

# Metaplectic Eisenstein Series on $GL(3)$

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This is a companion piece to [2], to be published on the World Wide Web. It consists of two articles.

- The first article, on the Kubota symbol, gives a construction from scratch for the Kubota symbol of degree  $n$  on  $GL(3)$ .
- The second article is a longer version of Section 1 of [2], containing proofs that were shortened for publication.

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## 1 The Kubota Symbol

Let  $F$  be a global field with ring  $\mathfrak{o}$  of integers, and let  $\mu_n$  denote the group of  $n$ -th roots of unity in  $F$ . Let  $\Gamma(\mathfrak{f})$  be the principal congruence subgroup of  $SL_3(\mathfrak{o})$  consisting of elements that are congruent to the identity modulo  $\mathfrak{f}$ . The *Kubota symbol*  $\kappa : \Gamma(\mathfrak{f}) \rightarrow \mu_n$  is a character constructed by Bass, Milnor and Serre [1].

We will give a direct construction, obtaining on the way new formulas for the map. We will handle two cases simultaneously.

**Case 1:**  $n = 2$ ,  $F = \mathbb{Q}(i)$ ,  $\mathfrak{o} = \mathbb{Z}[i]$ ,  $\lambda = 1 + i$  and  $\mathfrak{f} = \lambda^3 \mathfrak{o}$ .

**Case 2:**  $n = 3$ ,  $F = \mathbb{Q}(\rho)$  where  $\rho = e^{2\pi i/3}$ ,  $\mathfrak{o} = \mathbb{Z}[\rho]$ ,  $\lambda = 1 - \rho$ , and  $\mathfrak{f} = \lambda^2 \mathfrak{o} = 3\mathfrak{o}$ .

For these two fields the particular level  $\mathfrak{f}$  may be new.

Although we specialize to these particular fields, our formulas should be correct (for some level, with perhaps some other minor modifications) when  $n > 1$  is arbitrary, assuming that  $F$  is a totally complex field containing the  $n$ -th roots of unity such that  $-1$  is an  $n$ -th power in  $F$ . We specialize to these particular cases since it is convenient that the class number is 1 and the level  $\mathfrak{f}$  can be chosen so that the map  $\mathfrak{o}^\times \rightarrow (\mathfrak{o}/\mathfrak{f})^\times$  is surjective.

If  $c$  and  $d$  are in  $\mathfrak{o}$  and  $\gcd(d, \mathfrak{f}) = 1$  the *power residue symbol*  $\left(\frac{c}{d}\right)$  is defined as follows. First, if  $c$  and  $d$  are *not* coprime then  $\left(\frac{c}{d}\right) = 0$ . If  $d = p$  is prime, then  $\left(\frac{c}{d}\right)$  is the unique  $n$ -th root of unity such that

$$c^{(Np-1)/n} \equiv \left(\frac{c}{p}\right) \pmod{p}.$$

Finally, if  $d$  is not prime, we factor  $d = \varepsilon \prod p_i^{k_i}$  where  $\varepsilon$  is a unit and the  $p_i$  are prime, and define  $\left(\frac{c}{d}\right) = \prod \left(\frac{c}{p_i}\right)^{k_i}$ . Our convention is that  $\left(\frac{0}{1}\right) = 1$ .

**Lemma 1** *If  $\lambda \nmid d$  then there exists a unique unit  $\varepsilon \in \mathfrak{o}^\times$  such that  $\varepsilon\lambda \equiv 1$  modulo  $\mathfrak{f}$ .*

We will use this fact frequently and without comment.

**Proposition 1** *The power residue symbol has the following properties.*

- (i) *If  $\varepsilon \in \mathfrak{o}^\times$  then  $\left(\frac{c}{\varepsilon d}\right) = \left(\frac{c}{d}\right)$ .*
- (ii) *If  $c \equiv c'$  modulo  $d$  then  $\left(\frac{c}{d}\right) = \left(\frac{c'}{d}\right)$ .*
- (iii) *We have  $\left(\frac{cc'}{d}\right) = \left(\frac{c}{d}\right) \left(\frac{c'}{d}\right)$ .*
- (iv) *We have  $\left(\frac{c}{ad'}\right) = \left(\frac{c}{d}\right) \left(\frac{c}{d'}\right)$ .*
- (v) *If  $p$  is prime (and prime to  $n$ ) then  $\left(\frac{b}{p}\right) = 1$  if and only if  $b$  is an  $n$ -th power residue modulo  $p$ .*
- (vi) *We have  $\left(\frac{-1}{d}\right) = 1$ .*

