State Employee Wage Adjustments | 2,724,913 | 2,724,913 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 7,110,247 |
| • Adjust Funding for Rail and Bus Operations to Reflect Current Revenue and Spending Trends | 11,584,776 | 17,470,133 |
| • Provide Funding for the Tree Maintenance Program | 3,700,000 | 3,700,000 |

Reductions

| | FY 2022 | FY 2023 |
|-----------------------------------------------------------------|-------------|-------------|
| • Achieve Savings Through Reduced Service on the New Haven Line | -34,941,000 | -34,941,000 |

| | | |
|--------------------------------------------------------------------------------------|------------|-------------|
| • Adjust Funding for Shore Line East to Reflect Projected Ridership Level in FY 2022 | -4,976,000 | 0 |
| • Adjust Funding for Bus Operations to Reflect Projected Ridership Level in FY 2022 | -3,016,000 | 0 |
| • Adjust ADA Para-Transit Subsidy to Reflect Projected Ridership Level | -2,240,973 | -2,240,973 |
| • Leverage TCI to Fund Climate and Public Transit Investments | 0 | -24,300,000 |

Revenue is generated through the Transportation and Climate Initiative (TCI) program in which fuel suppliers purchase allowances, auctioned by participating jurisdictions, when exceeding established levels of carbon emission caps. The TCI program was developed by an ongoing collaboration of Northeast, Mid-Atlantic, and Southeastern jurisdictions to improve transportation, develop the clean energy economy, and reduce carbon emissions from the transportation sector.

Reallocations

| | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------|
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services | -2,333,024 | -2,422,756 |
| • Centralize Funding for Microsoft 365 Statewide Agreement Under the Department of Administrative Services <i>To support the cost of the Microsoft 365 statewide contract, and in order to reduce the overall add to the state, need to reallocate funds where possible from agencies that have these expenses built into their budgets but which will no longer need to make the payments due to the statewide deal.</i> | -734,822 | -734,822 |

Expansions

| | FY 2022 | FY 2023 | FY 2024 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------|-------------|
| • Expand Pay-As-You-Go Program | 100,000,000 | 200,000,000 | 100,000,000 |
| • Fund Costs of Technical & IT Support for Asset Management <i>Provides funding for the department to modernize its asset management program. Funding for (1) Consultants to assist in public asset management (\$750,000) and building inspection updates (\$100,000) and (2) software to be purchased for maintenance management (\$1,300,000), capital planning and programming decision making (\$500,000), and facility management (\$350,000).</i> | 3,000,000 | 3,000,000 | 3,000,000 |
| • Expand Bus Service In Greater New Haven <i>Provides funding for the extension of weekday and weekend bus services to 1 a.m. throughout the greater New Haven service area</i> | 1,169,634 | 1,169,634 | 1,169,634 |
| • Provide Funding for Regulation of Recreational Use of Cannabis by Adults <i>Provides partial year funding in FY 2022 and full funding in FY 2023 for marketing and outreach costs as well as funding beginning in FY 2023 for the training of officers tasked with enforcing recreational cannabis laws.</i> | 425,000 | 550,000 | 550,000 |
| • Expand Rail Service on Waterbury Line <i>Provides funding to increase the number of trains servicing the Waterbury line from 15 to 22 across weekday morning and evening peak as well as off-peak services.</i> | 0 | 1,227,689 | 1,227,689 |
| • Provide Funding to Implement the Highway Use Tax <i>Beginning in FY 2023, funding is provided to support positions within the Department of Revenue Services, including three Revenue Examiners to review the records of the carriers paying the highway use tax and four Tax Corrections Examiners to administer and resolve the more complex tax processes and discrepancies.</i> | 0 | 464,062 | 444,949 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 3,068 | 319 | 0 | 3,387 | 3,387 | 3,361 | 3,387 | 3,368 |
| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Agency Management Services | 38,581,332 | 43,817,174 | 45,886,492 | 44,416,368 | 41,723,522 | 47,335,354 | 45,646,626 | 43,453,110 |
| Public Transportation | 710,133,604 | 895,139,655 | 896,988,312 | 896,509,302 | 852,504,963 | 701,929,772 | 676,415,857 | 617,331,207 |
| Operation & Maintenance of Ferries | 726,701 | 789,422 | 796,819 | 796,819 | 796,819 | 821,527 | 821,527 | 821,527 |
| Operation and Maintenance of State Pier and Mariti | 514,879 | 522,786 | 525,997 | 525,997 | 525,997 | 530,795 | 530,795 | 530,795 |
| Highways and Bridges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Highway and Bridge Engineering, ROW and Constructi | 602,963,047 | 623,561,773 | 642,142,973 | 641,972,520 | 644,972,520 | 670,684,485 | 670,430,777 | 673,430,777 |
| Highway and Bridge Maintenance | 117,956,974 | 124,307,506 | 127,746,743 | 125,531,531 | 225,531,531 | 132,979,791 | 129,338,186 | 329,338,186 |
| Protection and Removal of Snow and Ice | 22,997,576 | 35,529,058 | 35,866,417 | 35,866,417 | 35,866,417 | 35,866,417 | 35,866,417 | 35,866,417 |
| Transportation Policy and Planning | 36,626,769 | 33,857,006 | 33,922,604 | 33,922,604 | 33,922,604 | 34,139,121 | 34,139,121 | 34,139,121 |
| Transportation Administration | 30,371,755 | 24,577,980 | 29,766,997 | 27,549,270 | 27,549,270 | 29,579,719 | 27,662,126 | 27,662,126 |
| TOTAL Agency Programs | 1,560,872,637 | 1,782,102,360 | 1,813,643,354 | 1,807,090,828 | 1,863,393,643 | 1,653,866,981 | 1,620,851,432 | 1,762,573,266 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Special Transportation Fund | 715,397,252 | 733,503,139 | 758,065,354 | 751,512,828 | 807,865,643 | 797,523,981 | 764,508,432 | 906,280,266 |
| Federal Funds | 804,692,479 | 1,022,049,221 | 1,018,903,000 | 1,018,903,000 | 1,018,903,000 | 813,443,000 | 813,443,000 | 813,443,000 |
| Private Funds | 10,373 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 40,772,533 | 26,550,000 | 36,675,000 | 36,675,000 | 36,675,000 | 42,900,000 | 42,900,000 | 42,900,000 |
| Total Agency Programs | 1,560,872,637 | 1,782,102,360 | 1,813,643,354 | 1,807,090,828 | 1,863,443,643 | 1,653,866,981 | 1,620,851,432 | 1,762,623,266 |

AGENCY MANAGEMENT SERVICES**Statutory Reference**

C.G.S. Sections 13b-4 and 13b-23.

Statement of Need and Program Objectives

To provide leadership, direction, and guidance necessary to promote the development and maintenance of an integrated, safe, efficient, and cost-effective network of services to transport people and goods in the State of Connecticut.

Program Description

This program provides for effective leadership, policy, direction, and management controls and also support services to all bureaus of the department for the execution of the department's mission and objectives. Policy and guidelines are established for the department and implementation is ensured by managing and directing the various operations.

Support services are provided, including: training; occupational health and safety; record storage and retrieval; budgeting; accounting; payroll and benefits management; auditing; financial management; IT support; purchasing; inventory control; printing; mail delivery; contract pre-qualification; advertising and awarding of construction contracts; procurement of federal-aid and billing for federal-aid reimbursements; monitoring and evaluation of equal employment opportunity and contract compliance relative to affirmative action; reviewing and processing of all agreements, leases and department claims; administering the department's program to cover any losses to the department's property through vandalism or theft; blueprinting; operation of the department's motor pool; and administration of fuel distribution for most state agencies.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Construction Contracts Awarded within 60 days of Bid Opening (%) | 93.94 | 95 | 95 | 95 |
| FHWA Contracts Awarded to Disadvantaged Business Enterprises (DBE) (%) | 12.5 | 12.5 | 12.5 | 12.5 |
| (%) of FHWA DBE Goal Achieved | 100 | 100 | 100 | 100 |
| FTA Contracts Awarded to Disadvantaged Business Enterprises (DBE) (%) | 8.6 | 8.6 | 8.6 | 8.6 |
| (%) of FTA DBE Goal achieved | 100 | 100 | 100 | 100 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 357 | 68 | 0 | 425 | 425 | 399 | 425 | 406 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 31,633,317 | 36,432,685 | 36,696,879 | 37,021,879 | 34,688,855 | 37,917,137 | 38,242,137 | 36,283,443 |
| Other Expenses | 5,892,372 | 5,843,160 | 6,735,886 | 5,843,160 | 5,483,338 | 6,808,651 | 5,843,160 | 5,608,338 |

Capital Outlay

| | | | | | | | | |
|-----------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Equipment | 715,654 | 1,341,329 | 2,243,727 | 1,341,329 | 1,341,329 | 2,389,566 | 1,341,329 | 1,341,329 |
| Total-Special Transportation Fund | 38,241,343 | 43,617,174 | 45,676,492 | 44,206,368 | 41,513,522 | 47,115,354 | 45,426,626 | 43,233,110 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 358 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20205 Highway Planning & Construction | 210,826 | 200,000 | 210,000 | 210,000 | 210,000 | 220,000 | 220,000 | 220,000 |
| 21019 Coronavirus Relief Fund | 128,805 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 38,581,332 | 43,817,174 | 45,886,492 | 44,416,368 | 41,723,522 | 47,335,354 | 45,646,626 | 43,453,110 |

PUBLIC TRANSPORTATION

Statutory Reference

C.G.S. Sections 13b-32-38.

Statement of Need and Program Objectives

To promote the development and maintenance of a comprehensive, modern and efficient multi-modal public transportation system and to improve efficiencies in the transportation of people and goods within, to and from the state by rail, motor carrier or other modes of mass transportation on land. The department assists in the development and improvement of such facilities and services and promotes new and better means of mass transportation by land.

Program Description

The Public Transportation Program is managed by the Bureau of Public Transportation and is composed of the following subprograms:

The Public Transportation Oversight subprogram encompasses oversight of the Bureau of Public Transportation and, in addition, administrative and regulatory oversight for taxi and livery operations.

The Rail Operations subprogram, administered by the Office of Rail, encompasses responsibility for rail service on the New Haven Line, New Canaan Line, Danbury Line, Waterbury Line and Shore Line East including infrastructure maintenance, capital projects and property management as well as oversight of freight railroads operating in Connecticut. The New Haven Line provides passenger service between communities in southeastern Connecticut and New York City. This service is operated by Metro-North under a cost sharing/operating agreement between the department and the New York Metropolitan Transportation Authority. Shore Line East service between New London and New Haven and intermediate points with some through train service to Bridgeport and Stamford is operated under an agreement with Amtrak. Hartford Line services between Springfield, MA and New Haven, CT are operated under agreements with Amtrak and Transit America Services, Inc. Connections between the two rail systems and local bus services are provided. Specific objectives include the development, implementation, and management of:

- Rail regulatory and safety matters as required by federal regulations including development of system safety plans, field inspections and accident investigations. Also, proper and timely completion of critical safety programs such as Positive Train Control (PTC).

- Contracts and agreements with third parties such as Amtrak, Metro-North Railroad and Transit America Services, Inc. to operate train service and implement capital programs and projects.
- Planning activities for improved train schedules, ticketing, and customer service.
- Development of long-range investment plans to improve rail service including faster travel times between Connecticut's major cities and New York City.
- Freight rail operations in the state with rail freight operators.
- Engineering, construction, and maintenance programs involving all rail infrastructure and facilities including the New Haven Yard Master Complex in accordance with the New Haven Line Revitalization Program.
- Purchase and/or rehabilitation of all rail rolling stock, including new rail cars for the Hartford Line and Shore Line East, overhaul of diesel locomotives and other rail car reliability programs.
- Rights-of-way activities including acquisition and property management required to implement and maintain the state-owned rail network. Activities associated with maintenance of rolling stock and state-owned railroad rights-of-way and facilities include oversight of rail station buildings and parking and meeting federally mandated requirements for rail cars and locomotives. Other property management activities include property leases or licenses to permit third parties such as cellular service providers to have commercial access to railroad property.

The Transit and Ridesharing Operations subprogram, administered by the Office of Transit and Ridesharing, encompasses the management or oversight of local and commuter/express bus service, Americans with Disabilities Act (ADA) paratransit services, ridesharing services and transportation for elderly and disabled persons in all urban and rural areas of the state. The Office oversees CTtransit, CTtransit express and CTfastrak services operated by the six private service providers including First Transit, NETCO, DATTCO, Peter Pan, New Britain Transportation Co., and Collins. It also allocates funding and provides strategy and policy support to the local transit districts.

Specific objectives include the development, implementation, and management of:

- Urban transit services in the Hartford, New Haven, Stamford, Waterbury, New Britain, Bristol, Meriden, and Wallingford areas through the state-owned Connecticut Transit System.
- Subsidy programs for other local bus services operated by independent transit districts, as well as other contracted commuter express services.
- Paratransit services complying with the ADA.
- Planning activities for improved service and intermodal connections.
- Marketing programs aimed to increase public awareness of public transportation services and to increase ridership.
- Transportation demand management to encourage ridesharing, voluntary trip reduction programs, and other projects and services designed to reduce highway congestion in accordance with the department's goals.
- State program mandates such as the state-funded municipal grant program for dial-a-ride services.
- Federally funded state-managed programs such Section 5310 Enhanced Mobility of Seniors and Individuals with Disabilities and Section 5311 Rural Transportation.
- All activities associated with operations and maintenance of CTfastrak.

In addition, the Public Transportation program provides for bus capital, fare technology, and regulatory oversight subprograms, administered by the Office of Program Management. Specific objectives include the development, implementation, and management of:

- Purchase of new buses for CTtransit, CTtransit express and CTfastrak service providers, transit districts, municipalities, and private non-profit organizations.
- Engineering, construction, and maintenance of bus facilities.
- Fare technologies such as mobile apps, ticket vending machines, fare box systems and digital payments.

The Regulatory and Compliance Unit has responsibility for the statutorily required regulation of taxi, livery, charter bus, transportation network companies and household goods carriers, including:

- An administrative system for the approval of registration of vehicles used in taxi, livery and charter bus transportation and collection of legislated fees for vehicles used by household goods carriers.
- Permitting of taxi, livery, charter bus, transportation network companies and intrastate household goods carriers including implementing administrative actions against licensed carriers.
- Inspection of vehicles including re-inspection where required, of livery, mobility assist vehicles, modified/stretch vehicles, and buses, prior to entering passenger service, oversight of 3500 vehicles.
- Investigating complaints in all the regulated industries, and rate-setting for taxicabs to preserve service and maximize public benefit

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|----------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Rail Operations-New Haven Line-Fare Operating Ratio (%) | 48.5 | 51.7 | 42.6 | 56.3 |
| Rail Operations-New Haven Line - Subsidy/Passenger Trip (\$) (CTDOT) | 9.64 | 16.62 | 11.95 | 6.85 |
| Rail Operations-New Haven Line-Annual Rail Passengers (000) | 28,618 | 15,579 | 27,223 | 37,066 |
| Rail Operations-New Haven Line-On Time Performance (%) | 97.8 | 98.0 | 98.0 | 98.0 |
| Rail Operations-Shore Line East-Fare Operating Ratio (%) | 4.6 | 1.4 | 3.3 | 5.0 |
| Rail Operations-Shore Line East-Subsidy/Passenger Trip (\$) | 62.31 | 211.32 | 85.01 | 54.67 |
| Rail Operations-Shore Line East-Annual Rail Passengers (000) | 478 | 119 | 298 | 476 |
| Rail Operations-Shore Line East-On Time Performance (%) | 96.9 | 97.0 | 98.0 | 98.0 |
| Urban Transit - Fare Operating Ratio (%) | 11.1 | 12.7 | 15.4 | 15.1 |
| Urban Transit-CTtransit-Fare Operating Ratio (%) | 11.0 | 12.6 | 15.3 | 15.0 |
| Urban Transit-All Others-Fare Operating Ratio (%) | 11.2 | 12.8 | 15.5 | 15.2 |
| Urban Transit-Subsidy/Passenger Trip (\$) (average) | 6.32 | 5.70 | 5.32 | 5.46 |
| Urban Transit-CTtransit-Subsidy/Passenger Trip (\$) (average) | 6.20 | 5.58 | 5.20 | 5.34 |
| Urban Transit-All Others-Subsidy/Passenger Trip (\$) (average) | 6.58 | 5.96 | 5.58 | 5.72 |
| Urban Transit-Passengers per Vehicle Mile-CTtransit | 1.39 | 1.53 | 1.58 | 1.58 |
| Urban Transit-Passengers per Vehicle Hour-CTtransit | 17.60 | 19.35 | 19.95 | 19.95 |
| Rural Transit-Fare Operating Ratio (%) | 6.78 | 7.80 | 9.80 | 9.50 |
| Rural Transit-State Subsidy/Passenger Trip (\$) (average) | 4.36 | 4.30 | 4.20 | 4.25 |

| | | | | |
|-----------------------------------------------------------------------|------|------|------|------|
| Rural Transit-Passengers per Vehicle Mile | .20 | .23 | .25 | .25 |
| Rural Transit-Passengers per Vehicle Hour | 3.75 | 4.10 | 4.15 | 4.15 |
| Rural Transit-MotorBus/Taxicab/Rail Regulatory Applications Processed | 126 | 135 | 135 | 135 |
| Rural Transit-MotorBus/Taxicab/Livery vehicles inspected | 308 | 280 | 310 | 340 |

| | | | | | | | | |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 99 | 10 | 0 | 109 | 109 | 109 | 109 | 109 |

| | | | | | | | | |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 4,913,510 | 6,432,432 | 6,504,714 | 6,504,714 | 6,504,714 | 6,776,579 | 6,776,579 | 6,776,579 |
| Other Expenses | 124,380 | 127,105 | 127,105 | 127,105 | 127,105 | 127,105 | 127,105 | 127,105 |

| | | | | | | | | |
|-------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Other Current Expenses | | | | | | | | |
| Rail Operations | 230,430,467 | 215,927,417 | 216,407,425 | 215,928,415 | 176,011,415 | 241,461,271 | 215,947,356 | 182,234,045 |
| Bus Operations | 200,948,745 | 201,522,710 | 213,112,617 | 213,112,617 | 211,266,251 | 218,998,366 | 218,998,366 | 195,868,000 |
| ADA Para-transit Program | 40,971,360 | 44,819,461 | 44,819,461 | 44,819,461 | 42,578,488 | 44,819,461 | 44,819,461 | 42,578,488 |
| Non-ADA Dial-A-Ride Program | 576,361 | 576,361 | 576,361 | 576,361 | 576,361 | 576,361 | 576,361 | 576,361 |
| Total--Other Current Expenses | 472,926,933 | 462,845,949 | 474,915,864 | 474,436,854 | 430,432,515 | 505,855,459 | 480,341,544 | 421,256,894 |

| | | | | | | | | |
|---------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Pmts to Other Than Local Govts | | | | | | | | |
| Transportation to Work | 2,370,628 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 |
| Total-Special Transportation Fund | 480,335,451 | 471,776,115 | 483,918,312 | 483,439,302 | 439,434,963 | 515,129,772 | 489,615,857 | 430,531,207 |

| | | | | | | | | |
|--------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Special Non-Appropriated Funds | 37,104,815 | 23,000,000 | 33,100,000 | 33,100,000 | 33,100,000 | 39,300,000 | 39,300,000 | 39,300,000 |

| | | | | | | | | |
|----------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20205 Highway Planning & Construction | 21,598,756 | 25,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 |
| 20500 Federal Transit Capital Investment Grant | 57,906,386 | 58,000,000 | 58,000,000 | 58,000,000 | 58,000,000 | 58,000,000 | 58,000,000 | 58,000,000 |
| 20507 FEDERAL TRANSIT - FORMULA GRANTS | 8,719,301 | 190,000,000 | 190,000,000 | 190,000,000 | 190,000,000 | 0 | 0 | 0 |
| 20507 Federal Transit Formula Grants | 70,172,909 | 70,000,000 | 70,000,000 | 70,000,000 | 70,000,000 | 70,000,000 | 70,000,000 | 70,000,000 |
| 20509 Formula Grants For Other Than Urbanize | 2,423,780 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 |
| 20513 Capital Assistance Program For Elderly | 2,668,805 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 |
| 20521 New Freedom Program | 399,626 | 400,000 | 400,000 | 400,000 | 400,000 | 0 | 0 | 0 |
| 20522 Alternatives Analysis | 59,278 | 320,000 | 320,000 | 320,000 | 320,000 | 0 | 0 | 0 |
| 20526 DOT-Bus and Facilities Formula | 9,008,112 | 9,000,000 | 9,000,000 | 9,000,000 | 9,000,000 | 9,000,000 | 9,000,000 | 9,000,000 |
| 20527 Public Transportation Emergency Relief Program | 16,784,319 | 41,750,000 | 41,750,000 | 41,750,000 | 41,750,000 | 0 | 0 | 0 |
| 20933 Office of the Secretary (Ost) Administration Secre | 2,523,457 | 393,540 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97075 Rail and Transit Security Grant Program | 428,609 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 710,133,604 | 895,139,655 | 896,988,312 | 896,509,302 | 852,504,963 | 701,929,772 | 676,415,857 | 617,331,207 |

OPERATION AND MAINTENANCE OF FERRIES

Statutory Reference

C.G.S. Sections 13a-252.

Statement of Need and Program Objectives

To safely operate and maintain two ferries in accordance with US Coast Guard, Federal Maritime and Commerce, OSHA, DEEP and EPA standards.

Program Description

Under the Operation and Maintenance of Ferries subprogram, the Bureau of Public Transportation's Office of Program Management runs two state-owned ferry services operating on the Connecticut River. The ferries operate between the towns of Chester and Hadlyme and between Rocky Hill and Glastonbury. Each provides seasonal vehicle and passenger transportation across the river for business, pleasure, and tourism purposes. The subprogram provides for the safe and efficient operation, repair and maintenance of the ferry vessels and associated docking facilities.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|----------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Ferry Operations-Number of Passengers-Rocky Hill and Chester | 33,629 | 58,000 | 65,000 | 75,000 |
| Ferry Operations-Number of Vehicles-Rocky Hill and Chester | 14,184 | 25,000 | 30,000 | 34,000 |
| Ferry Operations-Number of Crossings-Rocky Hill and Chester | 10,452 | 18,000 | 21,000 | 23,000 |
| Ferry Operations-State Subsidy/Crossing (\$) -Rocky Hill and Chester | 45.97 | 27.49 | 24.27 | 22.83 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 10 | 1 | 0 | 11 | 11 | 11 | 11 | 11 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 659,822 | 717,327 | 724,724 | 724,724 | 724,724 | 749,432 | 749,432 | 749,432 |
| Other Expenses | 66,879 | 72,095 | 72,095 | 72,095 | 72,095 | 72,095 | 72,095 | 72,095 |
| Total-Special Transportation Fund | 726,701 | 789,422 | 796,819 | 796,819 | 796,819 | 821,527 | 821,527 | 821,527 |
| Total - All Funds | 726,701 | 789,422 | 796,819 | 796,819 | 796,819 | 821,527 | 821,527 | 821,527 |

OPERATION AND MAINTENANCE OF THE STATE PIER AND MARITIME RELATED ACTIVITIES

Statutory Reference

C.G.S. Sections 13b-51-53 and Sections 15-31a-i.

Statement of Need and Program Objectives

To provide any funds appropriated to the department under this subprogram directly to the Connecticut Port Authority (CPA), which

is responsible for marketing and coordinating the development of the state's ports and maritime economy.

Program Description

This subprogram now falls under the purview of the Connecticut Port Authority (CPA).

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>Special Transportation Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 114,609 | 122,516 | 125,727 | 125,727 | 125,727 | 130,525 | 130,525 | 130,525 |
| Other Expenses | 270 | 270 | 270 | 270 | 270 | 270 | 270 | 270 |
| <i>Other Current Expenses</i> | | | | | | | | |
| Port Authority | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Total-Special Transportation Fund | 514,879 | 522,786 | 525,997 | 525,997 | 525,997 | 530,795 | 530,795 | 530,795 |
| Total - All Funds | 514,879 | 522,786 | 525,997 | 525,997 | 525,997 | 530,795 | 530,795 | 530,795 |

HIGHWAY AND BRIDGE ENGINEERING, RIGHTS OF WAY, AND CONSTRUCTION SERVICES

Statutory Reference

C.G.S. Sections 13b-24-31 and Chapters 236-242.

Statement of Need and Program Objectives

To assure the integrity, safety and protection of the capital investment made in the highway system by providing engineering, rights of way and construction services and to administer engineering investigations, studies and reports required by the General Statutes and/or prompted by inquiries received from state and local elected and appointed officials, the general public, private business, public organizations and special interest groups.

Program Description

This objective is achieved by employing an asset management strategy regarding transportation infrastructure that includes investigation and study of highway and bridge problem areas to identify corrective measures and enhancements that ensure a state of good repair and the safety of the traveling public. The program requires the acquisition of property necessary for federally-funded and state-bonded construction projects; investigations and reports on regulatory traffic matters, as required, for the Office of State Traffic Administration; performance of engineering activities required for highway and bridge construction, rehabilitation efforts for engineering activities for other DOT bureaus; and oversight of all aspects of construction contracts including construction engineering inspection, payment to contractors, and verification of compliance with specifications and mandated procedures.

The Department submitted its 2019 Highway Transportation Asset Management Plan (TAMP) in June 2019 to the Federal Highway Administration, and its 2018 TAMP in October 2018 to the Federal Transit Administration. The Highway and Transit TAMPs were created to document the agency's asset management processes, project future needs of our assets, and construct a blueprint for transportation asset management improvements moving forward. The plans meet federal requirements (MAP-21 and FAST-Act) and will guide the Department in its endeavor to deliver better asset performance.

The Highway TAMP goes beyond addressing all the federal mandates and demonstrates the Department's strong commitment toward achieving a State of Good Repair for our transportation system. An asset management strategy for National Highway System (NHS) bridges and pavements is included in the TAMP as required. The Highway TAMP contains information on Asset Inventory and Condition, Asset Data Management, Objectives and Performance, Life Cycle Planning, Risk Management, Financial Planning, Investment Strategies and Process Improvements. The Highway TAMP will guide

the Department in its endeavor to deliver better asset performance, while also managing risks.

The Transit TAMP was developed in partnership with CTDOT Public Transportation's service providers to achieve a systematic and comprehensive asset management system for the State's public transportation assets. The Transit TAMP contains information on Goals and Objectives, Asset Inventory and Condition, Analytical Approach, Investment Scenarios, Investment Plan and Implementation and Monitoring.

In addition to the CTDOT's Transit TAMP, the FTA's TAM Rule required the Department to be a sponsor of a group TAM Plan for the state's Transit Districts and other small transit providers. Although group plans are not required to have the level of detail, due to the Department's responsibility for service and planning decisions for its providers, the group plan was developed in parallel to its Transit TAMP, including future collaboration between the Department and Transit Districts for TAM implementation activities. A new State of Good Repair Transit Database, an integration of public transportation capital assets of all transit and rail providers, was developed in conjunction with an analytical decision support tool to predict capital asset needs for the four-year horizon period of both group and Transit TAMPs. A Condition Assessment Guidance Document was developed with the Transit TAMPs to assist with future data collection of asset condition for the four capital asset categories defined by the FTA: equipment (nonrevenue vehicles), rolling stock (revenue vehicles), infrastructure (rail fixed-guideway, track, signals, and systems), and facilities.

While maintaining a state of good repair of our current assets continues to be our top funding priority, the Department has begun to analyze the state's individual transportation system in order to identify strategic investment opportunities that will address congestion, as well as those projects that provide economic benefits to the localized region and the state as a whole. The studies examine current and future traffic conditions using a micro-simulation analysis to address longstanding issues and to help identify priority projects for development along the corridor. In so doing, targeted investments can be identified to improve safety and traffic conditions which may serve as a catalyst for future economic growth along those corridors.

The Bureau has programmed all state-maintained poor bridges for rehabilitation or replacement, and all projects are either in design or construction. Bridge work is being programmed based on an asset management approach to achieve and maintain established bridge performance targets, as documented in the Department's Highway TAMP. The goal of an asset management system is to systematically and strategically identify and program treatments throughout the

bridge’s lifecycle, which will, if applied at the appropriate time, result in achieving and sustaining a State of Good Repair, given available funding. Over the last several years, the number of bridges rated poor has been steadily decreasing as the result of availability of additional state funds, additional maintenance staff to perform a myriad backlog of bridge maintenance work, and additional engineering staff to accomplish bridge capital improvements.

The Bureau continued to inspect, evaluate, and inventory the structural condition of more than 5,000 bridges, 1,800 overhead sign supports, and 900 traffic signal mast arm supports. Signs and traffic signal supports are typically inspected at six -year intervals. Bridges are typically inspected at two-year intervals. However, some bridges are inspected more frequently if warranted due to structural deterioration. This critical function helps to ensure the safety of the traveling public through the identification of deficiencies and needs in a systematic and timely manner. Routine maintenance is also identified to protect the State of Connecticut’s multi-billion-dollar capital investment in bridges.

When a state-maintained bridge becomes poor, steps are taken to address the deficiency, either by Bridge Maintenance performing repairs, or by the Bureau initiating a project to repair or replace the structure in a capital project. If the bridge is maintained by a town or another entity, the Bureau notifies the owner of the need to correct the deficiency and provides information regarding funding for qualified bridges.

Steps to improve pavement performance across all segments of CTDOT’s pavement inventory were taken during 2020. These steps included the establishment of well-defined roles and responsibilities for all Bureaus and Offices involved in managing pavements. To that end, an Annual Resurfacing Program process map was developed for the programming, delivery, and construction of pavement projects. Finally, a data-driven programmatic approach to develop a 2-year pavement resurfacing candidate list was initiated.

Delivery of completed projects on or before schedule, on or under budget, and of the highest quality is one of the top priorities of the Department. Every improvement in project delivery increases the Department’s capacity for more projects (which equates to more jobs). Project delivery improvement includes innovative construction

techniques and a variety of process improvement tools. The innovative construction method referred to as Accelerated Bridge Construction (ABC) has been used in several construction projects in Connecticut. ABC is bridge construction that uses innovative planning, design, materials, and construction methods in a safe and cost-effective manner to reduce the onsite construction time that occurs when building new bridges or replacing and rehabilitating existing bridges. ABC improves site constructability, total project delivery time, and work-zone safety for the traveling public. ABC reduces traffic impacts, onsite onsite construction time, and weather-related time delays. The Bureau continues to advance its ability to utilize other alternative contracting methods such as Design-Build, Construction Manager at Risk (CM@R) and Construction Manager/General Contractor (CM/GC).

The Bureau is continuing its effort to improve safety and drive down the number of fatalities and serious injuries of all road users on Connecticut’s highways. This effort is detailed in Connecticut’s Strategic Highway Safety Plan (SHSP). The SHSP brings together all of Connecticut’s safety stakeholders to collaborate on safety efforts and leverage resources.

The Bureau’s highway safety program focuses on implementing systematic transportation safety improvements. These types of projects focus on providing safety improvements over the entire transportation network, while providing the highest safety benefit for each dollar spent.

The Bureau continues to oversee the Local Transportation Capital Improvement Program (LOTICIP). LOTICIP allows municipalities to perform capital improvements on smaller, locally-owned roadways that qualify for the Federal Surface Transportation Program – Urban (STGB-U) without needing to adhere to Federal Title 23 requirements that many municipalities are unfamiliar with and find burdensome, time consuming, and expensive. LOTICIP has freed up a significant level of Bureau resources that have historically been devoted to oversight of municipally-sponsored Federal-aid projects. LOTICIP also allows the portion of Federal STP-U monies historically dedicated to improvements on municipally-owned facilities to be utilized by the Department for eligible activities, predominantly on State-owned assets. The Bureau continues to coordinate with the regional COGs on new location solicitations and enhancing project delivery.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|----------------------------------------------------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Percent of CTDOT maintained pavements in state of good repair, by centerline miles (%) | 67 | 62 | 59 | 54 |
| Percent of traffic signals in SOGR (%) | 70 | 66 | 64 | 62 |
| Percent of limited access signs in SOGR (%) | 39 | 42 | 52 | 58 |
| Percent of non-limited access signs in a SOGR (%) | 39 | 40 | 42 | 44 |
| Percent of sign supports in a SOGR (%) | 96 | 98 | 98 | 97 |
| Percent of CTDOT maintained pavement lines in a SOGR (%) | 43 | 46 | 43 | 44 |
| Percent of CTDOT-maintained pavement symbols in a SOGR (%) | 76 | 68 | 58 | 58 |
| Percent of Tier 1 Highway Buildings in a SOGR (%) | 86 | 87 | 89 | 88 |
| Percent of Construction Contracts completed within budget (%) | 67 | 70 | 70 | 70 |
| Percent of Construction Contracts completed on-time (%) | 54 | 60 | 60 | 60 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 917 | 69 | 0 | 986 | 986 | 986 | 986 | 986 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 22,942,579 | 29,934,780 | 30,515,980 | 30,345,527 | 30,345,527 | 32,057,492 | 31,803,784 | 31,803,784 |
| Other Expenses | 602,750 | 526,993 | 526,993 | 526,993 | 526,993 | 526,993 | 526,993 | 526,993 |
| Other Current Expenses | | | | | | | | |
| Transportation Asset Management | 0 | 0 | 0 | 0 | 3,000,000 | 0 | 0 | 3,000,000 |
| Total-Special Transportation Fund | 23,545,329 | 30,461,773 | 31,042,973 | 30,872,520 | 33,872,520 | 32,584,485 | 32,330,777 | 35,330,777 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Special Non-Appropriated Funds | 3,097,488 | 3,100,000 | 3,100,000 | 3,100,000 | 3,100,000 | 3,100,000 | 3,100,000 | 3,100,000 |
| Special Non-Appropriated Funds | 37,444 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20205 Highway Planning & Construction | 575,485,110 | 590,000,000 | 608,000,000 | 608,000,000 | 608,000,000 | 635,000,000 | 635,000,000 | 635,000,000 |
| 20205 HIGHWAY PLANNING AND CONSTRUCTION | 13,894 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20500 Federal Transit Capital Investment Grant | 326,694 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20507 Federal Transit Formula Grants | 276,311 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20527 Public Transportation Emergency Relief Program | 180,755 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 21019 Coronavirus Relief Fund | 22 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 602,963,047 | 623,561,773 | 642,142,973 | 641,972,520 | 644,972,520 | 670,684,485 | 670,430,777 | 673,430,777 |

HIGHWAY AND BRIDGE MAINTENANCE

Statutory Reference

C.G.S. Sections 13b-24-31 and Chapters 236-242.

Statement of Need and Program Objectives

To assure a safe, accessible, efficient, and aesthetic highway network for public and commercial highway users by maintaining and repairing the state highway system in a timely manner. To protect the accumulated capital investment in a maintained system, assure the attainment of its life expectancy and to afford the taxpayers a reasonable return for their tax dollars. To provide for the safety of the motoring public and to protect the investment made in the transportation infrastructure by regulating the movement of oversize and overweight vehicles and those carrying radioactive loads.

Program Description

Highway maintenance involves the maintenance and repair of over 10,000 lane miles of highways and over 3,950 vehicular bridges including pavements, bridges, drainage systems, traffic service items and damage caused by accidents and storms. Included in this program is the maintenance and repair of equipment essential to carrying out work activities.

Pavement maintenance includes patching of potholes and pavement irregularities surface treatments, pavement and shoulder leveling, joint and crack sealing and sweeping.

Drainage maintenance includes cleaning and reshaping of waterways, cleaning catch basins and pipes, and replacing and repairing pipes and drainage structures.

Bridge maintenance involves scheduled repairs, emergency repairs, and preventive maintenance work that includes: concrete deck repairs, joint repairs, joint replacements in conjunction with vendor paving program, superstructure and substructure repairs (steel and concrete), maintenance and repair of drainage systems, bridge rinsing, beam end and spot painting, debris removal, and operation of nine movable drawbridges including required maintenance of their structural, mechanical and electrical components.

Traffic services includes painting pavement lines and markings; maintaining reflective lane markers; installing and maintaining signs, traffic signals and highway illumination; repairing guide and barrier rails and providing electrical energy for signals and illumination.

Equipment maintenance and repair includes the repair and maintenance of engines (fuel, pollution, cooling, exhaust, and

electrical systems), brakes, suspension, drive trains, frames, bodies, cabs, and hydraulics.

A safe roadside also requires the trimming and removal of dead trees; mowing to provide adequate sight lines and preventing the growth of brush in designated areas through brush cutting and the spraying of herbicides; the maintenance of recovery areas (free of fixed objects) for errant vehicles; chain link fence installation and repair to prevent/control access by pedestrians and animals; repair of sound barriers and the cleaning of rock cuts to prevent ledge from falling onto the travel way.

Highway maintenance also assists in the development of state policy and regulations concerning the trucking industry and communicates with regulatory organizations at the national level, as well as other state agencies, to promote and implement uniform requirements for interstate travel.

Vehicular traffic is controlled through the development, implementation and maintenance of a permit system that: establishes limits for the use of any vehicle transporting radioactive hazardous material or oversize/overweight objects; identifies the required routing from the national network for vehicles whose size exceeds state law but is allowed by federal preemption on the interstate system and other designated highways. Highway maintenance also

develops and monitors a vehicle weight enforcement program that complies with federal mandates.

Vehicular traffic is also controlled by managing responses to events that impact the state roadway system, including those that cause recurring and non-recurring congestion, such as accidents, special event generators (such as concerts and sporting events), and roadway impacts caused by inclement weather and winter storms. These events are managed through the department's Newington and Bridgeport Operations Centers, which interact with personnel from other response agencies (including the Federal Highway Administration and the state police), and incident management responders in the field. Additionally, en route travel information systems are utilized to provide e-mail and internet notifications to the public, along with the sharing of highway camera images with the media. During FY 2020, the Operations Centers coordinated the response of a total of 4,044 reported incidents on the state's limited access highway system. The Operations Centers also coordinate the response of department-operated CHAMP vehicles to respond and assist with the clearing of roadway events that impact traffic. During FY 2020, the CHAMP Program provided highway assistance to 7,551 motorists along the I-95 corridor from the New York state line to the Rhode Island state line. In the Danbury to greater Hartford area, the CHAMP Program provided assistance to 5,311 motorists.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------------------|-----------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Sign Repairs - Repair (000) | 50 | 40 | 40 | 40 |
| Equipment Service & Repair - Orders (000) | 15.2 | 15.0 | 15.0 | 15.0 |
| Annual Truck Permits Sold | 87,664 | 90,000 | 91,000 | 91,000 |
| Annual Truck Permits - Fees Collected (\$) | 3,678,333 | 3,700,000 | 3,800,000 | 3,800,000 |
| Annual Radioactive Permits Sold | 31 | 40 | 40 | 40 |
| Annual Radioactive Permits - Fees Collected (\$) | 775 | 1,000 | 1,000 | 1,000 |
| Mowing Miles (000) | 31 | 40 | 40 | 40 |
| Litter Pickup (Hours) (000) | 76 | 85 | 85 | 85 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 1,536 | 159 | 0 | 1,695 | 1,695 | 1,695 | 1,695 | 1,695 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------|------------|------------|------------|------------|-------------|-------------|-------------|-------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 84,962,962 | 95,976,165 | 98,367,842 | 97,175,190 | 97,175,190 | 103,570,130 | 100,956,845 | 100,956,845 |
| Other Expenses | 26,783,027 | 22,531,341 | 23,535,901 | 22,531,341 | 22,531,341 | 23,541,661 | 22,531,341 | 22,531,341 |

Capital Outlay

| | | | | | | | | |
|-----------|---|---|--------|---|---|--------|---|---|
| Equipment | 0 | 0 | 18,000 | 0 | 0 | 18,000 | 0 | 0 |
|-----------|---|---|--------|---|---|--------|---|---|

Other Current Expenses

| | | | | | | | | |
|---------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Pay-As-You-Go Transportation Projects | 0 | 0 | 0 | 0 | 100,000,000 | 0 | 0 | 200,000,000 |
| Total-Special Transportation Fund | 111,745,989 | 118,507,506 | 121,921,743 | 119,706,531 | 219,706,531 | 127,129,791 | 123,488,186 | 323,488,186 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Special Non-Appropriated Funds | 296,878 | 300,000 | 325,000 | 325,000 | 325,000 | 350,000 | 350,000 | 350,000 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>Federal Contributions</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20205 Highway Planning & Construction | 3,475,859 | 3,500,000 | 3,500,000 | 3,500,000 | 3,500,000 | 3,500,000 | 3,500,000 | 3,500,000 |
| 20500 Federal Transit Capital Investment Grant | 484,253 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20507 Federal Transit Formula Grants | 1,943,642 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 |
| 21019 Coronavirus Relief Fund | 10,353 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 117,956,974 | 124,307,506 | 127,746,743 | 125,531,531 | 225,531,531 | 132,979,791 | 129,338,186 | 329,338,186 |

PROTECTION FROM AND REMOVAL OF SNOW AND ICE

Statutory Reference

C.G.S. Sections 13b-24-31 and Chapters 236-242.

Statement of Need and Program Objectives

To assure a reasonably safe and passable condition of the state highway network by maintaining the state highway system during winter storms in a timely manner and at an acceptable level.

Program Description

Snow and ice operations can be divided into two parts: storm activities and post storm activities. A weather advisory service is employed as a consultant to provide forecasting services, both daily routine forecasting and special storm warning forecasting. Equipment maintenance and repair is a vital part of this program. Through the judicious application of salt and liquid chlorides, and with continuous plowing, near bare pavements are provided. In addition to the state

highway system, snow and ice operations are also performed on commuter parking lots, other state agency roads and sidewalks on bridges. During intense storm periods, contractors' trucks and equipment are utilized to maintain service levels supplementing state manpower and equipment.

Storm activities include deployment of personnel and equipment including contractors' equipment, plowing operations, and application of snow-melting materials.

Post-storm activities include sidewalk snow removal, pushing back (widening the shoulder area by moving snow to the extreme edge of pavement prior to the next storm), pickup of snow, spot salting and treating ice conditions resulting from melting snow, replenishing material stockpiles, changing plow blades and readying equipment for the next storm.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|---------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Salt - Tons (000) | 95 | 205 | 205 | 205 |
| Liquid Chloride - Gallons (000) | 353 | 1,000 | 1,000 | 1,000 |
| Contractor Truck Rental - Hours (000) | 4 | 10 | 10 | 10 |
| Storm Operations - Hours | 142 | 305 | 305 | 305 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| <i>Special Transportation Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 12,260,658 | 19,555,523 | 19,892,882 | 19,892,882 | 19,892,882 | 19,892,882 | 19,892,882 | 19,892,882 |
| Other Expenses | 10,736,918 | 15,973,535 | 15,973,535 | 15,973,535 | 15,973,535 | 15,973,535 | 15,973,535 | 15,973,535 |
| Total-Special Transportation Fund | 22,997,576 | 35,529,058 | 35,866,417 | 35,866,417 | 35,866,417 | 35,866,417 | 35,866,417 | 35,866,417 |
| Total - All Funds | 22,997,576 | 35,529,058 | 35,866,417 | 35,866,417 | 35,866,417 | 35,866,417 | 35,866,417 | 35,866,417 |

TRANSPORTATION POLICY AND PLANNING

Statutory Reference

C.G.S. Sections 13b-14 and 13b-15.

Statement of Need and Program Objectives

To promote achievement of an integrated network of transportation services in Connecticut by recommending transportation policy, plans and programs and to analyze and recommend alternatives for providing transportation services in Connecticut.

Program Description

The Transportation Policy and Planning Program is managed by the Bureau of Policy and Planning and is composed of the Transportation Planning subprogram and encompasses the work performed in five functional areas.

The Office of Strategic Planning and Projects develops policies and procedures to implement a program of transportation improvements that meets the planning requirements under federal transportation requirements. Additionally, the office tracks and provides advice on relevant state and federal legislation; prepares the department's transportation plans; establishes priorities for major system improvements; administers state funded transportation capital programs and state planning documents; coordinates with regional states and federal partners on transportation related matters; reviews the generation and distribution of existing and future traffic for major traffic generators and special studies; and administers both the Safe Routes to School Program and commuter parking programs. In addition, the office conducts deficiencies/needs studies and feasibility studies; develops recommendations for the intermodal movement of goods and passengers; plans and coordinates the development of bicycle and pedestrian facilities; and coordinates the planning for Transit-Oriented Development (TOD). This office also administers the federal research program, develops performance measures and goals for all department bureaus, and assesses asset needs and priorities.

The Office of Environmental Planning develops and implements environmental policy for the department. The office reviews projects as required in compliance with the National and Connecticut Environmental Policy Acts (NEPA/CEPA), to determine classes of action and reviews, processes and prepares required Environmental Documentation. The office ensures compliance with state and federal requirements including but not limited to Section 106 of the National Historic Preservation Act, Section 4(f) of the Department of Transportation Act as well as the Clean Water Act and Endangered Species Act. The office conducts and oversees archeological, historic, wetland, wildlife, listed species and noise studies; determines mitigation measures for projects; prepares and reviews state and federal water resources permits and monitors construction projects for compliance with environmental permit conditions and state and federal regulations.

The Office of STIP, Coordination and Modeling develops, maintains and coordinates Metropolitan Planning Organizations (MPOs) and USDOT approval of the Statewide Transportation Improvement Program (STIP) and periodic revisions; tracks and produces report of

previous fiscal year obligated and granted projects to the MPOs and Council of Governments (COGs) as federally mandated; calculates and allocates estimated Federal Authorization funds to Connecticut's 8 MPOs and 2 Rural Council of Governments for long-range planning purposes. Coordinates with MPOs, COGs and local officials on planning efforts to ensure that the planning process is conducted in accordance with the requirements of federal laws and regulations; solicits the MPOs/COGs for project proposals under the Congestion Mitigation and Air Quality Improvement (CMAQ) program, the Transportation Alternatives program and the corridor study initiative. Develops and maintains the statewide travel demand model which utilizes current and future socio-economic and demographic projections to estimate travel demand; conducts project and regional level transportation air quality conformity analysis to ensure that the Metropolitan Transportation Plans and the STIP are consistent with air quality goals and that progress is made toward achieving and maintaining Federal air quality standards.

The Office of Roadway Information Systems maintains the official statewide roadway and CTfastrak geo-database and Linear Referencing Systems for the Department. It collects and processes traffic counts, vehicle classification, 85th percentile speed and pavement condition data, conducts inventories of transportation assets, performs HPMS reporting, ensures development of a Model Inventory of Roadway Elements (MIRE) compliant roadway data model, and maintains the official mileage logs of the state highway system and local roads. This office also maintains and develops the Photologging of all state roadways on an annual basis. Enterprise data development and GIS services are also provided by this office to support a variety of data analysis, visualization and data driven decision making needs. The authoritative transportation enterprise data warehouse known as TED (Transportation Enterprise Data) is also managed by Roadway Information Systems.

The Highway Safety Office administers the National Highway Traffic Safety Administration's Sections 402, 405, and 1906 Safety Programs and advances highway safety initiatives. Programs include Impaired Driving Enforcement, Police Traffic Services, Occupant Protection, Child Passenger Safety, Distracted Driving, Speed Enforcement, Motorcycle Safety, Bicycle and Pedestrian Safety, Traffic Records and Drowsy Driving. This office is involved with the planning and development of highway safety grants from eligible state and municipal agencies; ensures grant compliance with social and economic transportation issues; compliance with National Highway Traffic Safety Administration standards; and monitors and evaluates related grant activities, including fund distribution. The Crash Data and Analysis section maintains a crash records system; partners with the University of Connecticut to provide crash reporting data to the general public; and provides fatal crash data to FARS (Fatality Analysis Reporting System) a nationwide census of fatal vehicle traffic crashes.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Crash Data-Police crash reports processed (State roads) | 34,144 | 67,000 | 67,000 | 67,000 |
| Crash Data-Police crash reports processed (Local Roads) | 43,482 | 52,000 | 52,000 | 52,000 |
| Crash Data-Police crash reports processed (private property) | 3,853 | 8,000 | 8,000 | 8,000 |
| Motorcycle Safety Training-Enrolled participants | 2,023 | 5,000 | 5,000 | 5,000 |
| Traffic Monitoring-Number of locations where traffic counts were conducted | 3,213 | 4,000 | 4,100 | 5,000 |
| Roadway Inventory-Roadway miles inventoried, geocoded & processed | 2,956 | 2,500 | 2,500 | 2,500 |
| Trip Analysis-OSTA major traffic generator reviews | 98 | 100 | 100 | 100 |
| Community Outreach-Number of Transportation Safety Outreach Events | 12 | 50 | 50 | 50 |
| Impaired Driving-Number of DUI enforcement grants processed | 52 | 80 | 90 | 100 |
| Occupant Protection-Number of Seatbelt enforcement grants processed | 33 | 50 | 50 | 50 |
| Distracted Driving-Number of Distracted Driving enforcement grants processed | 57 | 60 | 60 | 60 |
| Environmental Reviews-Number of reviews completed | 145 | 200 | 200 | 200 |
| Property Releases-Number of property releases reviewed | 84 | 90 | 90 | 90 |
| Transportation Noise-Number of Transportation Noise inquiries processed | 35 | 30 | 30 | 30 |
| Wetland Monitoring-Number of Wetland Monitoring Reports prepared | 6 | 7 | 7 | 7 |
| Wetland Permits-Number of Wetland Permit applications processed | 89 | 100 | 120 | 120 |
| Environmental Field Inspections - number undertaken | 1,100 | 1,300 | 1,400 | 1,400 |
| Scenic Roads-Number of inquires/designations processed | 16 | 20 | 20 | 20 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 111 | 11 | 0 | 122 | 122 | 122 | 122 | 122 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Special Transportation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 4,301,493 | 5,412,106 | 5,477,704 | 5,477,704 | 5,477,704 | 5,694,221 | 5,694,221 | 5,694,221 |
| Other Expenses | 12,213 | 11,769 | 11,769 | 11,769 | 11,769 | 11,769 | 11,769 | 11,769 |

| Other Current Expenses | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Highway Planning And Research | 2,838,479 | 3,060,131 | 3,060,131 | 3,060,131 | 3,060,131 | 3,060,131 | 3,060,131 | 3,060,131 |
| Total-Special Transportation Fund | 7,152,185 | 8,484,006 | 8,549,604 | 8,549,604 | 8,549,604 | 8,766,121 | 8,766,121 | 8,766,121 |

| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 10,015 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 225,837 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |

| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 20205 Highway Planning & Construction | 17,380,948 | 15,000,000 | 15,000,000 | 15,000,000 | 15,000,000 | 15,000,000 | 15,000,000 | 15,000,000 |
| 20205 Metropolitan Trans Planning | 411,734 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20215 Highway Training and Education | 208,404 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20500 Federal Transit Capital Investment Grant | 82,872 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20507 Federal Transit Formula Grants | 7,658 | 8,000 | 8,000 | 8,000 | 8,000 | 8,000 | 8,000 | 8,000 |
| 20509 Formula Grants For Other Than Urbanize | 37,627 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| 20600 State & Community Highway Safety | 2,335,266 | 2,175,000 | 2,175,000 | 2,175,000 | 2,175,000 | 2,175,000 | 2,175,000 | 2,175,000 |

| | | | | | | | | |
|------------------------------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| 20607 Alcohol Open Container Requirements | 4,373,415 | 4,000,000 | 4,000,000 | 4,000,000 | 4,000,000 | 4,000,000 | 4,000,000 | 4,000,000 |
| 20611 Incentive Grant Program to Prohibit Racial Profiling | 363,601 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20616 National Priority Safety Programs | 4,037,207 | 4,000,000 | 4,000,000 | 4,000,000 | 4,000,000 | 4,000,000 | 4,000,000 | 4,000,000 |
| Total - All Funds | 36,626,769 | 33,857,006 | 33,922,604 | 33,922,604 | 33,922,604 | 34,139,121 | 34,139,121 | 34,139,121 |

TRANSPORTATION ADMINISTRATION

Statutory Reference

C.G.S. Sections 13b-4 and 13b-23.

Statement of Need and Program Objectives

To maintain the majority of the department's buildings and grounds and to administer the agreements with private operators for the provision of fuel and concessions at the service plaza facilities located on the state's expressways. To provide funding for non-bondable transportation projects in support of the state's transportation infrastructure.

Program Description

The Transportation Administration Program is composed of the following subprograms:

The department's Concessions subprogram encompasses the administration and oversight of agreements with private operators to provide for the operation, maintenance and refurbishment of the fuel stations and concession facilities on the John Davis Lodge Turnpike and the Merritt and Wilbur Cross Parkways. The subprogram provides oversight of all contractual matters related to the operation of the twenty-three service plazas; conducts regular inspections of all service plaza facilities including revenue verification; and ensures that redevelopment, maintenance, repair, and, when applicable, upgrades of the facilities are performed in accordance with the terms of the agreements.

The Operation and Maintenance of Buildings subprogram encompasses general building operation and maintenance including: immediate response to emergencies; making renovations and

performing repairs to the majority of the department-owned facilities; inspection of the department's facilities during their initial construction for compliance with code and construction specifications and throughout their life for condition assessment; performing preventative maintenance and scheduling necessary repairs to reduce the incidences of emergencies; maintaining the proper working environment for department employees and ensuring continued service to the public. These buildings include the department's administrative facilities, garages, and other structures such as salt and sand storage sheds and rest areas.

In addition, the subprogram encompasses the program, design, inspection and administration of capital improvement projects to extend the useful life of facilities and/or improve their functional use; developing and implementing programs to achieve energy efficiency in heating, air conditioning and lighting within the facilities; and performing routine, preventative maintenance as well as any required repairs in emergency situations to all buildings covered under this program.

The Pay-As-You-Go Transportation Projects subprogram provides appropriated funding to cover non-bondable transportation projects, including resurfacing costs, liquid surface treatment, pavement crack repair, line striping, bridge inspection operations, bridge joint repair and painting, and major maintenance operations. This subprogram augments the Capital Transportation Infrastructure Program and is designed to support the maintenance of the state's transportation infrastructure. In addition, the department's highway and bridge equipment needs are funded under this subprogram.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| PAYGO - Patching - Tons (000) | 16 | 14 | 14 | 14 |
| PAYGO - Paint Lane Lines (miles) | 5,195 | 5,500 | 5,500 | 5,500 |
| PAYGO - Percent of highway pavement rated good or better (%) | 63 | 63 | 63 | 63 |
| PAYGO - Tree Maintenance (# of trees) | 111,792 | 100,000 | 100,000 | 100,000 |
| PAYGO - Bridge Structure Repairs (\$\$ expended) (000) | 1,000 | 1,000 | 1,000 | 1,000 |
| PAYGO - Percent of highway bridges rated fair or better (%) | 96 | 96.8 | 97.0 | 97.4 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-----------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Transportation Fund | 37 | 1 | 0 | 38 | 38 | 38 | 38 | 38 |

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>Special Transportation Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,326,819 | 1,428,754 | 1,455,939 | 1,455,939 | 1,455,939 | 1,543,661 | 1,543,661 | 1,543,661 |
| Other Expenses | 8,788,755 | 8,260,528 | 8,287,058 | 8,260,528 | 8,260,528 | 8,287,058 | 8,260,528 | 8,260,528 |
| Capital Outlay | | | | | | | | |
| Equipment | 13,293 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Minor Capital Projects | 479,513 | 449,639 | 504,000 | 449,639 | 449,639 | 504,000 | 449,639 | 449,639 |
| Total--Capital Outlay | 492,806 | 449,639 | 504,000 | 449,639 | 449,639 | 504,000 | 449,639 | 449,639 |
| Other Current Expenses | | | | | | | | |
| Pay-As-You-Go Transportation Projects | 18,529,419 | 13,676,378 | 19,520,000 | 17,383,164 | 17,383,164 | 19,245,000 | 17,408,298 | 17,408,298 |
| Total-Special Transportation Fund | 30,137,799 | 23,815,299 | 29,766,997 | 27,549,270 | 27,549,270 | 29,579,719 | 27,662,126 | 27,662,126 |
| Other Funds Available | | | | | | | | |
| Special Non-Appropriated Funds | 10,071 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | | | | | | | | |
| 20205 Highway Planning & Construction | 19,229 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 21019 Coronavirus Relief Fund | 204,656 | 762,681 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 30,371,755 | 24,577,980 | 29,766,997 | 27,549,270 | 27,549,270 | 29,579,719 | 27,662,126 | 27,662,126 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 159,990,925 | 189,762,869 | 193,128,755 | 189,757,626 | 201,319,238 | 196,818,551 |
| Salaries & Wages-Temporary | 176,866 | 181,300 | 184,377 | 184,377 | 191,804 | 191,804 |
| Salaries & Wages-Part Time | 79,338 | 82,097 | 83,487 | 83,487 | 86,562 | 86,562 |
| Longevity Payments | 1,333,504 | 1,287,760 | 1,287,760 | 1,287,760 | 1,287,760 | 1,287,760 |
| Overtime | 15,203,013 | 20,500,000 | 20,879,750 | 20,879,750 | 21,248,433 | 21,248,433 |
| Accumulated Leave | 2,110,230 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 848,545 | 1,186,000 | 1,186,000 | 1,186,000 | 1,186,000 | 1,186,000 |
| Reimbursements | -16,182,824 | -17,332,738 | -17,332,738 | -17,332,738 | -17,332,738 | -17,332,738 |
| Other | 556,172 | 345,000 | 345,000 | 345,000 | 345,000 | 345,000 |
| TOTAL - Personal Services-Personal Services | 164,115,769 | 196,012,288 | 199,762,391 | 196,391,262 | 208,332,059 | 203,831,372 |
| Other Expenses | | | | | | |
| Advertising and Marketing | 0 | 0 | 0 | 375,000 | 0 | 500,000 |
| Communications | 1,257,460 | 1,079,768 | 1,196,135 | 1,079,768 | 1,201,895 | 1,079,768 |
| Diesel-Generator | 19,454 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| Educational Services | 0 | 0 | 0 | 50,000 | 0 | 50,000 |
| Electricity | 7,426,467 | 7,674,239 | 7,674,239 | 7,674,239 | 7,674,239 | 7,674,239 |

| | | | | | | |
|----------------------------------|------------|------------|------------|------------|------------|------------|
| Employee Expenses | 4,502 | 30,605 | 30,605 | 30,605 | 30,605 | 30,605 |
| Employee Travel | 174,931 | 135,315 | 135,315 | 135,315 | 135,315 | 135,315 |
| Equipment Rental and Maintenance | 2,577,291 | 3,261,351 | 3,261,351 | 3,261,351 | 3,261,351 | 3,261,351 |
| Food And Beverages | 350,312 | 356,629 | 356,629 | 356,629 | 356,629 | 356,629 |
| Information Technology | 2,874,508 | 2,334,728 | 3,041,667 | 2,334,728 | 3,114,432 | 2,334,728 |
| Motor Vehicle Costs | 11,182,083 | 10,838,516 | 11,012,496 | 10,103,694 | 11,012,496 | 10,103,694 |
| Natural Gas | 570,430 | 483,100 | 483,100 | 483,100 | 483,100 | 483,100 |
| Oil #2 | 421,515 | 550,000 | 550,000 | 550,000 | 550,000 | 550,000 |
| Other Services | 1,039,538 | 885,304 | 885,304 | 885,304 | 885,304 | 885,304 |
| Premises Expenses | 8,201,194 | 7,117,340 | 7,143,870 | 7,117,340 | 7,143,870 | 7,117,340 |
| Professional Services | 1,206,452 | 1,101,617 | 1,101,617 | 1,101,617 | 1,101,617 | 1,101,617 |
| Propane | 5,056 | 7,700 | 7,700 | 7,700 | 7,700 | 7,700 |
| Purchased Commodities | 15,346,861 | 17,126,483 | 18,026,483 | 17,126,483 | 18,026,483 | 17,126,483 |
| Sewer | 112,144 | 116,101 | 116,101 | 116,101 | 116,101 | 116,101 |
| Water | 237,366 | 233,000 | 233,000 | 233,000 | 233,000 | 233,000 |
| TOTAL-Other Expenses | 53,007,564 | 53,346,796 | 55,270,612 | 53,036,974 | 55,349,137 | 53,161,974 |

Equipment

| | | | | | | |
|------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Equipment | 728,947 | 1,341,329 | 2,261,727 | 1,341,329 | 2,407,566 | 1,341,329 |
| Minor Capital Projects | 479,513 | 449,639 | 504,000 | 449,639 | 504,000 | 449,639 |
| TOTAL-Equipment | 1,208,460 | 1,790,968 | 2,765,727 | 1,790,968 | 2,911,566 | 1,790,968 |

Other Current Expenses

| | | | | | | |
|---------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Highway Planning And Research | 2,838,479 | 3,060,131 | 3,060,131 | 3,060,131 | 3,060,131 | 3,060,131 |
| Rail Operations | 230,430,467 | 215,927,417 | 216,407,425 | 176,011,415 | 241,461,271 | 182,234,045 |
| Bus Operations | 200,948,745 | 201,522,710 | 213,112,617 | 211,266,251 | 218,998,366 | 195,868,000 |
| ADA Para-transit Program | 40,971,360 | 44,819,461 | 44,819,461 | 42,578,488 | 44,819,461 | 42,578,488 |
| Non-ADA Dial-A-Ride Program | 576,361 | 576,361 | 576,361 | 576,361 | 576,361 | 576,361 |
| Pay-As-You-Go Transportation Projects | 18,529,419 | 13,676,378 | 19,520,000 | 117,383,164 | 19,245,000 | 217,408,298 |
| Port Authority | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 | 400,000 |
| Transportation Asset Management | 0 | 0 | 0 | 3,000,000 | 0 | 3,000,000 |
| TOTAL-Other Current Expenses | 494,694,831 | 479,982,458 | 497,895,995 | 554,275,810 | 528,560,590 | 645,125,323 |

Pmts to Other Than Local Govts

| | | | | | | |
|--------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Transportation to Work | 2,370,628 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 |
| TOTAL-Pmts to Other Than Local Govts | 2,370,628 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 |

| | | | | | | |
|-----------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Personal Services | 164,115,769 | 196,012,288 | 199,762,391 | 196,391,262 | 208,332,059 | 203,831,372 |
| Other Expenses | 53,007,564 | 53,346,796 | 55,270,612 | 53,036,974 | 55,349,137 | 53,161,974 |
| Capital Outlay | 728,947 | 1,341,329 | 2,261,727 | 1,341,329 | 2,407,566 | 1,341,329 |
| Capital Outlay | 479,513 | 449,639 | 504,000 | 449,639 | 504,000 | 449,639 |
| Other Current Expenses | 494,694,831 | 479,982,458 | 497,895,995 | 554,275,810 | 528,560,590 | 645,125,323 |
| Pmts to Other Than Local Govts | 2,370,628 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 | 2,370,629 |
| TOTAL-Special Transportation Fund | 715,397,252 | 733,503,139 | 758,065,354 | 807,865,643 | 797,523,981 | 906,280,266 |

DEPARTMENT OF SOCIAL SERVICES

AGENCY DESCRIPTION

The Department of Social Services (DSS), guided by a shared belief in human potential, envisions a Connecticut where all have the opportunity to be healthy, secure and thriving. DSS, along with its partners, provides person-centered programs and services to enhance the well-being of individuals, families and communities across the state. With human service partners, the department serves eligible residents who need assistance in maintaining or achieving their full potential for self-direction, self-reliance and independent living.

In support of its mission, DSS provides a continuum of core services for eligible residents of Connecticut to:

- Meet basic needs for food, shelter, economic support and health care;
- Promote and support economic security;

- Promote and support the integration and participation of all individuals in their community.

