

**Minutes of the
New York State Racing Commission**

1962

Minutes of the regular monthly meeting of the State Racing Commission held, on due notice, at the office of the Commission, 745 Fifth Avenue, New York City, on Thursday, January 11, 1962, at 10:00 o'clock in the forenoon.

PRESENT:

- Ashley T. Cole, Chairman
- Edmond M. Hanrahan, Commissioner
- Joseph A. Gimma, Commissioner

Also present was Harry J. Millar, Secretary.

The Secretary read to the meeting a letter which the Chairman proposes to send to Dr. William J. Ronan, Secretary to the Governor, with respect to the anticipated report of a Committee headed by Hon. David W. Peck which was appointed by Governor Rockefeller last fall to investigate backstretch conditions and the alleged complaints of backstretch employees regarding employment and living conditions. The meeting approved the sending of the letter to Dr. Ronan.

The Secretary read to the meeting a letter dated January 8, 1962 from Mr. John W. Hanes recently resigned as Chairman of the Board of Trustees of The New York Racing Association Inc.

Upon motion duly made and seconded, the Commission approved the application dated January 5, 1962 of The New York Racing Association Inc. for approval of the prices of admission and other facilities for the 1962 season as follows:

Admission Tickets

Grandstand:

Base	\$1.33
Federal Tax	.27
State Tax	.20
NYC Tax	.20
	<u>\$2.00</u>

Club House:

Base	\$3.33
Federal Tax	.67
State Tax	.50
NYC Tax	.50
	<u>\$5.00</u>

Club House Special Taxable:

Special Price	\$1.67
Federal Tax	.33
State Tax	.25
NYC Tax	.25
	<u>\$2.50</u>

Boxes

	<u>4 Seat</u>	<u>5 Seat</u>	<u>6 Seat</u>	<u>7 Seat</u>
To Association	\$4.00	\$5.00	\$6.00	\$7.00
Federal Tax	.80	1.00	1.20	1.40
State Tax	.60	.75	.90	1.05
City or County Tax	.60	.75	.90	1.05
	<u>\$6.00</u>	<u>\$7.50</u>	<u>\$9.00</u>	<u>\$10.50</u>

Reserved Seats - Club House and Grandstand

Price per diem	\$1.00
Federal Tax	.20
State Tax	.15
City or County Tax	.15
	<u>\$1.50</u>

The Commission considered the proposed legislation to be introduced for the purpose of increasing the share in the "take" of Finger Lakes Racing Association, Inc., and the three Commissioners signed the letters prepared for sending to Governor Rockefeller, Lieutenant Governor Wilson, the Director of the Budget, the Counsel to the Governor, the Chairman of the Republican State Committee, the Commissioner of Commerce and to the legislative leaders in both Houses.

The Secretary read to the meeting a letter dated January 4, 1962 from Cyrus S. Jullien, President of Finger Lakes Racing Association, Inc., applying for a license to conduct a race meeting for 100 days at its track in the Town of Farmington, Ontario County, commencing May 23 and ending September 15, 1962.

Upon motion duly made and seconded, the following resolution was adopted:

RESOLVED, that upon receipt by the Commission of applications in due form by Finger Lakes Racing Association, Inc. for license to conduct a race meeting at its plant in the Town of Farmington for 100 days commencing May 23, 1962 and terminating September 15, 1962, and for license to conduct pari-mutuel betting at such race meeting, the Chairman is hereby authorized and directed to make and issue the customary licenses therefor.

Upon motion duly made and seconded, the Commission fixed the daily license fee to be paid by Finger Lakes Racing Association, Inc. at \$100.00 and the fee for supervisory services at \$300.00 per day.

The Secretary read a letter dated January 2 from John V. Thornton, Esq., Counsel to Finger Lakes Racing Association, Inc., enclosing copies of a duly executed Certificate of Subscription and Payment of Stock of Finger Lakes Racing Association, Inc., pursuant to Sections 2 and 4 of Chapter 440 of the Laws of 1926. The letter requests the Commissioners to sign the Certificate of Approval attached so that Certificate of Subscription and Payment of Stock could be filed in the office of the Secretary of State. Thereupon, the Commissioners duly signed the said Certificate in triplicate.

The Commissioners discussed the forthcoming meeting of the National Association of State Racing Commissioners at Los Angeles, California, and authorized tentative steps looking to their attendance at such meeting.

There being no further business, upon motion duly made and seconded, the meeting adjourned.



Secretary.

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Minutes of a Special Meeting of the State Racing Commission, held on due notice, at the office of the Commission, 745 Fifth Avenue, New York City, on Thursday, January 25, 1962, at 10:00 o'clock in the forenoon.

PRESENT:

Ashley T. Cole, Chairman
Edmond M. Hanrahan, Commissioner
Joseph A. Ginna, Commissioner

Also present was Harry J. Millar, Secretary.

The Commission considered the letter of Assemblyman Van Rensselaer, stating his objection to the allowance of 100 days of racing to Finger Lakes Racing Association, Inc., and approved a proposed reply to Mr. Van Rensselaer which had been drafted by the Chairman.

The Secretary read a letter dated January 20, 1962 to the Chairman from Mr. John W. Hanes with respect to the continuation by legislation of the five per centum of the pari-mutuel pool now allowed to The New York Racing Association Inc. in Zone One and to urge The NYRA to commence improvements at Belmont Park at the earliest possible date, having in mind particularly the condition of the roof.

The Chairman reported that when Finger Lakes Racing Association Inc. filed its applications for licenses to conduct its race meeting and to conduct pari-mutuel wagering thereat, it also delivered to the Commission its check in the sum of \$10,000. The check was deposited the same day in the Racing Commission account as a Division of the Department of State in the Chase Manhattan Bank at Madison Avenue and 57th Street, New York City.

On motion of the Chairman, the following resolutions were adopted:

RESOLVED, that Francis P. Dunne be and he hereby is appointed Director of Licensing of the State Racing Commission to date from March 12 to March 19, 1962, both inclusive.

RESOLVED, that Francis P. Dunne be and he hereby is appointed Steward of the State Racing Commission to date from March 20 and to terminate on the 30th day of November 1962.

