

RE: Document Request

5/2/2003 4:27:06 PM

From: SMadoff@madoff.com [SMadoff@madoff.com]
To: Swanson, Eric J. [SwansonE@sec.gov]
Cc: LWeintraub@madoff.com; pmadoff@madoff.com

Hi Eric. Just wanted to let you know we will be sending the information you requested on Monday, May 5, 2003. We plan on delivering the documents overnight via federal express so they arrive in your offices on Tuesday morning. I will forward the tracking information to you as soon as it is available. Hope you have a great weekend.

Shana Madoff
Compliance Counsel
Bernard L. Madoff Investment Securities LLC
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e-mail: smadoff@madoff.com

"Swanson, Eric J." <SwansonE@sec.gov>

To: "Shana Madoff" <smadoff@madoff.com>

04/15/2003 12:17 PM

cc:

Subject: document request

Shauna:

These are the adjustments we have made to the information requested:

1. Please disregard any limitation on size. We would like the information for all orders.
2. For the dates listed, we intend for you to include in the requested audit trail all orders in the QQQ that were routed out to any other venue for execution, including proprietary trades (this may not have been clear from the request).

I apologize you did not receive a phone call before now. Call with any questions.

Eric

Personal Privacy

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