Within these services, DSS' programs allow for the provision of food, financial support, and health services and increase the security and well-being of Connecticut's residents, while also strengthening the state's communities by supporting those most in need. In cooperation with other human service agencies, municipalities and community-based organizations, the department administers, coordinates, plans, delivers and funds several dozen social service programs to support eligible children, youth, families, adults, elderly and persons with disabilities. DSS administers the following core programs and services: Food and Nutritional Services, Income Support Services, Health Services, Support and Safety Services, and Administrative and Field Services.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|
| • Provide Additional Funding to Reflect Anticipated Entitlement Program Requirements | 32,894,638 | 128,625,938 |
| • Provide Funding for Residential Supports Caseload Growth <i>Supports annualization of FY 2021 placements and new residential placements for 90 individuals in FY 2022 and 69 individuals in FY 2023 who will be aging out of services provided by the Department of Children and Families or local education agencies and 30 individuals in FY 2022 and FY 2023 who will be transitioning under other initiatives such as Money Follows the Person.</i> | 13,905,000 | 26,855,000 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 5,420,745 |
| • Reflect Annualization of Minimum Wage Increase for Employees of Private Providers <i>Provides funding to annualize the FY 2021 private provider minimum wage transfers as well as additional funding to support increases in the minimum wage in FY 2022 and FY 2023.</i> | 1,014,520 | 2,779,051 |
| • Provide Funding for State Employee Wage Adjustments | 1,608,194 | 1,608,194 |
| • Update Funding to Reflect Anticipated Requirements under Other Expenses | 995,098 | 437,368 |
| • Enhance Quality Assurance Review and Recovery Initiatives <i>Reflects savings from the following: (1) continuing enhanced quality assurance activities authorized under the current biennial budget; (2) pursuing enhanced quality assurance review and training of behavioral health providers; (3) building on DSS' partnership with its existing contractor for audit leads; and (4) increasing the number of nursing home Medicare appeals performed. Savings figures reflect the state's share of Medicaid expenditures. After factoring in the federal share, these initiatives will result in total savings of \$12.6 million in FY 2022 and \$19.5 million in FY 2023.</i> | -4,317,000 | -7,113,600 |
| • Achieve Savings through Payment Adjustments and Administrative Efficiencies <i>Reflects savings from the following: (1) reducing capitated non-emergency medical transportation rate to reflect reduced use of non-emergency transportation due to increased use of telehealth by HUSKY members; (2) implementing quantity limits on medical equipment devices and supplies; (3) implementing limits on drug screens; and (4) implementing Medicare crossover payment changes. Savings figures reflect the state's share of Medicaid expenditures. After factoring in the federal share, these initiatives will result in total savings of \$6.8 million in FY 2022 and \$7.6 million in FY 2023.</i> | -2,590,000 | -2,870,000 |
| Reductions | FY 2022 | FY 2023 |
| • Remove Inflationary Adjustment for Nursing Homes <i>Under current statute and regulation, the department is required to rebase nursing home costs no more than once every two years, but no less than once every four years, and provide funding for an inflationary increase for years in which rebasing is not occurring. This proposal eliminates these increases over the biennium. Savings figures reflect the state's share of Medicaid expenditures. After factoring in the federal share, this proposal will reduce total Medicaid expenditures by \$22.2 million in FY 2022 and \$48.6 million in FY 2023.</i> | -11,100,000 | -24,300,000 |
| • Claim Residential Care Home Services Under the Medicaid Program <i>This proposal will allow the state to leverage federal dollars for services that are already being provided under the State Supplement for the Aged, Blind and Disabled program, but which are not currently federally reimbursed. As a result of billing for applicable services provided by residential care homes (RCHs) under Medicaid, 25% of the additional federal reimbursement for these services will be reinvested in RCHs.</i> | -2,100,000 | -12,700,000 |

| | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|------------|
| <ul style="list-style-type: none"> • Institute an Asset Test Under the Medicare Savings Program to Align with Massachusetts Level <i>The Medicare Savings Program (MSP) is a Medicaid-funded program that helps Medicare recipients with income up to 246% of the federal poverty level. Connecticut is one of only nine states that does not have an asset test. There are 38 states with an asset test equal to the federal minimum (currently, \$7,860 for individuals and \$11,800 for couples), three states with limits that are higher than the federal minimum (Maine, Massachusetts and Minnesota) and nine states that have no asset test (Alabama, Arizona, Connecticut, Delaware, Louisiana, Mississippi, New York, Oregon, and Vermont). Prior to FY 2010, Connecticut's income levels were in line with other states and, similarly, an asset test was in place. Effective August 1, 2022, this proposal will align Connecticut with the majority of other states by instituting an asset test but instead of setting it equal to the federal minimum it will align with Massachusetts' level (currently, \$15,720 for individuals and \$23,600 for couples), which is double the federal minimum. Consistent with federal rules, countable resources will include money in a checking or savings account, stocks and bonds. An individual's home, one car, a burial plot, up to \$1,500 in a burial account, life insurance with a cash value of less than \$1,500, and household and personal items will be excluded. Savings figures include the state's share of Medicaid expenditures, which cover the costs of deductibles, coinsurance and copayments for those with income up to 211% of the federal poverty level. After factoring in the federal share, this proposal will reduce total Medicaid expenditures by \$22.8 million in FY 2023. In addition, because the premiums are covered through the diversion of Medicaid revenue, less revenue will need to be diverted to cover these costs, resulting in additional revenue of \$18.8 million in FY 2023. In total, after factoring in administrative costs, this proposal will result in net savings to the state of \$26.6 million in FY 2023.</i> | 1,100,000 | -7,800,000 |
| <ul style="list-style-type: none"> • Annualize FY 2021 Holdbacks | -6,527,123 | -6,527,123 |
| <ul style="list-style-type: none"> • Remove Rate Increases for Boarding Homes <i>Under current statute, DSS is required to annually determine rates for various boarding homes. Per DSS' regulations, boarding home rate increases are based on actual cost reports submitted by facilities, barring any legislation to remove rate increases for a particular fiscal year. This proposal eliminates these increases over the biennium.</i> | -1,951,300 | -4,103,900 |
| <ul style="list-style-type: none"> • Annualize FY 2021 Rescissions | -2,409,910 | -2,409,910 |
| <ul style="list-style-type: none"> • Remove Cost of Living Adjustments for Public Assistance Recipients <i>Under current statute, recipients of Temporary Family Assistance, State Administered General Assistance and State Supplement for the Aged, Blind and Disabled are scheduled to receive a cost of living adjustment effective July 1, 2021 and July 1, 2022. This proposal eliminates these standards increases over the biennium.</i> | -770,500 | -2,101,300 |
| <ul style="list-style-type: none"> • Remove Rate Increases for Intermediate Care Facilities for Individuals with Intellectual Disabilities <i>To comply with DSS' regulations, the baseline budget includes an inflationary adjustment in each year of the biennium for intermediate care facilities for individuals with intellectual disabilities. This proposal eliminates these increases over the biennium. Savings figures reflect the state's share of Medicaid expenditures. After factoring in the federal share, this proposal will reduce total Medicaid expenditures by \$1.4 million in FY 2022 and \$3.0 million in FY 2023.</i> | -700,000 | -1,500,000 |
| <ul style="list-style-type: none"> • Implement Third Party Liability Prompt Pay Requirement to Adjudicate Health Care Claims <i>This proposal requires insurers to act in a timely manner on requests to reimburse for services covered under HUSKY Health for which they may be legally liable. This proposal is consistent with prompt payment standards that are common practice in the health insurance industry and will result in additional recoveries that will reduce state Medicaid funding requirements.</i> | -2,000,000 | -1,000,000 |
| <ul style="list-style-type: none"> • Expand Obstetrics Bundle under Medicaid <i>Under this initiative, DSS will expand the bundled payment for obstetrics to a broader and more integrated set of prenatal and postpartum services with a focus on: (1) birth outcomes such as vaginal birth versus Cesarean section birth, full-term normal weight newborn vs. premature or low birth weight birth, and births requiring routine care versus neonatal intensive care; (2) maternal mental health and obstetrics care for women of color and those with substance use disorder; and (3) consideration of features such as care by doulas and nurse midwives, birthing centers and a nationally recognized breast-feeding initiative. Savings figures include the state's share of Medicaid expenditures. After factoring in the federal share, this proposal is expected to reduce total Medicaid expenditures by \$1.65 million in FY 2023.</i> | 0 | -830,000 |
| <ul style="list-style-type: none"> • Eliminate Funding for Individuals Displaced by Hurricane Maria <i>In response to Hurricane Maria, funding was added to support services for persons residing in the state who were displaced by the hurricane. Since this funding was intended to provide disaster relief for an event that occurred back in September of 2017, it has become increasingly difficult to spend these funds on the target population and, as a result, over three-quarters of the funding is expected to lapse this fiscal year. This proposal eliminates the funding earmarked for this purpose.</i> | -504,000 | -504,000 |
| <ul style="list-style-type: none"> • Reduce Funding for Various Programs Under the Community Services Account <i>Under this proposal, funding for the Connecticut Diaper Bank is reduced by \$168,300, while funding for the Jewish Federation Association of Connecticut (\$33,660) is eliminated. In addition, funding for Charter Oak Urgent Care is reduced by \$72,369 in FY 2023.</i> | -201,960 | -274,329 |
| <ul style="list-style-type: none"> • Adjust Funding for HRD-Hispanic Program to Reflect More Historical Levels | -240,000 | -240,000 |
| <ul style="list-style-type: none"> • Reflect Anticipated Continuation of Enhanced Federal Reimbursement Through Calendar Year 2021 <i>Under the Families First Coronavirus Response Act, enhanced federal reimbursement is available through the last day of the calendar quarter in which the public health emergency declared by the Secretary of Health and Human Services terminates. In a January 22, 2021 letter to governors, the Secretary indicated that the public health emergency "will likely remain in place for the entirety of 2021, and when a decision is made to terminate the declaration or let it expire, HHS will provide states with 60 days' notice prior to termination." This emergency declaration allows for enhanced match of 6.2% on most Medicaid expenditures, with the main exception being the expansion population, which is already reimbursed at 90% for those deemed newly eligible for the state's HUSKY D program. Under the Children's Health Insurance Program, an enhanced match of 4.34% is also available for the state's HUSKY B program. This adjustment reflects the expectation that enhanced federal reimbursement continues through December 31, 2021.</i> | -128,600,000 | 0 |

Reallocations

| | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------|
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services | -1,357,786 | -1,410,009 |
| • Centralize Funding for Microsoft 365 Statewide Agreement Under the Department of Administrative Services | -513,693 | -513,693 |
| • Transfer Funding for Center for Medicare Advocacy to the Department of Aging and Disability Services <i>The Center for Medicare Advocacy provides education, advocacy and legal assistance to help older adults and people with disabilities obtain access to Medicare. Because these activities are in line with the overall mission of the Department of Aging and Disability Services (ADS), funding in FY 2020 and FY 2021 was transferred from the Department of Social Services to ADS. Beginning in FY 2022, funds are reallocated to ADS.</i> | -300,000 | -300,000 |
| • Reallocate Funding to the Department of Developmental Services Rent Subsidy Account <i>Funding is transferred from the Community Residential Services account to the Rent Subsidy account at the Department of Developmental Services to better align current program requirements.</i> | -250,000 | -250,000 |
| • Transfer Funding for Mary Morrisson School Based Health Center to the Department of Public Health <i>Because the Department of Public Health (DPH) provides grants to other school based health centers, funding in FY 2020 and FY 2021 for the Mary Morrisson School Based Health Center was transferred from the Department of Social Services to DPH. Beginning in FY 2022, funds are reallocated to DPH.</i> | -125,000 | -125,000 |
| • Reallocate Funding to the Office of Early Childhood for Care 4 Kids Program Accounting Function | -70,930 | -73,658 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,666 | 246 | 0 | 1,912 | 1,912 | 1,895 | 1,912 | 1,895 |
| Federal Funds | 34 | 7 | 0 | 40 | 41 | 41 | 41 | 41 |

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|---------------|---------------|---------------|---------------|---------------|----------------|---------------|---------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Administrative and Field Services | 154,299,279 | 177,395,270 | 199,660,230 | 201,178,437 | 193,084,926 | 192,800,743 | 194,410,359 | 186,261,897 |
| Health Services | 7,686,643,135 | 7,760,332,364 | 8,463,112,872 | 8,201,356,759 | 8,176,766,909 | 8,817,945,798 | 8,406,251,610 | 8,328,331,760 |
| Income Support Services | 256,112,532 | 166,499,875 | 182,492,323 | 166,120,275 | 158,498,475 | 183,877,584 | 171,216,138 | 135,410,938 |
| Food & Nutritional Services | 13,353,229 | 14,548,076 | 14,548,076 | 14,548,076 | 14,547,585 | 14,594,969 | 14,594,847 | 14,594,356 |
| Support and Safety Services | 714,858,570 | 759,980,681 | 778,067,144 | 772,619,290 | 770,902,740 | 793,216,636 | 786,057,684 | 784,268,765 |
| TOTAL Agency Programs | 8,825,266,745 | 8,878,756,266 | 9,637,880,645 | 9,355,822,837 | 9,313,800,635 | 10,002,435,730 | 9,572,530,638 | 9,448,867,716 |

Summary of Funding

| | | | | | | | | |
|---------------------------|---------------|---------------|---------------|---------------|---------------|----------------|---------------|---------------|
| General Fund | 4,331,939,019 | 4,211,011,437 | 4,827,094,558 | 4,708,459,989 | 4,549,837,787 | 4,971,646,427 | 4,821,692,235 | 4,754,729,313 |
| Federal Funds | 4,491,577,932 | 4,666,832,829 | 4,808,775,830 | 4,646,405,848 | 4,763,005,848 | 5,028,779,046 | 4,749,881,403 | 4,693,181,403 |
| Private Funds | 1,405,367 | 912,000 | 2,010,257 | 957,000 | 957,000 | 2,010,257 | 957,000 | 957,000 |
| Restricted State Accounts | 344,427 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 8,825,266,745 | 8,878,756,266 | 9,637,880,645 | 9,355,822,837 | 9,313,800,635 | 10,002,435,730 | 9,572,530,638 | 9,448,867,716 |

INCOME SUPPORT SERVICES

Statutory Reference

C.G.S. Sections 17b-2, 17b-84 to 17b-89, 17b-104, 17b-105, 17b-112, 17b-112e, 17b-131, 17b-191, 17b-192, and 17b-600.

Statement of Need and Program Objectives

To reduce reliance on cash assistance and related programs by reducing barriers to employment, and to increase economic stability by increasing child support collections.

Program Description

The department provides income support services through the following programs:

Temporary Family Assistance / Jobs First Employment Services – Jobs

First Employment Services (JFES) is Connecticut's welfare reform program, providing Temporary Family Assistance (TFA) to families with children under the age of 19 in need of and eligible for cash assistance. JFES is a time-limited program that emphasizes early case management intervention and participation in the labor market. JFES establishes a time limit of 21 months for those families that have an adult who is able to work. Extensions beyond 21 months may be available if the adult cannot find a job that makes the family financially independent. DSS refers adult recipients to JFES, which is administered by the Connecticut Department of Labor (DOL) and regional Workforce Investment Boards, for help in finding work. During the 21 months, and during extensions, recipients must cooperate with the JFES program and make a good-faith effort to find

a job and keep working. In FFY 2020 the department:

- Issued approximately \$40 million in TFA benefits plus approximately \$12 million to support DOL’s JFES efforts; and
- Provided benefits and services to 30,054 individuals.

Safety Net Services/TANF Case Management – This is a statewide case management and basic needs support program provided through contracts with non-profit provider(s). Services include basic needs support to families who have exhausted their TFA time limits or who have significant basic needs that are not met by TFA cash assistance alone, employment barrier reduction services (upon referral by JFES case managers), and individual performance contracts for families at risk of losing TFA due to JFES non-compliance. On an annual basis, the department expends approximately \$1.7 million in support of safety net and TANF case management services.

State Administered General Assistance (SAGA) – This program provides limited cash assistance to individuals with minimal assets (≤\$250) who are either permanently or temporarily unable to work due to medical or other prescribed reasons. The maximum monthly benefit is currently \$219, although SAGA will help pay for residential boarding home costs for qualifying individuals. The department contracts with an external organization to perform the employability determinations required for SAGA eligibility. In FY 2020:

- The department issued approximately \$18.7 million in SAGA cash benefits; and
- Provided benefits to 9,690 individuals.

State Supplement – This program provides cash assistance to supplement the fixed income of individuals who are age 65 or older,

blind, or between the ages of 18 and 64 and have a disability. State Supplement also pays for residential boarding home costs for qualifying individuals. Eligibility for State Supplement cash benefits also confers Medicaid eligibility. To be eligible to receive State Supplement benefits, individuals must have a source of unearned income such as Social Security, Supplemental Security Income, pension, or veterans’ benefits. Individuals who do not have a source of unearned income may be eligible for benefits through the State Administered General Assistance program. In addition to income and non-financial eligibility conditions, individuals must have less than \$1,600 in assets (\$2,400 for a married couple). In FY 2020:

- The department issued approximately \$102.8 million in benefits, with about 80% of that amount paid to individuals in boarding homes; and
- Provided benefits to 15,615 individuals.

Child Support – The Child Support program is a joint federal-state effort to strengthen families and reduce welfare dependency by ensuring that parents live up to the responsibility of supporting their children. The program provides the following services: location of non-custodial parents; establishment of paternity; establishment, modification and enforcement of financial support orders; establishment and modification of medical support; and collection and distribution of support payments. These services are available to all families, regardless of their financial status. In FY 2020, support payments collected for children totaled \$313 million. Approximately 94% of the department’s child support enforcement cases had support obligations in place in FY 2020.

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 145 | 21 | 0 | 166 | 166 | 166 | 166 | 166 |
| | | | | | | | | |
| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 10,465,204 | 12,198,350 | 12,198,350 | 12,198,350 | 12,198,350 | 12,684,477 | 12,672,913 | 12,672,913 |
| Other Expenses | 1,174,909 | 1,247,625 | 1,247,625 | 1,247,625 | 1,247,625 | 1,247,625 | 1,247,625 | 1,247,625 |
| | | | | | | | | |
| <i>Pmts to Other Than Local Govts</i> | | | | | | | | |
| Old Age Assistance | 40,952,180 | 39,869,500 | 41,485,599 | 42,240,000 | 39,100,000 | 43,303,523 | 45,410,000 | 30,360,000 |
| Aid To The Blind | 533,225 | 503,900 | 554,593 | 524,300 | 512,500 | 543,322 | 545,600 | 520,400 |
| Aid To The Disabled | 54,721,316 | 51,983,700 | 59,880,262 | 52,170,000 | 48,340,000 | 62,359,301 | 54,130,000 | 35,150,000 |
| Temporary Family Assistance - TANF | 56,047,214 | 44,974,200 | 49,612,671 | 41,860,000 | 41,370,000 | 46,720,133 | 41,210,000 | 39,880,000 |
| State Administered General Assistance | 18,754,435 | 15,722,600 | 17,513,223 | 15,880,000 | 15,730,000 | 17,019,203 | 16,000,000 | 15,580,000 |
| Pmts to Other Than Local Govts | 171,008,370 | 153,053,900 | 169,046,348 | 152,674,300 | 145,052,500 | 169,945,482 | 157,295,600 | 121,490,400 |
| Total-General Fund | 182,648,483 | 166,499,875 | 182,492,323 | 166,120,275 | 158,498,475 | 183,877,584 | 171,216,138 | 135,410,938 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Federal Contributions | | | | | | | | |
| 93575 Child Care and Development Block Grant | 48,649,999 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93596 Child Care Mandatory and Matching Funds | 24,814,050 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 256,112,532 | 166,499,875 | 182,492,323 | 166,120,275 | 158,498,475 | 183,877,584 | 171,216,138 | 135,410,938 |

FOOD AND NUTRITIONAL SERVICES

Statutory Reference

C.G.S. Sections 17b-790 to 17b-791.

Statement of Need and Program Objectives

To increase consumption of nutritional foods in low-income households.

Program Description

The department distributes commodity food and supports food banks and food pantries across the state. The department also provides food and nutritional services through the following programs:

Supplemental Nutrition Assistance Program (SNAP) – The federal Supplemental Nutrition Assistance Program (formerly Food Stamps) provides benefits that can be used for food purchases. The program is available for all households and individuals of limited means; there are no categorical eligibility requirements. SNAP benefits are 100% federally funded, with administrative costs shared equally between the federal government and the state.

The Emergency Food Assistance Program – The department contracts with the state’s two food banks, Connecticut Food Bank and Foodshare to provide direct administrative funding related to the distribution of federal commodities to soup kitchens, shelters and food pantries (approximately 550 statewide) that serve meals and provide food to households.

The Commodity Supplemental Food Program – The department participates in this federal program that helps improve the health of eligible low-income persons at least 60 years of age with income below 130% of the federal poverty level by supplementing their diets with nutritious food packages through the department’s two food bank partners, Connecticut Food Bank and Foodshare.

SNAP Employment and Training – This is a voluntary employment and training program for SNAP recipients not receiving cash assistance under the Temporary Family Assistance program. The department currently contracts with 18 partners to provide training in over 60 programs. The department receives an appropriation from the federal government to administer the program. Other expenditures incurred may qualify for federal reimbursement.

SNAP Nutrition Education – The department contracts with six implementing agencies and providers to provide nutrition education to SNAP clients. State and private funds are reimbursed by federal funds and are used to implement a variety of obesity prevention strategies, including direct nutrition education events and workshops. Services are provided for pregnant, breastfeeding, and postpartum women, children and their families, adults, and older adults. Interventions focus on a variety of nutrition topics based on the current dietary guidelines for Americans through statewide comprehensive culturally tailored programs.

SNAP Outreach – The department contracts with various providers to conduct informational activities to inform low-income households about the availability, eligibility requirements, application procedures and benefits of SNAP. This includes informational presentations for potentially eligible SNAP clients as well as other community partners/organizations, SNAP eligibility pre-screening, help with SNAP application completion and submission, help with gathering and submitting verifications, help with understanding the application process, and help with remaining eligible for SNAP by assisting with the recertification process.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 14 | 2 | 0 | 16 | 16 | 16 | 16 | 16 |
| Federal Funds | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,053,632 | 1,172,302 | 1,172,302 | 1,172,302 | 1,172,302 | 1,219,195 | 1,217,909 | 1,217,909 |
| Other Expenses | 1,367,303 | 1,451,927 | 1,451,927 | 1,451,927 | 1,451,927 | 1,451,927 | 1,451,927 | 1,451,927 |

Pmts to Other Than Local Govts

| | | | | | | | | |
|--------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Food Stamp Training Expenses | 4,318 | 9,832 | 9,832 | 9,832 | 9,341 | 9,832 | 9,832 | 9,341 |
| Nutrition Assistance | 749,039 | 749,040 | 749,040 | 749,040 | 749,040 | 749,040 | 750,204 | 750,204 |
| Pmts to Other Than Local Govts | 753,357 | 758,872 | 758,872 | 758,872 | 758,381 | 758,872 | 760,036 | 759,545 |
| Total-General Fund | 3,174,292 | 3,383,101 | 3,383,101 | 3,383,101 | 3,382,610 | 3,429,994 | 3,429,872 | 3,429,381 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10178 Trade Mitigation Program | 215,559 | 234,000 | 234,000 | 234,000 | 234,000 | 234,000 | 234,000 | 234,000 |
| Eligible Recipient Agency Operation | | | | | | | | |
| 10561 State Admin Matching Grants – SNAP | 8,594,492 | 9,896,546 | 9,896,546 | 9,896,546 | 9,896,546 | 9,896,546 | 9,896,546 | 9,896,546 |
| 10565 Commodity Supplemental Food Program | 245,836 | 218,841 | 218,841 | 218,841 | 218,841 | 218,841 | 218,841 | 218,841 |
| 10568 Emergency Food Assist Pgm | 1,115,970 | 815,588 | 815,588 | 815,588 | 815,588 | 815,588 | 815,588 | 815,588 |
| 10579 Child Nutrition Discretionary Grants | 7,080 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 13,353,229 | 14,548,076 | 14,548,076 | 14,548,076 | 14,547,585 | 14,594,969 | 14,594,847 | 14,594,356 |

SUPPORT AND SAFETY SERVICES

Statutory Reference

C.G.S. Sections 17b-450 to 17b-461 and 17b-885 to 17b-895.

Statement of Need and Program Objectives

To provide general support to individuals to foster their ability to live independently in the community.

To promote and/or maintain employment and improve workforce viability for low-income individuals and families.

To prevent the potential abuse, neglect or exploitation of vulnerable children, youth, and adults.

To provide safe temporary shelter and supportive services for victims of domestic violence on an emergency and short-term basis.

Program Description

The department provides a variety of special services for the support and safety of residents. Programs include:

Human Services Infrastructure (HSI) Initiative – The department, in conjunction with the state's nine community action agencies and one limited purpose agency, strives to provide a coordinated, statewide, social services system to help identify barriers and gaps in services and track client outcomes with the goal of creating a more efficient system of connecting people to the services they need.

Human Resource Development - Hispanic Programs – The department contracts with community-based organizations to provide services aimed at improving the workforce viability of low-income residents of Hispanic descent.

Protective Services for the Elderly – The department investigates reports of abuse, neglect, exploitation and abandonment of persons 60 years of age or older living in the community. Interventions to help ensure safety are also provided.

Conservator of Person and Estate Programs – Social workers representing the DSS Commissioner and appointed by Probate Court supervise the personal and/or financial affairs of an individual who has a critical need for someone to act on their behalf due to mental or physical disability.

Social Work In-Home Supports - This program's goal is to maintain adults with disabilities in independent living through the provision of supportive services and social work intervention.

Energy Assistance – Through the Connecticut Energy Assistance Program, the department assists low-income households with incomes up to 60% of the state median income with their winter heating costs.

Domestic Violence Shelters and Services – DSS provides victims of family violence and their children with safe shelter and supportive services on an emergency and short-term basis. Support services include counseling, legal information, court advocacy, assistance with seeking entitlements, employment, and child care.

Teenage Pregnancy Prevention Initiative – This program is designed to prevent first-time pregnancies in at-risk teenagers, targeting towns with the highest rates of teen pregnancy and utilizing evidence-based models that are proven to be effective.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 98 | 14 | 1 | 113 | 113 | 113 | 113 | 113 |
| Federal Funds | 5 | 3 | 0 | 7 | 8 | 8 | 8 | 8 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 8,614,979 | 9,639,824 | 9,639,824 | 9,639,824 | 9,639,824 | 10,013,891 | 10,014,851 | 10,014,851 |
| Other Expenses | 903,462 | 959,378 | 959,378 | 959,378 | 959,378 | 959,378 | 959,378 | 959,378 |
| Other Current Expenses | | | | | | | | |
| Genetic Tests in Paternity Actions | 44,680 | 81,906 | 81,906 | 81,906 | 81,906 | 81,906 | 81,906 | 81,906 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Emergency Assistance | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| Human Resource Development-Hispanic Programs | 871,735 | 1,159,885 | 1,546,885 | 1,546,885 | 802,885 | 1,546,885 | 1,547,704 | 803,704 |
| Community Residential Services | 605,227,364 | 638,014,602 | 659,821,976 | 654,339,602 | 654,089,602 | 674,597,401 | 667,289,602 | 667,039,602 |
| Safety Net Services | 1,329,872 | 1,334,544 | 1,334,544 | 1,334,544 | 1,329,873 | 1,334,544 | 1,334,544 | 1,329,873 |
| Services for Persons With Disabilities | 269,048 | 276,362 | 276,362 | 276,362 | 276,362 | 276,362 | 276,362 | 276,362 |
| Community Services | 1,270,126 | 1,805,376 | 1,805,376 | 1,805,376 | 1,103,416 | 1,805,376 | 1,805,376 | 1,031,047 |
| Human Services Infrastructure Community Action Program | 3,280,908 | 3,292,432 | 3,292,432 | 3,294,252 | 3,282,728 | 3,292,432 | 3,303,200 | 3,291,676 |
| Teen Pregnancy Prevention | 1,156,355 | 1,255,827 | 1,255,827 | 1,255,827 | 1,251,432 | 1,255,827 | 1,255,827 | 1,251,432 |
| Domestic Violence Shelters | 5,289,049 | 5,289,049 | 5,289,049 | 5,321,749 | 5,321,749 | 5,289,049 | 5,425,349 | 5,425,349 |
| Total--Pmts to Other Than Local Govts | 618,694,457 | 652,428,078 | 674,622,452 | 669,174,598 | 667,458,048 | 689,397,877 | 682,237,965 | 680,449,046 |
| Pmts to Local Governments | | | | | | | | |
| Teen Pregnancy Prevention - Municipality | 98,000 | 98,281 | 98,281 | 98,281 | 98,281 | 98,281 | 98,281 | 98,281 |
| Total-General Fund | 628,355,578 | 663,207,467 | 685,401,841 | 679,953,987 | 678,237,437 | 700,551,333 | 693,392,381 | 691,603,462 |
| Other Funds Available | | | | | | | | |
| Private Funds | 407,359 | 362,000 | 407,000 | 407,000 | 407,000 | 407,000 | 407,000 | 407,000 |
| Federal Contributions | | | | | | | | |
| 93566 Refugee & Entrant Assistance | 1,744,694 | 1,245,823 | 1,245,823 | 1,245,823 | 1,245,823 | 1,245,823 | 1,245,823 | 1,245,823 |
| 93568 Low-Income Home Energy Assistance | 67,730,408 | 76,785,063 | 73,032,152 | 73,032,152 | 73,032,152 | 73,032,152 | 73,032,152 | 73,032,152 |
| 93569 Community Services Block Grant | 7,450,037 | 8,878,739 | 8,878,739 | 8,878,739 | 8,878,739 | 8,878,739 | 8,878,739 | 8,878,739 |
| 93576 Refugee & Entrant Assistance Discretionary Grants | 7,813 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93667 Social Services Block Grant | 7,437,069 | 8,045,142 | 7,645,142 | 7,645,142 | 7,645,142 | 7,645,142 | 7,645,142 | 7,645,142 |
| 93671 Family Violence Prevention & Service | 1,725,612 | 1,456,447 | 1,456,447 | 1,456,447 | 1,456,447 | 1,456,447 | 1,456,447 | 1,456,447 |
| Total - All Funds | 714,858,570 | 759,980,681 | 778,067,144 | 772,619,290 | 770,902,740 | 793,216,636 | 786,057,684 | 784,268,765 |

HEALTH SERVICES

Statutory Reference

C.G.S. Sections 17b-78, 17b-256 to 17b-258, 17b-340 to 17b-349, 17b-491 to 17b-498 and 17b-550 to 17b-554.

Statement of Need and Program Objectives

To increase the number of eligible citizens receiving person-centered, high quality medical, behavioral health, dental and prescription drug care.

To reduce health related barriers to stable housing and employment and improve individuals' quality of life.

To enable integration and participation in the community by developing a true continuum of long-term services and supports (LTSS).

Program Description

DSS is the single state agency for the administration of Medicaid and the Children's Health Insurance Program (CHIP) in Connecticut.

Medicaid and CHIP are collectively described as the HUSKY Health program.

HUSKY Health provides health coverage to over 880,000 Connecticut citizens (approximately 25% of the state's population). This includes comprehensive medical, dental and behavioral health services to financially and functionally eligible children, their caregivers, older adults, individuals with disabilities and single adults. HUSKY also helps keep older adults and people with disabilities independent at home and covers nursing home care.

DSS' vision for HUSKY Health is an effective health care delivery system for eligible people in Connecticut that promotes:

- well-being with minimal illness and effectively managed health conditions;
- maximal independence; and
- full integration and participation in their communities.

Medicaid and CHIP represent cost sharing partnerships under which the federal government contributes to the cost of the programs. In FY 2022, federal reimbursement is expected to cover approximately 59% of Medicaid costs and 65% of CHIP costs. The state share of the Medicaid budget represents approximately 25% of the General Fund. The program has administrative costs (including all eligibility-related costs) of only 3%.

The HUSKY Health program provides preventative, acute and long-term services and supports to a wide range of individuals and families through the HUSKY A, HUSKY B, HUSKY C and HUSKY D coverage groups. HUSKY A, HUSKY C and HUSKY D are components of Connecticut's *Medicaid* program, while HUSKY B is the state's Children's Health Insurance Program (CHIP). Children may qualify for coverage under HUSKY A or HUSKY B. Parents and caretakers of children and pregnant women may also qualify for HUSKY A. Individuals who are age 65 or older, are blind or have a disability may qualify for HUSKY C. Low-income adults between the ages of 19 and 64, who do not receive Medicare and who are not pregnant may qualify for HUSKY D. Medicaid also offers coverage for family planning, treatment for tuberculosis and treatment for individuals under the age of 65 with breast or cervical cancer. The department also provides medical assistance to refugees and also offers coverage for emergency medical services for those that are income and asset eligible, but do not meet certain citizenship requirements.

Medicaid / Children's Health Insurance Program Eligibility

HUSKY A covers children in households with incomes at or below 201% of the federal poverty level and parents/caretakers with household incomes at or below 160% of the federal poverty level. Pregnant women with household incomes at or below 263% of the federal poverty level may also qualify.

HUSKY B covers uninsured children in families whose income is too high for Medicaid. Certain cost sharing provisions apply on a sliding scale depending on family income level.

HUSKY C covers individuals who are 65 years of age or older, individuals who are blind and individuals who have a disability who have income at or below the medically needy income limit (approximately 55% of the federal poverty level for most of Connecticut; 67% of the federal poverty level in certain towns in and around Fairfield County). Higher income limits apply to individuals receiving LTSS in long-term care facilities or through home and community-based services waiver programs. Counted assets cannot exceed \$1,600 (\$2,400 for couples).

HUSKY D provides coverage to low-income adults, between the ages of 19 and 64, with incomes at or below 138% of the federal poverty level who are not receiving Medicare and are not pregnant.

Other Medicaid Eligibility Options

Spend-down - Certain individuals and families with incomes in excess of the HUSKY limit may qualify through a process known as a "spend-down," which is similar to an insurance deductible. Individuals and families are responsible for medical expenses up to the amount that their income exceeds the medically needy income limit. If the individual's or family's medical expenses exceed the spend-down amount, then Medicaid can provide coverage for subsequent medical expenses through the end of the budget period (typically six months).

Medicaid for Employees with Disabilities - Federal law establishes Medicaid coverage for certain working individuals with disabilities. Under Med-Connect, persons with disabilities can be employed and continue to qualify for needed medical services under the Medicaid program. Individuals may not have assets valued over \$10,000 and not have annual income of more than \$75,000. As of December 2020, the program was providing coverage for 4,030 workers with disabilities.

Health Reform Strategies

The Department of Social Services is employing diverse strategies to achieve improved health outcomes and cost efficiencies in Medicaid and CHIP, including:

- use of a self-insured, managed fee-for-service platform to promote efficient, cost-effective and consumer/provider responsive medical, behavioral health, dental and non-emergency medical transportation (NEMT) services;
- use of data analytics to improve care;
- activities designed to improve access to and use of preventative care;
- efforts to integrate health, LTSS, and social services;
- initiatives designed to "re-balance" spending on LTSS (shifting from institutional to community-based care);
- efforts to promote the use of health information technology; and
- initiatives aimed at addressing social determinants of health, most notably, housing.

Streamlined Administrative Structure

In contrast to almost all other Medicaid programs throughout the nation, Connecticut's HUSKY Health no longer utilizes managed care arrangements, under which companies receive capitated payments (i.e., a fixed amount per member per month) for serving members. Instead, like many employers, Connecticut has adopted a self-insured, managed fee-for-service approach. In support of achieving better health and care experience outcomes for members, and improved processes for Medicaid providers, the department has streamlined and centralized member and provider supports and standardized coverage guidelines and utilization management. This has been managed through contracts with administrative services organizations (ASOs) for each of the three major service types – medical, behavioral health, and dental. A percentage of each ASO's administrative payments is withheld by the department pending completion of each calendar year. To earn back all or a portion of the withheld payments, each ASO must achieve contractually mandated performance standards on health outcomes, health care quality, and both member and provider satisfaction.

Key ASO strategies include:

- **Member Supports:** The ASOs are responsible for traditional member services including maintenance of call centers, referrals to providers, utilization management (e.g. prior authorization of services when required), and grievances and appeals.
- **Predictive Modeling Tools:** Reflecting an emphasis on the use of data to inform decision-making, and members' need for individualized support, the medical, behavioral health and dental ASOs are using predictive modeling tools and a fully integrated statewide set of Medicaid claims data to identify both those currently in greatest need of assistance and those at risk of needing assistance.
- **Intensive Care Management (ICM):** The ASOs are also serving high need individuals and those at risk for poor health outcomes with ICM. ICM enables attention to be given to the entire range of a member's needs – from basic needs such as housing stability and food security, to complex medical profiles including chronic disease, behavioral health and oral health conditions.
- **Provider Supports:** ASOs also provide utilization management and ICM assistance to support providers (e.g. intervention when a member repeatedly misses appointments).

ASO arrangements have substantially improved member outcomes and experience by centralizing and streamlining the means of receiving services and support. ASO arrangements have also improved engagement with providers, who have a single set of coverage guidelines for each service, and a uniform fee schedule from which to be paid. Providers can bill every two weeks, and “clean claims” are paid completely and promptly through a single fiscal intermediary. This promotes participation and retention of providers, as well as enabling monitoring of the adequacy of the networks needed to support a growing population of beneficiaries.

Access to Preventative Care

In addition to Medicaid coverage for a broad array of primary medical, behavioral health and dental services, key aspects of the department's prevention agenda include:

Person-Centered Medical Homes (PCMH). The department implemented its PCMH initiative on January 1, 2012. The premise of a PCMH is that it enables primary care practitioners to bring a holistic, person-centered approach to supporting the needs of patients, while reducing barriers to access (e.g. limited office hours) that have inhibited people from effectively using such care. Through this effort, the department is investing significant resources to help primary care practices obtain PCMH recognition from the National Committee for Quality Assurance (NCQA). Practices on the “glide path” toward recognition receive technical assistance from the medical ASO. Practices that have received recognition are eligible for financial incentives including enhanced fee-for-service payments and retrospective payments for meeting benchmarks on contractually mandated quality measures. Key features of practice transformation include embedding limited medical care coordination functions within primary care practices, capacity for non-face-to-face and after-hours support for patients, and use of interoperable electronic health records (EHR). As of January 2021, a total of 118 practices were participating (reflecting 551 sites and 2,296 providers) in this program. These practices were supporting almost 467,000 HUSKY Health members, over 54% of all members. PCMH practices have adopted practices and procedures designed to enable access to care; developed limited, embedded care coordination capacity; become attuned to use of data to inform responses to their panel members; and also have become attentive to working within a quality

framework. Further, they have demonstrated year over year improvement on a range of quality measures and have received high scores on such elements as overall member satisfaction, access to care, and courtesy and respect.

Electronic Health Records. Another important aspect of enhancing the capacity of primary care is financial support for adoption of EHR. EHR supports more person-centered care and reduces duplication of effort across providers. DSS administers a Medicaid EHR incentive program. This includes review and approval of incentive payment applications from eligible professionals (physicians, physician assistants, nurse practitioners, certified nurse-midwives, and dentists) and eligible hospitals, as well as extensive outreach and education to providers, and support of other health IT efforts.

Health Disparities Work. DSS and its medical ASO examine access barriers related to gender, race and ethnicity faced by Medicaid members. This work is focused on identifying disparities and equipping primary care practices with tools and strategies to reduce these barriers. Specific activities include:

- Tracking data by race and ethnicity;
- Targeted outreach by the program's medical ASO to over 9,500 people at greatest risk of poor COVID-19 outcomes utilizing claims and demographic data, focusing on people of color;
- A revamped HUSKY Health website that includes a wealth of information on connecting with providers, managing health, and health literacy;
- Extensive community-based COVID testing, focusing on people who have historically been marginalized by formal health care;
- Tools for providers including a health equity toolkit for person-centered medical homes and free resources on culturally competent care, through Culture Vision;
- Requirements for PCMH+ practices to use National Standards for Culturally and Linguistically Appropriate Services in Health and Health Care (CLAS) standards; and
- Use of research-based best practices that inform prevention efforts that have significant bearing on helping communities of color to address obesity and chronic conditions that affect health, well-being and economic security.

Integration of Services and Supports

Important elements of the department's efforts to integrate Medicaid services and supports include:

Health Homes. The Department of Mental Health and Addiction Services, working in partnership with DSS, has implemented “health homes” for individuals with serious and persistent mental illness (SPMI). The federal Affordable Care Act (ACA) built upon existing efforts to integrate medical, behavioral and social services and supports for individuals with behavioral health and chronic conditions by permitting states to seek approval of Medicaid state plan amendments to implement such coverage. Through such amendments, the state was able to receive eight quarters of enhanced federal reimbursement in support of this work.

PCMH+. PCMH+ builds on and amplifies the important work of the Connecticut Medicaid PCMH initiative. PCMH+ has also enabled DSS to begin migration of its ASO-based ICM interventions to more locally based care coordination. While ICM continues to wrap around PCMH+ efforts in support of individuals with highly specialized needs (e.g., transplant, transgender supports), PCMH+ underscores DSS' commitment to provide practice coaching and funding supports to

local entities that have the experience and trust basis to effectively serve their communities.

Further, PCMH+ represents the first Connecticut Medicaid use of an “upside-only” shared savings approach. This has brought DSS along the curve of value-based payment approaches, which previously focused exclusively on rewards for performance. Through a competitive procurement, there are currently nine federally qualified health centers (FQHCs) and two advanced networks participating, with total member attribution of over 160,000.

PCMH+ continues to show significant improvement in quality measures for behavioral health screening, declines in emergency department usage, developmental screening in the first three years of life, and avoidance of antibiotic treatment in adults with acute bronchitis. Other important indicators of success by the practices include successful implementation of care coordination activities, establishment of community partnerships, and strong positive report of members’ experience.

Rebalancing of Long-Term Services and Supports

In January 2020, the Governor, the Office of Policy and Management and the Department of Social Services Commissioner released an updated copy of the State’s Strategic Plan to Rebalance Long-Term Services and Supports. This plan details diverse elements of a broad agenda that is designed to support older adults, people with disabilities and caregivers in the choice of their preferred means, mode and place in which to receive long-term services and supports.

The 2020 plan revises strategies and objectives with the aim of increasing the pace of rebalancing. Key aspects of the plan include: (1) increasing transitions under Money Follows the Person; (2) development and implementation of a predictive methodology to identify people in institutions at risk of a long-term stay; (3) continued development and implementation of Community First Choice; (4) technical assistance for nursing homes to align their business model with emerging trends; (5) statewide implementation of the new standardized assessment and budget allocation process; (6) development of a housing and supports model for individuals who are homeless; and (7) a set of new objectives regarding workforce, housing and employment. The strategic plan also identifies “hot spots” for development of services, including medical services, by projecting demand attributed to the aging population at a town level. Consistent with the Supreme Court’s decision in *Olmstead*, the rebalancing plan supports provision of services in the most integrated setting that is appropriate for each individual.

Important focus points for the department, within the rebalancing plan, include the following:

Money Follows the Person. The Money Follows the Person (MFP) initiative has led efforts toward systems change in LTSS. In addition to having transitioned over 5,800 individuals from nursing facilities or other institutional settings to the community, MFP is implementing diverse strategies that support system change. These include housing development, workforce development, LTSS service and systems gap analysis/recommendations and hospital discharge planning interventions.

Universal Assessment and Allocation Methodology. In 2012, Connecticut received a federal grant of \$72.8 million under the Balancing Incentive Program, and an additional performance-related award of \$4.2 million. This funding allowed for the development and implementation of a universal assessment tool across all LTSS populations. The assessment tool is linked to an algorithm which uses clinical data to develop level of need groupings. The level of need groupings and associated budget allocation methodology aims at ensuring equitable distribution of funds across all LTSS populations.

MyPlaceCT. An additional accomplishment through the BIP grant was the establishment of a web-based platform called “MyPlaceCT.” Coordinated with 2-1-1, MyPlaceCT increases access to comprehensive information regarding LTSS.

Community First Choice (CFC). Launched in July 2015, CFC enables over 4,300 Medicaid members who require nursing facility or other institutional level of care to self-direct community-based services including personal care attendants through individual budgets, with the support of a fiscal intermediary.

Waiver Services. Connecticut is continuing to expand the scope of its “waiver” coverage under Medicaid. Home and community-based services waivers permit the state to cover long-term services and supports for over 30,000 people including those served under the Departments of Developmental Services and Mental Health and Addiction Services. Existing waivers support services to older adults, individuals with physical disabilities, individuals with behavioral health conditions, children with complex medical profiles, individuals with intellectual disabilities, children with autism spectrum disorder and individuals with acquired brain injury.

In addition to these waivers, there is a state-funded component of the Connecticut Home Care Program for Elders that provides services to eligible frail elders as an alternative to nursing home placement.

The Community Residential Services account is also included under the Department of Social Services. This account funds residential supports for individuals with intellectual disability in a variety of settings. For more information, see “Residential Supports” under the Department of Developmental Services.

Preadmission Screening. The department utilizes a web-based system for the federally mandated Preadmission Screening and Resident Review (PASRR) program. The system identifies persons who are in need of both long-term and short-term institutional care and recommends alternatives to those whose preference is for home and community-based services options.

Alternative Sources of Health Care Coverage

Medicare Savings Programs - The Medicare Savings Programs pay Medicare Part B premiums for individuals and couples with incomes up to 246% of the federal poverty level. Additionally, individuals and couples with incomes below 211% of the federal poverty level receive assistance with copays and deductibles for services covered by Medicare Parts A and B. Participants in Medicare Savings Programs automatically qualify for Medicare Part D “Extra Help,” which provides assistance with the cost of prescription drugs covered under Medicare Part D.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 132 | 19 | 1 | 152 | 152 | 152 | 152 | 152 |
| Financial Summary by Program | | | | | | | | |
| General Fund | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 10,792,631 | 12,119,738 | 12,119,738 | 12,119,738 | 12,119,738 | 12,584,937 | 12,591,243 | 12,591,243 |
| Other Expenses | 93,565,800 | 99,356,658 | 100,351,756 | 100,711,756 | 99,246,906 | 99,794,026 | 100,154,026 | 101,189,176 |
| Other Current Expenses | | | | | | | | |
| HUSKY B Program | 8,669,184 | 11,830,000 | 16,987,039 | 16,460,000 | 15,460,000 | 17,888,553 | 17,270,000 | 17,270,000 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Medicaid | 2,563,776,959 | 2,406,174,660 | 2,952,710,157 | 2,857,520,000 | 2,718,795,000 | 3,075,237,402 | 2,945,960,000 | 2,923,705,000 |
| DMHAS-Disproportionate Share | 108,935,000 | 108,935,000 | 108,935,000 | 108,935,000 | 108,935,000 | 108,935,000 | 108,935,000 | 108,935,000 |
| Connecticut Home Care Program | 34,306,557 | 32,130,000 | 35,775,678 | 32,800,000 | 32,800,000 | 36,403,639 | 34,190,000 | 34,190,000 |
| Connecticut Children's Medical Center | 17,625,737 | 10,125,737 | 10,125,737 | 10,125,737 | 10,125,737 | 10,125,737 | 10,125,737 | 10,125,737 |
| Hospital Supplemental Payments | 548,300,000 | 548,300,000 | 568,300,000 | 568,300,000 | 568,300,000 | 568,300,000 | 568,300,000 | 568,300,000 |
| Pmts to Other Than Local Govts | 3,272,944,253 | 3,105,665,397 | 3,675,846,572 | 3,577,680,737 | 3,438,955,737 | 3,799,001,778 | 3,667,510,737 | 3,645,255,737 |
| Total-General Fund | 3,385,971,868 | 3,228,971,793 | 3,805,305,105 | 3,706,972,231 | 3,565,782,381 | 3,929,269,294 | 3,797,526,006 | 3,776,306,156 |
| Other Funds Available | | | | | | | | |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 5,671 | 10,000 | 1,063,257 | 10,000 | 10,000 | 1,063,257 | 10,000 | 10,000 |
| Restricted State Accounts | 344,427 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | | | | | | | | |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93628 ACA - State Innovation Models: Design & Testing | 9,977 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93664 Substance Use-Disorder Prevention that Promotes Opioid Recov | 1,007,382 | 1,874,022 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93767 Children's Health Insurance Program | 33,379,050 | 30,490,000 | 30,350,847 | 30,570,000 | 31,570,000 | 31,989,104 | 32,070,000 | 32,070,000 |
| 93778 Medical Assistance Program | 4,265,895,003 | 4,498,986,549 | 4,626,393,663 | 4,463,804,528 | 4,579,404,528 | 4,855,624,143 | 4,576,645,604 | 4,519,945,604 |
| 93917 HIV Care Formula Grants | 29,757 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 7,686,643,135 | 7,760,332,364 | 8,463,112,872 | 8,201,356,759 | 8,176,766,909 | 8,817,945,798 | 8,406,251,610 | 8,328,331,760 |

ADMINISTRATIVE AND FIELD SERVICES

Statutory Reference

C.G.S. Section 17b-3.

Statement of Need and Program Objectives

To provide services that support the implementation, administration, development, planning and review of the department's programs and functions.

Program Description

Administrative services include: financial management, information technology services, auditing, statistical reporting, administrative

hearings, training, planning, policy and program development, as well as field operations. The department administers its programs through twelve offices (three of which serve as telephone benefits centers) with support provided by the central office. In addition, many services funded by DSS are available through contracts with community-based agencies.

In addition to implementing, monitoring and reporting on department programs, the department supports a variety of administrative functions, including information technology services, to support field service delivery.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,277 | 190 | -2 | 1,465 | 1,465 | 1,448 | 1,465 | 1,448 |
| Federal Funds | 27 | 4 | 0 | 31 | 31 | 31 | 31 | 31 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 89,693,912 | 104,206,605 | 105,769,592 | 106,944,599 | 99,369,515 | 109,775,626 | 111,042,042 | 103,412,007 |
| Other Expenses | 42,045,712 | 44,647,897 | 44,647,897 | 44,991,097 | 44,477,404 | 44,647,897 | 44,991,097 | 44,477,404 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Refunds Of Collections | 49,174 | 94,699 | 94,699 | 94,699 | 89,965 | 94,699 | 94,699 | 89,965 |
| Total-General Fund | 131,788,798 | 148,949,201 | 150,512,188 | 152,030,395 | 143,936,884 | 154,518,222 | 156,127,838 | 147,979,376 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 992,337 | 540,000 | 540,000 | 540,000 | 540,000 | 540,000 | 540,000 | 540,000 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10561 State Admin Matching Grants – SNAP | 472,423 | 1,115,315 | 1,115,316 | 1,115,316 | 1,115,316 | 1,115,316 | 1,115,316 | 1,115,316 |
| 93563 CCSES Modernization Proj IAPD | 1,347,773 | 3,274,390 | 30,477,957 | 30,477,957 | 30,477,957 | 19,612,436 | 19,612,436 | 19,612,436 |
| 93597 Grants to States-Access & Visitation | 72,877 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| 93624 ACA - State Innovation Models: Funding For Model D | 935,365 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93778 CT METS | 5,153,620 | 10,050,106 | 13,223,111 | 13,223,111 | 13,223,111 | 13,223,111 | 13,223,111 | 13,223,111 |
| 93778 Medical Assistance Program | 8,216,876 | 7,551,268 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93778 MMIS PAPD @ 90% | 1,752,391 | 2,123,332 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93779 Health Care Finance Research/Demonstration/Evaluation | 3,566,819 | 3,691,658 | 3,691,658 | 3,691,658 | 3,691,658 | 3,691,658 | 3,691,658 | 3,691,658 |
| Total - All Funds | 154,299,279 | 177,395,270 | 199,660,230 | 201,178,437 | 193,084,926 | 192,800,743 | 194,410,359 | 186,261,897 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-----------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 115,771,978 | 134,253,088 | 135,756,223 | 129,356,146 | 141,134,543 | 134,765,340 |
| Salaries & Wages-Temporary | 52,458 | 30,000 | 30,546 | 30,546 | 30,546 | 30,546 |
| Salaries & Wages-Part Time | 172,380 | 285,404 | 285,404 | 285,404 | 285,404 | 285,404 |
| Longevity Payments | 549,594 | 649,589 | 649,589 | 649,589 | 649,589 | 649,589 |
| Overtime | 3,074,753 | 3,500,000 | 3,559,306 | 3,559,306 | 3,559,306 | 3,559,306 |
| Accumulated Leave | 618,818 | 270,268 | 270,268 | 270,268 | 270,268 | 270,268 |

| | | | | | | |
|---------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Other Salaries & Wages | 63,719 | 57,221 | 57,221 | 57,221 | 57,221 | 57,221 |
| Other | 316,658 | 291,249 | 291,249 | 291,249 | 291,249 | 291,249 |
| TOTAL - Personal Services-Personal Services | 120,620,358 | 139,336,819 | 140,899,806 | 134,499,729 | 146,278,126 | 139,908,923 |

Other Expenses

| | | | | | | |
|----------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Capital Outlays | 54,734 | 84,213 | 84,213 | 84,213 | 84,213 | 84,213 |
| Communications | 1,582,701 | 1,680,656 | 1,680,656 | 1,680,656 | 1,680,656 | 1,680,656 |
| Electricity | 433,677 | 460,517 | 460,517 | 460,517 | 460,517 | 460,517 |
| Employee Expenses | 17,418 | 18,496 | 18,496 | 18,496 | 18,496 | 18,496 |
| Employee Travel | 187,418 | 199,017 | 199,017 | 199,017 | 199,017 | 199,017 |
| Equipment Rental and Maintenance | 786,750 | 835,388 | 835,388 | 835,388 | 835,388 | 835,388 |
| Information Technology | 36,545,308 | 38,807,124 | 38,807,124 | 39,093,431 | 38,807,124 | 38,293,431 |
| Motor Vehicle Costs | 395,925 | 420,429 | 420,429 | 420,429 | 420,429 | 420,429 |
| Natural Gas | 65,176 | 69,210 | 69,210 | 69,210 | 69,210 | 69,210 |
| Other / Fixed Charges | 171,571 | 0 | 0 | 0 | 0 | 0 |
| Other Services | 9,124,178 | 9,844,977 | 10,840,075 | 10,940,075 | 10,282,345 | 10,282,345 |
| Premises Expenses | 4,277,102 | 4,541,815 | 4,541,815 | 4,541,815 | 4,541,815 | 4,541,815 |
| Premises Rent Expense-Landlord | 6,574,792 | 6,981,711 | 6,981,711 | 6,981,711 | 6,981,711 | 6,981,711 |
| Professional Services | 78,687,476 | 83,557,505 | 83,557,505 | 81,895,855 | 83,557,505 | 85,295,855 |
| Purchased Commodities | 147,561 | 156,694 | 156,694 | 156,694 | 156,694 | 156,694 |
| Salaries and Wages | 1,819 | 1,932 | 1,932 | 1,932 | 1,932 | 1,932 |
| Sewer | 793 | 842 | 842 | 842 | 842 | 842 |
| Water | 2,787 | 2,959 | 2,959 | 2,959 | 2,959 | 2,959 |
| TOTAL-Other Expenses | 139,057,186 | 147,663,485 | 148,658,583 | 147,383,240 | 148,100,853 | 149,325,510 |

Other Current Expenses

| | | | | | | |
|------------------------------------|-----------|------------|------------|------------|------------|------------|
| Genetic Tests in Paternity Actions | 44,680 | 81,906 | 81,906 | 81,906 | 81,906 | 81,906 |
| HUSKY B Program | 8,669,184 | 11,830,000 | 16,987,039 | 15,460,000 | 17,888,553 | 17,270,000 |
| TOTAL-Other Current Expenses | 8,713,864 | 11,911,906 | 17,068,945 | 15,541,906 | 17,970,459 | 17,351,906 |

Pmts to Other Than Local Govts

| | | | | | | |
|----------------------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Medicaid | 2,563,776,959 | 2,406,174,660 | 2,952,710,157 | 2,718,795,000 | 3,075,237,402 | 2,923,705,000 |
| Old Age Assistance | 40,952,180 | 39,869,500 | 41,485,599 | 39,100,000 | 43,303,523 | 30,360,000 |
| Aid To The Blind | 533,225 | 503,900 | 554,593 | 512,500 | 543,322 | 520,400 |
| Aid To The Disabled | 54,721,316 | 51,983,700 | 59,880,262 | 48,340,000 | 62,359,301 | 35,150,000 |
| Temporary Family Assistance - TANF | 56,047,214 | 44,974,200 | 49,612,671 | 41,370,000 | 46,720,133 | 39,880,000 |
| Emergency Assistance | 0 | 1 | 1 | 1 | 1 | 1 |
| Food Stamp Training Expenses | 4,318 | 9,832 | 9,832 | 9,341 | 9,832 | 9,341 |
| DMHAS-Disproportionate Share | 108,935,000 | 108,935,000 | 108,935,000 | 108,935,000 | 108,935,000 | 108,935,000 |
| Connecticut Home Care Program | 34,306,557 | 32,130,000 | 35,775,678 | 32,800,000 | 36,403,639 | 34,190,000 |
| Human Resource Development-Hispanic Programs | 871,735 | 1,159,885 | 1,546,885 | 802,885 | 1,546,885 | 803,704 |
| Community Residential Services | 605,227,364 | 638,014,602 | 659,821,976 | 654,089,602 | 674,597,401 | 667,039,602 |
| Safety Net Services | 1,329,872 | 1,334,544 | 1,334,544 | 1,329,873 | 1,334,544 | 1,329,873 |
| Refunds Of Collections | 49,174 | 94,699 | 94,699 | 89,965 | 94,699 | 89,965 |
| Services for Persons With Disabilities | 269,048 | 276,362 | 276,362 | 276,362 | 276,362 | 276,362 |
| Nutrition Assistance | 749,039 | 749,040 | 749,040 | 749,040 | 749,040 | 750,204 |
| State Administered General Assistance | 18,754,435 | 15,722,600 | 17,513,223 | 15,730,000 | 17,019,203 | 15,580,000 |

| | | | | | | |
|--------------------------------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Connecticut Children's Medical Center | 17,625,737 | 10,125,737 | 10,125,737 | 10,125,737 | 10,125,737 | 10,125,737 |
| Community Services | 1,270,126 | 1,805,376 | 1,805,376 | 1,103,416 | 1,805,376 | 1,031,047 |
| Human Services Infrastructure Community Action Program | 3,280,908 | 3,292,432 | 3,292,432 | 3,282,728 | 3,292,432 | 3,291,676 |
| Teen Pregnancy Prevention | 1,156,355 | 1,255,827 | 1,255,827 | 1,251,432 | 1,255,827 | 1,251,432 |
| Domestic Violence Shelters | 5,289,049 | 5,289,049 | 5,289,049 | 5,321,749 | 5,289,049 | 5,425,349 |
| Hospital Supplemental Payments | 548,300,000 | 548,300,000 | 568,300,000 | 568,300,000 | 568,300,000 | 568,300,000 |
| TOTAL-Pmts to Other Than Local Govts | 4,063,449,611 | 3,912,000,946 | 4,520,368,943 | 4,252,314,631 | 4,659,198,708 | 4,448,044,693 |

Pmts to Local Governments

| | | | | | | |
|------------------------------------------|--------|--------|--------|--------|--------|--------|
| Teen Pregnancy Prevention - Municipality | 98,000 | 98,281 | 98,281 | 98,281 | 98,281 | 98,281 |
| TOTAL-Pmts to Local Governments | 98,000 | 98,281 | 98,281 | 98,281 | 98,281 | 98,281 |

| | | | | | | |
|--------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Personal Services | 120,620,358 | 139,336,819 | 140,899,806 | 134,499,729 | 146,278,126 | 139,908,923 |
| Other Expenses | 139,057,186 | 147,663,485 | 148,658,583 | 147,383,240 | 148,100,853 | 149,325,510 |
| Other Current Expenses | 8,713,864 | 11,911,906 | 17,068,945 | 15,541,906 | 17,970,459 | 17,351,906 |
| Pmts to Other Than Local Govts | 4,063,449,611 | 3,912,000,946 | 4,520,368,943 | 4,252,314,631 | 4,659,198,708 | 4,448,044,693 |
| Pmts to Local Governments | 98,000 | 98,281 | 98,281 | 98,281 | 98,281 | 98,281 |
| TOTAL-General Fund | 4,331,939,019 | 4,211,011,437 | 4,827,094,558 | 4,549,837,787 | 4,971,646,427 | 4,754,729,313 |

DEPARTMENT OF AGING & DISABILITY SERVICES

AGENCY DESCRIPTION

The mission of the agency is to maximize opportunities for the independence and well-being of people with disabilities and older adults in Connecticut.

Agency programs, policies and practices are designed to promote independent living, education, employment and access, through four major bureaus: Rehabilitation Services, Education and Services for the Blind, Disability Determination and Organizational Support.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|
| • Reflect Annualization of Minimum Wage Increase for Employees of Private Providers | 447,970 | 608,815 |
| • Provide Funding for State Employee Wage Adjustments - General Fund | 120,690 | 120,690 |
| • Provide Funding for State Employee Wage Adjustments - Workers' Compensation Fund | 6,692 | 6,692 |
| • Reflect Impact of 27th Payroll During FY 2023 - General Fund | 0 | 456,396 |
| • Reflect Impact of 27th Payroll During FY 2023 - Workers' Compensation Fund | 0 | 41,464 |
| Reductions | FY 2022 | FY 2023 |
| • Reflect Current Requirements in the Employment Opportunities Program | -300,000 | -300,000 |
| • Reflect Current Requirements in the Rehabilitative Services Program - Workers' Compensation Fund | -223,711 | -223,711 |
| • Reflect Savings from Operational Efficiencies in Staffing and Operating Costs | -160,000 | -160,000 |
| • Annualize FY 2021 Rescissions | -129,299 | -129,299 |
| • Reduce Funding for Independent Living Centers to Achieve Savings | -61,723 | -61,723 |
| • Reflect Current Requirements in the Special Training for the Deaf Blind Program | -26,527 | -26,527 |
| Reallocations | FY 2022 | FY 2023 |
| • Transfer Funding for the Center for Medicare Advocacy from the Department of Social Services <i>The Center for Medicare Advocacy provides education, advocacy and legal assistance to help older adults and people with disabilities obtain access to Medicare. Because these activities are in line with the overall mission of the Department of Aging and Disability Services (ADS), funding in FY 2020 and FY 2021 was transferred from the Department of Social Services to ADS. Beginning in FY 2022, funds are reallocated to ADS.</i> | 270,000 | 270,000 |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services | -369,729 | -383,949 |
| • Transfer Funding from Employment Opportunities to Vocational Rehabilitation Services to Support Federal Grant Maintenance of Effort Requirements <i>Funding in the amount of \$300,000 is realigned from the Employment Opportunities program to the Vocational Rehabilitation program. This funding realignment will better enable the agency to meet federal grant maintenance of effort requirements and prevent potential loss of federal grant funding.</i> | 0 | 0 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 115 | 22 | 0 | 137 | 137 | 133 | 137 | 133 |
| Workers' Compensation Fund | 6 | 0 | 0 | 6 | 6 | 6 | 6 | 6 |
| Federal Funds | 230 | 69 | 0 | 299 | 299 | 299 | 299 | 299 |
| Private Funds | 8 | 5 | 0 | 13 | 13 | 13 | 13 | 13 |
| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Administration | 3,036,394 | 3,094,198 | 3,229,356 | 3,229,356 | 2,628,541 | 3,329,741 | 3,372,678 | 2,757,643 |
| Accessibility Services | 1,239,250 | 1,645,605 | 1,230,011 | 1,230,011 | 1,230,011 | 1,237,356 | 1,237,356 | 1,237,356 |
| Employment Services | 81,112,773 | 86,111,078 | 81,054,253 | 80,911,508 | 80,553,295 | 80,950,033 | 80,950,033 | 80,591,820 |
| Independent Living Services | 11,879,984 | 12,761,103 | 13,421,744 | 13,128,388 | 13,086,427 | 13,387,071 | 13,390,109 | 13,348,148 |

| | | | | | | | | |
|-----------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Advocacy Services | 1,106,551 | 1,588,314 | 1,371,423 | 1,371,423 | 1,371,423 | 1,405,700 | 1,405,700 | 1,405,700 |
| Educational Services | 3,897,518 | 4,284,447 | 4,481,618 | 4,481,618 | 4,481,618 | 4,611,425 | 4,655,133 | 4,655,133 |
| TOTAL Agency Programs | 102,272,470 | 109,484,745 | 104,788,405 | 104,352,304 | 103,351,315 | 104,921,326 | 105,011,009 | 103,995,800 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 24,849,008 | 27,567,370 | 29,372,131 | 28,936,030 | 28,158,752 | 29,466,626 | 29,553,271 | 28,761,773 |
| Insurance Fund | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 |
| Workers' Compensation Fund | 1,672,665 | 2,237,109 | 2,243,801 | 2,243,801 | 2,020,090 | 2,282,227 | 2,285,265 | 2,061,554 |
| Federal Funds | 73,375,052 | 77,309,521 | 70,801,728 | 70,801,728 | 70,801,728 | 70,801,728 | 70,801,728 | 70,801,728 |
| Private Funds | 1,971,656 | 1,966,656 | 1,966,656 | 1,966,656 | 1,966,656 | 1,966,656 | 1,966,656 | 1,966,656 |
| Special Non-Appropriated Funds | 26,134 | 26,134 | 26,134 | 26,134 | 26,134 | 26,134 | 26,134 | 26,134 |
| Total Agency Programs | 102,272,470 | 109,484,745 | 104,788,405 | 104,352,304 | 103,351,315 | 104,921,326 | 105,011,009 | 103,995,800 |

ADMINISTRATION

Statutory Reference

C.G.S. Sections 17b-650e, 46a-33a and 46a-33b.

Statement of Need and Program Objectives

The Bureau of Organizational Support provides an administrative infrastructure that supports all programs administered by the agency.

Program Description

The Bureau of Organizational Support includes the Commissioner's Office, legal and legislative services, strategic planning, public information, operational readiness, fiscal, information technology, payroll and equal employment opportunity.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 27 | 12 | 0 | 39 | 39 | 35 | 39 | 35 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,884,981 | 2,958,809 | 3,093,967 | 3,093,967 | 2,553,152 | 3,194,352 | 3,237,289 | 2,682,254 |
| Other Expenses | 151,413 | 135,389 | 135,389 | 135,389 | 75,389 | 135,389 | 135,389 | 75,389 |
| Total-General Fund | 3,036,394 | 3,094,198 | 3,229,356 | 3,229,356 | 2,628,541 | 3,329,741 | 3,372,678 | 2,757,643 |
| Total - All Funds | 3,036,394 | 3,094,198 | 3,229,356 | 3,229,356 | 2,628,541 | 3,329,741 | 3,372,678 | 2,757,643 |

EMPLOYMENT SERVICES

Statutory Reference

C.G.S. Sections 17b-650a through 17b-666; C.G.S. Section 10-303; C.G.S. Section 10-303(c) and 17b-666; C.G.S. Sections 17b-612 and 17b-650a through 17b-666; Rehabilitation Act of 1973, as amended and C.G.S. Sections 10-297 and 10-306 through 10-309; C.G.S. Chapter 568, Sections 31-275 through 31-355, 17a-310.

Statement of Need and Program Objectives

To maximize opportunities for Connecticut adults 55 years of age or older and individuals with disabilities to prepare for, maintain and advance in employment.

Program Description

The department provides benefits counseling, long term supports and business ventures for eligible individuals with disabilities. The department also provides vocational rehabilitation services for individuals with disabilities, as well as rehabilitation services for those who have been injured on the job and are eligible for workers' compensation.

Benefit Counseling Program – The Connect to Work Program provides a single access point for information about the impact of wages on federal and state benefits. Benefits specialists provide comprehensive benefits analysis summaries to assist individuals with disabilities to maximize income by working and using federal, state and community resources appropriately to enable sustained employment and increased self-sufficiency. Counseling is offered directly to individuals

and through workshops designed for Social Security beneficiaries and professional staff who serve them.

Business Enterprise Program – The agency is responsible for the development of high-quality business ventures for participants who desire to become entrepreneurs. The entrepreneurs derive full profits from the operation of businesses that range from gift shops to full-service cafeterias in federal, state, and municipal locations. Entrepreneurs receive training in business management and follow-up services once placed at a location. The program is primarily funded through the revenues received from vending machines installed in municipal, state and federal locations across the state.

Employment Opportunities Program – Provides funding for long-term supports for individuals with the most significant disabilities in competitive, integrated employment. These supports are provided through contracts with community rehabilitation providers.

Vocational Rehabilitation Programs – Serves eligible individuals by assisting them to prepare for, obtain, advance in, and retain

integrated, competitive employment. The program directly provides and coordinates a broad scope of services including but not limited to vocational counseling, community-based rehabilitation services, skills training, rehabilitation technology, low vision aids, adaptive home and vehicle modifications. This program also assists employers seeking qualified candidates for employment.

Worker’s Rehabilitation Program – Provides rehabilitation services for individuals injured on the job who are eligible for workers’ compensation. Services are intended to help individuals return to gainful employment and include evaluation, aptitude testing, vocational counseling, job seeking skills training, on-the-job or formal training and placement services.

Senior Community Service Employment Program (SCSEP) – Funded under Title V of the Older Americans Act (OAA), this training and employment program is for low-income adults 55 years of age or older. The program provides subsidized part-time community service training in non-profit organizations to enhance skills and provide on-the-job work experience.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 7 | 0 | 0 | 7 | 7 | 7 | 7 | 7 |
| Workers' Compensation Fund | 6 | 0 | 0 | 6 | 6 | 6 | 6 | 6 |
| Federal Funds | 222 | 69 | 0 | 291 | 291 | 291 | 291 | 291 |
| Private Funds | 7 | 1 | 0 | 8 | 8 | 8 | 8 | 8 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 204,635 | 760,000 | 770,233 | 770,233 | 770,233 | 792,269 | 792,269 | 792,269 |
| Other Expenses | 972,784 | 973,605 | 973,605 | 973,605 | 966,492 | 973,605 | 973,605 | 966,492 |
| Other Current Expenses | | | | | | | | |
| Employment Opportunities - Blind & Disabled | 225,470 | 471,990 | 1,021,990 | 1,021,990 | 370,890 | 1,021,990 | 1,021,990 | 370,890 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Vocational Rehabilitation - Disabled | 6,407,662 | 7,279,075 | 7,523,939 | 7,381,194 | 7,681,194 | 7,397,683 | 7,397,683 | 7,697,683 |
| Total-General Fund | 7,810,551 | 9,484,670 | 10,289,767 | 10,147,022 | 9,788,809 | 10,185,547 | 10,185,547 | 9,827,334 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,613,096 | 1,613,096 | 1,613,096 | 1,613,096 | 1,613,096 | 1,613,096 | 1,613,096 | 1,613,096 |
| Special Non-Appropriated Funds | 26,134 | 26,134 | 26,134 | 26,134 | 26,134 | 26,134 | 26,134 | 26,134 |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 14170 Congregte Housng Svrs-Eastern | 166,708 | 166,708 | 166,708 | 166,708 | 166,708 | 166,708 | 166,708 | 166,708 |
| 14170 Congregte Housng Svrs-Western | 224,819 | 224,819 | 224,819 | 224,819 | 224,819 | 224,819 | 224,819 | 224,819 |
| 17235 Senior Community Srv Employmnt | 857,400 | 857,400 | 857,400 | 857,400 | 857,400 | 857,400 | 857,400 | 857,400 |
| 84126 Rehabilitation Services Vocational | 23,291,735 | 23,291,735 | 23,291,735 | 23,291,735 | 23,291,735 | 23,291,735 | 23,291,735 | 23,291,735 |
| 84187 Supported Employment Services | -134,617 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 | 300,000 |
| 93041 Title 7 Elder Abuse Prevention | 61,531 | 61,531 | 61,531 | 61,531 | 61,531 | 61,531 | 61,531 | 61,531 |
| 93043 Title 3 Preventive Health Care | 300,373 | 300,373 | 300,373 | 300,373 | 300,373 | 300,373 | 300,373 | 300,373 |

| | | | | | | | | |
|----------------------------------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| 93044 AGING PROGRAMS - TITLE III PART B - SUPPORT SERVICES | 1,651,116 | 876,921 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93044 Title 3 Supportive Services | 3,773,610 | 3,773,610 | 3,773,610 | 3,773,610 | 3,773,610 | 3,773,610 | 3,773,610 | 3,773,610 |
| 93045 AGING PROGRAMS - TITLE III PART C - NUTRITION SERVICES | 3,073,158 | 7,507,095 | 2,712,060 | 2,712,060 | 2,712,060 | 2,712,060 | 2,712,060 | 2,712,060 |
| 93045 Title 3 Congregate Meals | 4,232,469 | 4,232,469 | 4,232,469 | 4,232,469 | 4,232,469 | 4,232,469 | 4,232,469 | 4,232,469 |
| 93045 Title 3 Home Delivered Meals | 3,193,942 | 3,193,942 | 3,193,942 | 3,193,942 | 3,193,942 | 3,193,942 | 3,193,942 | 3,193,942 |
| 93048 ADRC Opts Counslg Initiative | 16,792 | 16,792 | 16,792 | 16,792 | 16,792 | 16,792 | 16,792 | 16,792 |
| 93048 AGING PROGRAMS - TITLE IV - TRAIN/RESEARCH/DISCRETIONARY | 596,966 | 596,966 | 596,966 | 596,966 | 596,966 | 596,966 | 596,966 | 596,966 |
| 93048 HCFAC | 261,586 | 261,586 | 261,586 | 261,586 | 261,586 | 261,586 | 261,586 | 261,586 |
| 93048 Model Legal Assistance-Phase 2 | 224,145 | 224,145 | 224,145 | 224,145 | 224,145 | 224,145 | 224,145 | 224,145 |
| 93052 National Family Caregiver Support Title III Part E | 960,139 | 189,966 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93052 Title 3 Fam Caregiver Support | 1,744,682 | 1,744,682 | 1,744,682 | 1,744,682 | 1,744,682 | 1,744,682 | 1,744,682 | 1,744,682 |
| 93053 USDA Nutrition | 1,600,661 | 1,600,661 | 1,600,661 | 1,600,661 | 1,600,661 | 1,600,661 | 1,600,661 | 1,600,661 |
| 93071 ACA MIPPA AAA-ADRC | 111,725 | 111,725 | 111,725 | 111,725 | 111,725 | 111,725 | 111,725 | 111,725 |
| 93071 MIPPA III Grant | 88,204 | 88,204 | 88,204 | 88,204 | 88,204 | 88,204 | 88,204 | 88,204 |
| 93324 Health Info Counseling Grant | 529,534 | 529,534 | 529,534 | 529,534 | 529,534 | 529,534 | 529,534 | 529,534 |
| 93464 ACL Assistive Technology | 2,983 | 2,983 | 2,983 | 2,983 | 2,983 | 2,983 | 2,983 | 2,983 |
| 93667 Nutrition SSBG | 497,930 | 497,930 | 497,930 | 497,930 | 497,930 | 497,930 | 497,930 | 497,930 |
| 93667 SSBG Special Services for Pers | 5,874 | 5,874 | 5,874 | 5,874 | 5,874 | 5,874 | 5,874 | 5,874 |
| 96001 Social Security Disability Insurance | 24,329,527 | 24,329,527 | 24,329,527 | 24,329,527 | 24,329,527 | 24,329,527 | 24,329,527 | 24,329,527 |
| Total - All Funds | 81,112,773 | 86,111,078 | 81,054,253 | 80,911,508 | 80,553,295 | 80,950,033 | 80,950,033 | 80,591,820 |

EDUCATIONAL SERVICES

Statutory Reference

C.G.S. Section 10-295(a) and (b), 17a-314, 17a-405 through 17a-411, 17a-414 through 17a-417, 17b-251, 17b-352, 21a-3a.