**Proposition 2 (Reciprocity law)** *If  $c, d \equiv \pm 1$  modulo  $\mathfrak{f}$ , then*

$$\left(\frac{c}{d}\right) = \left(\frac{d}{c}\right).$$

**Proposition 3** (i) *Assume that  $n = 3$  and  $F = \mathbb{Z}[\rho]$ . If  $d = 1 + 3(m + n\rho)$  then*

$$\left(\frac{\rho}{d}\right) = \rho^{-m-n}, \quad \left(\frac{\lambda}{d}\right) = \rho^m$$

(ii) *Assume that  $n = 2$  and  $F = \mathbb{Z}[i]$ . If  $d = a + bi \equiv 1$  modulo  $\mathfrak{f}$  then*

$$\left(\frac{i}{d}\right) = (-1)^{(a-1)/2}, \quad \left(\frac{\lambda}{d}\right) = (-1)^{(a-3b-1)/4}.$$

**Proof** These three propositions can all be deduced easily from the discussion of the cubic symbol and its properties in Ireland and Rosen [4]. For the quadratic symbol, one may use results found there for the quartic residue symbol, remembering that the quadratic symbol is the square of the quartic residue symbol.  $\square$

**Proposition 4** *Suppose that  $\lambda \nmid d, d'$  and that  $\gcd(c, d) = \gcd(c, d') = 1$ . Assume that one of the following three cases applies:*

- (i)  *$d \equiv d'$  modulo  $\mathfrak{f}^2$  and  $d \equiv d'$  modulo  $c$ ;*
- (ii)  *$d \equiv d'$  modulo  $\mathfrak{f}\lambda$ ,  $d \equiv d'$  modulo  $c$  and  $\gcd(c, \lambda) = 1$ ;*
- (iii)  *$c$  is of the form  $\theta\lambda^b$  where  $\theta$  is a unit and  $d \equiv d'$  modulo  $\mathfrak{f}^2$ .*

*Then*

$$\left(\frac{c}{d}\right) = \left(\frac{c}{d'}\right).$$

**Proof** Let us write  $d = \varepsilon d_0$  and  $d' = \varepsilon' d'_0$  where  $\varepsilon, \varepsilon'$  are units and  $d_0 \equiv d'_0 \equiv 1$  modulo  $\mathfrak{f}$ . We note that since  $d \equiv d'$  modulo  $\mathfrak{f}$  in each of the 3 cases we have  $\varepsilon \equiv \varepsilon'$  modulo  $\mathfrak{f}$  which implies that  $\varepsilon = \varepsilon'$ . Therefore  $d_0 \equiv d'_0$  for any modulus such that  $d \equiv d'$ . Furthermore,  $\left(\frac{c}{d}\right) = \left(\frac{c}{d_0}\right)$  and  $\left(\frac{c}{d'}\right) = \left(\frac{c}{d'_0}\right)$ .

As a result of these observations we may replace  $d$  and  $d'$  by  $d_0$  and  $d'_0$ . In other words, there is no harm in assuming that  $d \equiv d'$  modulo  $\mathfrak{f}$  and we will assume this.

Let us write  $c = c_0 \theta \lambda^u$  where  $\theta$  is a unit and  $c_0 \equiv 1$  modulo  $\mathfrak{f}$ . Then by the reciprocity law

$$\left(\frac{c}{d}\right) = \left(\frac{\theta \lambda^u}{d}\right) \left(\frac{d}{c_0}\right).$$

In each of the three cases we have  $d \equiv d'$  modulo  $c_0$  and so  $\left(\frac{d}{c_0}\right) = \left(\frac{d'}{c_0}\right)$ . Thus we have only to show that

$$\left(\frac{\theta \lambda^u}{d}\right) = \left(\frac{\theta \lambda^u}{d'}\right).$$

This is true if  $d \equiv d'$  modulo  $\mathfrak{f}^2$  by Proposition 3, which settles cases (i) and (iii). In case (ii), we have  $u = 0$ , and the statement follows again from Proposition 3. □

Let

$$w = \begin{pmatrix} & & 1 \\ & 1 & \\ 1 & & \end{pmatrix}. \tag{1}$$

Then  $G = \mathrm{SL}_3$  has an involution defined by

$${}^t g = w \cdot {}^t g^{-1} \cdot w.$$

It preserves the group  $\Gamma(\mathfrak{f})$  and its subgroup  $\Gamma_\infty(\mathfrak{f})$ , consisting of the upper triangular matrices in  $\Gamma(\mathfrak{f})$ . If  $g \in \Gamma(\mathfrak{f})$ , let  $[A_1, B_1, C_1]$  and  $[A_2, B_2, C_2]$  be the bottom rows of  $g$  and  ${}^t g$ , respectively. Then

$$\begin{aligned} (A_1, B_1, C_1) &\equiv (A_2, B_2, C_2) \equiv (0, 0, 1) \pmod{\mathfrak{f}}, \\ A_1 C_2 + B_1 B_2 + C_1 A_2 &= 0, \\ \gcd(A_1, B_1, C_1) &= \gcd(A_2, B_2, C_2) = 1. \end{aligned} \tag{2}$$

