



Uyghur Forced Labor Prevention Act (UFLPA) Data Dictionary

Purpose: The Uyghur Forced Labor Prevention Action (UFLPA) Data Dictionary provides a “how to” guide to assist in the use of this dashboard. This document also provides insight into how users should read and interpret the data elements in the UFPLA Statistics Dashboard.

Overview: The UFLPA Statistics Dashboard only includes data related to enforcement of the UFLPA. The dashboard does not include any data on other forced labor areas enforced by U.S. Customs and Border Protection (CBP), such as withhold release orders or findings pursuant to 19 U.S.C. § 1307 and 19 C.F.R Part 12 and Countering America’s Adversaries Through Sanctions Act (CAATSA) pursuant to 22 U.S.C § 9241a. The start date of the data in this dashboard is June 21, 2022, the date upon which CBP began UFLPA implementation. CBP will update the dashboard data on a monthly basis.

CBP has provided this data in the form of aggregated numbers and values in order to protect entities associated with its law enforcement investigations and actions, as well as other law enforcement sensitive information. Pursuant to existing law, regulation, and policy, CBP is not authorized to disclose specific company or commodity information (*see* Trade Secrets Act, 18 U.S.C. 1905); business confidential information (*see* 19 C.F.R. 201.6); or information concerning active law enforcement actions, investigations, or pending cases (*see* 19 C.F.R. 103.32). Additionally, such trade secret and law enforcement sensitive information are exempt from disclosure under the Freedom of Information Act (FOIA) (*see e.g.*, 5 U.S.C. § 552, Exemptions 4 and 7).

The Forced Labor Enforcement Taskforce (FLETF) has identified tomatoes, cotton, and polysilicon-based products as high-priority sectors for enforcement within the UFLPA Strategy Document issued June 17, 2022. CBP, however, continually uses a risk-based methodology to enforce the UFLPA. CBP focuses on entities involved in the production and/or exportation of goods, wares, articles, and merchandise mined, produced, or manufactured wholly or in part in the Xinjiang Uyghur Autonomous Region (XUAR); entities affiliated with the Xinjiang Production & Construction Corps; or by entities on the UFLPA Entity List; as well as goods produced in third countries or shipped through third countries, if they contain inputs mined, produced, or manufactured in XUAR or by an entity on the UFLPA Entity List.

Due to the complexity of supply chains and risk factors, some industries may be considered high-risk and become subject to UFLPA enforcement actions, more so than other industries considered to be at lower risk. CBP employs a dynamic, risk-based approach to enforcement that prioritizes actions against the highest-risk entities based on an ever-changing data and intelligence environment to prevent goods made, wholly or in part, with forced labor from entering U.S. commerce. CBP’s ability to focus on high-risk shipments enables the agency to continue to facilitate legitimate trade and enforce the UFLPA.



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How to use the UFLPA Statistics Dashboard and interpret data

The main page will always show the latest information on UFLPA enforcement actions, by fiscal year and month (Figure 1). The source of the data and 'current as of date' of the data are provided at the bottom of the dashboard. The data and examples in this data dictionary are based on data as of May 29, 2023.



U.S. Customs and Border Protection

U.S. Customs and Border Protection (CBP)
Uyghur Forced Labor Prevention Act Enforcement Statistics
 June FY2022 to FY2023 to date

