

# BEST PRACTICE GUIDE ON BREAK-OUT SESSIONS/HEARINGS FOR MUTUAL RECOGNITION AND DECENTRALISED PROCEDURES

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### 1. INTRODUCTION

In order to facilitate discussion of *potential serious risk to public health* (ref... Commission Guideline on definition of a potential risk to public health 2006/C 133/05) by Member States during a Mutual Recognition (MRP) or Decentralised (DCP) Procedure, meetings, referred to as Break out sessions, a meeting can be arranged between Member States, and if necessary the applicant. These meetings are not routinely required for every procedure and will take place when considered necessary and useful by the Member States involved, taking into account an applicant's request for a meeting. Applicants are allowed to participate in the break out session to ensure an effective dialogue with Member States on the outstanding issues.

# 2. OBJECTIVE OF BREAK-OUT SESSION

The objective of the Break out session discussion is:

To to provide a structured forum for interaction between representatives from the Reference Member State (RMS), all Concerned Member States (CMS), and, if necessary, the applicant, with the objective in order to achieve consensus through negotiation on points of potential serious risk to public health (PSRPH) and the Summary of Product Characteristics (SmPC). This is also an opportunity for Member States to discuss outstanding product leaflet and labelling concerns.).

The break out session This is an important liaison facility within the overall MRP and DCP processes to consider Member States viewpoints and clarify any aspects from the applicant, particularly when the RMS is of the opinion that may assist in avoiding the application is not approvable or where discussion will help reach agreement among MS and avoid the triggering of a CMDh referral procedure by a CMS. All involved parties are encouraged to maximise the potential of such a break out session meeting and to participate fully to help achieve consensus.

To facilitate consensus building, the applicant shall be allowed to orally explain outstanding issues if the Member States consider this would be beneficial.

# 2. FORMAT OF MEETING

<u>Hearings</u>, in cases where the RMS regards the application as non-approvable or where the RMS considers that a discussion with the CMDh on a procedure would be beneficial, will be included in the CMDh agenda and held in the plenary meeting.

**Break-out sessions**, involving the RMS, CMS and, if necessary, the applicant should be arranged to be held by teleconference, and should take place in advance of a CMDh plenary meeting so that further discussion can take place between MS at the plenary meeting, if necessary.

#### 2.3. PARTICIPANTS

The participants in a break-out session teleconference shall include the RMS, who shall chair the discussions, and should include representatives from all CMS involved in the procedure—(, in particular the Member State(s) with outstanding PSRPH), representatives from other Member States with a specific interest in the discussion, and if necessary, representatives from the applicant.

The RMS and CMS should be represented by relevant assessors/experts. Full use should be made of video/tele conferencing facilities in order to facilitate the participation of the different Member States. If the break out session is to include only representatives of the RMS, CMS and other interested Member States, then Vitero facilities (web meeting/conference) may be used if a face to face meeting cannot be arranged within the timeframe of the procedure or is not practicable. Member States and the European Medicines Agency (the Agency) should ensure they have adequate facilities in house to use these methods of communication. MS should inform the Agency about the need for a videoconference or Vitero meeting at the latest three working days before the meeting.

In the exceptional case a CMS cannot send a representative or cannot participate by teleconference, the RMS should be informed by e-mail at least three working days before the meeting. If a CMS is participating by video/tele-conference, a contact name and telephone number for the CMS should be provided to the RMS and CMDh Secretariat. CMS with remaining PSRPH should endeavour to attend the break out meeting.

#### The applicant

For a Hearing, the discussion will take place in the plenary CMDh meeting and all Member States will participate. The applicant may be invited to participate in the discussion.

# 4. TIMING

Break-out sessions should be arranged, where possible, in advance of a CMDh meeting unless the RMS considers the discussion should take place in the CMDh plenary meeting. This is the case when 1) the RMS has raised a potential serious risk to public health and the application would be refused without any possibility for the applicant to make their case in a CMDh referral procedure, or 2) the RMS is positive, but considers that it is beneficial for the issues to be discussed at the CMDh plenary meeting.

For mutual recognition procedures, the discussion will normally be arranged around Day 75 of the procedure.

For decentralised procedures, the discussion will normally be arranged around Day 195 of the procedure, but could be earlier if necessary.

A break-out session or hearing may be necessary in some cases for Type II variations, for example when adding a new indication. The exact timing of the meeting will be dependent upon the individual situation for an application.

In all cases, if a hearing is to be arranged in the plenary meeting, the RMS should inform the CMDh secretariat at least 5 working days before the start of the meeting so that it can be included on the CMDh agenda. Where the applicant is to attend the plenary meeting, they should be represented by not more than five persons in total; a list of the names of proposed attendees representing the applicant should be sent to the RMS and the CMDh Secretariat on the Wednesday before the plenary CMDh meeting.

Other Member States with an interest or concern in the particular product, the active substance or the therapeutic area in question, should attend the meeting as observers. Observers from non-EU countries, Norway, Lichtenstein and Iceland excepted, should sign the Confidentiality Undertaking and obtain explicit agreement from the applicant before attending a break out session.

