

# ENGAGING, ENABLING AND EMPOWERING PEOPLE

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THE SAVINGS POTENTIAL OF BEHAVIORAL  
STRATEGIES & ENABLING TECHNOLOGIES

JUNE 26, 2017

NAVIGANT

# COMMON PERSPECTIVE ON THE ROLE OF PEOPLE



*Buildings would work perfectly if it weren't for the people in them.*

*--Anonymous, ACEEE Conference, circa 1993*

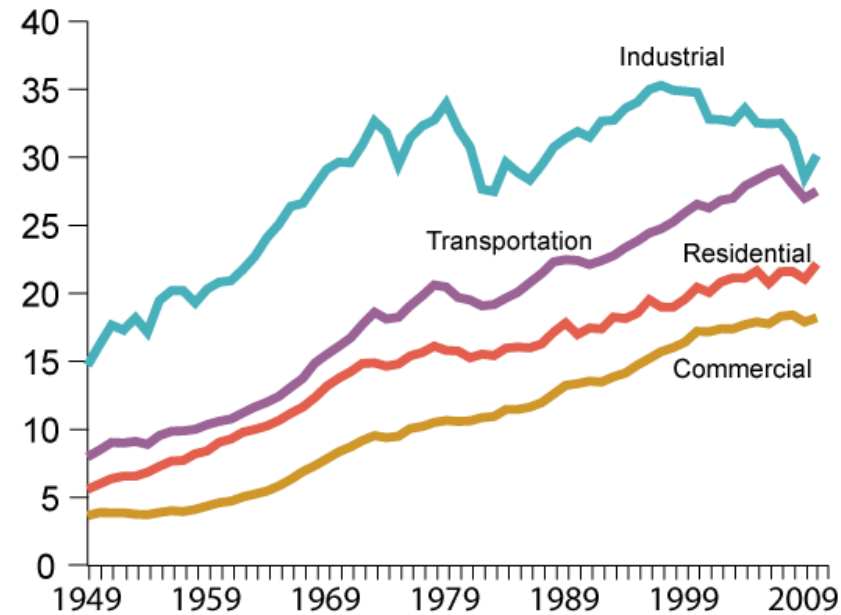


People as problem OR  
People as solution?

# TRENDS IN U.S. ENERGY CONSUMPTION

## Energy Consumption by Sector, 1949-2010

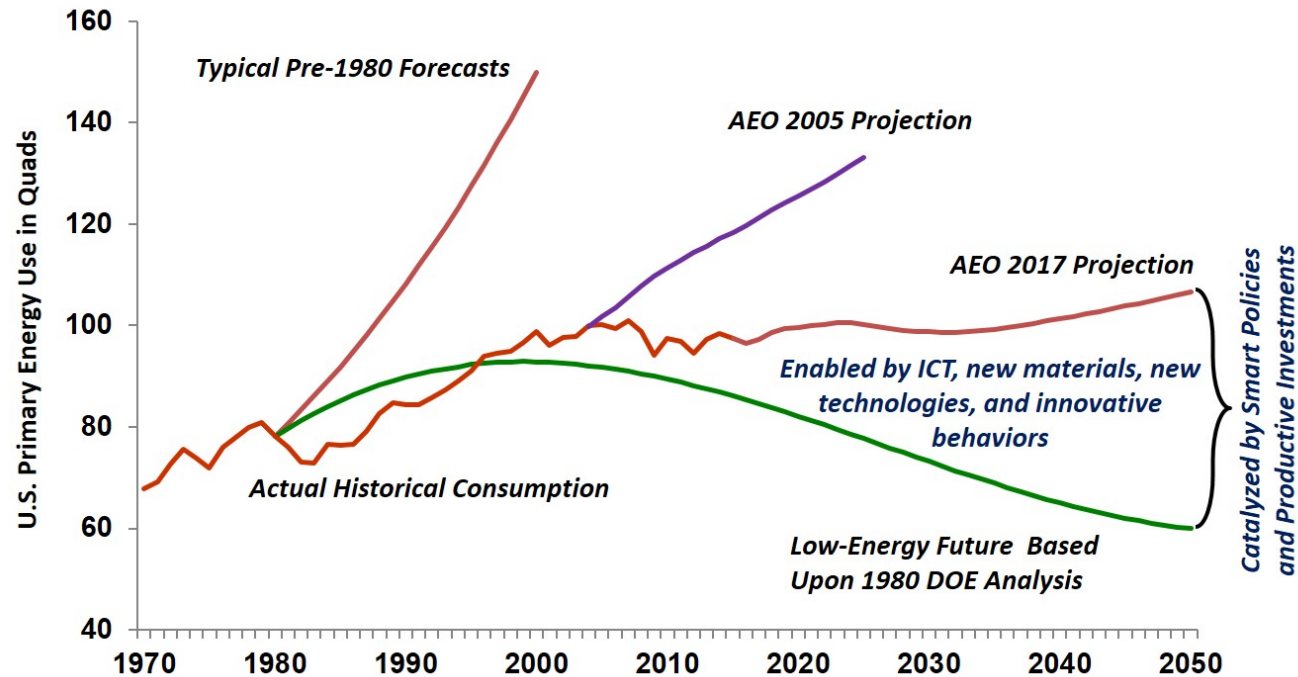
Quadrillion Btu



Source: U.S. Energy Information Administration, *Annual Energy Review 2009*, Table 2.1a, and Monthly Energy Review (June 2011), preliminary 2010 data.

