

NEW YORK STATE RACING AND WAGERING BOARD

ANNUAL REPORT
AND SIMULCAST REPORT

1995
1996



George E. Pataki
Governor

Joseph P. Neglia
Member



Michael J. Hoblock, Jr.
Chairman

Bennett Liebman
Member



George E. Pataki, Governor

Chairman
Michael J. Hoblock, Jr.

Members
Bennett Liebman
Joseph P. Neglia



Executive Director
Edward J. Martin

Secretary to the Board
Stephanie Donato

STATE OF NEW YORK
RACING AND WAGERING BOARD

October 1, 1997

The Honorable George E. Pataki
Governor
New York State
Capitol
Albany, New York

Dear Governor Pataki:

The New York State Racing and Wagering Board is pleased to submit to you its 23rd Annual Report in accordance with Chapter 346 of the Laws of 1973. As in past years, the Simulcast report is incorporated into the Annual Report.

The following reports respond in detail to the legislative mandate and provide valuable information on the Board's activities for both 1995 and 1996. Comparative statistics for the past few years have been included for review and analysis of industry trends.

In 1995 and 1996, the pari-mutuel handle, on and off-track, amounted to more than \$5 billion, which resulted in a combined \$220 million in revenues to the state and local government. The combined handle for Bingo and Games of Chance for these two years was \$810 million, with charitable organizations receiving a net profit of \$142 million.

We appreciate the assistance provided by your office and the legislature in addressing issues of importance in industries involved with legalized wagering in our State.

Sincerely,

A handwritten signature in cursive script that reads "Michael J. Hoblock, Jr." Below the signature is the printed name "Michael J. Hoblock, Jr." in a serif font.

NEW YORK STATE RACING AND WAGERING BOARD

The New York State Racing and Wagering Board consists of three members, appointed by the Governor with the consent of the New York State Senate, for six-year terms. Of the three, one is designated by the Governor to serve as Chairman.

The current membership of the Board and terms of membership is as follows:

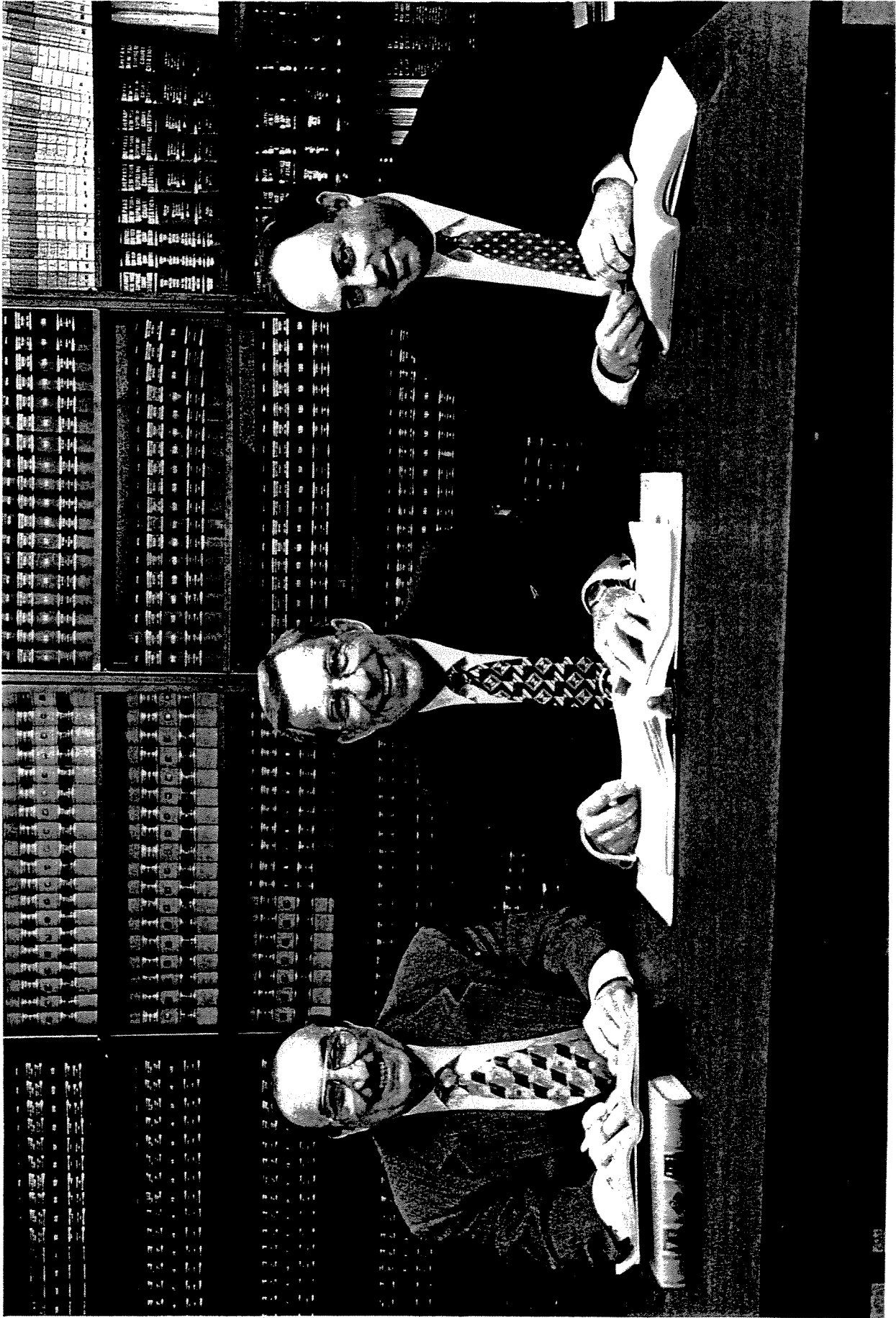
Michael J. Hoblock, Jr. Chairman	February 2002
Bennett Liebman	February 2000
Joseph Neglia	February 1998

BOARD EXECUTIVE STAFF

Edward J. Martin	Executive Director
Stephanie L. Donato	Secretary to Board
Robert Feuerstein	General Counsel
James Gallagher	Chief of Racing Operations
George Dranichak	Chief of Racing Officials

OFFICE LOCATIONS

Executive Office:	1 Empire State Plaza, Suite 1201 Albany, New York 12223 Telephone (518) 473-7201 Fax (518) 486-5936
New York City Office:	1 Penn Plaza, Suite 725 New York, New York 10119 Telephone (212) 290-4401 Fax (212) 290-4410
Games of Chance Unit:	2 Empire State Plaza, Suite 1801 Albany, New York 12223 Telephone (518) 474-5610 Fax (518) 486-5209
Turning Stone Casino:	5218 Patrick Road, P.O. Box 126 Verona, New York 13478 Telephone (315) 363-2803 Fax (315) 361-6425



Joseph P. Neglia, Michael J. Hoblock, Jr., Bennett M. Liebman

MICHAEL J. HOBLOCK, JR.

Michael J. Hoblock, Jr., appointed to the Racing & Wagering Board by Governor Pataki, was confirmed by the New York State Senate on May 6, 1997. He was designated by the Governor to serve as Chairman. Prior to his chairmanship of the Board, Mr. Hoblock was of counsel to the Albany law firm of Crane, Kelly, Greene and Parente.

After obtaining his Juris Doctor, Mr. Hoblock voluntarily served with the United States Marine Corps in Vietnam, where he was awarded the Bronze Star. Upon completion of active duty in 1971, Mr. Hoblock then joined the law firm of Zubres, D'Agostino, Hoblock & Greisler, eventually rising to partner. Mr. Hoblock's first elective office was to the New York State Assembly in 1978 where he served three terms. Following his service in the Assembly, Mr. Hoblock was elected as a member of the Colonie Town Board, serving for six years before being elected Albany County Executive. In 1994, Mr. Hoblock was elected to the New York State Senate.

Mike Hoblock and his wife Karen reside in Loudonville, New York.

Elected Career History

New York State Senator	1995-1996
Albany County Executive	1992-1994
Member, Town of Colonie Board	1985-1991
New York State Assemblyman	1978-1984

Private Industry

Of Counsel, Crane, Kelly, Greene and Parente
Partner, Zubres, D'Agostino, Hoblock & Griesler, P.C.

Education

Albany Law School Albany, New York	Juris Doctor
Siena College Loudonville, New York	Bachelor of Business Administration

Military Service

U.S. Marine Corps, Captain
U.S. Marine Corps, Staff Judge Advocate General
U.S. Marine Corps, Infantry Company Commander

JOSEPH P. NEGLIA

Joseph P. Neglia was first appointed to the New York State Racing and Wagering Board in 1984. A graduate of El Camino College in California, Mr. Neglia founded Al-Brite Paint Company Incorporated, and served as President for 23 years. He later served as Assistant Industrial Commissioner for the New York State Department of Labor and as Deputy Executive Director for the New York State Board of Elections. In 1978, Mr. Neglia was appointed by Governor Hugh Carey to the New York State Mediation Board where he served until his appointment to the Racing and Wagering Board.

In 1992, Joe Neglia was elected Chairman of the American Racing Commissioners International (ARCI), and has served as Treasurer, First and Second Vice President and President-Elect. In 1994, Mr. Neglia was awarded the ARCI's Joan Pew Award which is presented to a Racing Commissioner who has displayed "courage, dedication, vision and vitality."

Joe Neglia resides in Brooklyn, New York with his wife Irene. They are the parents of four grown children.

BENNETT LIEBMAN

Bennett Liebman was first confirmed to the Racing and Wagering Board in 1988. Mr. Liebman graduated summa cum laude from Union College and earned his law degree cum laude from New York University.

Bennett Liebman began his State service in 1975 as an Assistant to the Secretary of State. He later served as Associate Counsel and Counsel to Lieutenant Governor Mario M. Cuomo and later was appointed Special Deputy Counsel to Governor Cuomo. Mr. Liebman also served as Assistant Commissioner for Legal Affairs in the New York State Department of Taxation and Finance, a position he held until being confirmed to the Racing and Wagering Board. Mr. Liebman is the author of more than fifty published articles on horse racing and served from 1992 to 1995 as a contributing writer for the Daily Racing Form.

He resides in Slingerlands, New York with his wife Deborah and their two daughters.

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HISTORY OF THE NEW YORK STATE RACING AND WAGERING BOARD

The New York State Racing and Wagering Board was established by the State Legislature in 1973. The Board was created to combine the functions of the various existing racing commissions to provide a centralized authority. Pursuant to statute, the Board was given "general jurisdiction over all horse racing activities and all pari-mutuel betting activities, both on-track and off-track, in the state and over the corporations, associations, and persons engaged therein."

Prior to the 1973 enactment, the State had several Commissions which were charged with the regulation and oversight of legalized gambling that governed Thoroughbred Racing, Harness Racing, Quarter Horse Racing, Off-Track Betting and the Lottery. Under the new legislation, these Commissions were consolidated into the New York State Racing and Wagering Board.

Of the consolidated commissions, the State Racing Commission was the oldest, having been established in 1895. The Commission also held the prestige of being the oldest racing regulatory body in the nation. When the Racing and Wagering Board assumed the powers and duties of the Racing Commission, a reconstituted State Racing Commission was created as an advisory board.

The first permanent appointment to the Racing and Wagering Board was Joseph H. Boyd, Jr. Mr. Boyd was appointed as a member on June 30, 1973 by Governor Nelson Rockefeller. Emil Mosbacher, Jr., the first permanent Chairman of the Board was appointed on August 1, 1973.

The New York State Thoroughbred Breeding and Development Fund was also legislatively established in 1973. The object of the Fund is to promote breeding in New York State through breeders and stallion awards for the successes of New York-bred.