We call  $A_1, B_1, C_1, A_2, B_2, C_2$  the *invariants* of  $g$ . We will refer to (2) as the *Plücker relation*. The invariants depend only on the orbit of  $g$  in  $\Gamma_\infty(\mathfrak{f}) \backslash \Gamma(\mathfrak{f})$ .

**Proposition 5** *If  $\gcd(A_1, B_1, C_1) = \gcd(A_2, B_2, C_2) = 1$  and the Plücker relation (2) is satisfied, then we may factor*

$$\begin{aligned} A_1 &= p_1 p_2 q_1 a_1, & A_2 &= q_1 q_2 p_2 a_2, \\ B_1 &= q_1 q_2 r_1 b_1, & B_2 &= p_1 p_2 r_2 b_2, \\ C_1 &= r_1 r_2 p_1 c_1, & C_2 &= r_1 r_2 q_2 c_2, \end{aligned}$$

where

$$\begin{aligned} \gcd(a_1, b_1) &= \gcd(a_1, c_1) = \gcd(a_1, a_2) = \gcd(a_1, b_2) = \gcd(b_1, c_1) = \gcd(b_1, a_2) \\ &= \gcd(b_1, c_2) = \gcd(c_1, b_2) = \gcd(c_1, c_2) = \gcd(a_2, b_2) = \gcd(a_2, c_2) = \gcd(b_2, c_2) \\ &= \gcd(p_1, q_1) = \gcd(p_1, q_2) = \gcd(p_1, r_1) = \gcd(q_2, p_2) = \gcd(q_2, r_2) = \gcd(r_1, p_2) \\ &= \gcd(b_2, q_2) = \gcd(c_1, q_1) = \gcd(c_2, p_2) = 1. \end{aligned}$$

**Proof** Let  $\Omega$  be the set of ordered triples  $(P, Q, R)$  such that  $P|(A_1, B_2)$ ,  $Q|(B_1, A_2)$  and  $R|(C_1, C_2)$  and  $PQR|\gcd(A_1C_2, B_1B_2, C_1A_2)$ . Define a partial order on  $\Omega$  by  $(P, Q, R) \leq (P', Q', R')$  if  $P|P'$ ,  $Q|Q'$  and  $R|R'$ . Let  $(P, Q, R)$  be a maximal element of  $\Omega$ , and write  $A_1 = PA'_1$ ,  $B_1 = QB'_2$ ,  $C_1 = RC'_1$ ,  $A_2 = QA'_2$ ,  $B_2 = PB'_2$ ,  $C_2 = RC'_2$ . Since  $PQR|B_1B_2$ , we have  $R|B'_1B'_2$ , so we may factor  $R = r_1r_2$  with  $r_1|B'_1$  and  $r_2|B'_2$ ; let  $B'_1 = r_1b_1$  and  $B'_2 = r_2b_2$ . Similarly  $P = p_1p_2$  where  $C'_1 = p_1c_1$  and  $A'_2 = p_2a_2$ , and  $Q = q_1q_2$  where  $A'_1 = q_1a_1$  and  $C'_2 = q_2c_2$ . The maximality of  $\Omega$ , together with  $\gcd(A_1, B_1, C_1) = \gcd(A_2, B_2, C_2) = 1$ , implies the coprimality conditions of the theorem.  $\square$

**Proposition 6** *Suppose in the context of Proposition 5 that  $A_1 \equiv B_1 \equiv A_2 \equiv B_2 \equiv 0$  and  $C_1 \equiv C_2 \equiv 1$  modulo  $\mathfrak{f}$ . Then we may choose the factorizations so that  $r_1 \equiv r_2 \equiv p_1 \equiv q_2 \equiv c_1 \equiv c_2 \equiv 1$  modulo  $\mathfrak{f}$  and so that one of the following three cases applies:*

