

**CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:**

National stratified probability sample of individual allowed or denied Supplemental Security Income benefits since January 1975.

**CATEGORIES OF RECORDS IN THE SYSTEM:**

Demographic characteristics of the beneficiary, description of allegations, evidence and findings, and case control data.

**AUTHORITY FOR MAINTENANCE OF THE SYSTEM:**

Sections 205 and 1631 of the Social Security Act.

**PURPOSE(S):**

This is a study to evaluate the effectiveness of supplemental security income program policies. Data from this study are compiled for evaluation purposes and subsequently stored in the claim folders system (09-60-0089). Adverse data received in the conduct of this study may be used to review earlier claims or post-adjudicative decisions.

**ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:**

Disclosure may be made for routine uses as indicated below:

1. To third parties for verification of information given by claimants.
2. To a congressional office in response to an inquiry from that office made at the request of the subject of a record.

**POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING AND DISPOSING OF RECORDS IN THE SYSTEM:****STORAGE:**

All records are stored on magnetic tape.

**RETRIEVABILITY:**

Records are indexed by identification sequence number.

**SAFEGUARDS:**

All folders are kept in secure areas, accessible only by authorized staff (i.e. statisticians, analyst, and programmers).

For computerized records, safeguards are established in accordance with the Department of Health and Human Services Automated Data Processing Manual, "Part 6, ADP System Security." (See Appendix J to this publication for additional information relating to safeguards the Social Security Administration employs to protect personal information.)

**RETENTION AND DISPOSAL:**

Disposal of file folders is conducted in accordance with Social Security

Administration guidelines for Title XVI claims, while magnetic tapes are held for 5 years before being erased.

**SYSTEM MANAGER(S) AND ADDRESS:**

Director, Office of Payment and Eligibility Quality, Office of Assessment, 6401 Security Boulevard, Baltimore, Maryland 21235.

**NOTIFICATION PROCEDURE:**

An individual can determine if this system contains a record about him or her by writing to the system manager at the address shown above. When requesting notification, the individual should provide the name of this system, Social Security number, and, for verification purposes, name (woman's maiden name, if applicable), address, date of birth and sex, the month and year in which the individual was allowed or denied supplemental security income payments. These procedures are in accordance with HHS Regulations 45 CFR Part 5b.

**RECORD ACCESS PROCEDURES:**

Same as notification procedures. Requesters should also reasonably specify the record contents being sought. These procedures are in accordance with HHS Regulations 45 CFR Part 5b.

**CONTESTING RECORD PROCEDURES:**

Same as notification procedures. Requesters should also reasonably identify the record, specify the information they are contesting and state the corrective action sought and the reasons for the correction with supporting justification. These procedures are in accordance with HHS Regulations 45 CFR Part 5b.

**RECORD SOURCE CATEGORIES:**

Records in this system are derived from other SSA systems, e.g.: Earnings Recording and Self-Employment Income System (09-60-0059), Claim Folders (09-60-0089) (title II and title XVI claims folders), Master Beneficiary Record (09-60-0090), and Supplementary Security Income Record (09-60-0103); and survey data collected by Social Security employees.

**SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:**

None.

09-60-0148

**SYSTEM NAME:**

Matches of Internal Revenue Service and Social Security Administration Data with Census Survey Data (Joint Social Security Administration/Census Statistics Development Project), HHS/SSA/OP.

**SECURITY CLASSIFICATION:**

None.

**SYSTEM LOCATION:**

Social Security Administration, Office of Systems, 6401 Security Boulevard, Baltimore, Maryland 21235  
 Social Security Administration, Office of Research and Statistics, 1875 Connecticut Avenue, N.W., Washington, D.C. 20009  
 and  
 Bureau of the Census, Suitland, Maryland 20233

**CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:**

Samples of United States civilian population and those Armed Forces personnel residing with their families as of March 1975-1980 and subsequent periods.

**CATEGORIES OF RECORDS IN THE SYSTEM:**

Basic demographic characteristics from Census survey-labor force, work experience and income items from the survey; Social Security Administration earnings and benefit record information; selected Internal Revenue Service tax return items.

**AUTHORITY FOR MAINTENANCE OF THE SYSTEM:**

Section 702 of the Social Security Act (42 U.S.C., Section 902), and Title 13 U.S. Code, section 182 for Census participation.

**PURPOSE(S):**

This data file is used as the basis of microsimulations of tax and transfer programs. These microsimulations provide policy makers with information about the costs and effects of proposed changes in the social security tax and benefit structures and in the Federal income tax program. It also is used to estimate the number of non-filers and the magnitude of the effects of noncompliance with IRS and SSA regulations. During those matching steps which occur at the Social Security Administration, identifiable data is solely under the control of a limited number of Social Security employees who are required to uphold the Census and IRS statutes as well as the confidentiality restrictions of the Social Security Administration. Similar provisions are made for the handling of identifiable data from the project at the Census Bureau. Contractors provide storage of and remote terminal access to files which do not carry identifiers.

**ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:**

Disclosure may be made for routine uses as indicated below:

(Through the Census Bureau) to a congressional office in response to an inquiry from that office made at the request of the subject of a record. Tax return information may be disclosed only with the expressed authorization of the Internal Revenue Service.

**POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING AND DISPOSING OF RECORDS IN THE SYSTEM:****STORAGE:**

Records are stored on magnetic tape and in paper form.

**RETRIEVABILITY:**

Records are indexed by Social Security number during the matching steps at the Social Security Administration and Census but identifiers are not retained at the Social Security Administration after matching is completed.

**SAFEGUARDS:**

Safeguards are established in accordance with the HHS ADP System Manual, Part 6, ADP System Security. Employees having access to records have been notified of criminal sanctions for unauthorized disclosure of information about individuals.

Magnetic tapes or other files with personal identifiers, are retained in secured storage areas accessible only to authorized personnel. Microdata files prepared for purposes of research and analysis are purged of personal identifiers and are subject to procedural safeguards to assure anonymity. (See Appendix J to this publication for additional information relating to safeguards the Social Security Administration employs to protect personal information.)

**RETENTION AND DISPOSAL:**

Following the match, all identifiers are removed from the records kept at the Social Security Administration. The Census Bureau retains identifiers for possible longitudinal updating.

Records with identifiers will be held in secure storage areas at the Census Bureau and will be disposed of as soon as they are determined to be no longer needed for Census or SSA analysis. Means of disposal will be appropriate to the record storage medium, e.g., erasure of tapes, shredding of printouts, etc.

As long as identifiable records exist, a periodic review will be made at least every two years to determine the need for their retention.

**SYSTEM MANAGER(S) AND ADDRESS:**

Director, Office of Research and Statistics, Social Security Administration, Universal North Building/Room 1121, 1875 Connecticut Avenue, N.W., Washington, D.C. 20009.

**NOTIFICATION PROCEDURE:**

This system contains limited data selected for statistical analysis which individuals would not ordinarily be interested in. Individuals inquiring about their records in SSA program should consult other SSA systems of records which contain more detailed information. However, individuals may obtain notification of or access to information that may be maintained during processing stages in this system, by writing to the system manager (at the above address) and providing the name of this system, their name and Social Security number and a description of the information being sought. (Furnishing the Social Security number is voluntary, but it will make searching for an individual's record easier and avoid delay.) Also, to verify identity, he/she should provide name, address and date of birth. These procedures are in accordance with HHS Regulations 45 CFR Part 5b.

**RECORD ACCESS PROCEDURES:**

Same as notification procedures. Except in transitory stages of preparation, files at SSA which are based on Census or Internal Revenue Service samples do not have personal identifiers, and cannot be located on an individual basis. Records with identifiers maintained at the Bureau of Census are considered by Census to be exempt from access.

**CONTESTING RECORD PROCEDURES:**

Same as notification procedures. Requesters should also reasonably identify the record, specify the information they are contesting and state the corrective action sought and the reasons for the correction with supporting justification. These procedures are in accordance with HHS Regulation 45 CFR Part 5b.

**RECORD SOURCE CATEGORIES:**

Records in this system are derived from other Social Security Administration systems, the Earnings Recording and Self-Employment Income System (09-60-0059) and Master Beneficiary Record (09-60-0090), Internal Revenue tax returns; and Census surveys.

**SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT:**

None.

09-60-0149

**SYSTEM NAME:**

Matches of Internal Revenue Service and Social Security Administration Data (Joint Social Security Administration/Treasury Department Office of Tax Analysis, Statistics Development Project), HHS/SSA/OP.

**SECURITY CLASSIFICATION:**

None.

**SYSTEM LOCATION:**

Social Security Administration, Office of Systems, 6401 Security Boulevard, Baltimore, Maryland 21235  
Social Security Administration, Office of Research and Statistics, 1875 Connecticut Avenue, N.W., Washington, D.C. 20009  
and  
Department of the Treasury, 15th & Pennsylvania Ave., N.W., Washington, D.C. 20220

**CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:**

Samples of persons by or on whose behalf Federal income or estate tax returns, or wages or self-employment earnings reports have been filed for 1970, 1972, 1975, 1977, 1979 and selected subsequent years.

**CATEGORIES OF RECORDS IN THE SYSTEM:**

Social Security Administration demographic data; Social Security Administration earnings information; and tax return data.

**AUTHORITY FOR MAINTENANCE OF THE SYSTEM:**

Section 702 of the Social Security Act (42 U.S.C., Section 902) and section 6103 of the Internal Revenue Code (26 U.S.C. 6103) for Treasury participation.

**PURPOSE(S):**

These files are used to develop data based for SSA's Office of Research and Statistics studies of economic behavior, mortality, wealth, and occupation in the context of the income maintenance system; and for micro-economic analysis of income distribution. These data bases are used by the Office of Research and Statistics jointly with the Department of the Treasury's Office of Tax Analysis without identifiers for research and statistical projects sponsored by the Office of Research and Statistics, the Office of Tax Analysis and the Internal Revenue Service. Files without identifiers are used for statistical analysis.