#### 3. TIMING

Break-out sessions should be via teleconference are arranged, where possible, to coincide with CMDh meetings.

# **4.1 New Applications**

For mutual recognition procedures, break out sessions, when required, will normally be arranged around Day 75 (but may take place between Day 73 and Day 80).

For decentralised procedures, break out sessions, when required, will normally be arranged around Day 195 (Day 75 of the 90 day period), but at the latest by Day 205 (Day 85 of the 90 day period).

#### **MRP**

- By Day 50, CMS will inform the RMS and the applicant of their concerns. If possible the CMS should indicate how PSRPH could be resolved (e.g. by SmPC changes).
- By Day 65, the RMS will indicate the expected need for a break out session circulating a draft agenda, including the length of time for the session, to the CMS and the applicant. Where appropriate, extended break out sessions will be held to permit sufficient discussion of the issues. The CMDh Secretariat should be informed accordingly in order to guarantee availability of meeting facilities. The CMDh Secretariat will confirm the timetable and meeting room to the RMS by e-mail.
- By Day 70, the CMS should, after reviewing the response from the applicant/RMS, inform the RMS of remaining PSRPH.
- The RMS should confirm that a break out is needed by Day 71. A final agenda should be circulated by Day 71 and should clearly state the remaining PSRPH. If the written response from the applicant/RMS resolves all outstanding issues, the RMS should in clear terms inform the applicant, CMS and the CMDh secretariat that the break out session has been cancelled.
- After the break out session, the applicant may request meetings with individual Member States with remaining PSRPH, keeping the RMS and other CMS fully informed.

#### **DCP**

- By Day 145, CMS will inform the RMS of their remaining concerns. If possible the CMS should indicate
  how PSRPH could be resolved (e.g. by SmPC changes).
- By Day 150, who should send out an invitation to CMS, and if consensus is not reached, the RMS communicates the remaining PSRPH to the applicant.
- By Day 160, the applicant submits additional clarification, including any revised proposal for the SmPC, package leaflet and labelling.
- By Day 180, the RMS will indicate the expected need for a break out session circulating a draft agenda, including the length of time for the session, to the CMS and the applicant. Where appropriate, extended break out sessions will be held to permit sufficient discussion of the issues. The CMDh Secretariat should be informed accordingly in order to guarantee availability of meeting facilities. The CMDh Secretariat will confirm the timetable and meeting room to the RMS by e-mail.
- By Day 190, the CMS should, after reviewing the response from the applicant/RMS, inform the RMS of remaining PSRPH.
- The RMS should confirm that a break-out is needed by Day 191. A final agenda should be circulated by Day 191 and should clearly state the remaining PSRPH. If the written response from the applicant/RMS resolves all outstanding issues, the RMS should in clear terms inform the applicant, CMS and CMDh Secretariat that the break-out session has been cancelled.

 After the break out session, the applicant may request meetings with individual member states with remaining PSRPH, keeping the RMS and other CMS fully informed.

#### 4.2 Variations

Break out sessions may be <u>necessary</u> in some cases, for Type II variations being processed according to a 60 day or an extended 90 day assessment time scale, for example when adding a new indication. [Note: these timings refer to the completion of the assessment report and correspond to overall time scales of 90 and 120 days respectively, for completion of the procedure (excluding clock off times)].

The exact timing of a break-out session will be dependent upon the individual situation for an application, but the following possibilities exist for the 60 day or 90 day process (depicted below as 60/90):

- At Day 30/50, in rare cases for difficult emerging situations identified by the RMS ahead of circulation of the preliminary variation assessment report (PVAR) at Day 40/70.
- During clock off period, after receipt of supplementary information from the company and before circulation of the finalised variation assessment report (FVAR) at Day 60/90.
- At Day 75/105, ahead of CMS notifying acceptance/non acceptance of FVAR decision (at Day 85/115). In this instance, the RMS should restart the clock (Day 60/90) by circulating the FVAR at an appropriate time point in relation to the next CMDh meeting.

#### Action by RMS and CMS

The RMS will liaise with the CMS and applicant as appropriate to determine the need for a break out session and agree its timing. The RMS will schedule the break-out session for a given application. The CMDh Secretariat should be informed accordingly in order to guarantee availability of meeting facilities. The Agency will confirm the timetable and meeting room (if appropriate) to the RMS by email.