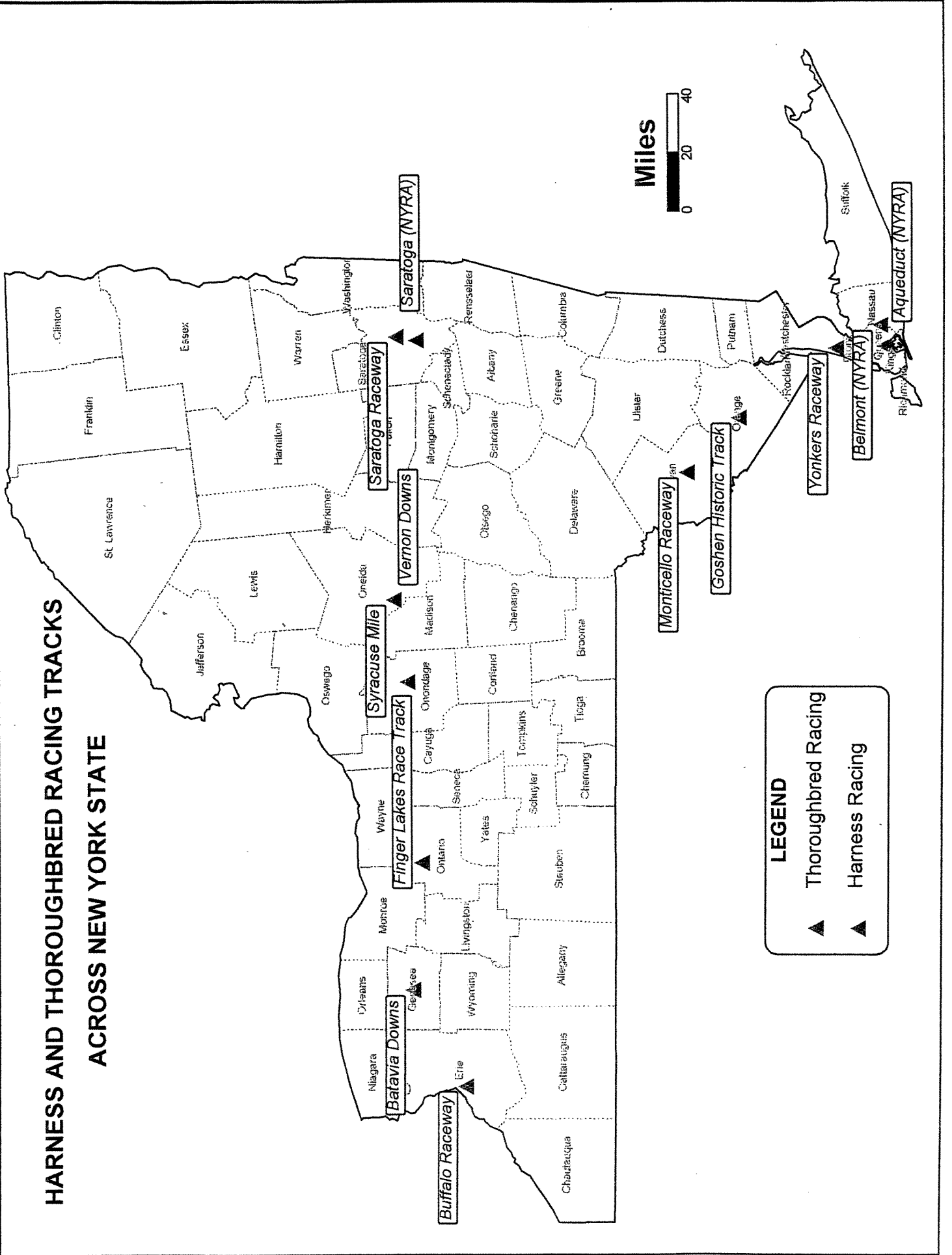
In 1975, the functions of the Bingo Control Commission were transferred to the Board. Since this transfer the Board has regulated both bingo and games of chance conducted by religious, charitable and certain non-profit organizations. Bingo was authorized pursuant to a constitutional amendment passed in 1957. Games of chance were authorized by constitutional amendment in 1975.

Finally, since 1993, the Board has regulated Indian gaming in the State pursuant to compacts entered between the State and the Oneida Indian Nation of New York, and the State and the St. Regis Mohawk Tribe. Currently there is one Native American casino in New York State which is operated by the Oneida Indian Nation in Verona, New York. The Nation-State Compact between the Oneida Indian Nation of New York and the State of New York, which was negotiated under the federal Indian Gaming Regulatory Act, was approved by the United States Department of the Interior on June 4, 1993. The Oneida Turning Stone Casino opened on July 20, 1993.

A compact was also signed between the State and the St. Regis Mohawk Tribe in 1993 under which the Board would regulate the gaming operations of the Mohawk. The St. Regis Mohawks, however, have not yet opened a casino.

Thoroughbred Tracks

HARNES AND THOROUGHBRED RACING TRACKS ACROSS NEW YORK STATE



THOROUGHBRED TRACKS

SARATOGA RACE COURSE

Union Avenue

Saratoga Springs, NY 12866

Website address: <http://www.nyra.com/saratoga/>

Phone: (518) 584-6200

Fax: (518) 488-6652

Home of the Grade I Travers Stakes, Saratoga is the oldest functioning race track in the country, with horse racing being inaugurated in 1863. Located in the City of Saratoga Springs, the track is on Union Avenue just off of Exit 14 of the Northway (Route 87). Saratoga has a seating capacity of 18,000. Additionally, the clubhouse restaurant contains 1,380 seats, other track restaurants contain 1,200 seats.

1997 Race Dates: July 23 - September 1

Wednesday through Monday

State Racing Officials:

State Steward:

John Joyce

Assistant to the Steward:

Carmine Donofrio

Assistant to the Steward:

Daniel DeVito

<u>Statistics</u>	<u>1996</u>	<u>1995</u>
Racing Days	32	34
Attendance	803,086	753,279
On Track Handle	\$98,382,000	\$99,162,000
Simulcasts from NYS Tracks	N/A	N/A
Simulcasts from out of state tracks	\$2,159,000	\$3,603,000
Total Facility Handle	\$100,541,000	\$102,765,000
Returned to Bettors	\$80,922,000	\$82,625,000
Association Fees	\$15,529,000	\$15,981,000
Breeders Funds	\$506,000	\$520,000
State Pari-Mutuel Tax	\$3,584,000	\$3,639,000
Gross Purses Paid	\$15,568,000	\$13,769,000

Note: All figures have been rounded

AQUEDUCT RACETRACK

110-00 Rockaway Boulevard
Ozone Park, NY 11417

Phone: (718) 641-4700
Fax: (718) 843-7786

Website address: <http://www.nyra.com/aqueduct/>

Aqueduct Racetrack, which opened in 1894 in Queens, was rebuilt in 1959. The racetrack is located on Rockaway Boulevard and may be reached from the Van Wyck or Long Island Expressway. Grandstand and clubhouse seating at Aqueduct is 17,000.

1997 Race Dates:	January 1 - May 11	Wednesday through Sunday
	October 22 - December 31	Wednesday through Sunday

State Racing Officials:	State Steward:	John Joyce
	Assistant to Steward:	Carmine Donofrio
	Assistant to Steward:	Daniel DeVito

<u>Statistics</u>	<u>1996</u>	<u>1995</u>
Racing Days	132	127
Attendance	916,862	1,079,050
On Track Handle	\$166,875,000	\$199,626,000
Simulcasts from NYS Tracks	\$27,932,000	\$32,614,000
Simulcasts from out of state tracks	\$58,972,000	\$63,046,000
Total Facility Handle	\$253,779,000	\$295,286,000
Returned to Bettors	\$203,273,000	\$237,358,000
Association Fees	\$41,212,000	\$45,606,000
Breeders Funds	\$1,274,000	\$1,481,000
State Pari-Mutuel Tax	\$8,020,000	\$10,841,000
Gross Purses Paid	\$36,405,000	\$33,073,000

Note: All figures have been rounded

BELMONT PARKHempstead Turnpike
Elmont, New York 11003

Phone: (516) 488-6000

Fax: (516) 488-6652

Website address: <http://www.nyra.com/belmont/>

Home of the Belmont Stakes, the third jewel of racing's Triple Crown, Belmont Park was named for August Belmont II. One of the older racetracks in the United States, racing commenced at Belmont Park in 1905. Remodeled in 1968, the track can now accommodate up to 90,000 racing fans. Belmont's seating capacity is 32,491. Additionally, clubhouse restaurants contain 1,800 seats.

1997 Race Dates: May 14 - July 20, Wednesday through Sunday
September 5 - October 19, Wednesday through Sunday

State Racing Officials:
State Steward: John Joyce
Assistant to the Steward: Carmine Donofrio
Assistant to the Steward: Daniel DeVito

Racing Statistics	1996	1995
Racing Days	94	107
Attendance	749,062	924,062
On Track Handle	\$161,718,000	\$224,041,000
Simulcasts from NYS Tracks	N/A	N/A
Simulcasts from out of state tracks	\$28,406,000	\$17,976,000
Total Facility Handle	\$190,124,000	\$242,017,000
Returned to Bettors	\$152,648,000	\$195,105,000
Association Fees	\$30,168,000	\$36,719,000
Breeders Funds	\$955,000	\$1,220,000
State Pari-Mutuel Tax	\$6,353,000	\$8,973,000
Gross Purses Paid	\$37,201,000	\$39,523,000

Note: All figures have been rounded

FINGER LAKES RACE TRACK

P.O. Box 25250

Farmington, New York 14425

Website address: <http://www.canamhorse.com/fl.html>

Phone: (716) 924-3232

Fax: (716) 924-7275

Finger Lakes Race Track is the only thoroughbred track operated by a for-profit corporation in New York State. Currently owned by Delaware-North Incorporated and operated by the Finger Lakes Racing Association, the track opened in 1962. The Clubhouse seats 2,000 and seating in the Grandstand area is 4,000. Located in central New York near Rochester, Finger Lakes may be reached by taking Exit 44 of the New York State Thruway to Routes 332 and 96.

1997 Race Dates:	April 5 – April 29	Saturday through Tuesday
	May 2 – October 31	Friday through Tuesday
	November 1 – December 3	Saturday through Wednesday

State Racing Officials:	State Steward:	Stuart Rainey
	Assistant to the Steward:	Delaine George

Racing Statistics	1996	1995
Racing Days	167	175
Attendance	271,584	322,141
On Track Handle	\$31,490,000	\$34,633,000
Simulcasts from NYS Tracks	\$5,756,000	\$4,890,000
Simulcasts from out of state tracks	\$3,862,000	\$3,615,000
Total Facility Handle	\$41,108,000	\$43,138,000
Returned to Bettors	\$32,408,000	\$34,093,000
Association Fees	\$8,040,000	\$8,375,000
Breeders Funds	\$206,000	\$215,000
State Pari-Mutuel Tax	\$454,000	\$455,000
Gross Purses Paid	\$11,061,000	\$12,180,000

Note: All figures have been rounded

Harness Tracks

HARNESS TRACKS

BATAVIA DOWNS

8315 Park Road
Batavia, New York 14020

Phone: (716) 343-3750
Fax: (716) 343-7773

Batavia Downs, owned and operated by the Genesee-Monroe Racing Association, opened in 1940, with Clubhouse seating of 3,000. The racetrack is located in Batavia, Genesee County on Route 5. Due to Batavia's close proximity to Buffalo, Batavia only races when Buffalo Raceway is not operating.

1997 Race Dates: July 30 - October 18 July - Monday & Tuesday
August - daily except Sunday & Tuesday
September and October - Wed. through Sat.

State Racing Officials: Presiding Judge: Arthur Gray
Associate Judge: Charles Crowley
Associate Judge: Arthur Wenerowicz

Racing Statistics	1996	1995
Racing Days	52	56
Attendance	60,608	97,526
On Track Handle	\$4,096,000	\$4,977,000
Simulcasts from NYS Tracks	\$5,019,000	\$5,920,000
Simulcasts from out of state tracks	\$3,069,000	\$2,960,000
Total Facility Handle	\$12,184,000	\$13,857,000
Returned to Bettors	\$9,601,000	\$10,939,000
Association Fees	\$2,312,000	\$2,608,000
Breeders Funds	\$98,000	\$112,000
State Pari-Mutuel Tax	\$173,000	\$198,000
Gross Purses Paid	\$1,234,000	\$1,780,000

Note: All figures have been rounded

MONTICELLO RACEWAY MANAGEMENT INCORPORATEDBerenson Pari-Mutuel of New York, Inc.
Monticello, New York 12701Phone: (914)794-4100
Fax: (914)794-4110

Monticello Raceway, located in Sullivan County, first opened for racing in 1958. The Raceway may be reached via Routes 17 and 17-B. The Raceway's grandstand contains seating capacity for 5,000; clubhouse restaurant seating capacity is 600.