Statement of Need and Program Objectives

To maximize opportunities for children who are legally blind, deaf/blind or have a visual impairment to successfully integrate into educational, social, recreational and vocational settings and to learn about services supporting independent living.

To maximize opportunities for adults 60 years of age or older to learn about services supporting security and independent living.

Program Description

The Department provides educational services through the following programs:

Children's Services Program – Certified teachers of students with visual impairments provide specialized training and consultation to Birth-to-Three providers, school-age classroom and special education teachers, parents, paraprofessionals and local school district staff. Mobility instructors provide training in safe travel techniques in schools and in the community. Rehabilitation teaching staff provides training in activities of daily living and utilization of adaptive technology devices. The program maintains a full-scope lending library of Braille and large print textbooks and materials and provides adaptive aids for the blindness and vision-related educational needs of the students. Direct services to preschool and school-age students includes pre-Braille and Braille instruction, independent living skills

training, adaptive technology training and transition school-to-work activities.

CHOICES, Connecticut's program for Health insurance assistance, Outreach, Information and referral, Counseling and Eligibility Screening - Connecticut's State Health Insurance Assistance Program (SHIP). CHOICES provides older adults and adults with disabilities who are Medicare eligible with health insurance counseling, outreach, and training services in partnership with the state's five Area Agencies on Aging and the Center for Medicare Advocacy.

MIPPA Outreach – Funded by the Medicare Improvements for Patients and Providers Act of 2008 to provide targeted outreach, education and application assistance to low income, rural and hard-to-reach Medicare beneficiaries for the purpose of enrolling beneficiaries into the Medicare Savings Program and the Low Income Subsidy and to promote utilization of Medicare Preventative benefits.

Medicare Legal Assistance – Assists older adults and individuals with disabilities in filing Medicare appeals. Typically, a source of last resort in battling expensive Medicare related bills and pursuing Medicare Part D drug appeals, this service impacts an individual's financial well-being and ability to obtain needed medications in a timely manner. The Center for Medicare Advocacy (CMA) maintains a toll-free line to assist people who have Medicare Part D problems or require assistance with Medicare appeals. It also provides in-depth training on a multitude of Medicare topics for CHOICES volunteers and staff.

The Senior Medicare Patrol (SMP) – Ensures Medicare beneficiaries are empowered to prevent, detect and address issues of health care

fraud, errors, and abuse. Federally funded SMP staff and volunteers provide community outreach, educational presentations and individual education and counseling.

Connecticut Partnership for Long-Term Care – Provides education and outreach through an alliance between the State of Connecticut and the private insurance industry, and offers, through private insurers, special long-term care insurance to help individuals increase their options and avoid impoverishing themselves when paying for long-term care. Coordinated by the Office of Policy and Management, this initiative includes an information and education program.

Prevention of Elder Abuse, Neglect and Exploitation – Funded through Title VII of the OAA, assists in the collaborative development of elder abuse programming and resources throughout the state. In FFY 2019 most funds were distributed directly to CT’s five Area Agencies on Aging to develop and provide programming throughout each region. Title VII funds support the Coalition for Elder Justice in Connecticut as the central focus of the state’s Elder Rights/Elder Justice Initiatives. This public/private collaborative of diverse stakeholders work together to address elder justice issues in Connecticut in order to prevent elder abuse, protect rights and promote the independence, security, and well-being of vulnerable elders.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-----------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 37 | 6 | 0 | 43 | 43 | 43 | 43 | 43 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 61,010 | 70,300 | 70,407 | 70,407 | 70,407 | 75,304 | 75,304 | 75,304 |
| Other Expenses | 26,841 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| Other Current Expenses | | | | | | | | |
| Educational Aid for Children - Blind or Visually Impaired | 3,802,531 | 4,187,011 | 4,384,075 | 4,384,075 | 4,384,075 | 4,508,985 | 4,552,693 | 4,552,693 |
| Total-General Fund | 3,890,382 | 4,282,311 | 4,479,482 | 4,479,482 | 4,479,482 | 4,609,289 | 4,652,997 | 4,652,997 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 7,136 | 2,136 | 2,136 | 2,136 | 2,136 | 2,136 | 2,136 | 2,136 |
| Total - All Funds | 3,897,518 | 4,284,447 | 4,481,618 | 4,481,618 | 4,481,618 | 4,611,425 | 4,655,133 | 4,655,133 |

INDEPENDENT LIVING SERVICES

Statutory Reference

C.G.S. Sections 10-295(c) through 10-295(e), 10-297 and 10-298; C.G.S. Sections 17b-650a(b) and 17b-651a; C.G.S. Sections 17b-613 through 17b-615; 17b-657; Rehabilitation Act of 1973, as amended, 17a-302, 17a-303a, 17a-316a, 17b-349e.

Statement of Need and Program Objectives

To maximize opportunities for adults 60 years of age or older and people with disabilities in Connecticut, to obtain and maintain the highest level of independence.

To make timely and accurate determinations about whether an individual meets the federal criteria for disability or blindness.

Program Description

The Department provides independent living support and services through the following programs:

Adult Services Program – Social workers provide counseling and referral services for individuals to access rehabilitation training, adaptive equipment, and benefit services within the Bureau of Education and Services for the Blind and from community-based organizations. Rehabilitation Teachers assist individuals to increase

independence in personal and home management, leisure time activities and communications. Orientation and Mobility instructors teach safe community travel techniques and provide white canes for use and identification. The program also coordinates volunteer services to produce books and materials into Braille and to assist individuals with activities of daily living. The deaf blind program, which is also administered through the Adult Services program, provides for specialized community inclusion activities through third party vendors. Public education programs are offered to senior centers and other community providers to increase awareness and access to the Bureau of Education and Services for the Blind.

Disability Determination Services – Responsible for making timely and accurate determinations about whether an individual meets the federal criteria for disability or blindness. Disability examiners obtain medical and psychological information, arrange for consultative examinations to obtain additional information, analyze medical and non-medical information and make disability determinations for individuals applying for Supplemental Security Income (SSI) or Social Security Disability Insurance (SSDI).

Independent Living Program – Administered in accordance with State and Federal statutes for persons with significant disabilities through contracts with Connecticut’s five community-based independent living centers. The centers also oversee the administration and delivery of the federally mandated state plan for independent living.

Elderly Nutrition Program – Supports access to nutritionally balanced congregate and home delivered meals and other nutrition services to residents of Connecticut who are 60 years of age or older and their spouses in a variety of group settings, such as senior and community centers, housing complexes, and faith-based settings and schools, to support opportunities for socialization and to minimize isolation. Evidence-based nutrition education and nutrition counseling is provided as needed to participants in group settings, and individually to those who are at home or socially isolated. The program combines funding under Title III-C of the OAA with state appropriations and Social Services Block Grant funds.

Connecticut National Family Caregiver Support Program – Funded through Title III-E of the OAA, this program offers access to a range of services geared toward stress reduction, enabling caregivers to care for their loved ones at home. Caregivers include adult family members or friends caring for persons 60 years of age or older, grandparents or older relatives caring for children under 18 years of age or older relatives (including a parent) of adult children, ages 18 to 59 who have a disability. The major components of the program include information about available services, access to supportive services, individual counseling, supports groups, caregiver training, respite care and supplemental services.

Connecticut Statewide Respite Care Program – Offers short-term respite services, support, and information to families coping with Alzheimer’s disease and related dementias. It provides a break to caregivers while improving quality of life for the person with the disease by offering additional supports and enhancing their ability to remain at home.

Alzheimer’s Aides and Adult Day Care Centers – Subsidizes aides who assist individuals with Alzheimer’s disease that attend Adult Day Care Centers. These centers provide social activities as well as more intensive health, therapeutic and social services for persons with severe medical problems or who are at risk of nursing home placement.

Connecticut Statewide Fall Prevention Initiative – Strives to decrease the rate of falls among older adults by embedding evidence-based risk assessment and intervention strategies for older adults and clinicians throughout Connecticut.

Chronic Disease Self-Management Program (CDSMP) and the Chronic Pain Self-Management Programs – Supported through state and federal funds. These six-week, evidence-based and lay-led education programs are targeted to older adults and persons with disabilities who are experiencing chronic disease and chronic pain conditions as well as teaching practical self-management skills for people living with chronic health problems.

Congregate Housing Services Program (CHSP) – Funded through federal Department of Housing and Urban Development, and in the Eastern and Western regions of the State. CHSP supports safety and security for people living within the community by providing case management, services by qualified Resident Service Coordinators. Individualized service plans are put into place with supports such as: personal care assistance, housekeeper/chore, nutrition, bill paying, medication management and transportation services, for eligible residents 62 years of age or older, or individuals with disabilities, living in rural elderly and qualified housing complexes for individuals with disabilities. Seven sites located in the eastern area and six sites in the western area of the state are supported.

Supportive Services – Funded through Title III-B of the OAA include services that support community living such as transportation, behavioral health, access (information and referral) and in-home services.

Aging and Disability Resource Center and No Wrong Door (NWD) Initiatives – Expand the capacity, sustainability and efficiency of Connecticut’s NWD system for individuals seeking long term care services and supports. As part of the NWD initiatives a national return on investment calculator and a business case program was developed to provide expanded services to veterans. Technical assistance from the National Advancing Person Centered Practices and Systems supported the development of state standards on person centered training. A core team of state agencies and a non-profit agency developed core competencies across the curriculums used in the state, with input from stakeholders.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 25 | 3 | 0 | 28 | 28 | 28 | 28 | 28 |
| Federal Funds | 4 | 0 | 0 | 4 | 4 | 4 | 4 | 4 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,197,177 | 2,274,000 | 2,288,742 | 2,288,742 | 2,288,742 | 2,364,643 | 2,364,643 | 2,364,643 |
| Other Expenses | 207,113 | 235,362 | 235,362 | 235,362 | 235,362 | 235,362 | 235,362 | 235,362 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Supplementary Relief and Services | 38,328 | 44,847 | 44,847 | 44,847 | 44,847 | 44,847 | 44,847 | 44,847 |
| Special Training for the Deaf Blind | 143,743 | 265,269 | 266,887 | 266,418 | 239,891 | 267,155 | 267,155 | 240,628 |
| Independent Living Centers | 612,725 | 612,725 | 614,536 | 614,289 | 552,566 | 616,760 | 616,760 | 555,037 |
| Programs for Senior Citizens | 3,113,051 | 3,278,743 | 3,278,743 | 3,278,743 | 3,548,743 | 3,278,743 | 3,278,743 | 3,548,743 |
| Elderly Nutrition | 2,708,524 | 2,626,390 | 3,262,168 | 2,969,528 | 2,969,528 | 3,110,676 | 3,110,676 | 3,110,676 |

| | | | | | | | | |
|-------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Pmts to Other Than Local Govts | 6,616,371 | 6,827,974 | 7,467,181 | 7,173,825 | 7,355,575 | 7,318,181 | 7,318,181 | 7,499,931 |
| Total-General Fund | 9,020,661 | 9,337,336 | 9,991,285 | 9,697,929 | 9,879,679 | 9,918,186 | 9,918,186 | 10,099,936 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Insurance Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Fall Prevention | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 |
| Total-Insurance Fund | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Workers' Compensation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 496,216 | 556,240 | 562,932 | 562,932 | 507,308 | 583,099 | 584,583 | 528,959 |
| Other Expenses | 53,822 | 53,822 | 53,822 | 53,822 | 48,440 | 53,822 | 53,822 | 48,440 |
| Other Current Expenses | | | | | | | | |
| Rehabilitative Services | 674,322 | 1,111,913 | 1,111,913 | 1,111,913 | 1,000,721 | 1,111,913 | 1,111,913 | 1,000,721 |
| Fringe Benefits | 448,305 | 515,134 | 515,134 | 515,134 | 463,621 | 533,393 | 534,947 | 483,434 |
| Other Current Expenses | 1,122,627 | 1,627,047 | 1,627,047 | 1,627,047 | 1,464,342 | 1,645,306 | 1,646,860 | 1,484,155 |
| Total-Workers' Compensation Fund | 1,672,665 | 2,237,109 | 2,243,801 | 2,243,801 | 2,020,090 | 2,282,227 | 2,285,265 | 2,061,554 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 5,381 | 5,381 | 5,381 | 5,381 | 5,381 | 5,381 | 5,381 | 5,381 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 84177 Rehab Svcs Independent Living | 385,648 | 385,648 | 385,648 | 385,648 | 385,648 | 385,648 | 385,648 | 385,648 |
| 93369 ACL Independent Living State Grants | 417,674 | 417,674 | 417,674 | 417,674 | 417,674 | 417,674 | 417,674 | 417,674 |
| Total - All Funds | 11,879,984 | 12,761,103 | 13,421,744 | 13,128,388 | 13,086,427 | 13,387,071 | 13,390,109 | 13,348,148 |

ACCESSIBILITY SERVICES

Statutory Reference

C.G.S. Sections 17b-650a through 17b-666; C.G.S. Section 14-11b; C.G.S. Sections 17b-650a and 46a-27 through 46a-33b.

Statement of Need and Program Objectives

To maximize access in all aspects of living, learning and working independently for Connecticut residents with disabilities.

Program Description

The department provides accessibility services through the following programs:

Assistive Technology Program – Provides access to assistive technology devices and services for use at work, home, school or in the community. Services include guidance and consultation; resource and information services; alternative financing program to help individuals and families purchase equipment; device demonstrations

at several sites across the state; recycling and reuse of equipment programs; and device lending, as well as public awareness activities, training and technical assistance on assistive technology, adaptive equipment and accommodations.

Driver Training Program – Provides special equipment evaluation and driver training for individuals with disabilities who seek to be licensed using a modified vehicle in the state of Connecticut.

Services for Individuals Who Are Deaf/Hard of Hearing – The department maintains a registry of all sign language interpreters who meet the certification criteria outlined in State statute. The registry is available through the department's website. The department also offers counseling services to provide information/resources regarding special language, communication, and socio-economic assistance unique to individuals who are deaf or hard of hearing and their families.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 9 | 1 | 0 | 10 | 10 | 10 | 10 | 10 |
| Federal Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| Private Funds | 1 | 4 | 0 | 5 | 5 | 5 | 5 | 5 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 231,406 | 235,000 | 239,434 | 239,434 | 239,434 | 246,779 | 246,779 | 246,779 |
| Other Expenses | 26,841 | 45,000 | 45,000 | 45,000 | 45,000 | 45,000 | 45,000 | 45,000 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Connecticut Radio Information Service | 70,194 | 70,194 | 70,194 | 70,194 | 70,194 | 70,194 | 70,194 | 70,194 |
| Total-General Fund | 328,441 | 350,194 | 354,628 | 354,628 | 354,628 | 361,973 | 361,973 | 361,973 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 346,043 | 346,043 | 346,043 | 346,043 | 346,043 | 346,043 | 346,043 | 346,043 |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 84224 Assistive Technology | 38,914 | 38,914 | 38,914 | 38,914 | 38,914 | 38,914 | 38,914 | 38,914 |
| 93048 AGING PROGRAMS - TITLE IV - TRAIN/RESEARCH/DISCRETIONARY | 35,426 | 420,028 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93464 ACL Assistive Technology | 237,061 | 237,061 | 237,061 | 237,061 | 237,061 | 237,061 | 237,061 | 237,061 |
| 93667 Social Services Block Grant | 184,404 | 184,404 | 184,404 | 184,404 | 184,404 | 184,404 | 184,404 | 184,404 |
| 96008 Soc Sec Benefits Planning, Assistance | 68,961 | 68,961 | 68,961 | 68,961 | 68,961 | 68,961 | 68,961 | 68,961 |
| Total - All Funds | 1,239,250 | 1,645,605 | 1,230,011 | 1,230,011 | 1,230,011 | 1,237,356 | 1,237,356 | 1,237,356 |

ADVOCACY SERVICES

Statutory Reference

C.G.S. Sections 17a-405 through 422.

Statement of Need and Program Objectives

To protect the health, safety, welfare and rights of any person who resides in, or is a patient in, a long-term care facility. To identify, investigate and resolve complaints made on behalf of such individuals, as well as complaints involving applications for admission to a long-term care facility.

Program Description

The Connecticut Long-Term Care Ombudsman Program – Provides services for individuals in long-term care settings and monitors long-term services and supports and systems issues and offers legislative and policy proposals to improve quality of care and services for residents and their families. The program also supports legislation and policy proposed by other entities that would seek improvements to long-term services and supports. The state and regional ombudsmen provide education and outreach to residents and their families through Resident, Family and Tenant Councils and the Annual VOICES Forum.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 10 | 0 | 0 | 10 | 10 | 10 | 10 | 10 |
| Federal Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 735,738 | 1,010,500 | 1,019,452 | 1,019,452 | 1,019,452 | 1,053,729 | 1,053,729 | 1,053,729 |
| Other Expenses | 26,841 | 8,161 | 8,161 | 8,161 | 8,161 | 8,161 | 8,161 | 8,161 |
| Total-General Fund | 762,579 | 1,018,661 | 1,027,613 | 1,027,613 | 1,027,613 | 1,061,890 | 1,061,890 | 1,061,890 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93042 Special Programs for the Aging_Title VII, Chapter 2_Long Ter | 162 | 225,843 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93042 Title 7 New Ombudsmen Activity | 188,263 | 188,263 | 188,263 | 188,263 | 188,263 | 188,263 | 188,263 | 188,263 |
| 93044 Title 3 Supportive Services | 155,547 | 155,547 | 155,547 | 155,547 | 155,547 | 155,547 | 155,547 | 155,547 |
| Total - All Funds | 1,106,551 | 1,588,314 | 1,371,423 | 1,371,423 | 1,371,423 | 1,405,700 | 1,405,700 | 1,405,700 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 5,953,024 | 7,068,809 | 7,241,689 | 6,700,874 | 7,486,530 | 6,974,432 |
| Salaries & Wages-Temporary | 35,182 | 35,000 | 35,566 | 35,566 | 35,566 | 35,566 |
| Salaries & Wages-Part Time | 126,226 | 0 | 0 | 0 | 0 | 0 |
| Longevity Payments | 33,188 | 38,300 | 38,300 | 38,300 | 38,300 | 38,300 |
| Overtime | 1,411 | 10,000 | 10,180 | 10,180 | 10,180 | 10,180 |
| Accumulated Leave | 127,480 | 115,000 | 115,000 | 115,000 | 115,000 | 115,000 |
| Other Salaries & Wages | 452 | 0 | 0 | 0 | 0 | 0 |
| Other | 37,984 | 41,500 | 41,500 | 41,500 | 41,500 | 41,500 |
| TOTAL - Personal Services-Personal Services | 6,314,947 | 7,308,609 | 7,482,235 | 6,941,420 | 7,727,076 | 7,214,978 |
| Other Expenses | | | | | | |
| Client Services | 26,872 | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 |
| Communications | 161,046 | 128,300 | 128,300 | 128,300 | 128,300 | 128,300 |
| Electricity | 54,072 | 55,000 | 55,000 | 55,000 | 55,000 | 55,000 |
| Employee Expenses | 7,915 | 7,915 | 7,915 | 7,915 | 7,915 | 7,915 |
| Employee Travel | 6,432 | 7,085 | 7,085 | 7,085 | 7,085 | 7,085 |
| Equipment Rental and Maintenance | 24,099 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| Information Technology | 172,279 | 163,612 | 163,612 | 103,612 | 163,612 | 103,612 |
| Motor Vehicle Costs | 74,122 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 |
| Natural Gas | 14,381 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 |
| Other / Fixed Charges | 3,897 | 0 | 0 | 0 | 0 | 0 |
| Other Services | 122,432 | 23,500 | 23,500 | 23,500 | 23,500 | 23,500 |
| Premises Expenses | 170,462 | 158,605 | 158,605 | 158,605 | 158,605 | 158,605 |
| Premises Rent Expense-Landlord | 539,596 | 550,000 | 550,000 | 550,000 | 550,000 | 550,000 |
| Professional Services | 6,362 | 156,000 | 156,000 | 148,887 | 156,000 | 148,887 |
| Purchased Commodities | 27,866 | 27,500 | 27,500 | 27,500 | 27,500 | 27,500 |

| | | | | | | |
|-----------------------------------------------------------|------------|------------|------------|------------|------------|------------|
| TOTAL-Other Expenses | 1,411,833 | 1,422,517 | 1,422,517 | 1,355,404 | 1,422,517 | 1,355,404 |
| <i>Other Current Expenses</i> | | | | | | |
| Part-Time Interpreters | 0 | 0 | 0 | 0 | 0 | 0 |
| Educational Aid for Children - Blind or Visually Impaired | 3,802,531 | 4,187,011 | 4,384,075 | 4,384,075 | 4,508,985 | 4,552,693 |
| Employment Opportunities – Blind & Disabled | 225,470 | 471,990 | 1,021,990 | 370,890 | 1,021,990 | 370,890 |
| TOTAL-Other Current Expenses | 4,028,001 | 4,659,001 | 5,406,065 | 4,754,965 | 5,530,975 | 4,923,583 |
| <i>Pmts to Other Than Local Govts</i> | | | | | | |
| Vocational Rehabilitation - Disabled | 6,407,662 | 7,279,075 | 7,523,939 | 7,681,194 | 7,397,683 | 7,697,683 |
| Supplementary Relief and Services | 38,328 | 44,847 | 44,847 | 44,847 | 44,847 | 44,847 |
| Special Training for the Deaf Blind | 143,743 | 265,269 | 266,887 | 239,891 | 267,155 | 240,628 |
| Connecticut Radio Information Service | 70,194 | 70,194 | 70,194 | 70,194 | 70,194 | 70,194 |
| Independent Living Centers | 612,725 | 612,725 | 614,536 | 552,566 | 616,760 | 555,037 |
| Programs for Senior Citizens | 3,113,051 | 3,278,743 | 3,278,743 | 3,548,743 | 3,278,743 | 3,548,743 |
| Elderly Nutrition | 2,708,524 | 2,626,390 | 3,262,168 | 2,969,528 | 3,110,676 | 3,110,676 |
| TOTAL-Pmts to Other Than Local Govts | 13,094,227 | 14,177,243 | 15,061,314 | 15,106,963 | 14,786,058 | 15,267,808 |
| Personal Services | 6,314,947 | 7,308,609 | 7,482,235 | 6,941,420 | 7,727,076 | 7,214,978 |
| Other Expenses | 1,411,833 | 1,422,517 | 1,422,517 | 1,355,404 | 1,422,517 | 1,355,404 |
| Other Current Expenses | 4,028,001 | 4,659,001 | 5,406,065 | 4,754,965 | 5,530,975 | 4,923,583 |
| Pmts to Other Than Local Govts | 13,094,227 | 14,177,243 | 15,061,314 | 15,106,963 | 14,786,058 | 15,267,808 |
| TOTAL-General Fund | 24,849,008 | 27,567,370 | 29,372,131 | 28,158,752 | 29,466,626 | 28,761,773 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Other Current Expenses</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Fall Prevention | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 |
| TOTAL-Other Current Expenses | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 |
| Other Current Expenses | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 |
| TOTAL-Insurance Fund | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 | 377,955 |

AGENCY FINANCIAL SUMMARY - WORKERS' COMPENSATION FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|------------------------------------------------|---------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 493,203 | 553,225 | 559,917 | 504,293 | 580,084 | 525,944 |
| Longevity Payments | 2,248 | 2,250 | 2,250 | 2,250 | 2,250 | 2,250 |
| Other | 765 | 765 | 765 | 765 | 765 | 765 |
| TOTAL - Personal Services-Personal Services | 496,216 | 556,240 | 562,932 | 507,308 | 583,099 | 528,959 |

Other Expenses

| | | | | | | |
|--------------------------------|--------|--------|--------|--------|--------|--------|
| Employee Expenses | 956 | 956 | 956 | 956 | 956 | 956 |
| Employee Travel | 10,112 | 10,112 | 10,112 | 10,112 | 10,112 | 10,112 |
| Other Services | 2,776 | 2,776 | 2,776 | 2,776 | 2,776 | 2,776 |
| Premises Rent Expense-Landlord | 29,322 | 29,322 | 29,322 | 29,322 | 29,322 | 29,322 |
| Purchased Commodities | 10,656 | 10,656 | 10,656 | 5,274 | 10,656 | 5,274 |
| TOTAL-Other Expenses | 53,822 | 53,822 | 53,822 | 48,440 | 53,822 | 48,440 |

Other Current Expenses

| | | | | | | |
|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Rehabilitative Services | 674,322 | 1,111,913 | 1,111,913 | 1,000,721 | 1,111,913 | 1,000,721 |
| Fringe Benefits | 448,305 | 515,134 | 515,134 | 463,621 | 533,393 | 483,434 |
| TOTAL-Other Current Expenses | 1,122,627 | 1,627,047 | 1,627,047 | 1,464,342 | 1,645,306 | 1,484,155 |

| | | | | | | |
|----------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services | 496,216 | 556,240 | 562,932 | 507,308 | 583,099 | 528,959 |
| Other Expenses | 53,822 | 53,822 | 53,822 | 48,440 | 53,822 | 48,440 |
| Other Current Expenses | 1,122,627 | 1,627,047 | 1,627,047 | 1,464,342 | 1,645,306 | 1,484,155 |
| TOTAL-Workers' Compensation Fund | 1,672,665 | 2,237,109 | 2,243,801 | 2,020,090 | 2,282,227 | 2,061,554 |

DEPARTMENT OF EDUCATION

AGENCY DESCRIPTION

The Connecticut State Department of Education (CSDE) is the administrative arm of the State Board of Education, which is responsible for implementing the educational interests of the state from preschool through Grade 12.

The State Board of Education provides leadership and promotes the improvement of education in the state. Specific functions carried out by the Department of Education include leadership and communication with the state's 205 school districts, research, planning, educator evaluation and support, educational technology (including telecommunications), the publishing of guides to curriculum development and other technical assistance materials, the presentation of workshops, and assessment of educational outcomes.

The Department of Education is committed to being a national leader in narrowing the achievement gap and creating academic excellence for all students.

The department, through technical support and funding, helps to ensure equal educational opportunity and excellence in education

for all Connecticut students – 527,829 (prekindergarten through Grade 12).

To achieve its goals, the department works with parent groups; teacher organizations; the six regional educational service centers; nonpublic schools; public and independent colleges and universities; Connecticut Office of Early Childhood; and the Connecticut Departments of Children and Families, Developmental Services and Correction.

To operate the Connecticut Technical Education and Career System (CTECS), the state's largest secondary school system serving over 11,300 high school students and 200 adult learners. The CTECS is overseen by an 11-member board that includes members from education and industry, as well as the commissioners from the Department of Labor and the Department of Economic and Community Development. Sections 273-284 of PA 19-117 call for the CTECS to be a separate budgeted agency effective July 1, 2022.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2022 | FY 2023 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|--------------|
| • Transfer Funding and 1,522 Positions to Establish CTECs as an Independent Agency in FY 2023 | 0 | -171,368,198 |
| • Fund Formula Grants at the Statutory Level <i>Funding for the following grants is provided at the statutory level: Excess Cost Student Based, Health and Welfare Services Pupils Private Schools, Adult Education and Bilingual Education.</i> | 69,437,928 | 74,612,363 |
| • Fund ECS at the Statutory Formula Level | 32,036,658 | 64,073,315 |
| • Annualize Anticipated Lapses in Magnet Schools and Open Choice Programs | -13,076,093 | -13,076,093 |
| • Increase Funding in Magnet Schools and Open Choice Programs Due to Increased Enrollment | 4,538,603 | 8,872,132 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 6,038,176 |
| • Adjust Charter School Grant and Local Charter School Grant to Match Current Approved Enrollment | -3,826,750 | -3,339,250 |
| • Add World Language Positions for CTECS to Comply with Statutory Graduation Requirements <i>Funding is provided for 31 world language teachers to comply with Public Act 17-42 which added one foreign language credit as a graduation requirement for CTECs classes graduating in 2023 onwards.</i> | 2,418,000 | 2,511,000 |
| • Adjust Funding to Reflect Annualization of FY 2021 ECS Requirements | 1,553,158 | 1,553,158 |
| • Increase Funding for Sheff Transportation Due to Increased Enrollment | 812,500 | 1,625,000 |
| • Provide Funding for State Employee Wage Adjustments | 620,590 | 620,590 |

Reductions

| | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------|
| • Extend Caps on Formula Grants <i>Funding for the following grants is maintained at the FY 2021 level: Excess Cost-Student Based, Health and Welfare Services Pupils Private Schools, and Adult Education.</i> | -70,698,910 | -75,873,345 |
| • Maintain ECS Payments at FY 2021 Levels and Leverage Federal Aid. <i>The ECS Grant is funded at the FY 2021 level and the completion of the phase in of the ECS formula is delayed from FY 2028 until FY 2030.</i> | -32,036,658 | -64,073,315 |
| • Delay CTECS World Language Graduation Requirement until FY 2025 | -2,418,000 | -2,511,000 |
| • Reduce Funding for Various Accounts to Achieve Savings | -1,073,054 | -1,090,576 |
| • Annualize FY 2021 Rescissions | -182,523 | -182,523 |

Reallocations

| | FY 2022 | FY 2023 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-------------|
| • Defer Establishment of CTECs as an Independent Agency until FY 2024 <i>CTECS would remain within SDE rather than as a separate agency in order to save the state approximately \$1.7 million for 22 new administrative positions necessary to support an independent agency.</i> | 0 | 171,368,198 |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services | -1,198,425 | -1,244,518 |
| • Reallocate funds from Magnet School Grant to Sheff Transportation Account to Match Current Use of Funds. | 0 | 0 |

Expansions

| | FY 2022 | FY 2023 | FY 2024 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|-----------|
| • Equalize Charter School Per Pupil Grant and ECS Foundation <i>Provides funds to increase the charter school per pupil grant from \$11,250 to \$11,525 to equalize the charter school per pupil grant and the ECS foundation level.</i> | 2,950,200 | 2,959,550 | 2,959,550 |
| • Fund Pilot of Open Choice Program in Norwalk and Danbury <i>Provide funding for 50 Open Choice seats in Danbury and Norwalk respectively beginning in the 2022-23 school year and for associated administrative, start-up, professional development, and transportation funds.</i> | 275,000 | 900,000 | 900,000 |
| • Provide Funding for a Durational Position to Support the Governor's Workforce Bill | 0 | 90,000 | 0 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,617 | 153 | 0 | 1,770 | 1,801 | 1,756 | 1,801 | 1,757 |
| Federal Funds | 96 | 28 | 0 | 123 | 124 | 124 | 124 | 124 |
| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Agency Management Services | 41,487,354 | 174,076,696 | 58,959,509 | 58,183,890 | 56,200,774 | 31,667,031 | 24,827,671 | 28,873,903 |
| Basic School Program | 1,997,175,724 | 2,041,793,042 | 2,086,932,541 | 2,080,020,637 | 2,044,897,952 | 2,126,670,186 | 2,112,831,260 | 2,045,592,935 |
| Special Education | 284,480,296 | 291,305,203 | 365,910,979 | 365,910,979 | 365,910,979 | 378,484,039 | 378,484,039 | 378,484,039 |
| Equal Education Opportunity | 921,107,186 | 950,788,370 | 971,880,684 | 968,524,963 | 904,494,588 | 979,869,016 | 975,203,734 | 906,976,923 |
| Vocational Training and Job Preparation | 49,754,851 | 51,892,848 | 53,001,857 | 53,001,857 | 52,173,663 | 53,553,036 | 53,534,678 | 52,702,625 |
| CT Tech High School System | 178,812,472 | 189,271,701 | 194,249,815 | 192,813,384 | 190,395,384 | 199,629,951 | 33,642,769 | 196,236,159 |
| Teacher Preparation, Professional & Curriculum Dev | 28,383,584 | 30,157,298 | 30,203,252 | 30,203,252 | 30,203,252 | 30,311,184 | 30,317,524 | 30,317,562 |
| TOTAL Agency Programs | 3,501,201,467 | 3,729,285,158 | 3,761,138,637 | 3,748,658,962 | 3,644,276,592 | 3,800,184,443 | 3,608,841,675 | 3,639,184,146 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| General Fund | 2,980,685,951 | 3,052,656,478 | 3,176,758,571 | 3,164,278,896 | 3,059,896,526 | 3,233,229,263 | 3,041,886,495 | 3,072,228,966 |
| Federal Funds | 512,956,742 | 668,826,705 | 576,513,945 | 576,513,945 | 576,513,945 | 558,934,366 | 558,934,366 | 558,934,366 |
| Private Funds | 6,226,063 | 6,469,264 | 6,533,410 | 6,533,410 | 6,533,410 | 6,688,103 | 6,688,103 | 6,688,103 |
| Special Non-Appropriated Funds | 1,332,711 | 1,332,711 | 1,332,711 | 1,332,711 | 1,332,711 | 1,332,711 | 1,332,711 | 1,332,711 |
| Total Agency Programs | 3,501,201,467 | 3,729,285,158 | 3,761,138,637 | 3,748,658,962 | 3,644,276,592 | 3,800,184,443 | 3,608,841,675 | 3,639,184,146 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 10-3a, 10-4 and 10-292o.

Statement of Need and Program Objectives:

To promote the State Board of Education's goals and policies efficiently and effectively by providing instructional leadership and a coordinated administrative direction.

Program Description

The primary purpose of this program is to provide for the efficient and effective administration, coordination and supervision of the activities of the Department that have been defined by the State Board of Education through its five-year comprehensive plan for ensuring equity and excellence for all Connecticut students. The department also provides operational services for administrative purposes only for the Office of Early Childhood. In addition, funds are received for the continuation of major pass-through grants and the American School for the Deaf.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 149 | 34 | 0 | 183 | 183 | 169 | 183 | 169 |
| Federal Funds | 23 | 5 | 0 | 27 | 27 | 27 | 27 | 27 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 10,019,630 | 11,372,353 | 12,410,679 | 11,635,060 | 9,716,865 | 12,805,420 | 12,071,450 | 10,089,640 |
| Other Expenses | 4,258,586 | 2,740,115 | 2,740,115 | 2,740,115 | 2,675,194 | 2,740,115 | 2,740,115 | 2,675,194 |
| Other Current Expenses | | | | | | | | |
| Development of Mastery Exams Grades 4, 6, and 8 | 185,000 | 189,556 | 189,556 | 189,556 | 189,556 | 189,556 | 189,556 | 189,556 |
| Primary Mental Health | 4,704 | 5,204 | 5,204 | 5,204 | 5,204 | 5,204 | 5,204 | 5,204 |
| Connecticut Writing Project | 0 | 20,250 | 20,250 | 20,250 | 20,250 | 20,250 | 20,250 | 20,250 |
| Sheff Settlement | 531,483 | 600,843 | 604,635 | 604,635 | 604,635 | 613,216 | 612,047 | 612,047 |
| Admin - After School Program | 114,414 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Regional Vocational-Technical School System | 5,451,313 | 5,829,813 | 5,869,520 | 5,869,520 | 5,869,520 | 6,099,981 | 0 | 6,088,723 |
| Commissioner's Network | 130,369 | 171,016 | 171,016 | 171,016 | 171,016 | 171,016 | 171,016 | 171,016 |
| Talent Development | 24,000 | 28,287 | 28,287 | 28,287 | 28,287 | 28,287 | 28,287 | 28,287 |
| Technical High Schools Other Expenses | 4,200 | 4,240 | 4,240 | 4,240 | 4,240 | 4,240 | 0 | 4,240 |
| EdSight | 876,016 | 912,760 | 912,760 | 912,760 | 912,760 | 912,760 | 912,760 | 912,760 |
| Curriculum and Standards | 1,274,338 | 1,987,190 | 1,987,190 | 1,987,190 | 1,987,190 | 1,987,190 | 1,987,190 | 1,987,190 |
| Total--Other Current Expenses | 8,595,837 | 9,749,159 | 9,792,658 | 9,792,658 | 9,792,658 | 10,031,700 | 3,926,310 | 10,019,273 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Regional Education Services | 230,477 | 260,354 | 260,354 | 260,354 | 260,354 | 260,354 | 260,354 | 260,354 |
| Family Resource Centers | 13,000 | 13,056 | 13,056 | 13,056 | 13,056 | 13,056 | 13,056 | 13,056 |
| Pmts to Other Than Local Govts | 243,477 | 273,410 | 273,410 | 273,410 | 273,410 | 273,410 | 273,410 | 273,410 |
| Total-General Fund | 23,117,530 | 24,135,037 | 25,216,862 | 24,441,243 | 22,458,127 | 25,850,645 | 19,011,285 | 23,057,517 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 950 | 950 | 950 | 950 | 950 | 950 | 950 | 950 |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10555 NATIONAL SCHOOL LUNCH PROGRAM | 13,776,401 | 60,880,117 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10558 Child & Adult Care Food Program | 172 | 180 | 189 | 189 | 189 | 199 | 199 | 199 |
| 10559 Summer Food Svc Pgm For Children | 28,859 | 29,341 | 29,983 | 29,983 | 29,983 | 30,663 | 30,663 | 30,663 |
| 10560 State Admin Expenses Child Nutrition | 268,930 | 287,214 | 303,926 | 303,926 | 303,926 | 321,614 | 321,614 | 321,614 |

| | | | | | | | | |
|--------------------------------------------------------------------|------------|-------------|------------|------------|------------|------------|------------|------------|
| 10580 Food Stamp Pgm Rsrch Grt Impv Access | 7,209 | 7,209 | 7,209 | 7,209 | 7,209 | 7,209 | 7,209 | 7,209 |
| 10582 Fresh Fruit and Vegetable Program | 5,240 | 5,509 | 5,787 | 5,787 | 5,787 | 6,078 | 6,078 | 6,078 |
| 12620 Troops to Teachers Program | 7,713 | 10,347 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84002 Adult Education State Grant Program | 17,641 | 19,405 | 21,345 | 21,345 | 21,345 | 21,345 | 21,345 | 21,345 |
| 84010 Title I Grants to Local Educational Agencies | 708,703 | 744,373 | 787,852 | 787,852 | 787,852 | 824,478 | 824,478 | 824,478 |
| 84013 Title I Pgm Neglected / Delinquent Children | 648 | 712 | 784 | 784 | 784 | 784 | 784 | 784 |
| 84027 Special Education Grants to States | 1,100,173 | 1,155,777 | 1,213,761 | 1,213,761 | 1,213,761 | 1,274,612 | 1,274,612 | 1,274,612 |
| 84048 Vocational Education Basic Grants to States | 121,861 | 128,155 | 134,548 | 134,548 | 134,548 | 141,240 | 141,240 | 141,240 |
| 84173 Special Education Preschool Grants | 22,534 | 24,787 | 27,266 | 27,266 | 27,266 | 27,266 | 27,266 | 27,266 |
| 84184 Drug-Free Schools and Communities-National Programs | 4,818 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84196 Education For Homeless Children & Youth | 27,654 | 28,285 | 28,532 | 28,532 | 28,532 | 28,792 | 28,792 | 28,792 |
| 84287 Twenty-First Century Community Learning | 109,903 | 116,323 | 116,459 | 116,459 | 116,459 | 116,601 | 116,601 | 116,601 |
| 84325 Special Education - Personnel Development to Improve Service | 1,873 | 1,873 | 1,873 | 1,873 | 1,873 | 1,873 | 1,873 | 1,873 |
| 84365 English Language Acquisition Grants | 28,346 | 29,805 | 31,295 | 31,295 | 31,295 | 32,785 | 32,785 | 32,785 |
| 84367 Improving Teacher Quality State Grants | 153,309 | 165,810 | 166,991 | 166,991 | 166,991 | 167,913 | 167,913 | 167,913 |
| 84369 Grants For State Assessments & Related Activities | 308,922 | 331,161 | 348,695 | 348,695 | 348,695 | 367,165 | 367,165 | 367,165 |
| 84372 Expanding SLDS Infrastructure | 0 | 1,003,555 | 1,067,533 | 1,067,533 | 1,067,533 | 754,023 | 754,023 | 754,023 |
| 84424 Student Support and Academic Enrichment Program | 7,469 | 7,469 | 7,469 | 7,469 | 7,469 | 7,469 | 7,469 | 7,469 |
| 84425 ESSERF K-12 Fund | 0 | 83,301,044 | 27,767,015 | 27,767,015 | 27,767,015 | 0 | 0 | 0 |
| 84938 ELEMENTARY AND SECONDARY EDUCATION | 174,891 | 174,891 | 174,891 | 174,891 | 174,891 | 174,891 | 174,891 | 174,891 |
| 93243 SUBSTANCE ABUSE/MENTAL HEALTH SRVS PROJECTS REGIONL/NATIONAL | 1,450,253 | 1,450,253 | 1,458,326 | 1,458,326 | 1,458,326 | 1,466,803 | 1,466,803 | 1,466,803 |
| 93500 Pregnancy Assistance Fund Program | 656 | 656 | 656 | 656 | 656 | 656 | 656 | 656 |
| 93945 Asst Pgm-Chronic Disease | 4,285 | 4,285 | 4,285 | 4,285 | 4,285 | 4,285 | 4,285 | 4,285 |
| 96009 Social Security State Grants For Work | 19,129 | 19,224 | 20,378 | 20,378 | 20,378 | 21,600 | 21,600 | 21,600 |
| 99125 Other Federal Assistance | 11,282 | 12,949 | 14,649 | 14,649 | 14,649 | 15,092 | 15,092 | 15,092 |
| Total - All Funds | 41,487,354 | 174,076,696 | 58,959,509 | 58,183,890 | 56,200,774 | 31,667,031 | 24,827,671 | 28,873,903 |

BASIC SCHOOL PROGRAM

Statutory Reference

C.G.S. Sections 10-4, 10-4a; 10-14n; 10-54, 10-97, 10-223h, 10-266m, 10-266ee, 10-273a, 10-277, 10-281; 10-262 through 10-262i; 10-217a.

Statement of Need and Program Objectives

To ensure that every child in Connecticut has an equal opportunity to receive a suitable program of educational experiences. To ensure that the state's public schools offer a planned, ongoing and systematic program of instruction that guarantees a breadth and depth of curriculum provided by competent professionals in a safe and supportive school setting.

Program Description

The primary purpose of this program is to ensure that each of Connecticut's 527,829 public school children, of whom 49 percent are minority, has an equal opportunity to receive a suitable and high-quality program of educational experiences. The department provides significant support to local education agencies for this purpose through various grant programs and technical assistance.

While there is a mandate to provide suitable educational programming, the availability of local resources is not uniform. The disparities in local tax bases and personal income place many communities at a significant disadvantage in financing public schools. To compensate for these differences, the state grant formulas in the basic school program group are designed specifically to distribute more funds to the towns with the greatest need.

The Education Cost Sharing (ECS) grant accounts for more than two-thirds of the department’s general fund appropriation. The primary components of the ECS grant are the foundation spending level per student; the “need student” count of each town, which adds weighting based on poverty and English Language Learner students; the wealth of the town determined by weighting its tax base and the income of its residents. In this manner, the ECS formula equalizes each town’s ability to finance school programs at the foundation level with a comparable tax effort.

Another basic program grant with an equalizing formula is the nonpublic health services grant. (More than 53,000 children attend nonpublic schools.) This grant has its own statutorily set scale of reimbursement rates, which assigns the highest rates to the neediest districts and the lowest rates to the most affluent.

The Alliance District program was created to help close the graduation and achievement gaps in the state’s 33 lowest performing districts. The districts receive disproportionate increased funding, but the new money is tied to greater accountability on how those dollars are utilized. The additional aid is to address significant improvement needs in the areas of improving student achievement and school culture/climate, developing educators and leaders, as well as organizational effectiveness.

The Commissioner’s Network program is the state’s lead initiative to turn around some of the most chronically low-performing schools in the state.

The Connecticut Smarter Balanced Assessment System is administered statewide to students in Grades 3 through 8 to measure their performance in mathematics and English Language Arts (ELA). Over 230,000 students take the tests each year. The SAT is administered statewide to students in Grade 11 to measure performance in ELA and mathematics, with over 37,000 students taking the test.

The Next Generation Science Standards Assessment is administered in Grades 5, 8 and 11.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 16 | 0 | 0 | 16 | 16 | 16 | 16 | 16 |
| Federal Funds | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,033,899 | 1,194,119 | 1,205,614 | 1,205,614 | 1,205,614 | 1,241,938 | 1,250,424 | 1,250,424 |
| Other Expenses | 5,904 | 3,799 | 3,799 | 3,799 | 3,799 | 3,799 | 3,799 | 3,799 |
| Other Current Expenses | | | | | | | | |
| Development of Mastery Exams Grades 4, 6, and 8 | 916,157 | 938,717 | 941,756 | 941,756 | 941,756 | 975,173 | 977,408 | 977,408 |
| Commissioner’s Network | 7,500,000 | 9,838,382 | 9,838,382 | 9,838,382 | 9,838,382 | 9,838,382 | 9,838,382 | 9,838,382 |
| Local Charter Schools | 600,000 | 690,000 | 852,000 | 852,000 | 852,000 | 957,000 | 957,000 | 957,000 |
| Technical High Schools Expenses Other | 25,817 | 26,061 | 26,061 | 26,061 | 26,061 | 26,061 | 0 | 26,061 |
| EdSight | 134,319 | 139,953 | 140,125 | 140,125 | 140,125 | 145,213 | 145,436 | 145,436 |
| Total--Other Current Expenses | 9,176,293 | 11,633,113 | 11,798,324 | 11,798,324 | 11,798,324 | 11,941,829 | 11,918,226 | 11,944,287 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Charter Schools | 118,046,250 | 120,178,750 | 120,690,000 | 120,690,000 | 120,690,000 | 121,072,500 | 121,072,500 | 121,072,500 |
| Health Foods Initiative | 4,151,463 | 4,151,463 | 4,151,463 | 4,151,463 | 4,151,463 | 4,151,463 | 4,151,463 | 4,151,463 |
| Total--Pmts to Other Than Local Govts | 122,197,713 | 124,330,213 | 124,841,463 | 124,841,463 | 124,841,463 | 125,223,963 | 125,223,963 | 125,223,963 |
| Pmts to Local Governments | | | | | | | | |
| Adult Education | 0 | 0 | 828,194 | 828,194 | 828,194 | 940,618 | 940,618 | 940,618 |
| Health and Welfare Services Private Schools Pupils | 3,438,415 | 3,438,415 | 6,524,442 | 6,524,442 | 3,438,415 | 6,629,486 | 6,629,486 | 3,438,415 |
| Education Equalization Grants | 1,859,628,063 | 1,899,378,089 | 1,939,879,809 | 1,932,967,905 | 1,900,931,247 | 1,978,828,371 | 1,965,004,562 | 1,900,931,247 |
| Magnet Schools | 1,416,396 | 1,501,356 | 1,501,356 | 1,501,356 | 1,501,356 | 1,501,356 | 1,501,356 | 1,501,356 |

| | | | | | | | | |
|---------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Pmts to Local Governments | 1,864,482,874 | 1,904,317,860 | 1,948,733,801 | 1,941,821,897 | 1,906,699,212 | 1,987,899,831 | 1,974,076,022 | 1,906,811,636 |
| Total-General Fund | 1,996,896,683 | 2,041,479,104 | 2,086,583,001 | 2,079,671,097 | 2,044,548,412 | 2,126,311,360 | 2,112,472,434 | 2,045,234,109 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 84424 Student Support and Academic Enrichment Program | 38,831 | 38,831 | 38,831 | 38,831 | 38,831 | 38,831 | 38,831 | 38,831 |
| 99125 Other Federal Assistance | 240,210 | 275,107 | 310,709 | 310,709 | 310,709 | 319,995 | 319,995 | 319,995 |
| Total - All Funds | 1,997,175,724 | 2,041,793,042 | 2,086,932,541 | 2,080,020,637 | 2,044,897,952 | 2,126,670,186 | 2,112,831,260 | 2,045,592,935 |

DEDICATED SPECIAL EDUCATION RESOURCES

Statutory Reference

C.G.S. Sections 10-76a through 10-76s, 10-253 and 10-262f through 10-262j.

Statement of Need and Program Objectives:

To ensure that each eligible child with a disability receives a free, appropriate public education. The state provides training and technical assistance to localities, conducts oversight activities to ensure compliance with federal and state requirements and distributes grants to support local special education and support services for students with disabilities.

Program Description

Towns receive reimbursement for special education costs through the Excess Cost grant and through funding from the federal Individuals with Disabilities Education Act. For extraordinary special education costs, towns are paid on a current basis.

For the most current year of which audited data is available, a total of \$2.2 billion (federal, state and local funding combined) was spent on the provision of special education and related services to students with disabilities. This represents approximately 24 percent of the total expenditures for education.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Federal Funds | 16 | 4 | 0 | 20 | 20 | 20 | 20 | 20 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Expenses | 286,925 | 184,617 | 184,617 | 184,617 | 184,617 | 184,617 | 184,617 | 184,617 |

Other Current Expenses

| | | | | | | | | |
|-------------------------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| Development of Mastery Exams Grades 4, 6, and 8 | 566,029 | 579,967 | 579,967 | 579,967 | 579,967 | 579,967 | 579,967 | 579,967 |
| Sheff Settlement | 81 | 92 | 92 | 92 | 92 | 92 | 92 | 92 |
| Parent Trust Fund Program | 60,118 | 66,798 | 66,798 | 66,798 | 66,798 | 66,798 | 66,798 | 66,798 |
| EdSight | 45,645 | 47,560 | 47,560 | 47,560 | 47,560 | 47,560 | 47,560 | 47,560 |
| Total--Other Current Expenses | 671,873 | 694,417 | 694,417 | 694,417 | 694,417 | 694,417 | 694,417 | 694,417 |

Pmts to Local Governments

| | | | | | | | | |
|-----------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Excess Cost - Student Based | 140,619,782 | 140,619,782 | 207,404,471 | 207,404,471 | 207,404,471 | 212,361,438 | 212,361,438 | 212,361,438 |
| Total-General Fund | 141,578,580 | 141,498,816 | 208,283,505 | 208,283,505 | 208,283,505 | 213,240,472 | 213,240,472 | 213,240,472 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 84027 Special Education Grants to States | 137,148,492 | 144,103,706 | 151,354,523 | 151,354,523 | 151,354,523 | 158,970,618 | 158,970,618 | 158,970,618 |

| | | | | | | | | |
|-----------------------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| 84173 Special Education Preschool Grants | 5,184,256 | 5,702,681 | 6,272,951 | 6,272,951 | 6,272,951 | 6,272,949 | 6,272,949 | 6,272,949 |
| 84184 Drug-Free Schools and Communities-National Programs | 568,968 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 284,480,296 | 291,305,203 | 365,910,979 | 365,910,979 | 365,910,979 | 378,484,039 | 378,484,039 | 378,484,039 |

EQUAL EDUCATIONAL OPPORTUNITY

Statutory Reference

C.G.S. Sections 10-215, 10-215a through 10-215c, 10-266w; 10-74c, 10-76t through 10-76x; 10-17a through 10-17j; 10-4 and 10-4a, 10-265f, 10-265g, 10-266p through 10-266u; 10-74d, 10-266aa, 10-266bb, 10-276b; 10-264l; 10-16n; 10-40; 10-66aa; 10-16x; 10-16o through 10-16u; 10-19m through 10-19p; 10-66a through 10-66n; 10-245, 10-245a and 10-215b.

Statement of Need and Program Objectives:

To ensure that the state’s public school instructional program is adequate to enable students to meet expectations as defined by state and national measures. To increase learning capability by providing at least one balanced meal per day to those students who would not otherwise receive adequate daily nutrition. To improve students’ English proficiency and to assist local school districts with the extra costs incurred when serving students who have limited English proficiency. To meet the requirements of the current stipulated agreement under *Sheff v. O’Neill*.

In order to improve the effectiveness of teachers and teaching, the state continually reviews and revises the certification and beginning teacher induction process to ensure that prospective teachers have requisite job-related competencies.

Program Description

Nutrition Programs provide healthy breakfasts, lunches and/or snacks to children in a number of ways. Variable prices allow children from low-income families to purchase reduced-price meals or receive free meals.

The Young Parents Program allows teenage parents to continue their education, including courses on parenting skills, while providing school-based or community-based day care for their children.

The Priority School District (PSD) grant program assists certain districts in improving student achievement and enhancing educational opportunities. The focus is on improving educational programs, including early reading intervention programs. Priority is also given to the development or expansion of extended day kindergarten programs.

Bilingual Education Grants are distributed to local school districts in recognition of the extra costs associated with educating pupils with language barriers. Districts required to offer bilingual education are those with 20 or more pupils in a given school from a single non-English speaking background.

Interdistrict Cooperative Grant Programs provide funding for interdistrict programs that increase student achievement and reduce racial, ethnic and economic isolation while also promoting a greater understanding and appreciation of cultural diversity.

Interdistrict Magnet School Grants are distributed to magnet schools which support racial, ethnic and economic diversity and offer a unique, high-quality curriculum. In 2019-20, 38,955 students were served in Interdistrict Magnet Schools in Connecticut.

The Open Choice program is designed to improve academic achievement and reduce racial, ethnic and economic isolation by allowing urban students to attend public schools in nearby suburban towns. The program also allows suburban and rural students to attend public schools in a nearby urban center. The Open Choice program includes Bridgeport, Hartford, New Haven and its surrounding school districts. In 2019-20, the program served 3,017 students statewide.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 24 | 7 | 0 | 31 | 31 | 31 | 31 | 31 |

| | | | | | | | | |
|---------------|----|---|---|----|----|----|----|----|
| Federal Funds | 18 | 9 | 0 | 26 | 27 | 27 | 27 | 27 |
|---------------|----|---|---|----|----|----|----|----|

| | | | | | | | | |
|-------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|

| | | | | | | | | |
|---------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
|---------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|

| | | | | | | | | |
|-------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services | 3,019,267 | 3,487,157 | 3,502,911 | 3,502,911 | 3,502,911 | 3,611,407 | 3,634,623 | 3,634,623 |
| Other Expenses | 131,968 | 84,912 | 84,912 | 84,912 | 84,912 | 84,912 | 84,912 | 84,912 |

Other Current Expenses

| | | | | | | | | |
|-----------------------------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Development of Mastery Exams Grades 4, 6, and 8 | 144,777 | 148,342 | 148,539 | 148,539 | 148,539 | 154,354 | 154,067 | 154,067 |
| Primary Mental Health | 307,384 | 340,084 | 340,084 | 340,084 | 340,084 | 340,084 | 340,084 | 340,084 |
| Leadership, Education, Athletics in Partnership (LEAP) | 280,990 | 312,211 | 312,211 | 312,211 | 312,211 | 312,211 | 312,211 | 312,211 |
| Neighborhood Youth Centers | 552,479 | 613,866 | 613,866 | 613,866 | 613,866 | 613,866 | 613,866 | 613,866 |
| Sheff Settlement | 8,431,642 | 9,531,997 | 9,532,137 | 9,532,137 | 9,532,137 | 9,536,287 | 9,539,100 | 9,539,100 |
| Parent Trust Fund Program | 180,356 | 200,395 | 200,395 | 200,395 | 200,395 | 200,395 | 200,395 | 200,395 |
| Bridges to Success | 27,000 | 27,000 | 27,000 | 27,000 | 0 | 27,000 | 27,000 | 0 |
| School-Based Diversion Initiative | 870,000 | 900,000 | 900,000 | 900,000 | 900,000 | 900,000 | 900,000 | 900,000 |
| Technical High Schools Other Expenses | 125,000 | 126,181 | 126,181 | 126,181 | 126,181 | 126,181 | 0 | 126,181 |
| Sheff Transportation | 44,750,421 | 45,781,798 | 48,689,037 | 46,594,298 | 51,843,244 | 50,710,659 | 47,406,798 | 52,813,212 |
| Curriculum and Standards | 146,591 | 228,592 | 228,592 | 228,592 | 228,592 | 228,592 | 228,592 | 228,592 |
| Total--Other Current Expenses | 55,816,640 | 58,210,466 | 61,118,042 | 59,023,303 | 64,245,249 | 63,149,629 | 59,722,113 | 65,227,708 |

Pmts to Other Than Local Govts

| | | | | | | | | |
|---------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| American School For The Deaf | 7,932,514 | 8,357,514 | 8,357,514 | 8,357,514 | 8,357,514 | 8,357,514 | 8,357,514 | 8,357,514 |
| Family Resource Centers | 5,764,626 | 5,789,654 | 5,789,654 | 5,789,654 | 5,499,518 | 5,789,654 | 5,789,654 | 5,499,518 |
| Charter Schools | 0 | 0 | 0 | 0 | 2,950,200 | 0 | 0 | 2,959,550 |
| Child Nutrition State Match | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 |
| Total--Pmts to Other Than Local Govts | 16,051,140 | 16,501,168 | 16,501,168 | 16,501,168 | 19,161,232 | 16,501,168 | 16,501,168 | 19,170,582 |

Pmts to Local Governments

| | | | | | | | | |
|-------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Education Equalization Grants | 188,624,000 | 194,209,044 | 192,655,886 | 192,655,886 | 192,655,886 | 192,655,886 | 192,655,886 | 192,655,886 |
| Bilingual Education | 1,879,149 | 1,916,130 | 3,177,112 | 1,916,130 | 1,916,130 | 3,177,112 | 1,916,130 | 1,916,130 |
| Priority School Districts | 30,818,778 | 30,818,778 | 30,818,778 | 30,818,778 | 30,818,778 | 30,818,778 | 30,818,778 | 30,818,778 |
| Interdistrict Cooperation | 1,465,483 | 1,537,500 | 1,537,500 | 1,537,500 | 1,383,750 | 1,537,500 | 1,537,500 | 1,383,750 |
| School Breakfast Program | 2,158,900 | 2,158,900 | 2,158,900 | 2,158,900 | 2,158,900 | 2,158,900 | 2,158,900 | 2,158,900 |
| Excess Cost - Student Based | 0 | 0 | 0 | 0 | -66,784,689 | 0 | 0 | -71,741,656 |
| Open Choice Program | 25,109,179 | 25,982,027 | 27,705,849 | 27,705,849 | 27,980,849 | 29,442,327 | 29,442,327 | 30,342,327 |
| Magnet Schools | 287,298,785 | 293,531,946 | 295,970,634 | 295,970,634 | 290,721,688 | 298,567,685 | 298,567,685 | 293,161,271 |
| After School Program | 5,332,598 | 5,697,276 | 5,697,276 | 5,697,276 | 5,697,276 | 5,697,276 | 5,697,276 | 5,697,276 |
| Extended School Hours | 2,904,475 | 2,919,883 | 2,919,883 | 2,919,883 | 2,919,883 | 2,919,883 | 2,919,883 | 2,919,883 |
| School Accountability | 3,412,207 | 3,412,207 | 3,412,207 | 3,412,207 | 3,412,207 | 3,412,207 | 3,412,207 | 3,412,207 |
| Pmts to Local Governments | 549,003,554 | 562,183,691 | 566,054,025 | 564,793,043 | 492,880,658 | 570,387,554 | 569,126,572 | 492,724,752 |
| Total-General Fund | 624,022,569 | 640,467,394 | 647,261,058 | 643,905,337 | 579,874,962 | 653,734,670 | 649,069,388 | 580,842,577 |

| | | | | | | | | |
|--|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|

Other Funds Available

| | | | | | | | | |
|---------------|--------|--------|--------|--------|--------|--------|--------|--------|
| Private Funds | 18,441 | 17,117 | 17,473 | 17,473 | 17,473 | 18,473 | 18,473 | 18,473 |
|---------------|--------|--------|--------|--------|--------|--------|--------|--------|

| | | | | | | | | |
|--|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|

Federal Contributions

| | | | | | | | | |
|--|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
|--|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|

| | | | | | | | | | |
|-------------------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| 10553 School Breakfast Program | 32,741,604 | 32,741,604 | 32,741,604 | 32,741,604 | 32,741,604 | 32,741,604 | 32,741,604 | 32,741,604 | 32,741,604 |
| 10555 National School Lunch Program | 103,073,481 | 103,073,481 | 103,073,481 | 103,073,481 | 103,073,481 | 103,073,481 | 103,073,481 | 103,073,481 | 103,073,481 |
| 10556 Special Milk Program For Children | 80,137 | 80,137 | 80,137 | 80,137 | 80,137 | 80,137 | 80,137 | 80,137 | 80,137 |
| 10558 Child & Adult Care Food Program | 17,576,593 | 18,371,803 | 19,206,774 | 19,206,774 | 19,206,774 | 20,083,493 | 20,083,493 | 20,083,493 | 20,083,493 |
| 10559 Summer Food Svc Pgm For Children | 5,082,970 | 5,088,099 | 5,094,935 | 5,094,935 | 5,094,935 | 5,102,171 | 5,102,171 | 5,102,171 | 5,102,171 |
| 10560 State Admin Expenses Child Nutrition | 2,520,733 | 2,692,116 | 2,848,759 | 2,848,759 | 2,848,759 | 3,014,555 | 3,014,555 | 3,014,555 | 3,014,555 |
| 10579 Child Nutrition Discretionary Grant | 230,707 | 230,707 | 230,707 | 230,707 | 230,707 | 230,707 | 230,707 | 230,707 | 230,707 |
| 10580 Food Stamp Pgm Rsrch Grt Impv Access | 8,136 | 8,136 | 8,136 | 8,136 | 8,136 | 8,136 | 8,136 | 8,136 | 8,136 |
| 10582 Fresh Fruit and Vegetable Program | 2,352,565 | 2,473,370 | 2,598,074 | 2,598,074 | 2,598,074 | 2,729,076 | 2,729,076 | 2,729,076 | 2,729,076 |
| 12620 Troops to Teachers Program | 91,080 | 122,189 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84010 Title I Grants to Local Educational Agencies | 117,308,408 | 129,039,249 | 141,943,173 | 141,943,173 | 141,943,173 | 141,943,173 | 141,943,173 | 141,943,173 | 141,943,173 |
| 84013 Title I Pgm Neglected / Delinquent Children | 556,522 | 612,175 | 673,391 | 673,391 | 673,391 | 673,391 | 673,391 | 673,391 | 673,391 |
| 84196 Education For Homeless Children & Youth | 645,941 | 660,675 | 666,453 | 666,453 | 666,453 | 672,521 | 672,521 | 672,521 | 672,521 |
| 84365 English Language Acquisition Grants | 6,052,140 | 6,363,620 | 6,681,802 | 6,681,802 | 6,681,802 | 6,999,977 | 6,999,977 | 6,999,977 | 6,999,977 |
| 84377 School Improvement Grants | 1,624,657 | 1,624,657 | 1,624,657 | 1,624,657 | 1,624,657 | 1,624,657 | 1,624,657 | 1,624,657 | 1,624,657 |
| 84424 Student Support and Academic Enrichment Program | 6,984,680 | 6,984,680 | 6,984,680 | 6,984,680 | 6,984,680 | 6,984,680 | 6,984,680 | 6,984,680 | 6,984,680 |
| 93500 Pregnancy Assistance Fund Program | -656 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 96009 Social Security State Grants For Work | 136,478 | 137,161 | 145,390 | 145,390 | 145,390 | 154,114 | 154,114 | 154,114 | 154,114 |
| Total - All Funds | 921,107,186 | 950,788,370 | 971,880,684 | 968,524,963 | 904,494,588 | 979,869,016 | 975,203,734 | 906,976,923 | |

COLLEGE AND CAREER READINESS

Statutory Reference

C. G. S. Sections 10-5, 10-67 through 10-73c, 10-64 through 10-66; Sections 10-20a through 10-20f.

Statement of Need and Program Objectives:

To ensure that every student graduates from high school with the educational preparation and 21st century skills necessary for success in college, career and civic life. To provide a continuum of secondary school activities developed under Career and Technical Education and documented in the Student Success Plan (SSP) that places all students on a trajectory leading to post-secondary education and careers. To provide leadership in the development of these activities, implemented in the context of a personal learning plan, which may also lead to the attainment of the Connecticut Career Certificate (CCC); these activities include: rigorous academic courses, guided career development and structured work-based learning aligned to the 21st century global workplace. To improve the literacy levels of the adult population by ensuring accessibility to high-quality adult education programs and services including secondary school completion options, family literacy, citizenship instruction, English as a Second Language, workplace readiness and integrated basic education and skills training (I-BEST). To increase the number of Connecticut adults who have attained the skills to be college and

career ready and to promote economic self-sufficiency and a productive citizenry.

Program Description

The School to Career Program, now aligned under Connecticut's Career and Technical Education/ Career Pathways Program, gives students the academic, technical and employability skills needed for success in higher education and the workplace. It allows all students to explore a range of post secondary education and career options, and to acquire specific knowledge and experience in one (or more) of the sixteen nationally-recognized career clusters.

Career and Technical Education Programs offered by local and regional boards of education and the community colleges under the Carl D. Perkins Career and Technical Education Improvement Act, which was reauthorized in 2018, prepare students with the college and career readiness skills required for success in post-secondary education and careers. Academic attainment, technical skill attainment, graduation rate, and placement in employment, postsecondary education and the military on students who participate in career and technical education programs are annual federal reporting requirements.

The State Agricultural Science and Technology Education Operating Cost Grants assist local or regional school districts operating an

agricultural science center through reimbursements of about \$4,200 per agriculture student.

Local School District Adult Education classes and activities, supported by state, local and federal funds, provide learning opportunities in citizenship, English for the limited English proficient and elementary and secondary school program completion options. State grants, based on relative town wealth, range from 0 to 65 percent of annual expenditures. In FY 2019-20, we served 16,600 individuals statewide who were enrolled in 41,107 mandated adult education classes.

High School Diplomas are awarded to those adults who demonstrate their mastery in a variety of subject areas on the General Educational

Development (GED) examination to a level considered equivalent to that of a high school graduate. High school diplomas are also awarded to adults who complete a high school credit diploma program or a national external diploma program. Annually, individuals are awarded State High School Diplomas for passing the General Educational Development (GED) Tests. Local adult education diplomas were awarded to 652 individuals for completing the Adult High School Credit Diploma program and to 128 individuals for completing the National External Diploma Program.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 3 | 2 | 0 | 5 | 5 | 5 | 5 | 6 |
| Federal Funds | 5 | 3 | 0 | 8 | 8 | 8 | 8 | 8 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 120,939 | 139,680 | 141,753 | 141,986 | 141,986 | 146,693 | 147,418 | 237,418 |
| Other Expenses | 393 | 253 | 253 | 253 | 253 | 253 | 253 | 253 |
| Other Current Expenses | | | | | | | | |
| Admin - Adult Basic Education | 174,741 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Adult Education Action | 129,510 | 194,534 | 194,534 | 194,534 | 194,534 | 194,534 | 194,534 | 194,534 |
| Technical High Schools Other Expenses | 18,391 | 18,565 | 18,565 | 18,565 | 18,565 | 18,565 | 0 | 18,565 |
| Total--Other Current Expenses | 322,642 | 213,099 | 213,099 | 213,099 | 213,099 | 213,099 | 194,534 | 213,099 |
| Pmts to Local Governments | | | | | | | | |
| Vocational Agriculture | 14,952,000 | 15,124,200 | 15,124,200 | 15,124,200 | 15,124,200 | 15,124,200 | 15,124,200 | 15,124,200 |
| Adult Education | 19,366,026 | 20,383,960 | 20,386,111 | 20,385,878 | 19,557,684 | 20,393,148 | 20,392,630 | 19,452,012 |
| Pmts to Local Governments | 34,318,026 | 35,508,160 | 35,510,311 | 35,510,078 | 34,681,884 | 35,517,348 | 35,516,830 | 34,576,212 |
| Total-General Fund | 34,762,000 | 35,861,192 | 35,865,416 | 35,865,416 | 35,037,222 | 35,877,393 | 35,859,035 | 35,026,982 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 18,000 | 18,000 | 18,000 | 18,000 | 18,000 | 18,000 | 18,000 | 18,000 |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 84002 Adult Education State Grant Program | 5,379,358 | 5,917,294 | 6,509,023 | 6,509,023 | 6,509,023 | 6,509,023 | 6,509,023 | 6,509,023 |
| 84048 Vocational Education Basic Grants to States | 9,595,493 | 10,096,362 | 10,609,418 | 10,609,418 | 10,609,418 | 11,148,620 | 11,148,620 | 11,148,620 |
| Total - All Funds | 49,754,851 | 51,892,848 | 53,001,857 | 53,001,857 | 52,173,663 | 53,553,036 | 53,534,678 | 52,702,625 |

CONNECTICUT TECHNICAL EDUCATION AND CAREER SYSTEM

Statutory Reference

C. G. S. Sections 10-15d, and 10-95 through 10-99.

Statement of Need and Program Objectives:

The Connecticut Technical Education and Career System (CTECS) is committed to providing quality and challenging academic and technical programs. Its mission is to ensure that students are successful in the workplace, take advantage of post-secondary educational opportunities, and secure advanced apprenticeship training that prepare them for the 21st century workplace. CTECS has developed a challenging program of study for each of the 30 career technical education programs (secondary and adult). These areas include: construction, manufacturing, electronics, information technology, culinary arts, health tech, and other service areas. Each program of study meets or exceeds state-mandated graduation requirements and prepares students to demonstrate the knowledge and skills that they require as reflected in Connecticut's Common Core

of Learning. Sections 273-284 of PA 19-117 call for the CTECS to be a separate budgeted agency effective July 1, 2022.

