



**September 14, 2023**

**REQUEST FOR QUOTATION  
23-57-211020**

**(THIS IS NOT AN ORDER)**

The Alaska Railroad Corporation (ARRC) is soliciting quotes from interested concerns for the following:

**ARR205 Passenger Coach Truck  
Inspection and Rebuild**

SEALED, OR EMAILED QUOTES WILL BE RECEIVED AT:

Alaska Railroad Corp., Contracts  
327 Ship Creek Avenue, 2<sup>nd</sup> Floor  
Anchorage, Alaska 99501  
Email: [ThompsonC@akrr.com](mailto:ThompsonC@akrr.com)

**Offers Will Be Received Until 3:00 PM Local Time on September 28, 2023**

ARRC shall not be held responsible for bidder's lack of understanding of what is required by this bid. Should a bidder not understand any aspect of this bid, or require further explanation, or clarification regarding the intent or requirements of this bid, it shall be the responsibility of the bidder to seek guidance from the ARRC.

ARRC reserves the right to reject any and all bids, or any part thereof, negotiate changes in bids, accept any bids or any part thereof, waive minor informalities or defects in any bids, and not to award the proposed contract if it is in the best interest of the ARRC.

ARRC may award a contract resulting from this solicitation to the low responsive offeror whose offer conforming to this solicitation will be the most advantageous to the ARRC. ARRC may reject any or all offers if such actions is in the best interest of ARRC, and waive informalities and minor irregularities in offers received. Any resulting contract from this solicitation shall incorporate the Standard Instructions, and General Terms and Conditions incorporated in this solicitation.

This solicitation is not to be construed as a commitment of any kind nor does it commit the ARRC to pay for any costs incurred in the submission of an offer or for any other incurred cost prior to the execution of a formal contract.

**ARRC Disadvantaged Business Enterprise (DBE) Program:** ARRC is an equal opportunity corporation that encourages the participation of DBEs as prime contractors and subcontractors on its contracts funded in whole or in part by the Federal Transit Administration (FTA) or the Federal Highway Administration (FHWA). The ARRC has a race neutral DBE Program and does not set DBE goals on individual solicitations. Nonetheless, the ARRC aspires to achieve an overall DBE participation on federal contracts of 4.0% in FY 2022-2024. If this contract is funded in whole or in part by funds from the FTA or the FHWA, it is imperative that you consult the Federal Terms and Conditions portion of this solicitation.



The Alaska Railroad is a member of Green Star ([www.greenstarinc.org](http://www.greenstarinc.org)) ARRC earned an initial Green Star Award in 1994 and a Green Star Air Quality Award in 2007. The Alaska Railroad considers Green Star membership to be a positive business attribute, and regards a Green Star award as a tangible sign of an organization's commitment to environmental stewardship and continual improvement within its operations.

**Please direct all questions concerning this solicitation to Lee Thompson, Alaska Railroad Corporation, Supply Management, 327 W. Ship Creek Avenue, Anchorage, AK 99501, telephone number 907-265-2355, cell number 907-854-3141 or e-mail: [ThompsonC@akrr.com](mailto:ThompsonC@akrr.com)**

Sincerely,

C. Lee Thompson  
Contract Administrator  
Alaska Railroad, Corp

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## APPENDIX A

### REQUIRED DOCUMENTS

**REQUIRED FOR PROPOSAL/BID** Bids will not be considered if the following documents are not completely filled out and submitted at the time of bidding.

1. Contractor Responsibility Questionnaire - [Form 395-0136]
2. Service Bid Form - [Form 395-0129]
3. Cost Schedule - Appendix G

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**REQUIRED FOR AWARD** In order to be awarded the contract, the successful bidder must completely fill out and submit the following documents within the time specified in the intent to award letter:

1. Certificate of Insurance - [from Insurance Carrier]
2. Alaska Business Licenses

## **APPENDIX B**

### **Standard Instructions for the Submission of Bids and Proposals to the Alaska Railroad Corporation**

#### **1 Amendments**

The ARRC procurement officer will attempt to notify all who are known to have received the solicitation documents if any amendments are issued, but it shall be the bidder/offeror's responsibility to ascertain prior to submitting a bid/proposal that he/she has received all amendments issued.

