FW:	SEC	<b>RFO</b>	UEST
, ,,,,	JEC		ULJI

From: Nee, John

To: Ostrow, William D. Personal Privacy

Lamore, Peter Attachments: Trade Request BARCLAYS.doc

5/3/2005 3:18:56 PM

FYI

From: Nee, John

Sent: Tuesday, May 03, 2005 4:17 PM To: Erin Mansfield Personal Privacy

Subject: SEC REQUEST

Dear Ms. Mansfield,

Attached please find our information request which I spoke to you about earlier today.

Thank you.

John Nee

## UNITED STATES SECURITIES & EXCHANGE COMMISSION



NORTHEAST REGIONAL OFFICE 3 World Financial Center Suite 4300 NEW YORK, N.Y. 10281-1022

IN REPLYING PLEASE QUOTE
NERO-BD-JMN
Personal Privacy

May 3, 2005

Ms. Erin Ashley Mansfield, Director of Compliance Barclays Capital Inc. 200 Park Avenue New York, N.Y. 10166

Dear Ms. Mansfield,

Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rules 17a-3 and 17a-4 thereunder, please provide the following information from the above broker-dealer, for the period from March 1, 2005 through March 31, 2005:

- 1. All trading<sup>1</sup> done by or on behalf of any of the following:
  - Fairfield Sentry Ltd.
  - Kingate (any entity beginning with this word, or if prefaced by "The", i.e., The Kingate Global Fund, Ltd.)
  - Kingsway Fund or The Kingsway Fund.
  - Any account over which Bernard Madoff (or any entity known to the firm to be affiliated with Bernard Madoff or Madoff Securities) has any direct or indirect trading authority.
- 2. For accounts responsive to the above request, all related new account documentation.
- 3. A listing of all investment advisors who exercise control over customer accounts.

Please supply all trade information, as soon as possible in Excel format and identified by full account name and account number.

Please note that this request is confidential and should not be construed as an indication by the Commission or its staff that any violations of law have occurred, or as an adverse reflection on any person, entity or security. I am enclosing for your review, a notice of information for regulated entities directed to supply information other than pursuant to a Commission subpoena.

<sup>&</sup>lt;sup>1</sup> Include trades executed away from the firm and prime brokered through Barclays.

Please direct all correspondence regarding this request to my attention, and call me if you have any questions. My telephone number is request to my attention, and call me if Thank you for your cooperation.

Sincerely,

John M. Nee Assistant Regional Director Broker-Dealer Inspection Program

/Enclosure - Form 1661