

PRIVILEGED AND CONFIDENTIAL
ATTORNEY WORK PRODUCT

Matter No. OIG-509

Telephone Interview with Sonam Varghese, current OCIE (BD) Branch Chief in NYRO

Friday, April 17, 2009

David Fielder and David Witherspoon:

On Friday, April 17, 2009, David Fielder and David Witherspoon called Sonam Varghese in NYRO where she is currently a Branch Chief in OCIE. The purpose of the call was to find out whether Varghese had any involvement in NERO's investigation of Madoff. Specifically, she is mentioned in an e-mail from Peter Lamore to Simona Suh dated May 12, 2006 where Lamore states that it was suggested that he speak to Varghese, "a branch chief familiar with DTC. I've left a message for [Varghese] to call me back."

Varghese told us that she was familiar with DTC, and that she had examined DTC in the past. She also stated that she had no recollection of the Madoff matter (although she has heard of it since), and that she did not remember having any involvement with the matter. She recalls meeting Lamore during an examination, but not of Madoff. She did not recall talking with Lamore (or anyone else) about Madoff (other than us), and does not recall receiving a message from Lamore to call him back.