#### **2 Submission of Bids/Proposals**

2.1 Interested vendors shall submit an original and one copy of their bids/proposals in sealed envelopes or packages (1) addressed to the office specified in the solicitation and (2) showing the time specified for receipt, the solicitation number, and the name and address of the bidder/offeror. Bids/proposals must be received by ARRC no later than the local time at the place and on the date set for receipt of bids/proposals in the solicitation.

2.2 Any required samples must be submitted within the time specified for receipt of bids/proposals. Unless otherwise specified in the solicitation, these samples shall be (1) submitted at no expense to the ARRC and (2) returned only upon the sender's request and at their expense.

2.3 ARRC may postpone the date and time announced for receipt of bids/proposals. Such postponement may be made at any time prior to the established date and time for receipt of bids/proposals by notice and addendum to the solicitation to all known potential bidders/offerors.

#### **3 Explanation to Prospective Bidders/Offerors**

Bidders/offerors shall promptly notify ARRC of any ambiguity, inconsistency, conflict, or error which they may discover upon examination of the solicitation documents. All inquiries regarding a solicitation shall be directed to the ARRC representative specified in the solicitation. Any prospective bidder/offeror desiring an explanation or interpretation of the solicitation, drawings, specifications, etc., must request it in writing soon enough to allow a reply to reach all prospective bidders/offerors before the submission of their bid/proposal. To facilitate the exchange of information, vendors' questions regarding a solicitation may be communicated by telephone, and then confirmed in writing. Oral explanations or instructions given before award of the contract will not be binding. Oral explanations or instructions given to a prospective bidder/offeror concerning a solicitation will be furnished promptly to all other prospective bidders/offerors as an amendment to the solicitation, if in the opinion of ARRC, such information is deemed necessary to submit bids/proposals or if the lack of it would be prejudicial to other prospective bidders/offerors.

#### **4 Late Submissions, Modifications, and Withdrawals of Bids/Proposals**

4.1 Any bid/proposal received at the office designated in the solicitation after the exact time specified for receipt will not be considered unless the delay was due to an ARRC error.

4.2 The only acceptable evidence to establish the time of receipt at the ARRC is the time/date stamp of ARRC's Procurement Office on the bid/proposal wrapper or other documentary evidence of receipt maintained by the ARRC.

4.3 Correction, modification, or withdrawal of bids/proposals will be allowed only as stated in ARRC Procurement Rule 1200.8.

## **5 Preparation of Bids/Proposals**

5.1 Bidders/offerors are expected to carefully examine the solicitation documents including all drawings, specifications, schedules, terms and conditions, and all instructions. Failure to do so will be at the bidder's/offeror's risk. Incomplete bids/proposals may be rejected as nonresponsive.

5.2 Each bidder/offeror shall furnish all information required by the solicitation. The bid/proposal must be signed by an officer or other official of the vendor's company who has legal authority to commit the vendor to the contract proposed. Erasures or other changes must be initialed by the person signing the bid/proposal.

5.3 Bids/proposals for supplies or services other than those specified, or bids/proposals conditioned upon receiving award of all or a portion of the contract shall be deemed nonresponsive and shall be rejected unless authorized by the solicitation.

5.4 Time, if stated as a number of days, will include Saturdays, Sundays, and holidays.

## **6 Evaluation of Bids/Proposals and Contract Award**

ARRC shall review and evaluate each submission in accordance with the criteria established in the solicitation and ARRC's Procurement Rules. In addition to other factors, bids/proposals will be evaluated on the basis of advantages and disadvantages to ARRC that might result from making more than one award (multiple awards).

## **7 Reservations**

7.1 ARRC may reject any or all bids/proposals, or any part thereof, and may waive technicalities and minor informalities if such action is deemed to be in its best interest.

7.2 If an award is made and, prior to entering into a contract, subsequent information indicates that such award was not in the best interest of ARRC, ARRC may rescind the award without prior notice to bidders/offerors and either award to another bidder/offeror or reject all bids/proposals.

