

Requirements for <i>Initial</i> Filings (Non-Confidential)				
Type of Docket	# of physical copies to PUC	Required to serve other Parties?*	Other Requirements?	Applicable Rule(s)
Rate Case (UE, UG)	20	No	Must provide notice to customers and file proof of notice with PUC	OAR 860-022-0019; OAR 860-022-0017
Water Rate Case (UW)	Call Filing Center	No	Must provide notice to customers and file proof of notice with PUC	OAR 860-036-0620
IRP Application (LC)	20	No	No	OAR 860-038-0080
Deferral Application (UM)	Generally none - 1 copy if >100 pages	No	Must provide notice to parties from last general rate case	OAR 860-027-0300(6)
UA, UD, UF, UI, UP,UX Applications	Generally none - 1 copy if >100 pages	No	No	OAR 860-001-0170; OAR 860-001-0180
Declaratory Rulings (DR)	Generally none - 1 copy if >100 pages	No	No	OAR 860-001-0170; OAR 860-001-0180
Interconnection Complaint (IC)	1	Yes, must provide service to defendant	Must file Certificate of Service (COS) with PUC	OAR 860-016-0050; OAR 860-001-0150; OAR 860-001-0180
Telco Petition for Arbitration (ARB)	1	No	No	OAR 860-016-0030
Telco Negotiated Agreements (ARB)	Generally none - 1 copy if >100 pages	No	No	OAR 860-016-0020; OAR 860-016-0025
Competitive Provider Application (CP)	Generally none - 1 copy if >100 pages	No	No	OAR 860-032-0005
Complaints (UCR & UCB)	Generally none - 1 copy if >100 pages	No	No	OAR 860-021-0015(4); OAR 860-034-0060(4); OAR 860-036-0025(4); OAR 860-037-0025(4); OAR 860-038-0420(7)
Advice/Tariff Filings (ADV)	Generally none - 1 copy if >100 pages (single-sided)	No	No	OAR 860-001-0140(4); OAR 860-022-0005; OAR 860-034-0300; OAR 860-036-0605; OAR 860-037-0410

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Requirements for <i>Initial</i> Filings (Non-Confidential) continued				
Type of Docket	# of physical copies to PUC	Required to serve other Parties?*	Other Requirements?	Applicable Rule(s)
Price Lists (PL)	Generally none - 1 copy if >100 pages (single-sided)	No	No	OAR 860-022-0005; OAR 860-001-0140(4)
Special Contracts (ADV)	Generally none - 1 copy if >100 pages	No	No	OAR 860-022-0005; OAR 860-001-0140(4)
Petitions for Rulemaking (AR)	Generally none – 1 copy if >100 pages	No	No	OAR 860-001-0210(3)
Pole Attachment Complaint (UM)	Generally none – 1 copy if >100 pages	No	No	OAR 860-028-0070; OAR 860-001 Generally applicable filing & contested case procedures
Power Purchase Negotiation Complaint Qualifying Facility (UM)	Generally none – 1 copy if >100 pages	No	No	OAR 860-029-0100; OAR 860-001 Generally applicable filing & contested case procedures
Interconnection Complaint Small Generators (UM)	Generally none – 1 copy if >100 pages	Yes, must provide service to defendant	Must file Certificate of Service (COS) with PUC	OAR 860-082-0085; OAR 860-001-0180
General Complaint under ORS 756.500 (not otherwise addressed above)	Generally none – 1 copy if >100 pages	No	No	OAR 860-001-0170; OAR 860-001-0180

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Filings in Existing (Docketed) Proceedings (Non-Confidential)				
Type of Docket	# of physical copies to PUC	Required to serve other Parties?*	COS Needed?	Applicable Rule(s)
Contested case or declaratory ruling	Generally none - 1 copy if >100 pages or contains confidential info	Generally no If >100 pages, must serve parties on service list (unless party has waived receipt of voluminous filings)	Only for filing >100 pages or that contain confidential info	OAR 860-001-0160; OAR 860-001-0180(3),(5)
Rulemaking (AR)	Generally none - 1 copy if >100 pages or contains confidential info	No	No	OAR 860-001-0210(3); OAR 860-001-0160
Supporting Work papers	Call Filing Center – Work papers are not part of the official filing, but must be “provided.” If Huddle is being used for Discovery, upload one electronic copy to Huddle	Yes, must provide to PUC Staff, the Utility named in initial pleading and any other party that requests. If Huddle is being used, notify other parties of upload.	No, not an official filing	OAR 860-001-0480(5)

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Requirements for Confidential Filings				
Type and Nature of Filing	What to File with PUC	Required to serve other Parties?*	COS Needed?	Applicable Rule(s)
Initial Filing - Entirely Confidential	Electronic cover letter; Physical copy to be received in 2 business days	No (unless IC complaint)	N/A (unless IC Complaint)	OAR 860-001-0160; OAR 860-01-0170(1)(g)
Initial Filing - Partially Confidential	Electronic cover letter; Physical copy to be received in 2 business days	No (unless IC complaint)	N/A (unless IC Complaint)	OAR 860-001-0210(3); OAR 860-001-0170(1)(f)
Filing in Existing Docket - Entirely Confidential	Electronic cover letter; Physical copy to be received in 2 business days	Physical copy to parties qualified under PO to be received in 2 business days of cover letter filed w/PUC	Yes	OAR 860-001-0170(1)(g); OAR 860-001-0180(3)(b)
Filing in Existing Docket - Partially Confidential	Electronic redacted version Physical copy to be received in 2 business days	Physical copy to parties qualified under PO to be received in 2 business days of redacted version filed w/PUC	Yes	OAR 860-001-0170(1)(f)

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