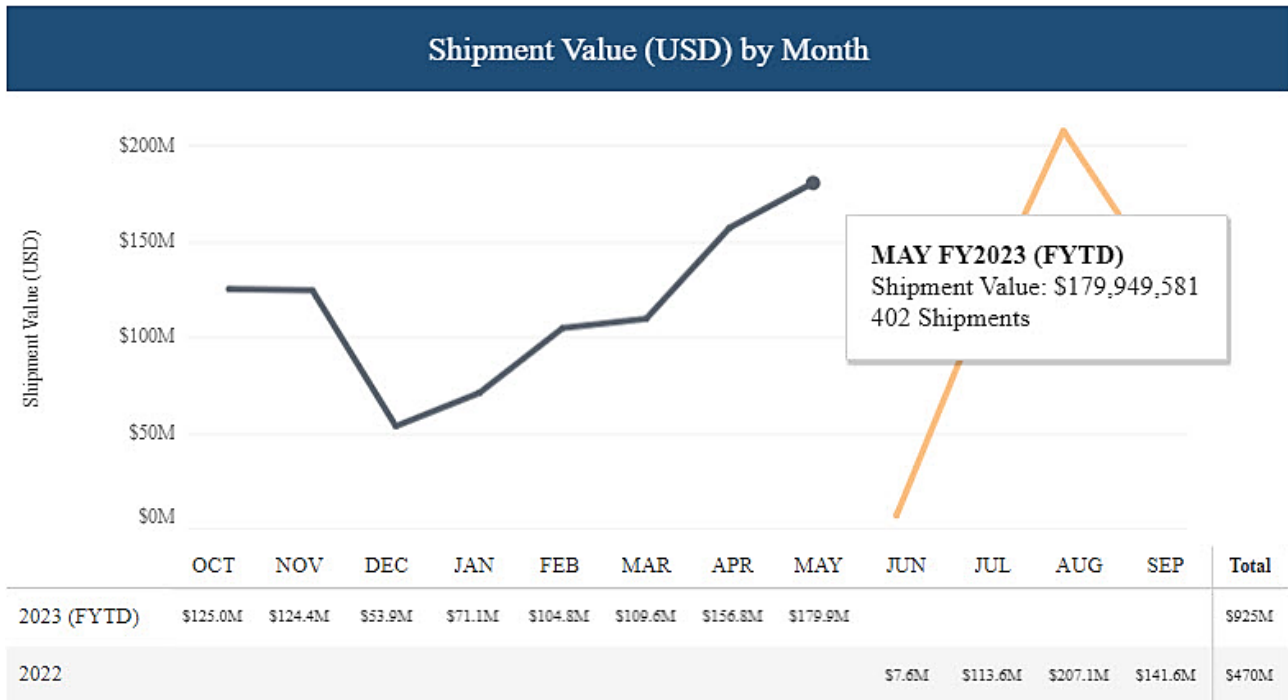
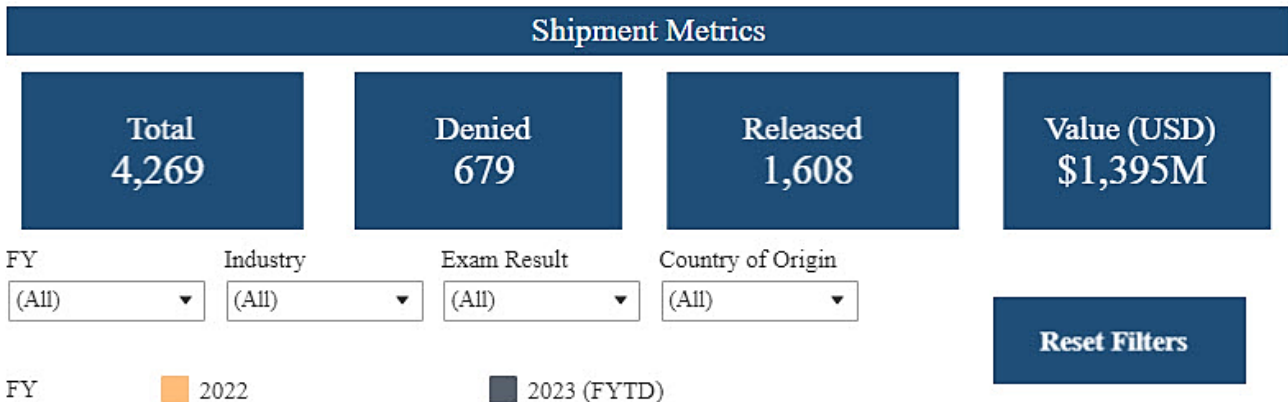


Figure 1



Uyghur Forced Labor Prevention Act (UFLPA) Data Dictionary

Figure 1 shows the cumulative statistics from the UFLPA implementation date of June 21, 2022, to May 29, 2023. In this time period, CBP stopped 4,269 shipments, valued at \$1.39 billion, which were subjected to UFLPA reviews or enforcement actions. CBP denied a total of 679 shipments and released 1,608 shipments into the U.S commerce. The remaining shipments are pending a determination. The Shipment Value (USD) by month line chart shows the aggregate value of shipments per month.

The shipment data is further broken down by shipment count and exam result by industry as shown in Figure 2. On this chart, the shipment data shows that Electronics; Apparel, Footwear, and Textiles; and Industrial and Manufacturing Materials are the top three industries impacted by CBP’s UFLPA enforcement actions. CBP released a total of 1,608 shipments into the U.S. commerce, denied entry for 679 shipments, and 1,985 shipments are pending actions by importers or CBP. If users hover over the doughnut chart for each of these categories, the chart will show the value, as well as the percentage, as it relates to exam results. In this example, 38% of the 4,269 shipments stopped for UFLPA reviews or enforcement actions were released, and the total value of these released shipments was over \$643 million. Note, percentages provided in the hover text box are Total Shipments Exam Result divided by Total Shipments by Count. It is not a reflection of the targeting percentage or rate.