7.3 ARRC may cancel any contract, if in its opinion the vendor fails at any time to perform adequately, or if there is any attempt to willfully impose goods/services upon ARRC which are, in ARRC's opinion, of an unacceptable quality. Any action taken pursuant to this latter stipulation shall not affect or impair any rights or claim of ARRC to damages for breach of any covenants of the contract by the vendor. ARRC also may reject the bid/proposal of any vendor who has previously failed to perform adequately under a prior contract for furnishing supplies/services to ARRC.

7.4 If the vendor fails to furnish any item or to complete the required work included in the contract, ARRC may withdraw such items or required work from the operation of the contract without incurring further liability.

7.5 ARRC may accept any item or group of items of a bid/proposal unless the bidder/offeror qualifies the bid/proposal by specific limitation.

7.6 ARRC may make an award on any item or quantities less than the quantity offered, at the unit cost or prices offered, unless the bidder/offeror specifies otherwise.

## **8 Aggrieved Bidder/Offeror**

An aggrieved bidder/offeror may protest an ARRC procurement action by filing a written protest with the procurement officer in accordance with the procedures and time limits specified in ARRC Procurement Rules 1800.1-1800.11.

## **9 Incurred Costs**

The issuance of a solicitation does not obligate ARRC to pay for any bid/proposal preparation costs incurred by bidders/offerors and does not obligate ARRC to award a contract for supplies/services. All costs incurred as a result of a solicitation or contract negotiations resulting from a solicitation, including travel and personal expenses, are the sole responsibility of the bidder/offeror.

## **10 Availability of Funds**

The ARRC's obligation under any contract is contingent upon the availability of funds to pay for contract purchases. No legal liability on the part of ARRC may arise until funds are made available for a contract and until the Contractor receives written notice of such availability from the procurement officer. Signature by an authorized ARRC representative on the contract award document constitutes written notice of availability of funds.

## **11 Alaska Products and Bidder's Preferences**

Alaska vendors who meet the requirements specified in ARRC Procurement Rule 1200.9(b) will receive an Alaska Bidder's preference as specified in the Rules. Vendors who supply products that meet the requirements specified in ARRC Procurement Rules 1500.1-1500.8 will receive the preferences stated therein.

## **12 Public Information**

All submitted bids/proposals will be considered confidential until notice of intent to award is issued. After notice of intent to award is issued, all bids/proposals will become public information.

## **13 Price**

ARRC shall receive the benefit of any general reduction in bidder's/offeror's price prior to the delivery of supplies or services and in no event shall ARRC be charged higher prices than bidder's/offeror's similar customers who purchase substantially similar supplies or services under substantially similar circumstances. All prices bid shall be exclusive of any federal, state, or local taxes from which ARRC is exempt.

## APPENDIX C

### ARR 205 PASSENGER COACH TRUCK 40 YEAR REBUILD SUPPORT

#### MINIMUM QUALIFICATIONS

The services to be purchased through this solicitation are critical to the operation of the ARRC. Any vendor who responds to this solicitation must meet the following minimum qualifications in order to be considered responsive:

1. Contractor shall have its own shop in which to perform all required work in this solicitation
2. Contractor's paint and repair facility shall meet all local, State and Federal requirements for performing this work. Contractor shall have a minimum of five (5) years' experience in painting and repair of heavy equipment. These minimum qualifications may not be satisfied by use of a sub-contractor.
3. Contractor to allow ARRC employees to make repairs to coach truck parts in contractor's shop on occasion with prior scheduling.
4. Dye penetrant testing must be performed by a certified NDT Technician and a copy of their certification must be provided to ARRC prior to commencement of work.

Proposals from vendors that do not meet the above qualifications will not be considered.

#### STATEMENT OF WORK

##### **Background:**

Any private coach which is more than 40 years old from the date of original manufacture must undergo a comprehensive inspection and documentation process. This contract is to perform the cleaning, dye penetration testing and painting of truck parts for passenger coach ARR 205. Trucks were manufactured for Alaska Railroad and OEM drawings will be available upon request.