Program Description

The 18 schools serve over 11,300 high school students of which over 57 percent are racially diverse and 39 percent are female. The high school experience includes extracurricular activities, such as National Honor Society, SkillsUSA, male and female varsity sports, and numerous clubs and community service organizations. 46 percent of CTECS' 2019 graduates went on to further their education, 36 percent were either employed or in the military, and an additional 5 percent were both employed and continuing their education. Additionally, the system serves over 200 adult students in postsecondary programs at Bristol Technical Education Center and two aviation maintenance schools. In 2019-20, there were also over 1,952 fall adult registrations for evening apprenticeship programs and 765 extension students.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,413 | 109 | 0 | 1,522 | 1,553 | 1,522 | 1,553 | 1,522 |
| Federal Funds | 30 | 6 | 0 | 36 | 36 | 36 | 36 | 36 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Expenses | 18,340 | 11,800 | 11,800 | 11,800 | 11,800 | 11,800 | 11,800 | 11,800 |
| Other Current Expenses | | | | | | | | |
| Development of Mastery Exams Grades 4, 6, and 8 | 8,426,259 | 8,633,752 | 8,633,752 | 8,633,752 | 8,633,752 | 8,633,752 | 8,633,752 | 8,633,752 |
| Sheff Settlement | 127,909 | 144,602 | 144,754 | 144,754 | 144,754 | 147,417 | 148,471 | 148,471 |
| Regional Vocational-Technical School System | 125,831,999 | 134,568,834 | 138,886,325 | 137,449,894 | 135,031,894 | 143,494,744 | 0 | 140,099,898 |
| Technical High Schools Other Expenses | 22,282,998 | 22,493,492 | 22,493,492 | 22,493,492 | 22,493,492 | 22,493,492 | 0 | 22,493,492 |
| Other Current Expenses | 156,669,165 | 165,840,680 | 170,158,323 | 168,721,892 | 166,303,892 | 174,769,405 | 8,782,223 | 171,375,613 |
| Total-General Fund | 156,687,505 | 165,852,480 | 170,170,123 | 168,733,692 | 166,315,692 | 174,781,205 | 8,794,023 | 171,387,413 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 6,188,672 | 6,433,197 | 6,496,987 | 6,496,987 | 6,496,987 | 6,650,680 | 6,650,680 | 6,650,680 |
| Special Non-Appropriated Funds | 1,332,711 | 1,332,711 | 1,332,711 | 1,332,711 | 1,332,711 | 1,332,711 | 1,332,711 | 1,332,711 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10555 National School Lunch Program | 2,426,384 | 2,790,341 | 2,888,003 | 2,888,003 | 2,888,003 | 2,989,083 | 2,989,083 | 2,989,083 |
| 10579 Child Nutrition Discretionary Grant | 15,000 | 20,000 | 25,000 | 25,000 | 25,000 | 35,000 | 35,000 | 35,000 |
| 84010 Title I Grants to Local Educational Agencies | 2,616,909 | 2,721,077 | 2,854,644 | 2,854,644 | 2,854,644 | 3,018,544 | 3,018,544 | 3,018,544 |

| | | | | | | | | |
|--------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|-------------------|--------------------|
| 84027 Special Education Grants to States | 2,971,140 | 3,018,678 | 3,077,542 | 3,077,542 | 3,077,542 | 3,110,134 | 3,110,134 | 3,110,134 |
| 84048 Vocational Education Basic Grants to States | 301,266 | 312,684 | 320,907 | 320,907 | 320,907 | 327,775 | 327,775 | 327,775 |
| 84063 Federal Pell Grant Program | 147,060 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| 84287 Twenty-First Century Community Learning | -44 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84365 English Language Acquisition Grants | 60,174 | 62,581 | 64,459 | 64,459 | 64,459 | 65,748 | 65,748 | 65,748 |
| 84367 Improving Teacher Quality State Grants | 646,745 | 672,615 | 692,793 | 692,793 | 692,793 | 706,649 | 706,649 | 706,649 |
| 84369 Grants For State Assessments & Related Activities | 4,718,507 | 5,058,180 | 5,326,004 | 5,326,004 | 5,326,004 | 5,608,114 | 5,608,114 | 5,608,114 |
| 84424 Student Support and Academic Enrichment Program | 52,243 | 198,957 | 198,834 | 198,834 | 198,834 | 198,711 | 198,711 | 198,711 |
| 93243 SUBSTANCE ABUSE/MENTAL HEALTH SRVS PROJECTS REGIONL/NATIONAL | 648,200 | 648,200 | 651,808 | 651,808 | 651,808 | 655,597 | 655,597 | 655,597 |
| Total - All Funds | 178,812,472 | 189,271,701 | 194,249,815 | 192,813,384 | 190,395,384 | 199,629,951 | 33,642,769 | 196,236,159 |

TALENT OFFICE

Statutory Reference

C. G. S. 10-220a; 10-145; 10-148a; 10-148b; 10-151b; 10-151d.

Statement of Need and Program Objectives:

The Talent Office's mission is: To develop and deploy talent management and human capital development strategies to districts and schools state-wide so that the most effective educators are in each and every school and classroom, and all students are prepared for college, career, and life.

Program Description

The Talent Office is composed of two bureaus- the Bureau of Educator Standards and Certification and the Bureau of Educator Effectiveness. The Talent Office is committed to removing barriers and building bridges to attract and retain top talent to serve in Connecticut schools and districts. To this end, the Bureau of Educator Standards and Certification is focused on the following initiatives: simplification and streamlining the certification process for prospective in-state and out-of-state candidates while ensuring that prospective educators are learner ready and school leaders are school ready on day one of entering a classroom or school; on-going collaboration with Connecticut's 16 educator preparation programs (EPPs) and nine alternate routes to certification, including four cross-endorsement programs; following a multi-year phase in, full implementation of edTPA, the state's new pre-service performance-based assessment completed during the student teaching phase of educator preparation.

The Bureau of Educator Effectiveness supports and assists districts and schools to ensure that every student has access to excellent educators with an emphasis on recruitment to the profession and in-service supports, including induction, mentoring, educator evaluation, and leadership development; all based on a the Connecticut Common Core of Teaching (CCT), which defines "effective teaching" in Connecticut, and the standards for high-quality professional learning. Current initiatives within the Bureau of Educator Effectiveness include: working with districts and schools to develop and implement innovative strategies to increase the racial, ethnic and linguistic diversity of Connecticut's educator workforce and to increase the number of educators certified to teach in shortage areas; oversight of the Teacher Education and Mentoring (TEAM) Program, which requires beginning teachers to be mentored for a two-year period while they successfully complete two to five professional growth modules in classroom management, planning, instruction, assessment of learning, and professional responsibility; and development and delivery of training and resources to districts and their Professional Development and Evaluation Committees (PDECs) to help them to develop, evaluate, and update high-quality systems for professional learning and continuously improve their CSDE-approved Educator Evaluation and Support plans, with a focus on feedback that drives improved educator performance and practice and student outcomes.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 12 | 1 | 0 | 13 | 13 | 13 | 13 | 13 |
| Federal Funds | 3 | 2 | 0 | 5 | 5 | 5 | 5 | 5 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 988,138 | 1,141,268 | 1,153,176 | 1,153,176 | 1,153,176 | 1,192,750 | 1,197,122 | 1,197,122 |
| Other Expenses | 15,363 | 9,885 | 9,885 | 9,885 | 9,885 | 9,885 | 9,885 | 9,885 |
| Other Current Expenses | | | | | | | | |
| Admin - Adult Basic Education | 736,644 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Talent Development | 1,829,001 | 2,155,699 | 2,159,942 | 2,159,942 | 2,159,942 | 2,175,280 | 2,177,286 | 2,177,286 |
| Technical High Schools Other Expenses | 38 | 38 | 38 | 38 | 38 | 38 | 0 | 38 |
| Total--Other Current Expenses | 2,565,683 | 2,155,737 | 2,159,980 | 2,159,980 | 2,159,980 | 2,175,318 | 2,177,286 | 2,177,324 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Regional Education Services | 1,900 | 2,146 | 2,146 | 2,146 | 2,146 | 2,146 | 2,146 | 2,146 |
| Pmts to Local Governments | | | | | | | | |
| After School Program | 50,000 | 53,419 | 53,419 | 53,419 | 53,419 | 53,419 | 53,419 | 53,419 |
| Total-General Fund | 3,621,084 | 3,362,455 | 3,378,606 | 3,378,606 | 3,378,606 | 3,433,518 | 3,439,858 | 3,439,896 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 84287 Twenty-First Century Community Learning | 8,010,886 | 8,478,879 | 8,488,751 | 8,488,751 | 8,488,751 | 8,499,117 | 8,499,117 | 8,499,117 |
| 84325 Special Education - Personnel Development to Improve Service | 12,987 | 12,987 | 12,987 | 12,987 | 12,987 | 12,987 | 12,987 | 12,987 |
| 84366 Mathematics & Science Partnerships | -20,692 | 20,692 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84367 Improving Teacher Quality State Grants | 16,759,319 | 18,282,285 | 18,322,908 | 18,322,908 | 18,322,908 | 18,365,562 | 18,365,562 | 18,365,562 |
| Total - All Funds | 28,383,584 | 30,157,298 | 30,203,252 | 30,203,252 | 30,203,252 | 30,311,184 | 30,317,524 | 30,317,562 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 14,369,058 | 16,395,803 | 16,690,199 | 15,492,007 | 17,274,274 | 16,177,845 |
| Salaries & Wages-Temporary | 12,176 | 14,063 | 14,317 | 14,317 | 14,317 | 14,868 |
| Salaries & Wages-Part Time | 438,001 | 505,877 | 515,014 | 515,014 | 515,014 | 534,822 |
| Longevity Payments | 92,531 | 106,870 | 106,870 | 106,870 | 106,870 | 106,870 |
| Overtime | 7,198 | 8,313 | 8,463 | 8,463 | 8,463 | 8,463 |
| Accumulated Leave | 250,576 | 289,407 | 1,065,026 | 289,407 | 1,065,026 | 289,407 |
| Other Salaries & Wages | 12,000 | 13,859 | 13,859 | -153,487 | 13,859 | -153,487 |
| Other | 333 | 385 | 385 | -552,039 | 385 | -569,561 |
| TOTAL - Personal Services-Personal Services | 15,181,873 | 17,334,577 | 18,414,133 | 15,720,552 | 18,998,208 | 16,409,227 |
| Other Expenses | | | | | | |
| Capital Outlays | 336,820 | 216,721 | 216,721 | 216,721 | 216,721 | 216,721 |
| Communications | 8,987 | 5,783 | 5,783 | 5,783 | 5,783 | 5,783 |
| Employee Benefits | 288 | 185 | 185 | 185 | 185 | 185 |
| Employee Expenses | 6,203 | 3,991 | 3,991 | 3,991 | 3,991 | 3,991 |

| | | | | | | |
|----------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Employee Travel | 30,995 | 19,943 | 19,943 | 19,943 | 19,943 | 19,943 |
| Equipment Rental and Maintenance | 113,963 | 73,328 | 73,328 | 73,328 | 73,328 | 73,328 |
| Food And Beverages | 779 | 501 | 501 | 501 | 501 | 501 |
| Information Technology | 491,076 | 315,974 | 315,974 | 315,974 | 315,974 | 315,974 |
| Motor Vehicle Costs | 23,527 | 15,138 | 15,138 | 15,138 | 15,138 | 15,138 |
| Other / Fixed Charges | 22,975 | 14,782 | 14,782 | 14,782 | 14,782 | 14,782 |
| Other Services | 418,783 | 269,459 | 269,459 | 204,538 | 269,459 | 204,538 |
| Premises Expenses | 43 | 28 | 28 | 28 | 28 | 28 |
| Professional Services | 3,203,445 | 2,061,203 | 2,061,203 | 2,061,203 | 2,061,203 | 2,061,203 |
| Purchased Commodities | 59,595 | 38,345 | 38,345 | 38,345 | 38,345 | 38,345 |
| TOTAL-Other Expenses | 4,717,479 | 3,035,381 | 3,035,381 | 2,970,460 | 3,035,381 | 2,970,460 |

Other Current Expenses

| | | | | | | |
|--------------------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Admin - Adult Basic Education | 911,385 | 0 | 0 | 0 | 0 | 0 |
| Development of Mastery Exams Grades 4, 6, and 8 | 10,238,222 | 10,490,334 | 10,493,570 | 10,493,570 | 10,532,802 | 10,534,750 |
| Primary Mental Health | 312,088 | 345,288 | 345,288 | 345,288 | 345,288 | 345,288 |
| Leadership, Education, Athletics in Partnership (LEAP) | 280,990 | 312,211 | 312,211 | 312,211 | 312,211 | 312,211 |
| Adult Education Action | 129,510 | 194,534 | 194,534 | 194,534 | 194,534 | 194,534 |
| Connecticut Writing Project | 0 | 20,250 | 20,250 | 20,250 | 20,250 | 20,250 |
| Neighborhood Youth Centers | 552,479 | 613,866 | 613,866 | 613,866 | 613,866 | 613,866 |
| Sheff Settlement | 9,091,115 | 10,277,534 | 10,281,618 | 10,281,618 | 10,297,012 | 10,299,710 |
| Admin - After School Program | 114,414 | 0 | 0 | 0 | 0 | 0 |
| Parent Trust Fund Program | 240,474 | 267,193 | 267,193 | 267,193 | 267,193 | 267,193 |
| Regional Vocational-Technical School System | 131,283,312 | 140,398,647 | 144,755,845 | 140,901,414 | 149,594,725 | 146,188,621 |
| Commissioner's Network | 7,630,369 | 10,009,398 | 10,009,398 | 10,009,398 | 10,009,398 | 10,009,398 |
| Local Charter Schools | 600,000 | 690,000 | 852,000 | 852,000 | 957,000 | 957,000 |
| Bridges to Success | 27,000 | 27,000 | 27,000 | 0 | 27,000 | 0 |
| Talent Development | 1,853,001 | 2,183,986 | 2,188,229 | 2,188,229 | 2,203,567 | 2,205,573 |
| School-Based Diversion Initiative | 870,000 | 900,000 | 900,000 | 900,000 | 900,000 | 900,000 |
| Technical High Schools Other Expenses | 22,456,444 | 22,668,577 | 22,668,577 | 22,668,577 | 22,668,577 | 22,668,577 |
| EdSight | 1,055,980 | 1,100,273 | 1,100,445 | 1,100,445 | 1,105,533 | 1,105,756 |
| Sheff Transportation | 44,750,421 | 45,781,798 | 48,689,037 | 51,843,244 | 50,710,659 | 52,813,212 |
| Curriculum and Standards | 1,420,929 | 2,215,782 | 2,215,782 | 2,215,782 | 2,215,782 | 2,215,782 |
| TOTAL-Other Current Expenses | 233,818,133 | 248,496,671 | 255,934,843 | 255,207,619 | 262,975,397 | 261,651,721 |

Pmts to Other Than Local Govts

| | | | | | | |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| American School For The Deaf | 7,932,514 | 8,357,514 | 8,357,514 | 8,357,514 | 8,357,514 | 8,357,514 |
| Regional Education Services | 232,377 | 262,500 | 262,500 | 262,500 | 262,500 | 262,500 |
| Family Resource Centers | 5,777,626 | 5,802,710 | 5,802,710 | 5,512,574 | 5,802,710 | 5,512,574 |
| Charter Schools | 118,046,250 | 120,178,750 | 120,690,000 | 123,640,200 | 121,072,500 | 124,032,050 |
| Child Nutrition State Match | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 |
| Health Foods Initiative | 4,151,463 | 4,151,463 | 4,151,463 | 4,151,463 | 4,151,463 | 4,151,463 |
| TOTAL-Pmts to Other Than Local Govts | 138,494,230 | 141,106,937 | 141,618,187 | 144,278,251 | 142,000,687 | 144,670,101 |

Pmts to Local Governments

| | | | | | | |
|------------------------|------------|------------|------------|------------|------------|------------|
| Vocational Agriculture | 14,952,000 | 15,124,200 | 15,124,200 | 15,124,200 | 15,124,200 | 15,124,200 |
| Adult Education | 19,366,026 | 20,383,960 | 21,214,305 | 20,385,878 | 21,333,766 | 20,392,630 |

| | | | | | | |
|----------------------------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Health and Welfare Services Pupils Private Schools | 3,438,415 | 3,438,415 | 6,524,442 | 3,438,415 | 6,629,486 | 3,438,415 |
| Education Equalization Grants | 2,048,252,063 | 2,093,587,133 | 2,132,535,695 | 2,093,587,133 | 2,171,484,257 | 2,093,587,133 |
| Bilingual Education | 1,879,149 | 1,916,130 | 3,177,112 | 1,916,130 | 3,177,112 | 1,916,130 |
| Priority School Districts | 30,818,778 | 30,818,778 | 30,818,778 | 30,818,778 | 30,818,778 | 30,818,778 |
| Interdistrict Cooperation | 1,465,483 | 1,537,500 | 1,537,500 | 1,383,750 | 1,537,500 | 1,383,750 |
| School Breakfast Program | 2,158,900 | 2,158,900 | 2,158,900 | 2,158,900 | 2,158,900 | 2,158,900 |
| Excess Cost - Student Based | 140,619,782 | 140,619,782 | 207,404,471 | 140,619,782 | 212,361,438 | 140,619,782 |
| Open Choice Program | 25,109,179 | 25,982,027 | 27,705,849 | 27,980,849 | 29,442,327 | 30,342,327 |
| Magnet Schools | 288,715,181 | 295,033,302 | 297,471,990 | 292,223,044 | 300,069,041 | 294,662,627 |
| After School Program | 5,382,598 | 5,750,695 | 5,750,695 | 5,750,695 | 5,750,695 | 5,750,695 |
| Extended School Hours | 2,904,475 | 2,919,883 | 2,919,883 | 2,919,883 | 2,919,883 | 2,919,883 |
| School Accountability | 3,412,207 | 3,412,207 | 3,412,207 | 3,412,207 | 3,412,207 | 3,412,207 |
| TOTAL-Pmts to Local Governments | 2,588,474,236 | 2,642,682,912 | 2,757,756,027 | 2,641,719,644 | 2,806,219,590 | 2,646,527,457 |
| Personal Services | 15,181,873 | 17,334,577 | 18,414,133 | 15,720,552 | 18,998,208 | 16,409,227 |
| Other Expenses | 4,717,479 | 3,035,381 | 3,035,381 | 2,970,460 | 3,035,381 | 2,970,460 |
| Other Current Expenses | 233,818,133 | 248,496,671 | 255,934,843 | 255,207,619 | 262,975,397 | 261,651,721 |
| Pmts to Other Than Local Govts | 138,494,230 | 141,106,937 | 141,618,187 | 144,278,251 | 142,000,687 | 144,670,101 |
| Pmts to Local Governments | 2,588,474,236 | 2,642,682,912 | 2,757,756,027 | 2,641,719,644 | 2,806,219,590 | 2,646,527,457 |
| TOTAL-General Fund | 2,980,685,951 | 3,052,656,478 | 3,176,758,571 | 3,059,896,526 | 3,233,229,263 | 3,072,228,966 |

CONNECTICUT TECHNICAL EDUCATION AND CAREER SYSTEM

AGENCY DESCRIPTION

To operate the Connecticut Technical Education and Career System (CTECS), the state's largest secondary school system serving over 11,300 high school students and 200 adult learners. The CTECS is overseen by an 11-member board that includes members from education and industry, as well as the commissioners from the

Department of Labor and the Department of Economic and Community Development. Sections 273-284 of Public Act 19-117 call for the CTECS to be a separate budgeted agency effective July 1, 2022.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2022 | FY 2023 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------|
| • Establish CTECs as an Independent Agency in FY 2023 | 0 | 171,368,198 |
| • Provide CTECs with Staff Necessary to Function as an Independent Agency <i>Provides funding for the additional 22 positions CTECs would need to function as an independent agency.</i> | 0 | 1,727,041 |

Reductions

| | FY 2022 | FY 2023 |
|------------------------------------------------------------------------|---------|------------|
| • Defer Staff Necessary for CTECs to Function as an Independent Agency | 0 | -1,727,041 |

Reallocations

| | FY 2022 | FY 2023 |
|-----------------------------------------------------------------------|---------|--------------|
| • Defer Establishment of CTECs as an Independent Agency Until FY 2024 | 0 | -171,368,198 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|---------|-----------|-----------|----------|-------------|-----------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| CT Tech High School System | 0 | 0 | 0 | 0 | 0 | 0 | 173,095,239 | 0 |
| TOTAL Agency Programs | 0 | 0 | 0 | 0 | 0 | 0 | 173,095,239 | 0 |
| Summary of Funding | | | | | | | | |
| General Fund | 0 | 0 | 0 | 0 | 0 | 0 | 173,095,239 | 0 |
| Total Agency Programs | 0 | 0 | 0 | 0 | 0 | 0 | 173,095,239 | 0 |

CONNECTICUT TECHNICAL EDUCATION AND CAREER SYSTEM

Statutory Reference

C. G. S. Sections 10-15d, and 10-95 through 10-99.

Statement of Need and Program Objectives:

The Connecticut Technical Education and Career System (CTECS) is committed to providing quality and challenging academic and technical programs. Its mission is to ensure that students are successful in the workplace, take advantage of post-secondary educational opportunities, and secure advanced apprenticeship training that prepare them for the 21st century workplace. CTECS has developed a challenging program of study for each of the 30 career technical education programs (secondary and adult). These areas include: construction, manufacturing, electronics, information technology, culinary arts, health tech, and other service areas. Each program of study meets or exceeds state-mandated graduation

requirements and prepares students to demonstrate the knowledge and skills that they require as reflected in Connecticut's Common Core of Learning.

Program Description

The 18 schools serve over 11,300 high school students of which over 57 percent are racially diverse and 39 percent are female. The high school experience includes extracurricular activities, such as National Honor Society, SkillsUSA, male and female varsity sports, and numerous clubs and community service organizations. 46 percent of CTECS' 2019 graduates went on to further their education, 36 percent were either employed or in the military, and an additional 5 percent were both employed and continuing their education. Additionally, the system serves over 200 adult students in postsecondary programs at Bristol Technical Education Center and two aviation maintenance

schools. In 2019-20, there were also over 1,952 fall adult registrations for evening apprenticeship programs and 765 extension students.

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|---------|-----------|-----------|----------|-------------|-----------|-------------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 0 | 0 | 0 | 0 | 0 | 0 | 150,426,662 | 0 |
| Other Expenses | 0 | 0 | 0 | 0 | 0 | 0 | 22,668,577 | 0 |
| Total-General Fund | 0 | 0 | 0 | 0 | 0 | 0 | 173,095,239 | 0 |
| Total - All Funds | 0 | 0 | 0 | 0 | 0 | 0 | 173,095,239 | 0 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 0 | 0 | 0 | 0 | 0 | 0 |
| Salaries & Wages-Temporary | 0 | 0 | 0 | 0 | 0 | 0 |
| Salaries & Wages-Part Time | 0 | 0 | 0 | 0 | 0 | 0 |
| Longevity Payments | 0 | 0 | 0 | 0 | 0 | 0 |
| Overtime | 0 | 0 | 0 | 0 | 0 | 0 |
| Accumulated Leave | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Salaries & Wages | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 0 | 0 | 0 | 0 | 0 | 0 |
| <i>Other Expenses</i> | | | | | | |
| Other Services | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-Other Expenses | 0 | 0 | 0 | 0 | 0 | 0 |
| Personal Services | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Expenses | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-General Fund | 0 | 0 | 0 | 0 | 0 | 0 |

OFFICE OF EARLY CHILDHOOD

AGENCY DESCRIPTION

The OEC is the lead agency for early childhood, including early care and education, workforce development, program quality and improvement, child care and youth camp licensing, early intervention, and home visiting.

The OEC consolidates the funding streams, programs, and personnel of numerous early childhood services formerly dispersed across the departments of Education, Public Health, Social Services, Developmental Services and the Connecticut State Colleges and Universities in order to coordinate and improve the delivery of early childhood services for Connecticut children and provision of supports to their families to build economic security.

The OEC works to:

- Protect the health and safety of children,
- Provide family support to families with young children,
- Deliver voluntary home visiting services,
- Provide access to early care and education services,
- Share critical information with families about the importance of healthy child development,
- Promote quality improvement, and
- Ensure a 2Generation approach to support the whole family.

RECOMMENDED ADJUSTMENTS

| | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------------------------|-----------|-----------|
| Baseline Adjustments | | |
| • Reflect Annualization of Minimum Wage Increase for Employees of Private Providers | 1,869,731 | 4,599,255 |
| • Restore Early Head Start-Child Care Partnership Funding to Reflect Continued Federal Funding | 1,400,000 | 1,400,000 |
| • Adjust Case Load in Birth to Three to Reflect Return to Historical Levels | 0 | 1,000,000 |
| • Reduce Head Start Services Supplemental Grant to Reflect Declines in Preschool Enrollment | -476,876 | -476,876 |
| • Reflect Impact of 27th Payroll during FY 2023 | 0 | 351,028 |
| • Provide Funding for State Employee Wage Adjustments | 97,302 | 97,302 |
| • Reflect Savings from Closure of a Classroom in Smart Start | -75,000 | -75,000 |
| Reductions | | |
| • Reduce Contractual Costs related to Childcare Quality Enhancement to Achieve Savings | -900,503 | -900,503 |
| • Annualize FY 2021 Rescissions | -91,861 | -91,861 |
| • Reduce Other Expenses Funding to Achieve Savings | -22,757 | -22,757 |
| Reallocations | | |
| • Reallocate Funding from DSS for Care 4 Kids Accounting Function | 70,930 | 73,658 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 98 | 20 | 0 | 118 | 118 | 119 | 118 | 119 |
| Federal Funds | 9 | 7 | 1 | 17 | 19 | 19 | 21 | 21 |
| Agency Program by Total Funds | | | | | | | | |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Early Childhood Education Program | 383,630,345 | 391,972,782 | 376,132,432 | 376,424,156 | 375,479,965 | 379,384,570 | 380,150,147 | 379,208,684 |
| TOTAL Agency Programs | 383,630,345 | 391,972,782 | 376,132,432 | 376,424,156 | 375,479,965 | 379,384,570 | 380,150,147 | 379,208,684 |

Summary of Funding

| | | | | | | | | |
|-----------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 258,063,011 | 242,829,804 | 252,016,925 | 252,308,649 | 251,364,458 | 255,623,624 | 256,389,201 | 255,447,738 |
| Federal Funds | 125,225,431 | 148,193,087 | 123,527,507 | 123,527,507 | 123,527,507 | 123,172,946 | 123,172,946 | 123,172,946 |
| Private Funds | 341,903 | 949,891 | 588,000 | 588,000 | 588,000 | 588,000 | 588,000 | 588,000 |
| Total Agency Programs | 383,630,345 | 391,972,782 | 376,132,432 | 376,424,156 | 375,479,965 | 379,384,570 | 380,150,147 | 379,208,684 |

EARLY CHILDHOOD

Statutory Reference

C.G.S. Sections 8-210, 10-16n, 10-16o, 10-16p, 10-16q, 10-16r, 10-16t, 10-16u, 10-16w, 10-16z, 10-16aa, 10-183b, 10-265n, 10-500, 10-500a, 1-501, 10-502, 10-503, 10-504, 10-505, 10-506, 10-507, 10-508, 10-515, 10-516, 19-520, 10-520a, 10-520b, 10-530, 10a-17a-22cc, 17a-22dd, 17a-22gg, 17a-248, 17a-248a, 17a-248b, 17a-248c, 17a-248d, 17a-248e, 17a-248f, 17a-248g, 17a-248h, 17a-248i, 17b-12, 17b-705, 17b-705a, 17b-705b, 17b-730, 17b-733, 17b-737, 17b-738, 17b-739, 17b-749, 17b-749a, 17b-749c, 17b-749d, 17b-749e, 17b-749f, 17b-749g, 17b-749h, 17b-749i, 17b-749j, 17b-749k, 17b-750, 17b-751b, 17b-751d, 19a-77, 19a-79, 19a-79a, 19a-80, 10a-80e, 19a-80f, 19a-80g, 19a-81, 19a-82, 19a-84, 19a-85, 19a-86, 19a-87, 19a-87a, 19a-87b, 19a-87c, 19a-87d, 19a-87e, 19a-87f, 19a-87g, 19a-420, 19a-421, 19a-422, 19a-423, 19a-424, 19a-425, 19a-426, 19a-427, 19a-428, 19a-429, 38a-490a, 38a-516a

Statement of Need and Program Objectives

Our Vision: All young children in Connecticut are safe, healthy, learning and thriving. Each child is surrounded by a strong network of nurturing adults who deeply value the importance of the first years of a child's life and have the skills, knowledge, support and passion to meet the unique needs of every child.

Our Mission: To support all young children in their development by ensuring that early childhood policy, funding and services strengthen the critical role families, providers, educators and communities play in a child's life.

Our Guiding Principles:

- Build family-centered and family-driven systems for all children in Connecticut,
- Prioritize children facing barriers, risks, setbacks or challenges to their healthy development,
- Foster a deep respect for cultural differences in child rearing practices,
- Honor families' diverse languages, values, strengths and needs,
- Consider the whole child, his or her family, and his or her community setting,
- Value early childhood as a time of learning through play and building positive relationships,
- Ensure equal access to and parent choice within all early childhood services,
- Operate in an efficient, coordinated, caring, professional and effective manner,
- Confront and eliminate institutional racism and disparities,

- Engage in continuous and system quality improvement,
- Utilize current best practices, available research and data, and
- Nurture and value collaborative partnerships, innovation and self-reflection.

Program Description

The purpose of the program is to provide a coordinated system of delivery for programs supporting young children, birth to age 5.

ORGANIZATIONAL STRUCTURE

- Commissioner's Office
- Business and Internal Support Operations
- Communications
- Government and Community Relations
- Legal Office
- Research and Planning
- Information & Technology
- Division of Early Care and Education
- Division of Quality Improvement
- Division of Licensing
- Division of Family Support

EARLY CHILDHOOD PROGRAMS AND SERVICES PROGRAM

The Office of Early Childhood has four divisions:

- Division of Early Care and Education
- Division of Quality Improvement
- Division of Family Support
- Division of Licensing

Division of Early Care and Education

The Division of Early Care and Education administers and oversees early care and education programs serving infants and toddlers, preschool, and school-age children. This Division is the administrator of the federal Child Development Fund (CCDF), which funds the child care subsidy program, quality and health and safety enhancements. The following program funding streams support young children:

- Child Day Care Contract Program
- School Readiness
- Child Care Development Fund (including the Care 4 Kids child care subsidy program)
- Smart Start Grant Program

- State Head Start Supplement Program
- Early Head Start Child Care Partnership

Child Day Care Contract Program - Child Day Care programs provide early care and education to infants and toddlers, preschoolers and/or school age children who meet certain eligibility requirements, including incomes below 75% of the state median income. These programs are located in towns and cities across Connecticut. Section 8-210 of the Connecticut General Statutes (C.G.S.) specifies that the purpose of this funding is for the development and operation of child day care centers for children disadvantaged by reasons of economic, social or environmental conditions. The services provided through the Child Day Care contracts are supported with state funds.

School Readiness Grant Program - School Readiness is a state-funded initiative that provide access to preschool programs for 3- and 4-year old children. At least 60% of households serviced must have incomes under 75% of the State Medium Income. School Readiness is comprised of both Priority and Competitive Communities as defined in legislation and structured to:

- promote the health and safety of children;
- provide opportunities for parents to choose among affordable and accredited programs;
- encourage coordination and cooperation among programs and services;
- recognize the specific service needs and unique resources available to particular municipalities; prevent or minimize the potential for developmental delays in children;
- enhance federally funded school readiness programs;
- strengthen the family through encouragement of parental involvement;
- reduce educational costs by decreasing the need for special education.

CCDF/Care 4 Kids Child Care Subsidy - The Care 4 Kids program is a federally and state-funded program that provides child care subsidies to help low- and moderate-income families access affordable child care. Families can access the subsidy for licensed centers and family child care homes, license-exempt community-based and public school programs, and unlicensed relative care. Families must have household income below 50 percent of the State Median Income to be eligible for the subsidy.

Smart Start - Smart Start is a state-funded preschool program serving children ages 3 and 4 in public school settings. At least 60 percent of the children served must live in households at or below 75 percent of the State Median Income.

State Head Start Supplement - Head Start is funded directly by the U.S. Department of Health and Human Services (HHS) to community providers that provide comprehensive child and family support services to low-income families with children ages 3 to 5 years old. Head Start serves approximately 6,000 children, and through the State Head Start Supplement, Connecticut provides supplemental funding to 11 of the 19 federally funded Head Start grantees to increase capacity by 320 preschool-aged children. These funds also extend the day/year of federally funded Head Start spaces and support quality enhancement activities in Head Start programs.

Early Head Start Child Care Partnership - Early Head Start (EHS) is funded by the U.S. Department of HHS to provide year-round comprehensive child and family services to low-income pregnant women and families with children birth to 3 years old. EHS settings

include center-based, family child care and home-based (home visiting). Three EHS CT grantees were awarded a federal grant to partner with home-based and center-based child care programs to provide comprehensive services based on the EHS model. The OEC provides state funds to support children in the three federally funded EHS-CC Grant Partnership Programs whose families are not eligible for the Care4Kids subsidy program.

Division of Quality Improvement

The division includes the Quality Recognition and Improvement System (QRIS) anchored in five pillars: health and safety, family engagement, workforce professional development, learning environment, and leadership. It encompasses the work of the Workforce Registry, Connecticut Early Learning and Development Standards, Connecticut Core Knowledge and Competencies, Head Start Collaboration Office and the Early Childhood Integrated Data System.

Workforce Development - The unit oversees systems, policies and practices to support the goal of developing a highly qualified and effective workforce for all children birth to age 5 in all settings. Collaborative partners include higher education institutions, professional development providers and members of the workforce. The OEC Registry is responsible for the professional registry, scholarship administration, career counseling and other key functions to support individuals and programs.

Early Learning Standards and Assessment - The unit focuses on the ways in which families, early learning settings and communities support young children as they grow and learn. Early Learning and Development Standards help to build common language around what children from birth to age five should know and be able to do. Assessment helps families and teachers understand how children are developing and to plan how to support them through developmentally appropriate instruction.

Head Start Collaboration Office - The office facilitates state-level collaboration between Head Start/Early Head Start and state agencies and entities that carry out activities designed to benefit low-income pregnant women, children from birth to school entry, and their families.

Early Childhood Information System - The Early Childhood Information System (ECIS) is a secure online data system. With the ECIS, the Office of Early Childhood is able to collect data and information so we can best make informed program and policy decisions affecting young children and families. The first two modules are operational: early care and education and home visiting.

Preschool Development Grant - Through the Preschool Development Grant, Connecticut's Office of Early Childhood strives to create an early childhood ecosystem where families are resilient, and children are healthy and have access to quality early childhood educational opportunities. The agency leverages existing data and partners with state agencies, technology experts, researchers, providers, and families to better coordinate birth to five services for Connecticut's most vulnerable children and families. With this funding, OEC creates an integrated data system that is user-friendly and informs practice and policy. The agency also strives to build the early childhood workforce and increase supply in child care deserts.

Division of Family Support Services

The division is responsible for the administration of several state and federally funded initiatives to 1) support young children, birth to age 3, with developmental delays and 2) to prevent child abuse and neglect by helping families and communities be responsive to

children, ensuring their positive growth and development. The division identifies and supports the most effective means of assisting and strengthening families by:

- Being responsive to the needs of children and support their positive growth and development;
- Helping families find resources in time of need

Programs within the Division of Family Support Services include:

- Home Visiting – State-funded Nurturing Families Network and federally funded Maternal, Infant and Early Childhood Home Visiting program
- Birth to Three
- Help Me Grow

Home Visiting - Home Visiting, a voluntary service, provides support to at-risk families with young children. The intent is to support parents in their role as nurturers and to promote the healthy development of the young child. The Nurturing Families Network (NFN) is state funded and the Maternal, Infant and Early Childhood Home Visiting program is federally funded.

Birth to Three - The Birth to Three system operates under federal legislation known as the Individuals with Disabilities Education Act (IDEA). Part C of IDEA is the program for Infants and Toddlers with Disabilities. The mission of the Connecticut Birth to Three system is to strengthen the capacity of Connecticut’s families to meet the developmental and health-related needs of their infants and toddlers, age birth to three, who have delays or disabilities.

Help Me Grow - Help Me Grow is a prevention program for children up to age 5 experiencing the challenges of growing up and provides parents and providers access to a variety of community resources that address a child's behavioral or developmental needs.

Even Start - Even Start is a two-generational program that gives low-income families access to the training and support they need to create a literate home environment and to enhance the academic achievement of their children.

Division of Licensing

Division staff are responsible for licensing and monitoring child care programs throughout Connecticut. These programs include family

child care homes (not more than 6 children), group child care homes (7 to 12 children) and child care centers (12 or more children).

The Division is responsible for assuring that all licensed child day care programs operate at or above the required standards established by state statutes and regulations so that the health and safety of children participating in licensed child day care programs is protected. The licensure process includes application processing, background screening, provision of technical assistance, complaint investigation, and enforcement of disciplinary matters. The Division conducts unannounced inspections of all licensed programs at least annually.

Youth camp licensing staff are responsible for licensing and monitoring youth camps throughout Connecticut. Youth camps include residential and day camps and include general camps, adventure camps, religious camps, sports camps, special needs camps, etc. The staff also approves directors to work in licensed youth camps. The program is responsible for assuring that all licensed youth camps operate at or above the required standards established by state statutes and regulations so that the health and safety of children attending licensed camps is protected.

Legal Office

Legal office staff are responsible for managing the day-to-day legal functions of the agency. Legal office attorneys assist the different divisions with advice regarding contracts, grant awards, program administration, discrimination complaints, audits, confidentiality requirements, ethics requirements, records retention, legislation, regulations, and other matters as they arise.

The background check unit processes approximately 12,000 background check requests per year pertaining to child care staff. The professional and para-professional staff serve as hearing officers in over 100 Care 4 Kids child care subsidy hearings per year. The attorneys represent the agency in approximately 12 child care license revocation hearings per year, with the paralegals serving as administrative staff in those hearings. In addition, staff manages approximately 200 yearly licensing enforcement cases and respond to approximately 50 freedom of information (FOI) requests annually.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 98 | 20 | 0 | 118 | 118 | 119 | 118 | 119 |
| Federal Funds | 9 | 7 | 1 | 17 | 19 | 19 | 21 | 21 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 8,173,924 | 9,156,554 | 9,253,856 | 9,253,856 | 9,235,220 | 9,606,031 | 9,604,884 | 9,588,976 |
| Other Expenses | 431,549 | 458,987 | 458,987 | 458,987 | 433,935 | 458,987 | 458,987 | 433,935 |
| Other Current Expenses | | | | | | | | |
| Birth to Three | 22,845,964 | 22,988,719 | 23,952,407 | 23,452,407 | 23,452,407 | 24,452,407 | 24,452,407 | 24,452,407 |
| Evenstart | 295,456 | 295,456 | 295,456 | 295,456 | 295,456 | 295,456 | 295,456 | 295,456 |
| 2Gen - TANF | 412,500 | 412,500 | 412,500 | 412,500 | 412,500 | 412,500 | 412,500 | 412,500 |
| Nurturing Families Network | 10,275,655 | 10,278,822 | 10,375,822 | 10,319,422 | 10,319,422 | 10,428,822 | 10,347,422 | 10,347,422 |
| Total--Other Current Expenses | 33,829,575 | 33,975,497 | 35,036,185 | 34,479,785 | 34,479,785 | 35,589,185 | 35,507,785 | 35,507,785 |

Pmts to Other Than Local Govts

| | | | | | | | | |
|-----------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Head Start Services | 4,507,650 | 5,083,238 | 5,083,238 | 4,606,362 | 4,606,362 | 5,083,238 | 4,606,362 | 4,606,362 |
| Care4Kids TANF/CCDF | 77,963,811 | 59,527,096 | 59,527,096 | 59,527,096 | 59,527,096 | 59,527,096 | 59,527,096 | 59,527,096 |
| Child Care Quality Enhancements | 4,134,063 | 6,855,033 | 6,855,033 | 6,855,033 | 5,954,530 | 6,855,033 | 6,855,033 | 5,954,530 |
| Early Head Start-Child Care Partnership | 1,402,269 | 100,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 |
| Early Care and Education | 124,295,170 | 124,348,399 | 132,377,530 | 132,377,530 | 132,377,530 | 135,079,054 | 135,079,054 | 135,079,054 |
| Smart Start | 3,325,000 | 3,325,000 | 3,325,000 | 3,250,000 | 3,250,000 | 3,325,000 | 3,250,000 | 3,250,000 |
| Pmts to Other Than Local Govts | 215,627,963 | 199,238,766 | 208,667,897 | 208,116,021 | 207,215,518 | 211,369,421 | 210,817,545 | 209,917,042 |
| Total-General Fund | 258,063,011 | 242,829,804 | 253,416,925 | 252,308,649 | 251,364,458 | 257,023,624 | 256,389,201 | 255,447,738 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 341,903 | 949,891 | 588,000 | 588,000 | 588,000 | 588,000 | 588,000 | 588,000 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10537 Supplemental Nutrition Assistance Program (SNAP) Employment | 164,309 | 412,000 | 412,000 | 412,000 | 412,000 | 412,000 | 412,000 | 412,000 |
| 84173 Special Education Preschool Grants | 902,840 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 |
| 84181 Special Education Grants For Infants | 4,490,270 | 4,793,136 | 4,793,136 | 4,793,136 | 4,793,136 | 4,793,136 | 4,793,136 | 4,793,136 |
| 84325 Special Education - Personnel Development to Improve Service | 11,181 | 135,000 | 135,000 | 135,000 | 135,000 | 135,000 | 135,000 | 135,000 |
| 84419 Preschool Development Grants | 2,815,718 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93434 PRESCHOOL DEVELOPMENT GRANT BIRTH THROUGH 5 COMP | 6,940,541 | 8,410,450 | 8,000,000 | 8,000,000 | 8,000,000 | 8,000,000 | 8,000,000 | 8,000,000 |
| 93500 Pregnant & Parenting Teens | 961,481 | 354,561 | 354,561 | 354,561 | 354,561 | 0 | 0 | 0 |
| 93505 Affordable Care Act (ACA) Maternal, Infant, and Early Childh | 10,698,791 | 15,180,000 | 10,279,000 | 10,279,000 | 10,279,000 | 10,279,000 | 10,279,000 | 10,279,000 |
| 93575 CCDF - DISCRETIONARY | 22,581,548 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93575 CHILD CARE AND DEVELOPMENT BLOCK GRANT | 37,196,533 | 72,678,810 | 62,678,810 | 62,678,810 | 62,678,810 | 62,678,810 | 62,678,810 | 62,678,810 |
| 93590 Community - Based Family Resource and Support Grants | 687,822 | 766,485 | 750,000 | 750,000 | 750,000 | 750,000 | 750,000 | 750,000 |
| 93596 Child Care Mandatory and Matching Funds | 37,653,999 | 44,170,521 | 35,000,000 | 35,000,000 | 35,000,000 | 35,000,000 | 35,000,000 | 35,000,000 |
| 93600 Head Start | 22,933 | 292,124 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 |
| 93870 Maternal, Infant and Early Childhood Home Visiting Grant Pro | 97,465 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 383,630,345 | 391,972,782 | 377,532,432 | 376,424,156 | 375,479,965 | 380,784,570 | 380,150,147 | 379,208,684 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-----------------------------------------|---------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 7,887,502 | 8,835,700 | 8,928,096 | 8,909,460 | 9,267,931 | 9,255,634 |
| Salaries & Wages-Temporary | 110,721 | 124,031 | 126,401 | 126,401 | 131,171 | 131,222 |
| Salaries & Wages-Part Time | 63,418 | 71,041 | 72,399 | 72,399 | 75,131 | 75,160 |
| Longevity Payments | 33,196 | 37,187 | 37,187 | 37,187 | 38,617 | 37,187 |
| Overtime | 55,044 | 61,661 | 62,839 | 62,839 | 65,211 | 62,839 |
| Accumulated Leave | 24,043 | 26,934 | 26,934 | 26,934 | 27,970 | 26,934 |

| | | | | | | |
|----------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| TOTAL - Personal Services-Personal Services | 8,173,924 | 9,156,554 | 9,253,856 | 9,235,220 | 9,606,031 | 9,588,976 |
| <i>Other Expenses</i> | | | | | | |
| Client Services | 56 | 0 | 0 | 0 | 0 | 0 |
| Communications | 55,376 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| Employee Expenses | 545 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |
| Employee Travel | 9,026 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| Equipment Rental and Maintenance | 20,403 | 21,700 | 21,700 | 21,700 | 21,700 | 21,700 |
| Information Technology | 14,378 | 75,000 | 75,000 | 75,000 | 75,000 | 75,000 |
| Motor Vehicle Costs | 22,572 | 0 | 0 | 0 | 0 | 0 |
| Other / Fixed Charges | 70 | 0 | 0 | 0 | 0 | 0 |
| Other Services | 207,434 | 200,000 | 200,000 | 174,948 | 200,000 | 174,948 |
| Premises Expenses | 631 | 0 | 0 | 0 | 0 | 0 |
| Premises Rent Expense-Landlord | 500 | 0 | 0 | 0 | 0 | 0 |
| Professional Services | 43,518 | 46,000 | 46,000 | 46,000 | 46,000 | 46,000 |
| Purchased Commodities | 57,040 | 64,287 | 64,287 | 64,287 | 64,287 | 64,287 |
| TOTAL-Other Expenses | 431,549 | 458,987 | 458,987 | 433,935 | 458,987 | 433,935 |
| <i>Other Current Expenses</i> | | | | | | |
| Birth to Three | 22,845,964 | 22,988,719 | 23,952,407 | 23,452,407 | 24,452,407 | 24,452,407 |
| Evenstart | 295,456 | 295,456 | 295,456 | 295,456 | 295,456 | 295,456 |
| 2Gen - TANF | 412,500 | 412,500 | 412,500 | 412,500 | 412,500 | 412,500 |
| Nurturing Families Network | 10,275,655 | 10,278,822 | 10,375,822 | 10,319,422 | 10,428,822 | 10,347,422 |
| TOTAL-Other Current Expenses | 33,829,575 | 33,975,497 | 35,036,185 | 34,479,785 | 35,589,185 | 35,507,785 |
| <i>Pmts to Other Than Local Govts</i> | | | | | | |
| Head Start Services | 4,507,650 | 5,083,238 | 5,083,238 | 4,606,362 | 5,083,238 | 4,606,362 |
| Care4Kids TANF/CCDF | 77,963,811 | 59,527,096 | 59,527,096 | 59,527,096 | 59,527,096 | 59,527,096 |
| Child Care Quality Enhancements | 4,134,063 | 6,855,033 | 6,855,033 | 5,954,530 | 6,855,033 | 5,954,530 |
| Early Head Start-Child Care Partnership | 1,402,269 | 100,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 |
| Early Care and Education | 124,295,170 | 124,348,399 | 132,377,530 | 132,377,530 | 135,079,054 | 135,079,054 |
| Smart Start | 3,325,000 | 3,325,000 | 3,325,000 | 3,250,000 | 3,325,000 | 3,250,000 |
| TOTAL-Pmts to Other Than Local Govts | 215,627,963 | 199,238,766 | 208,667,897 | 207,215,518 | 211,369,421 | 209,917,042 |
| Personal Services | 8,173,924 | 9,156,554 | 9,253,856 | 9,235,220 | 9,606,031 | 9,588,976 |
| Other Expenses | 431,549 | 458,987 | 458,987 | 433,935 | 458,987 | 433,935 |
| Other Current Expenses | 33,829,575 | 33,975,497 | 35,036,185 | 34,479,785 | 35,589,185 | 35,507,785 |
| Pmts to Other Than Local Govts | 215,627,963 | 199,238,766 | 208,667,897 | 207,215,518 | 211,369,421 | 209,917,042 |
| TOTAL-General Fund | 258,063,011 | 242,829,804 | 253,416,925 | 251,364,458 | 257,023,624 | 255,447,738 |

CONNECTICUT STATE LIBRARY

AGENCY DESCRIPTION

The mission of the State Library is to preserve and make accessible Connecticut's history and heritage and to advance the development of library services statewide.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|
| | | |
| • Provide Funding for State Employee Wage Adjustments | 58,459 | 58,459 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 211,416 |
| Reductions | FY 2022 | FY 2023 |
| • Annualize FY 2021 Rescissions | -53,749 | -53,749 |
| • Reduce Funding for BorrowIT CT | -100,000 | -100,000 |
| • Reflect Savings from the Relocation of the Library for the Physically Blind and Handicapped from Rocky Hill to Hartford | -49,800 | -49,800 |
| Reallocations | FY 2022 | FY 2023 |
| • Reallocate Funding from the Department of Administrative Services for Electricity Costs | 292,331 | 292,331 |
| • Funding is reallocated from the Department of Administrative Services to reflect a change in billing for electricity costs. | | |
| • Reallocate Funding from Personal Services to Interlibrary Loan to Reflect Current Staffing Costs | 0 | 0 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|-----------------------|--------------------------|--------------------------|--------------------------|----------------------------|----------------------------|--------------------------|----------------------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 53 | 2 | 0 | 55 | 55 | 55 | 55 | 55 |
| Federal Funds | 13 | 1 | 0 | 14 | 14 | 14 | 14 | 14 |
| Private Funds | 3 | 1 | -1 | 3 | 3 | 3 | 3 | 3 |
| Other Positions Equated to Full-Time | | | | | | | | |
| | | | FY 2020 Actual | FY 2021 Estimated | FY 2022 Requested | FY 2022 Recommended | FY 2023 Requested | FY 2023 Recommended |
| Federal and Other Activities | | | 1 | 1 | 1 | 1 | 1 | 1 |
| General Fund | | | 18 | 18 | 18 | 18 | 18 | 18 |
| Agency Program by Total Funds | FY 2020 Actual | FY 2021 Estimated | FY 2022 Requested | FY 2022 Baseline | FY 2022 Recommended | FY 2023 Requested | FY 2023 Baseline | FY 2023 Recommended |
| The Library | 2,121,400 | 2,384,849 | 2,084,255 | 2,084,255 | 2,322,837 | 2,296,721 | 2,120,102 | 2,358,684 |
| Library Development | 13,627,906 | 12,454,805 | 12,493,858 | 12,493,858 | 12,344,058 | 12,493,858 | 12,661,513 | 12,511,713 |
| Museum of Connecticut History | 1,160,086 | 1,117,392 | 1,117,392 | 1,117,392 | 1,117,392 | 1,117,392 | 1,125,306 | 1,125,306 |
| TOTAL Agency Programs | 16,909,392 | 15,957,046 | 15,695,505 | 15,695,505 | 15,784,287 | 15,907,971 | 15,906,921 | 15,995,703 |

Summary of Funding

| | | | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 8,271,707 | 9,030,046 | 9,088,505 | 9,088,505 | 9,177,287 | 9,300,971 | 9,299,921 | 9,388,703 |
| Federal Funds | 2,158,509 | 2,352,000 | 2,032,000 | 2,032,000 | 2,032,000 | 2,032,000 | 2,032,000 | 2,032,000 |
| Private Funds | 1,714,455 | 1,575,000 | 1,575,000 | 1,575,000 | 1,575,000 | 1,575,000 | 1,575,000 | 1,575,000 |
| Special Non-Appropriated Funds | 4,764,721 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 |
| Total Agency Programs | 16,909,392 | 15,957,046 | 15,695,505 | 15,695,505 | 15,784,287 | 15,907,971 | 15,906,921 | 15,995,703 |

LIBRARY DEVELOPMENT

Statutory Reference

C.G.S. Sections 4d-80(C), 4d-82, 11-1a, 11-2a, 11-9c through 11-9f, 11-23a through 11-26.

Statement of Need and Program Objectives

The Division of Library Development provides leadership, funding, education and statewide services that enhance a local library’s ability to deliver high-quality library service to the community. The State Library, through the Division of Library Development, administers the federal Library Services and Technology Act.

Program Description

researchIT CT provides all students, faculty and residents with online access to essential library and information resources. Through researchIT CT, a core level of information resources, including secured access to licensed databases, is available to every citizen in Connecticut. findIT CT and requestIT CT provide web access to a statewide catalog of library holdings and interlibrary loan services.

borrowIT CT is a cooperative program among the state's public libraries that allows any resident of the state to use the borrower card issued by his or her home public library to borrow from any other public library in the state. The division administers an annual grant program to reimburse libraries for services to non-residents.

deliverIT CT supports statewide interlibrary loan and borrowIT CT by moving more than 2.5 million books between libraries each year.

The Middletown Library Service Center supports the development of Connecticut public and school libraries by providing training, consultation and professional materials; access to essential library resources and maximizing local library funding through resource sharing.

The Public Library Construction grant program provides funds for public library construction.

Statistics are collected, organized and published on various aspects of the state’s public libraries. Publications include *Connecticut’s Public Libraries: A Statistical Profile*. In addition, the division works with the National Center for Education Statistics in its national data collection activities.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 44 | 1 | 0 | 45 | 45 | 45 | 45 | 45 |
| Federal Funds | 13 | 1 | 0 | 14 | 14 | 14 | 14 | 14 |
| | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | | | 1 | 1 | 1 | 1 | 1 | 1 |
| General Fund | | | 13 | 13 | 13 | 13 | 13 | 13 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 3,708,798 | 4,211,387 | 4,247,046 | 4,247,046 | 4,210,770 | 4,247,046 | 4,406,184 | 4,368,820 |
| Other Expenses | 174,607 | 229,272 | 229,272 | 229,272 | 179,472 | 229,272 | 229,272 | 179,472 |
| Other Current Expenses | | | | | | | | |
| State-Wide Digital Library | 1,491,329 | 1,575,174 | 1,575,174 | 1,575,174 | 1,575,174 | 1,575,174 | 1,575,174 | 1,575,174 |
| Interlibrary Loan Delivery Service | 260,261 | 266,392 | 269,786 | 269,786 | 306,062 | 269,786 | 278,303 | 315,667 |

| | | | | | | | | |
|--------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Legal/Legislative Library Materials | 568,708 | 574,540 | 574,540 | 574,540 | 574,540 | 574,540 | 574,540 | 574,540 |
| Total--Other Current Expenses | 2,320,298 | 2,416,106 | 2,419,500 | 2,419,500 | 2,455,776 | 2,419,500 | 2,428,017 | 2,465,381 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Support Cooperating Library Service Units | 124,402 | 124,402 | 124,402 | 124,402 | 124,402 | 124,402 | 124,402 | 124,402 |
| Pmts to Local Governments | | | | | | | | |
| Connecticard Payments | 703,638 | 703,638 | 703,638 | 703,638 | 603,638 | 703,638 | 703,638 | 603,638 |
| Total-General Fund | 7,031,743 | 7,684,805 | 7,723,858 | 7,723,858 | 7,574,058 | 7,723,858 | 7,891,513 | 7,741,713 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,789 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 4,764,721 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 45310 State Library Program | 1,656,679 | 1,600,000 | 1,600,000 | 1,600,000 | 1,600,000 | 1,600,000 | 1,600,000 | 1,600,000 |
| 45313 Laura Bush 21st Century Librarian Program | 152,186 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 | 150,000 |
| 89003 NATIONAL HISTORICAL PUBLICATIONS & RECORDS GRANT | 20,788 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 | 20,000 |
| Total - All Funds | 13,627,906 | 12,454,805 | 12,493,858 | 12,493,858 | 12,344,058 | 12,493,858 | 12,661,513 | 12,511,713 |

MUSEUM OF CONNECTICUT HISTORY

Statutory Reference

C.G.S. Section 11-6a.

Statement of Need and Program Objectives

To connect the experience of residents and museum visitors to the artifacts, images and documents of the past, increasing awareness of and pride in the state's political, military and industrial history and building commitment to preserving and sharing its cultural heritage.

Program Description

The Raymond E. Baldwin Museum of Connecticut History and Heritage collects, preserves and exhibits artifacts relevant to the political, industrial and military history of Connecticut from the colonial era to the present. Through permanent, temporary and traveling exhibitions, the museum provides its 26,000 annual visitors the opportunity to explore a wide variety of topics in Connecticut history emphasizing original objects, images and written materials.

| | | | | | | | | |
|--------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 183,443 | 207,275 | 207,275 | 207,275 | 207,275 | 207,275 | 215,189 | 215,189 |
| Other Expenses | 5,117 | 10,117 | 10,117 | 10,117 | 10,117 | 10,117 | 10,117 | 10,117 |
| Total-General Fund | 188,560 | 217,392 | 217,392 | 217,392 | 217,392 | 217,392 | 225,306 | 225,306 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |

| | | | | | | | | |
|-------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Private Funds | 971,526 | 900,000 | 900,000 | 900,000 | 900,000 | 900,000 | 900,000 | 900,000 |
| Total - All Funds | 1,160,086 | 1,117,392 | 1,117,392 | 1,117,392 | 1,117,392 | 1,117,392 | 1,125,306 | 1,125,306 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 3,649,456 | 4,153,040 | 4,186,359 | 4,098,443 | 4,186,359 | 4,257,087 |
| Salaries & Wages-Temporary | 11,425 | 0 | 0 | 0 | 0 | 0 |
| Salaries & Wages-Part Time | 1,018,769 | 1,122,345 | 1,144,091 | 1,144,091 | 1,144,091 | 1,187,258 |
| Longevity Payments | 28,636 | 28,636 | 28,636 | 28,636 | 28,636 | 28,636 |
| Accumulated Leave | 50,705 | 60,000 | 60,000 | 60,000 | 60,000 | 60,000 |
| Other Salaries & Wages | -108 | 0 | 0 | 0 | 212,466 | 0 |
| Other | 2,186 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 4,761,069 | 5,364,021 | 5,419,086 | 5,331,170 | 5,631,552 | 5,532,981 |

Other Expenses

| | | | | | | |
|----------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Communications | 18,958 | 18,958 | 18,958 | 18,958 | 18,958 | 18,958 |
| Electricity | 40,789 | 45,780 | 45,780 | 315,111 | 45,780 | 315,111 |
| Employee Expenses | 5,760 | 5,760 | 5,760 | 5,760 | 5,760 | 5,760 |
| Employee Travel | 1,495 | 1,495 | 1,495 | 1,495 | 1,495 | 1,495 |
| Equipment Rental and Maintenance | 30,191 | 30,191 | 30,191 | 30,191 | 30,191 | 30,191 |
| Food And Beverages | 86 | 0 | 0 | 0 | 0 | 0 |
| Information Technology | 48,701 | 53,701 | 53,701 | 53,701 | 53,701 | 53,701 |
| Motor Vehicle Costs | 2,635 | 2,635 | 2,635 | 2,635 | 2,635 | 2,635 |
| Natural Gas | 19,390 | 25,000 | 25,000 | 20,500 | 25,000 | 20,500 |
| Oil #2 | 2,224 | 5,500 | 5,500 | 3,500 | 5,500 | 3,500 |
| Other Services | 52,618 | 73,051 | 73,051 | 70,942 | 73,051 | 70,942 |
| Premises Alarm Systems | 0 | 0 | 0 | -400 | 0 | -400 |
| Premises Cleaning Services | 0 | 0 | 0 | -11,000 | 0 | -11,000 |
| Premises Expenses | 85,823 | 97,848 | 97,848 | 97,848 | 97,848 | 97,848 |
| Premises Fire Protection | 0 | 0 | 0 | -500 | 0 | -500 |
| Premises Grounds Maintenance | 0 | 0 | 0 | -5,500 | 0 | -5,500 |
| Premises Waste/Trash Services | 0 | 0 | 0 | -2,000 | 0 | -2,000 |
| Professional Services | 22,825 | 30,616 | 30,616 | 30,616 | 30,616 | 30,616 |
| Purchased Commodities | 29,344 | 29,344 | 29,344 | 29,344 | 29,344 | 29,344 |
| Sewer | 302 | 500 | 500 | 200 | 500 | 200 |
| Water | 1,159 | 1,500 | 1,500 | 900 | 1,500 | 900 |
| TOTAL-Other Expenses | 362,300 | 421,879 | 421,879 | 662,301 | 421,879 | 662,301 |

Other Current Expenses

| | | | | | | |
|-------------------------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| State-Wide Digital Library | 1,491,329 | 1,575,174 | 1,575,174 | 1,575,174 | 1,575,174 | 1,575,174 |
| Interlibrary Loan Delivery Service | 260,261 | 266,392 | 269,786 | 306,062 | 280,031 | 315,667 |
| Legal/Legislative Library Materials | 568,708 | 574,540 | 574,540 | 574,540 | 574,540 | 574,540 |
| TOTAL-Other Current Expenses | 2,320,298 | 2,416,106 | 2,419,500 | 2,455,776 | 2,429,745 | 2,465,381 |

Pmts to Other Than Local Govts

| | | | | | | |
|-------------------------------------------|---------|---------|---------|---------|---------|---------|
| Support Cooperating Library Service Units | 124,402 | 124,402 | 124,402 | 124,402 | 124,402 | 124,402 |
| TOTAL-Pmts to Other Than Local Govts | 124,402 | 124,402 | 124,402 | 124,402 | 124,402 | 124,402 |

Pmts to Local Governments

| | | | | | | |
|---------------------------------|---------|---------|---------|---------|---------|---------|
| Connecticard Payments | 703,638 | 703,638 | 703,638 | 603,638 | 703,638 | 603,638 |
| TOTAL-Pmts to Local Governments | 703,638 | 703,638 | 703,638 | 603,638 | 703,638 | 603,638 |

| | | | | | | |
|--------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services | 4,761,069 | 5,364,021 | 5,419,086 | 5,331,170 | 5,631,552 | 5,532,981 |
| Other Expenses | 362,300 | 421,879 | 421,879 | 662,301 | 421,879 | 662,301 |
| Other Current Expenses | 2,320,298 | 2,416,106 | 2,419,500 | 2,455,776 | 2,429,745 | 2,465,381 |
| Pmts to Other Than Local Govts | 124,402 | 124,402 | 124,402 | 124,402 | 124,402 | 124,402 |
| Pmts to Local Governments | 703,638 | 703,638 | 703,638 | 603,638 | 703,638 | 603,638 |
| TOTAL-General Fund | 8,271,707 | 9,030,046 | 9,088,505 | 9,177,287 | 9,311,216 | 9,388,703 |

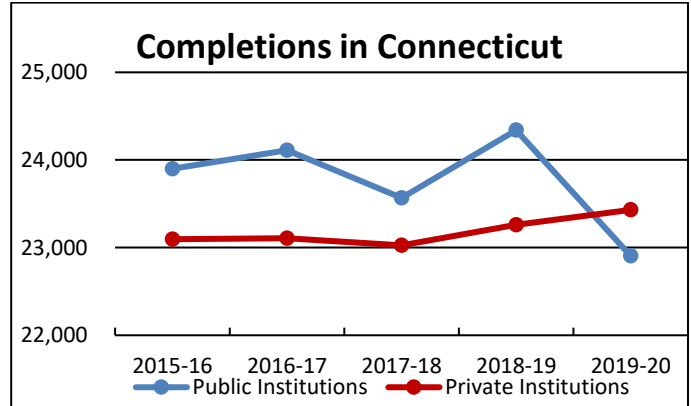
OFFICE OF HIGHER EDUCATION

AGENCY DESCRIPTION

The Office of Higher Education seeks to advance the goals of postsecondary education for all Connecticut citizens, and to facilitate students' access to postsecondary institutions which meet the highest standards of academic quality, by administering the state's student financial aid resources, and by serving as an information and consumer protection resource.

The agency administers several programs in support of Connecticut's higher education system. Those programs include academic program review and approval for independent institutions, as well as private occupational schools, hospital-based schools and hairdresser/barber schools; administration of the Connecticut statewide student financial aid programs, including the Roberta B. Willis Scholarship Program; tuition loan forgiveness programs for teachers; programs which help encourage completion of degree programs by students through the Minority Advancement Program, the Commission on Community Service; also, approval of funding for proposals through the Teacher Quality Partnership which aim to enhance student achievement through innovative programs aimed at teacher preparation and continued professional development.

Maintaining accessibility and affordability in higher education in these difficult economic times is crucial for the benefit of society and the economy. As important is ensuring that Connecticut students have access to educational opportunities that meet highest standards.



The table above illustrates the number of two and four year college degrees attained by students in Connecticut's institutions of higher education.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

- Provide Funding for State Employee Wage Adjustments
- Reflect Impact of 27th Payroll During FY 2023

FY 2022 **FY 2023**

3,337 3,337

0 70,356

Reductions

- Annualize FY 2021 Rescissions
- Eliminate Discretionary Funding for Open Educational Resource Grant Program

FY 2022 **FY 2023**

-15,935 -15,935

-100,000 -100,000

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 17 | 10 | 0 | 27 | 27 | 27 | 27 | 27 |
| Federal Funds | 9 | 4 | -4 | 9 | 9 | 9 | 9 | 9 |
| Private Funds | 2 | 4 | -4 | 2 | 2 | 2 | 2 | 2 |

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Coordination of Higher Education | 7,699,956 | 8,143,609 | 8,366,946 | 8,366,946 | 8,251,011 | 8,433,777 | 8,437,302 | 8,321,367 |
| Scholarships & Fellowships | 34,362,531 | 33,998,957 | 33,998,957 | 33,998,957 | 33,998,957 | 33,998,957 | 33,998,957 | 33,998,957 |
| TOTAL Agency Programs | 42,062,487 | 42,142,566 | 42,365,903 | 42,365,903 | 42,249,968 | 42,432,734 | 42,436,259 | 42,320,324 |

Summary of Funding

| | | | | | | | | |
|---------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 37,236,889 | 37,304,573 | 37,527,910 | 37,527,910 | 37,411,975 | 37,594,741 | 37,598,266 | 37,482,331 |
| Federal Funds | 4,356,155 | 4,368,550 | 4,368,550 | 4,368,550 | 4,368,550 | 4,368,550 | 4,368,550 | 4,368,550 |

| | | | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Private Funds | 434,907 | 434,907 | 434,907 | 434,907 | 434,907 | 434,907 | 434,907 | 434,907 |
| Special Non-Appropriated Funds | 57,213 | 57,213 | 57,213 | 57,213 | 57,213 | 57,213 | 57,213 | 57,213 |
| Total Agency Programs | 42,085,164 | 42,165,243 | 42,388,580 | 42,388,580 | 42,272,645 | 42,455,411 | 42,458,936 | 42,343,001 |

COORDINATION OF HIGHER EDUCATION

Statutory Reference

C.G.S. Sections 10a-1d, 10a-10 through 10a-14, 10a-17d, 10a-19j, 10a-20a through 10a-22z, 10a-34 through 10a-35, 10a-45 through 10a-48b, 10a-57.

Statement of Need and Program Objectives

To regulate, license and accredit institutions which are part of Connecticut’s higher education system, in an effort to safeguard student interests from a consumer protection standpoint.

To administratively operate a state agency, and support programs which supports access for qualified and needy residents to educational opportunities at public and private post-secondary educational institutions and encourage completion once enrolled by providing various forms of student financial assistance grants and scholarships.

To administer programs supporting the students enrolled in institutions which are a part of Connecticut’s higher education system, and to administer programs which seek to support and promote college educated adults who wish to enter the teaching profession.

Program Description

The Office of Higher Education is divided into two programmatic divisions, with distinct statutory functions, as follows:

Division of Academic and Administrative Affairs:

Providing consumer protection for students, the office is responsible for reviewing and approving degree-granting independent colleges and universities (both for-profit and non-profit) as well as collegiate level academic programs offered in Connecticut by out-of-state schools. This work assures that independent institutions located in Connecticut meet the state’s high standards of academic quality. Connecticut is home to 21 non-profit and for-profit independent institutions, and 13 out-of-state colleges offering programs in the state.

The division is also responsible for the regulatory oversight of post-secondary career schools in the state, to ensure overall quality and financial stability, specifically: private occupational schools, hospital-based schools and hairdresser/barber schools of over 117 institutions including their branch campuses.

The office, as the state’s approving agency for the U.S. Department of Veterans Affairs, approves institutions and their programs so eligible veterans can use their educational benefits at those institutions,

ensuring compliance with the Code of Federal Regulations under Title 38 and provide technical assistance, outreach, and facilitate best practices for institutions, veterans and dependents of veterans.

In addition, the Division of Academic and Administrative Affairs is responsible for providing administrative and financial direction to the agency, including human resources, business services, grants administration and data collection and evaluation, as well as the administration of various student financial aid programs.

The Roberta B. Willis Scholarship Program combined all non-programmatic, state-funded student financial aid into one student-oriented program with two components administered by the Office of Higher Education. They are: 1) a merit- and need-based grant; and 2) a need-based only grant. No student may receive both a merit/need and need only grant.

The minority teacher incentive program provides annual \$5,000 awards to minority students in teacher training programs and provide graduates who teach in Connecticut schools with annual \$2,500 stipends to assist in repayment of college loans. The maximum award, grants and loans combined, for each participant is \$20,000.

Division of Student and Educational Services:

The *Minority Advancement Program (MAP)* provides early intervention programs at the high school level through the ConnCAP (Connecticut Collegiate Awareness and Preparation Program) and ConnCAS (Connecticut College Access and Success Program) programs to increase the pool of qualified minority students for higher education and provides a performance-based grant program to focus on retention and graduation.

The state’s national service initiative, which is staffed by the office, administers the federal AmeriCorps program in Connecticut and underwrites service jobs in areas of community need.