On motion duly made and seconded, the Commission appointed the following seasonal staff to commence their duties March 20, 1962 and to terminate at the pleasure of the Commission, and the Chairman is hereby authorized and directed to make such additional appointments as may be necessary to fill all vacancies:

Assistant to the Steward	Thomas Ricci
Supervisor of Drug Tests	Dr. Edmond A. Comans, Jr.
Ass't. to Supervisor of Drug Tests	George W. Thompson
Inspector	Lawrence J. McMahon
"	David F. Malone
"	Hyman Solomon
"	Thomas Labriola
"	William Brennan
"	James E. Daniels
"	Aldo J. Sagrati
"	Nicholas E. Tagliamonte
"	William B. Dean
"	William Gates
"	Howard J. Gotterup
"	Thomas E. McKnight
"	John Shelepets
"	Thomas F. Willoe
"	Edward J. Kummer
"	Herman L. White
"	George Heather
"	Arthur P. Schumann
"	Allen J. Siegel

On motion duly made and seconded, Gerard A. Burke was appointed Steward representing the State Racing Commission for the racing season of Finger Lakes Racing Association, Inc., viz. May 23 to September 15, 1962, both inclusive.

The Chairman presented an application dated January 11, 1962 by The New York Racing Association Inc. for the Commission's approval for the 1962 racing season of the following officials having to do with the actual conduct of racing:

Steward Appointed by The New York Racing Association Inc.	- Myron D. Davis
Supervisor of the Racing Department	- John F. Kennedy
Assistant Supervisor of the Racing Department and Director of Horsemen's Relations	- John T. Morrissey
Racing Secretary and Handicapper	- Thomas E. Trotter
Assistants to the Racing Secretary and Handicapper	- Nathaniel J. Hyland
	- Patrick W. O'Brien
Racing Secretary and Handicapper for Steeplechasing	- John E. Cooper
Starter	- George B. Cassidy
Clerk of Scales	- Joseph E. Kyle
Assistant Clerk of Scales	- Fred W. Mainz
Paddock and Patrol Judge	- Walter J. Mara
Patrol and Assistant Paddock Judge	- Austin McLaughlin
Patrol Judges	- Gerard A. Burke
	- Warren C. Mehrstens
Placing Judges	- William A. Murphy, Jr.
	- William T. O'Connor
	- Howard T. Storms, Jr.
Timer	- John E. O'Hara
Chief Examining Veterinarian	- Dr. Manuel A. Gilman
Examining Veterinarians	- Dr. Herbert M. Cox
	- Dr. Arthur B. Christian
Announcer	- Fred L. Capossela

Upon motion duly made and seconded the Commission approved the said officials.

The same application dated January 11, also requested the approval of the following employees to be temporarily assigned to official positions in the actual conduct of racing:

- Clifford D. McCartney
- Lucas Dupps
- Donald L. Peregoy
- Frank D. Ritz
- Francis E. Thacker
- Nathaniel J. Hyland
- John T. Morrissey (Including Starter)

Upon motion duly made and seconded the Commission approved the said officials.

Upon motion duly made and seconded, the following were approved for temporary employment as Stewards representing The New York Racing Association Inc.:

- Walter J. Mara
- Gerard A. Burke
- Warren C. Mehrtens
- Joseph E. Kyle
- John F. Kennedy
- John T. Morrissey

Upon motion duly made and seconded, the Commission approved the appointment of Calvin S. Rainey as Steward representing The Jockey Club for the racing season of The New York Racing Association Inc., viz. March 20 to November 30, 1962, both inclusive.


Upon motion duly made and seconded, the Commission approved the appointment of Mr. John E. Cooper as Steward to represent the National Steeplechase and Hunt Association, Inc. at race meetings conducted by The New York Racing Association Inc. for the year 1962.

Upon motion duly made and seconded, the Commission fixed the daily license fee to be paid by Adjacent Hunts Racing Association, Inc. at \$25.00 and the fee for supervisory services at \$50.00.

Upon motion duly made and seconded, it was

RESOLVED, that upon filing with the Commission the prescribed forms of applications for a license to conduct a hunt meeting and a license to conduct pari-mutuel betting thereat by the Adjacent Hunts Racing Association, Inc., such meeting to be held at or near Purchase, New York, on Saturday, May 26, 1962, and upon the payment of the prescribed fee of \$25.00 for such licenses and \$50.00 for supervisory services, the Chairman is hereby authorized and directed to issue such licenses to said Adjacent Hunts Racing Association, Inc.

On motion duly made and seconded, the meeting adjourned.


Secretary.

Minutes of the regular monthly meeting of the State Racing Commission, held on due notice at the office of the Commission, 745 Fifth Avenue, New York City, on Thursday, February 8, 1962, at 10 o'clock in the forenoon.

The Chairman reported that in view of the inability of the Commissioners to be present, the meeting was adjourned without date.



Secretary

Minutes of the regular monthly meeting of the State Racing Commission, held on due notice at the office of the Commission, 745 Fifth Avenue, New York City, on Thursday, March 8, 1962, at 10 o'clock in the forenoon.

The Chairman reported that in view of the inability of the Commissioners to be present, the meeting was adjourned without date.



Secretary

Minutes of the regular monthly meeting of the State Racing Commission held on due notice, at the office of the Commission, 745 Fifth Avenue, New York City, on Thursday, April 12, 1962, at 10 o'clock in the forenoon.

PRESENT:

- Ashley T. Cole, Chairman
- Edmond M. Hanrahan, Commissioner
- Joseph A. Gimma, Commissioner

Also present were: Harry J. Millar, Secretary, and Francis P. Dunne, Steward.

Upon motion duly made and seconded, the Commission approved the revised application dated March 29 of Finger Lakes Racing Association, Inc. for approval of the prices of admission and other facilities for the 1962 season, as follows:

General Admission

Price	\$1.00
Federal Tax	.20
State Tax	.15
County Tax	<u>.15</u>
	\$1.50

Clubhouse Admission

Price	\$2.00
Federal Tax	.40
State Tax	.30
County Tax	<u>.30</u>
	\$3.00

Clubhouse Exchange

Price	\$1.00
Federal Tax	.20
State Tax	.15
County Tax	<u>.15</u>
	\$1.50

Clubhouse Complimentary Season & Daily

Service Charge	\$1.10
Federal Tax	.22
State Tax	.165
County Tax	<u>.165</u>
	\$1.65

Reserved Seats - Grandstand

Price	\$.90
Federal Tax	.18
State Tax	.135
County Tax	<u>.135</u>
	\$1.35

Reserved Seats - Clubhouse

Price	\$1.00
Federal Tax	.20
State Tax	.15
County Tax	<u>.15</u>
	\$1.50

Boxes

3 Seats

Price	\$270.00
Federal Tax	54.00
State Tax	40.50
County Tax	<u>40.50</u>
	\$405.00

