

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

STATE OF ALASKA
DEPARTMENT OF COMMERCE, COMMUNITY AND ECONOMIC
DEVELOPMENT

DIVISION OF INSURANCE
550 W. 7th AVENUE, SUITE 1560
ANCHORAGE, ALASKA 99501-3567

STATE OF ALASKA
DEPARTMENT OF COMMERCE, COMMUNITY AND ECONOMIC
DEVELOPMENT
DIVISION OF INSURANCE
550 W. 7th AVENUE, SUITE 1560
ANCHORAGE, ALASKA 99513-3567
PHONE: (907) 269-7900

Order No. SR24-24)
In the Matter of)
DAVID C. MILLER)
NPN# 415365)
_____)

Revocation of Insurance
Producer License Pursuant
to AS 21.27.440

ACCUSATION AND ORDER OF REVOCATION

1. On August 7, 2017, **DAVID C. MILLER (MILLER)**, domiciled in the State of New York applied for Insurance Producer License with the Alaska Department of Commerce, Community and Economic Development, Division of Insurance (Division) to transact the business of Life, Health, Variable Life, and Variable Annuity insurance. The Division issued license number 100147156 and has an expiration date of May 31 on odd numbered years.
2. On March 4, April 5, and May 3, 2023, the Division provided **MILLER** renewal notices informing of the impending expiration date of May 31, 2023.

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- 1 3. On June 1, 2023, a renewal application was received from **MILLER** and
2 the application was automatically processed.
- 3
4 4. The Division received notice from National Insurance Producer Registry
5 (NIPR) with an attached transaction log indicating **MILLER** has unsettled
6 payment of \$75.00 for State licensing fee and \$5.60 for transaction fee
7 totaling \$80.60 in unpaid license fees.
- 8
9 5. On February 12, 2024, the Division sent notice to **MILLER** informing
10 him of his unpaid license fees and gave **MILLER** until March 1, 2024, to
11 respond. No payment was received by the Division.
- 12
13 6. On April 18, 2024, the Division provided **MILLER** a second notice
14 informing of the unpaid license fees, his failure to respond, along with
15 notice a revocation is in order. No response was received.
- 16
17 7. Pursuant to Alaska Statute (AS) 21.27.040 which states that "(f) If,
18 through inaction, an applicant fails to complete the application process,
19 the applicant's application filed with the director under (a) of this section
20 is considered withdrawn..."
- 21
22 8. AS 21.27.410(a)(8) dictates, "the conduct of affairs under a license if the
23 licensee exhibits conduct considered by the director to reflect
24 incompetence or untrustworthiness, or to be a source of potential injury
25 and loss to the public;"
- 26
27 9. AS 21.27.010(a) also states in part that "...a person may not act as or
28 represent to be an insurance producer, managing general agent, or surplus

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lines broker in this state or relative to a subject resident, located, or to be performed in this state unless licensed under this chapter.”

10. Further, AS 21.27.440(a) provides that “in addition to any other penalties provided by law, a person that the director of the division (director) determines under AS 21.06.170-21.06.240 has violated the provisions of this chapter is subject to (1) a civil penalty equal to the compensation promised, paid, or to be paid, directly or indirectly, to a person in regard to each violation; (2) either a civil penalty of not more than \$10,000 for each violation or a civil penalty of not more than \$25,000 for each violation if the director determines that the person willfully violated the provisions of this chapter; and (3) denial, nonrenewal, suspension, or revocation of a license.”

IT IS HEREBY ORDERED, under the provisions of AS 21.27.440, that **MILLER’s** Insurance Producer license number 100147156 is REVOKED for a period of one year. **MILLER** is still subject to civil penalties for future reinstatement.

This Order is effective dated this 7th day of June 2024.

DocuSigned by:
Lori Wing-Heier

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LORI WING-HEIER, DIRECTOR
DIVISION OF INSURANCE

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I hereby certify that, on the 12th day of June, 2024, I mailed copies of the accusation to:

DAVID C. MILLER
CALTON AND ASSOCIATES
61 BROADWAY SUITE 2125
NEW YORK, NEW YORK 10006
United States

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FINAL ORDER

IT IS ORDERED that this license revocation is adopted in full resolution of the issues in the case and shall constitute the final order of this matter. **DAVID C. MILLER's** licensure in the State of Alaska is REVOKED for one year following the effective date of this order.

This Order is effective the 15th of July 2024.

DocuSigned by:
Lori Wing-Heier
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LORI WING-HEIER, DIRECTOR
DIVISION OF INSURANCE

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