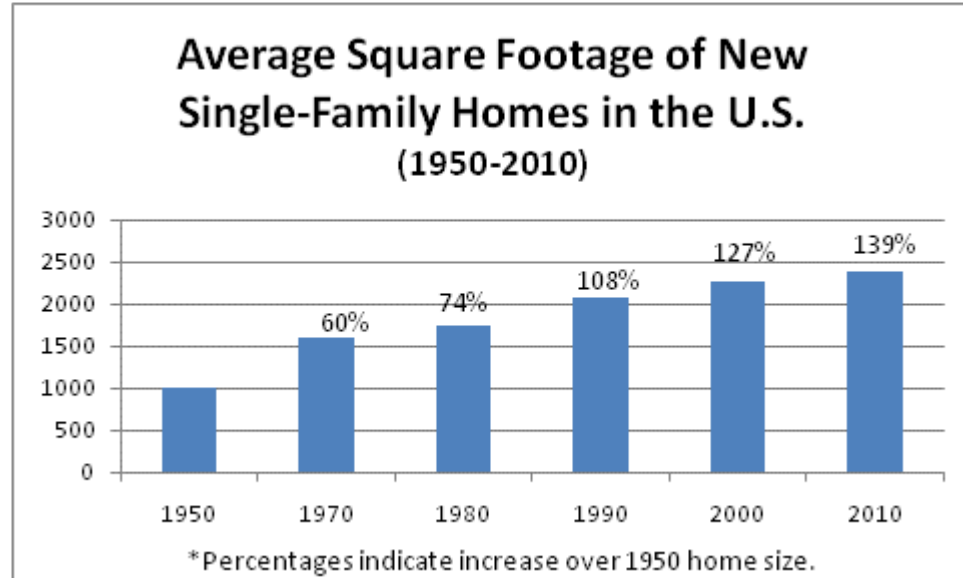
# THE IMPACT OF ENERGY EFFICIENCY

## Key Insight: The Energy Efficiency Resource Is Larger than Generally Believed or Understood



Sources: DOE 1980 Policy Analysis, AEO 2005, AEO 2017, and Laitner estimates 2017.

# CULTURE AND COUNTERVAILING TRENDS



## Patterns & Trends:

- Increased energy efficiency
- Invisible energy resources
- Culture of consumption



# THE INFLUENCE OF BEHAVIOR: THREE EXAMPLES



- Studies of nearly identical units , occupied by demographically similar families, have reported large (e .g. 200-300%) variations in energy use. (see Lutzenhiser 1993)
- Non-LEED schools have outperformed LEED buildings as a result of occupant behavior. (Schelly and Cross 2010)
- Standard military housing units used less energy than upgraded units. (Andres and Loudermilk 2010)

# A STORY OF TWO SCHOOLS

**Table 1. Annual Electricity Use (kWh/ft<sup>2</sup>) and Percentage Decreases by High School and Fiscal Year<sup>a</sup>**

Fiscal Year	Rocky Mountain High School			Poudre High School			FCHS			Fossil Ridge High School LEED School <sup>b</sup>		
	kWh/ft <sup>2</sup>	Percentage Decrease Year-to-Year	Percentage Decrease from 2000	kWh/ft <sup>2</sup>	Percentage Decrease Year-to-Year	Percentage Decrease from 2000	kWh/ft <sup>2</sup>	Percentage Decrease Year to Year	Percentage Decrease from 2000	kWh/ft <sup>2</sup>	Percentage Decrease Year to Year	Percentage Decrease from 2000
2000	9.62	—	—	11.15	—	-	10.85	—	—	—	—	—
2001	7.80	18.9 <sup>d</sup>	18.9	8.76	21.4 <sup>d</sup>	21.4	9.25	14.7 <sup>d</sup>	14.7	—	—	—
2002	7.94	(1.7)	17.5	8.52	2.7	23.6	8.86	4.3	18.34	—	—	—
2003	7.86	1.0	18.3	7.99	6.3	28.4	8.45	4.5	22.1	—	—	—
2004	7.65	2.6	20.4	7.94	0.6	28.8	8.53	(0.8)	21.4	—	—	—
2005	7.11	7.1 <sup>e</sup>	26.1	7.62	4.0	31.7	8.08	5.2	25.5	6.95	—	—
2006	6.58	7.6 <sup>e</sup>	31.7	7.44	2.3	33.2	8.41	(4.1)	22.5	7.01	(0.9)	(0.9) <sup>b</sup>
2007	4.79	27.2 <sup>e</sup>	50.2	7.36	1.1	34.0	7.82	7.0	27.9	6.24	12.4	10.2 <sup>b</sup>