5 Seats

Price	\$450.00
Federal Tax	90.00
State Tax	67.50
County Tax	<u>67.50</u>
	\$675.00

6 Seats

Price	\$540.00
Federal Tax	108.00
State Tax	81.00
County Tax	<u>81.00</u>
	\$810.00

8 Seats

Price	\$680.00
Federal Tax	136.00
State Tax	102.00
County Tax	<u>102.00</u>
	\$1,020.00

The Chairman presented applications dated April 4 and April 9, 1962 by the Finger Lakes Racing Association, Inc. for the Commission's approval for the 1962 race meeting to be conducted from May 23 through September 15 of the following officials having to do with the actual conduct of racing:

Joseph C. O'Dea, D.V.M.	Steward
Charles J. McLennan	Racing Secretary and Handicapper
Oscar F. Mackey	Starter
David Davis	Clerk of Scales
Emile A. Gauchet	Paddock and Patrol Judge, and Assistant to Racing Secretary
Frank Grand, Jr.	Patrol Judge & Clerk in Racing Secretary's Office
Ronald Nash	Patrol Judge and Clerk in Racing Secretary's Office
Robert H. Dygert	Patrol Judge & Assistant to Veterinarian
Fred Crafton	Placing Judge and Assistant to Racing Secretary
Charles Sullivan	Placing Judge and Clerk in Racing Secretary's Office
William H. Welch	Placing Judge or Timer and Entry Clerk
Dr. Norman F. Lewis	Veterinarian
Morton Veingrad (Ross Morton)	P.A. Announcer

Upon motion duly made and seconded, the Commission approved the said officials.

Upon motion duly made and seconded, the Commission approved the applications dated March 28, 1962 by Adjacent Hunts Racing Association, Inc. for a license to conduct a Hunt meeting on Saturday, May 26, 1962, at Purchase, New York, and for a license to conduct pari-mutuel betting thereat; and the Chairman is hereby authorized and directed to issue a license for such race meeting and a license for pari-mutuel betting thereat in the usual forms.

Upon motion duly made and seconded, the Commission fixed the daily license fee to be paid by Adjacent Hunts Racing Association, Inc. at \$25.00 and the fee for supervisory services at \$50.00.

Upon motion duly made and seconded, the Commission approved the appointment of John McNamee Sullivan as its official Steward for the above meeting.

Upon motion duly made and seconded, the following persons were approved as officials having to do with the actual conduct of racing at the above meeting:

Stewards

John McNamee Sullivan
Representing the State Racing Commission

William C. Robinson, Jr.
Representing the Hunts Committee of the
National Steeplechase and Hunt Association

Buckley M. Byers

Upon motion duly made and seconded, the Commission approved the appointment of Major John Nethersole as Steward to represent The Jockey Club at the 1962 meeting of Finger Lakes Racing Association, Inc. It also approved the appointment of Mr. Marshall Cassidy as interim Steward for The Jockey Club during such periods as Major Nethersole may be unable to attend.

Upon motion duly made and seconded, the following Resolutions were adopted:

RESOLVED, that the Commission does hereby create within the framework of the Commission a bureau to be known as the Thoroughbred Breeders Service Bureau; and be it

FURTHER RESOLVED, that Alexander M. Robb of Bronxville be and he hereby is designated as Director of the Thoroughbred Breeders Service Bureau until the further pleasure of the Commission and that his salary be and it hereby is fixed at the rate of \$15,000 per annum; and be it

FURTHER RESOLVED, that the Secretary of State be requested to make provision for such expenses as may be incurred in connection with the operation of said Bureau from the appropriation made of \$25,000 made by Chapter 263 of the Laws of 1962.

The Commission discussed and approved a press release with respect to the aforesaid Thoroughbred Breeders Service Bureau and the appointment of Mr. Robb.

Upon motion duly made and seconded, the following Resolution was adopted:

RESOLVED, that pursuant to the provisions of Rule 279(d), the Commission hereby extends for the term of 19 days the apprentice allowances of Apprentice Jockey Alfred Branham because of personal injuries suffered in the course of his occupation on June 4, 1960 which incapacitated him from riding for a period of 19 days.

The Commission considered extending an invitation to the National Association of State Racing Commissioners to hold its Annual Meeting in New York in 1965 and authorized the Chairman to discuss the matter with representatives of The New York Racing Association Inc.

The Chairman presented to the meeting a letter dated April 11 from Mr. Dickinson, President of The New York Racing Association Inc. submitting for consideration and approval proposed alterations at Aqueduct race track as follows:

To enclose the third floor level of the Clubhouse and Grandstand by the installation of a series of metal and glass folding doors similar to the enclosure on the ground floor level, and to partially enclose the stairwells leading from the third floor to the upper seating area with a 1/4 inch polished plate wire screen on a metal frame.

Drawings and photographs were submitted with the said letter which the Commission considered.

Upon motion duly made and seconded, the following Resolution was adopted:

RESOLVED, that the Chairman execute and issue to The New York Racing Association Inc. a construction permit to cover the aforesaid alterations.

The Commission considered photographs of the tote board at Santa Anita and determined that because of the fact that two such boards would be required at Aqueduct and at Belmont Park which would greatly add to the expense and which would seriously interfere with view of the backstretch from the lawn, it would not recommend any change in the tote board to The New York Racing Association Inc.

Pursuant to invitations issued by the Chairman to representatives of the Horsemen's Benevolent and Protective Association, the American Trainers' Association and the Thoroughbred Owners and Breeders Association, a number of persons appeared to discuss with the Commission the subject of the Report to Governor Rockefeller by the Commission of Inquiry concerning employer-employee relations in that segment of the Thoroughbred racing industry affecting grooms, exercise boys and "hot walkers". The following persons attended the meeting:

Mr. Sherrill W. Ward
President of and representing the American Trainers' Association

Mr. John Carroll
Representing The Jockey Club

Mr. J. Dana Tasker and Mr. Robert Stinson, Attorney,
Representing the Thoroughbred Owners and Breeders Association

Mr. E. A. Neloy
Representing the Horsemen's Benevolent and Protective Association

Mr. Alfred Giardino, Attorney,
Representing various owners and trainers

After discussion of the general subject matter of the Report of the Committee above named, it was agreed that Mr. Giardino would furnish the Commission with a memorandum summarizing the position of the interests represented at the meeting with respect to the effect of the decision of the United States Court of Appeals on certain Recommendations made in the Report.