##### **Schedule:**

The Contractor will have access to the disassembled coach trucks at the ARRC Anchorage Car Shop on October 16th, 2023. All truck parts must be cleaned, inspected, tested, painted and returned to the ARRC Anchorage Car Shop no later than November 27th, 2023.

##### **Tools and Materials:**

All tools and materials necessary to accomplish the work described below are the responsibility of the contractor.

##### **Overview of Scope:**

Coach trucks will be disassembled by Alaska Railroad Corp (ARRC) at the Anchorage Car Shop where parts will be available for pickup. All parts requiring repair are to be returned by the contractor to the ARRC Car Shop following cleaning and inspection. After parts have been repaired they are to be picked up by the contractor and transported to contractor's shop for final cleaning and painting then returned to the ARRC Anchorage Car Shop for reassembly. The disassembled coach trucks shall include all serviceable parts from two complete trucks. Based on ARRC's 40 Year Coach Trucks Rebuild Policy, contractor shall provide cleaning, crack inspecting using dye penetration testing, and painting of all truck parts after ARRC inspection and



repairs. ARRC will provide all equipment and labor to load/unload the disassembled trucks parts onto contractor's transport vehicle.

**Scope of Work:**

Truck part inspection shall be performed prior to the 40th year of the date of original coach manufacture. This inspection shall be performed in two steps; initially with the trucks removed from the coach and dismantled, and again with the trucks assembled and installed under the coach. Contracted work in this solicitation is for a portion of initial step only, as outlined below.

40 Year Truck Inspection Instructions:

Note: the word "Clean" in this Section refers to any of the following methods for cleaning which include sand blasting, grit blasting, walnut shell blasting, wire brushing, high pressure steam cleaning, or high pressure water cleaning, which results in adequate ability for performing the required inspections. Machined surfaces are to be masked prior to media blasting. Methods used to prepare machined surfaces and areas immediately adjacent to machined surfaces for testing are to preclude pitting, scoring, or gouging.

1. Clean and inspect truck bolsters. Must comply with instructions and requirements of SMP 46617.
2. Clean and inspect swing hangers. Must comply with instructions and requirements of SMP 46605. Brinell testing (by others) will be performed at ARRC car shop prior to being available to contractor.
3. Clean and inspect truck frame and spring plank. Must comply with instructions and requirements of SMP 46617. In addition, care should be taken not to bend the legs of the truck frames, especially while transporting and dye penetration testing.
4. Clean and visually inspect all brake rigging, pins, levers, bushings, guides and component parts for cracks, broken, or worn conditions.
5. Remove all shoe heads and inspect shoe head pins for cracks. Inspect shoe head retaining buttons to ensure they are tight and have a full contour. When equipped with "C" frame (CFM) brakes, clean and inspect C frames for cracks.
6. Prime all parts in accordance with appendix C.

**Truck Part Requirements Matrix:**

Item No.	Part Name	Qty per Truck Unit	SMP 46617	SMP 46605	Sandblast	Visual inspection (cracks, gouges, wear, corrosion)	Dye Pen. Test	Brinell testing
1	Spring seats	24	x		Y	Y	D	
2	Axle spring sets	8	x		Y	Y	N	
3	Bolster anchor rods	2	x		Y	Y	Y	
4	Bolster Shim Pairs	6 to 8	x		Y	Y	N	
5	Bolster spring set	4	x		Y	Y	D	
6	Bolster Swing hanger	4		x	Y	Y	D	x
7	Brake rigging set	4	x		Y	Y	D	
8	Journal Box	4	x		Y	Y	D	
9	Journal Box Adapter	4	x		Y	Y	D	
10	Other components	VAR	x		Y	Y	D	
11	Spring plank	1	x		Y	Y	Y	
12	Truck Bolster	1	x		Y	Y	Y	
13	Truck frame	1	x		Y	Y	Y*	

x = spec or test applies, Y = Required, D = Required if visual defect found  
Y\* Dye pen required on all welds and visual defects only

**End of Section**

## **APPENDIX C SPECIFICATIONS**

### **SPECIFICATION FOR 40 YEAR REBUILD OF PASSENGER COACH TRUCKS**

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## 1.0 GENERAL SPECIFICATIONS