- (i)  $\mathfrak{f}|b_1$ ,  $\lambda^2|b_2$ ,  $\mathfrak{f}^2|b_1b_2$ ,  $a_1 \equiv 1$  and  $a_2 \equiv -1$  modulo  $\mathfrak{f}$ ;
- (ii)  $b_1 \equiv a_2 \equiv 1$  modulo  $\mathfrak{f}$  and  $\mathfrak{f}|p_2a_1$ ; or
- (iii)  $b_2 \equiv a_1 \equiv 1$  modulo  $\mathfrak{f}$  and  $\mathfrak{f}|q_1a_2$ . We have

$$\begin{pmatrix} b_1 \\ c_1 \end{pmatrix} \begin{pmatrix} b_2 \\ c_2 \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}^{-1} = \begin{cases} \begin{pmatrix} a_1 \\ c_1 \end{pmatrix} \begin{pmatrix} b_2 \\ a_2 \end{pmatrix} \begin{pmatrix} b_2 \\ a_1 \end{pmatrix}^{-1} = \begin{pmatrix} b_1 \\ a_1 \end{pmatrix} \begin{pmatrix} b_2 \\ a_2 \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}^{-1} & \text{in case (i);} \\ \begin{pmatrix} b_1 \\ c_1 \end{pmatrix} \begin{pmatrix} a_2 \\ c_2 \end{pmatrix} \begin{pmatrix} b_1 \\ c_2 \end{pmatrix}^{-1} = \begin{pmatrix} a_1 \\ b_1 \end{pmatrix} \begin{pmatrix} b_2 \\ a_2 \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}^{-1} & \text{in case (ii);} \\ \begin{pmatrix} b_2 \\ c_2 \end{pmatrix} \begin{pmatrix} a_1 \\ c_1 \end{pmatrix} \begin{pmatrix} b_2 \\ c_1 \end{pmatrix}^{-1} = \begin{pmatrix} b_1 \\ a_1 \end{pmatrix} \begin{pmatrix} a_2 \\ b_2 \end{pmatrix} \begin{pmatrix} a_2 \\ a_1 \end{pmatrix}^{-1} & \text{in case (iii).} \end{cases}$$

**Proof** We first note that it is sufficient to obtain a decomposition in which one of the following is true:

- (i')  $\mathfrak{f}|b_1$ ,  $\lambda|b_2$ ,  $\mathfrak{f}^2|b_1b_2$ , and  $\lambda \nmid a_1, a_2$ ;
- (ii')  $\lambda \nmid b_1, a_2$  and  $\mathfrak{f}|p_2a_1$ ; or
- (iii')  $\lambda \nmid b_2, a_1$  and  $\mathfrak{f}|q_1a_2$ .

Indeed, since  $C_1 \equiv C_2 \equiv 1$  modulo  $\mathfrak{f}$ , in any decomposition as in Proposition 5 we have automatically that  $\lambda \nmid r_1, r_2, p_1, q_2, c_1, c_2$ . Now we make use of the fact that if  $\lambda \nmid c$  then there exists a unit  $\varepsilon$  such that  $\varepsilon c \equiv 1$  modulo  $\mathfrak{f}$  to see that we may adjust  $r_1, r_2, p_1, q_2, c_1, c_2$  by units so that  $r_1 \equiv r_2 \equiv p_1 \equiv q_2 \equiv 1$  modulo  $\mathfrak{f}$ , and it follows that  $c_1 \equiv c_2 \equiv 1$  also. There are compensating adjustments, of course, to  $a_1, a_2, b_1, b_2$  but these will be adjusted again. If (i') is satisfied, we may then adjust  $a_1$  and by a unit, with compensating changes to  $q_1, a_2$  and  $b_2$ , so that  $a_1 \equiv 1$  modulo  $\mathfrak{f}$ . Then, since  $a_1c_2 + a_2c_1 \equiv a_1c_2 + b_1b_2 + c_1a_2 = 0$  modulo  $\mathfrak{f}$ , it follows that  $a_2 \equiv -1$  modulo  $\mathfrak{f}$ . The cases (ii') and (iii') are handled similarly.

To establish (i'), (ii') or (iii'), let us denote  $\alpha_i = \text{ord}_\lambda(A_i)$  and  $\beta_i = \text{ord}_\lambda(B_i)$ . Let  $r = \text{ord}_\lambda(\mathfrak{f})$ ; thus  $r = 2$  if  $n = 3$  and  $r = 3$  if  $n = 2$ . Since  $\mathfrak{f}|A_1, A_2, B_1, B_2$  we have  $\alpha_i \geq r$  and  $\beta_i \geq r$ .