The Alternate Route to Teacher Certification program (ARC) is a teacher preparation program which accepts college educated adults who wish to alter their career path to become teachers. ARC is a one year program which prepares future teachers for certification by the State Department of Education through a full year, two semester schedule on Friday evenings and Saturday mornings in order to accommodate student work schedules. OHE ARC is has been one of the most successful programs in producing future teachers in identified shortage areas, and urban districts.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 17 | 10 | 0 | 27 | 27 | 27 | 27 | 27 |
| Federal Funds | 9 | 4 | -4 | 9 | 9 | 9 | 9 | 9 |

| | | | | | | | | |
|---------------|---|---|----|---|---|---|---|---|
| Private Funds | 2 | 4 | -4 | 2 | 2 | 2 | 2 | 2 |
|---------------|---|---|----|---|---|---|---|---|

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,381,409 | 1,415,334 | 1,538,467 | 1,538,467 | 1,523,364 | 1,600,623 | 1,596,337 | 1,581,234 |
| Other Expenses | 65,072 | 66,466 | 166,466 | 166,466 | 65,634 | 166,466 | 166,466 | 65,634 |
| Other Current Expenses | | | | | | | | |
| Minority Advancement Program | 1,316,554 | 1,619,090 | 1,619,251 | 1,619,251 | 1,619,251 | 1,619,251 | 1,625,187 | 1,625,187 |
| National Service Act | 139,114 | 244,912 | 244,955 | 244,955 | 244,955 | 249,630 | 251,505 | 251,505 |
| Other Current Expenses | 1,455,668 | 1,864,002 | 1,864,206 | 1,864,206 | 1,864,206 | 1,868,881 | 1,876,692 | 1,876,692 |
| Total-General Fund | 2,902,149 | 3,345,802 | 3,569,139 | 3,569,139 | 3,453,204 | 3,635,970 | 3,639,495 | 3,523,560 |
| Other Funds Available | | | | | | | | |
| Private Funds | 432,407 | 432,407 | 432,407 | 432,407 | 432,407 | 432,407 | 432,407 | 432,407 |
| Special Non-Appropriated Funds | 57,213 | 57,213 | 57,213 | 57,213 | 57,213 | 57,213 | 57,213 | 57,213 |
| Restricted State Accounts | -22,677 | -22,677 | -22,677 | -22,677 | -22,677 | -22,677 | -22,677 | -22,677 |
| Federal Contributions | | | | | | | | |
| 64124 All-Volunteer Force Educational Assist | 219,958 | 219,958 | 219,958 | 219,958 | 219,958 | 219,958 | 219,958 | 219,958 |
| 84334 GAINING EARLY AWARENESS & READINESS FOR UNDERGRAD PROGRAMS | 2,208,215 | 2,208,215 | 2,208,215 | 2,208,215 | 2,208,215 | 2,208,215 | 2,208,215 | 2,208,215 |
| 94003 State Commissions | 428,228 | 428,228 | 428,228 | 428,228 | 428,228 | 428,228 | 428,228 | 428,228 |
| 94006 Americorps | 1,311,114 | 1,311,114 | 1,311,114 | 1,311,114 | 1,311,114 | 1,311,114 | 1,311,114 | 1,311,114 |
| 94009 Training and Technical Assistance | 163,349 | 163,349 | 163,349 | 163,349 | 163,349 | 163,349 | 163,349 | 163,349 |
| Total - All Funds | 7,699,956 | 8,143,609 | 8,366,946 | 8,366,946 | 8,251,011 | 8,433,777 | 8,437,302 | 8,321,367 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 1,358,954 | 1,384,631 | 1,507,764 | 1,492,661 | 1,569,920 | 1,550,531 |
| Longevity Payments | 19,721 | 30,703 | 30,703 | 30,703 | 30,703 | 30,703 |
| Other | 2,734 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Personal Services-Personal Services | 1,381,409 | 1,415,334 | 1,538,467 | 1,523,364 | 1,600,623 | 1,581,234 |
| Other Expenses | | | | | | |
| Communications | 8,202 | 8,202 | 8,202 | 8,202 | 8,202 | 8,202 |
| Employee Travel | 9,346 | 9,346 | 9,346 | 9,346 | 9,346 | 9,346 |
| Equipment Rental and Maintenance | 2,391 | 2,391 | 2,391 | 2,391 | 2,391 | 2,391 |
| Food And Beverages | 1,242 | 1,242 | 1,242 | 1,242 | 1,242 | 1,242 |
| Information Technology | 8,304 | 9,698 | 9,698 | 9,698 | 9,698 | 9,698 |

| | | | | | | |
|-----------------------|--------|--------|---------|--------|---------|--------|
| Motor Vehicle Costs | 2,294 | 2,294 | 2,294 | 2,294 | 2,294 | 2,294 |
| Other Services | 29,537 | 29,537 | 129,537 | 28,705 | 129,537 | 28,705 |
| Purchased Commodities | 3,756 | 3,756 | 3,756 | 3,756 | 3,756 | 3,756 |
| TOTAL-Other Expenses | 65,072 | 66,466 | 166,466 | 65,634 | 166,466 | 65,634 |

Other Current Expenses

| | | | | | | |
|------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Minority Advancement Program | 1,316,554 | 1,619,090 | 1,619,251 | 1,619,251 | 1,619,251 | 1,625,187 |
| National Service Act | 139,114 | 244,912 | 244,955 | 244,955 | 249,630 | 251,505 |
| Minority Teacher Incentive Program | 392,199 | 570,134 | 570,134 | 570,134 | 570,134 | 570,134 |
| TOTAL-Other Current Expenses | 1,847,867 | 2,434,136 | 2,434,340 | 2,434,340 | 2,439,015 | 2,446,826 |

Pmts to Other Than Local Govts

| | | | | | | |
|--------------------------------------|------------|------------|------------|------------|------------|------------|
| Roberta B. Willis Scholarship Fund | 33,942,541 | 33,388,637 | 33,388,637 | 33,388,637 | 33,388,637 | 33,388,637 |
| TOTAL-Pmts to Other Than Local Govts | 33,942,541 | 33,388,637 | 33,388,637 | 33,388,637 | 33,388,637 | 33,388,637 |

| | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 1,381,409 | 1,415,334 | 1,538,467 | 1,523,364 | 1,600,623 | 1,581,234 |
| Other Expenses | 65,072 | 66,466 | 166,466 | 65,634 | 166,466 | 65,634 |
| Other Current Expenses | 1,847,867 | 2,434,136 | 2,434,340 | 2,434,340 | 2,439,015 | 2,446,826 |
| Pmts to Other Than Local Govts | 33,942,541 | 33,388,637 | 33,388,637 | 33,388,637 | 33,388,637 | 33,388,637 |
| TOTAL-General Fund | 37,236,889 | 37,304,573 | 37,527,910 | 37,411,975 | 37,594,741 | 37,482,331 |

UNIVERSITY OF CONNECTICUT

AGENCY DESCRIPTION

The University of Connecticut (UConn) is a community of scholars and a center for learning dedicated to excellence, which serves the state and its citizens by providing services that enhance the quality of life and the economic well-being of Connecticut. Founded in 1881, the University of Connecticut serves as the flagship for higher education in the state.

UConn’s attention to quality service and economic well-being is evidenced by the percentage of first-year students graduating within 6 years, master’s degrees completed in 6 years, doctoral degrees completed within 8 years, law students graduating within 3 years, as well as the range of passing levels for licensure and certificate exams in occupationally specific programs.

8,776 degrees are conferred annually. In 2019-2020 there were: 5,731 bachelor’s degrees, 1,774 master’s degrees, 382 doctoral degrees (including research and professional practice), 101 medicine doctorates, 52 dental medicine doctorates, 74 degrees in doctor of pharmacy program, 191 law (J.D., S.J.D., and L.L.M.) degrees, 53 sixth-year diplomas in professional education, 392 graduate/professional certificates, and 26 two-year agriculture degrees.

Over 60% of graduates who attended high school in Connecticut work in the state of Connecticut and contribute to meeting the economic and work force needs of the state.

| Program Measure | |
|--------------------------------|---------|
| Graduation Rates | |
| First-Year Students: Storrs | 83% |
| First-Year Students: Regionals | 62% |
| Master's Students | 80-85% |
| Doctoral Students | 65-70% |
| Law Students | 90-100% |
| Licensure exams | 90-100% |

First-year student enrollment increased at Storrs by 89 percent from Fall 1995 to Fall 2020. Growth across the regional campuses has been reinforced through the addition of residential options in Stamford, and the location and appeal of the new facilities in downtown Hartford. Overall, the university’s regional campuses experienced a 27 percent increase in first-year students from Fall 2016 to Fall 2020.

AGENCY PROGRAMS

INSTRUCTION

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To enable qualified graduates of Connecticut’s secondary schools to have access, regardless of economic status, to an education designed to challenge them and to provide graduate and professional educational opportunities, including programs at the doctoral level.

Program Description

For the entering first-year class at Storrs, the average SAT score was 1281. While the SAT has experienced redesign and re-centering over the years, even when controlled for these changes, it shows significant improvement from the fall 1996 mean of 1113 and is now 230 points above the national average of 1051.

The first two years of undergraduate courses and a selection of undergraduate degree programs in several majors may be completed at the four regional campuses as well as at Storrs.

Recent efforts to enhance the undergraduate learning experience for students include: freshmen experience seminars and learning skills classes; expansion of academic advising, the early college experience

program, honors and other enrichment programs; a mentor connection program to bring talented high school students to Storrs for summer involvement in faculty research; and ongoing input from a retention and graduation task force promoting timely graduation.

| Program Measure | |
|--------------------------------------|-----|
| Storrs Campus Retention Rates | |
| First-Year Student Retention – 1 Yr. | 93% |
| First-Year Student Retention – 2 Yr. | 88% |

In addition to 119 undergraduate majors, the university offers 17 types of graduate degrees in 88 fields of study and six graduate professional programs. Although most of the post-baccalaureate programs are in Storrs, there are graduate business programs in Hartford and Stamford, School of Law in Hartford, School of Social Work in West Hartford, and biomedical sciences and Schools of Medicine and Dental Medicine at UConn Health in Farmington.

RESEARCH

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objective

To contribute to knowledge by conducting research.

To apply research to the solving of problems faced by communities, business, industry, agriculture and government agencies in Connecticut.

Program Description

Research is an integral part of the academic programs of the university. The research program includes externally funded projects awarded to individual faculty members or centers funded for specific research areas. Research monies are used to conduct research programs, commercialize new technologies, purchase equipment, pay research support staff including graduate students, and other related activities.

The university has established many centers and institutes to encourage and facilitate multidisciplinary and interdepartmental

research and graduate training. Examples include the Institute for Collaboration on Health, Intervention, and Policy (InCHIP), Connecticut Institute for the Brain and Cognitive Sciences, Center for Environmental Sciences and Engineering, Center for Land Use Education and Research, Institute of Materials Science, Connecticut Institute for Resilience and Climate Adaptation, Neag Center for Creativity, Gifted Education and Talent Development, Connecticut Sea Grant College Program, Institute for Systems Genomics, Human Rights Institute, the Peter J. Werth Institute for Entrepreneurship, etc. Many of UConn's research centers and institutes were formed in close partnership with industry, such as the Eversource Energy Center, the UTC Institute for Advanced Systems Engineering, the UConn Thermo Fisher Scientific Center for Advanced Microscopy and Materials Analysis, Pratt & Whitney Additive Manufacturing Center and many others.

A variety of research programs in the Biological Sciences, Engineering, Pharmacy, Psychology and many other fields provide applications to improve the quality of life and the economic well-being of the state.

PUBLIC SERVICE

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To contribute to Connecticut's economic, social, and cultural development by sharing the university community's knowledge and skills through specialized programs and services.

Program Description

The university extends to all citizens of the state its research-based knowledge and skills through a variety of outreach and public engagement activities.

Cooperative Extension educators in Bethel, Brooklyn, Farmington, Haddam, North Haven, Norwich, Torrington, Vernon, West Hartford

and Storrs work with individuals, families, government agencies, communities, and volunteers to develop and carry out educational programs with hundreds of thousands of clientele contacts in such areas as environmental management; food production; food safety; community development; nutrition, diet and health; waste management; recycling; water quality; integrated pest management; family well-being; youth development; forestry; home horticulture; greenhouse and nursery management; and aquaculture.

The Center for Land Use Education and Research utilizes remote sensing and geographic information systems technologies to assist land use decision makers and to facilitate collaboration among the Land Grant, Sea Grant, and Space Grant College programs at the university.

ACADEMIC SUPPORT

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To enhance the university's instructional, research and public service missions by providing the academic support services which are integral to the successful functioning of the university.

Program Description

Academic support includes a variety of computer, audio-visual, and technical services, fine arts collections, and administration of the schools and colleges.

University Information Technology Services serves as both an instructional and a research facility. Computing resources and services are provided to students, faculty, and staff at Storrs and the regional campuses as well as to some of the public institutions of higher education and several state agencies.

The administration of academic programs includes the national recruitment and retention of highly qualified faculty and staff who serve students through a comprehensive range of academic support services in the Office for Undergraduate Education and Instruction, the Graduate School, and the other schools and colleges.

The Center for Excellence in Teaching and Learning promotes excellence in teaching and learning and provides programs to enhance the undergraduate experience of students. The Center also provides

media and technical support for instruction and the high-technology classrooms. The Center aids in the implementation of web course tools and distance learning access to advance effective teaching and learning.

Undergraduate students are assisted in their academic studies by: Learning Resources, Quantitative Learning, and University Writing Centers; Institute for Student Success programs, including the First-Year Experience and Academic Center for Exploratory Students; enrichment opportunities such as honors and study abroad; and expanding options in general education and individualized majors.

The William Benton Museum, Connecticut's State Art Museum, houses a collection of more than 6,500 works of art. Tens of

thousands of people are served by the art exhibits, lectures, and events each year. The museum also offers many educational opportunities. The Connecticut State Museum of Natural History maintains the state collections in anthropology, archaeology, biology, geology and scientific instruments. The Connecticut Archaeology Center examines and encourages the preservation of prehistoric and historic archeological sites and resources. The Museum and Center serve guests and students at educational exhibits, tours, workshops, talks, and special natural history events in Storrs and statewide. Faculty members in every school and college offer public lectures as well as classes on historical, environmental, cultural and political issues of statewide interest.

LIBRARY

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To support the instruction, research, study, and public service needs of students, faculty, staff, and citizens through convenient access to a comprehensive collection of scholarly material.

To serve as the principal public repository for library collections which must remain current through a regular and efficient program of replacement, acquisition, and restoration.

Program Description

The University Library System is the largest public collection of research materials in Connecticut. It consists of nearly 4 million physical and digital volumes housed in the Storrs, Law and Health Center libraries and in libraries at the Avery Point, Stamford, Waterbury and West Hartford. The Storrs library has several large

special collections, including the largest public map collection in New England, government publications, audio formats and video tapes, art and design, microtext, rare books, and historical manuscripts. In addition, there are departmental collections in art, music and pharmacy at Storrs and specialized subject collections in law, health sciences, social work, business and insurance, and marine sciences at various campuses. DigitalCommons@UConn provides an electronic depository of the intellectual output of the University.

The Thomas J. Dodd Research Center acquires, preserves, and makes available research material of national and international distinction including the African National Congress and South Africa, alternative politics and culture, American and English literature, Americana, children's literature, Connecticut business and enterprise, Connecticut historic preservation, Connecticut labor, Connecticut politics and public affairs, ethnic heritage and immigration, film and photography, graphic and book arts, Hispanic history and culture, human rights, Judaic studies, natural history, oral history and sound recordings, and railroad history.

STUDENT SERVICES

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To assist students in attaining their intellectual, cultural, career, and personal development objectives by providing a wide range of services, counseling, and residential opportunities.

Program Description

The student services program is funded primarily through student fees or through charges for specific services or facilities. The student services program includes: assistance in obtaining financial aid; counseling in personal adjustment and development; assistance in career planning and placement and help in finding employment while

at the university; cooperative education through which planned work experiences become an integral part of the student's academic program; special support services for veteran, minority, and older students, and students with a disability; and counseling and support services for participants in intercollegiate athletics.

A variety of university and student sponsored activities offer: a full schedule of recreational, athletic and cultural events; and health-related services for the physical and mental well-being of students, including bed care for uncomplicated medical conditions and a variety of outpatient services.

For the students who pay the room and board fees, Student Services provides food and housing, along with the physical, social, and educational support services necessary in a residential environment.

INSTITUTIONAL SUPPORT

Statutory Reference:

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives:

To ensure the efficient and effective planning, management and control of university operations through its executive management, fiscal operations and general administrative services.

Program Description:

Institutional Support encompasses activities that provide campus wide support, including the offices of the president, provost and vice presidents. Among the highly diversified support units within the

program are diversity and equity, alumni affairs, budget, human resources and payroll services, public and environmental safety, parking and transportation, environmental policy, governmental relations, audit, compliance and ethics, and other fiscal and university/community relations activities.

PHYSICAL PLANT

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To actively pursue the efficient operation, maintenance, and management of the physical facilities at the university campuses.

To provide an environment conducive to carrying out the mission of the university.

Program Description

Provides services through three primary subprograms: operations, engineering and administration.

The UCONN 2000 Infrastructure Improvement Program, established by Public Act 95-230, also known as UCONN 2000, the extension of that program by Public Act 02-3, An Act Concerning 21st Century UConn, the addition of Health Center projects and authorization by Public Act 11-75, An Act Concerning the University of Connecticut

Health Center, and another extension of the program including additional projects and authorization by Public Act 13-233, An Act Concerning Next Generation Connecticut, provide for a capital budget program in three phases at a total estimated cost of \$4,283 million (including \$826 million for UConn Health). A structured 10-year program of phase I and phase II projects began in FY 1996. UCONN 2000 phase III extended the initiative in FY 2005 for another 22 years. The program modernizes, rehabilitates, renews, expands and otherwise stabilizes the university's physical plant, and authorizes university issuance of General Obligation and Special Obligation Bonds to finance the approved list of projects. UCONN 2000 projects include deferred maintenance, renovations and adaptations, new buildings and additions, equipment replacement and upgrades, transportation and parking, roads and walks and residence halls. The facility improvements have been influential in enrollment growth, enhancement of the academic quality of the student body, and attraction of high-level faculty and research support. As of 6/30/20, \$3.3 billion of the \$3.5 billion authorized General Obligation bonds to date has been expended on projects.

SCHOLARSHIPS AND FELLOWSHIPS

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To ensure institutional access, diversity, and a competitive stance in attracting and retaining students of high quality by providing qualified undergraduate and graduate students with a variety of awards.

Program Description

The program includes awards to undergraduate students as scholarships, grants-in-aid, tuition remissions, work and loans. Graduates receive support through tuition remission/waivers, fellowships, work and loans.

The Work Study Program was established under Title I of the Economic Opportunity Act of 1964 to provide part-time employment for students from low-income families.

Graduate Fellowships, merit-based scholarship grants from the university's Tuition Funds, enable the university to recruit and retain well-qualified graduate students who plan to earn their doctorate degree. The university's academic programs depend, in many ways, on graduate students in the late stage of their doctoral

work. Approximately 2,104 assistantships, at an average 9-month salary of \$22,496, are provided to graduate students who perform key functions such as teaching courses and labs, tutoring, conducting research, and providing public service.

Merit based scholarships include the Academic Excellence, UCONN, Presidential Scholars, and Leadership awards. These scholarships are awarded to undergraduate students who have excelled academically prior to enrolling at the university and who contribute to the university's commitment to diversity. Many valedictorians or salutatorians of their respective Connecticut high schools are among the recipients.

Scholarships and Financial Aid awards are made from various sources including funds from the federal government, the state and university.

Pell Grants are entirely federally funded and are available to undergraduates who meet specified financial criteria.

Supplemental Educational Opportunity Grants are federally and institutionally funded assistance to undergraduates who have exceptional financial need. As the name suggests, these grants supplement Pell grants to students with exceptional need.

In FY 2020, approximately \$75 million was funded by the University for need-based grant awards.

THE UNIVERSITY OF CONNECTICUT BLOCK GRANT

Statutory Reference

C.G.S. Section 10a-105.

Statement of Need and Program Objectives

To provide the University of Connecticut with a streamlined, flexible, and responsive administrative structure.

Program Description

There are nine program elements in "The University of Connecticut Block Grant": Instruction; Research; Public Service; Academic Support; Library; Student Services; Institutional Support; Physical Plant; Scholarships and Fellowships.

For a more complete description of each of these, please refer to the aforementioned program elements.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

- Provide Funding for State Employee Wage Adjustments

| FY 2022 | FY 2023 |
|-----------|-----------|
| 1,294,747 | 1,294,747 |

Reductions

- Annualize FY 2021 Holdbacks
- Reduce Funding for Operating Expenses to Reflect Anticipated Federal Support

| FY 2022 | FY 2023 |
|------------|------------|
| -2,489,791 | -2,489,791 |
| -5,000,000 | 0 |

Reallocations

- Centralize Funding for Workers' Compensation Claims Under the Department of Administrative Services

| FY 2022 | FY 2023 |
|------------|------------|
| -2,271,228 | -2,271,228 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 2,404 | 0 | 0 | 2,404 | 2,404 | 2,404 | 2,404 | 2,404 |
| Higher Ed Operating | 2,199 | 0 | 0 | 2,199 | 2,199 | 2,199 | 2,199 | 2,199 |
| UConn/UConn Health Research Foundation | 382 | 0 | 0 | 382 | 382 | 382 | 382 | 382 |

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Instruction | 426,578,060 | 447,865,629 | 451,165,371 | 451,165,371 | 451,165,371 | 469,932,275 | 466,365,740 | 466,365,740 |
| Research | 95,824,857 | 98,751,161 | 100,654,826 | 100,654,826 | 100,654,826 | 102,790,819 | 102,778,603 | 102,778,603 |
| Public Service | 56,347,214 | 59,187,571 | 59,605,612 | 59,605,612 | 59,605,612 | 62,116,448 | 62,034,255 | 62,034,255 |
| Academic Support | 140,145,667 | 147,152,407 | 148,228,322 | 148,228,322 | 148,228,322 | 154,408,508 | 153,050,976 | 153,050,976 |
| Library | 26,685,867 | 28,037,074 | 28,231,285 | 28,231,285 | 28,231,285 | 29,427,159 | 29,236,391 | 29,236,391 |
| Student Services | 281,202,025 | 295,440,356 | 297,486,842 | 297,486,842 | 297,486,842 | 310,088,355 | 309,534,457 | 309,534,457 |
| Institutional Support | 106,243,716 | 111,526,347 | 112,360,246 | 111,969,705 | 102,208,686 | 117,012,753 | 115,310,793 | 110,549,774 |
| Physical Plant | 115,129,762 | 120,959,221 | 121,797,094 | 121,715,164 | 121,715,164 | 126,956,407 | 124,222,987 | 124,222,987 |
| Scholarships and Fellowships | 216,815,984 | 227,685,078 | 229,331,347 | 229,331,347 | 229,331,347 | 238,925,221 | 238,925,221 | 238,925,221 |
| UConn Block Grant | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL Agency Programs | 1,464,973,152 | 1,536,604,844 | 1,548,860,945 | 1,548,388,474 | 1,538,627,455 | 1,611,657,945 | 1,601,459,423 | 1,596,698,404 |

Summary of Funding

| | | | | | | | | |
|----------------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| General Fund | 199,907,902 | 211,250,337 | 213,017,555 | 212,545,084 | 202,784,065 | 222,743,606 | 212,545,084 | 207,784,065 |
| Federal Funds | 1,864,629 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Higher Ed Operating | 1,143,400,247 | 1,202,033,671 | 1,210,056,137 | 1,210,056,137 | 1,210,056,137 | 1,260,611,342 | 1,260,611,342 | 1,260,611,342 |
| UConn/UConn Health Research Foundation | 119,800,374 | 123,320,836 | 125,787,253 | 125,787,253 | 125,787,253 | 128,302,997 | 128,302,997 | 128,302,997 |
| Total Agency Programs | 1,464,973,152 | 1,536,604,844 | 1,548,860,945 | 1,548,388,474 | 1,538,627,455 | 1,611,657,945 | 1,601,459,423 | 1,596,698,404 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Other Current Expenses | | | | | | |
| Operating Expenses | 197,736,278 | 208,979,109 | 210,355,786 | 202,784,065 | 220,081,837 | 207,784,065 |
| Workers' Compensation Claims | 2,171,624 | 2,271,228 | 2,661,769 | 0 | 2,661,769 | 0 |
| TOTAL-Other Current Expenses | 199,907,902 | 211,250,337 | 213,017,555 | 202,784,065 | 222,743,606 | 207,784,065 |
| | | | | | | |
| Other Current Expenses | 199,907,902 | 211,250,337 | 213,017,555 | 202,784,065 | 222,743,606 | 207,784,065 |
| TOTAL-General Fund | 199,907,902 | 211,250,337 | 213,017,555 | 202,784,065 | 222,743,606 | 207,784,065 |

UNIVERSITY OF CONNECTICUT HEALTH CENTER

AGENCY DESCRIPTION

UConn Health is the state's only public academic medical center and a comprehensive educational, research and patient care organization. It is comprised of the School of Medicine, the School of Dental Medicine, UConn John Dempsey Hospital, an extensive medical and dental faculty practice, a leading research center, and administrative facilities. UConn Health offers an innovative educational curriculum supported by areas of excellence in research and clinical programs that enhance the vitality of the region and the state.

To meet its educational and public service responsibilities, UConn Health provides a wide array of graduate and continuing education programs that incorporate both scientific and clinical orientations and balance faculty initiatives among teaching, research and public service.

Equally important, through its inpatient and ambulatory care programs, UConn Health exposes students to a variety of patients and

practice settings. This broad-based experience assures the development of competent and caring health care professionals.

As an integral part of their duties, the faculty of the Schools of Medicine and Dental Medicine conduct extensive research into the basic causes of disease, more effective clinical therapies, and more efficient ways of organizing, providing and financing health care.

Through its faculty, staff and students, UConn Health supports a wide variety of community outreach programs through collaborative efforts with other state agencies, city and town governments, community-based organizations, and the citizens of Connecticut to provide direct care to the poor and uninsured and to educate various populations about health and health care.

A description of the major programs at UConn Health follows. The recommended General Fund amounts are shown in The UConn Health Block Grant program.

The following programmatic and position descriptions are provided for informational purposes only. For funding information, refer to the "UConn Health Block Grant" program.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | | FY 2023 | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--|------------|--|---------|
| | | | | | |
| <ul style="list-style-type: none"> Provide Funding for State Employee Wage Adjustments | 797,595 | | 797,595 | | |
| Reallocations | FY 2022 | | FY 2023 | | |
| <ul style="list-style-type: none"> Centralize Funding for Workers' Compensation Claims Under the Department of Administrative Services | -2,917,484 | | -2,917,484 | | |
| <ul style="list-style-type: none"> Reallocate Funding from Bioscience CT to Operating Expenses | 0 | | 0 | | |
| Expansions | FY 2022 | | FY 2023 | | FY 2024 |
| <ul style="list-style-type: none"> Provide Funding to Address Recreational Use of Cannabis by Adults <i>Funding is provided for two positions to support anticipated call volume increase at the Connecticut Poison Control Center.</i> | 39,500 | | 163,448 | | 158,000 |
| <ul style="list-style-type: none"> Provide Operating Support to UConn Health | 40,000,000 | | 0 | | 0 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Higher Ed Operating | 785 | 0 | 112 | 897 | 897 | 897 | 897 | 897 |
| UConn/UConn Health Research Foundation | 491 | 0 | 43 | 534 | 534 | 534 | 534 | 534 |
| UConn Health Clinical | 910 | 0 | 86 | 996 | 996 | 996 | 996 | 996 |
| Special Non-Appropriated Funds | 549 | 0 | 32 | 581 | 581 | 581 | 581 | 581 |
| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| School of Medicine | 470,775,153 | 507,846,330 | 520,867,450 | 520,839,909 | 519,921,885 | 534,525,400 | 532,670,822 | 531,752,798 |

| | | | | | | | | |
|---------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| School of Dental Medicine | 47,205,587 | 51,333,054 | 52,661,965 | 52,658,251 | 52,534,454 | 54,065,185 | 53,705,786 | 53,581,989 |
| UHC Institutional Support | 569,178,202 | 652,330,188 | 615,691,002 | 615,634,732 | 653,721,569 | 632,489,543 | 629,382,379 | 627,593,164 |
| TOTAL Agency Programs | 1,087,158,942 | 1,211,509,572 | 1,189,220,417 | 1,189,132,892 | 1,226,177,908 | 1,221,080,128 | 1,215,758,987 | 1,212,927,951 |

Summary of Funding

| | | | | | | | | |
|----------------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| General Fund | 127,578,333 | 185,850,006 | 136,735,126 | 136,647,601 | 173,692,617 | 141,968,742 | 136,647,601 | 133,816,565 |
| Federal Funds | 4,246,365 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Higher Ed Operating | 373,258,869 | 402,411,627 | 414,544,663 | 414,544,663 | 414,544,663 | 424,867,593 | 424,867,593 | 424,867,593 |
| UConn/UConn Health Research Foundation | 131,075,939 | 135,768,611 | 138,297,592 | 138,297,592 | 138,297,592 | 141,786,768 | 141,786,768 | 141,786,768 |
| UConn Health Clinical | 410,815,405 | 441,824,470 | 454,884,530 | 454,884,530 | 454,884,530 | 467,274,132 | 467,274,132 | 467,274,132 |
| Special Non-Appropriated Funds | 40,184,031 | 45,654,858 | 44,758,506 | 44,758,506 | 44,758,506 | 45,182,893 | 45,182,893 | 45,182,893 |
| Total Agency Programs | 1,087,158,942 | 1,211,509,572 | 1,189,220,417 | 1,189,132,892 | 1,226,177,908 | 1,221,080,128 | 1,215,758,987 | 1,212,927,951 |

SCHOOL OF MEDICINE

Statutory Reference

C.G.S. Sections 10a-102 and 10a-130.

Statement of Need and Program Objectives

To provide qualified Connecticut residents the educational opportunity to become doctors.

To ensure an adequate number of highly qualified practitioners of medicine through educational programs that incorporate both scientific and clinical content.

To advance knowledge through a diversified program of research and research training.

Program Description

Education: The School of Medicine administers programs at all levels of physician training. It educates future teachers and researchers in the life sciences and in the community health field through graduate programs at the doctoral and master degree levels.

Undergraduate Program: The school administers a four-year, post-baccalaureate program leading to the M.D. degree. The first two years expose students to fundamental scientific knowledge and skills necessary to all physicians, regardless of specialization. The student is also exposed to the ethical, legal, social and financial issues which dominate modern health care delivery. In the third and fourth years, the student applies the knowledge acquired in the first two years and sharpens clinical skills through more intensive clinical work and rotations through various medical disciplines. These clinical activities take place in a variety of settings to ensure student exposure to all types of problems, delivery systems, and population needs.

Graduate Programs: Residency programs at the School of Medicine provide the advanced training necessary to practice and obtain certification in many fields of medical specialization. The programs vary in length from one to five years and are administered at UConn John Dempsey Hospital and several closely affiliated health care delivery institutions. Master degree and doctoral programs are offered in cooperation with the University of Connecticut Graduate School in nine biomedical sciences and in community health sciences. There are also post-doctoral education programs for biomedical scientists that involve close collaboration between the faculty in the basic sciences and in the medical and dental clinical departments.

Continuing Education: The school provides facilities and opportunities for practicing health care professionals to continue their education by offering a wide variety of courses, lectures, seminars, and visiting lectureships both at UConn Health and at other institutions.

Research: More than half of the faculty members are expected to develop an active research program, both to advance basic knowledge within their fields of expertise and to enhance the quality of educational programs. Approximately \$80 million dollars of non-state funds are awarded to the school annually to support its research activities. These activities are essential for attracting and maintaining the best faculty and for developing economic partnerships with industry around the state.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Higher Ed Operating | 704 | 0 | 105 | 809 | 809 | 809 | 809 | 809 |
| UConn/UConn Health Research Foundation | 324 | 0 | 28 | 352 | 352 | 352 | 352 | 352 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Operating Expenses | 34,450,943 | 40,759,879 | 41,038,798 | 41,038,798 | 47,039,779 | 42,837,468 | 41,038,798 | 47,039,779 |
| AHEC | 375,179 | 375,832 | 375,832 | 375,832 | 375,832 | 375,832 | 375,832 | 375,832 |
| Workers' Compensation Claims | 853,289 | 918,024 | 945,565 | 918,024 | 0 | 973,932 | 918,024 | 0 |
| Bioscience | 5,185,768 | 6,000,981 | 6,000,981 | 6,000,981 | 0 | 6,000,981 | 6,000,981 | 0 |
| Other Current Expenses | 40,865,179 | 48,054,716 | 48,361,176 | 48,333,635 | 47,415,611 | 50,188,213 | 48,333,635 | 47,415,611 |
| Total-General Fund | 40,865,179 | 48,054,716 | 48,361,176 | 48,333,635 | 47,415,611 | 50,188,213 | 48,333,635 | 47,415,611 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Higher Ed Operating | 343,507,405 | 370,295,732 | 381,343,340 | 381,343,340 | 381,343,340 | 390,874,259 | 390,874,259 | 390,874,259 |
| UConn/UConn Health Research Foundation | 86,402,569 | 89,495,882 | 91,162,934 | 91,162,934 | 91,162,934 | 93,462,928 | 93,462,928 | 93,462,928 |
| Total - All Funds | 470,775,153 | 507,846,330 | 520,867,450 | 520,839,909 | 519,921,885 | 534,525,400 | 532,670,822 | 531,752,798 |

SCHOOL OF DENTAL MEDICINE

Statutory Reference

C.G.S. Sections 10a-102 and 10a-130.

Statement of Need and Program Objectives

To provide qualified Connecticut residents the educational opportunity to become dentists.

To ensure an adequate number of highly qualified practitioners of dentistry through educational programs that incorporate both scientific and clinical content.

To advance knowledge through a diversified program of research and research training.

Program Description

Education: The School of Dental Medicine administers programs at all levels of dental education. The school educates those intent on practicing general dentistry or a dental specialty as well as future teachers and researchers in the life sciences.

Undergraduate Program: The School of Dental Medicine administers a four-year post-baccalaureate program leading to the D.M.D. degree. During the first two years dental students attend the same basic medical science classes as medical students. In addition, dental students are taught clinical dental sciences and applicability of basic

medical sciences to the practice of dental medicine. During the next two years, dental students receive approximately 2,200 hours of clinical experience and 800 hours of didactic instruction and pre-clinical laboratory training. Students gain broad experience in the diagnostic sciences related to dentistry and have the opportunity to provide a wide range of oral health care in the school's outpatient clinics which receive more than 90,000 patient visits annually.

Graduate Education Programs: The School of Dental Medicine offers residency programs leading to certification in all the recognized clinical dental specialties. Training grants from the National Institutes of Health allow students intent on a career in academic dentistry to concurrently pursue training in a specialty or D.M.D. degree and a Ph.D. in the biomedical sciences.

Research: The school's goal is to graduate students who possess the knowledge and clinical skills to provide quality dental care today and have the intellectual capacity to understand, develop, and apply new concepts to future challenges. An essential expectation for all faculty members is an ongoing effort to advance the basic understanding of human biology and pathology and raise the standard of oral health. Over \$7 million of non-state funds are awarded annually to the school to support its research activities.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Higher Ed Operating | 81 | 0 | 7 | 88 | 88 | 88 | 88 | 88 |
| UConn/UConn Health Research Foundation | 36 | 0 | 3 | 39 | 39 | 39 | 39 | 39 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |

| General Fund | Actual | Estimated | Requested | Baseline Recommended | Requested | Baseline Recommended | Requested | Baseline Recommended |
|----------------------------------------|----------------|------------------|------------------|-----------------------------|------------------|-----------------------------|------------------|-----------------------------|
| Other Current Expenses | | | | | | | | |
| Operating Expenses | 6,733,315 | 7,973,538 | 8,028,101 | 8,028,101 | 9,205,116 | 8,379,961 | 8,028,101 | 9,205,116 |
| Workers' Compensation Claims | 91,213 | 123,797 | 127,511 | 123,797 | 0 | 131,336 | 123,797 | 0 |
| Bioscience | 1,030,447 | 1,177,015 | 1,177,015 | 1,177,015 | 0 | 1,177,015 | 1,177,015 | 0 |
| Other Current Expenses | 7,854,975 | 9,274,350 | 9,332,627 | 9,328,913 | 9,205,116 | 9,688,312 | 9,328,913 | 9,205,116 |
| Total-General Fund | 7,854,975 | 9,274,350 | 9,332,627 | 9,328,913 | 9,205,116 | 9,688,312 | 9,328,913 | 9,205,116 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | | | | | | | | |
| Higher Ed Operating | 29,751,464 | 32,115,895 | 33,201,323 | 33,201,323 | 33,201,323 | 33,993,334 | 33,993,334 | 33,993,334 |
| UConn/UConn Health Research Foundation | 9,599,148 | 9,942,809 | 10,128,015 | 10,128,015 | 10,128,015 | 10,383,539 | 10,383,539 | 10,383,539 |
| Total - All Funds | 47,205,587 | 51,333,054 | 52,661,965 | 52,658,251 | 52,534,454 | 54,065,185 | 53,705,786 | 53,581,989 |

UConn Health Institutional Support

Statutory Reference

C.G.S. Sections 10a-102 and 10a-130.

Statement of Need and Program Objectives

To support the instruction, research, study and community service needs of students, faculty, staff, and citizens.

To enhance the overall operation of UConn Health through effective management, long-range planning and financial and educational support services.

To ensure a clean, safe and healthy environment through regular and effective operation, maintenance and upkeep of buildings and grounds.

To ensure the efficient and effective planning, management and control of UConn Health operations through its executive management, fiscal operations, and general administrative services.

Program Description

The Lyman Maynard Stowe Library is UConn Health's academic resource for medical, dental and scientific information.

Administrative Support Services include the offices of the vice president for health affairs, communications, diversity management and equal opportunity, environmental health and safety, facilities management, finance, human resources, information technology, public safety, and radiation safety. Other support services include a bookstore, a center for laboratory animal care, day care, mail distribution, purchasing, receiving, warehousing, and telecommunications.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------|----------------|------------------|------------------|-----------------------------|------------------|-----------------------------|------------------|-----------------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| UConn/UConn Health Research Foundation | 131 | 0 | 12 | 143 | 143 | 143 | 143 | 143 |
| UConn Health Clinical | 910 | 0 | 86 | 996 | 996 | 996 | 996 | 996 |
| Special Non-Appropriated Funds | 549 | 0 | 32 | 581 | 581 | 581 | 581 | 581 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Financial Summary by Program | | | | | | | | |
| General Fund | Actual | Estimated | Requested | Baseline Recommended | Requested | Baseline Recommended | Requested | Baseline Recommended |
| Other Current Expenses | | | | | | | | |
| Operating Expenses | 68,051,991 | 117,823,273 | 68,287,386 | 68,287,386 | 77,071,890 | 71,280,322 | 68,287,386 | 77,195,838 |
| Workers' Compensation Claims | 1,699,403 | 1,875,663 | 1,931,933 | 1,875,663 | 0 | 1,989,891 | 1,875,663 | 0 |
| Bioscience | 9,106,785 | 8,822,004 | 8,822,004 | 8,822,004 | 0 | 8,822,004 | 8,822,004 | 0 |
| Temporary Operating Support | 0 | 0 | 0 | 0 | 40,000,000 | 0 | 0 | 0 |

| | | | | | | | | |
|-------------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Current Expenses | 78,858,179 | 128,520,940 | 79,041,323 | 78,985,053 | 117,071,890 | 82,092,217 | 78,985,053 | 77,195,838 |
| Total-General Fund | 78,858,179 | 128,520,940 | 79,041,323 | 78,985,053 | 117,071,890 | 82,092,217 | 78,985,053 | 77,195,838 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| UConn/UConn Health Research Foundation | 35,074,222 | 36,329,920 | 37,006,643 | 37,006,643 | 37,006,643 | 37,940,301 | 37,940,301 | 37,940,301 |
| UConn Health Clinical | 410,815,405 | 441,824,470 | 454,884,530 | 454,884,530 | 454,884,530 | 467,274,132 | 467,274,132 | 467,274,132 |
| Special Non-Appropriated Funds | 40,184,031 | 45,654,858 | 44,758,506 | 44,758,506 | 44,758,506 | 45,182,893 | 45,182,893 | 45,182,893 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 97036 DISASTER GRANTS-PUBLIC ASSISTANCE (PRESIDENTIALLY DECLARED) | 4,246,365 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 569,178,202 | 652,330,188 | 615,691,002 | 615,634,732 | 653,721,569 | 632,489,543 | 629,382,379 | 627,593,164 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Other Current Expenses | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Operating Expenses | 109,236,249 | 166,556,690 | 117,354,285 | 133,316,785 | 122,497,751 | 133,440,733 |
| AHEC | 375,179 | 375,832 | 375,832 | 375,832 | 375,832 | 375,832 |
| Workers' Compensation Claims | 2,643,905 | 2,917,484 | 3,005,009 | 0 | 3,095,159 | 0 |
| Bioscience | 15,323,000 | 16,000,000 | 16,000,000 | 0 | 16,000,000 | 0 |
| Temporary Operating Support | 0 | 0 | 0 | 40,000,000 | 0 | 0 |
| TOTAL-Other Current Expenses | 127,578,333 | 185,850,006 | 136,735,126 | 173,692,617 | 141,968,742 | 133,816,565 |
| Other Current Expenses | 127,578,333 | 185,850,006 | 136,735,126 | 173,692,617 | 141,968,742 | 133,816,565 |
| TOTAL-General Fund | 127,578,333 | 185,850,006 | 136,735,126 | 173,692,617 | 141,968,742 | 133,816,565 |

TEACHERS' RETIREMENT BOARD

AGENCY DESCRIPTION

The Teachers' Retirement Board's mission is to administer the State Teachers' Retirement System.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|----------------------------------------------------------------------------------------------------------|----------------|----------------|
| • Fund the Actuarially Determined Employer Contribution (ADEC) for the Teachers' Retirement System (TRS) | 195,627,000 | 330,009,000 |
| • Fund the Teachers' Retirement Board Retiree Health Plan at the Statutory Level | -3,142,400 | 51,600 |
| • Fund the Retiree Municipal Health Subsidy at the Statutory Level | -435,640 | -435,640 |
| • Realign Funding for Other Expenses Based on Required Actuarial Services | -129,000 | -45,000 |
| • Provide Funding for State Employee Wage Adjustments | 29,901 | 29,901 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 67,413 |
| Reductions | FY 2022 | FY 2023 |
| • Annualize FY 2021 Rescissions | -19,952 | -19,952 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 23 | 4 | 0 | 27 | 27 | 27 | 27 | 27 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Funding of System | 3,509,649,224 | 1,279,830,040 | 1,497,873,667 | 1,475,463,000 | 1,475,463,000 | 1,644,067,667 | 1,613,039,000 | 1,613,039,000 |
| Management Services | 2,234,997 | 2,167,565 | 2,159,116 | 2,168,466 | 2,148,514 | 2,243,116 | 2,319,879 | 2,299,927 |
| TOTAL Agency Programs | 3,511,884,221 | 1,281,997,605 | 1,500,032,783 | 1,477,631,466 | 1,477,611,514 | 1,646,310,783 | 1,615,358,879 | 1,615,338,927 |

| <i>Summary of Funding</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| General Fund | 1,240,226,751 | 1,281,997,605 | 1,500,032,783 | 1,477,631,466 | 1,477,611,514 | 1,646,310,783 | 1,615,358,879 | 1,615,338,927 |
| Special Non-Appropriated Funds | 2,271,657,470 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 3,511,884,221 | 1,281,997,605 | 1,500,032,783 | 1,477,631,466 | 1,477,611,514 | 1,646,310,783 | 1,615,358,879 | 1,615,338,927 |

FUNDING OF SYSTEM

Statutory Reference

C.G.S. Sections 10-183b through 10-183nn; Section 10-183(c).

Statement of Need and Program Objectives

To administer retirement and health benefit plans for career public school teachers and eligible dependents. Pension and health plan benefits are provided as a tool used to attract and retain qualified employees and to provide income security upon retirement. Public school teachers in Connecticut are exempt from the Social Security program, making this pension their only source of income upon retirement in many cases.

Program Description

Pension: Retirement benefits are funded by member contributions, state contributions and investment income. Pension benefits received by members in fiscal year ending June 30, 2020, were in excess of \$2 billion. Active member contributions during fiscal year ending June 30, 2020, were \$355 million.

To qualify for retirement a member must have 35 years of credited service, of which at least 25 years are Connecticut years; or 25 years of credited service at any age, of which at least 20 are Connecticut years; or 20 years of credited service at age 55, of which at least 15

are Connecticut years; or ten years of Connecticut service credit at age 60.

Health Insurance: Health insurance costs reflect membership participation, utilization of coverage and inflationary trends in health care costs. Funding sources for the health programs are the State of Connecticut, retired teachers, active teachers and the federal government.

TRB Sponsored Health Program Costs (Retiree Health Service Costs): A member who is receiving a monthly retirement benefit from this system is eligible for either a Medicare supplemental or Medicare Advantage health benefit plan provided they are enrolled in Part A and Part B of Medicare. An eligible dependent can also participate provided they are enrolled in Part A and Part B of Medicare as well.

The state pays 33% of the cost of the base program. There were approximately 30,500 participants in this plan as of June 30, 2020.

TRB Health Insurance Subsidy Program (Municipal Retiree Health Insurance Cost): A member or eligible dependent is eligible for a health plan subsidy which is applied towards their health plan premium, provided 1) the member is receiving a monthly retirement benefit from this system (prior to their death), and 2) the member and/or eligible dependent remain on the health plan of the last Connecticut public school employer of the member. The monthly subsidy is currently \$110 per person per month, with the state funding 33% of the subsidy. There were approximately 11,500 members receiving the health subsidy as of June 30, 2020.

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Pmts to Other Than Local Govts | | | | | | | | |
| Retirement Contributions | 1,208,819,000 | 1,249,835,000 | 1,466,000,000 | 1,443,656,000 | 1,443,656,000 | 1,609,000,000 | 1,578,038,000 | 1,578,038,000 |
| Retirees Health Service Cost | 24,063,941 | 24,859,400 | 26,707,000 | 26,707,000 | 26,707,000 | 29,901,000 | 29,901,000 | 29,901,000 |
| Municipal Retiree Health Insurance Costs | 5,108,813 | 5,135,640 | 5,166,667 | 5,100,000 | 5,100,000 | 5,166,667 | 5,100,000 | 5,100,000 |
| Pmts to Other Than Local Govts | 1,237,991,754 | 1,279,830,040 | 1,497,873,667 | 1,475,463,000 | 1,475,463,000 | 1,644,067,667 | 1,613,039,000 | 1,613,039,000 |
| Total-General Fund | 1,237,991,754 | 1,279,830,040 | 1,497,873,667 | 1,475,463,000 | 1,475,463,000 | 1,644,067,667 | 1,613,039,000 | 1,613,039,000 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Special Non-Appropriated Funds | 2,271,657,470 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 3,509,649,224 | 1,279,830,040 | 1,497,873,667 | 1,475,463,000 | 1,475,463,000 | 1,644,067,667 | 1,613,039,000 | 1,613,039,000 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 10-183(c).

Program Description

Management Services: The Board delegates the daily management and administration of the retirement system to the administrator. Agency activities include, but are not limited to: accounting for all receivables and payables, and account reconciliation in excess of \$3.8 billion during fiscal year ending June 30, 2020; application processing for various types of benefits; benefit eligibility, determination and initiation; service credit purchase eligibility and determination.

Demographics: As of June 30, 2020, there were 50,110 active members, 37,913 retired members, 316 disabled members and 14,733 inactive members. During FY 2020, 1,414 members retired with an average age of 63.27, an average length of service of 25.02 years and an average salary base of \$96,448; the average annual retirement benefit was \$51,134. The agency had 23 full-time staff members with an operating expense and staff salaries equivalent to \$20 per member for the year.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Death Settlements | 514 | 700 | 700 | 700 |
| Health Plan Enrollments & Changes | 2,046 | 2,500 | 2,500 | 2,500 |
| Retiree Changes (i.e. taxes, bank, etc.) | 55,500 | 56,055 | 56,600 | 57,200 |
| Retiree Payroll Reconciliation | 2,150,000,000 | 2,200,000,000 | 2,250,000,000 | 2,315,000,000 |
| Retirement Applications | 1,253 | 1,500 | 1,400 | 1,450 |
| Retirement Benefit Estimates | 1,485 | 2,200 | 2,050 | 2,125 |
| Active Member Account Updates | 50,000 | 50,000 | 50,000 | 50,000 |
| Active Teacher Beneficiary Designations | 5,004 | 5,000 | 5,000 | 5,000 |
| Service Credit Applications | 1,222 | 1,400 | 1,300 | 1,350 |
| Refunds of Member Contributions | 744 | 900 | 750 | 750 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 23 | 4 | 0 | 27 | 27 | 27 | 27 | 27 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,558,516 | 1,622,838 | 1,743,389 | 1,752,739 | 1,735,511 | 1,743,389 | 1,820,152 | 1,802,924 |
| Other Expenses | 676,481 | 544,727 | 415,727 | 415,727 | 413,003 | 499,727 | 499,727 | 497,003 |
| Total-General Fund | 2,234,997 | 2,167,565 | 2,159,116 | 2,168,466 | 2,148,514 | 2,243,116 | 2,319,879 | 2,299,927 |
| Total - All Funds | 2,234,997 | 2,167,565 | 2,159,116 | 2,168,466 | 2,148,514 | 2,243,116 | 2,319,879 | 2,299,927 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 1,548,700 | 1,595,838 | 1,716,389 | 1,708,511 | 1,716,389 | 1,775,924 |
| Longevity Payments | 5,126 | 4,000 | 4,000 | 4,000 | 4,000 | 4,000 |
| Overtime | 1,328 | 0 | 0 | 0 | 0 | 0 |
| Accumulated Leave | 3,362 | 23,000 | 23,000 | 23,000 | 23,000 | 23,000 |
| TOTAL - Personal Services-Personal Services | 1,558,516 | 1,622,838 | 1,743,389 | 1,735,511 | 1,743,389 | 1,802,924 |

| Other Expenses | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Communications | 30,606 | 36,000 | 36,000 | 36,000 | 36,000 | 36,000 |
| Information Technology | 285,651 | 35,000 | 35,000 | 35,000 | 35,000 | 35,000 |
| Other Services | 76,067 | 68,000 | 68,000 | 68,000 | 68,000 | 68,000 |
| Professional Services | 234,191 | 380,727 | 251,727 | 249,003 | 335,727 | 333,003 |
| Purchased Commodities | 49,966 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| TOTAL-Other Expenses | 676,481 | 544,727 | 415,727 | 413,003 | 499,727 | 497,003 |

Pmts to Other Than Local Govts

| | | | | | | |
|------------------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Retirement Contributions | 1,208,819,000 | 1,249,835,000 | 1,466,000,000 | 1,443,656,000 | 1,609,000,000 | 1,578,038,000 |
| Retirees Health Service Cost | 24,063,941 | 24,859,400 | 26,707,000 | 26,707,000 | 29,901,000 | 29,901,000 |
| Municipal Retiree Health Insurance Costs | 5,108,813 | 5,135,640 | 5,166,667 | 5,100,000 | 5,166,667 | 5,100,000 |
| TOTAL-Pmts to Other Than Local Govts | 1,237,991,754 | 1,279,830,040 | 1,497,873,667 | 1,475,463,000 | 1,644,067,667 | 1,613,039,000 |
| | | | | | | |
| Personal Services | 1,558,516 | 1,622,838 | 1,743,389 | 1,735,511 | 1,743,389 | 1,802,924 |
| Other Expenses | 676,481 | 544,727 | 415,727 | 413,003 | 499,727 | 497,003 |
| Pmts to Other Than Local Govts | 1,237,991,754 | 1,279,830,040 | 1,497,873,667 | 1,475,463,000 | 1,644,067,667 | 1,613,039,000 |
| TOTAL-General Fund | 1,240,226,751 | 1,281,997,605 | 1,500,032,783 | 1,477,611,514 | 1,646,310,783 | 1,615,338,927 |

CONNECTICUT STATE COLLEGES & UNIVERSITIES

AGENCY DESCRIPTION

The Board of Regents for Higher Education serves as the governing board for the state of Connecticut's four state universities, twelve community colleges and Charter Oak State College, known collectively as the Connecticut State Colleges & Universities. The mission and purpose of the board is to provide affordable, innovative and rigorous educational programs in a setting that permits an ever increasing number of students to achieve their personal and career goals as well as contribute to economic growth of the state of Connecticut. This will be achieved by increasing the number of students who successfully complete a first year of college, graduating more students with the knowledge and skills to reach life and career goals, maximizing and sustaining access to higher education in Connecticut through affordable tuition and financially sound institutions, creating educational environments that emphasize innovation and eliminating achievement disparities among different ethnic, racial, economic and gender groups.

Specific responsibilities include the implementation of policies and procedures for the 17 institutions in the system, and licensure and approval of academic degree-granting and certificate programs for the state's twelve colleges, four universities and online institution.

Since its inception, the Connecticut State Colleges & Universities has launched several important initiatives to enhance student access to, and success in, higher education in the state of Connecticut to support the state's economic development efforts and to increase efficiency and effectiveness within the Connecticut State College & University System. These initiatives include:

- Planning and implementation of a policy that facilitates the transfer of students between institutions by eliminating academic barriers across the system.
- Pursuant to the provisions of PA 12-40, implementation of a new policy on remedial education that will improve student success rates in the system.
- Implementation of three new manufacturing centers in the community college system to address the specific workforce needs of local manufacturers. The programs are modeled on the success of the manufacturing center program at Asnuntuck Community College and supported by \$18 million in state bond funds to create the instructional infrastructure. The first class for these new programs enrolled in fall 2012.
- Implementation of a system-wide program designed to encourage students who have discontinued their post-secondary education in recent years to return to school to obtain a degree or certificate.

In fall 2020 the Connecticut State Colleges and Universities enrolled 70,486 students in credit programs and approximately 30,000 will enroll over the year in non-credit programs. Students at the Connecticut State Colleges and Universities completed 15,462 degrees and certificates in 2018-19, up slightly from the previous year. However, over the past ten years, the number of completions has increased 18 percent among all 17 institutions.

CONNECTICUT STATE UNIVERSITIES

The four comprehensive state universities are Central Connecticut State University; Eastern Connecticut State University; Southern Connecticut State University and Western Connecticut State University.

These institutions offer exemplary undergraduate and graduate instruction leading to degrees in the liberal arts, sciences, fine arts, applied fields and professional disciplines. They advance and extend knowledge, learning and culture while preparing students to enter the workforce and to contribute to the civic life of Connecticut's diverse communities. To ensure access and diversity and to meet the needs of a broad range of students, the schools provide varying living and learning environments, from rural residential campuses to urban locations and online instruction. With state-of-the-art facilities and exceptional faculty, the four Connecticut State Universities support an atmosphere of inter-campus learning, the exploration of technological and global influences and the application of knowledge to promote economic growth and social justice.

In fall 2020, a total of 29,874 students were enrolled in the four state universities, with a full-time equivalence (FTE) 24,735. Of these students, 74 percent were enrolled on a full-time basis (82% of undergraduates and 32% of graduate students), 84 percent were undergraduates and 93 percent were Connecticut residents. These enrollment levels have begun to decline from the record set in fall 2010 of 36,629 headcount enrollment and 29,603 FTE enrollment; further declines are possible as the number of high school graduates in the state shrinks, following demographic trends.

REGIONAL COMMUNITY-TECHNICAL COLLEGES

The twelve community colleges are Asnuntuck Community College (Enfield), Capital Community College (Hartford), Gateway Community College (New Haven), Housatonic Community College (Bridgeport), Manchester Community College (Manchester), Middlesex Community College (Middletown), Naugatuck Valley Community College (Waterbury), Northwestern Community College (Winsted), Norwalk Community College (Norwalk), Quinebaug Valley Community College (Danielson), Three Rivers Community College (Norwich) and Tunxis Community College (Farmington).

The twelve comprehensive Connecticut Community Colleges that are part of the Connecticut State Colleges and Universities system share a mission to make excellent higher education and lifelong learning affordable and accessible to all Connecticut citizens. The colleges enrich the intellectual, civic, cultural and social environments of the communities they serve through a wide range of credit transfer and career programs leading to associate degrees and certificates and non-credit life-long learning and job skills training programs. The colleges support the economic growth of the

state and its citizens through programs and partnerships that supply business and industry with a skilled, well-trained workforce. All of the colleges offer an array of programs and support services that nurture student success.

In Academic Year 2018-19, the twelve community colleges awarded 7,286 degrees and certificates, with 2,955 in high demand engineering and math (STEM).

In fall 2020, a total of 38,978 students were enrolled for credit in the twelve community colleges, with a full-time equivalence (FTE) of 22,681. Community college enrollments have been declining since 2012 after 8 years of growth. This follows the trends in school age population and employment rates. Recent FTE levels have not fallen as drastically.

In 2017, the Board of Regents recognized that the colleges are challenged by declining enrollments, unacceptable student outcomes, and fiscal instability with costs growing faster than

CHARTER OAK STATE COLLEGE

Charter Oak State College, the state’s only public, online degree-granting institution and part of the Connecticut State Colleges and Universities system, provides diverse and alternative opportunities for adults to earn undergraduate and graduate degrees and certificates. Relying on the judgment of professional educators, Charter Oak State College validates learning acquired through examinations, independent study, work experience, non-collegiate-sponsored instruction, technology-mediated learning and traditional study, including through Charter Oak State College’s own courses. In all of its activities, the college rigorously upholds standards of high quality and seeks to inspire adults with the self-enrichment potential of non-traditional higher education.

In fall 2020 - 1,634 students were enrolled for credit as of the end of October and another 458 were continuing association with the

The following programmatic and position descriptions are provided for informational purposes only. For funding information refer to either "The Connecticut State University Block Grant" program or "The Regional Community-Technical Colleges Block Grant" program.

revenues. Merging the twelve separately accredited community colleges into a single college will reduce administrative costs in non-student facing positions while investing in research-based student success programs like Guided Pathways and Holistic Case Management Advising, will improve student outcomes and increase student retention and enrollment. In May 2020, the Board named this college the Connecticut State Community College and appointed both a permanent and interim leadership team to develop its organizational structure and governance, engage with faculty and staff to align curriculum, and implement the first phase of Guided Pathways by hiring 35 advisors at three campuses. It is anticipated that the Connecticut State Community College will admit students in Fall 2023 and will continue to operate as twelve separately accredited institutions until then.

college for the purposes of degree aggregation. This level of enrollment is up 1.4% from fall 2019. Of students enrolled in fall 2020, 25% were full-time and 85% were Connecticut residents.

Charter Oak State College also coordinates the Adult Virtual High School (AVHS). AVHS, working with 20+ Adult Education Centers, offers over 25 courses with an average yearly enrollment of 1,000.

Recently, Charter Oak State College phased out two other programs it administered. The Connecticut Education Academy (CEA) ended in December 2020 and eTutoring ended in July 2019.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

- Provide Funding for State Employee Wage Adjustments

| | FY 2022 | FY 2023 |
|--|-----------|-----------|
| | 1,523,529 | 1,523,529 |

Reductions

- Annualize FY 2021 Holdbacks

| | FY 2022 | FY 2023 |
|--|------------|------------|
| | -1,927,812 | -1,927,812 |

Reallocations

- Centralize Funding for Workers' Compensation Claims Under the Department of Administrative Services

| | FY 2022 | FY 2023 |
|--|------------|------------|
| | -3,289,276 | -3,289,276 |

Expansions

- Provide Funding for PACT at FY 2021 Level

Funding is provided for Pledge to Advance Connecticut (PACT) at the level that was funded by CSCU in FY 2021, allowing CSCU to continue to provide PACT scholarships to students within available appropriations while focusing its resources on the priorities outlined in the Governor's Workforce Council's Strategic Plan.

| | FY 2022 | FY 2023 | FY 2024 |
|--|-----------|-----------|-----------|
| | 6,000,000 | 6,000,000 | 6,000,000 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Coordination of Higher Education | 0 | 3,284,028 | 3,291,607 | 3,291,607 | 3,291,607 | 3,418,207 | 3,291,607 | 3,291,607 |
| Higher Education Programs | 185,297,309 | 169,023,629 | 164,046,999 | 164,046,999 | 163,956,425 | 164,631,453 | 164,631,453 | 164,540,879 |
| Instruction | 128,898,460 | 136,710,475 | 137,859,346 | 137,859,346 | 137,859,346 | 143,161,628 | 137,859,346 | 137,859,346 |
| Research | 884,890 | 938,182 | 940,167 | 940,167 | 940,167 | 976,327 | 940,167 | 940,167 |
| Public Service | 977,472 | 4,325,450 | 4,327,650 | 4,327,650 | 1,038,374 | 4,367,588 | 4,327,650 | 1,038,374 |
| Academic Support | 31,057,090 | 32,819,649 | 32,893,522 | 32,893,522 | 32,893,522 | 34,158,657 | 32,893,522 | 32,893,522 |
| Library | 8,886,460 | 9,403,732 | 9,424,359 | 9,424,359 | 9,424,359 | 9,786,834 | 9,424,359 | 9,424,359 |
| Student Services | 33,904,123 | 35,853,804 | 55,541,000 | 35,933,436 | 35,933,436 | 75,169,015 | 35,933,436 | 35,933,436 |
| Institutional Support | 51,935,893 | 55,316,349 | 55,437,673 | 55,437,673 | 53,600,435 | 57,569,926 | 55,437,673 | 53,600,435 |
| Physical Plant | 32,595,077 | 30,864,288 | 34,395,341 | 30,931,726 | 30,931,726 | 39,471,577 | 30,931,726 | 30,931,726 |
| Scholarships and Fellowships | 0 | 0 | 0 | 0 | 6,000,000 | 0 | 0 | 6,000,000 |
| BOR Block Grant | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Auxiliary Enterprises | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL Agency Programs | 474,436,774 | 478,539,586 | 498,157,664 | 475,086,485 | 475,869,397 | 532,711,212 | 475,670,939 | 476,453,851 |

Summary of Funding

| | | | | | | | | |
|------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| General Fund | 302,676,443 | 320,030,686 | 344,625,394 | 321,554,215 | 322,337,127 | 378,594,488 | 321,554,215 | 322,337,127 |
| Federal Funds | 171,760,331 | 158,508,900 | 153,532,270 | 153,532,270 | 153,532,270 | 154,116,724 | 154,116,724 | 154,116,724 |
| Total Agency Programs | 474,436,774 | 478,539,586 | 498,157,664 | 475,086,485 | 475,869,397 | 532,711,212 | 475,670,939 | 476,453,851 |

COORDINATION OF HIGHER EDUCATION

Statutory Reference

C.G.S. Sections 10a-1a through 10a-57.

Statement of Need and Program Objectives

To coordinate the three systems of higher education: Charter Oak State College, the community colleges and the state universities.

Program Description

To provide the legal, planning, administrative, managerial and financial supports and coordination to the 17 institutions.

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|---------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Charter Oak State College | 0 | 3,284,028 | 3,291,607 | 3,291,607 | 3,291,607 | 3,418,207 | 3,291,607 | 3,291,607 |
| Total-General Fund | 0 | 3,284,028 | 3,291,607 | 3,291,607 | 3,291,607 | 3,418,207 | 3,291,607 | 3,291,607 |
| Total - All Funds | 0 | 3,284,028 | 3,291,607 | 3,291,607 | 3,291,607 | 3,418,207 | 3,291,607 | 3,291,607 |

INSTRUCTION/LEARNING

Statutory Reference

C.G.S. Sections 10a-71 and 10a-72 and 10a-87 and 10a-89.

Statement of Need and Program Objectives

Through the community colleges, enable people with a high school diploma (or equivalent) to obtain credits towards a certificate or

associate degree or to upgrade personal or occupational skills by offering a broad range of liberal arts/transfer, vocational, occupational, technology and general programs including remediation, adult and continuing education. Through the state universities, enable students meeting admissions criteria to obtain baccalaureate degrees, master's degrees and other graduate degrees providing them with the competencies they need to succeed in employment and further study.

Program Description

Educational activities are offered to enhance student learning. The colleges and universities offer curricula to prepare students for

careers in education, business administration, nursing, social work, library science, engineering technologies and other professional career and field choices. Programs of study are also provided in the areas of the liberal and fine arts.

The process of learning involves faculty and student work in classes, laboratories and other learning situations and includes applied and basic research undertaken within a faculty member's regular workload. To the extent that faculty members, whose primary responsibility is to teach, are involved in the administration of the academic departments, administrative costs are included in this program.

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Community Tech College System | 60,771,967 | 64,483,739 | 64,632,548 | 64,632,548 | 64,632,548 | 67,118,415 | 64,632,548 | 64,632,548 |
| Connecticut State University | 68,126,493 | 72,226,736 | 73,226,798 | 73,226,798 | 73,226,798 | 76,043,213 | 73,226,798 | 73,226,798 |
| Other Current Expenses | 128,898,460 | 136,710,475 | 137,859,346 | 137,859,346 | 137,859,346 | 143,161,628 | 137,859,346 | 137,859,346 |
| Total-General Fund | 128,898,460 | 136,710,475 | 137,859,346 | 137,859,346 | 137,859,346 | 143,161,628 | 137,859,346 | 137,859,346 |
| Total - All Funds | 128,898,460 | 136,710,475 | 137,859,346 | 137,859,346 | 137,859,346 | 143,161,628 | 137,859,346 | 137,859,346 |

RESEARCH

Statutory Reference

C.G.S. Sections 10a-89 and 10a-98.

Statement of Need and Program Objectives

To expand knowledge by conducting applied research and other creative activities while maximizing the benefits of research for state citizens, businesses and schools.

Program Description

Faculty of the four state universities are involved in research and creative activity to enhance learning. Applied research activity predominates. Expenditures in this program are supported primarily by externally funded grants made to individual faculty members or centers.

The benefits of research activities to citizens, businesses and schools are many and depend upon the types of research grants received.

Examples based on recent grant activity center around the STEM (science, technology, engineering and math) areas, and include funding for the expansion of the research and educational capacity of graduate programs that teach earth science students the techniques of field data analysis (maximizes benefits by preparing science teachers); grant funds to support basic scientific research to promote progress in the biological and physical sciences and strengthen the nation's scientific enterprise (benefits the state, businesses, and students by preparing promoting careers in science and preparing graduates for employment in STEM areas, as well as future science teachers); and grant funding to work with the K-12 institutions to prepare students for lifelong learning, and in particular, employment in STEM areas. Research funding also supports research for important social programs, such as the prevention of alcohol and substance abuse and violence against women and the study of climate change and environmental issues.

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Connecticut State University | 884,890 | 938,182 | 940,167 | 940,167 | 940,167 | 976,327 | 940,167 | 940,167 |

| | | | | | | | | |
|--------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| Total-General Fund | 884,890 | 938,182 | 940,167 | 940,167 | 940,167 | 976,327 | 940,167 | 940,167 |
| Total - All Funds | 884,890 | 938,182 | 940,167 | 940,167 | 940,167 | 976,327 | 940,167 | 940,167 |

PUBLIC SERVICE

Statutory Reference

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-89.

Statement of Need and Program Objectives

To enhance non-instructional services to those external to the colleges and universities through special social, cultural and economic activities and services for individuals, businesses, industry and other groups.

Program Description

Public service has long been recognized as part of the mission of the state universities and is a logical extension of the learners' community. Consulting and voluntary service, applied research, training programs, exhibits, plays, sports and concerts are means by which faculty and students share their expertise and render public service to the people of Connecticut. A variety of centers and institutes have been established at the Connecticut State Universities to carry out projects funded by the federal government or other external sources.

Grants and contracts often provide the opportunity for students to be employed as junior members of a professional team applying academic knowledge to the real problems of society. Such projects provide especially effective learning environments for students enabling them to have the kind of practical experiences future employers seek.

At the community colleges, these community service programs make available various resources and special capabilities that exist within the colleges, by offering primarily short-term, non-credit educational activities. These activities are related to professional development, improved job skills, or career advancement through educational services, outreach programs, conferences, workshops, public lectures and events, televised or individualized instruction, contract and grant projects and interagency services.

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|---------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Workers' Compensation Claims | 0 | 3,289,276 | 3,289,276 | 3,289,276 | 0 | 3,289,276 | 3,289,276 | 0 |
| Community Tech College System | 37,336 | 39,401 | 39,492 | 39,492 | 39,492 | 41,011 | 39,492 | 39,492 |
| Connecticut State University | 940,136 | 996,773 | 998,882 | 998,882 | 998,882 | 1,037,301 | 998,882 | 998,882 |
| Other Current Expenses | 977,472 | 4,325,450 | 4,327,650 | 4,327,650 | 1,038,374 | 4,367,588 | 4,327,650 | 1,038,374 |
| Total-General Fund | 977,472 | 4,325,450 | 4,327,650 | 4,327,650 | 1,038,374 | 4,367,588 | 4,327,650 | 1,038,374 |
| Total - All Funds | 977,472 | 4,325,450 | 4,327,650 | 4,327,650 | 1,038,374 | 4,367,588 | 4,327,650 | 1,038,374 |

ACADEMIC SUPPORT

Statutory Reference

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-89.

Statement of Need and Program Objectives

To enhance the primary activities of learning, research and public service through support services that provide academic program management, access to equipment and materials, and opportunities for personal and professional growth of the faculty.

Program Description

The Academic Support Program embodies those activities that support the primary instructional programs through various services, programs and activities that directly assist the academic functions of the institution. Included are academic and instructional technology, educational media, course and curriculum development, allied health, child care and other instructional practice, museums, galleries, laboratories, learning resource centers and faculty professional development.