Note: FCHS = Fort Collins High School.

a. Fiscal years begin in July of the previous year and end in June of the stated year. (e.g., FY 2000 = July 1, 1999 through June 30, 2000)

b. FRHS not included in any regression tests because of missing data.

c. These data points are compared to first year of operation, fiscal year 2005.

d. Regression-based permutation for all schools tested that the average percentage decrease in 2001 is larger than the average decrease in all other years,  $p < .001$  from a regression-based permutation coefficient (StataCorp. [2005]). Stata Statistical Software: Release 9. College Station, Texas: Stata-Corp. LP).

e. Regression-based permutation testing that the average percent decrease after 2004 at Rocky was larger than the average percent decrease at FCHS and Poudre,  $p < .001$  from a regression-based permutation coefficient (ibid.).

# A STORY OF TWO SCHOOLS



Rocky Mountain High School created a new organizational culture of conservation through:

- The work of charismatic leaders,
- By communicating expectations and successes,
- An enhanced sense of personal and group efficacy.
- By engaging the facilities manager, the administration, the teachers and the students.



# A STORY OF A MILITARY DEMONSTRATION PROJECT

**Project:** Demonstrate the energy-saving capacity of various energy-efficient technologies.

**Approach:** Four houses, each built with varying degrees of energy-efficient technologies.

**Results:** the control house was the *most* energy efficient and the Cadillac fourth house was the *least* energy efficient.

**Insights:** The couple living in the control house turned off lights when they left rooms, opened windows instead of running the A/C, rarely ran their dishwasher and engaged in other energy-saving behaviors.

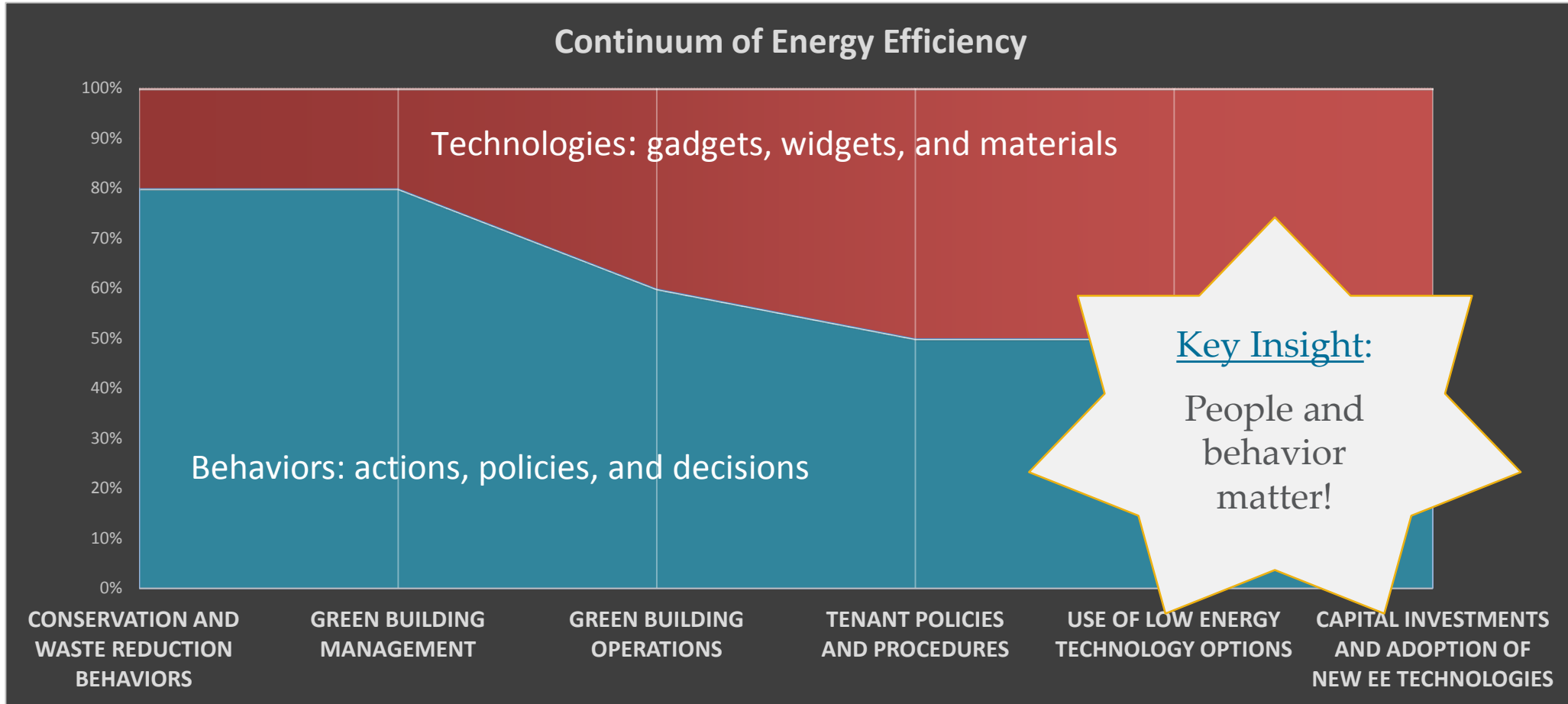


*“A revolution doesn’t happen when society adopts new tools, it happens when society adopts new behaviors.”*