The actions taken by the RMS and CMS in the lead up to the break out session are illustrated by the following example for a Type II variation in, the applicant, indicating which the CMS maintains a discordant position after release of the FVAR. (Note: Timings for break out sessions at other times envisaged above follow a similar structure as per the example below). These steps should be taken as soon as possible, however the timings shown below are suggested maximum timelines that allow the RMS to arrange the break out session at around Day 75/105 to coincide with the next CMDh meeting:topics will be discussed.

| <del>Day 60/90</del>  | RMS circulates FVAR to CMS and applicant with draft decision.  |
|-----------------------|--|
| By Day 68/98          | CMS inform the RMS of the need for a break out session and provide a list of outstanding issues.   |
| By Day 69/99          | RMS schedules the break out session with the CMDh Secretariat. RMS informs the applicant of the break out session and forwards a draft agenda and a list of outstanding issues to be addressed. RMS may request the applicant to be prepared to address these.   |
| By Day 72/102         | Break-out session confirmed: RMS circulates the final agenda to CMS and applicant.  Or  Break-out session cancelled: CMS inform the RMS if the break-out session is no longer needed e.g. if the outstanding issues have been resolved. In this case, the RMS will inform the CMS, CMDh Secretariat and applicant that the break-out session has been cancelled. |
| <del>Day 75/105</del> | The break out session takes place.   |

# 4. REQUIREMENTS FOR APPLICANTS

- New Applications: For MRP, applicants will receive the CMS points by Day 50, at the latest.
   Applicants will provide their responses to RMS and CMS, by Day 60. For DCP, applicants will receive the CMS points by Day 150 at the latest. Applicants will provide their responses to RMS and CMS, by Day 160.
- Variations: The RMS will liaise with the applicant in advance of the break out session to advise on
  particular outstanding issues and whether attendance is required at the meeting and the need to come
  prepared to address the issues.

Applicants should arrive at the Agency premises no earlier than half an hour before the break out session, checking in at the reception. Applicants should check out at the Agency reception before leaving the premises.

Applicants attending the Agency for break out sessions should be aware that they may not be required to participate in the full session, but may be asked to agree amendments to SmPCs or to answer questions from Member States. It is, therefore, beneficial if applicants are present at the Agency. Applicants should ensure that their representatives are authorised to make decisions on amendments to SmPCs being proposed by Member States

#### 3.5. MEETING STRUCTURE

Face to face meetings should be conducted Meetings, both teleconferences and hearings, will be chaired by the RMS and will usually be conducted in three parts as follows:

- A There should be an initial discussion, aimed at resolving remaining issues between Member States. The RMS should then identify the remaining PSRPH to be discussed with the applicant.
- The applicant will then be asked to join the meeting to respond to outstanding issues if Member States consider this will be beneficial. (Note: the technical practicality of this when the meeting is via teleconference may need to be considered by the RMS). The RMS should start by introducing the representatives of the Member States to the applicant and invite the applicant to do likewise. The RMS should then summarise the remaining PSRPH to the applicant and explain the status of the discussion. Limited time is available and the applicant must give focused presentations. An agreed approach to the questioning of the applicant should be defined by the Member States before inviting the applicant to participate. Upon completion of the questions and discussion, the applicant should be informed that they will be notified by the RMS about the outcome, including any remaining PSRPH.
- Following the departure of the applicant from the <u>room themeeting</u>. Member States should continue their discussions on the outstanding issues, with the objective to reach consensus where possible.

#### 4.6. POST MEETING ACTIONS

Immediately after the meeting, the outcome of the <u>discussionsdiscussion</u> with Member States should be stated orally to the applicant by the RMS.

• The RMS will give an oral report on Where the break-out session towas held by teleconference, the CMDh plenary meeting.

BriefRMS will prepare brief summary minutes of the break out meeting should be prepared by the RMS as soon as possible (within 2 working days) after the meeting. The minutes should focus on the main issues for

discussion and the outcome of the discussion. Detailed comment is not required., which will be tabled and the next CMDh plenary meeting. The RMS will give an oral report at the CMDh meeting. A template for the minutes is included as an appendix-to this BPG on break out sessions. The minutes should be copied to the Chairperson of the CMDh, the CMDh Secretariat, CMS and the applicant.

 Within 2 working days of receipt of the minutes of the break out session, the applicant should submit amended SmPC, package leaflet and labelling, as appropriate, and any complementary information, to the RMS and CMS in accordance with agreements made during the meeting.

Outcomes of all break-out sessions will be monitored by CMDh.

Where the hearing was held in the CMDh plenary meeting, the outcome of the discussion will be included in the CMDh minutes.



# Appendix 1

| MINUTES OF BREAK OUT SESSION  |  |  |  |
|---|--|--|--|
|   |  |  |  |
| Product name Procedure number(s) Active substance Date and place of meeting RMS |  |  |  |
| Type of Break out session   |  |  |  |
| CMS involved in the procedure   |  |  |  |
| Attendees from Member States  |  |  |  |
| Attendees from CompanyApplicant   |  |  |  |
|   |  |  |  |
| Issues for discussion   |  |  |  |
|   |  |  |  |
| Discussion between RMS and CMS  |  |  |  |
|   |  |  |  |
| Discussion with Company Applicant/Presentation                                  |  |  |  |
|   |  |  |  |
| Summary and Conclusions   |  |  |  |
|   |  |  |  |
| Further Action  |  |  |  |
|   |  |  |  |