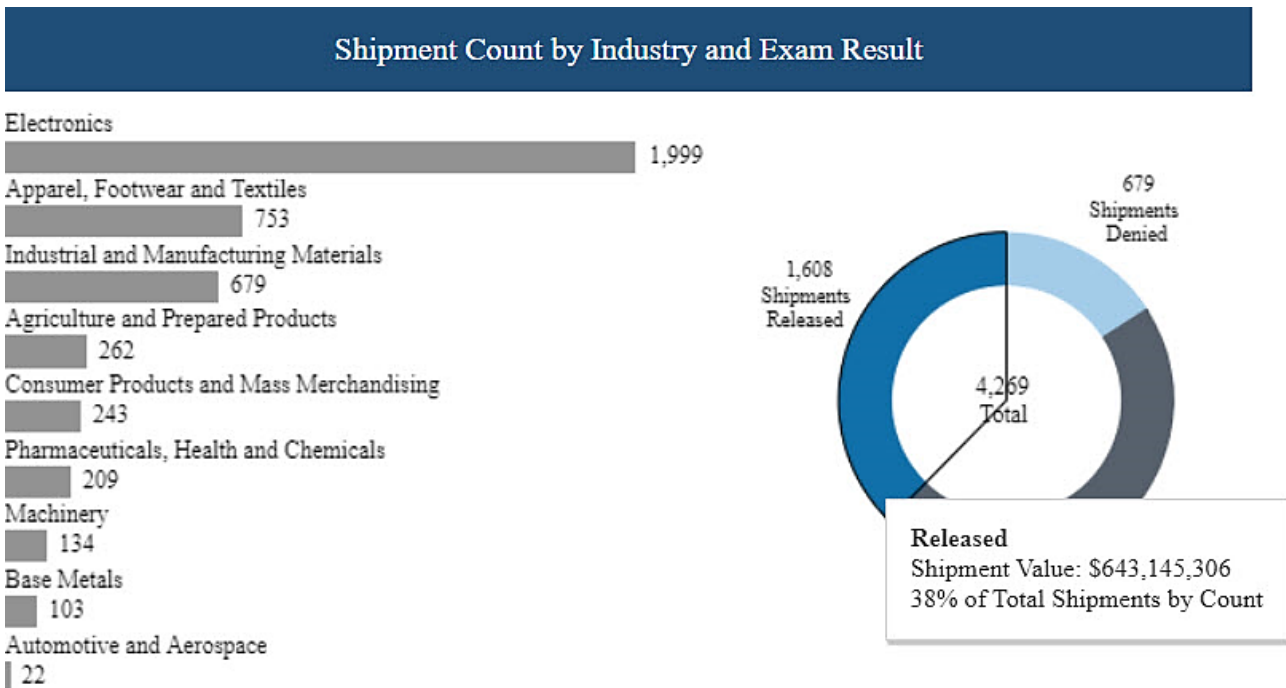


Figure 2

The bottom of the dashboard will show the top five countries of origin for shipments subjected to UFLPA enforcement actions (Figure 3). In this example, Malaysia, Vietnam, China, Thailand, and All Other are the top five impacted countries. Additionally, users can hover over a specific country’s bar in the chart to see the number of shipments, value, and percentage of total shipment by value for each country.



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Shipment Value (USD) by Country of Origin

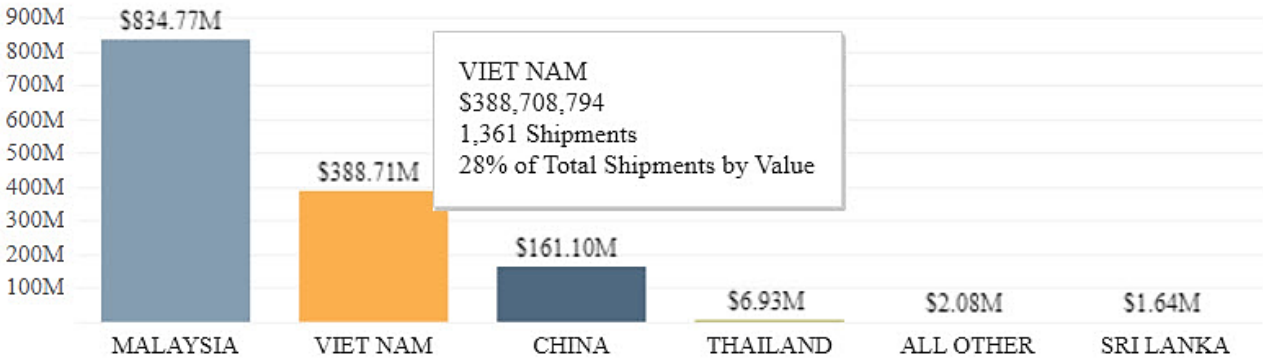


Figure 3

Interactive Data Filtering

The UFLPA Statistics Dashboard provides dropdown selections for Fiscal Year, Industry, Exam Result, and Country of Origin to further filter the data. For instance, when the fiscal year filter is used, the entire dashboard data will change to reflect the information for the fiscal year selected. Users can also apply filters to industry, exam results, and country of origin and can select multiple filters at the same time.

Additionally, the dashboard allows users to select different chart elements to filter the data and produce metrics on their selections. For example, if a user clicks on a specific month on the line graph in the Shipment Value (USD) by Month section, the entire dashboard data will change to reflect UFLPA enforcement actions for that particular month with shipment metrics, shipment value, status, as well as industry breakdown. A user can do the same and click on a country-of-origin name, the entire dashboard data view will change to reflect UFLPA enforcement actions for the particular country with value, shipment value, and status as well as industry breakdown.

To select multiple elements in the same graph (e.g., countries of origin), users can hold down the Control key and click each element. Users can click on the 'Reset Filters' button at any time to return to the default dashboard.