- 1.1 Scope:** This specification covers the surface preparation, coating application, and crack inspection requirements for performing 40 year rehabilitation of railroad passenger coach trucks for Alaska Railroad Corporation (ARRC). In this context, all work will be involving disassembled truck. All part are cast steel, with some instances of strength hardened steel.
- 1.2 Surface Preparation:** shall include methods for removing excessive buildup, and protect against pitting on identified surfaces. All surface preparation expect for machined surfaces shall meet standards of SSPC 6/ NACE No. 3.
- 1.3 Coatings and Thinners:** Provide paints, coatings, thinners in original unopened containers. Products or product components that have exceeded their shelf life before the date of application shall not be used. Use only thinners recommended by the coating manufacturer. The coating products listed herein are representative of the type and quality required for application. All coatings shall be provided by the same manufacturer<sup>1</sup>.
- 1.3.1 Primer:** Primer coats may include wash primers for aluminum, galvanized and stainless substrates, epoxy primer for steel surfaces or penetrating sealers to serve as tie-coats for application over existing coatings. Primer must be a commercial grade epoxy base primer.
- 1.4 Dye Penetration Testing:** Dye penetration testing shall performed by level II certified person. Certification shall be recognized by American Society of Nondestructive Testing and meet the requirements of NAS-410. Contractor shall provide a copy of Certification to ARRC.

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<sup>1</sup> Should use of materials from different manufacturers be requested include a letter from at least one of the manufacturers that states it will warranty against all compatibility related failures.

# APPENDIX D

## FORMS

**CONTRACTOR RESPONSIBILITY QUESTIONNAIRE**  
**PART I - INSTRUCTIONS**

1. All Bidders/Proposers submitting a Bid/Proposal for federally funded contracts are to complete and submit all Parts of this Questionnaire with their Bid or Proposal. Failure to complete and return this questionnaire, any false statements, or failure to answer question when required, may render the bid/proposal non-responsive. All responses must be typewritten or printed in ink. All information must be legible.
2. Please state "not applicable" in questions clearly not applicable to Bidder/Proposer in connection with this solicitation. Do not omit any question.
3. The completed Questionnaire must be sworn to by a partner (if partnership), a duly authorized officer or individual (if a corporation or LLC), or a principal (if a sole proprietorship).
4. The term "Proposer" includes the term "Bidder" and also refers to the firm awarded the Contract. The term "Proposal" includes the term "Bid".
5. ARRC reserves the right to inquire further with respect to Proposer's responses; and Proposer consents to such further inquiry and agrees to furnish all relevant documents and information as requested by ARRC. Any response to this document prior or subsequent to Proposer's Proposal which is or may be construed as unfavorable to Proposer will not necessarily automatically result in a negative finding on the question of Proposer's responsibility or a decision to terminate the contract if it is awarded to Proposer.

**PART II - IDENTITY OF PROPOSER**

1. Proposer's Full Legal Name: \_\_\_\_\_
2. The Proposer represents that it operates as the following form of legal entity: (Check whichever applies and fill in any appropriate blanks.)
  - an individual or sole proprietorship
  - a general partnership
  - a limited partnership
  - a joint venture consisting of: \_\_\_\_\_  
and \_\_\_\_\_  
(List all joint venturers on a separate sheet if this space is inadequate.)
  - a non-profit organization
  - a corporation organized or incorporated under the laws of the following state or country: \_\_\_\_\_  
on the following date: \_\_\_\_\_
  - a limited liability company organized under the laws of the following state or country: \_\_\_\_\_  
on the following date: \_\_\_\_\_
3. Proposer's federal taxpayer identification number: \_\_\_\_\_
4. Proposer's Alaska business license number: \_\_\_\_\_
5. Proposer's contractor's license number (for construction only): \_\_\_\_\_
6. Proposer's legal address: \_\_\_\_\_  
\_\_\_\_\_

Telephone Number: (\_\_\_\_) \_\_\_\_\_ Fax Number: (\_\_\_\_) \_\_\_\_\_

7. Proposer's local or authorized point of contract address:  
Name: \_\_\_\_\_ Title: \_\_\_\_\_  
Address: \_\_\_\_\_

Telephone Number: (\_\_\_\_) \_\_\_\_\_ Fax Number: (\_\_\_\_) \_\_\_\_\_

8. How long has the Proposer been in business? \_\_\_\_\_
9. Has Proposer been in business under another name? If so, identify name and dates used.  
\_\_\_\_\_  
\_\_\_\_\_
10. Does your firm consider itself to be an MBE, WBE or DBE?  
YES  NO  If answer is "YES," attach a copy of certification.
11. Number of employees: \_\_\_\_\_ including \_\_\_\_\_ employees in the State of Alaska.