1997 Race Dates:	January 1- July 2	Sunday through Wednesday
	July 4 - July 30	Friday through Wednesday
	August 1 - August 31	Friday through Sunday, Tuesday & Wednesday
	September 1 - September 30	Sunday through Wednesday
	October 4 - December 30	Saturday - Tuesday

State Racing Officials:	Presiding Judge: Vincent Bergamo
	Associate Judge: Edwin Ditewig
	Associate Judge: Robert Haughton

Racing Statistics	<u>1996</u>	<u>1995</u>
Racing Days	227	227
Attendance	191,380	219,357
On Track Handle	\$19,168,000	\$25,730,000
Simulcasts from NYS Tracks	\$6,516,000	\$6,068,000
Simulcasts from out of state tracks	\$9,330,000	\$5,739,000
Total Facility Handle	\$35,014,000	\$37,537,000
Returned to Bettors	\$27,609,000	\$29,654,000
Association Fees	\$6,742,000	\$7,195,000
Breeders Funds	\$322,000	\$344,000
State Pari-Mutuel Tax	\$341,000	\$344,000
Gross Purses Paid	\$5,466,000	\$5,555,000

Note: All figures have been rounded

SARATOGA RACEWAY

Saratoga Harness Racing, Inc.

P.O. Box 356

Saratoga Springs, NY 12866

Phone: (518) 584-2110

Fax: (518) 583-0995

Saratoga Raceway, which opened for racing in 1941, is currently owned and operated by the Saratoga Harness Racing Association. Located in the city of Saratoga Springs, two miles from downtown Saratoga, on Nelson Avenue, the track is off I-87 exit 13N or 14. The Raceway has grandstand seating capacity for 2,000; the clubhouse restaurant seating capacity is 1,700.

1997 Race Dates:	February 1 - November 29	
	February - April	Friday through Sunday
	May - June	Thursday through Saturday
	July - August	Tuesday through Saturday
	October - November	Thursday through Saturday

State Racing Officials:	Presiding Judge: Peter Russo
	Associate Judge: John Picinich
	Associate Judge: J. Brent McLaren

Racing Statistics	<u>1996</u>	<u>1995</u>
Racing Days	141	144
Attendance	204,832	249,192
On Track Handle	\$9,620,000	\$11,441,000
Simulcasts from NYS Tracks	\$11,480,000	\$12,850,000
Simulcasts from out of state tracks	\$9,822,000	\$9,020,000
Total Facility Handle	\$30,922,000	\$33,311,000
Returned to Bettors	\$24,518,000	\$26,526,000
Association Fees	\$5,753,000	\$6,096,000
Breeders Funds	\$229,000	\$249,000
State Pari-Mutuel Tax	\$422,000	\$440,000
Gross Purses Paid	\$3,382,000	\$3,550,000

Note: All figures have been rounded

VERNON DOWNS

Mid-State Raceway, Inc.

P.O. Box 860

Vernon, New York 13476-0860

Phone: (315) 829-2201

Fax: (315) 829-4384

Vernon Downs is owned and operated by the Mid-State Raceway, Inc. The track, which opened for racing in 1953, is located in Vernon, Oneida County, just off Route 5 and the New York State Thruway (Exit 33). The racetrack's grandstand seat capacity is 1,700; clubhouse restaurant seating capacity is 1,400.

1997 Race Dates: April 19 - November 1 Thursday, Friday and Saturday

State Racing Officials: Presiding Judge: Anthony Stellone
Associate Judge: Edward Haskell

Racing Statistics	1996	1995
Racing Days	115	138
Attendance	200,387	234,044
On Track Handle	\$10,639,000	\$14,129,000
Simulcasts from NYS Tracks	\$6,091,000	\$6,392,000
Simulcasts from out of state tracks	\$9,696,000	\$10,497,000
Total Facility Handle	\$26,425,000	\$31,017,000
Returned to Bettors	\$20,865,000	\$24,427,000
Association Fees	\$ 5,040,000	\$5,996,000
Breeders Funds	\$239,000	\$284,000
State Pari-Mutuel Tax	\$282,000	\$311,000
Gross Purses Paid	\$4,052,000	\$3,982,000

Note: All figures have been rounded

SYRACUSE MILE

Syracuse Mile

New York State Fairgrounds

Syracuse, New York 13209

Phone: (315) 487-7711

This meet, which is jointly conducted by the Mid-State Raceway, Inc., and Buffalo Trotting Association, Inc., operates only during the New York State Fair. The racetrack is located on the State Fairgrounds in Syracuse, off I-90 exit 36.

1997 Racing Dates: August 2 through August 17

State Racing Officials: Presiding Judge: Anthony Stellone
Associate Judge: Edward Haskell

Racing Statistics	<u>1996</u>	<u>1995</u>
Racing Days	7	7
Attendance	13,427	14,834
On Track Handle	\$1,516,000	\$1,672,000
Simulcasts from NYS Tracks	N/A	N/A
Simulcasts from out of state tracks	N/A	N/A
Total Facility Handle	\$1,516,000	\$1,672,000
Returned to Bettors	\$1,188,000	\$1,314,000
Association Fees	\$302,000	\$329,000
Breeders Funds	\$15,000	\$17,000
State Pari-Mutuel Tax	\$11,000	\$12,000
Gross Purses Paid	\$1,143,000	\$1,122,000

Note: All figures have been rounded

Off Track Betting

OFF-TRACK BETTING CORPORATIONS

CAPITAL REGIONAL OFF-TRACK BETTING CORPORATION

Davis Etkin, President

510 Smith Street
Schenectady, NY 12305

Phone: (518) 370-5151

Fax: (518) 370-5460

Website address: <http://www.capitalotb.com>

Capital District Regional Off-Track Betting Corporation (Capital) is comprised of twenty-one counties and the City of Schenectady. Seventeen of these counties participate in off-track betting. Capital, which currently operates 55 branches, is located in the northeastern portion of New York State. The major cities located within the region are Albany, Schenectady, Troy and Utica. There is one thoroughbred track, Saratoga Race Course, and two harness tracks, Saratoga Raceway and Vernon Downs, located within the region covered by Capital District OTB.

SIMULCAST THEATER

Capital opened its teleplex racing center in 1985. The Teleplex Racing Center is a single building containing two OTB facilities. One facility is an enhanced telebranch, the other a simulcast theater. The enhanced telebranch offers food and alcoholic beverages and has no dress code. The telebranch does not charge an admission fee and winning wagers are subject to the OTB surcharge. Admission to the Simulcast Theater is \$3.00 with no surcharge on winning wagers.

	<u>1996</u>	<u>1995</u>
NYS Thoroughbred Handle	\$111,948,000	\$123,590,000
NYS Harness Handle	\$24,420,000	\$29,008,000
Out-of-State Tracks	\$70,283,000	\$57,693,000
Special Events	\$3,735,000	\$4,387,000
TOTAL HANDLE	\$210,386,000	\$214,678,000

Note: All figures have been rounded

CATSKILL REGIONAL OFF-TRACK BETTING CORPORATION

Donald Groth, President

Park Place
Pomona, NY 10970

Phone: (914) 362-0400

Fax: (914) 362-0419

Website address: <http://www.interbets.com>

Catskill Regional Off-Track Betting Corporation (Catskill) is comprised of thirteen counties, nine of which participate as members of this corporation. The Corporation has 28 branches which are located in the eastern and southern regions of the state. Some of the larger cities served by Catskill are Binghamton, Kingston, Middletown, Newburgh, Poughkeepsie and Suffern. In the Catskill region there are two operating tracks, both of which are harness tracks, Monticello Raceway and Yonkers Racetrack.

SIMULCAST THEATER

Mt. Fugi restaurant is located high on a mountain in Hillburn, New York. This simulcast theater opened on April 30, 1993. Currently there is a \$5.00 admission charge which reverts to the concessionaire. There is no surcharge on winning wagers.

	<u>1996</u>	<u>1995</u>
NYS Thoroughbred Handle	\$57,619,000	\$65,737,000
NYS Harness Handle	\$21,929,000	\$27,229,000
Out-of-State Tracks	\$38,943,000	\$34,730,000
Special Events	\$2,643,000	\$2,798,000
TOTAL HANDLE	\$121,134,000	\$130,494,000

Note: All figures have been rounded

NASSAU REGIONAL OFF-TRACK BETTING CORPORATION

Joseph Mondello, President

220 Fulton Avenue

Hempstead, New York 11550

Phone: (516) 572-2800

Fax: (516) 572-2840

Nassau Regional Off-Track Betting Corporation (Nassau) is comprised of one county, Nassau. Nassau operates sixteen branches. Pursuant to Article 5-a of the NYS Racing, Pari-Mutuel Wagering and Breeding Law, the regional tracks for Nassau Off-Track Betting Corporation are the NYRA tracks, Yonkers and Monticello. Nassau makes greater use of self-service automated machines than any other State OTB corporation. Approximately 30% of Nassau's wagering occur on this equipment.

SIMULCAST THEATER

Unlike other OTB corporations throughout the State, Nassau does not have a simulcast theater, nor does it offer beverages, food or the lottery in any branch.

	<u>1996</u>	<u>1995</u>
NYS Thoroughbred Handle	\$117,439,000	\$125,368,000
NYS Harness Handle	\$29,201,000	31,678,000
Out-of-State Tracks	\$70,068,000	\$58,949,000
Special Events	\$3,031,000	\$4,133,000
TOTAL HANDLE	\$219,739,000	\$220,128,000

Note: All figures have been rounded

NEW YORK CITY OFF-TRACK BETTING CORPORATION

Allie Sherman, President

1501 Broadway

New York, New York 10036

Phone: (212) 221-5200

Fax: (212) 221-8020

New York City Off-Track Betting Corporation, located entirely within the City of New York, is comprised of five counties: New York, Kings, Richmond, Bronx, and Queens where the Corporation operates seventy-nine branches. The regional tracks for New York City Off-Track Betting Corporation are the New York Racing Association thoroughbred tracks, and two harness tracks, Yonkers and Monticello, pursuant to Article 5-a of the NYS Racing, Pari-Mutuel Wagering and Breeding Law.

SIMULCAST THEATERS

New York City Off-Track Betting Corporation operates three simulcast theaters, The Winners Circle, The Inside Track and The Select Club, each of which impose a \$5.00 admission charge. All three facilities have full service menus and alcoholic beverages. Track prices are paid on simulcast races, as wagers placed at the simulcast theater are not subject to the surcharge.

	<u>1996</u>	<u>1995</u>
NYS Thoroughbred Handle	\$477,369,000	\$489,181,000
NYS Harness Handle	\$85,050,000	\$118,862,000
Out-of-State Tracks	\$305,532,000	\$230,607,000
Special Events	\$12,706,000	\$15,807,000
TOTAL HANDLE	\$880,657,000	\$854,457,000

Note: All figures have been rounded

SUFFOLK REGIONAL OFF-TRACK BETTING CORPORATION

Howard C. DeMartini, President

5 David Drive

Hauppauge, New York 11787

Phone: (516) 853-1000

Fax: (516) 853-1080

Website address: <http://www.bigwinsatotb.com>

Suffolk Regional Off-Track Betting Corporation, which is located entirely in Suffolk County, operates sixteen branches. Pursuant to Article 5-a of the NYS Racing, Pari-Mutuel Wagering and Breeding Law, the regional tracks for Suffolk Off-Track Betting are Monticello and Yonkers and the NYRA tracks.

SIMULCAST THEATERS

The simulcast theater at Suffolk OTB, called Tel-A-Race, opened in May 1986. This facility offers a full-sized restaurant, a snack bar, two large theater areas, and a bar with alcoholic beverage service. The admission charge at Tel-A-Race is \$5.00 and there is a dress code. Track prices are paid on simulcast races, with no surcharge on winnings.

	<u>1996</u>	<u>1995</u>
NYS Thoroughbred Handle	\$83,169,000	\$101,047,000
NYS Harness Handle	\$23,984,000	\$28,876,000
Out-of-State Tracks	\$49,180,000	\$44,387,000
Special Events	\$2,415,000	\$2,750,000
TOTAL HANDLE	\$158,748,000	\$177,060,000

Note: All figures have been rounded

WESTERN REGIONAL OFF-TRACK BETTING CORPORATION

Martin Basinait, President

700 Ellicott Street

Batavia, New York 14020

Phone: (716) 343-1423

Fax: (716) 343-6873

Website address: <http://www.westernotb.com>

The Western Regional Off-Track Betting Corporation, located in Western New York State, is comprised of 18 counties, 15 of which participate in off-track betting. The two major cities served by Western area, Buffalo and Rochester, also participate as full members of OTB. Western has forty-eight branches and a simulcast theater.

Within its region, Western OTB contains one thoroughbred track (Finger Lakes Race Track) and two harness tracks (Buffalo Raceway and Batavia Downs).