Figures 4-6 show the results when the Country-of-Origin China is selected:



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Shipment Value (USD) by Country of Origin

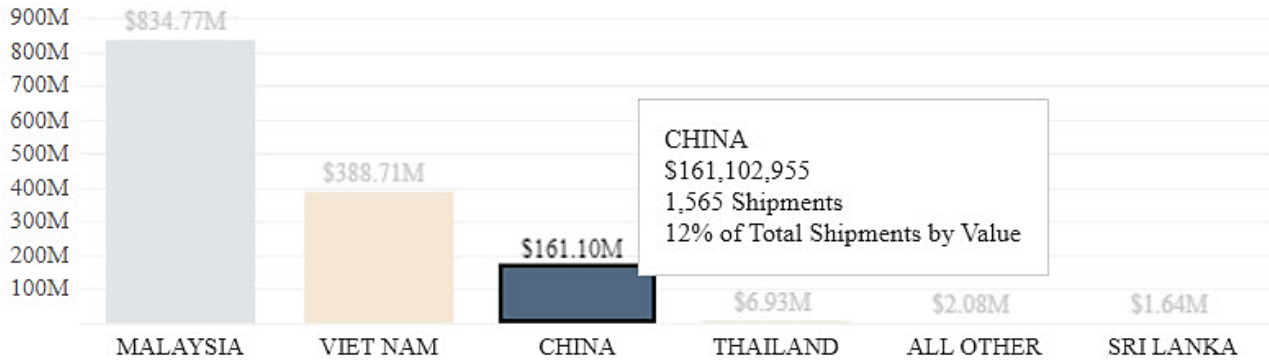


Figure 4

Shipment Metrics



FY: (All) Industry: (All) Exam Result: (All) Country of Origin: CHINA

Reset Filters

FY: 2022 2023 (FYTD)

Shipment Value (USD) by Month



	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Total
2023 (FYTD)	\$14.5M	\$7.0M	\$18.9M	\$20.5M	\$11.4M	\$13.5M	\$24.3M	\$35.7M					\$146M
2022									\$0.2M	\$3.3M	\$6.8M	\$5.0M	\$15M

Figure 5



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Shipment Count by Industry and Exam Result

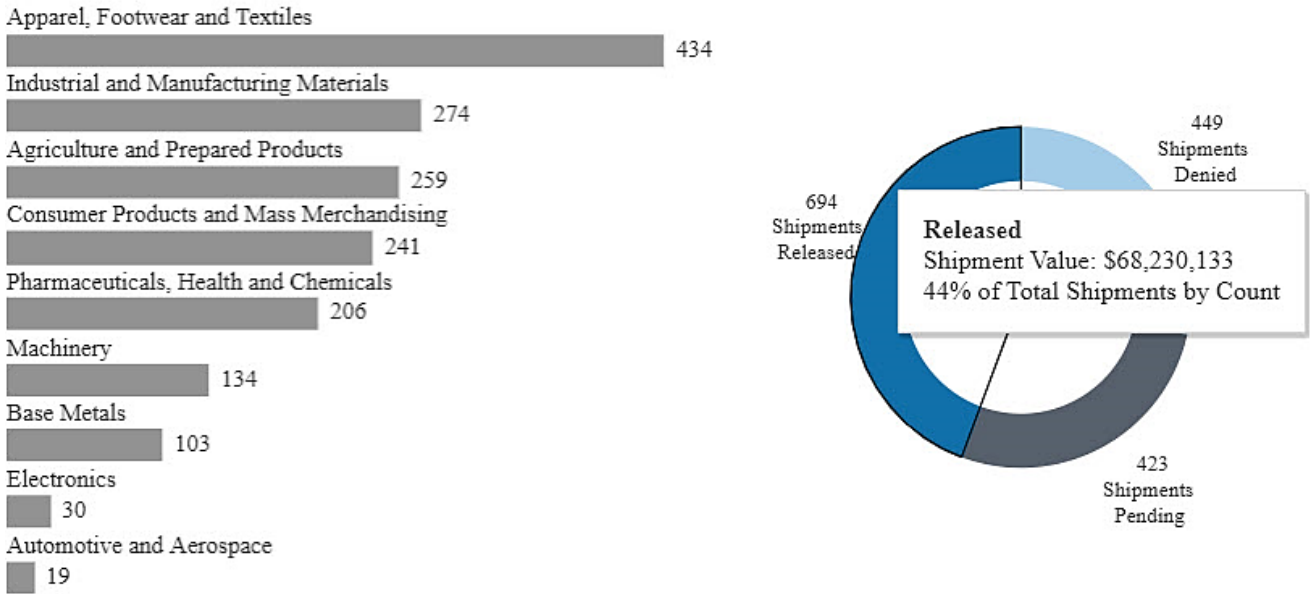


Figure 6

For the above example, there were 1,565 shipments valued at \$161 million that were subjected to UFLPA enforcement actions from China since the implementation of UFLPA. CBP denied entry for 449 shipments and released 694 shipments valued at over \$68.2 million or 44% of the China shipments stopped for UFLPA enforcement actions.