Clay Shirky

Digital Guru and NYU Professor of Telecommunications.

# THE BEHAVIOR / TECHNOLOGY CONTINUUM



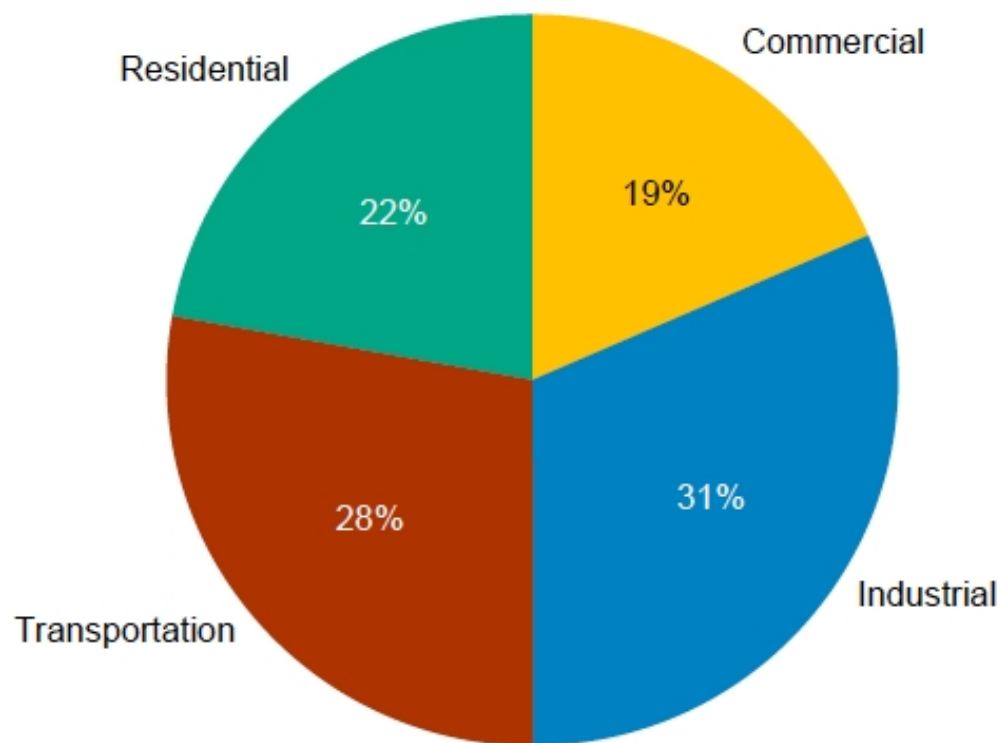


BEHAVIOR-BASED  
ENERGY SAVINGS  
POTENTIAL:

**RESIDENTIAL SECTOR**

# ENERGY CONSUMPTION BY END USE

**End-Use Sector Shares of Total Consumption, 2011**



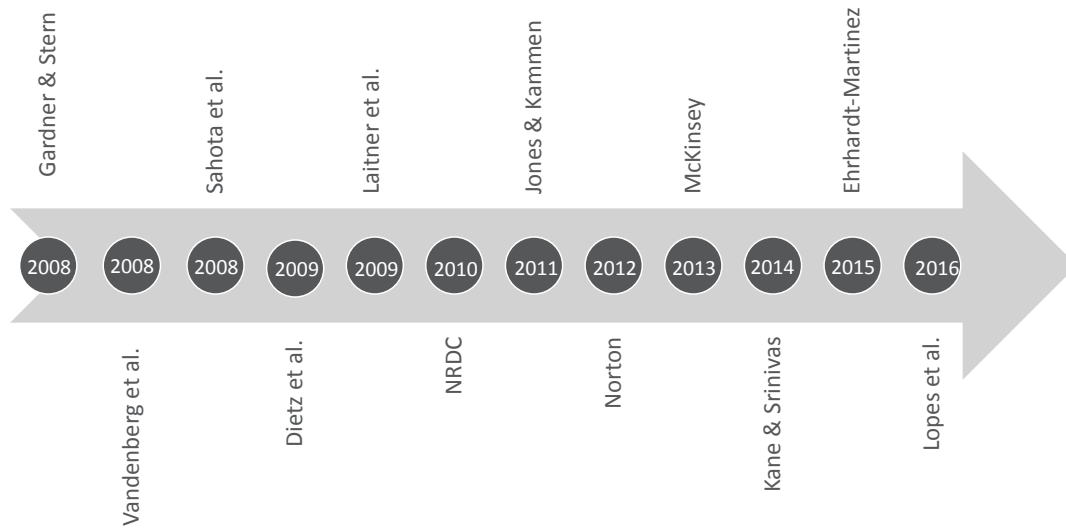
# BEHAVIOR-BASED SAVINGS POTENTIAL: RESIDENTIAL

	Dietz et al. (2009)	Laitner & Ehrhardt-Martinez (2009)	Gardner & Stern (2008)
Focus:	Carbon Emissions Savings	Energy Savings Opportunities	Energy Savings Opportunities
Scope:	17 Household Actions	110 HH Actions (Roughly)	27 HH Actions (Roughly)
Potential Savings: Residential Sector	20% (of HH Direct Emissions)	22%	30%
Potential Savings: National	7.4% (of National Emissions)	9%	11%
Period to Achieve Max. Annual Savings	10 years	5 to 8 years	N/A

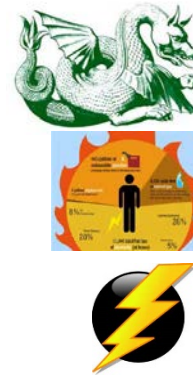
**Conservative estimates for Residential and Personal Transport only.**

# OVERVIEW OF STUDY CHARACTERISTICS

**Publication dates:** 2008 – 2016

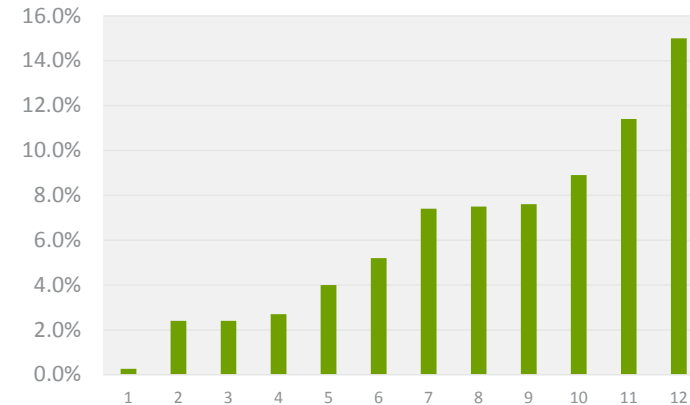


**Number of Behaviors in Each Study:** 7 to over 100



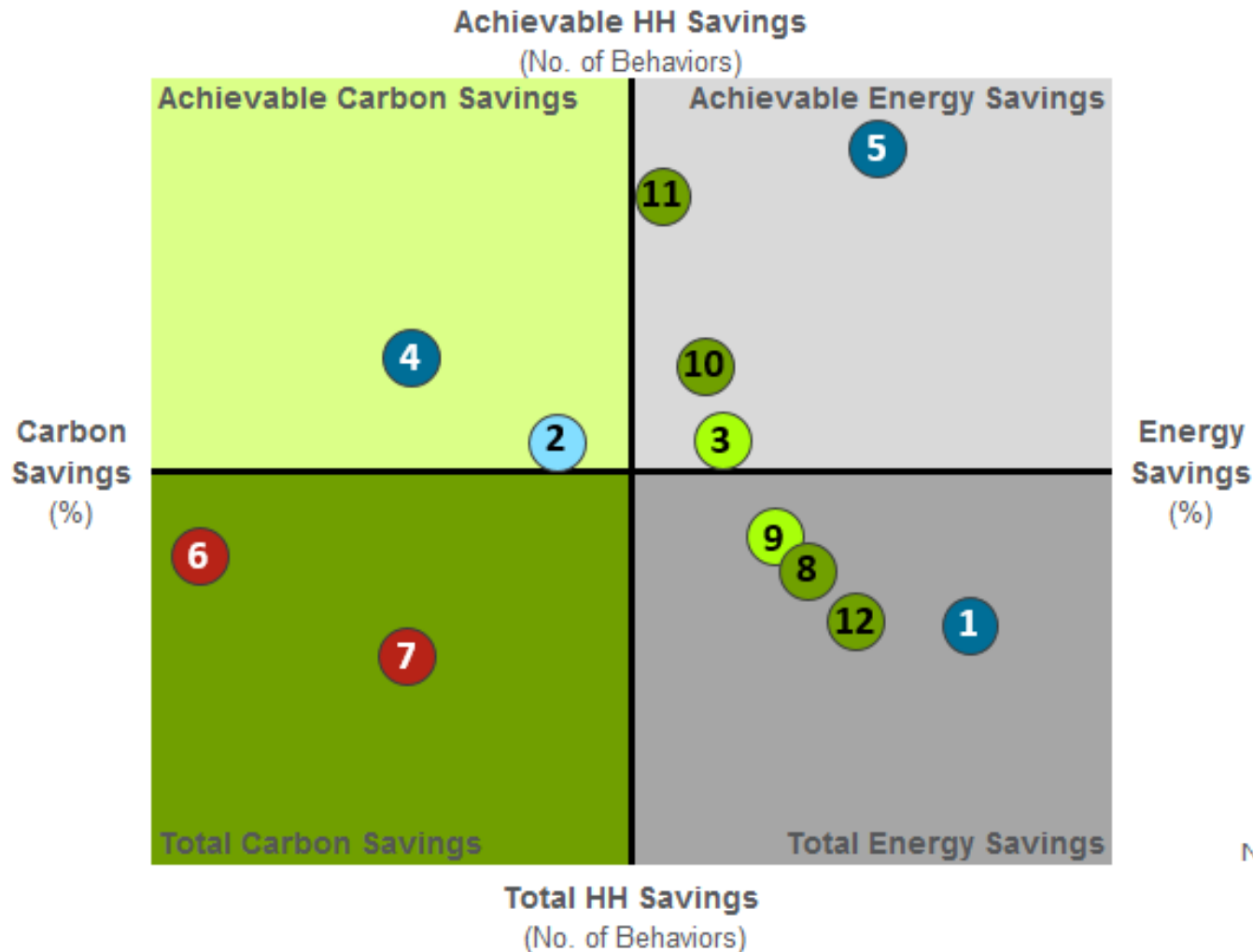
#	Areas of Focus
4	Carbon Emissions
6	Energy
2	Electricity