Upon motion duly made and seconded, Rules 34(b) and 34(c) now reading as follows:

- "34. (b) No license as an owner shall be granted to the lessee or lessees of any corporation unless such corporation shall have no more than ten stockholders or members each of whom shall be the registered and beneficial owner of stock or membership in such corporation; nor shall any such corporation having more than ten such stockholders have the power to lease for racing purposes to any natural person or persons or partnership any horse owned or controlled by it.

(c) All the stockholders or members of a corporation which lease horses for racing purposes in the State of New York and also all such corporations shall make and file with the Commission as and when requested by it, a report or reports containing such information as the Commission may specify; and upon refusal or failure to file such report or reports the Commission may refuse a license to any lessee or lessees of such corporation or may revoke any such license which it may have granted."

were duly amended to read as follows:

"34.(b) No license as an owner shall be granted to the lessee or lessees of any corporation, syndicate or partnership unless such corporation, syndicate or partnership shall have no more than ten stockholders or members, as the case may be, each of whom shall be the registered and beneficial owner of stock or membership in such corporation, syndicate or partnership; and every such stockholder or member is required to be licensed as an owner; provided, however, that the Commission by unanimous vote of its members may waive this Rule with respect to any one horse owned by any said corporation, syndicate or partnership, to enable it to participate in a race on a specified date.

For the purposes of this Rule, the stockholders or members who bear to each other the relationship of husband and wife, parent and child, grandparent and grandchild, or sister and brother shall be regarded collectively as one stockholder or member, as the case may be.

(c) The stockholders or members of any corporation, syndicate or partnership which leases horses for racing purposes in the State of New York and also any such corporation, syndicate or partnership, shall make and file with the Commission as and when requested by it, a report or reports under oath containing such information as the Commission may specify; and upon refusal or failure to file any such report or reports the Commission may refuse a license to any lessee or lessees of such corporation, syndicate or partnership or may revoke any such license which it may have granted."

The Commission considered and took appropriate action with respect to applications for occupational licenses.

There being no further business, upon motion duly made and seconded, the meeting adjourned.



Secretary

Minutes of the regular monthly meeting of the State Racing Commission held on due notice at the office of the Commission, 745 Fifth Avenue, New York City, on Thursday, May 10, 1962, at 9:30 o'clock in the forenoon.

PRESENT:

Ashley T. Cole, Chairman
 Edmond M. Hanrahan, Commissioner
 Joseph A. Gimma, Commissioner

Also present was: Francis P. Dunne, Steward.

Upon motion duly made and seconded, the Commission approved Carlyle M. Cameron to serve as Assistant Racing Secretary and Handicapper to represent the National Steeplechase and Hunt Association, Inc. at those race meetings conducted by The New York Racing Association Inc. at which races will be run through the field in 1962.

Upon motion duly made and seconded, Rule 267(a) was duly amended to read as follows:

Scale of Weights

267(a). The following weights are carried when they are not stated in the conditions of the race:

Distance	Age	January & February	March & April	May	June	July	August	September	October	November & December
Half mile	(2 years	x	x	x	x	x	105	108	111	114
	(3 years	117	119	121	123	125	126	127	128	129
	(4 years	130	130	130	130	130	130	130	130	130
	(5 years and over	130	130	130	130	130	130	130	130	130
Six furlongs	(2 years	x	x	x	x	x	102	105	108	111
	(3 years	114	117	119	121	123	125	126	127	128
	(4 years	129	130	130	130	130	130	130	130	130
	(5 years and over	130	130	130	130	130	130	130	130	130
One mile	(2 years	x	x	x	x	x	x	96	99	102
	(3 years	107	111	113	115	117	119	121	122	123
	(4 years	127	128	127	126	126	126	126	126	126
	(5 years and over	128	128	127	126	126	126	126	126	126
One mile and a quarter	(2 years	x	x	x	x	x	x	x	x	x
	(3 years	101	107	111	113	116	118	120	121	122
	(4 years	125	127	127	126	126	126	126	126	126
	(5 years and over	127	127	127	126	126	126	126	126	126
One and a half miles	(2 years	x	x	x	x	x	x	x	x	x
	(3 years	98	104	108	111	114	117	119	121	122
	(4 years	124	126	126	126	126	126	126	126	126
	(5 years and over	126	126	126	126	126	126	126	126	126
Two miles	(3 years	96	102	106	109	112	114	117	119	120
	(4 years	124	126	126	126	126	125	125	124	124
	(5 years and over	126	126	126	126	126	125	125	124	124

Upon motion duly made and seconded, the Commission approved the plans for alterations and construction at Belmont Park race track, specified in letter of Mr. Dickinson, President of The New York Racing Association Inc., dated April 24, 1962, as follows:

1. Alteration to Main Money Room to increase the existing floor area by removing a wall separating Money Room from an area formerly occupied by Mutuel Manager, as shown by Drawing 139-B.
2. Constructing offices in an area on the mezzanine level above old betting ring and above the Mutuel Area which will be used by the Mutuel Manager and his Staff, as shown by Drawing 159-B.
3. Alteration to Ticket Checkers Room by rearranging it to provide counters and Ticket Bins along the periphery. The counters and bins shall be similar to those installed at Aqueduct. (Drawing 140-B)
4. Partition an area in the existing \$100 Room to be used as an office by the Director of Clubhouse Relations, as shown by Drawing 158-B.
5. The rearrangement of partitions at the northwest end of the Administration Building to provide a more efficient utilization of the floor area, offices to be created for Mr. Brady, Mr. Dickinson, Mr. Basil and Executive Secretary, as shown by Drawing 157-B.
6. The erection of an enclosure under the existing covered walk leading to the Grandstand to provide a storage and changing area for the Pinkerton Racing Security Personnel, as shown by Drawing 156-B.
7. The elimination of four (4) booths at the Paddock Admissions Entrance and the rearrangement of the remaining two (2) booths to provide for a more attractive and better controlled Admissions Entrance, as shown by Drawing 160-B.
8. To provide a canvas awning approximately 20 x 25 feet in the southwest corner of the Administration Building, outside the Jockeys' quarters. This awning will afford the Jockeys an area to congregate, even in times of inclement weather. (No drawings)
9. To provide signal lights in the Clubhouse and Grandstand which will flash codes and enable the Thoroughbred Racing Protective Bureau men to receive instructions on where they are needed at any time throughout the Grandstand, these lights to improve security and crowd control. (No drawings)
10. The erection of a Boxing Ring with appropriate lights and seating will be provided in the Stable Area to supplement the recreational program for the backstretch help. (No drawings)

The Chairman was authorized to issue the usual form of permit therefor.

