

## NIAAA Data Access Application

### The National Epidemiologic Survey on Alcohol and Related Conditions-III (NESARC-III)

#### Title of Research Project:

#### Introduction

The National Epidemiologic Survey on Alcohol and Related Conditions-III (NESARC-III) was sponsored, designed and directed by the National Institute on Alcohol Abuse and Alcoholism (NIAAA). The NESARC-III is cross-sectional, based on a nationally representative sample of the civilian noninstitutionalized population of the United States aged 18 years and older. Fieldwork was conducted by Westat through a contract under the data collection authorization of 42 U.S.C. § 285n. Utilizing the NIAAA Alcohol Use Disorder and Associated Disabilities Interview Schedule (AUDADIS-5), the NESARC-III collected information on alcohol and drug use and disorders, related risk factors, and associated physical and mental disabilities. In addition, DNA was obtained through saliva samples. The final sample size was 36,309 and included persons living in households and select noninstitutional group quarters.

Objectives and content areas of the NESARC-III, the fourth national survey conducted by NIAAA, are similar to those of the three prior NIAAA surveys, except for the NESARC-III's collection of saliva samples. Prior NIAAA national surveys included the 1988 Alcohol Supplement of the National Household Interview Survey (fielded by the National Center for Health Statistics), the 1991-1992 National Longitudinal Alcohol Epidemiologic Survey, the 2001-2002 Wave 1 NESARC, and the 2004-2005 Wave 2 NESARC.

#### Data Access Application Procedure and Access Policy

The NESARC-III data set contains individual level data and is categorized as a controlled access data set. Access to the data will only be granted to approved researchers by the NIAAA Data Access Committee (DAC).

To receive access to the NESARC-III data, the Applicant Principal Investigator must: (1) complete this Data Access Application form and (2) obtain a fully executed Data Use Agreement (DUA). The DUA is designed to define the allowable uses of the data set and to protect the confidentiality of the NESARC-III participants. The DUA must be signed by an authorized institutional official that can legally bind the Applicant Principal Investigator's institution. This is ordinarily someone from the Applicant PI's technology transfer office. Completed applications may be submitted by email to:

Email: [niaaa-dac@mail.nih.gov](mailto:niaaa-dac@mail.nih.gov)

(Application starts on the next page)

# NESARC-III

## Data Access Application

**Title of Research Project:**

**Date of Application:** \_\_\_\_\_

**Applicant Principal Investigator (P.I.):**

**Name:** \_\_\_\_\_

**Affiliation:** \_\_\_\_\_

**Title:** \_\_\_\_\_

**Address:** \_\_\_\_\_

**Telephone:** \_\_\_\_\_

**E-Mail:** \_\_\_\_\_

**Degree(s) Held:** \_\_\_\_\_

**Major Discipline/Field of Study:** \_\_\_\_\_

May we contact you in the event that updates are made to the NESARC-III data set and/or documentation?

**Yes**

**No**

**Authorized Institutional Official**

**Name:** \_\_\_\_\_

**Affiliation:** \_\_\_\_\_

**Title:** \_\_\_\_\_

**Address:** \_\_\_\_\_

**E-Mail:** \_\_\_\_\_

**FWA #** \_\_\_\_\_

I have read the notes on access below and will contact the DAC with any questions      **Yes**

**Notes on access to the NESARC III data:**

- Only researchers who will be working on the **same Research Project under direct the supervision of the Applicant Principal Investigator (PI) at the Applicant PI's same institution** (including, but not limited to, graduate students, postdocs, data analysts, and investigators working under the Applicant PI for this project) **will be covered by this application and the DUA.**
- Researchers who will be working on the same Research Project in collaboration with the Applicant PI but are part of a **different institution** and will need direct access to the requested database are NOT covered by this application. These researchers must submit their own application and DUA to get access to the requested datasets.
- Researchers who are affiliated with the Applicant PI's institution but are working on a **separate research project** not described in this application should submit their own application and DUA.
- Individuals who may contribute to scientific papers and other works in collaboration with the Applicant PI, but do not have direct access to the requested datasets, do not need to submit an application or complete a DUA.
- If a student wishes to use this dataset in a thesis or dissertation, a faculty sponsor must submit this application and be listed as the Applicant PI.

## **Exhibit A**

### **Research Project Description**

Please briefly describe your research plan in the space below.

**Exhibit A**

**Research Project Description**  
Continued - Extra Space