

Garrity, Michael

From: Dugan, John T. (BDO)
Sent: Friday, November 04, 2005 10:42 AM
To: Garrity, Michael
Subject: RE: harry

Doria Bachenheimer, Personal Privacy (staff attorney) or Meaghan Chung Personal Privacy (branch chief).

-----Original Message-----

From: Garrity, Michael
Sent: Friday, November 04, 2005 9:35 AM
To: Dugan, John T. (BDO)
Subject: RE: harry

I'll call him and tell him that NERO is handling; he should talk to them.

Can I give him the telephone number of someone at NERO?

garrity

-----Original Message-----

From: Dugan, John T. (BDO)
Sent: Friday, November 04, 2005 9:26 AM
To: Garrity, Michael
Subject: RE: harry

Can you call Harry now and see if he is even willing to talk to the NERO folks? They are going to be investigating this now, and I think they would like to talk to Harry. My recollection was that Harry did not really want to deal with anyone in NY, and did not want us giving his contact info to NY unless we asked him first. We should make it clear to him that NERO is handling this and we can't really be a go-between. He needs to talk to them directly if he wants his story to be heard by the folks who will actually be looking at this.

JTD

-----Original Message-----

From: Dugan, John T. (BDO)
Sent: Thursday, November 03, 2005 5:53 PM
To: Garrity, Michael
Cc: Bergers, David
Subject: Re: harry

There is now a NERO Enforcement staff person assigned to this. Let me try to touch base with that person in the morning, and then maybe you can touch base with Harry and see if he's willing to talk directly with NERO.

JTD

Sent from BlackBerry Wireless Handheld.

-----Original Message-----

From: Garrity, Michael Personal Privacy
To: Dugan, John T. (BDO)
CC: Bergers, David Personal Privacy
Sent: Thu Nov 03 17:41:36 2005
Subject: RE: harry

Want me to call Harry off for now?

Can I tell him that we are trying to link him up with NERO?

garrity

From: Dugan, John T. (BDO)
Sent: Thursday, November 03, 2005 2:46 PM
To: Bergers, David; Garrity, Michael; Caverly, Andrew D.
Cc: Ryan, Edward A.
Subject: RE: harry

I'll touch base with NERO to see who there wants to handle this.

JTD

From: Bergers, David
Sent: Thursday, November 03, 2005 2:43 PM
To: Garrity, Michael; Dugan, John T. (BDO); Caverly, Andrew D.
Cc: Ryan, Edward A.
Subject: RE: harry

Why don't you and/or John talk to the NERO person about the issue and tell him/her we'd like to have Harry speak directly to them. Then call Harry and tell him that. Anyone disagree?

From: Garrity, Michael
Sent: Thursday, November 03, 2005 2:21 PM
To: Dugan, John T. (BDO); Caverly, Andrew D.
Cc: Bergers, David; Ryan, Edward A.
Subject: harry

Harry wants to come back Tuesday at 10:30 for 30 minutes.

He has more detail on the hedge fund issue he identified previously. I explained that we got it; without describing the NERO referral. He called a couple of times and really wants to talk face to face, present some materials, etc.

It would be great to link him directly with NERO. As an alternative, I'm willing to deal with him but it would be prudent to have at least one other participant.

How do we want to proceed?

garrity