## Estimates of Savings Potential:



From 0.26% to 15.0% of national consumption/emissions

# MEASURES OF BEHAVIOR POTENTIAL



## Potential Studies

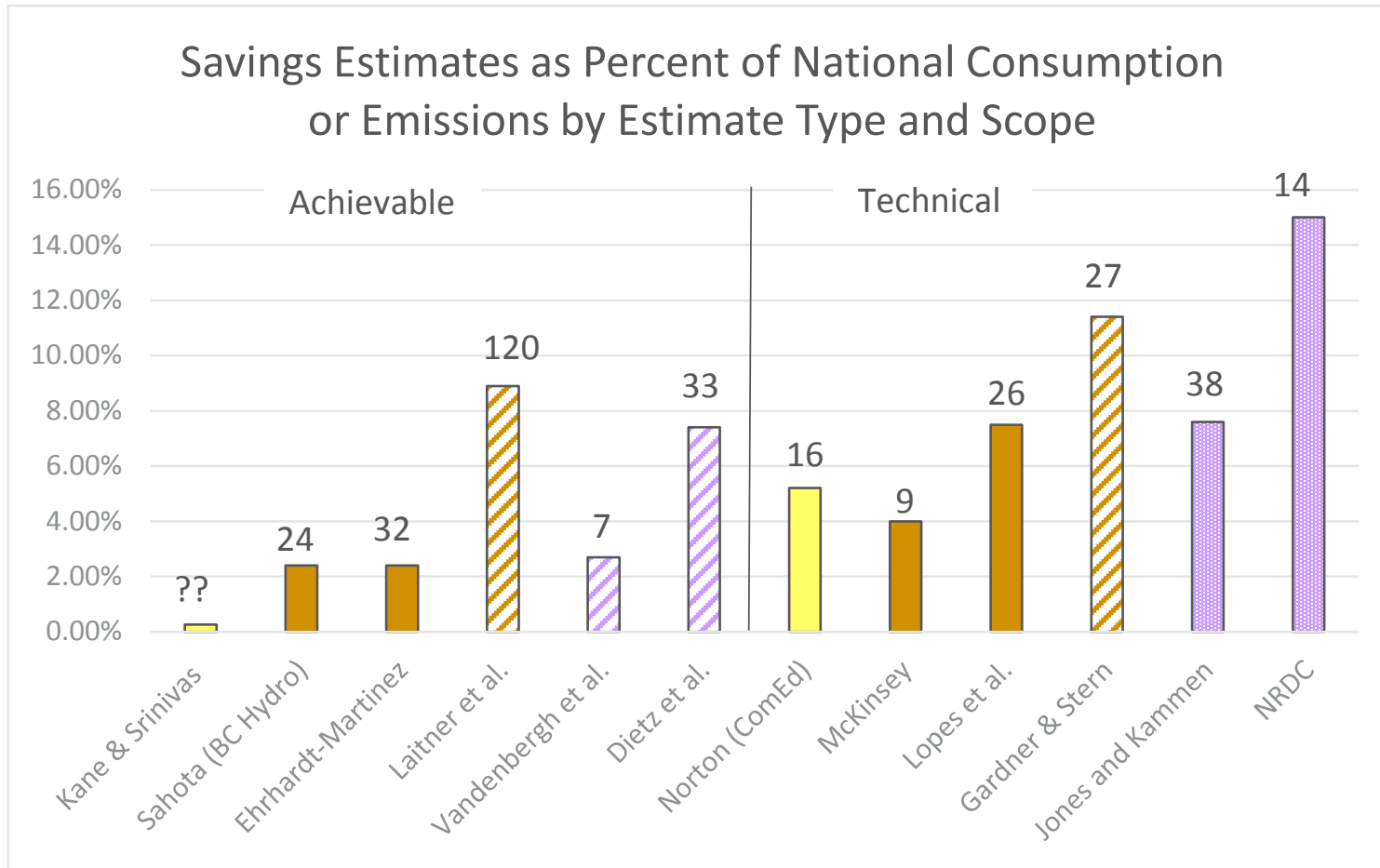
- 1 - Gardner and Stern, 2008
- 2 - Vandenberg et al., 2008
- 3 - Sahota et al., 2008
- 4 - Dietz et al., 2009
- 5 - Laitner et al., 2009
- 6 - NRDC & The Garrison Institute, 2010
- 7 - Jones and Kammen, 2011
- 8 - Norton, 2012
- 9 - McKinsey, 2013
- 10 - Ehrhardt-Martinez, 2015
- 11 - Kane and Srinivas, 2014
- 12 - Lopes et al., 2016