SIMULCAST THEATER

Western opened its first simulcast theater on May 1, 1993, at the Rainbow Center Mall in Niagara Falls. This facility, which charges a \$3 admission fee, seats 240 people, offers restaurant service including alcoholic beverages and has no dress code. Winning wagers on non-simulcast races are subject to the surcharge.

	<u>1996</u>	<u>1995</u>
NYS Thoroughbred Handle	\$81,941,000	\$91,208,000
NYS Harness Handle	\$31,807,000	\$35,469,000
Out-of-State Tracks	\$59,961,000	\$53,681,000
Special Events	\$2,835,000	\$3,694,000
TOTAL HANDLE	\$176,544,000	\$184,052,000

Note: All figures have been rounded

SUMMARY OF LEGAL DEPARTMENT RULES

1996 RULES

1. **Breakage:** Rule 5209.11 was adopted to establish a formula for the calculation of breakage on pari-mutuel payoff at off-track betting facilities, after application of the off-track betting surcharge. Statutory changes in 1994 had amended the breakage formula for on-track breakage but had not addressed the surcharge breakage formula. This Rule incorporated the on-track breakage definitions and provided a valid surcharge breakage formula in order to assure the continued flow of surcharge breakage to local government.
2. **License Fees:** Pursuant to legislation which authorized the raffle as a game of chance, the Board adopted an amendment to Rule 5624.3 to set forth the minimum percentage for collection of the additional licensing fee to be collected by the (municipal) licensing authorities from licensees conducting raffles.
3. **Wagering:** The Board adopted amendments to the thoroughbred, harness, and, quarterhorse rules to reduce the number of betting interests required for the conduct of exacta, quinella and trifecta wagering. This amendment was intended to alleviate problems which resulted in situations where there were not sufficient horses present to conduct certain wagering in accordance with the existing minimum of betting interests.
4. **Identification:** The Board adopted an amendment to Rule 4106.5 to authorize the use of freeze branding as a method for the identification of harness race horses. All horses were identified previously by a lip tattoo. Industry practice changed to this newer method and the Board's amendment reflected this change in order to permit the continued identification of harness horses for pari-mutuel racing.

1995 RULES

1. **Lasix:** The Board adopted amendments to the thoroughbred, harness and quarterhorse rules to permit the raceday administration of furosemide (lasix) to horses which meet eligibility requirements. The amendments were intended to place New York racing in a more favorable competitive position in relation to those states which allow the use of raceday lasix, and responded to industry requests. The rules provide as well for racing program notation of lasix use and for recordkeeping requirements.
2. **Advertising:** The Board adopted a new thoroughbred rule (4041.6) to permit jockeys to wear promotional materials while racing. This Rule is based upon Section 212-a of the Racing, Pari-Mutuel Wagering and Breeding Law, which authorized this activity and provides for the approvals of the owners of the horse as well as the stewards.
3. **Spousal Disqualification:** The Board amended its thoroughbred rule (4002.14) which had provided for the automatic disqualification for licensing purposes of the spouse of a veterinarian or farrier who is ineligible for licensing as an owner or trainer. The revised Rule provides a mechanism for the spouse to establish independence for the purposes of thoroughbred racing.
4. **Safety vests:** The Board amended its safety vest rule (4006.8) to expand the coverage of the requirement that a safety vest be worn at thoroughbred tracks. The revised rule includes any person participating in a race or training or exercising or ponying a horse whereas the existing rule had applied only to jockeys while participating in a race. The Rule is intended to limit racetrack injuries.

SUMMARY OF LEGAL DEPARTMENT CASES

1996

1. **MATTER OF THE NORTHEASTERN HARNESS HORSEMEN'S ASSOCIATION V. BOARD**

The New York State Supreme Court upheld the Board's determination which granted certification to a newly formed horsepersons' organization pursuant to Racing, Pari-Mutuel Wagering and Breeding Law Section 318(1)(b)(iv). The Court found the Board's interpretation of the statute to be rational and rejected the argument that the replaced organization had a constitutional right to a hearing.

2. **MATTER OF MERTON V. BILINSKI**

The New York State Supreme Court rejected the argument that a former licensee was entitled to a hearing with regard to reinstatement of a license which had been summarily suspended and expired prior to the conduct of a hearing. The Court ordered that the Board hear and determine a new application within sixty days.

3. **MATTER OF BRONXDALE HALL V. STATE OF NEW YORK**

The New York State Supreme Court upheld the Board's determination that a commercial (bingo) lessor lacked standing to contest the grant of a commercial lessor's license to a competitor.

4. **MATTER OF NYSRWB V. EAGLE**

The New York State Supreme Court, Appellate Division, affirmed a lower court decision which upheld the Board's power to subpoena non-licensees, but found an insufficient basis for the subpoena upon the facts presented.

5. **MATTER OF MORRILL V. NYSRWB**

The New York State Supreme Court, Appellate Division confirmed the Board's determination which suspended the license of a harness driver for driving in a manner inconsistent with an attempt to win. The Court rejected arguments that the rule violated was unconstitutionally vague, that the driver had insufficient notice of the charges, and that the determination was not supported by substantial evidence.

6. **MATTER OF SACHS V. NYSRWB**

The New York State Supreme Court, Appellate Division modified a lower court order which had granted part of a veterinarian's motion to quash a subpoena duces tecum. The subpoena had sought treatment records and billing records. The Appellate Court noted that the Board has broad regulatory authority and that the subpoena was sufficiently narrow in scope and reasonably related to the proper subject of inquiry in the board's jurisdiction.

7. **MATTER OF CAPITAL DISTRICT OFF-TRACK BETTING CORPORATION V. BILINSKI**

The New York State Supreme Court declared the Board's emergency post-surcharge breakage rule null and void based upon the Court's finding that an insufficient basis existed for the emergency action. The Board appealed to the Appellate Division. This appeal was not perfected due to the Board's enactment of a permanent post-surcharge breakage rule effective December 1, 1996.

1995

1. **MATTER OF DEBONIS V. NYSRWB**

The New York State Supreme Court found that the Board's assignment of a hearing officer was not arbitrary or capricious. The petition was dismissed. Upon reargument, the proceeding was transferred to the Appellate Division.

2. **MATTER OF BECKWITH V. NYSRWB**

The New York State Supreme Court, Appellate Division upheld the Board's findings and imposition of three consecutive sixty day suspensions for trainer's responsibility resulting from multiple equine drug violations.

3. **CATSKILL REGIONAL OFF-TRACK BETTING CORP. V. NYSRWB**

The New York State Supreme Court found that Catskill Regional OTB, a public benefit corporation, lacked standing to challenge the constitutionality of a statute enacted by the New York State legislature. The OTB corporation had sought to challenge Section 502 of the Racing, Pari-Mutuel Wagering and Breeding Law with regard to service as an off-track betting corporation director in counties with a population of more than 200,000.

LICENSING

All participants in racing in New York State are required to obtain occupational licenses which have been issued on a calendar year basis for one, two or three years.

In 1995, a total of 15,322 licenses were issued to participants at New York State's racetracks. Harness racing licenses issued totaled 7,671, while 7,651 licenses were issued in the Thoroughbred division. During the 1996 license year, a total of 12,591 licenses were issued; 6,365 in Thoroughbred and 6,226 in Harness.

The multi-year license program results in valid licenses which must be added into the counts given above to present a more accurate picture of the number of licenses active. During the 1995 license year, 20,225 licenses were valid and 19,352 were valid during the 1996 license year due to the multi-year license period.

The licensing unit processed and transmitted 7,683 (4,508 New York State; 3,175 Federal) fingerprint sets in 1995 and 4,760 (2,650 New York State; 2,110 Federal) in 1996.

INVESTIGATIONS

The Investigations unit handled 809 cases in 1995 and 1,109 cases in 1996. These cases involved all areas of questionable racing activities including equine and human drug usage, hidden ownership, insurance scams, organized crime interests, OTB concessionaires, race fixing, etc.

Fingerprint searches yielding criminal histories triggered 1,943 case openings in 1995 and 1,326 in 1996.

SUSPENSIONS AND FINES

In 1995, 326 (47 for 673 days in Thoroughbred, 279 for 2,864 days in Harness) suspensions were issued. In 1996, 296 (32 for 382 days in Thoroughbred; 264 for 1,722 days in Harness) suspensions were issued.

There were 466 fines issued in 1995 (126 Thoroughbred; 340 Harness) for a total of \$61,090. In 1996, 469 fines were issued (88 Thoroughbred; 381 Harness) for a total of \$49,815.

WAGERING SYSTEMS

BINGO

The conduct of Bingo was first authorized through an amendment to the New York State Constitution in 1957. It is under this amendment that qualified charitable, religious and non-profit organizations may employ the use of Bingo as a mechanism for fundraising. In 1977, all functions and powers relating to the conduct of Bingo were placed under the jurisdiction of the New York State Racing and Wagering Board.

In 1984, the New York State Legislature acknowledged the needs of the charitable organizations and increased the levels of monetary prizes which had remained at the same level since 1957. Raising the levels of the prizes awarded allowed charitable organizations to compete with the advent of casinos, legalized state lotteries and off-track wagering.

The Wagering Division of the Board issued 74 Bingo identification numbers in 1995 and 63 in 1996, for a cumulative total of 8,260. In 1995, there were 106,048 Bingo occasions and 105,000 in 1996.

GAMES OF CHANCE

The Racing and Wagering Board was granted regulatory authority over charitable games of chance following the 1975 Constitutional Amendment permitting games of chance to be played by religious, charitable and non-profit organizations.

Games of Chance in New York State include Raffles, Bell-Jar tickets, Merchandise Wheels, Craps, Roulette, Black Jack, Big Six, Money Wheel, Chuck-A-Luck, Hazard, Under and Over Seven, Beat the Dealer, Big Nine, Color Wheel, Bang, Joker Seven, Horse Race Wheel and Best Poker Hand.

The Wagering Division of the Board issued 286 Games of Chance identification numbers in 1995 and 262 in 1996, for a cumulative total of 5,399 registered organizations.

BELL JAR

The Racing and Wagering Board is responsible for all licensing, registration, and enforcement activities related to the manufacture, distribution and sale of bell jar tickets in the State. Organized into three sections, the Bureau of Bell Jar and Charitable Gaming Compliance is comprised of an Audit and Investigations Unit, a Fee Processing Unit, and a Licensing / Operations Unit.

*Confiscated
Per month*

The primary function of the Audit and Investigations Unit is to curtail the trafficking of illegal bell jar tickets into the state and the resulting diversion of funds from their intended charitable purposes. Industry representatives estimate that, for every ticket legally sold and reported in the state, between three and seven illegal tickets are sold and not reported. The Unit conducts its investigations jointly with the Attorney General's Office, the New York State Police and the State Liquor Authority, as well as federal, state and local law enforcement agencies located in New York and other states.

The Fee Processing Unit ensures the proper and accurate collection of the additional license fees and the fees paid by licensed manufacturers and suppliers. This Unit collects and deposits all funds into the special revenue fund, which is used exclusively for compliance and enforcement.

The Licensing/Operations Unit is responsible for ensuring the proper licensing of all manufacturers and suppliers of bingo and games of chance equipment and the issuance of registration numbers qualifying authorized organizations for licensing at the municipal level. Additionally this unit formally approves each type of bell jar ticket prior to sale within the State.

INDIAN GAMING

Class III, or casino style gaming on Native American lands, came to New York State as a result of the passage by the United States Congress of the Indian Gaming Regulatory Act (IGRA) of 1988, 25 U.S.C. §2701 *et seq.* This type of gambling is regulated jointly between the Indian nation or tribe and the Board's Bureau of Indian Gaming Regulation. Presently, only the Oneida Indian Nation of New York conducts Class III gaming, having opened their Turning Stone Casino in Verona on July 20, 1993. The St. Regis Mohawk Tribe has obtained a gaming compact with the State, but has not yet established casino operations.

The Indian Gaming Regulatory Act

The Indian Gaming Regulatory Act (IGRA) contains a regulatory scheme designed to provide different levels of jurisdiction depending upon the type of gambling that is occurring on Indian lands.

IGRA divides gambling into three types and establishes a regulatory scheme for each. Class I gaming is described as "social games played solely for prizes of minimal value or traditional forms of Indian gaming engaged in as part of, or in connection with, tribal ceremonies or celebrations." Class I gaming is under the exclusive jurisdiction of Indian tribes and is not subject to the provisions of IGRA.