### PART III-CONTRACTING HISTORY

1. Has the Proposer been awarded any contracts within the last five years by ARRC, the State of Alaska, or any other public entity for the same or reasonably similar goods or services sought by this solicitation? If none, answer "No". If yes, on a separate sheet of paper describe those contracts beginning with the most recent. State the name of the contracting entity; give a brief description of the contract and the contract number, the dollar amount at award and at completion, date completed; state the contract period, the status of the contract, and the name, address, and telephone number of a contact person at the agency. Indicate if award was made to Proposer as prime contractor or joint venture. Proposer need not provide more than three such descriptions.  
YES  NO
2. Has the Proposer been awarded any private sector contracts within the last five years for the same or reasonably similar goods or services sought by this solicitation? If none, answer "No." If yes, on a separate sheet of paper provide the name and address of the contracting entity, a brief description of work, the dollar amount at award and at completion, date completed, status of the contract and name, address and telephone number of contact person as to each, beginning with the most recent. Indicate if Proposer acted as prime contractor or joint venture. Proposers need not provide more than three such descriptions.  
YES  NO

**NOTE: ANY "YES" ANSWERS TO #3 BELOW MUST BE FULLY EXPLAINED ON A SEPARATE SHEET OF PAPER AND ATTACHED TO THIS QUESTIONNAIRE.**

3. In the past five years has the Proposer been the subject of any of the following actions?
- A. Been suspended, debarred, disqualified, or otherwise declared ineligible to bid?  
YES  NO
- B. Failed to complete a contract for a public or private entity?  
YES  NO
- C. Been denied a low-bid contract in spite of being the low bidder?  
YES  NO
- D. Had a contract terminated for any reason, including default?  
YES  NO
- E. Had liquidated damages assessed against it during or after completion of a contract?  
YES  NO
- F. Been a defaulter, as principal, surety or otherwise?  
YES  NO
- G. Been denied an award of a public contract based upon a finding by a public agency that your company was not a responsible contractor?

YES  NO

H. A public entity requested or required enforcement of any of its rights under a surety agreement on the basis of your company's default or in lieu of declaring your company in default?

YES  NO

I. Been denied a performance or payment bond by a surety company?

YES  NO

J. Been required to pay back wages and/or penalties for failure to comply with state or federal prevailing wage or overtime laws?

YES  NO

4. Does Proposer currently possess the financial, organizational, technical, equipment, facilities, and other resources necessary to supply the goods or services sought by this solicitation? If no, on a separate sheet of paper describe how you intend to obtain the resources necessary to supply the goods or services sought by this solicitation.

YES  NO

5. Does Proposer have any present or anticipated commitments and/or contractual obligations that might impact its ability to meet the required delivery or performance requirements of this solicitation? If yes, on a separate sheet of paper describe any apparent conflicts as between the requirements/commitments for this solicitation with respect to the use of Proposer's resources, such as management, technical expertise, financing, facilities, equipment, etc.

YES  NO

#### PART IV-CIVIL ACTIONS

**If "Yes" to Parts IV or V, provide details on a separate sheet of paper including a brief summary of cause(s) of action; indicate if Proposer, its principals, officers or partners were plaintiffs or defendants; define charges explicitly, by what authority, court or jurisdiction, etc. In the case of tax liens, please indicate whether the liens were resolved with the tax authorities. Please submit proof of payment or agreements to pay the liens. Complete details are required!**



1. Violations Of Civil Law. In the past five years has Proposer, any of its principals, officers or partners been the subject of an investigation of any alleged violation of a civil antitrust law, or other federal, state or local civil law?