The Chairman presented application dated April 26, 1962 by Finger Lakes Racing Association, Inc. for the Commission's approval for the 1962 race meeting to be conducted from May 23 through September 15 of the following additional officials having to do with the actual conduct of racing:

Charles F. Henry	-	Assistant Racing Secretary
Wilbur Mitchell	-	Timer

Upon motion duly made and seconded, the Commission approved the said officials.

Upon motion duly made and seconded, the Commission approved the proposed certificate amending the certificate of incorporation of Finger Lakes Racing Association, Inc., by changing the location of its principal office and place of business from the City of New York to the Town of Farmington, Ontario County. The Commissioners duly signed the certificate of approval attached to the said certificate to enable the same to be filed in the office of the Secretary of State.

The Commission discussed the question of its right to adopt a rule requiring owners and trainers to identify their horses in workouts for the benefit of clockers. The Commission determined that it had no such power under the law and approved a form of release for publication in the event that the Chairman should decide that the Commission's position should be publicly known.

The Chairman stated that in view of the many requests after April 1 for the payment of pari-mutuel tickets issued during the previous year, he had requested The New York Racing Association to emphasize in its daily program the fact that all such tickets must be presented before April 1. He was happy to report that full compliance had been made with the request.

In view of the fact that several members of the New York staff have been sent to Finger Lakes Park to indoctrinate some of the new employees, it has become necessary to make temporary appointments to the New York staff. The Chairman reported that he had appointed Bert Blume as an Inspector attached to the Testing Enclosure during the temporary absence of Herman L. White and had appointed James J. Kiernan as an Inspector for office work pending the return of Lawrence J. McMahon. Mr. Blume is a newcomer to the Commission, but Mr. Kiernan was an Inspector on our staff for a number of years until his retirement.

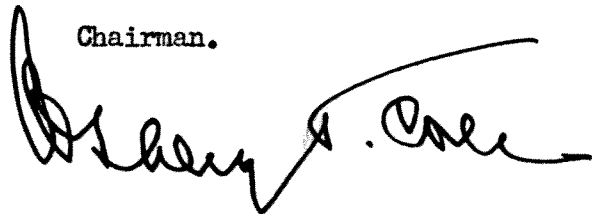
Upon motion duly made and seconded, the action of the Chairman in making these appointments was approved.

The Commission considered and took appropriate action with respect to applications for occupational licenses.

Other matters with respect to racing were discussed by the Commissioners.

There being no further business, upon motion duly made and seconded, the meeting adjourned.

Chairman.

A handwritten signature in cursive script, appearing to read "W. Henry J. Case", written in black ink.

Minutes of a Special Meeting of the State Racing Commission, all Commissioners being present, held at the office of the Commission at Finger Lakes Race Course, Town of Farmington, Ontario County, New York, on Wednesday, May 23, 1962, at 11:30 o'clock in the forenoon.

Upon motion duly made and seconded, the Commission ratified and confirmed the action of the Chairman in approving the appointment of the following staff to commence their duties on the dates specified and to terminate at the pleasure of the Commission, and the Chairman is hereby authorized and directed to make such additional appointments as may be necessary to fill all vacancies, said staff being required for the proper discharge of the Commission's duties at Finger Lakes Park for the 1962 race meeting of Finger Lakes Racing Association Inc., the amounts of their per diem salaries and expenses being set opposite their respective names:

		<u>Starting Date</u>	<u>Per Diem Rate</u>	<u>Per Diem Expense</u>
Steward	Gerard A. Burke	May 21	\$100.00	None
Assistant to the Steward	Bernard A. Rockmaker	May 10	35.00	\$2.00
Supervisor of Drug Tests	David M. Davis, D.V.M.	May 23	58.22	None
Assistant Supervisor of Drug Tests	Walter E. Verity, Jr.	May 23	31.06	\$3.00
Senior Stenographer	Martha V. Oberlies	May 15	(\$4,020) (Year)	2.00
Inspector	Francis E. Laimbeer	May 23	21.23	2.00
"	Henry E. Mitchell	May 14	21.23	2.00
"	Walter W. Day	May 23	21.23	2.00
"	Jack Nichols	May 23	21.23	2.00
"	Merton F. Botts	May 23	21.23	3.00
"	Herbert S. Cuttriss	May 23	21.23	3.00
"	Thomas Reitano	May 23	21.23	3.00

Upon motion duly made and seconded, the Commission approved the following additional officials having to do with the actual conduct of racing by the Finger Lakes Racing Association Inc. for the 1962 race meeting:

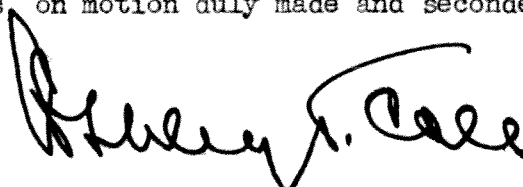
Peter Schaad, D.V.M.	Assistant Veterinarian (permanent)
Dr. D. L. Haley	Assistant Veterinarian (temporary)
Kenneth E. Bowe	Placing Judge

Upon motion duly made and seconded, it was

RESOLVED, that the appointment by the Chairman of Joseph E. Ruggiero as Counsel to the Commission on a part-time basis to take effect May 21, 1962 and to continue during the pleasure of the Commission, be and the same hereby is in all respects approved pursuant to Rule 13.

The Chairman recommended to the Commission that the starting salary for Mr. Ruggiero's position be \$9,800. annually which was duly approved.

There being no further business, on motion duly made and seconded, the meeting adjourned.


Chairman.

Minutes of the regular monthly meeting of the State Racing Commission held, on due notice, at the office of the Commission, 745 Fifth Avenue, New York City, on Thursday, June 14, 1962, at 10 o'clock in the forenoon.

PRESENT:

Ashley T. Cole, Chairman
Edmond M. Hanrahan, Commissioner
Joseph A. Gimma, Commissioner

Also present were: Harry J. Millar, Secretary, Francis P. Dunne, Steward, and Joseph E. Ruggiero, Counsel.

On motion duly made and seconded, the Commission ratified the action of the Chairman in approving the changes in racing officials at the Adjacent Hunts Racing Association meeting at Purchase, New York, on Saturday, May 26, 1962. The changes were as follows:

Mr. William M. Duryea to act as Steward representing the Adjacent Hunts Racing Association in place of Mr. Buckley M. Byers.