Class II gaming is defined as "the game of chance commonly known as bingo ... including (if played at the same location) pull-tabs, lotto, punch boards, tip jars, instant bingo and other games similar to bingo." Class II gaming is under tribal jurisdiction, subject to the provisions of IGRA and oversight of the National Indian Gaming Commission. States are not permitted to regulate any Class II gaming activity. Class II gaming is operated by the Oneida Indian Nation of New York, the St. Regis Mohawk Tribe and the Seneca Nation of Indians.

Class III gaming is defined as all other types of gambling, including banked card games (e.g., baccarat, chemin de fer and blackjack), slot machines, pari-mutuel wagering and jai alai. Under IGRA, Class III gaming may only be conducted pursuant to the terms of a compact between a tribe and the state in which its lands are located.

New York Compacts and Provisions

Thus far, Class III gaming compacts have been reached between the State and both the St. Regis Mohawk Tribe and Oneida Indian Nation of New York. On April 16, 1993, Governor Mario M. Cuomo signed the Oneida Indian Nation of New York's compact on behalf of the State of New York. The compact was subsequently approved by the Bureau of Indian Affairs on June 4, 1993. The St. Regis compact, reached in late 1994, was signed by Governor Cuomo on November 22, 1994, with federal approval being granted on January 19, 1995. The two compacts are substantially identical.

Contained in each compact and appendices are standards and specifications for each game permitted to be played at an Indian casino, the rules and internal controls governing the operation of the gaming facility, procedures for certification and/or registration of gaming employees and companies transacting business with the casino and a system of mediation of disputes.

The Board maintains a constant presence on the casino floor which, in the case of the Oneida Turning Stone Casino, is a 24 hour operation. Board Gaming Inspectors ensure that gaming operations, such as dealing procedures, internal accounting and other controls, strictly conform to the applicable provisions of the compact and its appendices. Gaming Inspectors are experienced, professional investigators who jointly monitor the gaming activities with the Nation or Tribal Gaming Inspectors, security officers and surveillance department. Casino patrons may seek State Gaming Inspectors to clarify rules of a game and for recourse after filing a complaint.

The Certification and Registration Unit is responsible for the review and subsequent denial of the applications submitted by all persons involved with Class III gaming in the State. No employee or manager may be employed by the casino operator unless the individual or firm has been previously approved by the Board. The level of scrutiny to which employee applicants are

subject depends upon the nature of their responsibilities at the casino and their degree of access and ability to influence gambling activities on the gaming floor. At a minimum, all applicants are fingerprinted and must undergo a background investigation by the Federal Bureau of Investigation, the New York State Division of Criminal Justice Services and the New York State Police - Casino Detail.

The following Chart illustrates activity in the Certification and Registration Unit with respect to individual applications at the Turning Stone Casino. As withdrawal or termination may occur at any step in the certification process after an applicant has been received by the Board, the combined approval, denial and withdrawal figures exceed the total number of applications received.

**APPLICATIONS FOR CERTIFICATION AND THEIR DISPOSITION
TURNING STONE CASINO, VERONA, NEW YORK**

Category	Calendar Year 1995 / 1996	Total, Through December 31, 1996
Applications Received	740 / 698	4,089
Temporary Certifications Issued	697 / 680	3,321
Temporary Certifications Denied	0 / 2	26
Applications Withdrawn	12 / 4	46
Annual Certifications Issued	437 / 402	1,641
Applications Terminated	473 / 580	1,927

Pursuant to each gaming compact, enterprises or individuals wishing to conduct gaming-related business with a Class III gaming facility must be temporarily approved in advance by the Board and then undergo a thorough registration and licensing process. These enterprises range from sole proprietorships to publicly traded multi-national corporations. Each business applicant and their principal officers and employees must be fingerprinted and undergo an extensive background investigation conducted by the New York State Police - Casino Detail. At the conclusion of this background investigation, a decision is reached whether the business entity is suitable to conduct business with a casino.

Pursuant to federal law and each gaming compact, all of the State's regulatory expenses, for both personnel and equipment, are paid for or reimbursed by the regulated Indian Nation or Tribe.

SIMULCASTING

Simulcasting is the display of live televised horse races on which pari-mutuel betting is permitted at a site other than the track where the race is held. 1996 marks the eleventh year of comprehensive statewide simulcasting of horse races within New York State.

Simulcasting was first authorized by the State Legislature in 1984 on a one-year experimental basis and was extended permanently in 1990. The law was designed specifically to remove statutory obstacles to growth and establish a framework for racetracks and off-track betting corporations to work together and negotiate positions in good faith for the benefit of racing and government.

In subsequent years, statutory changes authorized simulcasting of out-of-state thoroughbred special events for tracks and OTB corporations; simulcast of out-of-state full cards into NYRA, its satellite receivers and OTB's were authorized on NYRA "dark days" or days that NYRA races were curtailed or called off for safety reasons; state and local withholding taxes on winning pari-mutuel payoffs were repealed.

In accordance with the provisions of Section 1002(1) of the Racing Law, the Board issued guidelines for applications for simulcast facility licenses. An annual licensing cycle of July 1 through June 30 of the following year was established to coincide with the statutory authorization concerning Article X.

A breakdown by applicant is set forth below:

APPLICANTS	NUMBER OF LICENSES ISSUED '95	FEE PAID	NUMBER OF LICENSES ISSUED '96	FEE PAID
NYC OTB	72	\$ 36,000	72	\$ 36,000
NASSAU OTB	15	7,500	14	7,000
SUFFOLK OTB	13	6,500	13	6,500
CATSKILL OTB	22	11,000	24	12,000
CAPITAL OTB	49	24,500	50	25,000
WESTERN OTB	47	23,500	46	23,000
NYRA	1	500	1	500
FINGER LAKES	1	500	1	500
YONKERS RACEWAY	1	500	1	500
SARATOGA RACEWAY	1	500	1	500
VERNON DOWNS	1	500	1	500
BATAVIA DOWNS	1	500	1	500
BUFFALO RACEWAY	1	500	1	500
MONTICELLO	1	500	1	500
	226	\$ 113,000	227	\$ 113,500

Statistical Data

TOTAL BETTING AT EACH TRACK

	1996 TOTAL BETTING AT EACH TRACK	1995 TOTAL BETTING AT EACH TRACK	\$ INCREASE (DECREASE) 1996-1995	% INCREASE (DECREASE) 1996-1995
RECEIVING TRACK				
THOROUGHBRED				
NYRA	\$544,443,707	\$640,069,163	(\$95,625,456)	-14.9%
Finger Lakes	\$41,107,872	\$43,138,390	(\$2,030,518)	-4.7%
TOTAL THOROUGHBRED TRACKS	\$585,551,579	\$683,207,553	(\$97,655,974)	-14.3%
HARNESS				
Batavia	\$12,183,812	\$13,857,257	(\$1,673,445)	-12.1%
Buffalo	\$30,266,939	\$35,764,962	(\$5,498,023)	-15.4%
Monticello	\$35,013,135	\$37,537,193	(\$2,524,058)	-6.7%
Saratoga	\$30,921,540	\$33,311,059	(\$2,389,519)	-7.2%
Vernon	\$26,424,826	\$31,016,980	(\$4,592,154)	-14.8%
Yonkers	\$154,633,758	\$195,009,850	(\$40,376,092)	-20.7%
Syracuse Mile	\$1,516,221	\$1,671,570	(\$155,349)	-9.3%
TOTAL AT HARNESS TRACKS	\$290,960,231	\$348,168,871	(\$57,208,640)	-16.4%
TOTAL THOROUGHBRED & HARNESS	\$876,511,810	\$1,031,376,424	(\$154,864,614)	-15.0%

**ON TRACK BETTING HANDLES 1996 COMPARED TO 1995
TRACKS OWN RACING AND TRACK TO TRACK SIMULCASTS
(INCLUDING TRACK TO TRACK SIMULCASTS FROM NEW YORK TRACKS AND OUT OF STATE TRACKS)**

	<u>1996</u>	<u>1995</u>	
	Handle	Percent of Total	Handle Percent of Total
TOTAL LIVE THOROUGHBRED RACING	458,466,013	52.3%	557,461,784 54.1%
TOTAL LIVE HARNESS RACING	110,836,325	12.6%	160,844,924 15.6%
TOTAL LIVE RACING FROM NYS TRACKS	569,302,338	65.0%	718,306,708 69.6%
TOTAL NYRA SIMULCASTING	126,892,254	14.5%	142,559,249 13.8%
TOTAL FINGER LAKES SIMULCASTING	5,541,265	0.6%	5,919,039 0.6%
TOTAL NYS HARNESS TRACKS SIMULCASTING	14,623,893	1.7%	9,763,741 0.9%
TOTAL SIMULCASTS FROM NYS TRACKS	147,057,412	16.8%	158,242,029 15.3%
TOTAL HANDLE FROM NYS TRACKS OWN RACING AND SIMULCASTS BETWEEN NYS TRACKS	716,359,750	81.7%	876,548,737 85.0%
TOTAL BETTING ON OUT OF STATE RACES WAGERED AT NYS TRACKS	160,152,060	18.3%	154,830,957 15.0%
TOTAL BETTING AT NYS TRACKS FROM ALL SOURCES	876,511,810	100.0%	1,031,379,694 100.0%

**1996 IN STATE BETTING ON NEW YORK AND OUT-OF-STATE RACING AND COMPARISON WITH 1995 TOTALS
 COMPRISES HANDLES FROM TRACKS OWN RACING ON-TRACK, TRACK TO TRACK SIMULCASTS AND FROM OTB BETTING ON NEW YORK AND OUT-OF-STATE RACES
 (READ ACROSS FOR TOTAL BETTING AT EACH TRACK SITE FROM SIMULCASTS FROM OTHER TRACKS AND OWN RACING)
 (READ DOWN FOR TOTAL BETTING ON A TRACK'S OWN RACES AT ALL LOCATIONS, INCLUDING SIMULCASTS TO OTHER NEW YORK TRACKS, NEW YORK OTB AND ON-TRACK)**

RECEIVING TRACK	TRACK TO TRACK SIMULCASTS FROM										SYRACUSE OUT-OF-STATE	TOTAL	ON-TRACK BETTING AT OWN RACING	1996 TOTAL BETTING AT EACH TRACK	
	NYRA TO	FINGER LAKES TO	BATAVA TO	BUFFALO TO	MONTICELLO TO	SARATOGA TO	VERNON TO	YONKERS TO	SYRACUSE TO	OUT-OF-STATE TO					
THOROUGH-BRED															
NYRA	\$27,801,577														
Finger Lakes	5,755,577														
TOTAL THOROUGH-BRED TRACKS	\$33,687,154														
HARNESS															
Blauvelt	\$2,230,054	\$1,087,878	\$0	\$1,337,015	\$38,959	\$5,099	\$0	\$234,238	\$27,811	\$3,058,597	\$8,087,650	\$4,088,182	\$12,183,812		
Buffalo	3,388,817	1,374,805	\$47,863	0	113,073	72,388	248,827	488,108	27,718	8,727,468	\$18,283,562	18,003,357	\$30,286,938		
Monticello	3,448,085	902,828	42,508	185,865	0	2,638	181,038	1,712,827	41,085	8,329,848	\$15,844,778	18,188,358	\$30,013,135		
Saratoga	8,903,882	1,482,787	118,357	384,098	62,583	0	0	\$37,883	0	9,822,553	\$21,301,971	9,819,569	\$30,821,540		
Vernon	3,087,144	712,888	0	\$28,048	228,720	0	0	1,071,535	\$3,813	8,688,044	\$18,788,063	10,638,733	\$28,424,828		
Yonkers	72,171,318	0	318,228	1,720,725	2,808,789	30,128	\$11,975	0	70,881	28,108,201	\$103,838,834	50,783,824	\$154,833,758		
Syracuse Mile	0	0	0	0	0	0	0	0	0	0	0	1,518,221	\$1,518,221		
TOTAL AT HARNESS TRACKS	\$83,205,100	\$5,541,265	\$1,324,812	\$4,563,520	\$3,051,134	\$170,232	\$1,238,540	\$4,024,887	\$250,788	\$88,753,848	\$180,123,808	\$110,838,325	\$290,960,231		
TOTAL THOROUGH-BRED & HARNESS	\$126,892,254	\$5,541,265	\$1,324,812	\$4,563,520	\$3,051,134	\$170,232	\$1,238,540	\$4,024,887	\$250,788	\$189,152,000	\$307,208,472	\$599,302,338	\$878,811,810		
TOTAL OTB BETTING - N.Y. RACING	\$788,722,048	\$148,762,210	\$8,161,470	\$32,000,880	\$37,571,730	\$10,302,882	\$14,867,488	\$112,607,651	\$828,377	\$821,331,708	\$1,145,875,525	\$621,331,708	\$1,767,207,233		
TOTAL OTB BETTING - OUT-OF-STATE	\$915,814,300	\$148,303,475	\$8,488,282	\$38,584,380	\$40,822,864	\$10,472,824	\$18,228,028	\$118,532,518	\$1,080,165	\$781,483,768	\$2,074,416,705	\$698,302,338	\$2,772,729,043		
ON-TRACK OWN RACING	428,875,518	31,480,495	4,088,182	15,003,357	19,188,359	9,619,589	10,638,733	50,783,824	1,518,221	\$781,483,768	\$2,074,416,705	\$698,302,338	\$2,772,729,043		
1996 BETTING EACH TRACK RACING	\$1,342,589,818	\$177,763,870	\$13,562,444	\$51,587,737	\$58,791,223	\$20,982,483	\$28,864,762	\$187,328,442	\$2,598,386	\$821,331,708	\$2,074,416,705	\$698,302,338	\$2,772,729,043		
1995 BETTING EACH TRACK RACING	\$1,530,627,132	\$171,425,055	\$14,389,804	\$58,638,113	\$62,879,882	\$19,315,748	\$25,301,382	\$280,438,037	\$2,888,722	\$821,331,708	\$2,074,416,705	\$698,302,338	\$2,772,729,043		
INCREASE(DECREASE) 1996-1995	(\$188,037,314)	\$6,338,815	(\$787,480)	(\$5,040,376)	(\$5,088,659)	\$778,745	\$1,563,400	(\$83,109,595)	(\$83,336)	\$11,000,000	\$11,000,000	(\$11,000,000)	(\$11,000,000)		

