

# Deloitte.

## Enterprise Case Manager

*Curated Risk Management with insights that matter*

The Enterprise Case Manager (ECM) is Deloitte's proprietary risk management platform that offers a wide spectrum of technology accelerators across **Anti-Money Laundering (AML) monitoring**, **Know Your Customer (KYC)** and other **Financial Crime investigations**. The augmented workflow management solution facilitates automated focal entity research, intelligent insights into customer profile and investigation outcomes and enables outreach, tracking and reporting in a single platform.

### Know Your Customer



### Transaction Monitoring

**AUTOMATED DATA SOURCING:**  
Automated data and document collection from external sources reducing manual effort and time spent per case

**MULTI-JURISDICTIONAL REQUIREMENTS:**  
Extensive library of multi-jurisdictional requirements synthesized and configured into the tool, enforced by strict data controls

**INTEGRATED OUTREACH:**  
Integrated customer outreach with a dedicated portal allows faster outreach

**INTELLIGENT ALERT STRATIFICATION:**  
Automatically group and assign alerts to appropriate investigation teams based on investigator effectiveness and specialization

**AUTOMATED ALERT DISPOSITION:**  
Automated algorithms to identify false positives, reduce manual review and overall time spent on alert dispositions

**RULE RECALIBRATION:**  
Intelligent insights into rule performance and Suspicious Activity Report conversions help calibrate rules for optimal coverage

### Core Technical Features

#### Smart Workflows

Consistent outcomes driven by customized rules-based workflow

#### Network Analysis

Customer outreach and data conflicts minimized through re-use of existing information

#### Quality Control

Automated data validation approach based on anomaly detection

#### Reporting

Management insights at all levels and on-demand reporting enables swift decision making

 Efficiency through Automation

 Enhanced Quality

 Reduced cost of compliance

 Dynamic Insights

# Sample ECM Deployment Profiles

Deloitte ECM incorporates 20 years of AML investigation experience and IP which is designed to enhance outcomes

## Leading US Bank

- ~1M **Cases** processed through the platform
- 10K **Users** managed across 3 continents
- 26 **Lines of business** connecting to ECM

## Top Global Wealth Management Bank

- ~2.5M **Transaction records** aggregated and mapped
- 29K **High risk cases** completed
- 400K **Documents** collected, auto-translated and re-ingested

## Large Global Investment Bank

- 5 **Jurisdictions** with varying requirements
- 18 **Client profile types** reviewed
- 4 **External sources** integrated with robotics and APIs

*ECM is an efficient and effective solution for AML case management...*

**40+**

*US and global institutions have deployed ECM*

**98%**

*Quality at first-pass*

**50%**

*Efficiency gains*

**For additional information, please contact:**



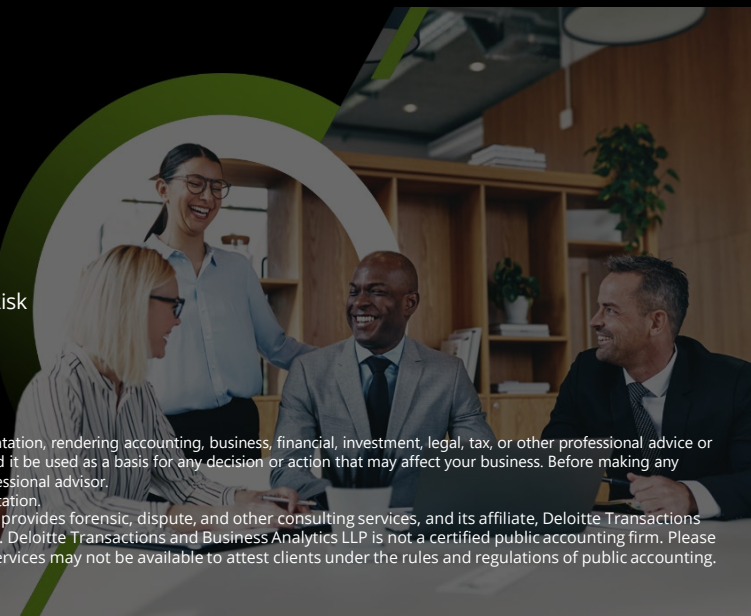
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