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Data Dictionary

1. Data Source: Automated Commercial Environment and Automated Targeting System
2. Data Elements:

Data Elements	Description/Definition
Country	Declared country of origin of product in a shipment.
Denied	Shipments that were seized, excluded, exported, or destroyed. These shipments did not enter the U.S. commerce.
Enforcement Actions	CBP enforcement actions under UFLPA, for purposes of this dashboard, are “examination,” “detention,” and “denied entry.”
Examination	Physical cargo exam or document exam.
Fiscal Year (FY)	The Fiscal Year is October 1 to September 30. Fiscal year in the dashboard is based on cargo arrival date into the United States (U.S.).
Harmonized Tariff Schedule of the United States (HTSUS)	Merchandise arriving at a U.S. port of entry is classified into a tariff schedule number. This number is reported to CBP to determine the product and proper duty rate. For more information on the classification of merchandise, consult the Harmonized Tariff Information page at the U.S. International Trade Commission , which contains information on all HTS numbers as well as tariff classification guidelines that explain how to properly classify merchandise.
Industry	Industry identification is based on the Harmonized Tariff Schedule classification at the chapter level. For industry breakdown, please see the Industry table below.
Pending	Shipments pending importer action such as providing documentation to support applicability or exception review or pending CBP review/decision.
Released	Shipments cleared by CBP and entered into the U.S. commerce.
Shipment	A shipment is defined as the totality of goods subjected to UFLPA review on one CBP cargo release entry. When a CBP cargo release entry is not present or required on an import transaction, a shipment is the totality of goods on one bill (bill of lading or airway bill). For example, an entry was filed for a shipment with 10 containers. This is counted as one shipment. A shipment arrived by air and no entry was filed, it was cleared on one airway bill, this is counted as one shipment.
Shipment Value	Combined value declared at entry for Total Shipments.
Total Shipments	Total number of shipments stopped by CBP for UFLPA reviews or enforcement actions.
UFLPA Data Extraction	The data extracted for this dashboard starts on June 21, 2022, to the last full fiscal year quarter.



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Industry	Primary HTS Chapters	Examples
Agriculture and Prepared Products	1 – 24	Agriculture, aquaculture, animal products, vegetable products, prepared foods, beverages, alcohol, and tobacco
Apparel, Footwear and Textiles	50 – 65	Wearing apparel, footwear, textile mill products such as beddings and fabrics
Automotive and Aerospace	86 – 87	Automotive, aerospace, or other transportation equipment and related parts
Base Metals	72 – 81	Steel, steel mill products, ferrous and nonferrous metal, aluminum
Consumer Products and Mass Merchandising	49, 66, 67, 91, 92, 94 - 97	Household goods, consumer products, or similar industries and mass merchandisers of products typically sold for home use
Electronics	Part of 84, 85	Solar products, information technology, integrated circuits, automated data processing equipment, and consumer electronics
Industrial and Manufacturing Materials	25, 39 - 48, 68 – 71	Plastics, polymers, rubber, leather, wood, paper, stone, glass, precious stones, and precious metals
Machinery	82, Part of 84	Tools, machine tools, production equipment, instruments
Petroleum, Natural Gas and Minerals	26 – 27	Petroleum, natural gas, petroleum related products, minerals, and mining industries
Pharmaceuticals, Health and Chemicals	28 – 38	Pharmaceuticals, health-related equipment, and products of the chemical and allied industries



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Examples of UFLPA Statistics Dashboard Questions

How many shipments were detained by CBP for solar panels in FY 2022?

Solar panels are classified in chapters 84 and 85 and fall within the Electronics industry. Note, however, that the Electronics industry encompasses more than just solar panels. To answer this question, select the Fiscal Year filter for 2022, then select the Industry filter for Electronics and click on Apply. Your results should look like Figures 7- 9 below.