SUMMARY OF 1996 DIRECT AND REGIONAL OFF TRACK BETTING PAYMENTS TO TRACKS

	Capital	Cattkill	Nassau	New York City	Suffolk	Westham	TOTAL
IN-STATE THOROUGHBRED							
NYRA							
Aqueduct	2,612,753	2,861,151	5,292,659	20,327,883	3,583,080	2,214,552	36,991,878
Belmont	2,112,328	1,766,742	4,015,321	17,957,915	2,819,926	941,077	29,313,309
Saratoga	1,443,038	823,956	1,771,410	7,064,351	1,211,862	472,848	12,787,465
NYRA TOTAL HANDLE	6,168,119	5,571,849	11,079,390	45,049,949	7,594,868	3,628,477	79,092,652
Finger Lakes	1,681,231	<u>482,689</u>	503,506	2,232,362	342,529	3,672,813	6,916,130
TOTAL IN-STATE THOROUGHBRED	7,849,350	6,054,538	11,582,896	47,282,311	7,937,397	7,301,290	89,007,782
IN-STATE HARNESS							
Yonkers Raceway	249,213	1,465,738	1,683,721	4,963,816	1,362,870	131,361	9,656,739
Monticello Raceway	64,041	569,330	714,218	2,353,556	433,158	52,336	4,206,641
Saratoga Harness	3,843,261	26,944	22,699	0	10,698	12,577	3,916,179
Vernon Downs	1,129,831	38,377	21,204	66,193	17,042	267,068	1,541,745
Betavia Downs	17,072	9,179	0	23,932	0	1,082,393	1,132,576
Buffalo Raceway	91,815	40,654	52,922	95,154	47,335	2,422,197	2,750,077
Syracuse Mile	6,300	2,436	0	0	0	6,198	14,934
TOTAL IN-STATE HARNESS	5,401,533	2,172,666	2,494,764	7,604,651	1,871,103	3,974,182	23,419,891
TOTAL PAYMENTS TO NEW YORK STATE TRACKS	13,250,883	8,227,196	14,077,660	64,786,962	9,808,800	11,276,472	111,428,873
TOTAL PAYMENT OUT-OF STATE TRACKS	1,459,329	795,933	1,456,462	7,206,935	1,003,266	1,225,045	13,147,000
SPECIAL EVENTS THOROUGHBRED							
Breeders Cup	\$65,485	\$29,782	\$46,967	\$209,419	\$0	\$40,301	393,964
Kentucky Derby	63,171	47,442	47,292	178,666	41,250	60,638	438,459
Preakness Stakes	34,542	24,513	24,311	209,419	20,816	31,270	344,871
Others	52,306	32,215	50,997	114,463	74,892	36,824	361,697
TOTAL SPECIAL EVENTS	\$215,504	\$133,952	\$171,597	\$711,967	\$136,958	\$169,033	1,539,011
TOTAL PAYMENTS THOROUGHBRED AND HARNESS*	\$14,926,716	\$9,187,081	\$16,706,719	\$62,706,864	\$10,948,764	\$12,869,550	\$126,112,684

* New York and Out-of-State

SUMMARY OF 1995 DIRECT AND REGIONAL OFF-TRACK BETTING PAYMENTS TO TRACKS

	Capital	Catskills	Nassau	NYC	Suffolk	Western	TOTAL
IN-STATE THOROUGHBRED							
NYRA							
Aqueduct	\$2,047,464	\$2,232,134	\$4,298,685	\$16,362,662	\$3,511,721	\$2,269,042	\$30,721,707
Belmont	2,059,065	2,184,655	4,034,203	17,350,980	3,587,037	1,102,683	\$30,318,623
Saratoga	1,362,837	904,586	1,548,158	6,234,747	1,266,558	428,476	\$11,745,362
NYRA TOTAL	\$5,469,366	\$5,321,374	\$9,881,046	\$39,948,389	\$8,365,316	\$3,800,201	\$72,795,692
Finger Lakes	1,479,013	619,386	505,307	2,026,667	413,183	3,773,563	\$8,817,119
TOTAL IN-STATE THOROUGHBRED	\$6,948,379	\$5,940,760	\$10,386,353	\$41,975,056	\$8,778,499	\$7,573,764	\$81,602,811
IN-STATE HARNESSES							
Yonkers Raceway	\$329,751	\$1,320,168	\$1,984,598	\$7,303,243	\$1,769,397	\$160,382	\$12,867,539
Monticello Raceway	56,061	547,798	612,489	2,274,341	426,447	32,806	\$3,951,942
Saratoga Raceway	4,149,236	10,746	0	694	9,606	4,405	\$4,174,677
Vernon Downs	1,113,909	22,815	16,155	50,519	0	284,262	\$1,487,660
Batavia Downs	22,545	8,535	0	2,282	0	1,248,979	\$1,282,341
Buffalo Raceway	92,490	39,727	24,324	92,173	26,834	2,732,630	\$3,008,176
Syracuse Mile	5,849	0	0	201	0	7,684	\$13,734
TOTAL IN-STATE HARNESSES	\$5,771,841	\$1,949,789	\$2,637,566	\$9,723,444	\$2,232,284	\$4,471,148	\$26,786,072
TOTAL PAYMENTS TO NEW YORK TRACKS	12,720,220	7,890,549	13,023,919	51,698,500	11,010,783	12,044,912	\$108,388,863
TOTAL PAYMENTS TO OUT-OF-STATE TRACKS	\$2,135,296	\$699,079	\$1,181,295	\$4,644,801	\$909,681	\$1,096,954	\$10,667,106
SPECIAL EVENTS							
Breeders Cup	\$59,780	\$32,044	\$49,351	\$196,234	\$0	\$61,198	\$398,607
Kentucky Derby	63,335	48,310	3,033	114,459	46,125	36,966	\$312,228
Preakness Stakes	35,482	26,720	2,687	204,187	23,928	42,478	\$335,482
Other	87,100	52,073	173,407	354,723	74,989	51,732	\$794,004
TOTAL SPECIAL EVENTS	\$245,697	\$159,147	\$228,478	\$869,604	\$145,022	\$192,374	\$1,840,322
TOTAL PAYMENTS THOROUGHBRED AND HARNESSES*	\$15,101,213	\$8,748,775	\$14,433,692	\$57,212,905	\$12,095,486	\$13,334,240	\$120,896,311

*New York and Out-of-State

**1998 ON-TRACK BETTING STATISTICS
TRACKS OWN RACING AND SIMULCASTS FROM OTHER TRACKS**

	TOTAL BETTING AT NYRA 1998	TOTAL BETTING AT FINGER LAKES 1998	TOTAL BETTING AT BATAVIA 1998	TOTAL BETTING AT BUFFALO 1998	TOTAL BETTING AT MONTICELLO 1998	TOTAL BETTING AT SARATOGA 1998	TOTAL BETTING AT VERNON/DOWN 1998	TOTAL BETTING AT YONKERS 1998	TOTAL BETTING AT SYRACUSE 1998	TOTAL BETTING AT NYS TRACK LIVE & SIMULCASTING 1998
Regular	\$170,552,171	\$8,524,421	\$3,090,255	\$7,990,022	\$7,569,674	\$8,764,992	\$6,441,594	\$42,400,197	\$314,460	\$255,697,776
Multiple	270,772,142	21,690,974	5,540,277	12,393,833	18,445,145	14,399,855	11,904,336	70,491,451	716,719	426,354,692
Exotic	93,989,796	10,882,527	3,553,280	9,883,084	8,954,292	7,344,060	8,078,908	40,591,934	485,042	183,782,943
Super Exotic	9,129,596	0	0	0	44,024	412,813	0	1,090,176	0	10,676,409
Handle	\$544,443,707	\$41,107,872	\$12,183,812	\$30,266,939	\$35,013,135	\$30,921,540	\$26,424,828	\$154,653,758	\$1,516,221	\$876,511,810
Takeout	\$105,318,975	\$8,550,738	\$2,531,905	\$6,366,494	\$7,260,010	\$6,265,659	\$5,463,543	\$31,540,398	\$321,207	\$173,638,929
Breakeage	2,281,945	148,363	52,660	133,458	144,632	138,198	77,458	982,495	9,615	3,665,792
Total Takeout and Breakeage	\$107,600,920	\$8,699,101	\$2,584,565	\$6,499,952	\$7,404,642	\$6,403,857	\$5,541,001	\$32,222,893	\$327,822	\$177,304,721
State Tax on Handle	\$17,373,538	\$381,237	\$155,108	\$293,560	\$278,703	\$370,141	\$250,627	\$1,864,324	\$7,581	\$20,994,719
State Tax on Breakeage	583,582	72,281	18,454	58,147	62,313	52,199	31,424	254,223	3,307	1,133,830
Total Pari Mutual Tax	\$17,957,120	\$453,518	\$173,562	\$349,707	\$341,016	\$422,340	\$281,951	\$2,138,547	\$10,888	\$22,128,549
Association Commission	\$85,211,291	\$7,963,919	\$2,278,489	\$5,817,010	\$6,659,615	\$5,666,739	\$4,993,752	\$28,469,039	\$298,464	\$147,358,318
Association Breakeage	1,699,363	76,092	34,208	77,311	92,319	85,997	46,034	428,242	3,307	2,531,691
Total to Association	\$86,909,654	\$8,040,001	\$2,312,695	\$5,894,321	\$6,741,934	\$5,752,738	\$5,039,786	\$28,897,281	\$301,771	\$149,890,179
Horse Breeders Fund Share	\$2,734,146	\$205,582	\$98,308	\$255,924	\$321,692	\$228,779	\$239,264	\$1,187,034	\$15,162	\$5,285,891
Association Payouts	\$2,218,106	\$469,623	\$407,260	\$598,434	\$616,950	\$1,098,295	\$605,801	\$6,045,002	\$12,049,471	\$56,465
Paid to Simulcast Senders	\$70,672,860	\$11,081,339	\$1,234,264	\$4,339,400	\$5,465,807	\$3,381,923	\$4,051,820	\$19,600,696	\$1,142,695	\$120,950,794
Gross Purses Paid	\$280,078	\$1,078	\$25,522	\$3,859	\$1,157	\$3,747	\$4,055	\$22,896	\$1,001	\$317,873
Minus Pool										
Uncashed Tickets	\$1,241,797	96,102	\$1,378	\$105,455	\$85,410	\$75,010	\$73,167	\$157,169	\$5,554	\$1,867,186
State Admission Taxes	\$215,673	7,301	\$1,378	\$889	\$465	\$6,512	\$3,729	\$14,127	\$341	\$250,515