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Community Tech College System | 21,910,244 | 23,122,271 | 23,175,630 | 23,175,630 | 23,175,630 | 24,067,000 | 23,175,630 | 23,175,630 |
| Connecticut State University | 9,146,846 | 9,697,378 | 9,717,892 | 9,717,892 | 9,717,892 | 10,091,657 | 9,717,892 | 9,717,892 |
| Other Current Expenses | 31,057,090 | 32,819,649 | 32,893,522 | 32,893,522 | 32,893,522 | 34,158,657 | 32,893,522 | 32,893,522 |
| Total-General Fund | 31,057,090 | 32,819,649 | 32,893,522 | 32,893,522 | 32,893,522 | 34,158,657 | 32,893,522 | 32,893,522 |
| Total - All Funds | 31,057,090 | 32,819,649 | 32,893,522 | 32,893,522 | 32,893,522 | 34,158,657 | 32,893,522 | 32,893,522 |

LIBRARY

Statutory Reference

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-89.

Statement of Need and Program Objectives

To ensure that students, faculty, staff and citizens are provided convenient access to library collections appropriate for the size and educational and research mission of the state universities and community colleges. To ensure that such collections remain current through a regular and efficient program of replacement, acquisition and restoration. Increasingly, libraries provide electronic access to full-text information sources.

Program Description

Each of the libraries contains collections of books, periodicals and other media to support the academic program offerings of each campus. There are also several collections to meet specialized needs:

- Young Library – Ancell School of Business at Western Connecticut State University.
- Connecticut Studies at Eastern Connecticut State University.
- Curricula laboratories for teacher preparation at the four universities and Polish American Archives at Central Connecticut State University.

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Community Tech College System | 3,618,355 | 3,818,515 | 3,827,327 | 3,827,327 | 3,827,327 | 3,974,532 | 3,827,327 | 3,827,327 |
| Connecticut State University | 5,268,105 | 5,585,217 | 5,597,032 | 5,597,032 | 5,597,032 | 5,812,302 | 5,597,032 | 5,597,032 |
| Other Current Expenses | 8,886,460 | 9,403,732 | 9,424,359 | 9,424,359 | 9,424,359 | 9,786,834 | 9,424,359 | 9,424,359 |
| Total-General Fund | 8,886,460 | 9,403,732 | 9,424,359 | 9,424,359 | 9,424,359 | 9,786,834 | 9,424,359 | 9,424,359 |
| Total - All Funds | 8,886,460 | 9,403,732 | 9,424,359 | 9,424,359 | 9,424,359 | 9,786,834 | 9,424,359 | 9,424,359 |

STUDENT SERVICES

Statutory Reference

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-89.

Statement of Need and Program Objectives

To enhance a student's educational experience by providing a collegiate environment that offers social and cultural activities. At the state universities this includes housing and food services, which are financed primarily as self-supporting operations.

Program Description

The Student Services program comprises all activities related to students and includes such services as social and cultural development activities, counseling, career guidance and placement, financial aid administration, student admissions and records, student health services, cooperative education programs and child care.

The Connecticut State Universities, through student fees, currently provide:

- Housing for approximately 38% of the full-time undergraduate students enrolled.
- Food service facilities accommodating both resident students and commuters.
- Student centers that are the focal points of most student activities, including radio stations, student newspapers, bookstores and recreation areas and Intercollegiate Athletics.

Serving the community college student population effectively and making further study a reality for those students who may be under-

prepared or returning to higher education for career advancement requires a high level of academic support services. The colleges provide developmental and remedial programs along with the following programs and services that make success at the college level possible for many of the state's students: academic advising; placement testing and counseling; individualized instruction and tutoring; transfer and career counseling; financial aid advising and programs; library and laboratory support and school to career programs and child care services.

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Community Tech College System | 18,661,811 | 19,694,141 | 39,347,153 | 19,739,589 | 19,739,589 | 58,352,328 | 19,739,589 | 19,739,589 |
| Connecticut State University | 15,242,312 | 16,159,663 | 16,193,847 | 16,193,847 | 16,193,847 | 16,816,687 | 16,193,847 | 16,193,847 |
| Other Current Expenses | 33,904,123 | 35,853,804 | 55,541,000 | 35,933,436 | 35,933,436 | 75,169,015 | 35,933,436 | 35,933,436 |
| Total-General Fund | 33,904,123 | 35,853,804 | 55,541,000 | 35,933,436 | 35,933,436 | 75,169,015 | 35,933,436 | 35,933,436 |
| Total - All Funds | 33,904,123 | 35,853,804 | 55,541,000 | 35,933,436 | 35,933,436 | 75,169,015 | 35,933,436 | 35,933,436 |

INSTITUTIONAL SUPPORT

Statutory Reference

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-89.

Statement of Need and Program Objectives

To operate the state universities and colleges with effective management, long-range planning, and services to support faculty, staff and students.

Program Description

This program consists of activities that support the major functions of learning, academic support and student services. These include: general management of the campuses, strategic planning, budgeting, academic planning and research, public information, fiscal operations, information technology operations, campus security and safe.

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Community Tech College System | 25,152,004 | 26,893,357 | 26,955,419 | 26,955,419 | 26,255,419 | 27,992,166 | 26,955,419 | 26,255,419 |
| Connecticut State University | 26,416,388 | 28,014,651 | 28,073,913 | 28,073,913 | 26,940,758 | 29,153,677 | 28,073,913 | 26,940,758 |
| Board of Regents | 367,501 | 408,341 | 408,341 | 408,341 | 404,258 | 424,083 | 408,341 | 404,258 |
| Other Current Expenses | 51,935,893 | 55,316,349 | 55,437,673 | 55,437,673 | 53,600,435 | 57,569,926 | 55,437,673 | 53,600,435 |
| Total-General Fund | 51,935,893 | 55,316,349 | 55,437,673 | 55,437,673 | 53,600,435 | 57,569,926 | 55,437,673 | 53,600,435 |
| Total - All Funds | 51,935,893 | 55,316,349 | 55,437,673 | 55,437,673 | 53,600,435 | 57,569,926 | 55,437,673 | 53,600,435 |

PHYSICAL PLANT OPERATIONS AND MAINTENANCE

Statutory Reference

C.G.S. Sections 10a-71 and 10a-72 and 10a-87 and 10a-89.

Statement of Need and Program Objectives

To ensure a clean, safe and healthy environment for students, faculty, employees and visitors through regular and effective maintenance and upkeep of buildings and grounds.

Program Description

The state universities maintain a total of 183 buildings/structures and 1,054 acres. Campuses provide housing, food service and student center facilities, classrooms, laboratories, offices and libraries.

The twelve community colleges are composed of fifteen major campus locations. This program includes campus security, custodial maintenance, building maintenance, landscape and grounds maintenance, utility services, repairs and renovations and physical plant administration for those sites.

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Workers' Compensation Claims | 3,434,319 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Community Tech College System | 10,582,019 | 11,167,393 | 11,193,164 | 11,193,164 | 11,193,164 | 11,623,670 | 11,193,164 | 11,193,164 |
| Connecticut State University | 18,578,739 | 19,696,895 | 23,202,177 | 19,738,562 | 19,738,562 | 27,847,907 | 19,738,562 | 19,738,562 |
| Other Current Expenses | 32,595,077 | 30,864,288 | 34,395,341 | 30,931,726 | 30,931,726 | 39,471,577 | 30,931,726 | 30,931,726 |
| Total-General Fund | 32,595,077 | 30,864,288 | 34,395,341 | 30,931,726 | 30,931,726 | 39,471,577 | 30,931,726 | 30,931,726 |
| | | | | | | | | |
| Total - All Funds | 32,595,077 | 30,864,288 | 34,395,341 | 30,931,726 | 30,931,726 | 39,471,577 | 30,931,726 | 30,931,726 |

AUXILIARY ENTERPRISES

Statutory Reference

C.G.S. Sections 10a-87 and 10a-89.

Statement of Need and Program Objectives

To furnish goods and services to students.

Program Description

The distinguishing characteristic of an auxiliary enterprise is that it is managed as an essentially self-supporting activity. Examples are residence halls, food services, intercollegiate athletic camps and clinics and telecommunications.

SCHOLARSHIPS AND FELLOWSHIPS

Statutory Reference

C.G.S. Sections 10a-71, 10a-72 and 10a-77, 10a-87, 10a-89 and 10a-99.

Statement of Need and Program Objectives

To minimize financial barriers for community college students by providing financial assistance in the form of grants, scholarships, part-time employment, tuition waivers and loan programs. To ensure access to the Connecticut State Universities, by capable students who might otherwise be unable to attend because of financial barriers, by providing financial assistance in the form of scholarships, loans and tuition and fee waivers.

Program Description

The state universities and colleges provide financial aid in the form of tuition and fee waivers or refunds, scholarships, grants, loans and work-study programs. Awards are financed from state financial aid programs, student tuition, federal funds (e.g. Pell grants) and loan repayments. Awards are packaged to fit each student's needs. Loans, as used here, do not include Guaranteed Student Loans financed through banks.

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Debt Free Community College | 0 | 0 | 0 | 0 | 6,000,000 | 0 | 0 | 6,000,000 |
| Total-General Fund | 0 | 0 | 0 | 0 | 6,000,000 | 0 | 0 | 6,000,000 |
| Total - All Funds | 0 | 0 | 0 | 0 | 6,000,000 | 0 | 0 | 6,000,000 |

BLOCK GRANTS

Statutory Reference

C.G.S. Sections 10a-71, 10a-72, 10a-87 and 10a-99.

Statement of Need and Program Objectives

To enable students to obtain associate degrees, baccalaureate degrees, master's degrees, sixth-year certificates or advanced graduate study and doctoral degrees. To provide continuing education that will enable people to enhance personal and/or occupational skills

Program Description

There are eight program elements supported by "The Regional Community-Technical Colleges Block Grant": Instruction; Public Services; Academic Support; Library; Student Services; Institutional Support; Physical Plant Operation and Maintenance and Scholarships and Fellowships.

There are eight program elements in "The Connecticut State University Block Grant". They are: Learning; Research; Public Service; Academic Support; Library; Student Services; Institutional Support and Physical Plant Operations and Maintenance

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Other Current Expenses | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Workers' Compensation Claims | 3,434,319 | 3,289,276 | 3,289,276 | 0 | 3,289,276 | 0 |
| Charter Oak State College | 3,112,823 | 3,284,028 | 3,291,607 | 3,291,607 | 3,418,207 | 3,291,607 |
| Community Tech College System | 140,733,736 | 149,218,817 | 169,170,733 | 148,863,169 | 193,169,122 | 148,863,169 |
| Connecticut State University | 144,603,909 | 153,315,495 | 157,950,708 | 153,353,938 | 167,779,071 | 153,353,938 |
| Board of Regents | 367,501 | 408,341 | 408,341 | 404,258 | 424,083 | 404,258 |
| Developmental Services | 8,868,138 | 8,912,702 | 8,912,702 | 8,868,138 | 8,912,702 | 8,868,138 |
| Outcomes-Based Funding Incentive | 1,196,017 | 1,202,027 | 1,202,027 | 1,196,017 | 1,202,027 | 1,196,017 |
| Institute for Municipal and Regional Policy | 360,000 | 400,000 | 400,000 | 360,000 | 400,000 | 360,000 |
| Debt Free Community College | 0 | 0 | 0 | 6,000,000 | 0 | 6,000,000 |
| TOTAL-Other Current Expenses | 302,676,443 | 320,030,686 | 344,625,394 | 322,337,127 | 378,594,488 | 322,337,127 |
| Other Current Expenses | 302,676,443 | 320,030,686 | 344,625,394 | 322,337,127 | 378,594,488 | 322,337,127 |
| TOTAL-General Fund | 302,676,443 | 320,030,686 | 344,625,394 | 322,337,127 | 378,594,488 | 322,337,127 |

DEPARTMENT OF CORRECTION

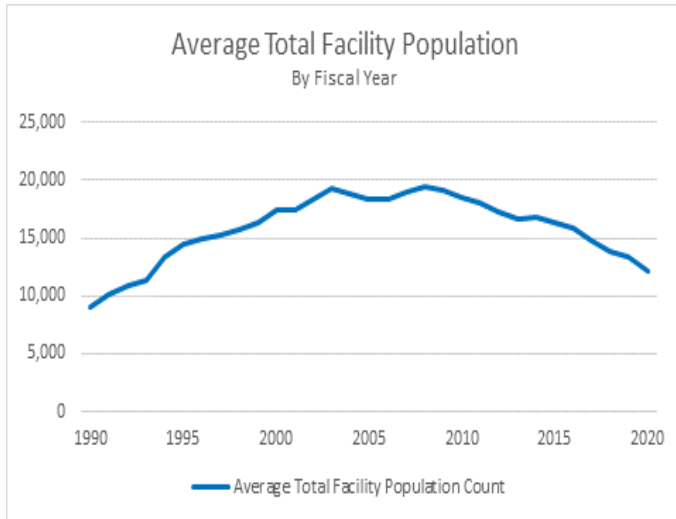
AGENCY DESCRIPTION

The Department of Correction is dedicated to the protection of the citizens of the state through a continual commitment to the safe, secure and orderly operation of its fifteen correctional facilities, which in recent years have remained free from major incident. That protection is also extended to the agency's professional staff of men and women as well as to the inmates placed in its care and custody.

The agency operates on a re-entry model of corrections, which emphasizes from the first day of incarceration the need to support the offenders' eventual re-entry into law-abiding society. By assessing and identifying each offender's needs and deficiencies and setting the expectation that those be addressed during incarceration through an offender accountability plan, the department hopes to better prepare offenders for successful reintegration as they return to their home communities.

For appropriate offenders, placement in the community under the supervision of a parole officer for a period of time toward the end of their sentence has been shown to not only reduce recidivism but also enhances public safety. The alternative of releasing an offender on the last day of sentence without supervision can increase the potential for re-offending.

The re-entry model is effective in managing the offender population and can mitigate surges in inmate population.



Outcome Measure

Goals: Maintain order and security and provide a humane environment for offenders that reinforces order, safety, accountability and responsibility for behaviors, enhancing the effectiveness of the department to manage the challenging population. In addition, prepare offenders for eventual transition into the community by giving them the supervision, tools and access to services that will assist in a successful transition.

Objectives: Management focuses on making inmates more accountable for their behavior and responsible for the consequences of their actions. The implementation of the strategies to maintain good order in facilities through leadership and clearly defined expectations, policies and practices should result in a safer, more secure and humane environment. Measurable indicators of success include:

- 3.42% reduction in inmate on inmate assaults.

Figures represent a comparison to 2017 data.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

- Provide Funding for State Employee Wage Adjustments
- Provide Funds for Projected Personal Services Costs Over Baseline
- Adjust Inmate Medical Services to Reflect Estimated Expenses
- Reflect Impact of Increase in Minimum Wage for Private Providers
- Reflect Impact of 27th Payroll During FY 2023

FY 2022

FY 2023

| | |
|------------|------------|
| 5,579,588 | 5,579,588 |
| 3,823,785 | 3,823,785 |
| -1,300,000 | -1,300,000 |
| 94,656 | 495,689 |
| 0 | 18,550,540 |

Reductions

- Reflect Savings from Adjusting Correction Custody Posts
- Reflect Savings Due to Solar Farms Installed on Department of Correction Properties
The department has partnered with the Department of Energy and Environmental Protection, the Department of Administrative Services and the Connecticut Green Bank to install 10 ground mounted solar voltaic arrays on land next to correctional facilities which will result in electricity cost savings.
- Annualize FY 2021 Rescissions

FY 2022

FY 2023

| | |
|------------|------------|
| -5,358,800 | -5,358,800 |
| -361,540 | -723,080 |
| -347,983 | -347,983 |

- Eliminate Funding for Two Vacant Administrative Positions and Associated Expenses -231,685 -231,685
 - Reduce Personal Services and Operating Costs through the Closure of Facilities and Units 0 0
- The Connecticut CREATES Project (Cliff Retirements adding Efficiency, Accountability, and Technology to Economize State Government) was launched in September of 2020 as a joint initiative led by the Office of Policy and Management and the Department of Administrative Services. Based on legislative direction to seek efficiencies embedded in Public Act 18-81, coupled with the possibility that up to 25 percent, or 8,000 State employees, may elect retirement and leave their jobs by June 30, 2022, a comprehensive review has been undertaken to identify new ways of doing business, implementing efficiencies while ensuring the continuity of operations, delivering streamlined services, and reducing costs or enhancing revenues through the application of technology. Building on past state successes in reducing its prison footprint, savings of \$15.4 million in FY 2022 and \$41.5 million in FY 2023 from closures of units and facilities as determined by the Department of Correction are included as part of a bottom-line savings.*

| Reallocations | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------------------------------------|-------------|-------------|
| • Centralize Funding for Workers' Compensation Claims Under the Department of Administrative Services | -31,115,914 | -31,115,914 |
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services | -4,413,587 | -4,583,340 |
| • Centralize Funding for Microsoft 365 Statewide Agreement Under the Department of Administrative Services | -170,214 | -170,214 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 5,925 | 94 | 0 | 6,019 | 6,019 | 5,962 | 6,019 | 5,962 |
| Special Non-Appropriated Funds | 80 | 9 | -9 | 79 | 80 | 80 | 80 | 80 |
| | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | | | 9 | 9 | 9 | 9 | 9 | 9 |
| General Fund | | | 49 | 49 | 49 | 49 | 49 | 49 |
| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Agency Management Services | 72,527,398 | 231,726,265 | 41,181,686 | 40,931,284 | 5,231,569 | 40,457,022 | 40,809,258 | 4,939,790 |
| Custody | 463,633,573 | 440,858,206 | 484,302,518 | 445,051,792 | 438,751,784 | 464,832,630 | 458,567,435 | 451,905,887 |
| Programs and Treatment Services | 31,882,858 | 32,589,194 | 32,963,154 | 31,752,066 | 31,752,066 | 31,860,291 | 33,090,932 | 33,090,932 |
| Staff Training & Development | 2,954,981 | 3,007,754 | 3,060,472 | 2,982,232 | 2,982,232 | 2,996,932 | 3,059,596 | 3,059,596 |
| Health and Addiction Services | 105,798,810 | 112,756,790 | 112,793,999 | 109,714,647 | 109,714,647 | 111,015,597 | 112,059,284 | 112,059,284 |
| Parole and Community Services | 52,343,869 | 52,953,974 | 53,542,403 | 52,820,860 | 52,820,860 | 53,311,845 | 53,898,980 | 53,898,980 |
| Correctional Enterprises | 7,318,647 | 6,978,113 | 7,184,366 | 7,184,366 | 7,184,366 | 7,399,897 | 7,399,897 | 7,399,897 |
| Consideration of Pardons and Parole | 5,796,673 | 6,927,233 | 7,224,923 | 6,974,828 | 6,974,828 | 7,003,728 | 7,229,605 | 7,229,605 |
| TOTAL Agency Programs | 742,256,809 | 887,797,529 | 742,253,521 | 697,412,075 | 655,412,352 | 718,877,942 | 716,114,987 | 673,583,971 |
| Summary of Funding | | | | | | | | |
| General Fund | 648,743,935 | 667,659,067 | 716,698,542 | 671,857,096 | 629,857,373 | 693,571,624 | 690,808,669 | 648,277,653 |
| Federal Funds | 69,782,052 | 199,689,412 | 1,953,638 | 1,953,638 | 1,953,638 | 1,450,839 | 1,450,839 | 1,450,839 |
| Private Funds | 495,379 | 438,681 | 485,787 | 485,787 | 485,787 | 524,394 | 524,394 | 524,394 |
| Special Non-Appropriated Funds | 23,235,443 | 20,010,369 | 23,115,554 | 23,115,554 | 23,115,554 | 23,331,085 | 23,331,085 | 23,331,085 |
| Total Agency Programs | 742,256,809 | 887,797,529 | 742,253,521 | 697,412,075 | 655,412,352 | 718,877,942 | 716,114,987 | 673,583,971 |

MANAGEMENT SERVICES

Statutory Reference *Statutory Reference*

C.G.S. Section 18-81.

Statement of Need and Program Objectives

To ensure that the statewide correction system effectively and efficiently accomplishes its mission by the setting of uniform policies, centralizing certain support services and providing overall agency management.

Program Description

The primary areas of Management Services include the Office of the Commissioner, Legal Services, Management Information Systems, Fiscal Services, External Affairs, Affirmative Action, Best Practices unit, Prison Rape Elimination Act (PREA) unit and Facilities Management and Engineering Services.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 83 | 0 | 0 | 83 | 83 | 28 | 83 | 28 |
| Financial Summary by Program | | | | | | | | |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 7,616,596 | 7,738,225 | 8,051,466 | 7,801,064 | 3,387,477 | 7,779,709 | 8,131,945 | 3,548,605 |
| Other Expenses | 723,439 | 723,439 | 723,439 | 723,439 | 553,225 | 723,439 | 723,439 | 553,225 |
| Other Current Expenses | | | | | | | | |
| Stress Management | 43,302 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Workers' Compensation Claims | 0 | 31,115,914 | 31,115,914 | 31,115,914 | 0 | 31,115,914 | 31,115,914 | 0 |
| Total--Other Current Expenses | 43,302 | 31,115,914 | 31,115,914 | 31,115,914 | 0 | 31,115,914 | 31,115,914 | 0 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Legal Services To Prisoners | 774,056 | 797,000 | 797,000 | 797,000 | 797,000 | 797,000 | 797,000 | 797,000 |
| Total-General Fund | 9,157,393 | 40,374,578 | 40,687,819 | 40,437,417 | 4,737,702 | 40,416,062 | 40,768,298 | 4,898,830 |
| Federal Contributions | | | | | | | | |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16000 Law Enforcement | 48,848 | 43,670 | 43,670 | 43,670 | 43,670 | 15,400 | 15,400 | 15,400 |
| 16606 State Criminal Alien Assistance Pgm | 25,560 | 25,560 | 25,560 | 25,560 | 25,560 | 25,560 | 25,560 | 25,560 |
| 16812 FY 16 Recidivism Reduction Grant | 346,863 | 1,273,910 | 424,637 | 424,637 | 424,637 | 0 | 0 | 0 |
| 16812 Second Chance Act Prisoner Reentry Initiative | 63,591 | 17,934 | 0 | 0 | 0 | 0 | 0 | 0 |
| 21019 Coronavirus Relief Fund | 1,049,003 | 1,826,753 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97036 DISASTER GRANTS-PUBLIC ASSISTANCE (PRESIDENTIALLY DECLARED) | 61,836,140 | 188,163,860 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 72,527,398 | 231,726,265 | 41,181,686 | 40,931,284 | 5,231,569 | 40,457,022 | 40,809,258 | 4,939,790 |

CUSTODY

Statutory Reference

C.G.S. Section 18-81.

Statement of Need and Program Objectives

To ensure the safe, secure, humane confinement of accused and sentenced inmates, who have been removed from the community by the criminal justice process.

The department operates fifteen facilities including long-term institutions and shorter-term correctional centers graded by security level and program function. Each facility has an administrator, typically two management level positions, who translates department policy into operating procedures. The facilities receive and house adult and youthful accused and sentenced inmates. Generally, of those detained in the facilities, twenty-five percent are accused and seventy-five percent are sentenced inmates. Inmates are classified in level 2 (minimum) through level 5 (maximum) security statuses. Most facilities house

inmates in several levels, while the newer celled facilities house predominantly level 4 and 5 offenders.

The K-9 Unit is currently composed of twenty handlers and their canine partners. The unit currently has seventeen patrol teams, two narcotic detection dogs and one bloodhound for tracking. In addition, nine of the patrol dogs are cross-trained for narcotic detection.

The Correctional Transportation Unit (CTU) is responsible for statewide inmate transportation with offices in Cheshire and Enfield. The CTU securely transports inmates for sentence review hearings, court appearances, medical appointments, halfway house placements, discharges and in-and out-of-state transfers.

The Tactical Operations Unit represents the department as a supporting agency to the Department of Emergency Services and Public Protection (DESPP) ensuring public safety in any emergency within the state during which the State Emergency Operations Command Center is activated.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 4,656 | 77 | 0 | 4,733 | 4,733 | 4,733 | 4,733 | 4,733 |
| Special Non-Appropriated Funds | 49 | 6 | -6 | 49 | 49 | 49 | 49 | 49 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 4 | 4 | 4 | 4 | 4 | 4 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 349,753,717 | 357,843,846 | 402,928,877 | 363,678,151 | 358,099,666 | 383,427,051 | 377,161,856 | 371,583,371 |
| Other Expenses | 64,113,716 | 64,727,174 | 64,727,174 | 64,727,174 | 64,005,651 | 64,727,174 | 64,727,174 | 63,644,111 |

| Other Current Expenses | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Stress Management | 10,199 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Workers' Compensation Claims | 30,488,797 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total--Other Current Expenses | 30,498,996 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Pmts to Other Than Local Govts | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Aid to Paroled and Discharged Inmates | 1,351 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 |
| Total-General Fund | 444,367,780 | 422,574,020 | 467,659,051 | 428,408,325 | 422,108,317 | 448,157,225 | 441,892,030 | 435,230,482 |

| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 73,419 | 80,529 | 117,919 | 117,919 | 117,919 | 158,301 | 158,301 | 158,301 |
| Private Funds | 1,293 | 2,851 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | 15,920,669 | 13,035,256 | 15,931,188 | 15,931,188 | 15,931,188 | 15,931,188 | 15,931,188 | 15,931,188 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| <i>Federal Contributions</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 10579 CHILD NUTRITION DISCRETIONARY GRANT | 12,400 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16000 Law Enforcement | 14,490 | 13,044 | 13,044 | 13,044 | 13,044 | 4,600 | 4,600 | 4,600 |
| 16606 State Criminal Alien Assistance Pgm | 581,316 | 581,316 | 581,316 | 581,316 | 581,316 | 581,316 | 581,316 | 581,316 |
| 21019 Coronavirus Relief Fund | 2,659,654 | 4,571,190 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84013 Title I Pgm Neglected / Delinquent Children | 2,552 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 463,633,573 | 440,858,206 | 484,302,518 | 445,051,792 | 438,751,784 | 464,832,630 | 458,567,435 | 451,905,887 |

PROGRAMS AND TREATMENT SERVICES DIVISION

Statutory Reference

C.G.S. Sections 18-81 and 18-101.

Statement of Need and Program Objectives

To increase safety in institutions and in the community through provision of programs and services that emphasize offender accountability and responsibility.

Program Description

The Programs and Treatment Division supports the agency's goals through provision of a wide range of educational and vocational opportunities, treatment programs, religious and volunteer activities, re-entry services, victim services, offender classification and population management and sentence calculation and

interstate management. Services are designed to equip offenders to adapt to healthy and productive lifestyles within the correctional system and effect a successful transition back into the community following release. Development of the Offender Management Plan structures offenders' release preparation from the first day of incarceration through release into the community. Following a comprehensive risk and treatment need assessment upon admission, an Offender Accountability Plan is developed with the offender to identify objectives to be addressed through the duration of the sentence. The plan holds the offender accountable for conduct and treatment goals such as education, vocational training, mental health services, treatment and substance abuse programming, and reentry planning.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------------------------|----------------|----------------|---------------|----------------|
| | Actual | Estimated | Projected | Projected |
| Students Enrolled in Educational Svs | 3,600 | 4,000 | 4,000 | 4,000 |
| General Education Development Diplomas | 78 | 100 | 160 | 170 |
| Vocational Education Certificates | 1,339 | 1,350 | 1,380 | 1,410 |
| Special Education-Individualized Plans | 175 | 175 | 175 | 175 |
| Worship Services and Studies | 10,761 | 12,000 | 15,000 | 15,000 |
| Total Worship services and studies participants | 150,982 | 180,000 | 20,000 | 200,000 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 362 | 0 | 0 | 362 | 362 | 362 | 362 | 362 |

Other Positions Equated to Full-Time

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | 8 | 8 | 8 | 8 | 8 | 8 |
| General Fund | 29 | 29 | 29 | 29 | 29 | 29 |

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 29,293,686 | 30,084,396 | 31,712,526 | 30,501,438 | 30,501,438 | 30,611,438 | 31,842,079 | 31,842,079 |
| Other Expenses | 184,513 | 184,513 | 184,513 | 184,513 | 184,513 | 184,513 | 184,513 | 184,513 |
| Other Current Expenses | | | | | | | | |
| STRIDE | 0 | 73,342 | 73,342 | 73,342 | 73,342 | 73,342 | 73,342 | 73,342 |
| Pmts to Other Than Local Govts | | | | | | | | |
| Volunteer Services | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| Total-General Fund | 29,493,199 | 30,357,251 | 31,985,381 | 30,774,293 | 30,774,293 | 30,884,293 | 32,114,934 | 32,114,934 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 320,305 | 311,833 | 344,031 | 344,031 | 344,031 | 342,256 | 342,256 | 342,256 |
| Private Funds | 1,807 | 4,350 | 0 | 0 | 0 | 0 | 0 | 0 |
| Special Non-Appropriated Funds | -832 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16593 Res Subs Abuse Trtmt State Prisoners | 86,530 | 51,333 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16606 State Criminal Alien Assistance Pgm | 162,206 | 162,206 | 162,206 | 162,206 | 162,206 | 162,206 | 162,206 | 162,206 |
| 16738 EDWARD BYRNE MEMORIAL JUSTICE ASSISTANCE GRANT PROGRAM | 117,016 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 21019 Coronavirus Relief Fund | 132 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84013 Title I Pgm Neglected / Delinquent Children | 403,430 | 455,398 | 471,536 | 471,536 | 471,536 | 471,536 | 471,536 | 471,536 |
| 84027 Special Education Grants to States | 146,687 | 293,152 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84367 Improving Teacher Quality State Grants | 3,096 | 6,850 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93788 Opioid STR | 1,149,282 | 946,821 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 31,882,858 | 32,589,194 | 32,963,154 | 31,752,066 | 31,752,066 | 31,860,291 | 33,090,932 | 33,090,932 |

STAFF TRAINING AND DEVELOPMENT

Statutory Reference

C.G.S. Section 18-81.

Statement of Need and Program Objectives

The mission of the Maloney Center for Training and Staff Development is to strive to be a global leader in the preparation of new correctional employees as they enter the corrections profession, with initiatives to support existing staff in their professional development to ensure the highest level of professionalism and where all employees are trained with sound correctional practices promoting the highest level of safety and security.

Program Description

The agency provides training and staff development programs for all employees to enhance the knowledge, skills, and abilities necessary to

conform to department policies and procedures. Training shall be provided on a planned and continuous basis to increase the overall proficiency of the workforce by contributing to personal and professional development. Training and staff development programs shall be provided in an equitable and nondiscriminatory manner to department employees.

Programs and services available include pre-service education for newly hired employees, in service training via the web and traditional classroom for staff, firearms instruction for those who are required to carry weapons and new supervisors training. In addition, train the trainer classes exist for in service training and firearms to maintain a cadre of experienced instructors.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 23 | 0 | 0 | 23 | 23 | 23 | 23 | 23 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,873,446 | 1,915,959 | 2,005,212 | 1,926,972 | 1,926,972 | 1,941,672 | 2,004,336 | 2,004,336 |
| Other Expenses | 1,055,260 | 1,055,260 | 1,055,260 | 1,055,260 | 1,055,260 | 1,055,260 | 1,055,260 | 1,055,260 |
| Total-General Fund | 2,928,706 | 2,971,219 | 3,060,472 | 2,982,232 | 2,982,232 | 2,996,932 | 3,059,596 | 3,059,596 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 1,356 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16593 Res Subs Abuse Trtmt State Prisoners | 4,069 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 21019 Coronavirus Relief Fund | 20,850 | 36,535 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 2,954,981 | 3,007,754 | 3,060,472 | 2,982,232 | 2,982,232 | 2,996,932 | 3,059,596 | 3,059,596 |

HEALTH AND ADDICTION SERVICES

Statutory Reference

C.G.S. Section 18-81.

Statement of Need and Program Objectives

To provide for the physical and behavioral health of offenders from admission through reintegration with the community. To support the agency mission of public safety and community reintegration through provision of evidence-based assessment, treatment and aftercare services.

Program Description

The Health and Addiction Services Unit provides comprehensive managed health care for the physical and behavioral health of offenders from admission through reintegration with the community.

Inmates receive facility based primary care, inpatient infirmary care, psychiatric services, dental services, and medications within DOC facilities.

Inmates are sent to the UCHC for expanded testing such as MRIs and CAT scans and for specialty services such as cardiology and neurology as well as surgical procedures.

The DOC Health Services Unit has received national recognition for the hospice, bereavement and palliative care program as well as for

the integrated model of parole supervision for mental health offenders.

The Addiction Services Unit (ASU) operates on the understanding that substance abuse and addiction affect the whole person - physically, mentally, socially and spiritually - and that recovery is a process in which the addicted offender learns and practices new skills, values and ways of thinking and behaving which will support successful recovery and re-entry into the community. The ASU offers a continuum of evidence-based treatment services to treat the addicted offender and help him achieve success for community re-integration.

In-prison services include assessment and orientation, as well as a nine-session substance use disorder education program (Tier 1), targeted to the short term population at direct admission facilities; a ten week intensive outpatient program (Tier 2); and a six month residential treatment program (Tier 4) for the long term sentence population. Specialized treatment programs are offered for the DUI population, the youth population, parolees at risk for violation and medication assisted treatment for opiate addicted offenders. This program structure provides the offender with the opportunities to address their addiction along the continuum of their incarceration.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Intake Medical Screening (Direct Admissions) | 15,553 | 15,000 | 15,000 | 15,000 |
| Inpatient Admissions | 232 | 230 | 230 | 230 |
| MD Sick Call | 31,065 | 31,000 | 31,000 | 31,000 |
| HIV tests | 3,584 | 7,000 | 7,000 | 7,000 |
| Tuberculin Skin Tests | 14,391 | 14,000 | 14,000 | 14,000 |
| Chronic Disease Specialty Clinics | 3,584 | 3,600 | 3,600 | 36,000 |
| Hepatitis-C treatment | 301 | 500 | 500 | 500 |
| Inpatient Admissions (Mental Health) | 481 | 480 | 480 | 480 |
| Psychotropic Medication population (avg monthly) | 2,811 | 2,800 | 2,800 | 2,800 |
| Total Surgeries (Oral Surgeon and Dentist) | 1,821 | 1,800 | 1,800 | 1,800 |
| Total Dental X Rays (panorex / routine) | 4,254 | 4,200 | 4,200 | 4,200 |
| Number Served in Tier 1 - Orientation and Assessment | 9,899 | 9,000 | 9,000 | 9,000 |
| Number Served in Tier 1 - Pre-release S/A Education | 189 | 200 | 200 | 200 |
| Number Served in Tier 2 - Intensive Outpatient | 1,496 | 1,400 | 1,400 | 1,400 |
| Number Served in Tier 4 - Residential Treatment | 260 | 250 | 250 | 250 |
| Number Served in DUI treatment | 296 | 250 | 250 | 250 |
| Number Served in & Challenges Program - Youth | N/A | N/A | N/A | N/A |
| Number Served in Technical Violators Program | 67 | 150 | 150 | 150 |
| Number Served in Aftercare Program | 60 | 120 | 120 | 120 |
| Total individual counseling sessions | 3,100 | 3,300 | 3,300 | 3,300 |
| Total group counseling sessions | 3,500 | 4,000 | 4,000 | 4,000 |
| A.A.-Annual Meetings | 450 | 300 | 300 | 300 |
| N.A.-Annual Meetings | 420 | 300 | 300 | 300 |
| Number Served in MAT Groups | 380 | 500 | 500 | 500 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 573 | 17 | 0 | 590 | 590 | 590 | 590 | 590 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | 1 | 1 | 1 | 1 | 1 | 1 |
| General Fund | 15 | 15 | 15 | 15 | 15 | 15 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 1,915,539 | 1,990,139 | 2,070,888 | 1,997,336 | 1,997,336 | 1,998,286 | 2,085,733 | 2,085,733 |
| Other Expenses | 70,720 | 70,720 | 70,720 | 70,720 | 70,720 | 70,720 | 70,720 | 70,720 |

| Other Current Expenses | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Inmate Medical Services | 103,014,388 | 109,970,535 | 110,562,225 | 107,556,425 | 107,556,425 | 108,856,425 | 109,812,665 | 109,812,665 |
| Total-General Fund | 105,000,647 | 112,031,394 | 112,703,833 | 109,624,481 | 109,624,481 | 110,925,431 | 111,969,118 | 111,969,118 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 30,061 | 22,674 | 23,837 | 23,837 | 23,837 | 23,837 | 23,837 | 23,837 |
| Private Funds | 67,138 | 16,444 | 0 | 0 | 0 | 0 | 0 | 0 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16593 Res Subs Abuse Trtmt State Prisoners | 4,274 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16606 State Criminal Alien Assistance Pgm | 1,639 | 1,639 | 1,639 | 1,639 | 1,639 | 1,639 | 1,639 | 1,639 |
| 16738 EDWARD BYRNE MEMORIAL JUSTICE ASSISTANCE GRANT PROGRAM | 22,853 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16812 Second Chance Act Prisoner Reentry Initiative | 83,041 | 8,558 | 0 | 0 | 0 | 0 | 0 | 0 |
| 21019 Coronavirus Relief Fund | 353,273 | 606,482 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84013 Title I Pgm Neglected / Delinquent Children | 59,239 | 61,904 | 64,690 | 64,690 | 64,690 | 64,690 | 64,690 | 64,690 |
| 93788 Opioid STR | 176,645 | 7,695 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 105,798,810 | 112,756,790 | 112,793,999 | 109,714,647 | 109,714,647 | 111,015,597 | 112,059,284 | 112,059,284 |

PAROLE & COMMUNITY SERVICES

Statutory Reference

C.G.S. Sections 18-81, 18-101h-101k and 54-124a – 54-129.

Statement of Need and Program Objectives

To enhance public safety by promoting successful offender community reintegration.

Program Description

In support of the Department of Correction's mission/vision statement and the Governor's Second Chance Society Initiative, the Division of Parole and Community Services (PCS) adopted the following mission/vision:

- Mission: The Division of Parole and Community Services shall provide offenders with re-entry opportunities, consistent with public safety, informed by evidenced-based assessment, supervision, and treatment strategies.
- Vision: The Division of Parole and Community Services shall enhance public safety, reduce recidivism, and assist offenders to become law abiding members of society.

PCS provides supervision and enforces conditions of release for offenders released to the community under the jurisdiction of both the Commissioner of Correction and the Board of Pardons and Paroles. Release statuses include: parole, special parole, transfer parole, Interstate compact, medical parole, compassionate parole, transitional supervision, transitional placement, community release, re-entry furlough, home confinement, and nursing home release.

The Division is comprised of district offices located in Bridgeport, Hartford, New Haven, Norwich and Waterbury and the following specialized units: Residential Services, Central Intake, Special Management, Mental Health, Fugitive Investigations, DUI, Women's Re-Entry, Support Services, and Training and Staff Development Unit. Parole officers in each of these districts and units work to enhance public safety by providing offenders opportunities to successfully re-integrate into the community and be productive, accountable members of society.

PCS employs the following well established guiding principles to continually strive to meet its mission/vision:

- Focus on successful strategies to reduce recidivism and support offender reintegration through the use of contracted residential and non-residential programs utilizing structured activities and clearly defined behavioral expectations.
- Coordinate a range of treatment services through collaboration with other state and municipal agencies and non-contracted community providers.
- Strive to employ evidence-based practices, emergent technology, and innovation to ensure effective supervision, service delivery, and accountability.
- Enforce the provisions of the Interstate Compact Agreement for offenders from other jurisdictions in accordance with Section 54-133 of the Connecticut General Statutes.

| <i>Program Measures</i> | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|----------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Total Transitional Supervision Annual Admissions | 1,710 | 2,050 | 2,050 | 2,050 |
| Average TS monthly offenders supervised | 451 | 600 | 600 | 600 |
| Total Transitional Placement Annual Admissions | 233 | 300 | 300 | 300 |
| Average TP Monthly offenders supervised | 58 | 60 | 60 | 60 |
| Total DUI Home Confinement Annual Admissions | 284 | 400 | 400 | 400 |
| Average DUI HC Monthly offenders supervised | 91 | 100 | 100 | 100 |
| Budgeted Residential Beds | 1,176 | 1,176 | 1,176 | 1,176 |
| Number of Admissions to Residential Programs | 1,567 | 1,567 | 1,567 | 1,567 |
| Total Parole Admissions (Includes Special Parole, PARCOM, Transfer Parole) | 2,491 | 2,800 | 2,800 | 2,800 |
| Average number of Parolees Supervised in Community | 2,966 | 2,700 | 2,700 | 2,700 |
| Average number of CT Parolees Supervised Out of State | 158 | 420 | 420 | 420 |

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 163 | 0 | 0 | 163 | 163 | 163 | 163 | 163 |

| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 15,249,650 | 15,385,644 | 16,244,679 | 15,523,136 | 15,523,136 | 15,654,536 | 16,241,671 | 16,241,671 |
| Other Expenses | 2,835,459 | 2,835,459 | 2,835,459 | 2,835,459 | 2,835,459 | 2,835,459 | 2,835,459 | 2,835,459 |

| <i>Other Current Expenses</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|
| STRIDE | 63,551 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| <i>Pmts to Other Than Local Govts</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Volunteer Services | 40,340 | 72,725 | 72,725 | 72,725 | 72,725 | 72,725 | 72,725 | 72,725 |
| Community Support Services | 33,810,537 | 34,129,544 | 34,224,200 | 34,224,200 | 34,224,200 | 34,625,233 | 34,625,233 | 34,625,233 |
| Pmts to Other Than Local Govts | 33,850,877 | 34,202,269 | 34,296,925 | 34,296,925 | 34,296,925 | 34,697,958 | 34,697,958 | 34,697,958 |
| Total-General Fund | 51,999,537 | 52,423,372 | 53,377,063 | 52,655,520 | 52,655,520 | 53,187,953 | 53,775,088 | 53,775,088 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| <i>Federal Contributions</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16606 State Criminal Alien Assistance Pgm | 123,892 | 123,891 | 123,892 | 123,892 | 123,892 | 123,892 | 123,892 | 123,892 |
| 16812 FY 16 Recidivism Reduction Grant | 1,410 | 124,345 | 41,448 | 41,448 | 41,448 | 0 | 0 | 0 |
| 21019 Coronavirus Relief Fund | 154,290 | 263,052 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93788 Opioid STR | 64,740 | 19,314 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 52,343,869 | 52,953,974 | 53,542,403 | 52,820,860 | 52,820,860 | 53,311,845 | 53,898,980 | 53,898,980 |

CONSIDERATION OF PARDONS AND PAROLES

Statutory Reference

C.G.S. Sections 18-24a, 18-26; 54-124a through 54-133.

Statement of Need and Program Objectives

To protect the public by facilitating the successful reintegration of suitable offenders into the community by: utilizing a structured decision-making framework to grant release only to those individuals who will likely live and remain at liberty without violating the law and

only under those conditions which will maximize their chances of adopting a lawful lifestyle; authorizing the return to prison of any parolee who does not comply with the conditions of parole or who pose a danger to the community; sharing release decisions with all areas of the criminal justice system in order to ensure that welfare of society is protected; giving victims a voice through notifications of pending hearings and advising them of their rights and options within the process; monitoring those offenders who enter into this state or

are released to out of state plans through the Interstate Compact of Adult Offender Supervision (ICAOS); reviewing confined offenders applications for relief through clemency; reviewing prior offenders applications for pardons (full and conditional) as well as granting certificates of employability to provide for relief from barriers to employment and licensure; and analyzing and providing statistical information and assistance in the distribution of data to criminal justice stakeholders and the general public as well as collaborating on a State-wide offender risk-assessment strategy.

Program Description

The members of the Board of Pardons and Paroles are appointed by the Governor. The parole board members decide whether to release or continue confinement of offenders after carefully evaluating case factors. The board continues to maintain its interest in the public safety and in the rehabilitation of the offender by setting parole conditions and approving the return of supervised offenders to confinement when deemed necessary via the parole revocation process. The pardons board members decide whether to grant pardons, either conditional, provisional (certificates of employability) or absolute. They also can decide to grant leniency to currently incarcerated offenders through the clemency process. The agency is comprised of four specific programming divisions: Hearings; Pardons; Planning, Research and Development; and Victim Services.

The Hearings Division ensures that all eligible offenders are reviewed for parole consideration in an organized and timely manner and that release decisions are based on accurate, comprehensive, and thorough case investigations. The division is also responsible for the timely scheduling of all revocation and rescission hearings. Each case being reviewed for parole consideration requires a detailed summary. These comprehensive summaries provide board members with information regarding applicant’s criminal, social, and correctional history as well as identifying risks and needs among several criminogenic domains. The summaries detail each parole eligible inmate’s offense(s), adjustments and achievements during incarceration, and contain letters from interested parties supporting or opposing parole. If the offender is granted parole, these summaries then form the basis of information upon which the supervision officer develops case management, treatment and supervision plans. The board retains the authority to rescind or modify a previously granted parole in the event of new information

or behavior resulting in either Department of Correction disciplinary action or new criminal charges. In this case, rescission hearings are conducted prior to release. Revocation hearings are also conducted in cases of persons who have allegedly violated conditions of their parole. Who have been returned to custody and are entitled to a hearing before a panel of the Board. The interstate compact unit of the division guides the transfer of offenders in a manner that promotes effective supervision strategies consistent with public safety, offender accountability, and crime victims’ rights. It provides for offenders to be paroled to other state, federal and immigration detainees.

The Pardons Division ensures that all eligible applications are reviewed and processed in a timely manner. Pardon applications are submitted for action by the board at its scheduled sessions. Consideration is given for pardon based on mercy and the end result if granted can be a full expungement of a person’s criminal record. In deciding on whether to grant a pardon, the board reviews the following: the nature of the offense, time since the occurrence, the person’s behavior since the offense, lack of criminal record since the offense, efforts to rehabilitate and any other pertinent information. The board also decides on whether to commute the sentence of a person sentenced to death to a lesser penalty or grant clemency to currently incarcerated offenders. Lastly, the board reviews applications and grants certificates of relief from barriers to employment and licensure.

The Planning, Research and Development (PRD) Division assists the agency with the state-wide collaborative offender risk-assessment strategy and risk-based structured decision-making. The focus of the PRD Division is directed toward the maintenance of agency processes that consistently produce responsible pardons and evidence-based parole release decisions. In addition, measuring the effectiveness of the Board’s policies and processes, the division assists in streamlining the agency’s organizational structure to ensure maximum cost-effectiveness.

The Victim Services Division ensures that all victims’ rights and concerns are being addressed. Two victim advocates from the Office of Victim Services (OVS) are available to assist crime victims who choose to participate in the decision-making processes of the board.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Applicants for consideration Inmates/Non-Inmates | 0/1429 | 0/1465 | 0/1538 | 0/1615 |
| Pardons granted Inmates/Non-Inmates | 0/476 | 0/488 | 0/512 | 0/538 |
| Number of Hearings Conducted Inmates/Non-Inmates | 0/21 | 0/22 | 0/23 | 0/24 |
| Request for applications | N/A | N/A | N/A | N/A |
| Applications received Inmate/Non-Inmate | 0/1649 | 0/1731 | 0/1818 | 0/1919 |
| Consideration of Parole | 870 | 892 | 936 | 983 |
| Number of offenders denied parole | 704 | 722 | 758 | 796 |
| Rescinded Parole | 28 | 29 | 30 | 32 |
| Revoked parole | 578 | 592 | 622 | 653 |
| Successful completion of parole | 1,026 | 1,052 | 1,104 | 1,159 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 65 | 0 | 0 | 65 | 65 | 65 | 65 | 65 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Other Current Expenses | | | | | | | | |
| Board of Pardons and Paroles | 5,796,673 | 6,927,233 | 7,224,923 | 6,974,828 | 6,974,828 | 7,003,728 | 7,229,605 | 7,229,605 |
| Total-General Fund | 5,796,673 | 6,927,233 | 7,224,923 | 6,974,828 | 6,974,828 | 7,003,728 | 7,229,605 | 7,229,605 |
| Total - All Funds | 5,796,673 | 6,927,233 | 7,224,923 | 6,974,828 | 6,974,828 | 7,003,728 | 7,229,605 | 7,229,605 |

CORRECTIONAL ENTERPRISES

Statutory Reference

C.G.S. Section 18-88.

Statement of Need and Program Objectives

To provide a program that maximizes inmate employment and vocational education skill development by offering a work setting within institutional walls that replicates private industries while maintaining a safe and secure setting for staff and inmates. Correctional Enterprises of Connecticut (CEC) is self-supporting, generating sufficient revenues from the sale of products and services to meet the costs of operations.

Program Description

The Correctional Enterprises Unit's objective is to be a self-supporting business that offers vocational education and

occupational development skills to offenders while being engaged in the production of useful goods and services to state agencies, municipalities and nonprofit organizations. CEC operates eight industry programs within four correctional institutions, offering inmates a realistic work experience in a business-like environment that stresses the same type of performance standards, accountability, and rewards used for workers in the community. This unit also serves the operational goals of the department by reducing inmate idleness by teaching meaningful job skills and work habits and in preparing inmates for release into the community workplace. Some products and services offered by CEC include license plates, clothing and textiles, furniture refinishing and re-upholstery, custom metal fabrication, trash liners, graphic arts and printing.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-----------------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Corr Enterprises Sales (\$000) | 8,086 | 5,526 | 6,000 | 6,000 |
| Corr Enterprises Inmate Participants (avg monthly) | 325 | 250 | 300 | 400 |
| Corr Commissaries Sales (\$000) | 17,022 | 14,085 | 14,000 | 14,000 |
| Corr Commissaries Inmate Participants (avg monthly) | 100 | 100 | 100 | 100 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Special Non-Appropriated Funds | 31 | 3 | -3 | 31 | 31 | 31 | 31 | 31 |

| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Special Non-Appropriated Funds | 7,315,606 | 6,975,113 | 7,184,366 | 7,184,366 | 7,184,366 | 7,399,897 | 7,399,897 | 7,399,897 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| <i>Federal Contributions</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 21019 Coronavirus Relief Fund | 3,041 | 3,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 7,318,647 | 6,978,113 | 7,184,366 | 7,184,366 | 7,184,366 | 7,399,897 | 7,399,897 | 7,399,897 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 311,252,651 | 327,259,020 | 345,575,977 | 320,847,565 | 332,402,484 | 336,717,335 |
| Salaries & Wages-Temporary | 98,537 | 98,537 | 99,976 | 99,976 | 99,976 | 99,976 |
| Salaries & Wages-Part Time | 1,799,086 | 1,880,045 | 1,911,935 | 1,911,935 | 1,911,935 | 1,911,935 |
| Longevity Payments | 1,251,134 | 1,251,134 | 1,251,134 | 1,251,134 | 1,251,134 | 1,251,134 |
| Overtime | 68,390,050 | 69,746,364 | 84,269,593 | 72,602,306 | 82,434,901 | 72,602,306 |
| Accumulated Leave | 8,188,067 | 0 | 15,181,924 | 0 | 8,589,153 | 0 |
| Other Salaries & Wages | 14,415,250 | 14,415,250 | 14,415,250 | 14,415,250 | 14,415,250 | 14,415,250 |
| Other | 307,859 | 307,859 | 307,859 | 307,859 | 307,859 | 307,859 |
| TOTAL - Personal Services-Personal Services | 405,702,634 | 414,958,209 | 463,013,648 | 411,436,025 | 441,412,692 | 427,305,795 |

| <i>Other Expenses</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------|------------|------------|------------|------------|------------|------------|
| Capital Outlays | 481,038 | 481,038 | 481,038 | 481,038 | 481,038 | 481,038 |
| Client Services | -1,741,310 | -1,741,310 | -1,741,310 | -1,741,310 | -1,741,310 | -1,741,310 |
| Communications | 2,175,165 | 2,175,165 | 2,175,165 | 2,175,165 | 2,175,165 | 2,175,165 |
| Diesel-Generator | 98,164 | 98,164 | 98,164 | 98,164 | 98,164 | 98,164 |
| Electricity | 9,116,197 | 9,729,655 | 9,729,655 | 9,368,115 | 9,729,655 | 9,006,575 |
| Employee Expenses | 40,785 | 40,785 | 40,785 | 40,785 | 40,785 | 40,785 |
| Employee Travel | 154,163 | 154,163 | 154,163 | 154,163 | 154,163 | 154,163 |
| Equipment Rental and Maintenance | 1,395,557 | 1,395,557 | 1,395,557 | 1,395,557 | 1,395,557 | 1,395,557 |
| Food And Beverages | 15,115,256 | 15,115,256 | 15,115,256 | 14,767,273 | 15,115,256 | 14,767,273 |
| Information Technology | 2,748,805 | 2,748,805 | 2,748,805 | 2,578,591 | 2,748,805 | 2,578,591 |
| Motor Vehicle Costs | 3,688,665 | 3,688,665 | 3,688,665 | 3,676,665 | 3,688,665 | 3,676,665 |
| Natural Gas | 3,230,554 | 3,230,554 | 3,230,554 | 3,230,554 | 3,230,554 | 3,230,554 |
| Oil #2 | 725,512 | 725,512 | 725,512 | 725,512 | 725,512 | 725,512 |
| Other / Fixed Charges | 1,719,818 | 1,719,818 | 1,719,818 | 1,719,818 | 1,719,818 | 1,719,818 |
| Other Services | 823,314 | 823,314 | 823,314 | 823,314 | 823,314 | 823,314 |
| Premises Expenses | 12,270,799 | 12,270,799 | 12,270,799 | 12,270,799 | 12,270,799 | 12,270,799 |
| Premises Rent Expense-Landlord | 484,654 | 484,654 | 484,654 | 484,654 | 484,654 | 484,654 |
| Professional Services | 395,178 | 395,178 | 395,178 | 395,178 | 395,178 | 395,178 |
| Propane | 30,243 | 30,243 | 30,243 | 30,243 | 30,243 | 30,243 |
| Purchased Commodities | 7,791,442 | 7,791,442 | 7,791,442 | 7,791,442 | 7,791,442 | 7,791,442 |
| Reimbursements | 1,089 | 1,089 | 1,089 | 1,089 | 1,089 | 1,089 |
| Salaries and Wages | 4,093 | 4,093 | 4,093 | 4,093 | 4,093 | 4,093 |
| Sewer | 4,840,374 | 4,840,374 | 4,840,374 | 4,840,374 | 4,840,374 | 4,840,374 |

| | | | | | | |
|----------------------|------------|------------|------------|------------|------------|------------|
| Water | 3,393,552 | 3,393,552 | 3,393,552 | 3,393,552 | 3,393,552 | 3,393,552 |
| TOTAL-Other Expenses | 68,983,107 | 69,596,565 | 69,596,565 | 68,704,828 | 69,596,565 | 68,343,288 |

Other Current Expenses

| | | | | | | |
|------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Stress Management | 53,501 | 0 | 0 | 0 | 0 | 0 |
| Workers' Compensation Claims | 30,488,797 | 31,115,914 | 31,115,914 | 0 | 31,115,914 | 0 |
| Inmate Medical Services | 103,014,388 | 109,970,535 | 110,562,225 | 107,556,425 | 108,856,425 | 109,812,665 |
| Board of Pardons and Paroles | 5,796,673 | 6,927,233 | 7,224,923 | 6,974,828 | 7,003,728 | 7,229,605 |
| STRIDE | 63,551 | 73,342 | 73,342 | 73,342 | 73,342 | 73,342 |
| TOTAL-Other Current Expenses | 139,416,910 | 148,087,024 | 148,976,404 | 114,604,595 | 147,049,409 | 117,115,612 |

Pmts to Other Than Local Govts

| | | | | | | |
|---------------------------------------|------------|------------|------------|------------|------------|------------|
| Aid to Paroled and Discharged Inmates | 1,351 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 |
| Legal Services To Prisoners | 774,056 | 797,000 | 797,000 | 797,000 | 797,000 | 797,000 |
| Volunteer Services | 55,340 | 87,725 | 87,725 | 87,725 | 87,725 | 87,725 |
| Community Support Services | 33,810,537 | 34,129,544 | 34,224,200 | 34,224,200 | 34,625,233 | 34,625,233 |
| TOTAL-Pmts to Other Than Local Govts | 34,641,284 | 35,017,269 | 35,111,925 | 35,111,925 | 35,512,958 | 35,512,958 |

| | | | | | | |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Personal Services | 405,702,634 | 414,958,209 | 463,013,648 | 411,436,025 | 441,412,692 | 427,305,795 |
| Other Expenses | 68,983,107 | 69,596,565 | 69,596,565 | 68,704,828 | 69,596,565 | 68,343,288 |
| Other Current Expenses | 139,416,910 | 148,087,024 | 148,976,404 | 114,604,595 | 147,049,409 | 117,115,612 |
| Pmts to Other Than Local Govts | 34,641,284 | 35,017,269 | 35,111,925 | 35,111,925 | 35,512,958 | 35,512,958 |
| TOTAL-General Fund | 648,743,935 | 667,659,067 | 716,698,542 | 629,857,373 | 693,571,624 | 648,277,653 |

DEPARTMENT OF CHILDREN AND FAMILIES

AGENCY DESCRIPTION

The department is empowered under Section 17a-3 of the Connecticut General Statutes as a comprehensive, consolidated agency serving children and families. Its mandates include child protective and family services, behavioral health services, prevention and educational services.

DCF directly serves approximately 27,000 children and 11,300 families across its programs and mandated areas of service. On any given day, approximately:

- 11,300 cases are open;
- 1,900 investigations and 2,300 family assessments are underway;
- 4,100 children are in various types of placements;
- 400 children receive services voluntarily – about 360 at home, the balance are served out-of-home.

Policy and practice reforms led to substantially improved outcomes between January 2011 and July 2020:

- 13.5 percent reduction in children in care;
- the percentage of children in care residing in congregate care declined from 29.8 percent to 6.5 percent, with only 8 children placed out-of-state;
- the share of children in care living in a relative kinship home increased from 21.0 percent to 44.7 percent;
- the number of children living in congregate care settings was reduced by 1,157 children.

Adoptions were finalized for 577 children, and subsidized guardianships transferred for 426 children during calendar year 2019. The Department's post-secondary education program provided financial support for 624 students up to age 23 attending vocational education, colleges or universities in FY 2020.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------|
| • Provide Funding for State Employee Wage Adjustments | 2,846,427 | 2,846,427 |
| • Reflect Impact of 27th Payroll During FY 2023 | 0 | 10,781,568 |
| • Adjust Private Residential Treatment Center Rates | 507,322 | 1,093,293 |
| • Adjust funding to reflect rate increases determined by Single Cost Accounting for residential treatment facilities. | | |
| • Reflect Annualization of Minimum Wage Increase for Employees of Private Providers | 97,842 | 428,021 |
| • Re-estimate Caseload Driven and Other Expenditures <i>Reflects updated expenditure projections for out-of-home care (adoption, subsidized guardianship, foster care, residential and no-nexus special education), individualized payments, and a revised cost estimate for workers' compensation claims.</i> | -1,234,495 | 5,869,120 |
| • Adjust Funding for Personal Services to Reflect Overtime Trends | -2,000,000 | -3,000,000 |

Reductions

| | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------|
| • Annualize FY 2021 Rescissions | -245,801 | -245,801 |
| • Rightsize North Campus of the Solnit Center <i>Savings are achieved from limiting operation of the North Campus of the Albert J. Solnit Children's Center to three psychiatric residential treatment facility units based on actual census needs, reflecting the elimination of eight full-time and one part-time direct care positions.</i> | -422,007 | -633,010 |
| • Remove Funding for Private Residential Treatment Rate Increases | -433,299 | -943,396 |
| • Suspend Single Cost Accounting System room and board rate adjustments during the FY 2022-2023 biennium. | | |
| • Enhance Parent-Child Visitation Services by Establishing Quality Parenting Centers <i>The ability for biological parents to continue a parenting relationship with their children after they have been removed for abuse or neglect is critical to the safety, permanence and well-being of the children. Unfortunately, providing parenting/visitation services is logistically cumbersome due to factors such as appropriate physical space, personnel, transportation and scheduling. Funding is proposed to establish Quality Parenting Centers (QPCs) that are designed to address these concerns and to provide parents an opportunity to continue their parenting relationship in a supervised setting that closely simulates a family home environment. Surplus capacity in the congregate care service array will be converted into QPCs. Savings will be achieved through reduced reliance upon credentialed providers; by eliminating 50 children's protective services positions; and through reduced overtime and transportation expenses.</i> | -4,584,761 | -6,818,757 |

Reallocations

| | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------------------|------------|------------|
| • Reflect Annualization of Centralized Human Resources and Labor Relations Services | -3,176,058 | -3,298,214 |
| • Centralize Funding for Workers' Compensation Claims Under the Department of Administrative Services | -9,933,562 | -9,933,562 |

AGENCY PROGRAMS

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 2,878 | 143 | 0 | 3,021 | 3,021 | 2,924 | 3,021 | 2,924 |
| Federal Funds | 14 | 1 | 0 | 15 | 15 | 15 | 15 | 15 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | 3 | 3 | 3 | 3 | 3 | 3 |
| General Fund | 199 | 199 | 199 | 199 | 199 | 199 |

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Administration | 49,478,315 | 46,794,140 | 45,058,131 | 44,833,280 | 31,577,859 | 46,154,678 | 45,898,758 | 32,521,181 |
| Prevention | 8,492,751 | 7,999,590 | 7,864,954 | 7,864,954 | 7,864,954 | 7,879,646 | 7,870,767 | 7,870,767 |
| Child Welfare Services | 534,501,441 | 523,124,261 | 559,939,749 | 553,252,244 | 555,317,483 | 572,295,548 | 566,506,215 | 568,587,458 |
| Education | 5,811,988 | 6,141,220 | 6,459,473 | 6,257,613 | 6,257,613 | 6,602,888 | 6,431,540 | 6,431,540 |
| Behavioral Health Services | 206,762,553 | 208,166,216 | 211,664,564 | 208,927,028 | 201,321,722 | 213,383,666 | 210,822,172 | 200,245,766 |
| TOTAL Agency Programs | 805,047,048 | 792,225,427 | 830,986,871 | 821,135,119 | 802,339,631 | 846,316,426 | 837,529,452 | 815,656,712 |

Summary of Funding

| | | | | | | | | |
|-----------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 780,380,064 | 773,115,728 | 818,284,576 | 808,432,824 | 789,637,336 | 835,021,131 | 826,234,157 | 804,361,417 |
| Federal Funds | 21,085,576 | 15,709,233 | 11,655,295 | 11,655,295 | 11,655,295 | 10,710,295 | 10,710,295 | 10,710,295 |
| Private Funds | 1,306,706 | 1,115,562 | 1,047,000 | 1,047,000 | 1,047,000 | 585,000 | 585,000 | 585,000 |
| Grant Transfers | 2,274,702 | 2,284,904 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 805,047,048 | 792,225,427 | 830,986,871 | 821,135,119 | 802,339,631 | 846,316,426 | 837,529,452 | 815,656,712 |

CHILD WELFARE SERVICES

Statutory Reference

C.G.S. Sections 17a-3, 90, 101g, 117, 126; and 46b-129(j).

Statement of Purpose and Program Objectives

To protect children from abuse or injury. To provide community-based services to maintain families or reunify children with their families when possible. To provide an out-of-home placement that meets an abused or neglected child's developmental needs while a child's biological family cannot care for them or when reunification is not possible. To promote permanency through subsidized guardianship, adoption and independent living.

Program Description

Careline - In 2019, the Careline received 99,339 calls. Of the 67,518 reports of child abuse or neglect, 29,100 (43.1%) were accepted and assigned to either an investigative or Family Assessment Response (FAR) track. The Differential Response System offers FAR as an alternative to a traditional investigation response if specific criteria

are met. In 2019, 45.4% of accepted reports were assigned to the FAR track. Of those families, within a 12-month period following FAR disposition, 72.4% did not have a subsequent report and 93.5% did not have a subsequent substantiated report.

In December 2018, the department piloted online submission of non-emergent reports of child abuse and neglect. Since that time, school personnel, birthing hospitals and other specific categories of mandated reporters have been able to submit referrals electronically rather than contacting the Careline. This functionality is being incorporated into the department's new comprehensive child welfare information system, known as CT-KIND (Kid's Information Network Database), in order to provide the same opportunity to all mandated reporters.

The department, in partnership with Beacon Health Options, established the Integrated Family Care and Support Program in 2019. The program, developed with the belief that many families would be better served in their own communities without DCF

involvement, engages families while connecting them to traditional and non-traditional resources and services. To date, over 300 families have been referred to the program, which was implemented incrementally throughout the state with full implementation occurring in May 2020.

Community-Based, In-Home Services – 3,769 families and 7,546 children were served in the community or in the home in FY 2019 by private, non-profit providers under department contract. Services include, but are not limited to, parenting support services, intensive family preservation, reunification and therapeutic family time, intimate partner violence, and supportive housing.

Foster Care provides a substitute family experience that, along with other services provided to foster parents, families and children, facilitates reunification of children with their families when possible. Increasing the share of children in care who live in a family setting as opposed to congregate care is a departmental priority. *Relative Caregivers* offer the best option when children cannot live safely in their homes. The department has prioritized this resource for children who must be placed in care by incorporating the concept as one of the department's seven key results. Working with the Annie E. Casey Foundation, the department identified and implemented improvements in the foster home licensing process. Staff training was conducted and resource guides for staff and relatives were produced. Practice changes have led to expedited assessments of kinship homes.

Adoptive Homes serve children who cannot return to their biological families. The department assists with legally freeing a child for adoption, prepares adoptive home studies, engages in placement planning with the child and adoptive family, helps children prepare for adoption, and provides financial subsidies to adoptive families having children with special needs.

Subsidized Guardianship provides a permanent home for children in which legal guardians function in a similar manner as adoptive parents, but without the child's parental rights being terminated. Subsidized guardianship offers financial subsidies to assist in providing this care.

Independent Living programs support older adolescents. Youth who have been in foster care or other placement settings are provided the opportunity to live on their own with supportive services to assist their successful transition to adulthood.