Suppose that  $\alpha_1 > \alpha_2$ . Then  $\alpha_1 = \text{ord}_\lambda(A_1C_2) > \alpha_2 = \text{ord}_\lambda(A_2C_1)$

$$\beta_1 + \beta_2 = \text{ord}_\lambda(-A_1C_2 - A_2C_1) = \alpha_2.$$

Now, with  $\Omega$  as in the proof of Proposition 5, we have  $(\lambda^{\beta_2}, \lambda^{\beta_1}, 1) \in \Omega$ , and we choose maximal  $(P, Q, R) \geq (\lambda^{\beta_2}, \lambda^{\beta_1}, 1)$ . Then  $\lambda^{\beta_2}|p_1p_2$  and since  $\lambda \nmid p_1$ , we have  $\lambda^{\beta_2}|p_2$ ; similarly  $\lambda^{\beta_1}|q_1$ . Now  $a_2$  and  $b_1$  are both prime to  $\lambda$  and  $\mathfrak{f}|p_2$  so  $\mathfrak{f}|p_2a_1$  so we are in case (ii').

The case  $\alpha_2 > \alpha_1$  similarly leads to case (iii').

We are left with the case where  $\alpha_1 = \alpha_2$  and  $\beta_1$  and  $\beta_2$  are both  $\geq \alpha_1 + 1$ . Let us write  $\alpha_1 = 2a + \varepsilon$ , where  $\varepsilon = 0$  or  $1$  and  $a > 0$ . It is easy to see that  $a + \varepsilon \geq r - 1$ . Then  $(\lambda^{a+\varepsilon}, \lambda^a, 1) \in \Omega$ .

Choosing  $(P, Q, R) \geq (\lambda^{a+\varepsilon}, \lambda^a, 1)$  we have  $\lambda^{a+\varepsilon} | p_2$  and  $\lambda^a | q_1$ . In fact we have  $\lambda^{a+\varepsilon} || p_2$  and  $\lambda^a || q_1$  since if any larger power of  $\lambda$  than  $\lambda^{a+\varepsilon}$  were to divide  $p_2$  then a larger power than  $\alpha_1 = 2a + \varepsilon$  would divide  $A_1 = p_1 p_2 q_1 a_2$ , which is a contradiction; and similarly with  $q_1$ . Now it is clear that  $a_1$  and  $a_2$  are both not divisible by  $\lambda$ . Now, since  $\lambda \nmid r_1, r_2, p_1, q_2$  (because  $\lambda \nmid C_1, C_2$ ) we have  $\lambda^{\beta_1 - a} | b_1$  and  $\lambda^{\beta_2 - a - \varepsilon} | b_2$ . Since  $\beta_1 - a \geq a + \varepsilon + 1 \geq r$  and  $\beta_2 - a - \varepsilon \geq a + 1 \geq 2$  we have  $f | b_1$ ,  $\lambda^2 | b_2$ ,  $f^2 | b_1 b_2$  and  $\lambda \nmid a_1, a_2$ . Thus we are in case (i').

It remains to prove the identities for  $\left(\frac{b_1}{c_1}\right) \left(\frac{b_2}{c_2}\right) \left(\frac{c_1}{c_2}\right)^{-1}$ . Let us tackle case (i) first. Since  $a_1 c_2 + b_1 b_2 + c_1 a_2 = 0$  we have