**1996 ON-TRACK BETTING STATISTICS
TRACK'S OWN RACING AND SIMULCASTS FROM OTHER TRACKS**

	TOTAL BETTING AT NYRA 1995	TOTAL BETTING AT FINGER LAKES 1995	TOTAL BETTING AT BATAVIA 1995	TOTAL BETTING AT BUFFALO 1995	TOTAL BETTING AT MONTICELLO 1995	TOTAL BETTING AT SARATOGA 1995	TOTAL BETTING AT VERNON DOWN 1995	TOTAL BETTING AT YONKERS 1995	TOTAL BETTING AT SYRACUSE 1995	TOTAL BETTING AT NYS TRACKS LIVE & SIMULCASTING 1995
Regular Multiple Exotic Super Exotic Handle	\$181,814,191 331,947,765 115,865,996 10,441,211 <u>\$640,069,163</u>	\$8,761,207 23,312,579 11,064,604 0 <u>\$43,138,390</u>	\$3,217,259 6,774,434 3,865,564 0 <u>\$13,857,257</u>	\$9,343,642 15,652,534 10,766,766 0 <u>\$35,764,962</u>	\$7,764,597 20,463,112 9,289,484 0 <u>\$37,537,193</u>	\$9,525,402 16,160,887 7,374,963 241,787 <u>\$33,311,059</u>	\$7,175,748 15,654,517 8,186,715 0 <u>\$31,016,980</u>	\$51,978,837 93,546,661 48,363,340 1,120,892 <u>\$195,009,650</u>	\$342,432 860,503 468,635 0 <u>\$1,671,570</u>	\$279,943,315 524,361,012 215,248,107 11,603,890 <u>\$1,031,376,424</u>
Takeout Breakeage Total Takeout and Breakeage	\$122,377,990 2,601,600 <u>\$124,979,590</u>	\$8,889,980 154,988 <u>\$9,044,968</u>	\$2,861,870 55,478 <u>\$2,917,349</u>	\$7,451,788 151,054 <u>\$7,602,842</u>	\$7,737,562 145,285 <u>\$7,882,847</u>	\$6,638,797 147,367 <u>\$6,786,164</u>	\$6,456,445 134,420 <u>\$6,590,865</u>	\$36,214,573 851,077 <u>\$40,065,650</u>	\$350,887 6,829 <u>\$357,716</u>	\$201,979,902 4,248,097 <u>\$206,227,999</u>
State Tax on Handle State Tax on Breakeage Total Pari Mutual Tax	\$22,835,473 \$17,474 <u>\$23,452,947</u>	\$377,360 77,433 <u>\$454,793</u>	\$178,213 19,457 <u>\$197,670</u>	\$323,617 63,477 <u>\$387,094</u>	\$280,144 63,939 <u>\$344,083</u>	\$386,166 52,276 <u>\$438,442</u>	\$254,236 56,908 <u>\$311,144</u>	\$2,164,117 321,160 <u>\$2,485,277</u>	\$8,358 3,414 <u>\$11,772</u>	\$26,809,684 1,279,538 <u>\$28,089,222</u>
Association Commission Association Breakeage Total to Association	\$96,321,709 1,884,125 <u>\$98,205,834</u>	\$8,297,610 77,557 <u>\$8,375,167</u>	\$2,571,579 36,025 <u>\$2,607,604</u>	\$6,817,858 87,572 <u>\$6,905,430</u>	\$7,113,622 81,349 <u>\$7,194,971</u>	\$6,001,362 95,092 <u>\$6,096,454</u>	\$5,918,464 77,504 <u>\$5,995,968</u>	\$35,489,461 520,918 <u>\$36,010,379</u>	\$325,623 3,414 <u>\$329,037</u>	\$166,857,488 2,972,557 <u>\$171,830,045</u>
Horse Breeders Fund Share Association Parcours Paid to Simulcast Senders Paid to NYRA and Finger Lakes Purse Gross Purse Paid Minus Pool	\$3,220,808 \$1,838,168 \$86,364,980 \$112,914	\$215,014 \$378,653 \$12,180,252 \$945	\$112,079 \$461,546 \$51,095 \$1,780,280	\$310,313 \$688,331 \$102,634 \$4,913,705 \$861	\$343,794 \$560,492 \$5,555,208 \$11,738	\$248,267 \$1,211,861 \$3,549,971 \$14,009	\$283,744 \$669,364 \$3,981,640 \$6,148	\$1,560,965 \$6,296,113 \$23,454,460 \$13,514	\$16,716 \$2,000,000 \$1,121,641 \$2	\$6,312,730 \$12,104,548 \$153,729 \$142,902,157 \$162,131
Uncashed Tickets State Admission Taxes	\$1,017,214 \$237,222	\$115,407 \$9,577	\$17,972 \$1,737	\$68,359 \$1,565	\$62,258 \$3,243	\$49,330 \$6,766	\$66,620 \$5,264	\$295,415 \$15,475	\$4,889 \$407	\$1,697,464 \$281,266

Some of the payments for NYRA and Finger Lakes purses were not identified separately and are included with payments to simulcast senders.

1986 OFF-TRACK BETTING TOTAL HANDLE BY TRACK AND REGION

	CAPITAL	CATSKILL	NASSAU	NEW YORK CITY	SUFFOLK	WESTERN	ALL REGIONS
IN-STATE THOROUGHBRED							
NYRA							
Aqueduct	37,486,013	21,671,145	50,472,547	191,644,117	35,426,340	25,202,809	361,902,971
Belmont	32,492,145	17,251,979	36,291,421	161,784,378	28,066,540	15,797,477	293,683,940
Saratoga	22,280,740	7,994,871	16,882,753	65,681,074	11,940,057	6,345,640	133,135,135
NYRA TOTAL HANDLE	92,258,898	46,917,995	105,656,721	419,109,569	75,432,937	49,345,928	786,722,046
Finger Lakes	19,669,442	10,700,803	11,781,872	58,259,517	7,735,610	32,594,966	140,762,210
TOTAL N.Y.S THOROUGHBRED	111,928,340	57,618,798	117,438,593	477,369,086	83,168,547	81,940,892	928,484,256
IN-STATE HARNES							
Belavia Downs	832,669	552,733	478,299	1,487,028	325,200	4,485,543	8,161,470
Buffalo Raceway	3,027,551	2,428,078	2,759,978	5,920,952	2,555,604	15,336,699	32,030,860
Monticello Raceway	2,417,098	5,991,911	5,208,288	16,344,478	3,295,278	2,316,681	37,571,730
Saratoga Raceway	5,177,027	965,843	1,373,974	1,398,168	649,284	740,388	10,302,692
Syracuse Mile	169,077	144,680	0	248,015	0	269,625	828,377
Vernon Downs	4,136,947	1,480,527	1,327,508	4,268,452	1,068,465	2,707,510	14,987,489
Yonkers Raceway	8,659,761	10,365,068	18,055,118	53,388,837	16,060,504	5,946,363	112,507,651
TOTAL N.Y.S HARNES	24,420,130	21,928,820	29,201,243	86,049,924	23,984,343	31,906,809	216,391,269
TOTAL NEW YORK STATE TRACKS							
	136,368,470	79,547,618	146,639,836	562,419,010	107,162,890	113,747,701	1,146,876,626
TOTAL OUT-OF-STATE TRACKS							
	70,283,381	38,943,216	70,067,711	305,531,952	49,180,001	59,960,633	593,966,694
SPECIAL EVENTS *							
Breeders' Cup	1,029,564	469,110	771,467	3,289,173	0	624,364	6,183,678
Kentucky Derby	994,152	753,255	746,951	2,806,549	651,628	849,422	6,903,957
Preakness Stakes	536,406	383,445	360,165	1,566,458	323,232	480,347	3,670,053
OTHER SPECIAL EVENTS	1,174,834	1,036,890	1,132,455	5,041,453	1,440,623	780,871	10,607,128
TOTAL SPECIAL EVENTS	3,734,958	2,642,700	3,031,038	12,705,633	2,415,483	2,835,004	27,384,614
TOTAL OFF-TRACK HANDLE							
	210,386,807	121,133,634	219,738,586	880,668,596	168,748,374	176,543,338	1,767,207,233

* OUT-OF-STATE

1985 OFF-TRACK BETTING TOTAL HANDLE BY TRACK AND REGION

	<u>CAPITAL</u>	<u>CATSKILL</u>	<u>NASSAU</u>	<u>NEW YORK CITY</u>	<u>SUFFOLK</u>	<u>WESTERN</u>	<u>ALL REGIONS</u>
IN-STATE THOROUGHBRED							
NYRA							
Aqueduct	38,109,347	22,483,102	50,558,300	179,596,867	39,952,315	27,373,050	358,073,021
Belmont	39,888,214	22,411,001	47,433,078	197,758,488	40,679,387	19,658,330	367,828,498
Saratoga	23,829,222	8,665,829	18,202,828	67,690,435	13,444,194	7,520,648	139,353,156
NYRA TOTAL HANDLE	101,826,783	53,559,932	116,194,206	445,045,790	94,075,896	54,552,068	865,254,675
Finger Lakes	21,762,722	12,177,058	9,173,353	44,135,543	6,971,593	36,655,692	130,875,961
TOTAL N.Y.S THOROUGHBRED	123,589,505	65,736,990	125,367,559	489,181,333	101,047,489	91,207,760	996,130,636
IN-STATE HARNESS							
Belavia Downs	653,620	526,381	0	142,304	0	5,363,759	6,686,064
Buffalo Raceway	2,962,930	2,420,178	1,509,947	5,709,646	1,644,562	17,741,202	31,987,465
Monticello Raceway	2,248,223	5,569,538	4,573,949	18,856,672	3,547,609	1,470,995	36,266,986
Saratoga Raceway	4,817,564	666,643	1,000,274	40,843	743,582	176,499	7,445,405
Syracuse Mills	148,930	143,128	0	12,433	0	363,832	668,323
Vernon Downs	4,628,956	1,109,969	6,511	3,161,176	0	2,094,674	11,001,286
Yonkers Raceway	13,547,845	16,792,916	24,587,439	90,940,008	22,940,523	8,258,153	177,066,884
TOTAL N.Y.S HARNESS	29,008,068	27,228,763	31,676,120	118,862,082	28,876,276	36,469,114	271,122,413
TOTAL NEW YORK STATE TRACKS	152,897,573	92,965,743	157,043,679	608,043,415	129,923,765	128,676,874	1,267,253,049
TOTAL OUT-OF-STATE TRACKS	57,692,724	34,729,514	58,949,246	230,606,882	44,387,426	53,681,182	480,046,974
SPECIAL EVENTS							
Breeders' Cup	937,977	504,252	779,877	3,191,970	0	647,968	6,062,044
Kentucky Derby	1,003,052	769,750	850,102	3,080,489	727,345	963,443	7,394,181
Preakness Stakes	555,704	420,625	463,993	1,783,016	372,833	575,126	4,171,297
OTHER SPECIAL EVENTS	1,890,478	1,103,613	2,038,893	7,751,423	1,649,467	1,507,423	15,941,297
TOTAL SPECIAL EVENTS	4,387,211	2,798,240	4,132,865	15,806,898	2,749,645	3,693,960	33,568,819
TOTAL OFF-TRACK HANDLE	214,977,508	130,493,497	220,127,790	864,457,195	177,060,836	184,062,016	1,780,868,842
* OUT-OF-STATE							