- Res. conservation + no/low cost actions
- Res. actions + EE investment
- Any res. actions & invest. + personal transport actions
- Any res. actions & invest. + personal transport investments
- Any res. actions & invest. + personal transport + embedded energy/carbon

Notes: Circle colors indicate range of behaviors. Number (1-12) indicates study. Location indicates whether focus is on energy or carbon, technical or achievable savings, the number of behaviors examined and the size of the savings.



# MEASURES OF BEHAVIOR POTENTIAL



## Technical Potential:

20-30% of residential consumption  
 4 - 6.5% of total nat'l consumption  
 → 6.5 quadrillion Btus

## Achievable Potential:


2.5-11% of residential consumption  
 0.5 - 2.5% of total nat'l consumption  
 → 2.5 quadrillion Btus

### Pattern Key

Household	Grey
Household + Transport	Diagonal lines
Household, Transport + Embedded	Dotted

\*Number above each bar indicate the number of behaviors

Electricity	Yellow
Energy	Orange
Carbon	Purple

A vertical line divides the page. To the left of the line is a dark gray background. To the right is white. Two triangles meet at the vertical line. The left triangle is light green and points to the left. The right triangle is dark green and points to the right.

BEHAVIOR-BASED  
ENERGY SAVINGS  
POTENTIAL:

**COMMERCIAL SECTOR**

# BEHAVIOR IN COMMERCIAL BUILDINGS

Simulations of occupant behavior  
in private offices

show that occupants who are proactive in saving energy....



...consume 50% less energy  
than average occupants.

-- Hong and Lin 2013

# LOOKING ACROSS 4 POTENTIAL STUDIES

## Meta-review

Study	Scope	Behaviors		End Uses	Savings
		No.	Types		
Azar and Menassa 2014	Natl; Office Bldgs; Elec & N.Gas	4	Thermostat setpoints, unoccupied equip use & lighting	HVAC, equipment, lighting	Tech 21%
Norton 2013	ComEd; C&I; Elec.	16	Turn off, settings, maintenance, virtualization	Lights, cooling, vent., motors, refrig., off. equip.	Tech 12-18%
Ehrhardt-Martinez 2015, 2016	5 U.S. cities; 9 bldg. types; Elec & N.Gas	91	A wide range: thermostat set points to computers	All	Achiev. 7%
Wikler et al. 2016	CA IOUs; Most comm. bldgs.; Elec & N.Gas	?	Bldg. operations, lighting controls, tenant engagement	HVAC, lighting, equip., plug load	Achiev. <1%

**Source:**  
Ehrhardt-Martinez  
2016

# HIGH-LEVEL FINDINGS ACROSS 4 STUDIES

## Estimates of behavior-based savings potential across all commercial buildings



### **Technical Potential:**

12-21% of com. consumption  
2.3-4% of total nat'l consumption  
→ 4 quadrillion Btus

### **Achievable Potential:**

0.5-7% of com. consumption  
.01-1.3% of total nat'l consumption  
→ 1.3 quadrillion Btus

# BEHAVIOR-BASED OPPORTUNITY BY BUILDING TYPE\*

Building Type	% of City-level Savings
Offices	28%-33%
Education	22%-24%
Retail	16%-20%
<b>Sub-Total</b>	<b>68%-75%</b>
Remaining 6 Building Types	25%-32%
<b>Total</b>	<b>100%</b>