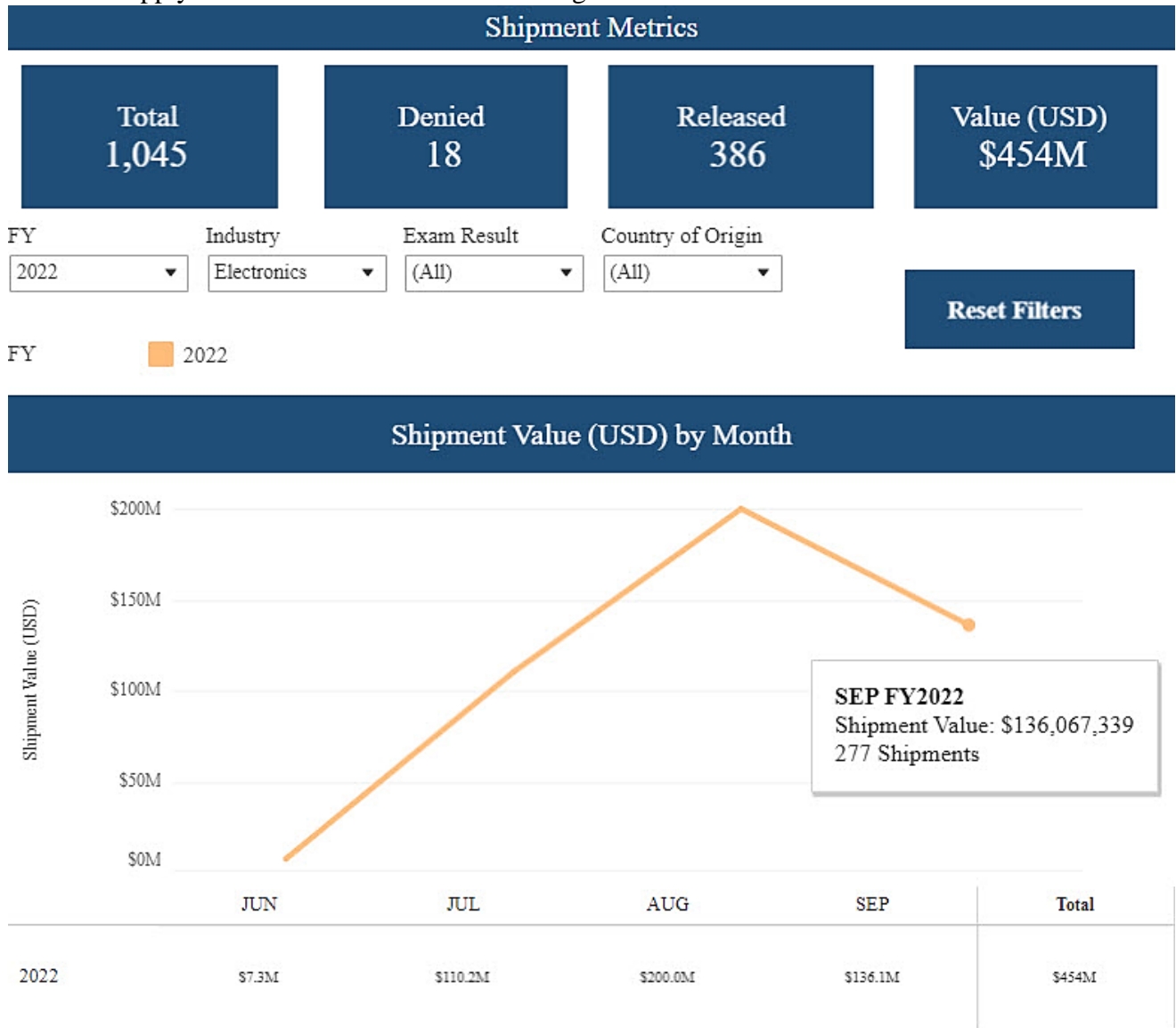


Figure 7



Uyghur Forced Labor Prevention Act (UFLPA) Data Dictionary

Shipment Count by Industry and Exam Result

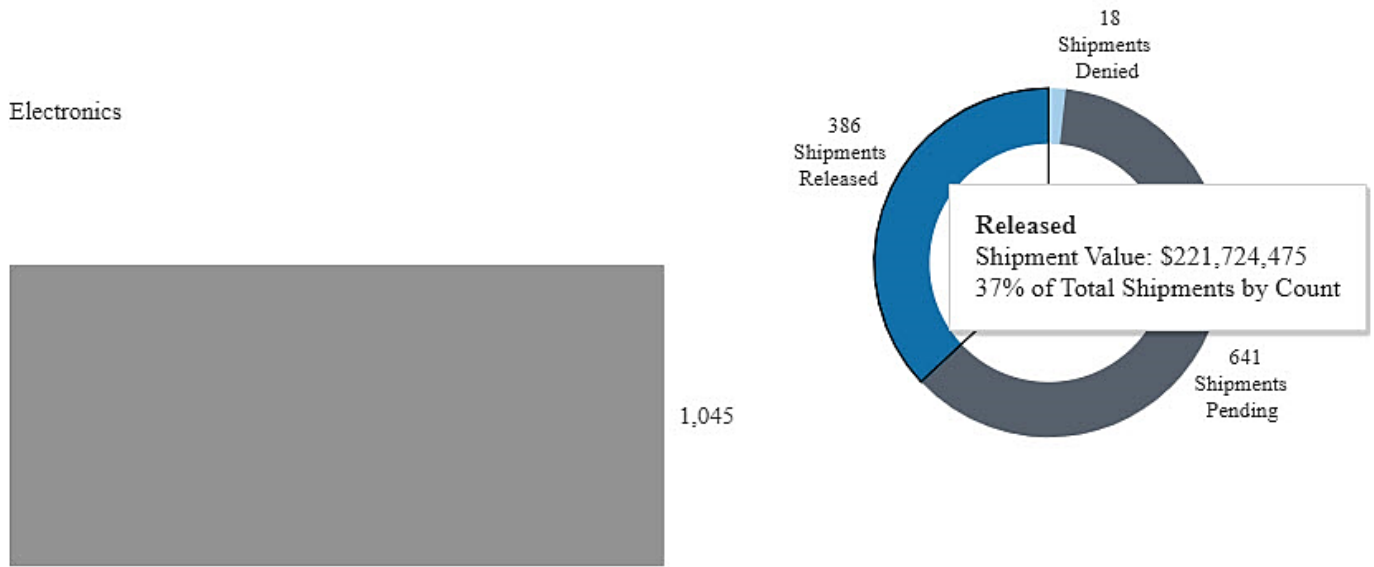


Figure 8

Shipment Value (USD) by Country of Origin

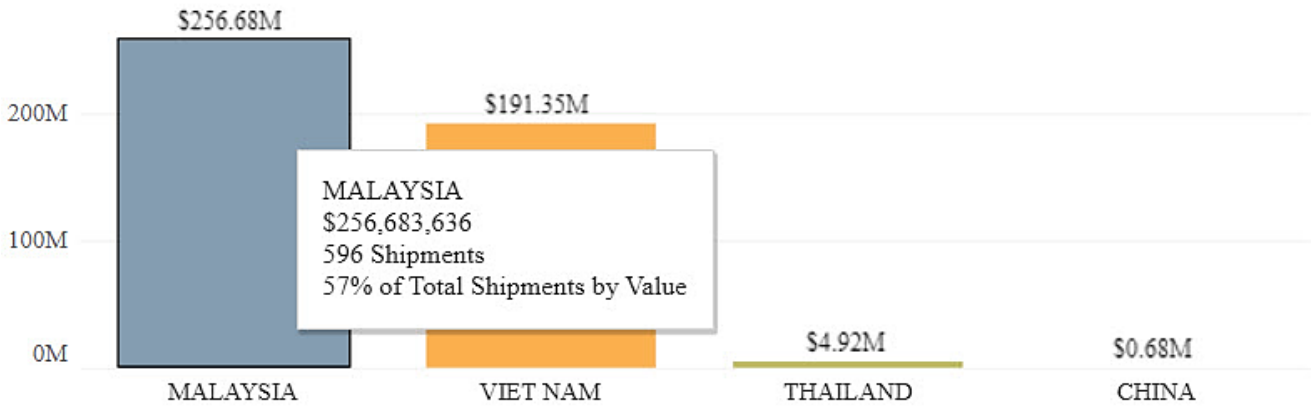


Figure 9

For this example, there were 1,045 shipments valued at over \$454 million in the Electronics industry that were subjected to UFLPA enforcement actions. CBP released a total of 386



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shipments valued at over \$221 million, or 37% of the shipments stopped for UFLPA enforcement actions were released into the U.S. commerce. There were 18 shipments denied and 641 shipments are pending actions by the importers or CBP. The main countries of origin for these shipments were Malaysia, Vietnam, Thailand, and China.