Short Term Assessment and Respite Homes provide short-term congregate care, assessment and a range of clinical and nursing services to children removed from their homes due to abuse, neglect or other high-risk circumstances. Care coordination supports family reunification or transition to foster care, congregate care, or other settings. Short Term Family Integrated Treatment Centers are temporary residential treatment settings providing crisis stabilization and assessment, with rapid reintegration and transition back home.

| Program Measures | | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------|--|----------------|------------------|------------------|------------------|
| | | Actual | Estimated | Projected | Projected |
| Number of children entering subsidized adoptive homes | | 476 | 480 | 480 | 480 |
| Training hours devoted to increasing staff knowledge and skills | | 47,000 | 75,000 | 75,000 | 75,000 |
| Number of families served through supportive housing program | | 802 | 725 | 725 | 725 |
| Number of children entering subsidized guardianship homes | | 332 | 325 | 325 | 325 |
| Number of children in foster care at end of fiscal year | | 3,689 | 3,650 | 3,800 | 3,800 |
| Number of treatment planning conference/administrative case reviews completed | | 13,734 | 13,960 | 13,960 | 13,960 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 2,192 | 85 | 0 | 2,277 | 2,277 | 2,227 | 2,277 | 2,227 |
| Federal Funds | 12 | 0 | 0 | 12 | 12 | 12 | 12 | 12 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 58 | 58 | 58 | 58 | 58 | 58 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 190,608,933 | 190,610,693 | 202,181,038 | 200,181,038 | 197,096,277 | 213,520,340 | 206,907,421 | 202,388,664 |
| Other Expenses | 17,238,821 | 17,358,627 | 17,358,627 | 17,358,627 | 17,358,627 | 17,358,627 | 17,358,627 | 17,358,627 |

Other Current Expenses

| | | | | | | | | |
|-------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Family Support Services | 946,451 | 946,451 | 946,451 | 946,451 | 946,451 | 946,451 | 946,637 | 946,637 |
| Differential Response System | 12,299,082 | 15,812,975 | 15,812,975 | 15,812,975 | 15,812,975 | 15,821,651 | 15,821,651 | 15,821,651 |
| Total--Other Current Expenses | 13,245,533 | 16,759,426 | 16,759,426 | 16,759,426 | 16,759,426 | 16,768,102 | 16,768,288 | 16,768,288 |

Pmts to Other Than Local Govts

| | | | | | | | | |
|----------------------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Health Assessment and Consultation | 1,368,142 | 1,371,723 | 1,378,776 | 1,378,776 | 1,378,776 | 1,381,668 | 1,381,668 | 1,381,668 |
| Child Abuse and Neglect Intervention | 9,839,542 | 9,874,101 | 9,880,049 | 9,882,941 | 9,882,941 | 9,884,089 | 9,889,765 | 9,889,765 |
| Family Violence Outreach and Counseling | 3,732,286 | 3,745,395 | 3,745,395 | 3,745,395 | 3,745,395 | 3,745,405 | 3,745,405 | 3,745,405 |
| Supportive Housing | 19,816,463 | 19,886,064 | 19,886,064 | 19,886,064 | 19,886,064 | 19,886,064 | 19,886,064 | 19,886,064 |
| Family Preservation Services | 6,570,908 | 6,593,987 | 6,593,987 | 6,593,987 | 6,593,987 | 6,594,015 | 6,594,028 | 6,594,028 |
| Child Welfare Support Services | 2,551,066 | 2,560,026 | 2,560,026 | 2,560,026 | 2,560,026 | 2,560,026 | 2,560,026 | 2,560,026 |
| Board and Care for Children - Adoption | 102,058,951 | 102,500,134 | 107,721,774 | 107,421,375 | 107,421,375 | 108,272,905 | 111,010,454 | 111,010,454 |
| Board and Care for Children - Foster | 136,698,353 | 119,381,796 | 137,615,594 | 134,756,480 | 139,906,480 | 138,702,921 | 137,871,637 | 144,471,637 |
| Board and Care for Children - Short-term and Residential | 13,732,069 | 15,835,289 | 15,835,289 | 15,394,003 | 15,394,003 | 15,835,289 | 15,400,498 | 15,400,498 |
| Individualized Family Supports | 4,087,602 | 2,928,890 | 5,615,351 | 4,521,413 | 4,521,413 | 5,468,736 | 4,808,765 | 4,808,765 |
| Covenant to Care | 161,412 | 161,412 | 162,650 | 163,514 | 163,514 | 163,658 | 165,602 | 165,602 |
| Juvenile Review Boards | 1,316,479 | 1,315,147 | 1,315,147 | 1,318,623 | 1,318,623 | 1,315,147 | 1,319,411 | 1,319,411 |
| Youth Transition and Success Programs | 225,000 | 450,000 | 450,000 | 450,000 | 450,000 | 450,000 | 450,000 | 450,000 |
| Total--Pmts to Other Than Local Govts | 302,158,273 | 286,603,964 | 312,760,102 | 308,072,597 | 313,222,597 | 314,259,923 | 315,083,323 | 321,683,323 |

Pmts to Local Governments

| | | | | | | | | |
|----------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Youth Service Bureaus | 2,587,004 | 2,626,772 | 2,626,772 | 2,626,772 | 2,626,772 | 2,626,772 | 2,626,772 | 2,626,772 |
| Youth Service Bureau Enhancement | 1,093,973 | 1,093,973 | 1,093,973 | 1,093,973 | 1,093,973 | 1,093,973 | 1,093,973 | 1,093,973 |
| Pmts to Local Governments | 3,680,977 | 3,720,745 | 3,720,745 | 3,720,745 | 3,720,745 | 3,720,745 | 3,720,745 | 3,720,745 |
| Total-General Fund | 526,932,537 | 515,053,455 | 552,779,938 | 546,092,433 | 548,157,672 | 565,627,737 | 559,838,404 | 561,919,647 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 372,000 | 480,000 | 480,000 | 480,000 | 480,000 | 118,000 | 118,000 | 118,000 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93556 Promoting Safe & Stable Families | 2,426,244 | 2,444,811 | 2,444,811 | 2,444,811 | 2,444,811 | 2,444,811 | 2,444,811 | 2,444,811 |
| 93556 PROMOTING SAFE AND STABLE FAMILIES | 277,017 | 260,119 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93603 Adoption Incentive Payments | 638,290 | 212,000 | 130,000 | 130,000 | 130,000 | 0 | 0 | 0 |
| 93643 Children'S Justice Grants to States | 193,122 | 191,897 | 190,000 | 190,000 | 190,000 | 190,000 | 190,000 | 190,000 |
| 93645 Child Welfare Services State Grants | 1,721,913 | 1,976,000 | 1,700,000 | 1,700,000 | 1,700,000 | 1,700,000 | 1,700,000 | 1,700,000 |
| 93669 Child Abuse & Neglect State Grants | 694,575 | 915,914 | 915,000 | 915,000 | 915,000 | 915,000 | 915,000 | 915,000 |
| 93674 Chafee Foster Care Independent Living | 935,808 | 1,300,000 | 1,300,000 | 1,300,000 | 1,300,000 | 1,300,000 | 1,300,000 | 1,300,000 |
| 93788 Opioid STR | 309,935 | 290,065 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 534,501,441 | 523,124,261 | 559,939,749 | 553,252,244 | 555,317,483 | 572,295,548 | 566,506,215 | 568,587,458 |

BEHAVIORAL HEALTH SERVICES

Statutory Reference

C.G.S. Sections 17a-79, 90, and 94.

Statement of Purpose and Program Objectives

To support a continuum of community-based children’s behavioral health care services. To treat children with acute behavioral health needs in the least restrictive setting possible. To provide intensive residential treatment and acute psychiatric care for children with behavioral health needs.

Program Description

In-Home Initiatives that assist children and families with behavioral health needs include:

- “Wrap Around” services that help both children and parents on an individualized basis, including non-traditional assistance such as mentoring and respite services;
- Intensive In-home Services for children returning home from psychiatric hospitals or residential care or at risk of requiring hospitalization or out-of-home placement;
- Multi-Systemic Therapy offers intensive, in-home therapy and counseling that treats the whole family. Studies show it can be as effective as residential services in reducing recidivism at less than one-third the cost;
- Specialized Programs for families in which parents are also provided with intensive substance use treatment and other clinical services such as Multi-Systemic Therapy: Building Stronger Families.

The *Voluntary Care Management Program* serves children and youth with serious emotional disturbances, mental illness and/or substance dependency. Those receiving services through the program do not require child protective services intervention but benefit from a community-based behavioral health system. The program works to coordinate service delivery across multiple agencies while promoting positive development and reducing reliance on restrictive forms of treatment and out-of-home placement. Parents and families are critical participants and must participate in the planning and delivery of services for their child or youth. As of November 2020, 166 referrals had been made to the program since its inception in May 2020.

Connecticut Community KidCare provides a variety of family-focused community-based behavioral health programs for children and

youth, including: emergency mobile psychiatric services, care coordination, parent advocacy, child guidance clinics, extended day treatment, and substance use treatment programs for youth. Innovative family-focused treatment and supportive housing programs are also supported.

ACCESS-Mental Health CT provides children who visit primary care providers the benefit of consultative psychiatric services. The program assists pediatricians and other medical providers in recognizing and responding to a child who may have behavioral health needs. In addition, it helps physicians identify available services that meet the child’s needs.

Congregate Care provides structured out-of-home treatment. DCF contracts with different types of treatment programs to meet the needs of children and adolescents, including residential treatment centers, group homes and therapeutic group homes, crisis stabilization, and residential substance abuse treatment.

DCF operates a behavioral health facility, the *Albert J. Solnit Children’s Center*. The North Campus, in East Windsor, is a psychiatric residential treatment facility (PRTF) providing residential care, medical services, clinical assessment, evaluation and therapy, and an education program for boys ages 13 to 18. Services are provided in partnership with the child/youth, their families and communities in order to meet the individual needs of each child/youth and prepare them for transition to home or a less restrictive environment. The South Campus, in Middletown, offers inpatient psychiatric care for children and youth up to 18 years old and PRTF services for girls ages 13 to 18. Interdisciplinary teams work in concert with patients and their families to provide individualized treatment aimed at stabilization and to prepare them for a return home or a placement to a less-restrictive setting. The facility is a teaching and education center for child psychiatry, psychology, social work, rehabilitative therapy and nursing. It is accredited by the Joint Commission and certified to provide Medicare and Medicaid services.

The Wilderness School, located in East Hartland, is a prevention, intervention and transition program for troubled youth. It offers high-impact wilderness programs intended to foster positive youth development. Courses range from one-day experiences to twenty-day expeditions. Designed as a journey experience, the program is based upon the philosophies of experiential learning. Studies have documented the Wilderness School’s positive impact upon self-esteem, personal responsibility and interpersonal skills.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Number of children in behavioral health residential treatment facilities at end of fiscal year | 141 | 145 | 170 | 170 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 402 | 53 | 0 | 455 | 455 | 447 | 455 | 447 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|-----------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested |
| Federal and Other Activities | 2 | 2 | 2 | 2 | 2 | 2 | 2 |
| General Fund | 117 | 117 | 117 | 117 | 117 | 117 | 117 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 46,519,266 | 48,813,452 | 49,383,374 | 49,383,374 | 48,961,367 | 52,238,271 | 51,269,230 | 50,636,220 |
| Other Expenses | 5,197,575 | 5,233,696 | 5,233,696 | 5,233,696 | 5,233,696 | 5,233,696 | 5,233,696 | 5,233,696 |

Other Current Expenses

| | | | | | | | | |
|-----------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Regional Behavioral Health Consultation | 1,640,263 | 1,646,024 | 1,646,024 | 1,646,024 | 1,646,024 | 1,646,024 | 1,646,024 | 1,646,024 |
|-----------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|

Pmts to Other Than Local Govts

| | | | | | | | | |
|----------------------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Health Assessment and Consultation | 44,000 | 44,000 | 44,000 | 44,000 | 44,000 | 44,000 | 44,000 | 44,000 |
| Grants for Psychiatric Clinics for Children | 16,112,024 | 16,182,464 | 16,205,306 | 16,205,306 | 16,205,306 | 16,223,351 | 16,225,467 | 16,225,467 |
| Day Treatment Centers for Children | 7,250,123 | 7,275,589 | 7,294,573 | 7,294,573 | 7,294,573 | 7,311,795 | 7,311,795 | 7,311,795 |
| Substance Abuse Treatment | 8,449,849 | 8,629,640 | 8,629,640 | 8,654,849 | 8,654,849 | 8,629,784 | 8,686,495 | 8,686,495 |
| Board and Care for Children - Short-term and Residential | 73,148,265 | 68,148,265 | 73,838,184 | 71,232,479 | 64,049,180 | 73,581,895 | 71,990,595 | 62,047,199 |
| Individualized Family Supports | 603,082 | 856,315 | 856,315 | 695,908 | 695,908 | 856,315 | 786,736 | 786,736 |
| Community Kidcare | 39,849,099 | 44,103,938 | 44,103,938 | 44,107,305 | 44,107,305 | 44,104,021 | 44,113,620 | 44,113,620 |
| Pmts to Other Than Local Govts | 145,456,442 | 145,240,211 | 150,971,956 | 148,234,420 | 141,051,121 | 150,751,161 | 149,158,708 | 139,215,312 |
| Total-General Fund | 198,813,546 | 200,933,383 | 207,235,050 | 204,497,514 | 196,892,208 | 209,869,152 | 207,307,658 | 196,731,252 |

| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------|-----------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 900,269 | 567,000 | 567,000 | 567,000 | 567,000 | 467,000 | 467,000 | 467,000 |
| Grant Transfers | 2,274,702 | 2,284,904 | 0 | 0 | 0 | 0 | 0 | 0 |

| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------------------------------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93104 Cmhs Child Mental Health Service Initiative | 805,090 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 |
| 93243 Substance Abuse and Mental Health Services_Projects of Regional and National Significance | 886,557 | 824,023 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93788 Opioid STR | 443,831 | 509,392 | 815,000 | 815,000 | 815,000 | 0 | 0 | 0 |
| 93958 Block Grants For Community Mental Health | 2,381,501 | 2,047,514 | 2,047,514 | 2,047,514 | 2,047,514 | 2,047,514 | 2,047,514 | 2,047,514 |
| 93982 MENTAL HEALTH DISASTER ASSISTANCE & EMERGENCY MENTAL HEALTH | 257,057 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 206,762,553 | 208,166,216 | 211,664,564 | 208,927,028 | 201,321,722 | 213,383,666 | 210,822,172 | 200,245,766 |

PREVENTION

Statutory Reference

C.G.S. Sections 17a-3, 38, 49, 54, and 56.

Statement of Purpose and Program Objectives

To promote positive development in children, youths, families and communities, and acknowledge and value them as partners. To respond to and respect the personal and cultural identities of children, youths, families and communities and to build on their strengths. To support comprehensive, collaborative and

coordinated strategies that are rooted in communities. To ensure that services are inclusive, accessible and affordable to all.

Program Description

DCF supports both community-based prevention programs and the direct provision of prevention services in the areas of child abuse prevention, parent education and support, early childhood services, public awareness campaigns and mentoring programs.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Children referred to early childhood programs for high-risk families experiencing increases in safety | 85% | 85% | 85% | 85% |
| Parents referred to early childhood programs for high-risk families demonstrating improved parenting | 85% | 85% | 85% | 85% |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

Other Positions Equated to Full-Time

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 1 | 1 | 1 | 1 | 1 | 1 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 141,827 | 151,517 | 151,881 | 151,881 | 151,881 | 166,558 | 157,679 | 157,679 |
| Other Expenses | 184,009 | 185,288 | 185,288 | 185,288 | 185,288 | 185,288 | 185,288 | 185,288 |

Pmts to Other Than Local Govts

| | | | | | | | | |
|-------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Community Based Prevention Programs | 7,254,576 | 7,527,785 | 7,527,785 | 7,527,785 | 7,527,785 | 7,527,800 | 7,527,800 | 7,527,800 |
| Total-General Fund | 7,580,412 | 7,864,590 | 7,864,954 | 7,864,954 | 7,864,954 | 7,879,646 | 7,870,767 | 7,870,767 |

Federal Contributions

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 93092 Personal Responsibility Education Program | 152,655 | 135,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 Substance Abuse/Mental Health Srvs Projects Regionl/National | 618,751 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93670 Child Abuse and Neglect Discretionary Activities | 140,933 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 8,492,751 | 7,999,590 | 7,864,954 | 7,864,954 | 7,864,954 | 7,879,646 | 7,870,767 | 7,870,767 |

EDUCATION

Statutory Reference

C.G.S. Sections 10-157, 17a-37.

Statement of Purpose and Program Objectives

To provide quality education and support services that lead to educational success for students involved with DCF.

Program Description

Unified School District #2 (USD #2) serves children whose needs require that their educational program be provided within one of the DCF-operated residential facilities. The District, under the administration of its No-nexus Unit, also has educational jurisdiction over children who have been placed by DCF in a private residential facility or in the residential component of a regional education service center and who attend such facility's school.

The Regional Education Services (RES) division assists and supports department staff with day to day case management related to ensuring all children under DCF's care are being provided free and appropriate public education. The RES supports the educational planning for nearly 3,000 children (Pre-K through grade 12) who attend school daily in over 160 public school districts and magnet, charter, vocational, and special education schools.

The Virtual Academy of USD #2 provides students with a high quality online educational opportunity to work with certified teachers statewide to recover previously lost high school credits, enroll in enrichment courses, and develop literacy and numeracy skills. Students have access to online core content area classes and electives, test preparation, and trade preparation courses.

The Post-Secondary Education division assists youth and young adults in attending post-secondary programs including vocational schools, colleges and universities.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------------|-----------|-----------|-----------|-----------|-------------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 20 | 0 | 0 | 20 | 20 | 20 | 20 | 20 |
| Federal Funds | 2 | 0 | 0 | 2 | 2 | 2 | 2 | 2 |
| | | | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Federal and Other Activities | | | 1 | 1 | 1 | 1 | 1 | 1 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 2,416,448 | 2,543,699 | 2,577,414 | 2,577,414 | 2,577,414 | 2,720,829 | 2,675,467 | 2,675,467 |
| Other Expenses | 74,400 | 74,917 | 74,917 | 74,917 | 74,917 | 74,917 | 74,917 | 74,917 |
| Pmts to Other Than Local Govts | | | | | | | | |
| No Nexus Special Education | 2,678,738 | 2,952,268 | 3,236,806 | 3,034,946 | 3,034,946 | 3,236,806 | 3,110,820 | 3,110,820 |
| Total-General Fund | 5,169,586 | 5,570,884 | 5,889,137 | 5,687,277 | 5,687,277 | 6,032,552 | 5,861,204 | 5,861,204 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 84013 Title I Pgm Neglected / Delinquent Children | 211,706 | 158,791 | 158,791 | 158,791 | 158,791 | 158,791 | 158,791 | 158,791 |
| 93599 Chafee Education & Training Vouchers | 430,696 | 411,545 | 411,545 | 411,545 | 411,545 | 411,545 | 411,545 | 411,545 |
| Total - All Funds | 5,811,988 | 6,141,220 | 6,459,473 | 6,257,613 | 6,257,613 | 6,602,888 | 6,431,540 | 6,431,540 |

ADMINISTRATION

Statutory Reference

C.G.S. Sections 17a-2, 3, 6, 9, 15 and 37.

Statement of Purpose and Program Objectives

To ensure the effective and efficient delivery of services to children and youth through strategic planning, quality assurance, support services, training and overall management and guidance to the department's area offices and facilities.

Program Description

The Office of the Commissioner determines the agency's course by establishing priorities and setting policy and regulations necessary for overall service management and to ensure the proper training of all staff. Supporting the department's programs in achieving its objectives is an administrative infrastructure that includes administrative law and policy, quality improvement, contract management, fiscal services, engineering, office of the ombudsman, public information and revenue enhancement.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Number of inspections of residential facilities and community-based programs | 281 | 450 | 450 | 450 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 263 | 5 | 0 | 268 | 268 | 229 | 268 | 229 |
| Federal Funds | 0 | 1 | 0 | 1 | 1 | 1 | 1 | 1 |

Other Positions Equated to Full-Time

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------|---------|-----------|-----------|-------------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested | Recommended |
| General Fund | 23 | 23 | 23 | 23 | 23 | 23 | 23 | 23 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 26,372,659 | 27,877,294 | 28,049,375 | 28,049,375 | 24,873,317 | 29,145,922 | 29,114,853 | 25,816,639 |
| Other Expenses | 6,264,171 | 6,307,709 | 6,307,709 | 6,307,709 | 6,161,908 | 6,307,709 | 6,307,709 | 6,161,908 |
| Other Current Expenses | | | | | | | | |
| Workers' Compensation Claims | 9,247,153 | 9,508,413 | 10,158,413 | 9,933,562 | 0 | 10,158,413 | 9,933,562 | 0 |
| Total-General Fund | 41,883,983 | 43,693,416 | 44,515,497 | 44,290,646 | 31,035,225 | 45,612,044 | 45,356,124 | 31,978,547 |

Other Funds Available

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 34,437 | 68,562 | 0 | 0 | 0 | 0 | 0 | 0 |

Federal Contributions

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 84027 Special Education Grants to States | 86,532 | 216,533 | 216,000 | 216,000 | 216,000 | 216,000 | 216,000 | 216,000 |
| 84367 Improving Teacher Quality State Grants | 2,890 | 2,534 | 2,534 | 2,534 | 2,534 | 2,534 | 2,534 | 2,534 |
| 93243 SUBSTANCE ABUSE/MENTAL HEALTH SRVS PROJECTS REGIONL/NATIONAL | 187,172 | 324,100 | 324,100 | 324,100 | 324,100 | 324,100 | 324,100 | 324,100 |
| 93658 FOSTER CARE - TITLE IV-E | 7,283,301 | 2,488,995 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 49,478,315 | 46,794,140 | 45,058,131 | 44,833,280 | 31,577,859 | 46,154,678 | 45,898,758 | 32,521,181 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Personal Services | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 230,022,674 | 234,214,209 | 246,021,366 | 239,417,650 | 259,739,274 | 247,153,445 |
| Salaries & Wages-Temporary | 1,482,586 | 1,487,419 | 1,513,874 | 1,513,874 | 1,619,933 | 1,572,100 |
| Salaries & Wages-Part Time | 9,839,354 | 10,688,841 | 10,873,680 | 10,857,293 | 11,709,935 | 11,267,318 |
| Longevity Payments | 1,232,487 | 1,329,324 | 1,329,324 | 1,329,324 | 1,329,324 | 1,329,324 |
| Overtime | 18,030,022 | 17,160,054 | 17,461,137 | 15,413,268 | 18,077,733 | 15,060,916 |
| Accumulated Leave | 755,928 | 693,035 | 693,035 | 693,035 | 693,035 | 693,035 |
| Other Salaries & Wages | 3,928,960 | 4,098,775 | 4,098,775 | 4,083,921 | 4,257,535 | 4,234,140 |
| Other | 767,122 | 324,998 | 351,891 | 351,891 | 365,151 | 364,391 |
| TOTAL - Personal Services-Personal Services | 266,059,133 | 269,996,655 | 282,343,082 | 273,660,256 | 297,791,920 | 281,674,669 |
| | | | | | | |
| Other Expenses | | | | | | |
| Capital Outlays | 12,395 | 12,481 | 12,481 | 12,481 | 12,481 | 12,481 |
| Client Services | 18,642 | 18,771 | 18,771 | 18,771 | 18,771 | 18,771 |
| Communications | 1,903,988 | 1,917,221 | 1,917,221 | 1,871,420 | 1,917,221 | 1,871,420 |
| Diesel-Generator | 413 | 416 | 416 | 416 | 416 | 416 |
| Electricity | 1,937,859 | 1,951,327 | 1,951,327 | 1,951,327 | 1,951,327 | 1,951,327 |
| Employee Expenses | 53,363 | 53,734 | 53,734 | 53,734 | 53,734 | 53,734 |
| Employee Travel | 185,734 | 187,024 | 187,024 | 187,024 | 187,024 | 187,024 |
| Equipment Rental and Maintenance | 573,655 | 577,642 | 577,642 | 577,642 | 577,642 | 577,642 |
| Food And Beverages | 604,694 | 608,897 | 608,897 | 608,897 | 608,897 | 608,897 |
| Information Technology | 1,958,105 | 1,971,713 | 1,971,713 | 1,971,713 | 1,971,713 | 1,971,713 |
| Motor Vehicle Costs | 3,590,833 | 3,615,789 | 3,615,789 | 3,615,789 | 3,615,789 | 3,615,789 |
| Natural Gas | 354,946 | 357,413 | 357,413 | 357,413 | 357,413 | 357,413 |
| Oil #2 | 189,497 | 190,814 | 190,814 | 190,814 | 190,814 | 190,814 |
| Other / Fixed Charges | 30,448 | 30,660 | 30,660 | 30,660 | 30,660 | 30,660 |
| Other Services | 941,249 | 947,791 | 947,791 | 947,791 | 947,791 | 947,791 |
| Premises Expenses | 6,272,812 | 6,316,407 | 6,316,407 | 6,316,407 | 6,316,407 | 6,316,407 |
| Premises Rent Expense-Landlord | 6,892,950 | 6,940,855 | 6,940,855 | 6,940,855 | 6,940,855 | 6,940,855 |
| Professional Services | 1,586,028 | 1,597,051 | 1,597,051 | 1,547,051 | 1,597,051 | 1,547,051 |
| Propane | 10,652 | 10,726 | 10,726 | 10,726 | 10,726 | 10,726 |
| Purchased Commodities | 1,771,355 | 1,783,665 | 1,783,665 | 1,733,665 | 1,783,665 | 1,733,665 |
| Sewer | 42,135 | 42,428 | 42,428 | 42,428 | 42,428 | 42,428 |
| Water | 27,223 | 27,412 | 27,412 | 27,412 | 27,412 | 27,412 |
| TOTAL-Other Expenses | 28,958,976 | 29,160,237 | 29,160,237 | 29,014,436 | 29,160,237 | 29,014,436 |
| | | | | | | |
| Other Current Expenses | | | | | | |
| Workers' Compensation Claims | 9,247,153 | 9,508,413 | 10,158,413 | 0 | 10,158,413 | 0 |
| Family Support Services | 946,451 | 946,451 | 946,451 | 946,451 | 946,451 | 946,637 |
| Differential Response System | 12,299,082 | 15,812,975 | 15,812,975 | 15,812,975 | 15,821,651 | 15,821,651 |
| Regional Behavioral Health Consultation | 1,640,263 | 1,646,024 | 1,646,024 | 1,646,024 | 1,646,024 | 1,646,024 |
| TOTAL-Other Current Expenses | 24,132,949 | 27,913,863 | 28,563,863 | 18,405,450 | 28,572,539 | 18,414,312 |

Pmts to Other Than Local Govts

| | | | | | | |
|----------------------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Health Assessment and Consultation | 1,412,142 | 1,415,723 | 1,422,776 | 1,422,776 | 1,425,668 | 1,425,668 |
| Grants for Psychiatric Clinics for Children | 16,112,024 | 16,182,464 | 16,205,306 | 16,205,306 | 16,223,351 | 16,225,467 |
| Day Treatment Centers for Children | 7,250,123 | 7,275,589 | 7,294,573 | 7,294,573 | 7,311,795 | 7,311,795 |
| Child Abuse and Neglect Intervention | 9,839,542 | 9,874,101 | 9,880,049 | 9,882,941 | 9,884,089 | 9,889,765 |
| Community Based Prevention Programs | 7,254,576 | 7,527,785 | 7,527,785 | 7,527,785 | 7,527,800 | 7,527,800 |
| Family Violence Outreach and Counseling | 3,732,286 | 3,745,395 | 3,745,395 | 3,745,395 | 3,745,405 | 3,745,405 |
| Supportive Housing | 19,816,463 | 19,886,064 | 19,886,064 | 19,886,064 | 19,886,064 | 19,886,064 |
| No Nexus Special Education | 2,678,738 | 2,952,268 | 3,236,806 | 3,034,946 | 3,236,806 | 3,110,820 |
| Family Preservation Services | 6,570,908 | 6,593,987 | 6,593,987 | 6,593,987 | 6,594,015 | 6,594,028 |
| Substance Abuse Treatment | 8,449,849 | 8,629,640 | 8,629,640 | 8,654,849 | 8,629,784 | 8,686,495 |
| Child Welfare Support Services | 2,551,066 | 2,560,026 | 2,560,026 | 2,560,026 | 2,560,026 | 2,560,026 |
| Board and Care for Children - Adoption | 102,058,951 | 102,500,134 | 107,721,774 | 107,421,375 | 108,272,905 | 111,010,454 |
| Board and Care for Children - Foster | 136,698,353 | 119,381,796 | 137,615,594 | 139,906,480 | 138,702,921 | 144,471,637 |
| Board and Care for Children - Short-term and Residential | 86,880,334 | 83,983,554 | 89,673,473 | 79,443,183 | 89,417,184 | 77,447,697 |
| Individualized Family Supports | 4,690,684 | 3,785,205 | 6,471,666 | 5,217,321 | 6,325,051 | 5,595,501 |
| Community Kidcare | 39,849,099 | 44,103,938 | 44,103,938 | 44,107,305 | 44,104,021 | 44,113,620 |
| Covenant to Care | 161,412 | 161,412 | 162,650 | 163,514 | 163,658 | 165,602 |
| Juvenile Review Boards | 1,316,479 | 1,315,147 | 1,315,147 | 1,318,623 | 1,315,147 | 1,319,411 |
| Youth Transition and Success Programs | 225,000 | 450,000 | 450,000 | 450,000 | 450,000 | 450,000 |
| TOTAL-Pmts to Other Than Local Govts | 457,548,029 | 442,324,228 | 474,496,649 | 464,836,449 | 475,775,690 | 471,537,255 |

Pmts to Local Governments

| | | | | | | |
|----------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Youth Service Bureaus | 2,587,004 | 2,626,772 | 2,626,772 | 2,626,772 | 2,626,772 | 2,626,772 |
| Youth Service Bureau Enhancement | 1,093,973 | 1,093,973 | 1,093,973 | 1,093,973 | 1,093,973 | 1,093,973 |
| TOTAL-Pmts to Local Governments | 3,680,977 | 3,720,745 | 3,720,745 | 3,720,745 | 3,720,745 | 3,720,745 |

| | | | | | | |
|--------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Personal Services | 266,059,133 | 269,996,655 | 282,343,082 | 273,660,256 | 297,791,920 | 281,674,669 |
| Other Expenses | 28,958,976 | 29,160,237 | 29,160,237 | 29,014,436 | 29,160,237 | 29,014,436 |
| Other Current Expenses | 24,132,949 | 27,913,863 | 28,563,863 | 18,405,450 | 28,572,539 | 18,414,312 |
| Pmts to Other Than Local Govts | 457,548,029 | 442,324,228 | 474,496,649 | 464,836,449 | 475,775,690 | 471,537,255 |
| Pmts to Local Governments | 3,680,977 | 3,720,745 | 3,720,745 | 3,720,745 | 3,720,745 | 3,720,745 |
| TOTAL-General Fund | 780,380,064 | 773,115,728 | 818,284,576 | 789,637,336 | 835,021,131 | 804,361,417 |

JUDICIAL DEPARTMENT

AGENCY DESCRIPTION

The objectives of the Judicial Department are: to uphold the laws of the state by adjudicating criminal cases; to resolve disputes involving civil or personal rights; to interpret state statutes and to determine whether a law violates the Constitutions of the State or the United States; to insure the principles of fair and reasonable bail by interviewing all detained criminal defendants to determine whether they should remain incarcerated during the pendency of their case; to effectively resolve family and interpersonal conflicts through a comprehensive program of negotiation, mediation, evaluation and education, to provide safe and secure custody, treatment and rehabilitative services for children and families through the juvenile justice system, and to ensure meaningful access to justice by providing translation services to limited English speaking persons, reasonable accommodations under the Americans with Disabilities Act (ADA) and services to assist self-represented parties including

public information centers, volunteer attorney days and plain language forms and publications.

Additional objectives are: to create and sustain a full range of alternatives to incarceration for both pre- and post-conviction adult and juvenile populations; to supervise probationers in the community and to encourage improvement in their conduct and condition; to enforce, review and adjust child support orders; to advocate for victims of crime and arrange for or provide services and financial compensation; to maintain secure and safe conditions in courthouses and other Judicial Department facilities, provide for the transportation of prisoners between courthouses and places of confinement, and to gather and share data regarding the judicial system with the Executive and Legislative Branches.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------|
| <ul style="list-style-type: none"> Annualize Current Services Costs <i>Funding is provided for approved wage increases, the 27th pay period in FY 2023, and annualization of information technology costs within the Probate Court system.</i> | 6,244,007 | 17,899,818 |

Reductions

| | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------|
| <ul style="list-style-type: none"> Reflect Policy Changes to Reduce Baseline Expenditures <i>Funding is adjusted to reflect reductions requested by the Judicial Department in the Personal Services and Juvenile Alternative Incarceration accounts, to include annualizing FY 2021 holdbacks and rescissions. Savings are also achieved in the Probate Court subsidy to reflect the estimated retirement contribution requirement.</i> | -11,237,387 | -11,166,684 |
| <ul style="list-style-type: none"> Adjust Funding to Reflect Projected Cash Flow Needs in the Probate Court Administration Fund | -4,647,063 | -2,658,809 |

Reallocations

| | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------|
| <ul style="list-style-type: none"> Reallocate Funding as Proposed by Judicial Department <i>Funding for the Youthful Offender Services and Juvenile Alternative Incarceration accounts are consolidated, as requested by the Judicial Department. Additionally, funding is realigned from Personal Services to Other Expenses to fund information technology initiatives, as requested by the agency.</i> | 0 | 0 |

Expansions

| | FY 2022 | FY 2023 | FY 2024 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|-----------|
| <ul style="list-style-type: none"> Reduce Inmate Payphone Call Rate and Support Judicial Salaries Through Appropriations <i>Provides funding for 9 positions for the probation transition program and the technical violation units, thereby reducing reliance on revenue derived from inmate phone call charges.</i> | 1,000,000 | 1,000,000 | 1,000,000 |
| <ul style="list-style-type: none"> Provide Funding to Reflect FY 2022 Recommendations of the Judicial Compensation Commission <i>Funding is provided for a 4.5% increase to judges' salaries, including those in the Probate Court system, in FY 2022, as recommended by the Commission on Judicial Compensation Report (January 2021). The commission's recommendation for FY 2023, which is indexed to the Consumer Price Index for All Urban Consumers (CPI-U), with a floor of 2.5%, is not recommended due to the unknown future volatility in the economy.</i> | 2,236,261 | 3,515,107 | 2,236,251 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 3,655 | 574 | 0 | 4,229 | 4,229 | 4,238 | 4,229 | 4,238 |
| Federal Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |
| Private Funds | 33 | 9 | 0 | 42 | 42 | 42 | 42 | 42 |

| <i>Other Positions Equated to Full-Time</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 175 | 175 | 175 | 175 | 175 | 175 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Appellate/Supreme | 14,386,287 | 15,580,940 | 15,389,315 | 15,478,028 | 15,488,206 | 15,782,055 | 15,792,484 | 15,852,484 |
| Administration | 32,953,343 | 40,773,862 | 39,703,937 | 40,975,483 | 37,908,995 | 39,747,581 | 41,606,792 | 41,173,365 |
| Court Support Services | 238,965,411 | 251,119,370 | 251,115,447 | 252,115,391 | 252,207,707 | 255,802,867 | 257,059,536 | 257,603,736 |
| Information Technology | 21,243,130 | 23,044,797 | 21,627,834 | 21,699,617 | 21,707,836 | 21,682,204 | 21,784,008 | 21,832,458 |
| Superior Court | 242,253,811 | 256,608,539 | 265,032,320 | 265,834,010 | 262,809,046 | 271,411,036 | 269,586,327 | 267,222,997 |
| TOTAL Agency Programs | 549,801,982 | 587,127,508 | 592,868,853 | 596,102,529 | 590,121,790 | 604,425,743 | 605,829,147 | 603,685,040 |

Summary of Funding

| | | | | | | | | |
|-------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| General Fund | 508,633,598 | 547,702,172 | 545,775,953 | 549,009,629 | 543,028,890 | 558,781,313 | 560,166,611 | 558,022,504 |
| Banking Fund | 1,840,330 | 2,005,000 | 2,050,244 | 2,050,244 | 2,050,244 | 2,124,715 | 2,142,821 | 2,142,821 |
| Criminal Injuries Compensation Fund | 1,995,697 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 |
| Federal Funds | 28,074,180 | 24,589,232 | 32,328,303 | 32,328,303 | 32,328,303 | 30,659,987 | 30,659,987 | 30,659,987 |
| Private Funds | 0 | 50,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 9,150,348 | 9,753,584 | 9,780,265 | 9,780,265 | 9,780,265 | 9,925,640 | 9,925,640 | 9,925,640 |
| Restricted State Accounts | 9,450 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Grant Transfers | 98,379 | 93,432 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 549,801,982 | 587,127,508 | 592,868,853 | 596,102,529 | 590,121,790 | 604,425,743 | 605,829,147 | 603,685,040 |

SUPREME AND APPELLATE COURTS

Statutory Reference

Article 5th, Section 1 of the Connecticut Constitution; C.G.S. Sections 51-1a, 51-14; C.G.S. Chapters 902, 883, 882 Part III.

Statement of Need and Program Objectives

To promote the just resolution of conflicts by providing a forum for the direct review of appeals from the Superior Court through the Supreme and Appellate Court System.

Program Description

The Supreme and Appellate Court System performs the following activities:

Establishes a file for every case, processes and reviews all filings for substantial compliance with the rules of practice, maintains the docket of cases from filing to assignment for argument, schedules eligible cases for pre-argument settlement conferences and enters orders and assigns cases for oral argument or a decision on the briefs.

Reviews cases for jurisdictional problems, prepares memoranda on jurisdictional questions, prepares screening reports on certain cases

prior to oral argument or submission of briefs and performs special research projects as required.

Reviews records and briefs, acts on motions and petitions for certification, hears oral arguments and writes opinions on cases that it decides.

Files written opinions with the Reporter of Judicial Decisions, compiles and maintains statistics on case flow, institutes rules of practice and responds to inquiries concerning such rules.

Establishes personnel policies and compensation plans for employees whose salaries are not fixed by statute.

Prepares written decisions of the Supreme Court and the Appellate Court for publication in the Connecticut Law Journal and in volume reports.

Prepares the annual revision of the Connecticut Practice Book that incorporates the amendments adopted by the judges; informs judges, attorneys, and the public of decisions of the Supreme and Appellate Courts.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------------------------------------------------------|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Supreme Court Cases Pending at Start of Period | 225 | 182 | 177 | 132 |
| Supreme Court Cases Added (includes transfers from Appellate Court) | 128 | 115 | 135 | 150 |
| Petitions for certification filed for Supreme Court | 395 | 375 | 400 | 425 |
| Cases disposed - Supreme Court | 176 | 120 | 180 | 146 |
| Supreme Court Cases Pending at End of Period | 182 | 177 | 132 | 136 |
| Appellate Court Cases Pending at Start of Period | 1,157 | 1,050 | 1,025 | 945 |
| Appellate Court Cases Added (includes transfers from Supreme Court) | 1,018 | 925 | 1,220 | 1,300 |
| Petitions for Certification filed for Appellate Court | 55 | 45 | 60 | 65 |
| Appellate Court Cases Disposed | 1,121 | 950 | 1,300 | 1,450 |
| Appellate Court Cases Pending at End of Period | 1,050 | 1,025 | 945 | 795 |
| Cases transferred to Supreme Court from Appellate Court | 45 | 40 | 45 | 50 |
| Cases transferred to Appellate Court from Supreme Court | 28 | 25 | 30 | 34 |
| Screening Reports Prepared - Supreme Court | 3 | 4 | 4 | 4 |
| Screening Reports Prepared - Appellate Court | 169 | 181 | 181 | 181 |
| Supreme Court written opinions published | 86 | 70 | 90 | 95 |
| Appellate Court written opinions published | 418 | 350 | 475 | 500 |
| Total opinions published | 504 | 420 | 565 | 595 |
| Published Pages of Opinions (Supreme Court) | 2,928 | 2,600 | 3,000 | 3,200 |
| Published Pages of Opinions (Appellate Court) | 7,011 | 6,500 | 7,200 | 7,500 |
| Average number of days between decision and electronic publication (Supreme Court) | 23 | 25 | 22 | 20 |
| Average number of days between decision and electronic publication (Appellate Court) | 35 | 37 | 34 | 31 |
| Average number of days between decision and written publication (Supreme Court) | 38 | 40 | 37 | 32 |
| Average number of days between decision and written publication (Appellate Court) | 40 | 42 | 39 | 34 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 107 | 4 | 0 | 111 | 111 | 111 | 111 | 111 |

Other Positions Equated to Full-Time

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------|---------|-----------|-----------|-------------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested | Recommended |
| General Fund | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 12,994,624 | 14,133,731 | 13,911,737 | 14,030,819 | 14,030,819 | 14,304,477 | 14,345,275 | 14,345,275 |
| Other Expenses | 1,391,663 | 1,447,209 | 1,477,578 | 1,447,209 | 1,457,387 | 1,477,578 | 1,447,209 | 1,507,209 |
| Total-General Fund | 14,386,287 | 15,580,940 | 15,389,315 | 15,478,028 | 15,488,206 | 15,782,055 | 15,792,484 | 15,852,484 |
| Total - All Funds | 14,386,287 | 15,580,940 | 15,389,315 | 15,478,028 | 15,488,206 | 15,782,055 | 15,792,484 | 15,852,484 |

ADMINISTRATION

Statutory Reference

C.G.S. Sections 51-1b(a), 51-182, 51-5a.

Statement of Need and Program Objectives

To carry out the Judicial Department's mission to resolve matters brought before it in a fair, timely and effective manner.

Program Description

The Chief Court Administrator and the Deputy Chief Court Administrator are responsible for, among other things, the efficient operation of the Connecticut Judicial Department, the prompt disposition of cases and the assignment of superior court judges to specific court locations. In addition, the Chief Court Administrator represents the department on a myriad of boards and commissions.

The Office of the Chief Court Administrator is composed of the Administrative Services and the External Affairs Divisions.

Administrative Services develops systems, maintains records and processes all financial transactions of the department; prepares and substantiates fiscal year budget requests; prepares and analyzes current year expenditure projections and allocates resources within the department; processes and supervises rentals, leases, supplies, materials and equipment and maintains all supplies and equipment used in connection with the department; assesses current and projected space needs, monitors all lease arrangements and requests

bond funds for capital projects through the submission of a five-year capital plan; develops personnel standards and procedures, processes and maintains personnel records and represents the department in all collective bargaining negotiations; collects and summarizes information from court records; accounts for and distributes all revenue collected during daily operations of the court and notifies appropriate agencies of criminal dispositions.

External Affairs serves as the liaison between the department and the other branches of government and community organizations; responds to numerous requests for information from policy makers, news professionals and members of the public; develops the department's legislative agenda under the direction of the Chief Justice and the Chief Court Administrator; reviews all proposed legislation for potential impact on the department; administers the speakers' bureau that provides a panel of judges who are available to speak to community audiences on court-related topics; coordinates the intern program that provides meaningful placement opportunities for students to gain valuable experience; develops outreach programs in collaboration with various private and public agencies to educate and inform members of the community about the department and coordinates public events designed to invite the public to tour courthouses and learn about the role of the courts.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-------------------------------------|--------------|--------------|--------------|--------------|
| | Actual | Estimated | Projected | Projected |
| Requisitions processed | 2,525 | 2,600 | 2,640 | 2,665 |
| Days from purchase to delivery | 20 | 21 | 23 | 22 |
| % New Hires - Minority | 35% | 40% | 40% | 40% |
| % New Hires - Women | 47% | 50% | 50% | 50% |
| Orientations held for new employees | 23 | 23 | 26 | 25 |
| Payroll Changes | 51,835 | 46,869 | 51,825 | 52,065 |
| Revenues Collected (Total \$\$) | \$57,072,321 | \$62,794,806 | \$68,207,093 | \$71,065,852 |
| Bank Transactions (Total Number) | 19,342 | 16,544 | 18,400 | 20,500 |
| Vouchers Processed (Total Number) | 71,598 | 71,600 | 77,200 | 77,972 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 126 | 17 | 0 | 143 | 143 | 152 | 143 | 152 |
| Private Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 10,719,340 | 11,624,595 | 11,335,004 | 13,054,151 | 14,054,151 | 11,783,797 | 14,693,441 | 15,693,441 |
| Other Expenses | 1,719,835 | 2,836,433 | 1,744,034 | 1,718,433 | 1,727,008 | 1,744,034 | 1,718,433 | 1,768,983 |

Other Current Expenses

| | | | | | | | | |
|------------------------------------|-----------|------------|------------|------------|-----------|------------|------------|------------|
| Juvenile Alternative Incarceration | 164,529 | 178,476 | 178,476 | 178,476 | 178,476 | 178,476 | 178,476 | 178,476 |
| Probate Court | 7,200,000 | 12,500,000 | 13,394,771 | 12,972,771 | 8,897,708 | 13,209,024 | 12,184,192 | 10,700,215 |
| Workers' Compensation Claims | 7,129,758 | 7,123,606 | 7,042,106 | 7,042,106 | 7,042,106 | 7,042,106 | 7,042,106 | 7,042,106 |
| Legal Aid | 1,397,144 | 1,397,144 | 1,397,144 | 1,397,144 | 1,397,144 | 1,397,144 | 1,397,144 | 1,397,144 |

| | | | | | | | | |
|------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Other Current Expenses | 15,891,431 | 21,199,226 | 22,012,497 | 21,590,497 | 17,515,434 | 21,826,750 | 20,801,918 | 19,317,941 |
| Total-General Fund | 28,330,606 | 35,660,254 | 35,091,535 | 36,363,081 | 33,296,593 | 35,354,581 | 37,213,792 | 36,780,365 |

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| <i>Other Funds Available</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 4,178,606 | 4,424,793 | 4,393,000 | 4,393,000 | 4,393,000 | 4,393,000 | 4,393,000 | 4,393,000 |
| Restricted State Accounts | 3,500 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Grant Transfers | 30,644 | 2,160 | 0 | 0 | 0 | 0 | 0 | 0 |
| <i>Federal Contributions</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| | 8,279 | 1,898 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16554 NATIONAL CRIMINAL HISTORY IMPROVEMENT PROGRAM | 4,347 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16554 Protection Order Synchroniz | 74,511 | 17,082 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16575 Crime Victim Assistance FFY17 | 6,695 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16576 Crime Victim Compensation FFY17 | 8,760 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16812 SMART Supervision CT | 93,411 | 147,543 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93586 SCIP-Training Prog FFY18 | 54,440 | 9,937 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93586 STATE COURT IMPROVEMENT PROGRAM | 22,308 | 185,616 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93597 GRANTS FOR ACCESS & VISITATION PROGRAMS | 776 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 99125 OTHER FEDERAL ASSISTANCE | 136,460 | 324,579 | 219,402 | 219,402 | 219,402 | 0 | 0 | 0 |
| Total - All Funds | 32,953,343 | 40,773,862 | 39,703,937 | 40,975,483 | 37,908,995 | 39,747,581 | 41,606,792 | 41,173,365 |

COURT SUPPORT SERVICES

Statutory Reference

C.G.S. Section 51-1d, 46b-121, 46b-123 and 46b-123-24, 46b-128-130, 46b-133-134, 4-141, 46b-121h, 46b-121i, 46b-121k, 46b-123, 46b-127, 46b-132, 46b-132a, 46b-127, and 46b-133, 54-63(b), 54-63(d), 54-103(b), 54-106, and 54-123(a).

Statement of Need and Program Objectives

To provide direction and administrative support to the operational units of the Court Support Services Division (CSSD). Organization units include Central Office/Office of the Executive Director, Operations, Administration, and Program and Staff Development providing support for Adult, Juvenile, and Detention Services. There is a decentralized operations management structure with regional service areas reporting to the Central Office.

Program Description

- Identifies, develops, implements and institutionalizes policies and procedures to provide the most effective and efficient services to the Court, supervision and monitoring for adults and juveniles, as well as assists in achieving the fair and timely resolution of family and interpersonal conflicts and domestic violence criminal cases.
- Oversees the operation of the juvenile detention facilities to ensure compliance with OSHA standards and divisional operational policies. Investigates detainee complaints and incidents within the detention facilities and ensures

compliance with all provisions of a court order pertaining to conditions of confinement of juveniles.

- Administers interstate compact agreements and establishes and oversees a statewide network of contracted community-based treatment, evaluation, social services, and alternative to incarceration programs for adults, juveniles and families involved with the courts.
- Identifies and implements research and evaluation of best practice services, reports and analyzes outcome and performance data on CSSD services, conducts research and identification of evidence based practices proven to reduce recidivism and implements new services and delivery models within a statewide network of contracted community based services.

In the area of Juvenile Services, CSSD provides safe and secure custody, treatment and rehabilitative services for children and families by the efficient management of a juvenile justice system which recognizes the needs, rights and responsibilities of children, families, and the community. Juvenile Services, which includes contracted services, performs the following functions:

- Identifies needs and risk factors of children and families that contribute to delinquent behavior and Family with Service Needs violations through an established classification system.

- Diverts children from the judicial process through non-judicial supervision services and referrals to appropriate community-based agencies and diversion programs.
- Assists the Court in making appropriate residential placements.
- Provides access to court-based assessments for appropriate medical, mental health and substance abuse services.
- Schedules and monitors payments in cases where restitution is ordered by the court.
- Appears in court in judicial cases, prepares pre-dispositional studies for the court, provides input about juveniles, and responds to judicial inquiries.
- Supervises children placed on probation and keeps informed of the child's conduct through personal, family, school, community and/or other agency contacts and keeps the court informed of the child's conduct.
- Monitors community service hours completed and obtains community service sites in certain cases.

Detention Services provides secure and therapeutic confinement to those juveniles who present a danger to the community or themselves. A classification system is utilized to determine the most appropriate facility for the juvenile. The service also operates two residential detention facilities. Community-based contracted services, including Secure Detention and Alternative to Detention Programs are also administered. More specifically, Detention Services:

- Provides shelter, meals, clothing, medical, dental, mental health, and case management services for juvenile detainees.
- Makes available educational services provided by local school districts.
- Provides a range of recreational and other gender-specific programs appropriate for the juvenile population, including psycho-educational groups to address trauma, substance abuse, anger management and violence prevention.
- Maintains records concerning all children in detention.
- Transports juveniles from detention facilities and alternative detention programs to court facilities for hearings and to other locations for evaluations and additional professional services as required.
- Maintains American Correctional Association and National Commission on Correctional Health Care accreditations.
- Conducts a comprehensive intake and screening admission process to identify strengths/risk needs.
- Provides comprehensive healthcare services including medical, mental health, dental and pharmacological.
- Provides discharge planning recommendations and aftercare planning to the probation officer and family.

Finally, CSSD's Adult Services system monitors the behavior of offenders in the community and uses suitable methods to aid and encourage improvement in their conduct and condition. The program also assists the courts in the resolution of family and domestic violence matters.

The CSSD Adult Services delivery system is comprised of three major disciplines: adult probation, bail, and family services. These disciplines perform the following functions:

- Supervises all individuals sentenced to probation in accordance with statutory requirements and provides them with the

opportunity to promote positive change, reduce recidivism and ensure the successful completion of probation.

- Provides access and support to victims through victim impact statements, restitution, and the monitoring of conditions of probation.
- Ensures principles of fair and reasonable bail as guaranteed by the state and federal constitutions.
- Provides pre-dispositional studies and recommendations to the court to assist in disposition of criminal cases.
- Assists in the return of probation violators to court in a timely and efficient manner.
- Monitors and reports to the court on the defendant's compliance with pretrial and release conditions.
- Determines eligibility for the Pretrial Alcohol Education Program, Drug Education Program and other diversionary programs and formulates recommendations for the court.
- Conducts independent interviews and assessments for all detainees unable to post bond prior to arraignment.
- Verifies interview information and investigates state and national criminal history information.
- Recommends specific release conditions necessary to ensure court appearance and public safety in appropriate cases; notifies each defendant interviewed of scheduled court appearance and, on order of the court, notifies defendants who have failed to appear prior to the issuance of a rearrest warrant; verifies, monitors and records the activities of individuals conditionally released; re-interviews defendants at correctional centers post arraignment to provide alternative to incarceration bond modification plans to the judge and provides written progress reports to the court on conditional releases including recommendations for graduated sanctions for pretrial misconduct.
- Classifies and supervises offenders by assessed risk level.
- Makes referrals to, and collaborates with, appropriate community services and programs.
- Provides program coordination, defendant assessment and release supervision for Drug, Domestic Violence and Community Courts.
- Facilitates the identification and exploration of issues involving child custody and/or parental access through mediation and conflict resolution conferences to resolve parenting disputes in a non-coercive and confidential manner.
- Conducts comprehensive and issue focused evaluations involving in-depth assessments of the family and/or the issues impacting a parenting plan that result in recommended plans shared with the parties, attorneys, and the court.
- Conducts pretrial and final judgment settlement negotiations with attorneys and self-represented litigants that also serve as the intake/screening for custody and access referrals and civil restraining order petition negotiation.
- Completes pre-arraignment risk assessments for all family violence cases and prepares recommendations for the court. Conducts comprehensive family violence case assessments for defendants referred subsequent to the arraignment process. Provides monitoring/pre-trial supervision of individuals referred for family diversionary programs or court ordered interventions/sanctions.

| Program Measures | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------------------------------------------------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Adult Probation - % of Adult Probation cases completing supervision successfully | 68% | 69% | 70% | 70% |
| Adult Probation - % of adult probationers rearrested within 24 months of beginning supervision | 38% | 37% | 37% | 36% |
| Adult Probation - % of Violation of Probation Warrants that are for technical violations only | 35% | 34% | 34% | 33% |
| Adult Probation - % of probationers who are employed either full or part time | 52% | 53% | 54% | 54% |
| Adult Pretrial - Pre-trial defendant interviews by the Jail Re-Interview Program | 5,100 | 8,000 | 10,000 | 10,000 |
| Adult Pretrial - % of defendants successfully completing the Alcohol Education Program | 92% | 92% | 92% | 92% |
| Family Services - % of Mediation Cases Resolved Successfully (Civil Court) | 58% | 65% | 65% | 65% |
| Family Services - Rate of Return for Subsequent Service Post - Agreement (Civil Court) | 7% | 5% | 5% | 5% |
| Family Services - DV Rearrest post supervision completion | 9% | 8% | 8% | 7% |
| Family Services - % of DV defendants successfully completing Pre-Trial Supervision | 83% | 83% | 83% | 83% |
| Juvenile Probation - % of Juvenile Probation cases completing supervision successfully | 81% | 82% | 82% | 83% |
| Juvenile Probation - % of juvenile probationers rearrested within 24 months of beginning supervision | 65% | 63% | 63% | 63% |
| Juvenile Probation Take in Custody and Warrants Rate | 5% | 4% | 4% | 3% |
| Juvenile Detention - Total Juvenile Pre-Adjudicatory Detention Admissions | 747 | 800 | 800 | 800 |
| Juvenile Detention - Average Daily Juvenile Pre-Adjudicatory Detention Population (% Capacity) | 65% | 65% | 65% | 65% |
| Juvenile Detention - Total Unique Pre-Adjudicatory Juveniles Admitted to Detention | 533 | 550 | 550 | 550 |
| Juvenile Detention-Average days in Secure Pre-Adjudicatory Juvenile Detention | 29 | 25 | 25 | 25 |
| Administration - Rearrest Rate post Adult Alternative in Community Completion | 27% | 26% | 26% | 25% |
| Administration - Rearrest Rate post Juvenile Center-Based Intervention Program Completion | 42% | 40% | 40% | 39% |
| Administration - Rearrest Rate post DV Intervention Program Completion | 14% | 13% | 13% | 12% |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,320 | 137 | 0 | 1,457 | 1,457 | 1,457 | 1,457 | 1,457 |
| Private Funds | 30 | 9 | 0 | 39 | 39 | 39 | 39 | 39 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | 5 | 5 | 5 | 5 | 5 | 5 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 115,892,751 | 121,825,364 | 123,915,977 | 124,441,651 | 124,441,651 | 128,477,316 | 129,259,715 | 129,259,715 |
| Other Expenses | 10,535,654 | 10,693,110 | 10,968,840 | 10,693,110 | 10,785,426 | 10,968,840 | 10,693,110 | 11,237,310 |

| Other Current Expenses | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Forensic Sex Evidence Exams | 1,056 | 1,148 | 1,148 | 1,148 | 1,148 | 1,148 | 1,148 | 1,148 |
| Alternative Incarceration Program | 48,828,375 | 49,597,912 | 49,397,912 | 49,397,912 | 49,397,912 | 49,397,912 | 49,397,912 | 49,397,912 |
| Justice Education Center, Inc. | 469,714 | 469,714 | 469,714 | 469,714 | 469,714 | 469,714 | 469,714 | 469,714 |
| Juvenile Alternative Incarceration | 18,324,126 | 19,877,544 | 28,103,221 | 28,603,221 | 28,603,221 | 28,103,221 | 28,603,221 | 28,603,221 |
| Probate Court | 0 | 0 | 150,000 | 0 | 0 | 150,000 | 0 | 0 |
| Youthful Offender Services | 8,993,512 | 9,725,677 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|----------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Children of Incarcerated Parents | 492,010 | 493,728 | 493,728 | 493,728 | 493,728 | 493,728 | 493,728 | 493,728 |
| Youth Violence Initiative | 1,903,500 | 1,939,758 | 1,939,758 | 1,939,758 | 1,939,758 | 1,939,758 | 1,939,758 | 1,939,758 |
| Youth Services Prevention | 3,027,189 | 3,311,078 | 3,311,078 | 3,311,078 | 3,311,078 | 3,311,078 | 3,311,078 | 3,311,078 |
| Juvenile Planning | 430,000 | 430,000 | 430,000 | 430,000 | 430,000 | 430,000 | 430,000 | 430,000 |
| Juvenile Justice Outreach Services | 17,640,954 | 19,449,168 | 19,049,168 | 19,449,168 | 19,449,168 | 19,049,168 | 19,449,168 | 19,449,168 |
| Board and Care for Children - Short-term and Residential | 5,672,062 | 7,732,474 | 7,732,474 | 7,732,474 | 7,732,474 | 7,732,474 | 7,732,474 | 7,732,474 |
| Other Current Expenses | 105,782,498 | 113,028,201 | 111,078,201 | 111,828,201 | 111,828,201 | 111,078,201 | 111,828,201 | 111,828,201 |
| Total-General Fund | 232,210,903 | 245,546,675 | 245,963,018 | 246,962,962 | 247,055,278 | 250,524,357 | 251,781,026 | 252,325,226 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Other Funds Available | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 4,960,277 | 5,074,661 | 5,133,135 | 5,133,135 | 5,133,135 | 5,278,510 | 5,278,510 | 5,278,510 |
| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| Federal Contributions | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16575 Crime Victim Assistance FFY17 | 628,857 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16576 Crime Victim Compensation FFY16 | 541,159 | 261,037 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16576 Crime Victim Compensation FFY17 | 611,840 | 208,454 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16812 SMART Supervision CT | 375 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 99125 OTHER FEDERAL ASSISTANCE | 12,000 | 28,543 | 19,294 | 19,294 | 19,294 | 0 | 0 | 0 |
| Total - All Funds | 238,965,411 | 251,119,370 | 251,115,447 | 252,115,391 | 252,207,707 | 255,802,867 | 257,059,536 | 257,603,736 |

INFORMATION TECHNOLOGY DIVISION

Statutory Reference

C.G.S. Sections 51-216a, 51-216b, 51-216c, 51-213, 51-212, 4-173, 51-215a.

Statement of Need and Program Objectives

To efficiently and effectively provide the judges and Judicial Department employees with comprehensive data processing services and publishing resources in a manner that maximizes the utility of these resources.

The Information Technology Division is comprised of the Commission on Official Legal Publications and Judicial Information Systems. The division is charged with providing and supporting the IT infrastructure necessary for the timely and efficient processing of information in the Department and for developing and implementing a long-term strategic technology plan. In addition, the division is responsible for publishing court decisions and other important documents necessary to facilitate the administration of justice.

Program Description

- Coordinates and supervises the creation, security and maintenance of computer systems, communications networks and a variety of application and data servers.
- Directs all technology projects that have as their goal the furtherance of the department's mission.
- Develops and operates the Judicial Department website including creating and maintaining static data and electronic services for attorneys and the public.
- Establishes standards for an integrated computing and communications network connecting all court facilities to centralized systems.
- Coordinates, supervises, and monitors publication operations.
- Maintains current inventories of legal publications and typesets.
- Composes, photographs, prints, binds, and electronically publishes a number of publications.
- Supplies high quality legal publications to state offices, municipalities, and the general public.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------|-----------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| FTR Audio File Storage in Terabytes-Note: 1 Terabyte=1 Trillion bytes of data | 34.5 | 38 | 41 | 44 |
| FTR Audio Stored - Approx. Hours | 718,750 | 791,666 | 854,166 | 922,000 |
| Average # of hits to website daily | 2,073,000 | 2,155,000 | 2,197,000 | 2,238,000 |
| % of HelpDesk Calls Resolved w/in 1 day | 85% | 85% | 90% | 90% |
| # of Citizen Notification for court related activity | 2,756,026 | 3,031,275 | 3,091,900 | 3,153,738 |
| CT Law Journal pages for online publishing | 11,294 | 11,750 | 11,985 | 12,225 |
| # of pages published excl. of CT Law Journal | 2,431,960 | 3,740,639 | 3,815,451 | 3,891,760 |
| # of orders for forms/publications processed | 1,247 | 1,973 | 2,013 | 2,053 |

Personnel Summary

| | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 108 | 28 | 0 | 136 | 136 | 136 | 136 | 136 |

Other Positions Equated to Full-Time

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------|---------|-----------|-----------|-------------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested | Recommended |
| General Fund | 2 | 2 | 2 | 2 | 2 | 2 | 2 | 2 |

Financial Summary by Program

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 10,399,666 | 11,270,249 | 11,037,031 | 11,133,387 | 11,133,387 | 11,453,539 | 11,579,916 | 11,579,916 |
| Other Expenses | 9,662,170 | 9,924,570 | 9,949,143 | 9,924,570 | 9,932,789 | 9,949,143 | 9,924,570 | 9,973,020 |

Other Current Expenses

| | | | | | | | | |
|------------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Alternative Incarceration Program | 13,330 | 13,540 | 13,540 | 13,540 | 13,540 | 13,540 | 13,540 | 13,540 |
| Juvenile Alternative Incarceration | 5,418 | 5,878 | 5,878 | 5,878 | 5,878 | 5,878 | 5,878 | 5,878 |
| Juvenile Justice Outreach Services | 5,418 | 5,974 | 5,974 | 5,974 | 5,974 | 5,974 | 5,974 | 5,974 |
| Other Current Expenses | 24,166 | 25,392 | 25,392 | 25,392 | 25,392 | 25,392 | 25,392 | 25,392 |
| Total-General Fund | 20,086,002 | 21,220,211 | 21,011,566 | 21,083,349 | 21,091,568 | 21,428,074 | 21,529,878 | 21,578,328 |

Other Funds Available

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 11,465 | 254,130 | 254,130 | 254,130 | 254,130 | 254,130 | 254,130 | 254,130 |
| Grant Transfers | 67,735 | 91,272 | 0 | 0 | 0 | 0 | 0 | 0 |

Federal Contributions

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------------------------------------|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16554 NATIONAL CRIMINAL HISTORY IMPROVEMENT PROGRAM | 1,395 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16554 Protection Order Synchroniz | 609,076 | 817,637 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16576 Crime Victim Compensation FFY17 | 12,558 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16812 SMART Supervision CT | 946 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20232 Commercial Driver License State Programs | 13,282 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93586 SCIP-Data Sharing Prog FFY18 | 51,365 | 30,934 | 10,504 | 10,504 | 10,504 | 0 | 0 | 0 |
| 93586 STATE COURT IMPROVEMENT PROGRAM | 51,417 | 20,879 | 0 | 0 | 0 | 0 | 0 | 0 |
| 99125 OTHER FEDERAL ASSISTANCE | 119,187 | 89,535 | 0 | 0 | 0 | 0 | 0 | 0 |
| | 218,702 | 520,199 | 351,634 | 351,634 | 351,634 | 0 | 0 | 0 |

SUPERIOR COURT

Statutory Reference

C.G.S. Sections 1-24, 4a-18, 6-32, 6-32d, 6-32f, 6-32g, 6-38f, 6-38i, 17a-699(d), 17b-137, 17b-745(a)(8), 31-294d, 38a-497a, 46b-1 through 46b-12c, 46b-15 through 46b-16a, 46b-38a through 46b-38c, 46b-38f through 46b-38i, 46b-40 through 46b-75, 46b-80 through 46b-88, 46b-115 through 46b-115jj, 46b-120 through 46b-151h, 46b-160 through 46b-211, 46b-215 through 46b-224, 46b-225, 46b-231 through 46b-232a, 46b-301 through 46b-321, 46b-301 to 46b-425, 47a-69, 47a-70, 49-31k through 49-31o and 49-31t through 49-31v, 49-31m-n, 51-5c, 51-90 through 51-94, 51-164m through 51-164o, 51-164t, 51-165, 51-181b through 51-181e, 51-193l through 51-193u, 51-194 through 51-197, 51-197c-f, 51-217 through 51-247c, 51-344, 51-344a, 51-344b, 51-348, 52-50, 52-185 through 52-235b, 52-257 through 52-262, 52-362, 52-434 (a)(4), 52-434(d), 52-549a through 52-549d, 52-549n through 52-549aa, 53a-3, 54-1b through 54-36p, 54-64d, 54-201 through 54-235, Article 5th, Section 1 of the Connecticut Constitution as amended by Article XX.

Statement of Need and Program Objectives

To provide support services to the Superior Court judges, family support magistrates, judicial officers, and non-judicial officers to process all cases in a prompt and efficient manner. The Support Enforcement, Victim Services, and Judicial Marshals units play supportive roles in the day-to-day operations within the court system.

Program Description

The Superior Court is composed of 201 judges including the justices of the Supreme Court and the judges of the Appellate Court. Superior Court judges decide issues dealing with extremely serious problems affecting people's lives: their liberty, their children, their spousal relationships, and their business relationships. This may involve the sentencing of a convicted defendant, the determination of which parent will raise a child, the awarding of damages to an accident victim or the question of whether a person should be taken off a life support system. Among other things, judges participate in the selection of jurors, advise defendants of their rights in criminal matters, set bail conditions for defendants, sentence defendants found guilty of a violation of state statute, grant dissolutions of marriage, hear and adjudicate cases involving child protection and juvenile delinquency and appoint counsel for indigent parties.

The Superior Court Operations Division supports the administration of justice by providing quality services and information to judges, family support magistrates, judicial officers, and non-judicial officers the bar and the public. It ensures that courts have sufficient staff and resources to assist judges, family support magistrates, judicial officers, and non-judicial officers in the resolution of disputes. Specialized staff maintain security in the courthouses, transport prisoners between places of confinement, assist with the collection of child support and provide information, compensation and advocacy services to people victimized by crime.

The Superior Court includes thirteen judicial districts, twenty geographical areas, six housing sessions and eleven juvenile courts,

Centralized Small Claims, the Centralized Infraction Bureau, and Jury Administration. Superior Court staff:

- Prepares a case file, reviews and processes all petitions and official documents associated with each case and assigns all matters for judicial hearings, produces an official court record in all court proceedings except small claims and minor motor vehicle matters, maintains and updates written policies and procedures to ensure uniform operations of the clerks' offices and provides operational direction to the clerks' offices.
- Manages the operation of special court sessions (i.e. Community Court, Drug Court, Complex Litigation, Domestic Violence, Child Protection, and Land Use in order to effectively process matters requiring specialized court resources).
- Manages and administers the operation of two mediation centers in the Hartford and Waterbury Judicial Districts.
- Responsible for fiscal management of trust and avails accounts.
- Responsible for ensuring data integrity of all computerized case management systems utilized by the courts.
- Maintains registry of restraining, protective and no-contact orders for use by law enforcement.
- Interprets proceedings for limited-English proficient parties in all criminal cases and Juvenile Matters and, if available, in family, civil and housing cases. Translates court ordered documents and tapes.
- Provides specialized mediation assistance in all judicial districts to parties in residential mortgage foreclosure matters. Assists homeowners with completion and submission of financial applications for mortgage assistance, coordinates referrals to state and community assistance programs, facilitates communication and pre-judgment settlements through mediation, and ensures compliance with foreclosure mediation statute, standing orders, court orders, and federal assistance program guidelines.
- Provides specialized services in housing matters in six judicial districts including on-site inspections of the condition of the premises, mediation between the parties, assistance in finding financial and other resources to help resolve the issue and monitoring of compliance with court ordered repairs.
- Compiles, analyzes, and prepares reports on caseload statistics for use in the allocation of personnel and other resources.
- Administers the Judicial Branch Law Library System, providing professional law librarian services in twelve courthouse libraries to self-represented parties, members of the general public, attorneys, courthouse personnel, family support magistrates and the judges of the Superior Court.
- Provides direct legal research services on pending matters to family support magistrates and the judges of the Superior Court.
- Summons jurors and implements procedures for the improvement of jury administration.

- Governs members of the bar; receives applications for admission to the bar; receives, investigates and maintains records of grievance complaints against attorneys and persons not authorized to practice law; prosecutes findings of probable cause that an attorney has committed misconduct and, when appropriate, presents grievances in court.
- Administers Alternative Dispute Resolution (ADR) programs in order to speed resolution of cases and decrease the number of trials.
- Manages the operation of thirteen Court Service Centers and eleven Public Information Desks in order to provide court procedural assistance to self-represented parties, attorneys, and members of the general public.
- Manages the operation of eighteen Volunteer Attorney Programs in family, foreclosure, contract collections and small claims.
- Provides pre-bench orientation and continuing education for all judges.
- Provides limited English proficiency and diversity training for all judges and Judicial Branch staff.
- Provides performance improvement opportunities and public service training for Judicial Branch staff based on the Branch's four core values of integrity, fairness, respect, and professionalism.
- Provides civil rights training to vendors and service providers who receive Branch contracts funded under the Department of Justice.

Through the Support Enforcement unit, the court system enforces all Title IV-D child support and medical support court orders in accordance with federal and state regulations, rules and statutes and reviews orders, and when appropriate, initiates an action for modification before a family support magistrate. The Support Enforcement Services (SES) staff:

- Supervises the payment of any child, medical and spousal support orders and determines appropriate enforcement action to take in accordance with federal and state performance standards through use of automated enforcement processes and professional discretion.
- Induces the defaulting party to resume payments through personal contacts (initial counseling), informal resolutions and/or formal enforcement action (income withholding, contempt citations, license revocation, etc.).
- In cases requiring formal enforcement actions, initiates an application for contempt, issues a summons for parties to appear, performs service of process, investigates and prepares cases for court, conducts pre-hearing conferences in an attempt to resolve the issue; and presents information to the court and issues *capias mittimus* if ordered, when the defendant fails to appear.
- Executes duties and responsibilities associated with the Uniform Interstate Family Support Act (UIFSA), which includes the maintenance of: a registry of all foreign support orders; a registry of all foreign paternity judgments; and a registry of all support orders entered by the Family Support Magistrate Division. SES serves as a support enforcement agency in all actions filed under UIFSA and serves as the State Information Agent pursuant to UIFSA.
- Reviews child support orders in Title IV-D cases at the request of the parties or the IV-D agency, to assess deviations from child support guidelines, including calculation of presumptive

child support guideline amount, preparation of motions, service of process, pre-hearing conferences and presentation of cases in court.

- Initiates review and adjustment of child support cases with a substantial change in circumstances.
- Monitors Title IV-D cases for medical support enforcement, and administratively directs employers to comply with court orders.
- Monitors employer compliance with child support income withholding orders.
- Maintains a state case registry of all paternity and support orders established or modified in the state.
- Assists the Department of Social Services (DSS) in administrative enforcement activities by participating in administrative hearings conducted by DSS.
- Processes and serves non-IV-D income withholding orders and provides notice of all court activity to parties in IV-D cases.
- Operates toll free customer service call center.

Through the Office of Victim Services (OVS) unit, the court promotes, designs, administers, and delivers statewide services to victims of violent crime. To develop, implement and manage programs that support crime victims' unmet needs, the Office of Victim Services unit staff:

- Administers the state's crime victim compensation program, which provides financial reimbursement to victims of violent crime and in some cases, to their dependents and relatives.
- Provides court-based victim advocacy services, which include notification of victims' rights, notification of court proceedings, assistance with victim impact statements, referrals to related services, and accompaniments to court.
- Provides victim assistance services for Board of Pardons and Parole hearings.
- Provides notification and information/referral services through a telephone helpline, a post-conviction notification program and the Protection Order Registry.
- Provides notification of certain criminal justice events by managing the Statewide Automated Victim Information and Notification (CT SAVIN) system.
- Contracts with and monitors community based nonprofit agencies that provide crisis intervention, counseling, advocacy, and other direct services to victims of crime.
- Provides training to the criminal justice system, community partners, and the public on victim rights, OVS services and available resources.
- Staffs the statewide advisory council for victims of crime; provides training and technical assistance to state and local agencies and to statewide victim services coalitions and groups.
- Provides reimbursement to hospitals for the costs associated with the collection of evidence in sexual assault cases.
- Manages the Sexual Assault Forensic Examiners on-call program, which trains and makes forensic examiners available to victims of sexual assault (available at participating hospitals).