Mr. Henry T. Gibson to act as Patrol Judge instead of Placing Judge.

Messrs. Thomas B. Glynn, Harry G. Huberth, Jr., Richard D. Webb and William N. Westerlund, Jr. to replace Messrs. Anthony Harraway, R.D. Mellick, Anthony Del Balso and A. P. Waterman as Patrol Judges.

Mr. John G. Howland to act as Clerk of the Course.

On motion duly made and seconded, it was

RESOLVED, that the following amendments to the Rules and Regulations of the National Steeplechase and Hunt Association, be and the same are hereby in all respects approved:

Rule 91 (a) now reading as follows:

A horse cannot be entered in the real or the assumed name of any person as his owner unless that person's interest or property in the horse is at least equal to that of any one person, and has been so registered with the National Steeplechase and Hunt Association.

is hereby amended to read as follows:

91 (a) A horse owned by or leased to a partnership shall be entered and run in the assumed name of the partnership or in the name of an individual partner who has at least a 25% interest in the racing qualities of that horse.

Rule 91 (b) now reading as follows:

No person may have any form of interest as owner, part owner or lessee in different horses running in the same race unless run as an entry.

is hereby amended to read as follows:

91 (b) A person may not have any form of interest as owner, part owner, lessor, lessee or an interest of 25% or more as a partner, in different horses running in the same race unless all such horses are run as a single entry. For the purposes of this paragraph and the next one a person is deemed to have an interest in any horse in which his or her spouse has any such form of interest. All horses trained by the same person must be run as a single entry.

New Rule 91 (c) to read as follows:

Not more than one horse in which the same person or partnership has any form of interest (as referred to in Rule 91 b), or two horses trained by the same person, may be drawn into any overnight race or on the also eligible list, to the exclusion of another horse.

New Rule 91 (d) to read as follows:

No trainer may have any interest, either by ownership or lease, in a horse of which he is not the trainer.

Rule 119 now reading as follows:

All partnerships must be registered annually with the National Steeplechase and Hunt Association.

is hereby amended to read as follows:

119. No horse may be entered and run by a partnership either in the assumed name or in the name of an individual partner if the partnership contains more than four partners, or if the interest of any partner is less than 25%.

Rule 120 (a) now reading as follows:

All partnerships must be registered annually, and the names and addresses of every individual having an interest in a horse, the relative proportions of such interest, and the terms of any sale with contingencies, and any lease or any arrangement, must be signed by all the parties, or by their Authorized Agents, and be lodged at the office of the National Steeplechase and Hunt Association, or with the Clerk of the Course for transmission to that office, and a fee of \$1.00 per horse be paid before any horse, which is a joint property, or which is sold with contingencies, or which is leased, can start in any race, and all the partners and each of them shall be jointly and severally liable for all stakes and obligations. No statement of partnership will be accepted unless the proportionate interest of each partner is at least 25%.

is hereby amended to read as follows:

120 (a) A statement of the articles or terms of partnership of any partnership having an interest in a horse and a statement of the terms of any sale with contingencies or of any lease or of any arrangement, must be lodged annually at the Registry Office or with the Clerk of the Course for transmission to that office, and must be approved by the National Steeplechase and Hunt Association and a fee of \$1.00 per horse be paid, before any horse which is a joint property or which is sold with contingencies or is leased or is the subject of any arrangement may start in any race. Such statement must give the name and address of all of the partners or parties and be signed by all of them or by their Authorized Agents.

New Rule 120 (b) to read as follows:

All of the partners and each of them shall be jointly and severally liable for all stakes and obligations; the status of limited partner is not recognized.

Rule 120 (b) now reading as follows:

No part owner shall assign his share or any part thereof without the written consent of the other partners, lodged as provided by Rule 120 (a).

is hereby amended to read as follows:

New Rule 120 (d)

No member of a partnership and no party to a sale with contingencies, lease or any arrangement may assign any of his interest or rights without, in the case of a partnership, the prior written consent of the other partners and without a statement of the proposed assignment having been lodged and approved as provided in paragraph (a) of this Rule.

Rule 120 (c) now reading as follows:

A notice of termination of any partnership, lease, sale with contingencies, or any arrangement must be sent within fourteen days to the Registry Office for publication, or a penalty may be incurred.

is hereby amended to read as follows:

120 (c) A notice of termination of any partnership, sale with contingencies, lease or arrangement must be sent within fourteen (14) days prior to its effective date to the Registry Office for publication.

New Rule 120 (e) to read as follows:

The National Steeplechase and Hunt Association reserves the right to disapprove any partnership, sale with contingencies, lease, other arrangement or assignment of which a statement is

required to be lodged with or approved by the National Steeplechase and Hunt Association pursuant to paragraphs (a) and (d) of this Rule 120 when, in the opinion of the National Steeplechase and Hunt Association, the effect of the partnership, sale, lease, other arrangement or assignment would be to deceive or mislead the public as to the identity of the persons holding an interest in a horse, or the effect would be contrary to the best interests of racing.

Rule 121 (a) now reading as follows:

All statements of partnership, sales with contingencies, leases or arrangements shall declare to whom winnings are payable (which must be the name of the nominator), with whom the power of entry or declaration of forfeit rests, and in whose name the horse will run, and this information shall be published in the Racing Calendar, but the real name of a person who has registered an assumed name shall not be disclosed.

is hereby amended to read as follows:

121 (a). All statements of partnerships, sales with contingencies, leases, or arrangements, shall declare in whose name the horse or horses will run, and with whom rests the power of entry or of declaration of forfeit; and this information shall be published in The Racing Calendar. Winnings shall be payable to the person in whose name the horse will run according to such statement.

Rule 121 (b) now reading as follows:

In cases of emergency, authority to sign declarations of partnership may be given to the Secretary of the National Steeplechase and Hunt Association by telegraph promptly confirmed in writing.

is hereby amended to read as follows:

121 (b) In cases of emergency, authority to sign statements of partnership may be given to the Secretary of the National Steeplechase and Hunt Association by telegraph promptly confirmed in writing.

Rule 122 (a) now reading as follows:

An owner or a partnership of owners may assume a name, which must be registered annually and by paying annually a fee of \$50. All such names are subject to the approval or disapproval of the Stewards of the National Steeplechase and Hunt Association.

is hereby amended to read as follows:

122 (a) An owner or a partnership may assume a name, which must be registered annually by paying a fee of \$50. The assumed name and the real name or names of the registrant shall be published in The Racing Calendar.

