

17.01.07 Administration, Oversight and Reporting of Intellectual Property



Revised [March 1, 2023](#)

Next Scheduled Review: March 1, 2028

Click to view [Revision History](#).

Regulation Summary

This regulation defines the reporting requirements and role of Texas A&M Innovation (TI) in providing oversight for the management of intellectual property (IP) owned or controlled by The Texas A&M University System (system).

Definitions

Click to view [Definitions](#).

Regulation

1. OVERSIGHT AND GUIDANCE

- 1.1 TI reports operationally to the system vice chancellor for research.
- 1.2 The Innovation Advisory Council (IAC) is chaired by the chancellor or a person selected by the chancellor and comprised of members and advisors selected by the chair. The IAC meets no less than annually and advises the chancellor on commercialization issues, including, but not limited to, budgetary concerns of TI, TI assessment methodology/metrics, operational issues of TI, commercialization funding strategies, system intellectual property regulations, the oversight/management of the commercialization development fund (as established by System Regulation *17.01.04, Distribution of Royalties, License Fees and Sale Proceeds from Licensing*), and other intellectual property and commercialization matters.
- 1.3 The Council of Inventors (CI) is chaired by an IP creator appointed by the chancellor or designee and comprised of IP creators. The CI meets no less than annually and reviews annual reports provided by TI, shares best practices, and makes recommendations to the IAC and chancellor or designee concerning matters relating to intellectual property and commercialization.

2. REPORTING REQUIREMENTS

- 2.1 In compliance with Section 51.912 of the Texas Education Code, the system Board of Regents (board) must file a report identifying (a) all employees who conceive, create,

discover, invent or develop intellectual property and have an equity interest in or serve as an employee, officer or member of the governing board of business entities that have agreements with the system relating to the research, development, licensing or exploitation of intellectual property for which they are the creator and in which the system has an ownership interest, and (b) all individuals who serve, at the request of the system, as a member of the governing board of business entities that have agreements with the system relating to the research, development, licensing or exploitation of intellectual property in which the system has an ownership interest. The report is filed in accordance with the requirements of Section 51.005 of the Texas Education Code.

- 2.2 TI prepares an annual report, which contents include, but not limited to, investment holdings on technology commercialization investments, including detailed investment holdings, transaction reports, valuation of holdings and material events that affect the value of the investments since the last report, and a licensing and commercialization report that includes all intellectual property disclosures, active license agreements and income per license involving system intellectual property in the last reporting period. For third-party license agreements, the report includes an overview of each licensee's compliance with license terms and resulting gains to the system through financial return or increased research funding. TI submits the report to the system board, chancellor, member CEOs, System Office of General Counsel (OGC), IAC, and CI within 90 days of the end of each fiscal year.

Related Statutes, Policies or Requirements

[Tex. Educ. Code § 51.005](#)

[Tex. Educ. Code § 51.912](#)

[Tex. Educ. Code Ch. 153](#)

[System Policy 07.03, *Conflicts of Interest, Dual Office Holding and Political Activities*](#)

[System Policy 17.01, *Intellectual Property Management and Commercialization*](#)

Member Rule Requirements

A rule is not required to supplement this regulation.

Contact Office

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