Through the Judicial Marshals unit, the court system maintains the security of all courthouses and Judicial Department facilities, ensuring the safety of the public and staff and provides

transportation for prisoners between courthouses and correctional facilities. The operation of the Judicial Marshal Services unit is managed by a central administrative office with local operations divided into thirteen judicial districts. The Administrative Judge for each Judicial District oversees the marshal functions in the respective district, in conjunction with the Chief Judicial Marshal in each district, who is also charged with the day-to-day scheduling and supervision of staff. The Judicial Marshal Services are responsible for providing the following:

- Security in all courthouses and Judicial Department facilities; including, courtroom security for Superior Court and family support matters.

- Transportation of prisoners between courthouses and correctional facilities.
- Transportation of prisoners to and from treatment facilities.
- Supervision of prisoners within Judicial Department courthouses.
- Operating a twenty-four-hour lockup facility at the Lafayette Street Courthouse in Hartford.
- Serving *capias mittimus* issued by Family Support Magistrates.
- Responsible for monitoring jurors in criminal cases involving a crime that is punishable by life imprisonment.

Program Measures

| | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|---------------------------------------------------------------------------------------------------|----------------|------------------|------------------|------------------|
| | Actual | Estimated | Projected | Projected |
| Court Operations - % of Criminal cases at Geographical Areas pending over time standards | 53% | 55% | 48% | 46% |
| Court Operations - % of Criminal cases at Judicial Districts pending over time standards | 58% | 58% | 54% | 49% |
| Court Operations - Turnover Rate for Criminal cases at Judicial Districts | .92 | .92 | .93 | .94 |
| Court Operations - Turnover Rate for Criminal case at Geographical Areas | 1.35 | 1.10 | 1.00 | .98 |
| Court Operations - Turnover Rate for Motor Vehicle cases at Geographical Areas | .86 | .85 | .88 | .89 |
| Court Operations - % of Summary Process cases disposed within 20-day time standard | 34% | 36% | 34% | 32% |
| Court Operations - Turnover Rate for Family cases at Judicial Districts | .98 | .99 | 1.00 | 1.10 |
| Court Operations - Turnover Rate for Civil cases at Judicial Districts | .97 | .98 | .99 | 1.00 |
| Court Operations - Turnover Rate for Small Claims cases including Housing Small Claims (HSC) | .80 | .90 | 1.00 | 1.10 |
| Court Operations - Foreclosures added | 7,755 | 10,000 | 12,000 | 10,000 |
| Court Operations - Cases Added Juvenile Delinquency/Family with Services Needs | 6,725 | 7,000 | 7,500 | 7,700 |
| Court Operations- Petitions Added - Child Protection | 9,906 | 10,000 | 11,000 | 10,000 |
| Judge Support - Number of legal research requests | 3,687 | 4,057 | 4,082 | 4,107 |
| Judge Support - Number of patron visits at Judicial Branch Law Libraries | 103,400 | 125,500 | 125,600 | 125,700 |
| Judge Support - Number of telephone inquiries and emails completed by Law Library staff | 11,000 | 12,800 | 13,000 | 13,300 |
| Interpreters - Number of occasions requiring an interpreter | 31,476 | 32,420 | 35,000 | 39,000 |
| Marshals - Average number of screenings at metal detector | 18,863 | 15,000 | 25,000 | 28,000 |
| Marshals - Average number of prisoners transported daily | 417 | 400 | 700 | 800 |
| Marshals - Average number of prisoners in courthouse lockup per day | 268 | 250 | 500 | 600 |
| Marshals - Number of child support <i>capias</i> arrests made by Judicial Marshals in courthouses | 149 | 100 | 250 | 270 |
| Victim Services - Within allocated funding, compensate victims of crime as authorized by law | \$904,293 | \$2,025,000 | \$2,025,000 | \$2,025,000 |
| Victim Services - Number of claimants found eligible to receive an order of compensation | 2,038 | 2,350 | 2,350 | 2,350 |
| Victim Services - Number of calls received (hotline and informational) | 3,602 | 4,000 | 3,500 | 3,500 |
| Victim Services - Number of protection order registry letters generated to victims | 18,363 | 20,000 | 25,000 | 25,000 |
| Victim Services - Number of victims served by OVS victim services advocates | 6,388 | 7,200 | 8,500 | 8,700 |
| Victim Services - Number of human trafficking victims receiving shelter services | 2 | 5 | 5 | 5 |
| Transcription Services- % of transcripts delivered within time standards (Felony Sentencing) | 94.4% | 95% | 99% | 99% |
| Transcription Services - % of transcriptions delivered within time standards (Appeals) | 98% | 98% | 99% | 99% |
| Support Enforcement - Number of obligated child support cases | 131,191 | 127,911 | 124,713 | 121,595 |
| Support Enforcement - % of current support collected and distributed | 61.1% | 61.6% | 62.09% | 62.59% |
| Support Enforcement - Average number of obligated cases per officer | 1,704 | 1,661 | 1,619 | 1,579 |
| Support Enforcement - % of cases meeting federal standard for enforcement | 92% | 92.7% | 93.4% | 94.1% |
| Support Enforcement - Number of cases reviewed for modification services | 6,639 | 5,909 | 5,260 | 4,682 |
| Support Enforcement - Number of support orders with medical support ordered | 73,064 | 71,238 | 69,457 | 67,721 |

| | | | | |
|----------------------------------------------------------------|-----|-----|-----|-----|
| Support Enforcement - Number of capias orders executed | 566 | 504 | 449 | 400 |
| Support Enforcement - Non IV-D income withholding cases opened | 523 | 510 | 497 | 485 |

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|----------------|----------------|----------------|------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 1,994 | 388 | 0 | 2,382 | 2,382 | 2,382 | 2,382 | 2,382 |
| Federal Funds | 3 | 0 | 0 | 3 | 3 | 3 | 3 | 3 |

| Other Positions Equated to Full-Time | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended | Requested | Recommended |
| General Fund | 165 | 165 | 165 | 165 | 165 | 165 | 165 | 165 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 175,707,441 | 191,042,851 | 189,265,551 | 189,965,422 | 186,805,292 | 196,637,278 | 195,938,190 | 192,778,060 |
| Other Expenses | 35,942,123 | 36,555,703 | 36,959,430 | 37,061,249 | 37,196,415 | 36,959,430 | 35,815,703 | 36,612,503 |

| Other Current Expenses | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Forensic Sex Evidence Exams | 1,238,667 | 1,346,862 | 1,346,862 | 1,346,862 | 1,346,862 | 1,346,862 | 1,346,862 | 1,346,862 |
| Alternative Incarceration Program | 636,254 | 646,281 | 646,281 | 646,281 | 646,281 | 646,281 | 646,281 | 646,281 |
| Juvenile Alternative Incarceration | 1,068 | 1,158 | 1,158 | 1,158 | 1,158 | 1,158 | 1,158 | 1,158 |
| Victim Security Account | 1,802 | 8,792 | 8,792 | 8,792 | 8,792 | 8,792 | 8,792 | 8,792 |
| Children's Law Center | 92,445 | 92,445 | 92,445 | 92,445 | 92,445 | 92,445 | 92,445 | 92,445 |
| Other Current Expenses | 1,970,236 | 2,095,538 | 2,095,538 | 2,095,538 | 2,095,538 | 2,095,538 | 2,095,538 | 2,095,538 |
| Total-General Fund | 213,619,800 | 229,694,092 | 228,320,519 | 229,122,209 | 226,097,245 | 235,692,246 | 233,849,431 | 231,486,101 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Banking Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Foreclosure Mediation Program | 1,840,330 | 2,005,000 | 2,050,244 | 2,050,244 | 2,050,244 | 2,124,715 | 2,142,821 | 2,142,821 |
| Total-Banking Fund | 1,840,330 | 2,005,000 | 2,050,244 | 2,050,244 | 2,050,244 | 2,124,715 | 2,142,821 | 2,142,821 |

| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| Criminal Injuries Compensation Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Criminal Injuries Compensation | 1,995,697 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 |
| Total-Criminal Injuries Compensation Fund | 1,995,697 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 |

| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Private Funds | 0 | 50,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| Restricted State Accounts | 5,950 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|------------------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16554 19NCHIPJUDFSW | 0 | 822,910 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16575 2019-V2-GX-0031 | 0 | 2,840,595 | 20,400,000 | 20,400,000 | 20,400,000 | 19,398,000 | 19,398,000 | 19,398,000 |
| 16575 Crime Victim Assistance FFY1 | 7,456,743 | 12,150,748 | 9,300,000 | 9,300,000 | 9,300,000 | 9,831,000 | 9,831,000 | 9,831,000 |

| | | | | | | | | |
|-----------------------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| 16575 Crime Victim Assistance FFY16 | 3,494,819 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16575 Crime Victim Assistance FFY17 | 13,314,500 | 3,528,789 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16576 2019-V1-GX-0038 | 0 | 0 | 610,500 | 610,500 | 610,500 | 931,000 | 931,000 | 931,000 |
| 16576 Crime Victim Compensation FFY18 | 0 | 841,500 | 280,500 | 280,500 | 280,500 | 0 | 0 | 0 |
| 16585 2019-DC-BX-0008 | 0 | 499,987 | 499,987 | 499,987 | 499,987 | 499,987 | 499,987 | 499,987 |
| 16588 VIOLENCE AGAINST WOMEN FORMULA GRANTS | 91,929 | 56,317 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16812 2016-CZ-BX-0017 | 0 | 94,160 | 94,160 | 94,160 | 94,160 | 0 | 0 | 0 |
| 16812 Second Chance Act Prisoner Reentry Initiative | 33,708 | 387,597 | 210,652 | 210,652 | 210,652 | 0 | 0 | 0 |
| 93586 SCIP-Training Prog FFY18 | 4,061 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93597 2001CTSAVP | 0 | 75,000 | 25,000 | 25,000 | 25,000 | 0 | 0 | 0 |
| 93597 GRANTS FOR ACCESS & VISITATION PROGRAMS | 55,538 | 74,076 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93788 Opioid STR | 150,000 | 100,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 99125 OTHER FEDERAL ASSISTANCE | 190,736 | 453,680 | 306,670 | 306,670 | 306,670 | 0 | 0 | 0 |
| Total - All Funds | 242,253,811 | 256,608,539 | 265,032,320 | 265,834,010 | 262,809,046 | 271,411,036 | 269,586,327 | 267,222,997 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 293,919,728 | 314,928,303 | 314,281,738 | 315,281,738 | 326,809,866 | 327,667,464 |
| Salaries & Wages-Temporary | 6,220,217 | 6,604,554 | 6,680,401 | 6,680,401 | 6,919,306 | 6,970,645 |
| Salaries & Wages-Part Time | 8,784,111 | 9,580,386 | 9,690,539 | 9,690,539 | 10,039,201 | 10,114,136 |
| Longevity Payments | 6,497,138 | 6,848,587 | 6,848,587 | 6,848,587 | 6,848,587 | 6,848,587 |
| Overtime | 2,041,322 | 2,545,597 | 2,574,672 | 2,574,672 | 2,614,591 | 2,622,926 |
| Differential Payments | 0 | 0 | 0 | 0 | 35,493 | 43,286 |
| Accumulated Leave | 2,663,580 | 2,775,865 | 2,775,865 | 2,775,865 | 2,775,865 | 2,775,865 |
| Other | 5,587,726 | 6,613,498 | 6,613,498 | 6,613,498 | 6,613,498 | 6,613,498 |
| TOTAL - Personal Services-Personal Services | 325,713,822 | 349,896,790 | 349,465,300 | 350,465,300 | 362,656,407 | 363,656,407 |
| <i>Other Expenses</i> | | | | | | |
| Capital Outlays | 1,391,945 | 643,373 | 643,373 | 643,373 | 643,373 | 643,373 |
| Chilled Water | 281,760 | 293,189 | 293,189 | 293,189 | 293,189 | 293,189 |
| Client Services | 106,419 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 |
| Communications | 1,736,630 | 1,774,999 | 1,774,999 | 1,774,999 | 1,774,999 | 1,774,999 |
| Electricity | 5,879,376 | 6,250,260 | 6,250,260 | 6,250,260 | 6,250,260 | 6,250,260 |
| Employee Expenses | 412,438 | 140,000 | 140,000 | 140,000 | 140,000 | 140,000 |
| Employee Travel | 1,119,641 | 1,125,000 | 1,125,000 | 1,125,000 | 1,125,000 | 1,125,000 |
| Equipment Rental and Maintenance | 780,811 | 779,000 | 779,000 | 779,000 | 779,000 | 779,000 |
| Food And Beverages | 30,946 | 45,000 | 45,000 | 45,000 | 45,000 | 45,000 |
| Hot Water | 189,084 | 196,754 | 196,754 | 196,754 | 196,754 | 196,754 |
| Information Technology | 5,323,092 | 6,747,999 | 6,389,999 | 5,884,453 | 6,389,999 | 7,129,999 |
| Motor Vehicle Costs | 1,177,095 | 1,275,002 | 1,275,002 | 1,275,002 | 1,275,002 | 1,275,002 |
| Natural Gas | 1,332,789 | 1,254,454 | 1,254,454 | 1,254,454 | 1,254,454 | 1,254,454 |

| | | | | | | |
|--------------------------------|------------|------------|------------|------------|------------|------------|
| Oil #2 | 8,869 | 9,229 | 9,229 | 9,229 | 9,229 | 9,229 |
| Other / Fixed Charges | 1,584,122 | 1,521,861 | 1,521,861 | 1,521,861 | 1,521,861 | 1,521,861 |
| Other Services | 7,290,613 | 7,825,000 | 7,825,000 | 8,330,546 | 7,825,000 | 7,085,000 |
| Premises Expenses | 17,072,917 | 18,005,000 | 18,005,000 | 18,005,000 | 18,005,000 | 18,005,000 |
| Premises Rent Expense-Landlord | 7,236,737 | 7,257,287 | 7,257,287 | 7,257,287 | 7,257,287 | 7,257,287 |
| Professional Services | 1,300,083 | 1,415,999 | 1,415,999 | 1,415,999 | 1,415,999 | 1,415,999 |
| Purchased Commodities | 4,470,673 | 4,225,901 | 4,225,901 | 4,225,901 | 4,225,901 | 4,225,901 |
| Sewer | 199,377 | 207,465 | 207,465 | 207,465 | 207,465 | 207,465 |
| Water | 326,028 | 339,253 | 339,253 | 339,253 | 339,253 | 339,253 |
| TOTAL-Other Expenses | 59,251,445 | 61,457,025 | 61,099,025 | 61,099,025 | 61,099,025 | 61,099,025 |

Other Current Expenses

| | | | | | | |
|----------------------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Forensic Sex Evidence Exams | 1,239,723 | 1,348,010 | 1,348,010 | 1,348,010 | 1,348,010 | 1,348,010 |
| Alternative Incarceration Program | 49,477,959 | 50,257,733 | 50,057,733 | 50,057,733 | 50,057,733 | 50,057,733 |
| Justice Education Center, Inc. | 469,714 | 469,714 | 469,714 | 469,714 | 469,714 | 469,714 |
| Juvenile Alternative Incarceration | 18,495,141 | 20,063,056 | 28,288,733 | 28,788,733 | 28,288,733 | 28,788,733 |
| Probate Court | 7,200,000 | 12,500,000 | 13,544,771 | 8,897,708 | 13,359,024 | 10,700,215 |
| Workers' Compensation Claims | 7,129,758 | 7,123,606 | 7,042,106 | 7,042,106 | 7,042,106 | 7,042,106 |
| Youthful Offender Services | 8,993,512 | 9,725,677 | 0 | 0 | 0 | 0 |
| Victim Security Account | 1,802 | 8,792 | 8,792 | 8,792 | 8,792 | 8,792 |
| Children of Incarcerated Parents | 492,010 | 493,728 | 493,728 | 493,728 | 493,728 | 493,728 |
| Legal Aid | 1,397,144 | 1,397,144 | 1,397,144 | 1,397,144 | 1,397,144 | 1,397,144 |
| Youth Violence Initiative | 1,903,500 | 1,939,758 | 1,939,758 | 1,939,758 | 1,939,758 | 1,939,758 |
| Youth Services Prevention | 3,027,189 | 3,311,078 | 3,311,078 | 3,311,078 | 3,311,078 | 3,311,078 |
| Children's Law Center | 92,445 | 92,445 | 92,445 | 92,445 | 92,445 | 92,445 |
| Juvenile Planning | 430,000 | 430,000 | 430,000 | 430,000 | 430,000 | 430,000 |
| Juvenile Justice Outreach Services | 17,646,372 | 19,455,142 | 19,055,142 | 19,455,142 | 19,055,142 | 19,455,142 |
| Board and Care for Children - Short-term and Residential | 5,672,062 | 7,732,474 | 7,732,474 | 7,732,474 | 7,732,474 | 7,732,474 |
| TOTAL-Other Current Expenses | 123,668,331 | 136,348,357 | 135,211,628 | 131,464,565 | 135,025,881 | 133,267,072 |
| Personal Services | 325,713,822 | 349,896,790 | 349,465,300 | 350,465,300 | 362,656,407 | 363,656,407 |
| Other Expenses | 59,251,445 | 61,457,025 | 61,099,025 | 61,099,025 | 61,099,025 | 61,099,025 |
| Other Current Expenses | 123,668,331 | 136,348,357 | 135,211,628 | 131,464,565 | 135,025,881 | 133,267,072 |
| TOTAL-General Fund | 508,633,598 | 547,702,172 | 545,775,953 | 543,028,890 | 558,781,313 | 558,022,504 |

AGENCY FINANCIAL SUMMARY - BANKING FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------|-----------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Other Current Expenses | | | | | | |
| Foreclosure Mediation Program | 1,840,330 | 2,005,000 | 2,050,244 | 2,050,244 | 2,124,715 | 2,142,821 |
| TOTAL-Other Current Expenses | 1,840,330 | 2,005,000 | 2,050,244 | 2,050,244 | 2,124,715 | 2,142,821 |
| Other Current Expenses | 1,840,330 | 2,005,000 | 2,050,244 | 2,050,244 | 2,124,715 | 2,142,821 |
| TOTAL-Banking Fund | 1,840,330 | 2,005,000 | 2,050,244 | 2,050,244 | 2,124,715 | 2,142,821 |

AGENCY FINANCIAL SUMMARY - CRIMINAL INJURIES COMPENSATION FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------------------|-----------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Other Current Expenses | | | | | | |
| Criminal Injuries Compensation | 1,995,697 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 |
| TOTAL-Other Current Expenses | 1,995,697 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 |
| | | | | | | |
| Other Current Expenses | 1,995,697 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 |
| TOTAL-Criminal Injuries Compensation Fund | 1,995,697 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 | 2,934,088 |

PUBLIC DEFENDER SERVICES COMMISSION

AGENCY DESCRIPTION

The Public Defender Services Commission is responsible for:

- Ensuring the constitutional administration of criminal justice within the state court system by maintaining a public defender office at all court locations throughout the state.
- Providing legal representation to indigent accused persons in criminal trials and appeals, extradition proceedings, habeas corpus proceedings arising from a criminal matter, delinquency matters, Psychiatric Security Review Board cases and post-conviction petitions for DNA testing.
- Providing social work services to clients to assist in addressing and resolving personal and social problems that lead to arrest and prosecution within the criminal justice system.
- Contributing to crime prevention by participation in specialized programs, including domestic violence courts, community courts, youthful offender dockets, diversionary programs, drug intervention, alternatives to incarceration and team case management.
- Providing a balanced perspective within the criminal justice community by participation on state policy boards, task forces and committees involved in addressing criminal justice issues.
- Fulfilling the state's constitutional obligation to provide competent defense counsel in a professional, effective and cost efficient manner for indigent accused.
- Providing competent assigned counsel for adults and children in the above matters where an ethical conflict of interest requires representation by outside counsel.
- Providing counsel for indigent children and adults involved in child welfare cases before the superior court, providing guardian ad litem in family court cases, and providing representation for contemnors in support enforcement cases.
- Ensuring that appeals are expedited as required by the Appellate and Supreme Courts.
- Providing funding for reasonable expert services for pro-se indigent defendants in criminal cases.

RECOMMENDED ADJUSTMENTS

Baseline Adjustments

| | FY 2022 | FY 2023 |
|----------------------------------------------------------------------|-----------|-----------|
| 1. Provide Funding for State Employee Wage Adjustments | 2,165,196 | 2,615,646 |
| 2. Reflect Impact of 27th Payroll During FY 2023 | 0 | 1,661,431 |
| 3. Provide Funding for Case Management System Annual Operating Costs | 384,000 | 384,000 |

Reductions

| | FY 2022 | FY 2023 |
|----------------------------------|----------|----------|
| 4. Annualize FY 2021 Rescissions | -613,250 | -613,250 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 389 | 62 | 0 | 451 | 451 | 451 | 451 | 451 |

| <i>Agency Program by Total Funds</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Management Services | 3,950,978 | 3,950,236 | 5,715,036 | 5,696,562 | 5,696,562 | 7,302,427 | 7,315,297 | 7,315,297 |
| Legal Services | 55,603,761 | 57,165,338 | 58,722,934 | 58,305,671 | 57,692,421 | 59,177,156 | 58,334,864 | 57,721,614 |
| Child Protection Commission | 6,757,403 | 7,029,188 | 7,029,188 | 7,029,188 | 7,029,188 | 7,039,768 | 7,042,691 | 7,042,691 |
| TOTAL Agency Programs | 66,312,142 | 68,144,762 | 71,467,158 | 71,031,421 | 70,418,171 | 73,519,351 | 72,692,852 | 72,079,602 |

Summary of Funding

| | | | | | | | | |
|---------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| General Fund | 66,101,358 | 68,144,762 | 71,467,158 | 71,031,421 | 70,418,171 | 73,519,351 | 72,692,852 | 72,079,602 |
| Federal Funds | 199,953 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Restricted State Accounts | 10,831 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 66,312,142 | 68,144,762 | 71,467,158 | 71,031,421 | 70,418,171 | 73,519,351 | 72,692,852 | 72,079,602 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 51-289, et seq.

Statement of Need and Program Objectives

To maintain effective legal representation for the poor by establishing and implementing policies and providing administrative services and training to the staff of forty-three public defender offices and specialized units throughout the state.

Program Description

This unit operates a centralized system which directs activities, allocates resources and supervises the operations of the Public Defender Services Commission. Thirteen managers implement and direct overall policies established by a seven-member governing commission.

Management Services approves and contracts with assigned counsel to handle cases in which conflicts of interest preclude representation by a public defender. It also establishes fee schedules and pays these special public defenders for their services; compiles, analyzes and evaluates statistics with reference to caseload and case movement and establishes and maintains procedures and standards for full-time personnel and evaluates their performance.

The unit institutes and conducts training programs for attorneys, investigators, social workers and secretarial staff; prepares operating budgets, manuals, reports and publications for the entire division and insures logistical support for all adult and juvenile courts throughout the state.

Management Services acts as liaison with other state agencies, including the Judicial Department, the Division of Criminal Justice, the Department of Children and Families, the Department of Mental Health and Addiction Services, and the Department of Correction to assess and coordinate inter-related operations. It provides preliminary defense of its personnel in habeas corpus, grievance and professional liability actions; prepares and distributes legal research, memoranda and newsletters to all offices and provides special units of defense to respond to programs initiated by the Judicial Department or the Division of Criminal Justice.

Management Services responds to and acts upon questions and complaints from the general public and individual clients; establishes programs to seek reimbursement from clients able to pay for all or a portion of legal services provided; develops procedures, maintains records, processes all financial actions of the division; purchases materials and equipment and handles the payment of expert witnesses.

| <i>Personnel Summary</i> | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------|-----------|-----------|-----------|-----------|-------------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 30 | 0 | 0 | 30 | 30 | 30 | 30 | 30 |
| | | | | | | | | |
| <i>Financial Summary by Program</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| <i>General Fund</i> | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 3,662,144 | 3,933,232 | 5,224,732 | 5,206,258 | 5,206,258 | 6,812,123 | 6,824,993 | 6,824,993 |
| Other Expenses | 96,764 | 17,004 | 490,304 | 490,304 | 490,304 | 490,304 | 490,304 | 490,304 |
| Total-General Fund | 3,758,908 | 3,950,236 | 5,715,036 | 5,696,562 | 5,696,562 | 7,302,427 | 7,315,297 | 7,315,297 |
| | | | | | | | | |
| <i>Federal Contributions</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16836 Indigent Defense | 122,079 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93586 STATE COURT IMPROVEMENT PROGRAM | 69,991 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 3,950,978 | 3,950,236 | 5,715,036 | 5,696,562 | 5,696,562 | 7,302,427 | 7,315,297 | 7,315,297 |

LEGAL SERVICES

Statutory Reference

C.G.S. Sections 51-289, et seq.

Statement of Need and Program Objectives

To fulfill the state's constitutional obligation to provide counsel to indigent, accused persons.

To enable poor defendants to exercise their legal rights in criminal and juvenile courts by ensuring access to legal representation that the client otherwise could not afford.

Program Description

Within the Geographical Area, Judicial District and Juvenile Matters Public Defender Court Field Offices, the public defender or staff is

responsible for conducting the initial interview with an accused person to determine eligibility for services. The court, after considering the public defender's recommendation, makes the final determination and appoints the public defender as attorney for an indigent accused person. Assignments are made to investigators and social workers. Plea-bargaining, consultations and pre-trial conferences are completed and, as necessary, trials are conducted. Once pleas or verdicts are entered, pre-sentence interviews with probation and sentencing hearings take place. Post-trial proceedings, such as sentence modification, motions to correct illegal sentence pursuant to *State v. Casiano*, sentence review, appeal and habeas corpus may be instituted. If an appeal is brought, it may be assigned to the trial attorney, to an attorney within the Legal Services Unit, or to a special public defender specifically approved to handle appeals.

The Legal Services Unit supervises the processing and briefing of appeals, including appeals by special public defenders on a non-contractual basis. When necessary, this office also pursues appeals in federal court, including the U.S. Supreme Court. The unit provides legal research assistance to the trial attorneys.

Within the overall representation of the client, the following other specialized services are provided: the Assigned Counsel Unit provides

outside counsel for clients in all public defender cases where a conflict of interest requires assignment and in child protection cases; the Habeas Corpus Unit handles post-conviction habeas corpus matters; the Capital Defense and Trial Services Unit represents indigent accused in cases where the state is seeking the death penalty and in the appeals of cases for those clients sentenced to death; the Psychiatric Defense Unit handles cases involving psychiatric issues and represents mentally-ill clients under the jurisdiction of the Psychiatric Security Review Board; the Juvenile Post-Conviction and Re-Entry Unit represents convicted juveniles during their period of commitment to the Department of Children and Families and assists them with reentry into their families, schools and communities; the Connecticut Public Defender Innocence Project reviews claims of actual innocence from long-term prisoners in order to pursue exoneration if appropriate; and the Public Defender Social Worker Program specializes in presenting alternative dispositions to incarceration, and providing social work services including referrals to and collaboration with social service agencies, the Court Support Services Division of the Judicial Department, the Department of Mental Health and Addiction Services, the Department of Children and Families, and the Department of Correction.

| Personnel Summary | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------------------------------------|----------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| Permanent Full-Time Positions | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 349 | 62 | 0 | 411 | 411 | 411 | 411 | 411 |
| Financial Summary by Program | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| General Fund | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Personal Services | 36,214,532 | 37,522,725 | 38,396,421 | 37,979,158 | 37,979,158 | 38,850,643 | 38,008,351 | 38,008,351 |
| Other Expenses | 956,896 | 1,051,233 | 1,051,233 | 1,051,233 | 1,051,233 | 1,051,233 | 1,051,233 | 1,051,233 |
| Other Current Expenses | | | | | | | | |
| Assigned Counsel - Criminal | 15,727,962 | 16,401,714 | 16,831,714 | 16,831,714 | 16,318,464 | 16,831,714 | 16,831,714 | 16,318,464 |
| Expert Witnesses | 2,598,168 | 2,087,763 | 2,336,763 | 2,336,763 | 2,236,763 | 2,336,763 | 2,336,763 | 2,236,763 |
| Training And Education | 87,489 | 101,903 | 106,803 | 106,803 | 106,803 | 106,803 | 106,803 | 106,803 |
| Other Current Expenses | 18,413,619 | 18,591,380 | 19,275,280 | 19,275,280 | 18,662,030 | 19,275,280 | 19,275,280 | 18,662,030 |
| Total-General Fund | 55,585,047 | 57,165,338 | 58,722,934 | 58,305,671 | 57,692,421 | 59,177,156 | 58,334,864 | 57,721,614 |
| Other Funds Available | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Restricted State Accounts | 10,831 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| 16820 Postconviction Testing of DNA Evidence to Exonerate the Inno | 7,883 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total - All Funds | 55,603,761 | 57,165,338 | 58,722,934 | 58,305,671 | 57,692,421 | 59,177,156 | 58,334,864 | 57,721,614 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|----------------------------------------------------|-------------------|-------------------|-------------------|--------------------|-------------------|--------------------|
| <i>Personal Services</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Salaries & Wages-Full Time | 38,418,583 | 39,835,754 | 41,975,788 | 41,555,160 | 43,978,860 | 43,216,591 |
| Salaries & Wages-Temporary | 61,341 | 161,341 | 170,216 | 164,887 | 186,322 | 164,887 |
| Salaries & Wages-Part Time | 295,811 | 295,811 | 312,082 | 302,312 | 345,087 | 302,312 |
| Longevity Payments | 1,248,462 | 1,248,462 | 1,248,462 | 1,248,462 | 1,248,462 | 1,248,462 |
| Overtime | 296 | 296 | 312 | 302 | 322 | 302 |
| Accumulated Leave | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 |
| Other | 315,993 | 407,499 | 407,499 | 407,499 | 407,499 | 407,499 |
| TOTAL - Personal Services-Personal Services | 40,690,486 | 42,299,163 | 44,464,359 | 44,028,622 | 46,516,552 | 45,690,053 |
| | | | | | | |
| <i>Other Expenses</i> | | | | | | |
| Communications | 56,552 | 56,552 | 56,552 | 56,552 | 56,552 | 56,552 |
| Electricity | 7,526 | 7,526 | 7,526 | 7,526 | 7,526 | 7,526 |
| Employee Expenses | 2,700 | 2,700 | 2,700 | 2,700 | 2,700 | 2,700 |
| Employee Travel | 68,212 | 72,913 | 72,913 | 72,913 | 72,913 | 72,913 |
| Equipment Rental and Maintenance | 35,691 | 35,691 | 35,691 | 35,691 | 35,691 | 35,691 |
| Food And Beverages | 4,067 | 4,067 | 4,067 | 4,067 | 4,067 | 4,067 |
| Information Technology | 72,470 | 113,766 | 113,766 | 113,766 | 113,766 | 113,766 |
| IT Hardware Maint & Support | 0 | 0 | 384,000 | 0 | 384,000 | 0 |
| Management Consultant Services | 0 | 0 | 0 | 384,000 | 0 | 384,000 |
| Motor Vehicle Costs | 12,663 | 12,663 | 12,663 | 12,663 | 12,663 | 12,663 |
| Other Services | 493,944 | 434,644 | 523,944 | 523,944 | 523,944 | 523,944 |
| Premises Expenses | 17,704 | 17,704 | 17,704 | 17,704 | 17,704 | 17,704 |
| Premises Rent Expense-Landlord | 120,296 | 120,296 | 120,296 | 120,296 | 120,296 | 120,296 |
| Professional Services | 61,937 | 61,937 | 61,937 | 61,937 | 61,937 | 61,937 |
| Purchased Commodities | 121,404 | 151,404 | 151,404 | 151,404 | 151,404 | 151,404 |
| TOTAL-Other Expenses | 1,075,166 | 1,091,863 | 1,565,163 | 1,565,163 | 1,565,163 | 1,565,163 |
| | | | | | | |
| <i>Other Current Expenses</i> | | | | | | |
| Assigned Counsel - Criminal | 21,338,531 | 22,012,284 | 22,442,284 | 21,929,034 | 22,442,284 | 21,929,034 |
| Expert Witnesses | 2,886,981 | 2,626,604 | 2,875,604 | 2,775,604 | 2,875,604 | 2,775,604 |
| Training And Education | 110,194 | 114,848 | 119,748 | 119,748 | 119,748 | 119,748 |
| TOTAL-Other Current Expenses | 24,335,706 | 24,753,736 | 25,437,636 | 24,824,386 | 25,437,636 | 24,824,386 |
| | | | | | | |
| Personal Services | 40,690,486 | 42,299,163 | 44,464,359 | 44,028,622 | 46,516,552 | 45,690,053 |
| Other Expenses | 1,075,166 | 1,091,863 | 1,565,163 | 1,565,163 | 1,565,163 | 1,565,163 |
| Other Current Expenses | 24,335,706 | 24,753,736 | 25,437,636 | 24,824,386 | 25,437,636 | 24,824,386 |
| TOTAL-General Fund | 66,101,358 | 68,144,762 | 71,467,158 | 70,418,171 | 73,519,351 | 72,079,602 |

DEBT SERVICE – STATE TREASURER

DESCRIPTION

Statutory Reference

C.G.S. Sections 3-19 through 3-23.

Program Description

Funds are provided for the payment of debt service. The servicing of all state debt obligations is performed by the State Treasurer

RECOMMENDED ADJUSTMENTS

| Reductions | FY 2022 | FY 2023 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------|
| <ul style="list-style-type: none"> Maintain Current Treatment of General Obligation Bond Premium <i>Bond premium is used for two purposes: 1) Payment of the first three interest payments (capitalized interest); 2) Reducing current year debt service costs. This saves the debt service budget approximately \$90 to \$125 million per year (interest rate and bond performance will impact the total received). FY 2022 is expected to bring in well over \$140 million as a result of significant improvements in interest rates and competition for Connecticut General Obligation bonds. Starting in FY 2022 bond premium will no longer be used to pay off debt service costs and instead will be used to pay for ongoing projects. This may provide potential savings in reduced issuance, however, it will lead to a rise in debt service costs over the short term of approximately \$20 million in FY 2022, \$50 million in FY 2023, and over \$100 million in FY 2024 assuming interest rates remain low. This option maintains the current treatment of bond premium, resulting in approximate savings of \$20 million in FY 2022 and \$50 million in FY 2023.</i> | -20,000,000 | -50,000,000 |
| <ul style="list-style-type: none"> Reflect Changes in Debt Issuance Schedule <i>Assumes \$800 million in Special Tax Obligation issuance in FY 2022, down from \$875 million, and \$925 million in FY 2023, up from \$875 million.</i> | -4,800,000 | -2,800,000 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Debt Management | 2,901,442,538 | 2,993,368,105 | 3,286,821,899 | 3,266,415,859 | 3,241,615,859 | 3,590,796,378 | 3,570,491,213 | 3,517,691,213 |
| TOTAL Agency Programs | 2,901,442,538 | 2,993,368,105 | 3,286,821,899 | 3,266,415,859 | 3,241,615,859 | 3,590,796,378 | 3,570,491,213 | 3,517,691,213 |

Summary of Funding

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| General Fund | 2,250,178,168 | 2,299,529,874 | 2,503,020,176 | 2,482,614,136 | 2,462,614,136 | 2,736,775,898 | 2,716,470,733 | 2,666,470,733 |
| Special Transportation Fund | 651,264,370 | 693,838,231 | 783,801,723 | 783,801,723 | 779,001,723 | 854,020,480 | 854,020,480 | 851,220,480 |
| Total Agency Programs | 2,901,442,538 | 2,993,368,105 | 3,286,821,899 | 3,266,415,859 | 3,241,615,859 | 3,590,796,378 | 3,570,491,213 | 3,517,691,213 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Other Current Expenses | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Debt Service | 1,870,494,945 | 1,919,308,185 | 2,023,838,599 | 1,989,627,549 | 2,126,445,782 | 2,076,445,782 |
| UConn 2000 - Debt Service | 211,812,412 | 200,006,539 | 215,923,346 | 209,728,356 | 244,051,546 | 223,746,381 |
| CHEFA Day Care Security | 3,803,665 | 5,500,000 | 5,500,000 | 5,500,000 | 5,500,000 | 5,500,000 |
| Pension Obligation Bonds - TRB | 118,400,521 | 118,400,521 | 203,080,521 | 203,080,521 | 306,680,521 | 306,680,521 |
| TOTAL-Other Current Expenses | 2,204,511,543 | 2,243,215,245 | 2,448,342,466 | 2,407,936,426 | 2,682,677,849 | 2,612,372,684 |

Pmts to Local Governments

| | | | | | | |
|---------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Municipal Restructuring | 45,666,625 | 56,314,629 | 54,677,710 | 54,677,710 | 54,098,049 | 54,098,049 |
| TOTAL-Pmts to Local Governments | 45,666,625 | 56,314,629 | 54,677,710 | 54,677,710 | 54,098,049 | 54,098,049 |
| Other Current Expenses | 2,204,511,543 | 2,243,215,245 | 2,448,342,466 | 2,407,936,426 | 2,682,677,849 | 2,612,372,684 |
| Pmts to Local Governments | 45,666,625 | 56,314,629 | 54,677,710 | 54,677,710 | 54,098,049 | 54,098,049 |
| TOTAL-General Fund | 2,250,178,168 | 2,299,529,874 | 2,503,020,176 | 2,462,614,136 | 2,736,775,898 | 2,666,470,733 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-----------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Other Current Expenses | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Debt Service | 651,264,370 | 693,838,231 | 783,801,723 | 779,001,723 | 854,020,480 | 851,220,480 |
| TOTAL-Other Current Expenses | 651,264,370 | 693,838,231 | 783,801,723 | 779,001,723 | 854,020,480 | 851,220,480 |
| Other Current Expenses | 651,264,370 | 693,838,231 | 783,801,723 | 779,001,723 | 854,020,480 | 851,220,480 |
| TOTAL-Special Transportation Fund | 651,264,370 | 693,838,231 | 783,801,723 | 779,001,723 | 854,020,480 | 851,220,480 |

STATE COMPTROLLER – MISCELLANEOUS

DESCRIPTION

Statutory Reference

C.G.S. Sections 3-7c and 4-162.

Program Description

The Office of the State Comptroller is charged with payment of claims settled with or judicially decided against the State

of Connecticut. Once presented with the required judicial order or settlement agreement, payments are processed.

Statutory Reference

C.G.S. Section 3-115b.

Program Description

To comply with the statutory basis of accounting (GAAP based budgeting), the Office of the State Comptroller processes accrual entries that post to consolidated Nonfunctional - Change to Accruals appropriations at the fund-level for the General Fund, Transportation Fund and all other budgeted special revenue funds. The accruals relate to payments that were made after the close of the fiscal year on June 30th but are directly related to obligations incurred within that fiscal year. The expense accruals are reported

using the Generally Accepted Accounting Principles (GAAP) approach that is employed in preparing the state's Comprehensive Annual Financial Report (CAFR). Specifically, goods and services that are received during the fiscal year and are paid within 60 days of the close of the fiscal year are charged to the closed fiscal year. This same approach is used for payroll expenses and the associated fringe benefit costs to ensure that the cumulative days worked in a closed fiscal year are charged to the closed year.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--------------|
| <ul style="list-style-type: none"> Update GAAP Estimate – General Fund <p><i>In order to more closely reflect the timing of expenditures on a GAAP basis, the Nonfunctional - Change In Accruals account reflects the difference between accrued liabilities for payroll, accounts payable, and DSS entitlement programs as of June 30th of each year vs. June 30th of the prior year. This adjustment reflects the anticipated change in accrued payroll (including fringe benefit charges) which is driven primarily by the date on which the last payroll during each fiscal year occurs. As of June 30, 2021, 19 payroll days will have been incurred prior to year-end that will be paid during FY 2022. The number of incurred but unpaid days will increase to 20 as of 6/30/22, but, as a result of the 27th payroll during FY 2023, will fall to 11 as of 6/30/23. The 9-day decrease in accrued payroll during FY 2023 is anticipated to result in a large decrease in accrued liabilities as of 6/30/23.</i></p> | -1,910,061 | -206,071,878 |
| <ul style="list-style-type: none"> Update GAAP Estimate – Special Transportation Fund | 356,616 | -16,169,856 |
| <ul style="list-style-type: none"> Update GAAP Estimate – Banking Fund | 49,822 | -843,805 |
| <ul style="list-style-type: none"> Update GAAP Estimate – Insurance Fund | 56,447 | -1,219,356 |
| <ul style="list-style-type: none"> Update GAAP Estimate – Consumer Counsel and Public Utility Control Fund | 45,086 | -832,175 |
| <ul style="list-style-type: none"> Update GAAP Estimate – Workers’ Compensation Fund | 28,147 | -528,164 |
| <ul style="list-style-type: none"> Update GAAP Estimate – Regional Market Operation Fund <p><i>Pursuant to Public Act 18-154, the Capital Region Development Authority assumed ownership of the Hartford Regional Market in the fall of 2019. There will no longer be accruals associated with this fund.</i></p> | -1,636 | -1,636 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|----------------------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|---------------------|---------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Comptroller's Miscellaneous Funds - Other Than Fri | - 77,546,311 | 33,803,072 | 23,804,708 | 22,429,129 | 22,429,129 | 23,804,708 | -201,862,162 | -201,862,162 |
| TOTAL Agency Programs | 77,546,311 | 33,803,072 | 23,804,708 | 22,429,129 | 22,429,129 | 23,804,708 | -201,862,162 | -201,862,162 |

Summary of Funding

| | | | | | | | | |
|-------------------------------------|---------------|----------|----------|----------|----------|----------|----------|----------|
| Criminal Injuries Compensation Fund | 41,632 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Agency Programs | 41,632 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|---------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Other Current Expenses | | | | | | |
| Adjudicated Claims | 48,106,859 | 10,000,000 | 0 | 0 | 0 | 0 |
| TOTAL-Other Current Expenses | 48,106,859 | 10,000,000 | 0 | 0 | 0 | 0 |
| Nonfunctional - Change to Accruals | | | | | | |
| Nonfunctional - Change to Accruals | 26,651,549 | 22,326,243 | 22,326,243 | 20,416,182 | 22,326,243 | -183,745,635 |
| TOTAL | 26,651,549 | 22,326,243 | 22,326,243 | 20,416,182 | 22,326,243 | -183,745,635 |
| Other Current Expenses | 48,106,859 | 10,000,000 | 0 | 0 | 0 | 0 |
| Nonfunctional - Change to Accruals | 26,651,549 | 22,326,243 | 22,326,243 | 20,416,182 | 22,326,243 | -183,745,635 |
| TOTAL-General Fund | 74,758,408 | 32,326,243 | 22,326,243 | 20,416,182 | 22,326,243 | -183,745,635 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------------------|------------------|------------------|------------------|------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Nonfunctional - Change to Accruals | | | | | | |
| Nonfunctional - Change to Accruals | 1,712,411 | 1,296,031 | 1,296,031 | 1,652,647 | 1,296,031 | -14,873,825 |
| TOTAL | 1,712,411 | 1,296,031 | 1,296,031 | 1,652,647 | 1,296,031 | -14,873,825 |
| Nonfunctional - Change to Accruals | 1,712,411 | 1,296,031 | 1,296,031 | 1,652,647 | 1,296,031 | -14,873,825 |
| TOTAL-Special Transportation Fund | 1,712,411 | 1,296,031 | 1,296,031 | 1,652,647 | 1,296,031 | -14,873,825 |

AGENCY FINANCIAL SUMMARY - BANKING FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------------------|----------------|---------------|---------------|---------------|---------------|-----------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Nonfunctional - Change to Accruals | | | | | | |
| Nonfunctional - Change to Accruals | 300,283 | 39,541 | 39,541 | 89,363 | 39,541 | -804,264 |
| TOTAL | 300,283 | 39,541 | 39,541 | 89,363 | 39,541 | -804,264 |
| Nonfunctional - Change to Accruals | 300,283 | 39,541 | 39,541 | 89,363 | 39,541 | -804,264 |
| TOTAL-Banking Fund | 300,283 | 39,541 | 39,541 | 89,363 | 39,541 | -804,264 |

AGENCY FINANCIAL SUMMARY - INSURANCE FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Nonfunctional - Change to Accruals | | | | | | |
| Nonfunctional - Change to Accruals | 562,842 | 71,133 | 71,133 | 127,580 | 71,133 | -1,148,223 |
| TOTAL | 562,842 | 71,133 | 71,133 | 127,580 | 71,133 | -1,148,223 |
| Nonfunctional - Change to Accruals | 562,842 | 71,133 | 71,133 | 127,580 | 71,133 | -1,148,223 |
| TOTAL-Insurance Fund | 562,842 | 71,133 | 71,133 | 127,580 | 71,133 | -1,148,223 |

AGENCY FINANCIAL SUMMARY - CONSUMER COUNSEL AND PUBLIC UTILITY CONTROL FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Nonfunctional - Change to Accruals | | | | | | |
| Nonfunctional - Change to Accruals | 201,902 | 42,640 | 42,640 | 87,726 | 42,640 | -789,535 |
| TOTAL | 201,902 | 42,640 | 42,640 | 87,726 | 42,640 | -789,535 |
| Nonfunctional - Change to Accruals | 201,902 | 42,640 | 42,640 | 87,726 | 42,640 | -789,535 |
| TOTAL-Consumer Counsel/Public Utility Fund | 201,902 | 42,640 | 42,640 | 87,726 | 42,640 | -789,535 |

AGENCY FINANCIAL SUMMARY - WORKERS' COMPENSATION FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Nonfunctional - Change to Accruals | | | | | | |
| Nonfunctional - Change to Accruals | 134,004 | 27,484 | 27,484 | 55,631 | 27,484 | -500,680 |
| TOTAL | 134,004 | 27,484 | 27,484 | 55,631 | 27,484 | -500,680 |
| Nonfunctional - Change to Accruals | 134,004 | 27,484 | 27,484 | 55,631 | 27,484 | -500,680 |
| TOTAL-Workers' Compensation Fund | 134,004 | 27,484 | 27,484 | 55,631 | 27,484 | -500,680 |

AGENCY FINANCIAL SUMMARY - REGIONAL MARKET OPERATION FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Nonfunctional - Change to Accruals | | | | | | |
| Nonfunctional - Change to Accruals | -52,671 | 0 | 1,636 | 0 | 1,636 | 0 |
| TOTAL | -52,671 | 0 | 1,636 | 0 | 1,636 | 0 |
| Nonfunctional - Change to Accruals | -52,671 | 0 | 1,636 | 0 | 1,636 | 0 |
| TOTAL-Regional Market Operation Fund | -52,671 | 0 | 1,636 | 0 | 1,636 | 0 |

AGENCY FINANCIAL SUMMARY - CRIMINAL INJURIES COMPENSATION FUND

| | FY 2020 | FY 2021 | FY 2022 | | FY 2023 | |
|-------------------------------------------|---------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Nonfunctional - Change to Accruals | | | | | | |
| Nonfunctional - Change to Accruals | 41,632 | 0 | 0 | 0 | 0 | 0 |
| TOTAL | 41,632 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | |
| Nonfunctional - Change to Accruals | 41,632 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-Criminal Injuries Compensation Fund | 41,632 | 0 | 0 | 0 | 0 | 0 |

AGENCY FINANCIAL SUMMARY - TOURISM FUND

| | FY 2020 | FY 2021 | FY 2022 | | FY 2023 | |
|-------------------------------------------|----------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Nonfunctional - Change to Accruals | | | | | | |
| Nonfunctional - Change to Accruals | -112,500 | 0 | 0 | 0 | 0 | 0 |
| TOTAL | -112,500 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | |
| Nonfunctional - Change to Accruals | -112,500 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-Tourism Fund | -112,500 | 0 | 0 | 0 | 0 | 0 |

STATE COMPTROLLER - FRINGE BENEFITS

DESCRIPTION

The Office of the State Comptroller is charged with administrative oversight of all statewide employee fringe benefit accounts in both the General Fund and Special Transportation Fund. These accounts cover employer contributions for state employee unemployment compensation, group life insurance, social security taxes, tuition

reimbursement, other post employment benefits, health insurance for state employees and retirees, and state employee, judicial, elected official, and higher education retirement plans. Oversight of these accounts includes budgeting, analyzing, forecasting, and processing payments against legislatively approved appropriations.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|--------------|
| <ul style="list-style-type: none"> • Adjust Base Amounts due to Pension and Health Care Savings Holdbacks - General Fund <i>Public Act 19-117 mandated pension and health care saving of \$256.2 million in the General Fund in FY 2021.</i> | -256,200,000 | -256,200,000 |
| <ul style="list-style-type: none"> • Adjust Base Amounts due to Pension and Health Care Savings Holdbacks - Transportation Fund <i>Public Act 19-117 mandated pension and health care saving of \$19.7 million in the Transportation Fund in FY 2021.</i> | -19,724,000 | -19,724,000 |
| <ul style="list-style-type: none"> • Fund the State Employees Retirement System (SERS) Unfunded Accrued Liability Portion of the Actuarially Determined Employer Contribution (ADEC) – General Fund | 133,083,465 | 247,915,813 |
| <ul style="list-style-type: none"> • Fund the State Employees Retirement System (SERS) Unfunded Accrued Liability Portion of the Actuarially Determined Employer Contribution (ADEC) – Transportation Fund | 15,645,697 | 29,143,055 |
| <ul style="list-style-type: none"> • Adjust Base Amounts for Pension and Health Care Savings to Reflect a True-Up of the Anticipated Actual Pension and Health Care Savings in FY 2021 - General Fund | 86,831,686 | 86,831,686 |
| <ul style="list-style-type: none"> • Provide Additional Funding for Retired Employee Health Service Costs | 73,162,000 | 184,025,000 |
| <ul style="list-style-type: none"> • Reflect Anticipated Savings Associated with the Medicare Advantage Plan Extension | -56,329,000 | -76,396,000 |
| <ul style="list-style-type: none"> • Adjust State Employees Health Service Costs - General Fund | 40,371,573 | 83,093,796 |
| <ul style="list-style-type: none"> • Adjust State Employees Health Service Costs - Transportation Fund | 3,560,645 | 7,051,089 |
| <ul style="list-style-type: none"> • Reflect Anticipated Savings Impact on FY 2022 Health Premiums - General Fund | -30,400,000 | 0 |
| <ul style="list-style-type: none"> • Reflect Anticipated Savings Impact on FY 2022 Health Premiums – Transportation Fund | -1,600,000 | 0 |
| <ul style="list-style-type: none"> • Adjust Alternate Retirement Plan Costs Based on FY 2020 Accounting Change | -12,000,000 | -11,518,600 |
| <ul style="list-style-type: none"> • Update Employers Social Security Tax - General Fund | 9,309,879 | 9,529,879 |
| <ul style="list-style-type: none"> • Update Employers Social Security Tax - Transportation Fund | 378,134 | 382,134 |
| <ul style="list-style-type: none"> • Adjust Unemployment Compensation to Reflect Recent Activity - General Fund | 6,042,600 | 4,940,600 |
| <ul style="list-style-type: none"> • Adjust Unemployment Compensation to Reflect Recent Activity - Transportation Fund | 220,652 | 178,452 |
| <ul style="list-style-type: none"> • Fund the SERS Tier 4 Defined Contribution 1% Employer Match - General Fund | 5,974,732 | 12,898,832 |
| <ul style="list-style-type: none"> • Fund the SERS Tier 4 Defined Contribution 1% Employer Match - Transportation Fund | 234,421 | 676,321 |
| <ul style="list-style-type: none"> • Reflect Anticipated Savings Associated with the Allocation of Health Care Consulting Costs - General Fund | -4,900,000 | -4,900,000 |
| <ul style="list-style-type: none"> • Reflect Anticipated Savings Associated with the Allocation of Health Care Consulting Costs - Transportation Fund | -1,700,000 | -1,700,000 |
| <ul style="list-style-type: none"> • Fund the Actuarially Determined Employer Contribution for the Judges and Compensation Commissioners Retirement System | 4,647,928 | 6,614,150 |
| <ul style="list-style-type: none"> • Fund the State Employees Retirement System (SERS) Normal Cost Portion of the Actuarially Determined Employer Contribution (ADEC) – General Fund | 3,964,832 | 9,253,717 |
| <ul style="list-style-type: none"> • Fund the State Employees Retirement System (SERS) Normal Cost Portion of the Actuarially Determined Employer Contribution (ADEC) – Transportation Fund | 507,859 | 1,185,317 |
| <ul style="list-style-type: none"> • Adjust Funding for Tuition and Travel Reimbursement | -3,393,500 | -3,508,500 |
| <ul style="list-style-type: none"> • Adjust for Net Impact of Position Changes - Technical Changes Impacting the General Fund | 1,017,400 | 1,090,900 |
| <ul style="list-style-type: none"> • Match the Employee Contributions for Other Post Employment Benefits (OPEB) – General Fund <i>The 2011 SEBAC Agreement requires the state to contribute an amount equal to the amount contributed by employees each year.</i> | 951,361 | -1,148,639 |

| | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|-------------|
| • Match the Employee Contributions for Other Post Employment Benefits (OPEB) – Transportation Fund <i>The 2011 SEBAC Agreement requires the state to contribute an amount equal to the amount contributed by employees each year.</i> | 364,377 | 264,377 |
| • Update Group Life Insurance Costs - General Fund | 523,400 | 1,452,800 |
| • Update Group Life Insurance Costs - Transportation Fund | 37,600 | 70,400 |
| • Reflect Cost of Living Adjustments to Other Statutory Pensions | 106,837 | 162,114 |
| • Reflect Impact of Additional Pension Fund Deposit Due to Rainy Day Fund Reaching 15 Percent Threshold in FY 2022 - General Fund | 0 | -23,725,000 |
| • Reflect Impact of Additional Pension Fund Deposit Due to Rainy Day Fund Reaching 15 Percent Threshold in FY 2022 - Transportation Fund | 0 | -2,925,000 |
| • Reflect Impact of 27th Payroll During FY 2023 - General Fund | 0 | 13,061,874 |
| • Reflect Impact of 27th Payroll During FY 2023 - Transportation Fund | 0 | 916,815 |

Reductions

| | FY 2022 | FY 2023 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|--------------|
| • Reflect Savings Related to the Extension of the Amortization Phase-In Period – General Fund <i>The transition from level percent of payroll amortization to level dollar amortization is currently over a five- year period. Extending the phase-in to eight years results in savings during the biennium.</i> | -53,890,062 | -106,127,038 |
| • Reflect Savings Related to the Extension of the Amortization Phase-In Period – Transportation Fund <i>The transition from level percent of payroll amortization to level dollar amortization is currently over a five- year period. Extending the phase-in to eight years results in savings during the biennium.</i> | -5,987,785 | -11,791,887 |
| • Adjust for Net Impact of Position Changes - Reductions Impacting the General Fund | -753,900 | -3,133,100 |
| • Reduce Costs Related to Retiree Health Retirements | 0 | -10,000,000 |

Expansions

| | FY 2022 | FY 2023 | FY 2024 |
|--------------------------------------------------------------------------------------------|-----------|-----------|-----------|
| • Adjust for Net Impact of Position Changes - Expansions Impacting the General Fund | 2,591,500 | 3,371,700 | 3,371,700 |
| • Adjust for Net Impact of Position Changes - Expansions Impacting the Transportation Fund | 189,900 | 206,800 | 206,800 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|---------------------------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Comptroller's Miscellaneous Funds - Fringe Benefi | 3,201,726,915 | 3,674,972,028 | 3,725,697,898 | 3,589,883,568 | 3,532,033,221 | 4,064,974,518 | 3,888,186,372 | 3,760,712,847 |
| TOTAL Agency Programs | 3,201,726,915 | 3,674,972,028 | 3,725,697,898 | 3,589,883,568 | 3,532,033,221 | 4,064,974,518 | 3,888,186,372 | 3,760,712,847 |

Summary of Funding

| | | | | | | | | |
|------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| General Fund | 2,981,349,781 | 3,421,125,095 | 3,455,388,656 | 3,338,111,250 | 3,286,058,788 | 3,783,137,371 | 3,618,820,479 | 3,502,932,041 |
| Special Transportation Fund | 220,377,134 | 253,846,933 | 270,309,242 | 251,772,318 | 245,974,433 | 281,837,147 | 269,365,893 | 257,780,806 |
| Total Agency Programs | 3,201,726,915 | 3,674,972,028 | 3,725,697,898 | 3,589,883,568 | 3,532,033,221 | 4,064,974,518 | 3,888,186,372 | 3,760,712,847 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| Other Current Expenses | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Unemployment Compensation | 3,281,410 | 7,774,400 | 15,000,000 | 11,790,700 | 15,000,000 | 9,915,000 |
| Higher Education Alternative Retirement System | 9,585,911 | 12,334,700 | 12,034,700 | 12,034,700 | 13,522,189 | 12,997,500 |
| Pensions and Retirements - Other Statutory | 1,923,329 | 1,905,134 | 2,135,971 | 2,135,971 | 2,191,248 | 2,191,248 |
| Judges and Compensation Commissioners Retirement | 27,010,989 | 31,893,463 | 34,040,683 | 33,170,039 | 34,040,683 | 35,136,261 |
| Insurance - Group Life | 8,696,990 | 8,770,200 | 9,391,000 | 9,293,600 | 10,280,000 | 10,223,000 |
| Employers Social Security Tax | 211,404,916 | 223,008,651 | 229,233,643 | 228,242,630 | 250,077,814 | 237,060,604 |
| State Employees Health Service Cost | 681,984,938 | 749,020,807 | 735,747,000 | 710,801,480 | 740,387,000 | 775,392,003 |

| | | | | | | |
|--------------------------------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Retired State Employees Health Service Cost | 743,069,910 | 859,209,000 | 854,768,000 | 785,602,000 | 1,066,048,000 | 874,398,000 |
| Tuition Reimbursement - Training and Travel | 3,455,608 | 3,508,500 | 115,000 | 115,000 | 0 | 0 |
| Other Post Employment Benefits | 91,673,340 | 81,648,639 | 87,874,986 | 84,765,700 | 95,865,442 | 85,793,100 |
| Death Benefits For St Employ | 15,000 | 0 | 0 | 0 | 0 | 0 |
| SERS Defined Contribution Match | 3,558,903 | 5,257,268 | 9,496,894 | 9,354,400 | 16,896,676 | 16,913,500 |
| State Employees Retirement Contributions - Normal Cost | 168,330,352 | 149,045,118 | 156,497,374 | 153,009,950 | 164,322,243 | 158,298,835 |
| State Employees Retirement Contributions - UAL | 1,027,358,185 | 1,287,749,215 | 1,309,053,405 | 1,245,742,618 | 1,374,506,076 | 1,284,612,990 |
| TOTAL-Other Current Expenses | 2,981,349,781 | 3,421,125,095 | 3,455,388,656 | 3,286,058,788 | 3,783,137,371 | 3,502,932,041 |
| Other Current Expenses | 2,981,349,781 | 3,421,125,095 | 3,455,388,656 | 3,286,058,788 | 3,783,137,371 | 3,502,932,041 |
| TOTAL-General Fund | 2,981,349,781 | 3,421,125,095 | 3,455,388,656 | 3,286,058,788 | 3,783,137,371 | 3,502,932,041 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Other Current Expenses</i> | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Unemployment Compensation | 151,161 | 203,548 | 500,000 | 424,200 | 500,000 | 382,000 |
| Insurance - Group Life | 298,441 | 288,600 | 359,000 | 326,200 | 359,000 | 359,000 |
| Employers Social Security Tax | 15,018,768 | 17,222,866 | 18,093,051 | 17,638,600 | 19,007,203 | 18,322,815 |
| State Employees Health Service Cost | 51,690,136 | 54,613,417 | 59,454,000 | 55,006,662 | 59,726,000 | 60,109,406 |
| Other Post Employment Benefits | 5,894,483 | 5,235,623 | 6,471,381 | 5,614,800 | 7,025,717 | 5,715,900 |
| SERS Defined Contribution Match | 240,145 | 354,879 | 707,410 | 594,200 | 1,258,607 | 1,076,200 |
| State Employees Retirement Contributions - Normal Cost | 21,610,640 | 19,091,316 | 20,045,882 | 19,599,175 | 21,048,176 | 20,276,633 |
| State Employees Retirement Contributions - UAL | 125,473,360 | 156,836,684 | 164,678,518 | 146,770,596 | 172,912,444 | 151,538,852 |
| TOTAL-Other Current Expenses | 220,377,134 | 253,846,933 | 270,309,242 | 245,974,433 | 281,837,147 | 257,780,806 |
| Other Current Expenses | 220,377,134 | 253,846,933 | 270,309,242 | 245,974,433 | 281,837,147 | 257,780,806 |
| TOTAL-Special Transportation Fund | 220,377,134 | 253,846,933 | 270,309,242 | 245,974,433 | 281,837,147 | 257,780,806 |

RESERVE FOR SALARY ADJUSTMENTS

DESCRIPTION

Funds are provided to finance collective bargaining and related costs that were not included in individual agency budgets at the time the recommended budget was prepared.

RECOMMENDED ADJUSTMENTS

| Baseline Adjustments | FY 2022 | FY 2023 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------|
| • Provide Funding for Anticipated State Employee Wage and Tuition Related Costs – General Fund | 94,942,874 | 203,692,374 |
| • Provide Funding for Anticipated State Employee Wage Related Costs – Transportation Fund | 6,618,280 | 16,277,980 |
| • Transfer Funding for Approved Bargaining Unit Agreements to Impacted Agencies - General Fund | -15,595,374 | -15,595,374 |
| • Transfer Funding for Approved Bargaining Unit Agreements to Impacted Agencies - Transportation Fund | -409,880 | -409,880 |
| Reductions | FY 2022 | FY 2023 |
| • Eliminate Funding for State Employee General Wage Increases - General and Special Industry Funds <i>This option eliminates the funding of general wage increases for collectively bargained employees in the both year of the biennium. The General Fund account includes funding for all funds except for the Transportation Fund.</i> | -44,046,071 | -92,477,070 |
| • Eliminate Funding for State Employee General Wage Increases - Transportation Fund <i>This option eliminates the funding of general wage increases for collectively bargained employees in the both years of the biennium.</i> | -4,048,729 | -8,511,303 |
| • Eliminate Funding for Non-Collective Bargaining State Employee General Wage Increases - General and Special Industry Funds | 0 | -5,232,482 |
| • Eliminate Funding for Non-Collective Bargaining State Employee General Wage Increases - Transportation Fund | 0 | -227,376 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|--------------------------------|---------|------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| Reserve for Salary Adjustments | 0 | 25,949,000 | 108,738,059 | 111,504,900 | 63,410,100 | 225,052,610 | 229,914,100 | 123,465,869 |
| TOTAL Agency Programs | 0 | 25,949,000 | 108,738,059 | 111,504,900 | 63,410,100 | 225,052,610 | 229,914,100 | 123,465,869 |

Summary of Funding

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------------|---------|------------|-------------|-------------|------------|-------------|-------------|-------------|
| General Fund | 0 | 23,893,500 | 100,474,159 | 103,241,000 | 59,194,929 | 207,129,010 | 211,990,500 | 114,280,948 |
| Special Transportation Fund | 0 | 2,055,500 | 8,263,900 | 8,263,900 | 4,215,171 | 17,923,600 | 17,923,600 | 9,184,921 |
| Total Agency Programs | 0 | 25,949,000 | 108,738,059 | 111,504,900 | 63,410,100 | 225,052,610 | 229,914,100 | 123,465,869 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------|---------|------------|-------------|-------------|-------------|-------------|
| Other Current Expenses | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Reserve For Salary Adjustments | 0 | 23,893,500 | 100,474,159 | 59,194,929 | 207,129,010 | 114,280,948 |
| TOTAL-Other Current Expenses | 0 | 23,893,500 | 100,474,159 | 59,194,929 | 207,129,010 | 114,280,948 |
| Other Current Expenses | 0 | 23,893,500 | 100,474,159 | 59,194,929 | 207,129,010 | 114,280,948 |
| TOTAL-General Fund | 0 | 23,893,500 | 100,474,159 | 59,194,929 | 207,129,010 | 114,280,948 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|--------------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| <i>Other Current Expenses</i> | | | | | | |
| Reserve For Salary Adjustments | 0 | 2,055,500 | 8,263,900 | 4,215,171 | 17,923,600 | 9,184,921 |
| TOTAL-Other Current Expenses | 0 | 2,055,500 | 8,263,900 | 4,215,171 | 17,923,600 | 9,184,921 |
| | | | | | | |
| Other Current Expenses | 0 | 2,055,500 | 8,263,900 | 4,215,171 | 17,923,600 | 9,184,921 |
| TOTAL-Special Transportation Fund | 0 | 2,055,500 | 8,263,900 | 4,215,171 | 17,923,600 | 9,184,921 |

WORKERS' COMPENSATION CLAIMS – DAS

DESCRIPTION

Statutory Reference

C.G.S. Sections 4-77a.

Program Description

Funding for Workers' Compensation Claims for all state agencies is included, except for the Judicial Department.

RECOMMENDED ADJUSTMENTS

Reallocations

| | FY 2022 | FY 2023 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------|
| <ul style="list-style-type: none"> Centralize Funding for Workers' Compensation Claims Under the Department of Administrative Services <i>Funds are realigned into the Department of Administrative Services Workers' Compensation Claims for all state agencies except the Judicial Department.</i> | 85,375,804 | 85,375,804 |

AGENCY PROGRAMS

| Agency Program by Total Funds | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-------------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| DAS Workers Comp | 13,152,895 | 13,983,097 | 19,607,605 | 14,983,097 | 100,358,901 | 21,887,605 | 14,983,097 | 100,358,901 |
| TOTAL Agency Programs | 13,152,895 | 13,983,097 | 19,607,605 | 14,983,097 | 100,358,901 | 21,887,605 | 14,983,097 | 100,358,901 |

Summary of Funding

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2022 | FY 2023 | FY 2023 | FY 2023 |
|-----------------------------|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Baseline | Recommended | Requested | Baseline | Recommended |
| General Fund | 8,152,804 | 8,259,800 | 10,813,924 | 8,259,800 | 93,635,604 | 11,953,924 | 8,259,800 | 93,635,604 |
| Special Transportation Fund | 5,000,091 | 5,723,297 | 8,793,681 | 6,723,297 | 6,723,297 | 9,933,681 | 6,723,297 | 6,723,297 |
| Total Agency Programs | 13,152,895 | 13,983,097 | 19,607,605 | 14,983,097 | 100,358,901 | 21,887,605 | 14,983,097 | 100,358,901 |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|---------------------------------------------------------------------------------------|-----------|-----------|------------|-------------|------------|-------------|
| Other Current Expenses | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Workers' Compensation Claims | 8,152,804 | 8,259,800 | 10,813,924 | 8,259,800 | 11,953,924 | 8,259,800 |
| Workers' Compensation Claims – University of Connecticut | 0 | 0 | 0 | 2,271,228 | 0 | 2,271,228 |
| Workers' Compensation Claims – University of Connecticut Health Center | 0 | 0 | 0 | 2,917,484 | 0 | 2,917,484 |
| Workers' Compensation Claims – Board of Regents for Higher Education | 0 | 0 | 0 | 3,289,276 | 0 | 3,289,276 |
| Workers' Compensation Claims – Department of Children and Families | 0 | 0 | 0 | 9,933,562 | 0 | 9,933,562 |
| Workers' Compensation Claims – Department of Mental Health and Addiction Services | 0 | 0 | 0 | 16,721,165 | 0 | 16,721,165 |
| Workers' Compensation Claims – Department of Emergency Services and Public Protection | 0 | 0 | 0 | 3,723,135 | 0 | 3,723,135 |
| Workers' Compensation Claims – Department of Developmental Services | 0 | 0 | 0 | 15,404,040 | 0 | 15,404,040 |
| Workers' Compensation Claims – Department of Correction | 0 | 0 | 0 | 31,115,914 | 0 | 31,115,914 |
| TOTAL-Other Current Expenses | 8,152,804 | 8,259,800 | 10,813,924 | 93,635,604 | 11,953,924 | 93,635,604 |
| Other Current Expenses | 8,152,804 | 8,259,800 | 10,813,924 | 93,635,604 | 11,953,924 | 93,635,604 |
| TOTAL-General Fund | 8,152,804 | 8,259,800 | 10,813,924 | 93,635,604 | 11,953,924 | 93,635,604 |

AGENCY FINANCIAL SUMMARY - SPECIAL TRANSPORTATION FUND

| | FY 2020 | FY 2021 | FY 2022 | FY 2022 | FY 2023 | FY 2023 |
|-----------------------------------|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| Other Current Expenses | | | | | | |
| Workers' Compensation Claims | 5,000,091 | 5,723,297 | 8,793,681 | 6,723,297 | 9,933,681 | 6,723,297 |
| TOTAL-Other Current Expenses | 5,000,091 | 5,723,297 | 8,793,681 | 6,723,297 | 9,933,681 | 6,723,297 |
| | | | | | | |
| Other Current Expenses | 5,000,091 | 5,723,297 | 8,793,681 | 6,723,297 | 9,933,681 | 6,723,297 |
| TOTAL-Special Transportation Fund | 5,000,091 | 5,723,297 | 8,793,681 | 6,723,297 | 9,933,681 | 6,723,297 |