Source: Ehrhardt-Martinez 2016



**Office**



**Retail**



**Education**



**Lodging**



**Healthcare**



**Services**



**Public  
Order**



**Food  
Sales**

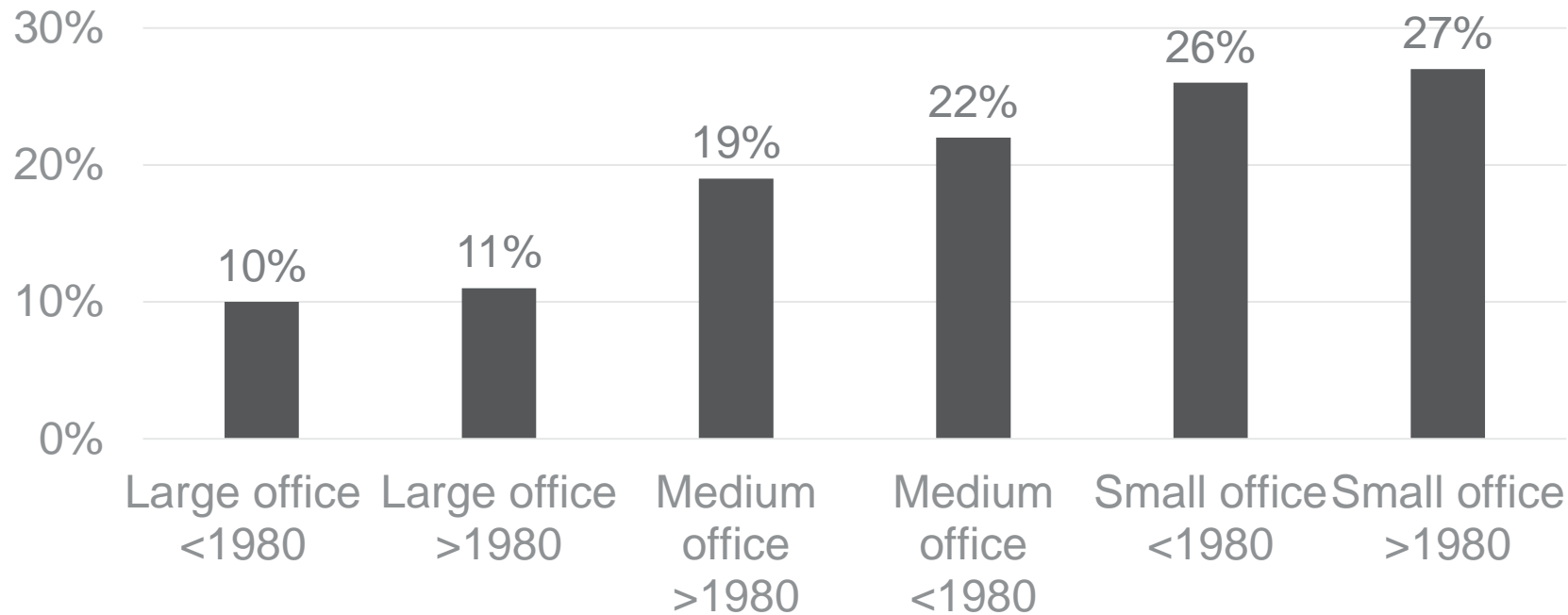


**Food  
Service**

\*Of the 9 commercial building types included in the study.

# SAVINGS OPPORTUNITY BY BUILDING SIZE

## Estimates of Savings Potential by Building Size and Vintage



Average savings  
across all U.S. Office  
Buildings = 21%

Source: Azar and Menassa 2014

# SAVINGS BY END USE ACROSS STUDIES

## Behavior-based Savings Ranking by End Use

Study	HVAC	Lighting	Office Computers & Equip.	Hot Water
Azar & Menassa (offices) 2014	1	3	2	?
Norton (C&I) 2013	2	1	?	?
Ehrhardt-Martinez (Comm.) 2015	1	1	1	2
Ehrhardt-Martinez (offices) 2015	1	2	3	4



# SUMMARY: RESIDENTIAL AND COMMERCIAL SAVINGS OPPORTUNITY

		Residential	Commercial	TOTAL
Technical				
	Sectoral	20-30%	12-21%	
	National	4-6.5%	2.3-4%	
		→ 6.5 quads	→ 4 quads	→ 10.5 quads
Achievable				
	Sectoral	2.5-11%	0.5-7%	
	National	0.5-2.5%	.01-1.3%	
		→ 2.5 quads	→ 1.3 quads	→ 3.8 quads

# ENABLING TECHNOLOGIES & BEHAVIOR

## Enable and Empower:

- Teach: Increase understanding
- Enable: Make it easy
- Engage: Interesting, fun, & worthwhile

Thermostat technologies



## Energy Feedback Displays

**CER**  
Commission for Energy Regulation  
An Commission um Markt und Finanzen

## In Home Display (IHD)

Shows how you are doing against your daily budget

Indicates the current cost of electricity per hour (does not include standing charge and VAT)



Indicates how much your electricity has cost this month (does not include standing charge and VAT)

Indicates price at peak (red), day (orange) and night (green) rates

# CONTACTS

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