$$\begin{aligned}
\left(\frac{b_1}{c_1}\right) \left(\frac{b_2}{c_2}\right) \left(\frac{c_1}{c_2}\right)^{-1} &= [\text{reciprocity, } c_1 \equiv c_2 \equiv 1 \pmod{f}] \\
\left(\frac{b_1}{c_1}\right) \left(\frac{b_2}{a_1 c_2}\right) \left(\frac{c_2}{c_1}\right)^{-1} \left(\frac{b_2}{a_1}\right)^{-1} &= [\text{Prop. 5 (i), } a_1 c_2 \equiv -a_2 c_1 \pmod{b_2} \text{ and } f^2] \\
\left(\frac{b_1}{c_1}\right) \left(\frac{b_2}{a_2 c_1}\right) \left(\frac{c_2}{c_1}\right)^{-1} \left(\frac{b_2}{a_1}\right)^{-1} &= [\text{multiplicativity}] \\
\left(\frac{b_1 b_2}{c_1}\right) \left(\frac{b_2}{a_2}\right) \left(\frac{c_2}{c_1}\right)^{-1} \left(\frac{b_2}{a_1}\right)^{-1} &= [a_1 c_2 \equiv -b_1 b_2 \pmod{c_1}] \\
\left(\frac{a_1 c_2}{c_1}\right) \left(\frac{b_2}{a_2}\right) \left(\frac{c_2}{c_1}\right)^{-1} \left(\frac{b_2}{a_1}\right)^{-1} &= [\text{multiplicativity}] \\
\left(\frac{a_1}{c_1}\right) \left(\frac{b_2}{a_2}\right) \left(\frac{b_2}{a_1}\right)^{-1} &= [\text{multiplicativity}] \\
\left(\frac{a_1}{c_1 a_2}\right) \left(\frac{b_2}{a_2}\right) \left(\frac{b_2}{a_1}\right)^{-1} \left(\frac{a_1}{a_2}\right)^{-1} &= [\text{reciprocity, } a_1 \equiv c_1 a_2 \equiv 1 \pmod{f}] \\
\left(\frac{c_1 a_2}{a_1}\right) \left(\frac{b_2}{a_2}\right) \left(\frac{b_2}{a_1}\right)^{-1} \left(\frac{a_1}{a_2}\right)^{-1} &= [c_1 a_2 \equiv -b_1 b_2 \pmod{a_1}] \\
\left(\frac{b_1 b_2}{a_1}\right) \left(\frac{b_2}{a_2}\right) \left(\frac{b_2}{a_1}\right)^{-1} \left(\frac{a_1}{a_2}\right)^{-1} &= \left(\frac{b_1}{a_1}\right) \left(\frac{b_2}{a_2}\right) \left(\frac{a_1}{a_2}\right)^{-1}.
\end{aligned}$$

Next let us consider case (ii). We have  $c_1 \equiv c_2 \equiv b_1 \equiv a_2 \equiv 1$  modulo  $f$ , and we have

$$\begin{aligned}
\left(\frac{b_1}{c_1}\right) \left(\frac{b_2}{c_2}\right) \left(\frac{c_1}{c_2}\right)^{-1} &= [\text{multiplicativity}] \\
\left(\frac{b_1}{c_1}\right) \left(\frac{b_1 b_2}{c_2}\right) \left(\frac{c_1}{c_2}\right)^{-1} \left(\frac{b_1}{c_2}\right)^{-1} &= [b_1 b_2 \equiv -a_2 c_1 \text{ modulo } c_2] \\
\left(\frac{b_1}{c_1}\right) \left(\frac{a_2 c_1}{c_2}\right) \left(\frac{c_1}{c_2}\right)^{-1} \left(\frac{b_1}{c_2}\right)^{-1} &= [\text{multiplicativity}] \\
\left(\frac{b_1}{c_1}\right) \left(\frac{a_2}{c_2}\right) \left(\frac{b_1}{c_2}\right)^{-1} &= [\text{reciprocity, multiplicativity}] \\
\left(\frac{a_2 c_1}{b_1}\right) \left(\frac{a_2}{c_2}\right) \left(\frac{b_1}{c_2}\right)^{-1} \left(\frac{a_2}{b_1}\right)^{-1} &= [a_2 c_1 \equiv a_1 c_2 \text{ modulo } b_1, \text{ reciprocity}] \\
\left(\frac{a_1 c_2}{b_1}\right) \left(\frac{a_2}{c_2}\right) \left(\frac{c_2}{b_1}\right)^{-1} \left(\frac{a_2}{b_1}\right)^{-1} &= [\text{reciprocity, multiplicativity}] \\
\left(\frac{a_1}{b_1}\right) \left(\frac{c_2}{a_2}\right) \left(\frac{a_2}{b_1}\right)^{-1} &= [\text{reciprocity, multiplicativity}] \\
\left(\frac{a_1}{b_1}\right) \left(\frac{a_1 c_2}{a_2}\right) \left(\frac{a_2}{b_1}\right)^{-1} \left(\frac{a_1}{a_2}\right)^{-1} &= [a_1 c_2 \equiv b_1 b_2 \text{ mod } a_2] \\
\left(\frac{a_1}{b_1}\right) \left(\frac{b_1 b_2}{a_2}\right) \left(\frac{b_1}{a_2}\right)^{-1} \left(\frac{a_1}{a_2}\right)^{-1} &= \left(\frac{a_1}{b_1}\right) \left(\frac{b_2}{a_2}\right) \left(\frac{a_1}{a_2}\right)^{-1}.
\end{aligned}$$