Rule 122 (b) now reading as follows:

A person cannot register more than one assumed name at the same time, nor can he use his real name so long as he has an assumed name registered, but an individual may register an assumed name and may be at the same time a member of a partnership or partnerships registering an assumed name or names.

is hereby amended to read as follows:

122 (b) A person or a partnership cannot have more than one assumed name registered at the same time, nor can the person or partnership use his or its real or legal name so long as the assumed name is registered. An individual may have an assumed name registered and may be at the same time a member of one or more partnerships having an assumed name registered.

Rule 122 (d) now reading as follows:

A person cannot register as his assumed name, one which is the real name of any owner of race horses, or one which has already been registered within five years by any other person unless approved by the Stewards of the National Steeplechase and Hunt Association in the following cases:

- 1. The person registering is related to the person who previously registered the assumed name, and consent to such change is given by the previous registrant.
- 2. The person registering is the principal next of kin, or an heir at law in case of intestacy, or is closely related to the decedent who previously registered the assumed name.

is hereby amended to read as follows:

122 (d) No person or partnership may register as an assumed name the real or legal name of any person or partnership or other entity engaged in racing as an owner, trainer or otherwise, or an assumed name which has been previously registered within five (5) years by any other person or partnership unless approved by the National Steeplechase and Hunt Association in the following cases:

- 1. The person or partnership registering is related to the previous registrant and has his or its consent in writing. A person related to a partner is deemed related to the partnership for the purpose of this provision.
- 2. The person or a partner of the partnership registering is a next of kin or an heir at law in case of intestacy or a close relative of a decedent who previously registered the assumed name.

3. The person or partnership registering is a successor to all or part of the racing interests of the previous registrant and has his or its consent in writing.

Rule 122 (e) now reading as follows:

An individual who, or a partnership which, has registered an assumed name, may at any time abandon it by giving written notice at the office of the National Steeplechase and Hunt Association; notice of such abandonment shall be published in the next Racing Calendar, after which all entries which have been made in the assumed name shall be altered as may be approved by the National Steeplechase and Hunt Association.

is hereby amended to read as follows:

Rule 122 (e) An individual who, or a partnership which, has registered an assumed name, may at any time abandon it by giving written notice to the National Steeplechase and Hunt Association and notice of such abandonment shall be published promptly in The Racing Calendar. After such publication all entries which have been made in the assumed name shall be altered as approved by the National Steeplechase and Hunt Association.

Rule 122 (f) now reading as follows:

No trainer of race horses, as such, shall register an assumed name.

is hereby amended to read as follows:

122 (f) No trainer of race horses may register an assumed name, but a partnership of which a trainer is a member may use an assumed name provided the use of such assumed name is otherwise authorized by these Rules.

New Rule 122 (g) to read as follows:

The National Steeplechase and Hunt Association reserves the right to disapprove an assumed name when, in the opinion of the Association, the use of such assumed name would confuse or mislead the public, or would be contrary to the best interests of racing.

Upon motion duly made and seconded, the Commission approved the application dated June 2, 1962 by The New York Racing Association Inc. for alterations at Aqueduct race track, as follows:

To enclose the second floor level of Clubhouse and Grandstand by the installation of a series of metal and glass window-walled panel sections and necessary exit aisles, in accordance with drawings submitted, A-192 and A-193 dated May 22, 1962.

The Chairman was authorized to issue the usual form of permit therefor.

The Commissioners considered the form and content of the report to be filed by the Chairman with Governor Rockefeller by July 1, 1962 in compliance with the fourteenth Recommendation in the Report of the Governor's Commission of Inquiry with respect to backstretch conditions and employees.

The Commission considered and took appropriate action with respect to applications for occupational licenses.

There being no further business, upon motion duly made and seconded, the meeting adjourned.



Secretary.

Minutes of the regular monthly meeting of the State Racing Commission held at the office of the Commission at the Saratoga Race Course, Union Avenue, Saratoga Springs, New York, on Thursday, August 9, 1962, at 11 o'clock in the forenoon.

PRESENT:

Ashley T. Cole, Chairman
Edmond M. Hamrahan, Commissioner
Joseph A. Gimma, Commissioner

Also present were: Harry J. Millar, Secretary, Francis P. Dunne, Steward, and Joseph E. Ruggiero, Counsel.

The Commission considered the applications of The New York Racing Association Inc. dated June 12 and June 29, 1962, for the approval of plans for certain construction as follows:

Belmont race course - construction of a proposed "lounge building" at Gate #6 of the stable area for the use of backstretch personnel; the lounge to be a frame building approximately 16' wide by 22' long. (Drawings dated June 8, 1962.)

Aqueduct race course - construction of a proposed "lounge building" at the 150th Street Gate of the stable area to be used by the backstretch personnel; to be similar to the existing Gate House. (Drawings dated June 28, 1962, Nos. A-200 and A-201.)

Upon motion duly made and seconded, the aforesaid plans were approved and the Chairman was authorized to issue the usual form of permits therefor.

The Commission considered and took appropriate action with respect to applications for occupational licenses.

There being no further business, on motion duly made and seconded, the meeting adjourned.



Secretary

Minutes of the regular monthly meeting of the State Racing Commission held, on due notice, at the office of the Commission, 745 Fifth Avenue, New York City, on Thursday, September 13, 1962, at 10:00 o'clock in the forenoon.

PRESENT:

Ashley T. Cole, Chairman
Edmond M. Hanrahan, Commissioner
Joseph A. Gimma, Commissioner

Also present were: Harry J. Millar, Secretary, Francis P. Dunne, Steward, and Joseph E. Ruggiero, Counsel.

The Commission considered the application of The New York Racing Association Inc. dated September 4, 1962 for the approval of plans for certain construction as follows:

Belmont race course - alterations to the jockey area, such alterations to include the construction of reducing facilities and a new masseurs area on the second floor of the jockey locker room, relocation of the snack bar and a new lounging area on the east side of the administration building.

Upon motion duly made and seconded, the aforesaid plans were approved, and the Chairman was authorized to issue the usual form of permit therefor.

The Chairman outlined to the Commissioners part of the program of Governor Rockefeller's Committee for the Saratoga Thoroughbred Racing Centennial and discussed the make-up of committees.

The Commission discussed at some length the situation of the Finger Lakes Racing Association Inc.

The Commission considered and took appropriate action with respect to applications for occupational licenses.