How many shipments of wearing apparel from China were subjected to UFLPA this fiscal year and what is the associated value?

To answer this question, select Fiscal Year filter for 2023 (FYTD), then select the Industry filter for Apparel, Footwear, Textiles and click on Apply. Hover over the result in the country-of-origin section for China or select China as the country of origin to see total statistics displayed at the top of the dashboard. For this example, as shown in Figure 10, there were 303 shipments valued at over \$14 million subjected to UFLPA enforcement actions from China in FY 2023 (FYTD).

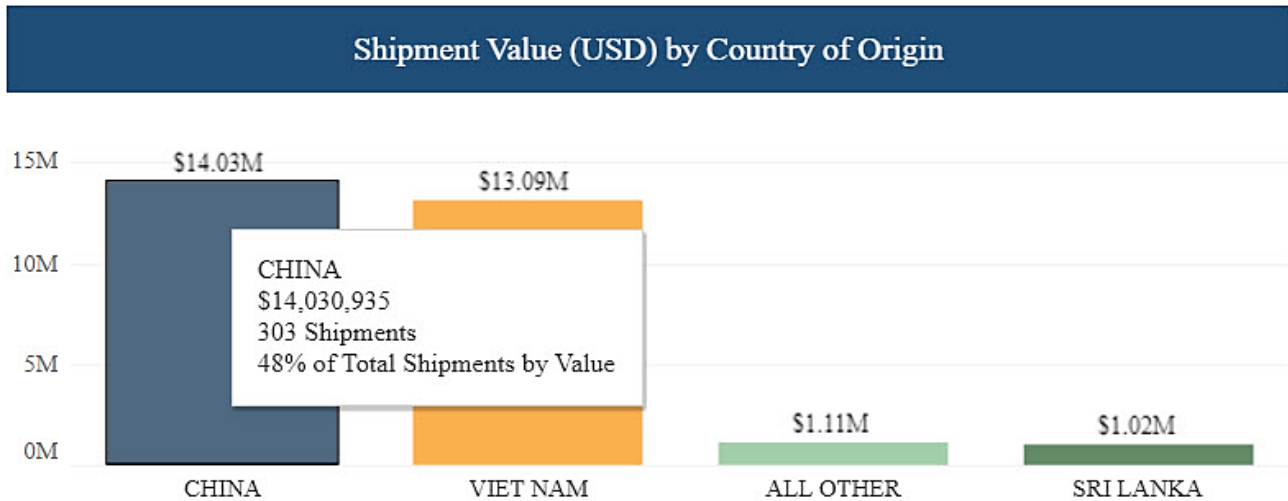


Figure 10



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How do I tell what sector is being stopped by CBP for UFLPA?