YES  NO

2. Lawsuits With Public Agencies. At the present time is, or during the past five years has Proposer, any of its principals, officers or partners been a plaintiff or defendant in any lawsuit or arbitration regarding services or goods provided to a public agency?

YES  NO

3. Bankruptcy. During the past five years, has the Proposer filed for bankruptcy or reorganization under the bankruptcy laws?

YES  NO

4. Judgments, Liens And Claims. During the past five years, has the Proposer been the subject of a judgment, lien or claim of \$25,000 or more by a subcontractor or supplier?

YES  NO

5. Tax Liens. During the past five years, has the Proposer been the subject of a tax lien by federal, state or any other tax authority?

YES  NO

**PART V-COMPLIANCE WITH LAWS AND OTHER REGULATIONS**

1. Criminal: In the past five years has the Proposer, any of its principals, officers, or partners been convicted or currently charged with any of the following:

A. Fraud in connection with obtaining, attempting to obtain, or performing a public contract, agreement or transaction?

YES  NO

B. Federal or state antitrust statutes, including price fixing collusion and bid rigging?

YES  NO

C. Embezzlement, theft, forgery, bribery, making false statements, submitting false information, receiving stolen property, or making false claims to any public agency?

YES  NO

D. Misrepresenting minority or disadvantaged business entity status with regard to itself or one of its subcontractors?

YES  NO

E. Non-compliance with the prevailing wage requirements of the State of Alaska or similar laws of any other state?

YES  NO

F. Violation of any law, regulation or agreement relating to a conflict of interest with respect to a government funded procurement?

YES  NO

G. Falsification, concealment, withholding and/or destruction of records relating to a public agreement or transaction?

YES  NO

H. Violation of a statutory or regulatory provision or requirement applicable to a public or private agreement or transaction?

YES  NO

I. Do any principals, officers or partners in Proposer's company have any felony charges pending against them that were filed either before, during, or after their employment with the Proposer?  
YES  NO

2. Regulatory Compliance. In the past five years, has Proposer or any of its principals, officers or partners:

A. Been cited for a violation of any labor law or regulation, including, but not limited to, child labor violations, failure to pay correct wages, failure to pay into a trust account, failure to remit or pay withheld taxes to tax authorities or unemployment insurance tax delinquencies?  
YES  NO

B. Been cited and assessed penalties for an OSHA or Alaska/OSHA "serious violation"?  
YES  NO

C. Been cited for a violation of federal, state or local environmental laws or regulations?  
YES  NO

D. Failed to comply with Alaska corporate registration, federal, state or local licensing requirements?  
YES  NO

E. Had its corporate status, business entity's license or any professional certification, suspended, revoked, or had otherwise been prohibited from doing business in the State of Alaska?  
YES  NO

#### **PART VI-FINANCIAL**

**Copies of the following documents are to be submitted with this Questionnaire:**

1. Proposer's current Alaska Business License, if required by state law.
2. Proposer's Financial Statements (see specific requirements below):

A. PUBLICLY TRADED COMPANIES: Financial information will be accessed on-line. However, if additional information is needed, it will be specifically requested from the Proposer.

B. NON-PUBLICLY TRADED COMPANIES WITH AUDITED OR REVIEWED FINANCIAL STATEMENTS: Statements, including balance sheet, statement of earnings and retained income, with footnotes, for the most recent three years may be requested.

**NOTE: ARRC reserves the right to ask for additional documentation if it is reasonably required to make a determination of integrity and responsibility relevant to the goods or services the Proposer will provide to ARRC if awarded a contract. All financial information provided is considered confidential and not subject to public disclosure under Alaska law.**

**PART VII -VERIFICATION AND ACKNOWLEDGMENT**

The undersigned recognizes that the information submitted in the questionnaire herein is for the express purpose of inducing ARRC to award a contract, or to allow Proposer to participate in ARRC projects as contractor, subcontractor, vendor, supplier, or consultant. The undersigned has read and understands the instructions for completing this Questionnaire.

