## 12.01.01 Institutional Rules for Implementing Tenure

Revised September 4, 2023

Next Scheduled Review: September 4, 2028

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## **Regulation Summary**

This regulation provides guidance to system academic institutions of The Texas A&M University System (system) in the development of rules for implementing tenure, and also establishes the criteria by which faculty may be evaluated with respect to tenure decisions.

## Regulation

- 1. Each system academic institution must adopt a rule for implementing System Policy 12.01, Academic Freedom, Responsibility and Tenure. The member rule must include, but need not be limited to the following:
  - (a) the methods for appointing or electing required committees;
  - (b) the procedures for providing faculty with a written statement of tenure conditions when employment is initiated;
  - (c) the procedures for giving notices to faculty regarding tenure or non-reappointment decisions;
  - (d) the use of tenure review committees or peer review committees in the tenure evaluation process;
  - (e) the steps for review of tenure recommendations through administrative channels;
  - (f) clarification of the definition of faculty for tenure considerations;
  - (g) the basis on which tenure is determined;
  - (h) authorized extensions of the probationary period to permit a possible "time-out" due to special conditions or in order to pursue special opportunities; and
  - (i) the identification of committees to hear cases of financial exigency.
- 2. Each system academic institution must make available to its faculty copies of System Policy 12.01, System Policy 12.06, Post-Tenure Review of Faculty and Teaching Effectiveness, this regulation, and the member rule(s) for implementing System Policies 12.01 and 12.06.
- 3. Prior to submitting revisions of System Policies 12.01 or 12.06 to the Board of Regents (board) for approval, the system must seek input, and consider the input received, from each system academic institution's faculty. The system follows a similar process for receiving and considering faculty input prior to adopting revisions to this regulation.

- 4. Prior to adopting new or revised rules or procedures for implementing System Policies 12.01 or 12.06, each system academic institution must seek input, and consider the input received, from the institution's faculty.
- 5. Guidelines for the granting of tenure include: (See System Policy 12.06 for consistency.)
  - (a) Teaching Effectiveness;
  - (b) Research, Creative Activities, and Other Scholarly Endeavors;
  - (c) Student Advising, Counseling, and Other Student Services;
  - (d) Committee and Administrative Service to University;
  - (e) Service to Profession, Community, State or Nation;
  - (f) Professional growth;
  - (g) Quality of Patient Care, where applicable; and
  - (h) Patents or Commercialization of Research, where applicable.

The emphasis may differ because of the differences in institutional missions and academic specialty, but teaching effectiveness is essential.

- 6. Evaluation of teaching effectiveness must include not only student evaluations, but also necessary and appropriate techniques cited in System Policy 12.06.
- 7. All tenure recommendations must include peer review of the faculty member's record of professional achievement.
- 8. Each system academic institution must publish its list of criteria which are applicable to the evaluation of faculty for the granting of tenure. Criteria must specify the kinds of evidence used in support of the evaluation of a faculty member being considered for tenure.
- 9. Faculty who hold joint appointments with other departments or with other system agencies must be informed of the criteria for evaluation that apply to their shared activities, and appropriate consideration is given to those activities.
- 10. Annual performance reviews are an important part of the tenure review process. If performance of a faculty member seeking tenure does not show progress toward meeting institutional expectations, action should be taken to not renew the appointment of the individual on the basis of annual performance reviews prior to the final tenure review.
- 11. In lieu of the annual performance review in the fourth year, all tenure track faculty must receive a comprehensive review to determine the progress toward meeting all tenure requirements established in the tenure track appointment. If a tenure track faculty is not progressing adequately toward the requirements for tenure, action is taken to non-renew the appointment of the individual.
- 12. The granting of tenure to faculty members is a prerogative reserved for the board. Recommendations for granting of tenure are presented by agenda item from the system academic institution's president, with endorsement by the vice chancellor for academic affairs and the chancellor, for board consideration.

13.	On or before September 1 <sup>st</sup> of each year, the vice chancellor for academic affairs must file a copy of System Policies 12.01 and 12.06, this regulation, and each system academic institution's rules and procedures for implementing such policies with the Texas Higher Education Coordinating Board.
Related Statutes, Policies, or Requirements	
<u>Tex</u>	xas Education Code § 51.942
<u>Ser</u>	nate Bill 18
Sys	stem Policy 12.01, Academic Freedom, Responsibility and Tenure
Sys	stem Policy 12.06, Post-Tenure Review of Faculty and Teaching Effectiveness
	or to August 2019, this regulation was published as System Policy 12.02, Institutional ocedures for Implementing Tenure.
Mo	ember Rule Requirements
	rule is required to supplement System Policy 12.01, Academic Freedom, Responsibility and nure. See Section 1.
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Sy	stem Approvals
Ap	proved for Legal Sufficiency:
Ray	y Bonilla Date
•	neral Counsel
Ap	proved:
	n Sharp Date
Ch	ancellor