Other matters of interest to the Commission were considered.

There being no further business, on motion duly made and seconded, the meeting adjourned.



Secretary

Minutes of the regular monthly meeting of the State Racing Commission held, on due notice, at the office of the Commission, 745 Fifth Avenue, New York City, on Thursday, October 11, 1962, at 10:00 o'clock in the forenoon.

PRESENT:

Ashley T. Cole, Chairman
Edmond M. Hanrahan, Commissioner
Joseph A. Gimma, Commissioner

Also present were: Harry J. Millar, Secretary, Francis P. Dunne, Steward, and Joseph E. Ruggiero, Counsel.

On motion of the Chairman, the following resolutions were adopted:

RESOLVED, that the Commission approve and confirm the recommendation of its Steward in extending for a period of forty-one days the apprentice allowance time of Jockey Ben Feliciano because of forty-one days lost by said Feliciano during which he was under the professional care of Dr. John J. Kilgallen and Dr. Alexander Kaye, from August 29 to October 8, 1962, inclusive.

RESOLVED, that the Commission approve and confirm the recommendation of its Steward in extending for a period of eleven days the apprentice allowance time of Jockey Terry Bove because of eleven days lost by said Bove who was under the professional care of Dr. Alexander Kaye from June 16 to June 26, 1962.

Upon motion duly made and seconded, the Commission approved the action of the Chairman in appointing Lawrence J. McMahon to the newly created position of additional Assistant to the Steward to date from September 20, 1962 on an annual basis at the rate of \$36.75 per day.

Upon motion duly made and seconded, the Commission approved the action of the Chairman in appointing Arthur P. Schumann, now an Inspector on the staff of the Commission, to the position of Inspector vacated by Lawrence J. McMahon on an annual basis.

Upon motion duly made and seconded, the Commission approved the action of the Chairman in appointing William A. Zavesky as an Inspector to fill the vacancy in the office of Inspector created by the transfer of Arthur P. Schumann as above stated, to date from October 10 and to terminate on the 30th day of November, 1962.

The Chairman briefly stated to the Commissioners the subject matter of his correspondence with Dr. Grant S. Kaley, Director of the Division of Animal Industry, State Department of Agriculture and Markets, and also with the United States Department of Agriculture with respect to incidences of equine piroplasmosis and equine infectious anemia in Florida.

The Secretary read to the Commission the letter of Walter T. Brown dated October 8, 1962 with respect to the situation of Finger Lakes Racing Association and its possible refinancing.

The Commission discussed several matters with respect to the activities of the Governor's Committee for the Saratoga Thoroughbred Racing Centennial.

The Commission approved the action of the Chairman in designating Mrs. Celia Reiner as Principal Stenographer and her assignment to duty as secretary to the Chairman.

The Commission considered and took appropriate action with respect to applications for occupational licenses.

With respect to the application of Robert Graham Duff for an owner's license, the Commission denied the application.

The Commission discussed the application of Jule Fink for an owner's license, and Mr. Ruggiero, as Counsel, was authorized to afford to Mr. Fink the opportunity to appear before the Commission accompanied by Counsel in the event that he wished to make any statements.

There being no further business, upon motion duly made and seconded, the meeting adjourned.



Secretary

Minutes of the regular monthly meeting of the State Racing Commission held, on due notice, at the office of the Commission, 745 Fifth Avenue, New York City, on Thursday, November 8, 1962, at 10 o'clock in the forenoon.

PRESENT:

Ashley T. Cole, Chairman
Joseph A. Gimma, Commissioner

Also present were; Harry J. Millar, Secretary, and Francis P. Dunne, Steward.

The Commission considered amending Rule 34(b) by adding the term "brother and brother" after the word "grandchild" in the second paragraph. However, the Commission determined not to make any such amendment at the present time.

Other matters of interest to the Commission were discussed.

The Commission considered and took appropriate action with respect to applications for occupational licenses.

There being no further business, upon motion duly made and seconded, the meeting adjourned.



Secretary

Minutes of the regular monthly meeting of the State Racing Commission held, on due notice, at the office of the Commission, 745 Fifth Avenue, New York City, on Thursday, December 13, 1962, at 10 o'clock in the forenoon.

PRESENT:

Ashley T. Cole, Chairman
Edmond M. Hanrahan, Commissioner
Joseph A. Gimma, Commissioner

Also present were: Harry J. Millar, Secretary, Joseph E. Ruggiero, Counsel, and Alex M. Robb, Director of Thoroughbred Breeders Service Bureau of the Commission.

Upon motion duly made and seconded, the Commission ratified the action of the Chairman in approving the application of Mr. Francis P. Dunne, Steward of the Commission, to serve as a Steward at the Fair Grounds in New Orleans from Saturday, December 1, 1962, to Saturday, March 9, 1963.

Upon motion duly made and seconded, the Commission approved the application dated December 3, 1962 by the Finger Lakes Racing Association Inc. for a license to conduct a race meeting at its plant in the Town of Farmington for 100 days commencing May 23, 1963 through September 21, 1963, with no racing on Mondays - May 27, June 3, June 10, June 17 and June 24, and for a license to conduct pari-mutuel betting at such race meeting; and the Chairman is hereby authorized to see that such forms are sent to the Association for proper completion and return to the Commission.


The Chairman read a letter which he is sending to Mr. Luke H. O'Brien, Treasurer and General Manager of the Finger Lakes Racing Association Inc., dated December 13, in which he expressed the Commission's doubts of the advisability of commencing the season at Finger Lakes Park as early as requested and also asked to be furnished with certain information to justify the early dates.

The Commission considered a letter from President Dickinson of The New York Racing Association Inc., requesting the assignment of dates for racing in 1963. The Commission is unanimously of opinion that racing should commence at Saratoga on Monday, July 29, rather than on Monday, August 5, as suggested by The NYRA. The Commission considered it of importance that there should be actual racing under way on Saturday, August 3, the 100th anniversary of the inauguration of Thoroughbred racing at Saratoga Springs. The Chairman was authorized to discuss this matter further with officers of The New York Racing Association Inc., and no action was taken upon Mr. Dickinson's application.

Mr. Joseph D. Ryle, Executive Director of the Governor's Committee for the Saratoga Thoroughbred Racing Centennial, entered the meeting, and there was a general discussion of the proposed program for the observance of the Centennial.

The Commission considered and took appropriate action with respect to applications for occupational licenses.

There being no further business, upon motion duly made and seconded, the meeting adjourned.


Secretary