CBP approaches UFLPA enforcement based on high-risk entities basis, rather than industry or commodity basis. The data in this dashboard is available on a quarterly basis by industry; not specific to a commodity. To see what industries were impacted by the UFLPA in FY 2023, select Fiscal Year filter for 2023 (FYTD), then look at the industry section. Your screen should look like Figures 11 -12 below.

Shipment Count by Industry and Exam Result

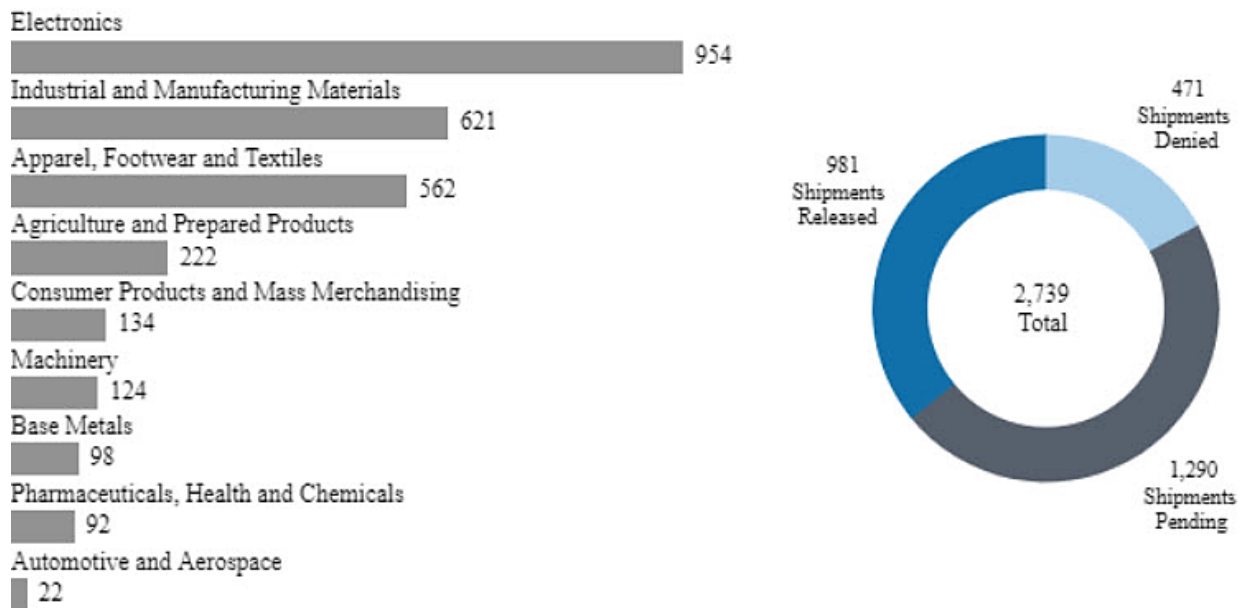


Figure 11

Shipment Value (USD) by Country of Origin

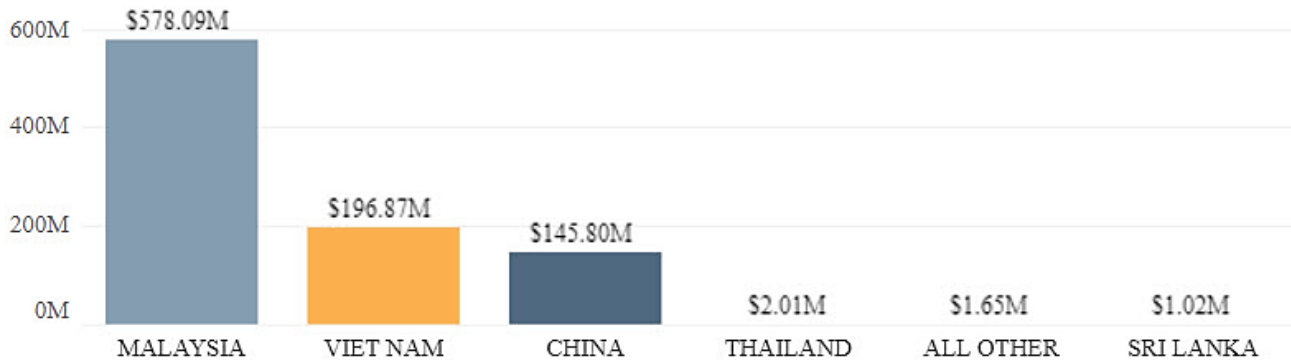


Figure 12



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For this example, the top three industries impacted by UFLPA enforcement actions in FY 2023 (FYTD) were Electronics; Industrial and Manufacturing Materials; and Apparel, Footwear, and Textile industries. The top five countries of origin impacted by UFLPA enforcement actions were Malaysia, Vietnam, China, Thailand, and All Other.