STATEMENT OF REVENUES NET OF EXPENSES - 1996

	<u>CAPITAL</u>	<u>CATSKILL</u>	<u>NASSAU</u>	<u>NEW YORK CITY</u>	<u>SUFFOLK</u>	<u>WESTERN</u>	<u>TOTAL</u>
Non-Simulcast Handle	\$22,584,655	\$9,918,026	\$45,463,659	\$220,690,038	\$25,835,277	\$22,270,736	\$346,762,391
Simulcast Handle	187,802,142	111,215,508	174,274,927	659,966,557	132,913,096	154,272,602	1,420,444,832
Total Handle	\$210,386,797	\$121,133,534	\$219,738,586	\$880,656,595	\$158,748,373	\$176,543,338	\$1,767,207,223
Returned to Bettors	167,358,778	96,020,992	175,028,235	695,150,374	126,116,036	139,206,211	1,398,880,626
OTB TAKE OUT AND BREAKAGE	\$43,028,019	\$25,112,542	\$44,710,351	\$185,506,221	\$32,632,337	\$37,337,127	\$388,326,597
Less Statutory Payments to:							
N.Y. State	\$2,420,237	\$1,382,792	\$2,290,234	\$9,706,081	\$1,741,344	\$2,183,492	\$19,724,180
Breeders' Fund	1,539,773	964,197	1,670,463	6,232,992	1,157,181	1,307,935	12,872,541
Tracks	14,925,716	8,737,048	15,705,720	62,705,871	10,948,754	12,669,550	125,692,659
City of Albany	317,566	0	0	0	0	0	317,566
City of Niagara	0	0	0	0	0	120,487	120,487
Total Statutory Payments	\$19,203,292	\$11,084,037	\$19,666,417	\$78,644,944	\$13,847,279	\$16,281,464	\$158,727,433
Net Racing Revenue	\$23,824,727	\$14,028,505	\$25,043,934	\$106,861,277	\$18,785,058	\$21,055,663	\$209,599,164
OTHER REVENUE:							
Admission Income	118,054	29,832	0	0	133,417	29,798	311,101
Lottery Income	859,658	560,246	0	0	112,524	617,299	2,149,727
Concession Income	199,626	27,699	0	0	7,500	18,333	253,158
Derived from Section 532	1,446,466	1,009,503	935,100	0	780,903	1,286,937	5,458,909
Interest Income	64,097	469,813	228,657	1,035,877	102,780	123,520	2,024,744
Other	405,470	0	775,998	1,695,237	816,971	398,663	4,092,339
Total Operating Revenue	\$26,918,098	\$16,125,598	\$26,983,689	\$109,592,391	\$20,739,153	\$23,530,213	\$223,885,142
OPERATING EXPENSES							
Branch Expenses	\$18,483,731	\$9,256,251	\$12,526,731	\$84,021,617	\$12,974,807	\$15,964,224	\$153,227,361
Corporate Expenses	8,945,068	2,327,737	5,749,206	16,431,416	5,529,677	4,340,475	43,323,579
TOTAL OPERATING EXPENSES - INCL DEPRECIATION	\$27,428,799	\$11,583,988	\$18,275,937	\$100,453,033	\$18,504,484	\$20,304,699	\$196,550,940
Section 516 Net Revenues	(\$510,701)	\$4,541,610	\$8,707,752	\$9,139,358	\$2,234,669	\$3,225,514	\$27,338,202
Section 509-a Contributions To Capital Acquisition Fund	0	(74,121)	0	0	0	(1,405,000)	(1,479,121)
Section 516 Net Revenues For Distribution	(\$510,701)	\$4,467,489	\$8,707,752	\$9,139,358	\$2,234,669	\$1,820,514	\$25,859,081
Add:							
SECTION 532 REVENUES TO PARTICIPATING LOCALITIES	3,812,047	2,406,059	4,427,546	16,675,739	2,516,370	4,303,287	34,141,048
Total Benefits to Participating Localities	\$3,301,346	\$6,873,548	\$13,135,298	\$25,815,097	\$4,751,039	\$6,123,801	\$60,000,129
SECTION 532 REVENUES TO OTHER LOCALITIES	1,937,840	1,402,718	1,816,595	3,993,069	1,548,106	1,163,251	11,861,579
TOTAL BENEFITS TO ALL LOCALITIES	\$5,239,186	\$8,276,266	\$14,951,893	\$29,808,166	\$6,299,145	\$7,287,052	\$71,861,708
ADD: CAPITAL ACQUISITION FUNDS:							
Section 509-a Contributions from Net Revenues	0	74,121	0	0	0	1,405,000	1,479,121
Supplemental 1% Section 532	\$982,862	\$666,507	\$1,033,416	\$3,562,947	\$659,886	\$1,010,940	\$7,916,558
Total Capital Acquisition Funds	982,862	740,628	1,033,416	3,562,947	659,886	2,415,940	9,395,679
TOTAL AVAILABLE FOR DISTRIBUTION TO LOCALITIES AND FOR CAPITAL ACQUISITIONS	\$6,222,048	\$9,016,894	\$15,985,309	\$33,371,113	\$6,959,031	\$9,702,992	\$81,257,387

STATEMENT OF REVENUES NET OF EXPENSES - 1995

	<u>CAPITAL</u>	<u>CATSKILL</u>	<u>NASSAU</u>	<u>NEW YORK CITY</u>	<u>SUFFOLK</u>	<u>WESTERN</u>	<u>TOTAL</u>
Non-Simulcast Handle	\$22,880,761	\$10,815,179	\$71,676,802	\$399,193,341	\$30,967,936	\$22,674,191	\$558,208,210
Simulcast Handle	191,896,747	119,678,318	148,450,967	455,263,854	146,092,900	161,377,825	1,222,760,631
Total Handle	\$214,777,508	\$130,493,497	\$220,127,769	\$854,457,195	\$177,060,836	\$184,052,016	\$1,780,968,841
Returned to Bettors	171,453,658	103,636,016	175,510,021	681,053,863	141,145,064	145,342,798	1,417,841,420
OTB TAKE OUT AND BREAKAGE	\$43,623,850	\$26,857,481	\$44,617,768	\$173,403,332	\$35,915,772	\$38,709,218	\$363,127,421
Less Statutory Payments to:							
N.Y. State	2,505,810	1,645,867	2,218,484	9,402,052	1,707,875	2,316,624	19,796,712
Breeders' Fund	1,506,582	802,533	1,658,740	6,004,710	1,342,558	1,323,526	12,638,649
Tracks	15,101,213	8,748,776	14,433,693	57,212,907	12,065,487	13,334,240	120,896,316
City of Albany	337,069	0	0	0	0	0	337,069
City of Niagara	0	0	0	0	0	69,640	69,640
Total Statutory Payments	\$19,450,674	\$11,197,176	\$18,310,917	\$72,619,669	\$15,115,920	\$17,044,030	\$153,738,386
Net Racing Revenue	\$24,173,176	\$15,660,305	\$26,306,851	\$100,793,663	\$20,799,852	\$21,665,188	\$209,389,035
OTHER REVENUE:							
Admission Income	\$161,223	\$30,827	0	\$579,835	\$127,039	\$48,111	\$947,035
Lottery Income	667,416	346,108	0	0	186,238	453,510	1,653,272
Concession Income	291,685	27,916	0	833,410	200,545	87,117	1,440,673
Derived from Section 532	1,208,503	942,638	797,388	4,357,570	518,676	1,178,492	9,003,267
Interest Income	70,084	289,394	199,349	1,016,334	69,774	152,437	1,797,370
Other	519,627	107,208	105,920	61,517	347,560	538,496	1,680,328
Total Operating Revenue	\$27,091,712	\$17,404,396	\$27,409,508	\$107,632,329	\$22,249,684	\$24,123,351	\$225,910,980
OPERATING EXPENSES							
Branch Expenses	\$17,450,639	\$2,462,414	\$12,933,271	\$86,340,280	\$15,554,615	\$15,606,138	\$150,347,337
Corporate Expenses	10,006,187	9,549,363	5,696,641	16,927,451	5,620,596	4,086,892	51,887,130
TOTAL OPERATING EXPENSES - INCL DEPRECIATION	\$27,456,826	\$12,011,777	\$18,629,912	\$103,267,711	\$21,175,211	\$19,693,030	\$202,234,467
Section 516 Net Revenues	(\$365,114)	\$5,392,619	\$8,779,596	\$4,364,618	\$1,074,473	\$4,430,321	\$23,676,513
Section 509-a Contributions To Capital Acquisition Fund	0	(376,738)	0	0	0	(1,740,000)	(2,116,738)
Section 516 Net Revenues For Distribution	(\$365,114)	\$5,015,881	\$8,779,596	\$4,364,618	\$1,074,473	\$2,690,321	\$21,559,775
Add:							
SECTION 532 REVENUES TO PARTICIPATING LOCALITIES	\$4,037,839	\$2,583,530	\$4,670,180	\$17,414,725	\$2,828,469	\$4,114,027	\$35,648,770
Total Benefits to Participating Localities	\$3,672,725	\$7,599,411	\$13,445,776	\$21,779,343	\$3,902,942	\$6,804,348	\$57,208,545
SECTION 532 REVENUES TO OTHER LOCALITIES	2,193,872	1,632,167	1,962,845	4,878,116	1,793,263	1,743,999	14,204,262
TOTAL BENEFITS TO ALL LOCALITIES	\$5,866,597	\$9,231,578	\$15,412,621	\$26,657,459	\$5,696,205	\$8,548,347	\$71,412,807
ADD: CAPITAL ACQUISITION FUNDS							
Section 509-a Contributions from Net Revenues	0	\$376,738	0	0	0	\$1,740,000	\$2,116,738
Supplemental 1% Section 532	1,048,980	730,828	1,078,575	3,719,746	708,280	1,079,766	8,366,205
Total Capital Acquisition Funds	\$1,048,980	\$1,107,566	\$1,078,575	\$3,719,746	\$708,280	\$2,819,766	\$10,482,943
TOTAL AVAILABLE FOR DISTRIBUTION TO LOCALITIES AND FOR CAPITAL ACQUISITIONS	\$6,915,587	\$10,339,144	\$16,491,196	\$30,377,205	\$6,404,485	\$11,368,133	\$81,895,750

TOTAL HANDLE BY CORPORATION (SINCE INCEPTION)

	<u>CAPITAL</u>	<u>CATSKILL</u>	<u>NASSAU</u>	<u>NEW YORK CITY</u>	<u>SUFFOLK</u>	<u>WESTERN</u>	<u>TOTAL</u>
1971				\$118,588,586			\$118,588,586
1972	2,316,716			450,316,518			452,633,234
1973	11,414,046			691,280,360			702,694,406
1974	15,098,937			786,711,622		13,440,146	815,250,705
*1975	19,796,767		27,903,980	773,550,528	30,367,693	55,239,443	906,858,411
1976	40,303,650	15,561,237	69,662,629	785,910,123	67,723,374	73,741,970	1,052,902,983
1977	55,041,267	41,729,116	90,692,862	777,437,682	77,426,355	85,725,016	1,128,052,298
1978	67,987,889	52,433,415	102,674,979	791,853,715	87,840,290	103,739,971	1,206,530,259
1979	91,720,613	66,849,752	134,958,752	835,815,437	109,146,866	133,535,290	1,372,026,710
1980	102,669,278	73,982,592	142,586,319	879,639,694	117,253,548	146,491,353	1,462,622,784
1981	108,653,823	80,120,747	149,594,168	891,276,192	122,394,341	153,324,442	1,505,363,713
1982	124,920,812	82,561,063	155,304,423	883,510,575	130,558,401	144,429,719	1,521,284,993
1983	134,616,018	88,668,362	159,422,909	866,764,759	136,539,171	145,961,464	1,531,972,683
1984	147,563,225	96,713,909	158,728,337	886,006,827	142,821,166	151,659,615	1,583,493,079
1985	157,719,562	106,083,129	155,085,757	871,867,750	140,669,804	143,303,334	1,574,729,336
1986	193,409,257	117,491,015	165,303,500	945,803,419	167,050,479	167,696,983	1,756,754,653
1987	209,892,621	132,723,923	180,195,868	1,003,377,015	195,383,498	187,591,674	1,909,164,599
1988	223,291,861	135,770,751	196,117,404	1,000,766,523	201,599,802	193,291,107	1,950,837,448
1989	228,130,290	135,137,777	212,472,817	940,787,164	206,786,117	196,986,948	1,920,301,113
1990	234,806,538	115,881,524	214,668,830	961,469,708	197,045,073	199,835,843	1,923,707,516
1991	226,402,623	121,541,890	196,960,292	904,201,790	185,302,638	185,500,554	1,819,909,787
1992	220,805,067	125,246,625	193,595,504	858,961,315	178,747,643	184,970,088	1,762,326,242
1993	209,279,030	117,974,312	186,542,545	775,251,135	174,420,032	176,375,477	1,639,842,531
1994	205,015,141	121,740,566	191,296,563	757,612,209	163,888,236	177,886,848	1,617,439,563
1995	214,677,508	130,493,497	220,127,790	854,457,195	177,060,836	184,052,016	1,780,868,842
1996	210,386,807	121,133,534	219,738,585	880,656,595	158,748,374	176,543,338	1,767,207,233
TOTAL	\$3,030,855,031	\$1,828,211,705	\$3,083,768,438	\$19,438,760,646	\$2,832,964,527	\$3,020,727,285	\$33,235,287,632

* Schenectady was superseded by Capital District on August 11, 1975