Case (iii) is similar to (ii). □

**Proposition 7** *Suppose that  $\gcd(A_1, B_1, C_1) = \gcd(A_2, B_2, C_2) = 1$ ,  $A_1 C_2 + B_1 B_2 + C_1 A_2 = 0$  and  $(A_1, B_1, C_1) \equiv (A_2, B_2, C_2) \equiv (0, 0, 1)$  modulo  $f$ . Assume further that  $\gcd(C_1, C_2) = 1$ . Then also  $\gcd(B_1, C_1) = \gcd(B_2, C_2) = 1$ . There exist factorizations*

$$\begin{aligned}
A_1 &= p_2 q_1 A'_1, & A_2 &= p_2 q_1 A'_2, \\
B_1 &= q_1 B'_1 & B_2 &= p_2 B'_2,
\end{aligned}$$

where  $p_2 q_1 = \gcd(A_1, A_2)$ . We have

$$\gcd(B'_1, A'_1) = \gcd(B'_2, A'_2) = \gcd(A'_1, A'_2) = \gcd(q_1, C_1) = \gcd(p_2, C_2) = 1 \quad (3)$$

and we may assume that one of the three following cases applies:

- (i)  $f | B'_1$ ,  $\lambda^2 | B'_2$ ,  $f^2 | B'_1 B'_2$  and  $A'_1 \equiv -A'_2 \equiv 1$  modulo  $f$ ;
- (ii)  $B'_1 \equiv A'_2 \equiv 1$  modulo  $f$  and  $f | p_2 A'_1$ ; or
- (iii)  $B'_2 \equiv A'_1 \equiv 1$  modulo  $f$  and  $f | q_1 A'_2$ .

We have

$$\left(\frac{B_1}{C_1}\right) \left(\frac{B_2}{C_2}\right) \left(\frac{C_1}{C_2}\right)^{-1} = \begin{cases} \left(\frac{B'_1}{A'_1}\right) \left(\frac{B'_2}{A'_2}\right) \left(\frac{A'_1}{A'_2}\right)^{-1} \left(\frac{q_1}{C_1}\right) \left(\frac{p_2}{C_2}\right) & \text{in case (i);} \\ \left(\frac{A'_1}{B'_1}\right) \left(\frac{B'_2}{A'_2}\right) \left(\frac{A'_1}{A'_2}\right)^{-1} \left(\frac{q_1}{C_1}\right) \left(\frac{p_2}{C_2}\right) & \text{in case (ii);} \\ \left(\frac{B'_1}{A'_1}\right) \left(\frac{A'_2}{B'_2}\right) \left(\frac{A'_2}{A'_1}\right)^{-1} \left(\frac{q_1}{C_1}\right) \left(\frac{p_2}{C_2}\right) & \text{in case (iii).} \end{cases} \quad (4)$$

The assumption that  $\gcd(C_1, C_2) = 1$  will be removed in Proposition 10.

**Proof** The coprimality of  $B_1$  and  $C_1$  follows from the coprimality of  $C_1$  and  $C_2$  since any common prime divisor of  $B_1$  and  $C_1$  divides  $-A_1C_2 = B_1B_2 + C_1A_2$ , and it cannot divide  $A_1$  since  $\gcd(A_1, B_1, C_1) = 1$ .

The existence of the a factorization follows from the factorization in Proposition 6 with  $A'_1 = p_1a_1$ ,  $A'_2 = q_2a_2$ ,  $B'_1 = q_2b_1$  and  $B'_2 = p_1b_2$ . (We note that every such factorization may be obtained this way.) Since  $C_1$  and  $C_2$  are coprime, we have  $r_1 = r_2 = 1$  so  $C_1 = p_1c_1$  and  $C_2 = q_2c_2$ . Using the multiplicativity of the symbol and the reciprocity law, we have

$$\left(\frac{B_1}{C_1}\right) \left(\frac{B_2}{C_2}\right) \left(\frac{C_1}{C_2}\right)^{-1} = \left(\frac{q_2}{p_1}\right) \left(\frac{b_1}{p_1}\right) \left(\frac{b_2}{q_2}\right) \left(\frac{b_1}{c_1}\right) \left(\frac{b_2}{c_2}\right) \left(\frac{c_1}{c_2}\right)^{-1} \left(\frac{q_1}{C_1}\right) \left(\frac{p_2}{C_2}\right).$$

In case (i), we use Proposition 6 to write this

$$\left(\frac{q_2}{p_1}\right) \left(\frac{b_1}{p_1}\right) \left(\frac{b_2}{q_2}\right) \left(\frac{b_1}{a_1}\right) \left(\frac{b_2}{a_2}\right) \left(\frac{a_1}{a_2}\right)^{-1} \left(\frac{q_1}{C_1}\right) \left(\frac{p_2}{C_2}\right).$$