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

I, (printed name) \_\_\_\_\_, being first duly sworn, state that I am the (title) \_\_\_\_\_ of Proposer. I certify that I have read and understood the questions contained in the attached Questionnaire, and that to the best of my knowledge and belief all information contained herein and submitted concurrently or in supplemental documents with this Questionnaire is complete, current, and true. I further acknowledge that any false, deceptive or fraudulent statements on the Questionnaire will result in denial or termination of a contract.

I authorize ARRC to contact any entity named herein, or any other internal or outside resource, for the purpose of verifying information provided in the Questionnaire or to develop other information deemed relevant by ARRC.

\_\_\_\_\_  
Signature of Certifying Individual \_\_\_\_\_ Date

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
Signature of Notary  
Notary Public in and for the State of \_\_\_\_\_  
My Commission Expires: \_\_\_\_\_

**NOTICE TO PROPOSERS**

**A material false statement, omission or fraudulent inducement made in connection with this Questionnaire is sufficient cause for denial of a contract award or revocation of a prior contract award, thereby precluding the Proposer from doing business with, or performing work for ARRC, either as a vendor, prime contractor, subcontractor, consultant or subconsultant for a period of five years. In addition, such false submission may subject the person and/or entity making the false statement to criminal charges under applicable state and/or federal law.**



## APPENDIX E

### REQUIRED CONTRACT PROVISIONS FOR FEDERAL-AID CONTRACTS (Less than \$100,000)

Current Required Federal-Aid Contract Provisions can be found at:

<https://www.alaskarailroad.com/sites/default/files/procurement/FederalTermsConditionsUnder100K.pdf>

## APPENDIX F

### GENERAL TERMS AND CONDITIONS (General Service Contracts)

Current General Services Terms and Conditions can be found at:

[https://www.alaskarailroad.com/sites/default/files/akrr\\_pdfs/General Terms and Conditions General Service 4-29-08.pdf](https://www.alaskarailroad.com/sites/default/files/akrr_pdfs/General_Terms_and_Conditions_General_Service_4-29-08.pdf)

**APPENDIX H**

**COST SCHEDULE  
ARR205 Passenger Coach Truck Inspection and Rebuild  
RFQ No. 23-57-211020**

COST SCHEDULE: A Bidder's failure to provide the information requested in this Appendix may be cause for rejection of the bid on the basis on non-responsiveness. Cost shall be bid in accordance to all specifications in Appendix D, and Statement of Work in Appendix C.

All prices quoted must be F.O.B. ARRC Anchorage Car Shop.

<b>Description</b>	<b>Qty</b>	<b>Price per set</b>
ARRC Passenger Coach 205 Truck Rebuild Support (Each set consists of 2 trucks per Coach)		
Transportation (Between ARRC Carshop & contractors shop)	1 set	\$ _____
Sandblast and visual inspection	1 set	\$ _____
Dye penetrant testing	1 set	\$ _____
Paint	1 set	\$ _____
<b>Grand Total Bid</b>		\$ _____ <b>Lump Sum</b>

AWARD CRITERIA: A contract award resulting from this solicitation shall be made to the low, responsive, responsible bidder who meets the requirements as set forth in the plans and specifications and compliance thereof. The successful bidder shall hold unit prices of all additives firm for a period of thirty (30) days from the date of bid opening. Award is contingent on the availability of ARRC funds.

The Undersigned has read the foregoing solicitation and hereby agrees to the terms and conditions stated therein by affixing his/her signature below.

**NON-COLLUSION AFFIDAVIT**: The Undersigned declares, under penalty of perjury under the laws of the United States, that neither he/she nor the firm, association, or corporation of which he/she is a member, has, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with this Bid.

BIDDERS NAME AND ADDRESS

\_\_\_\_\_  
COMPANY NAME

\_\_\_\_\_  
SIGNATURE BY AND FOR THE BIDDER

\_\_\_\_\_  
COMPANY ADDRESS

\_\_\_\_\_  
PRINTED NAME OF ABOVE BIDDER

\_\_\_\_\_  
DATE OF BID

\_\_\_\_\_  
CONTACT PHONE NUMBER

\_\_\_\_\_  
CONTACT FAX NUMBER