We have

$$\left(\frac{B'_1}{A'_1}\right) \left(\frac{B'_2}{A'_2}\right) \left(\frac{A'_1}{A'_2}\right)^{-1} = \left(\frac{q_2}{p_1}\right) \left(\frac{b_1}{p_1}\right) \left(\frac{b_2}{q_2}\right) \left(\frac{b_1}{a_1}\right) \left(\frac{b_2}{a_2}\right) \left(\frac{a_1}{a_2}\right)^{-1},$$

and the statement follows. Cases (ii) and (iii) are similar.  $\square$

Let  $\Sigma$  be the set of  $g \in \Gamma(\mathfrak{f})$  whose invariants  $A_1, B_1, C_1$  and  $A_2, B_2, C_2$  are such that  $\gcd(C_1, C_2) = 1$ . If  $g \in \Sigma$  denote

$$\kappa_0(g) = \left(\frac{B_1}{C_1}\right) \left(\frac{B_2}{C_2}\right) \left(\frac{C_1}{C_2}\right)^{-1}.$$

Let  $\Gamma_\infty(\mathfrak{f})$  denote the subgroup of elements of  $\Gamma(\mathfrak{f})$  that are upper triangular and unipotent.

**Proposition 8** *Suppose that  $u \in \Gamma_\infty(\mathfrak{f})$  and that both  $g, gu \in \Sigma$ . Then  $\kappa_0(g) = \kappa_0(gu)$ .*

**Proof** Let  $A_1, B_1, C_1, A_2, B_2, C_2$  be the invariants of  $g$ , and let  $\bar{A}_1, \bar{B}_1, \bar{C}_1, \bar{A}_2, \bar{B}_2, \bar{C}_2$  be the invariants of  $gu$ . Writing

$$u = \begin{pmatrix} 1 & u_2 & u_3 \\ & 1 & u_1 \\ & & 1 \end{pmatrix}, \quad u_4 = u_1u_2 - u_3, \quad (5)$$

we have

$$\begin{aligned} \bar{A}_1 &= A_1, & \bar{A}_2 &= A_2, \\ \bar{B}_1 &= B_1 + u_2A_1, & \bar{B}_2 &= B_2 - u_1A_2, \\ \bar{C}_1 &= C_1 + u_1B_1 + u_3A_1, & \bar{C}_2 &= C_2 - u_2B_2 + u_4A_2. \end{aligned} \quad (6)$$

As in Proposition 7 let

$$\begin{aligned} A_1 &= p_2q_1A'_1, & A_2 &= p_2q_1A'_2, \\ B_1 &= q_1B'_1, & B_2 &= p_2B'_2, \end{aligned}$$

where  $A_1$  and  $A'_1$  are coprime. Then we may also write

$$\begin{aligned}\bar{A}_1 &= p_2 q_1 \bar{A}'_1, & \bar{A}_2 &= p_2 q_1 \bar{A}'_2, \\ \bar{B}_1 &= q_1 \bar{B}'_1, & \bar{B}_2 &= p_2 \bar{B}'_2,\end{aligned}$$

where

$$\begin{aligned}\bar{A}'_1 &= A'_1, & \bar{A}'_2 &= A'_2, \\ \bar{B}'_1 &= B'_1 + u_2 p_2 A'_1, & \bar{B}'_2 &= B'_2 - u_1 q_1 A_2.\end{aligned}$$

We note that  $C_1 \equiv \bar{C}_1$  modulo  $q_1$  and modulo  $f^2$  and similarly for  $C_2$ , so

$$\left(\frac{q_1}{C_1}\right) = \left(\frac{q_1}{\bar{C}_1}\right), \quad \left(\frac{p_2}{C_2}\right) = \left(\frac{p_2}{\bar{C}_2}\right).$$

Now suppose we are in case (i) of Proposition 7. Then

$$\kappa_0(g) = \begin{pmatrix} B'_1 \\ A'_1 \end{pmatrix} \begin{pmatrix} B'_2 \\ A'_2 \end{pmatrix} \begin{pmatrix} A'_1 \\ A'_2 \end{pmatrix}^{-1} \begin{pmatrix} q_1 \\ C_1 \end{pmatrix} \begin{pmatrix} p_2 \\ C_2 \end{pmatrix}.$$

Since  $\bar{A}'_i = A'_i$  and  $\bar{B}'_i \equiv B'_i$  modulo  $\bar{A}'_i$ , we may replace  $A'_i$  by  $\bar{A}'_i$  and  $B'_i$  by  $\bar{B}'_i$  and obtain  $\kappa_0(gu)$ .

Next suppose we are in case (ii). Then

$$\kappa_0(g) = \begin{pmatrix} A'_1 \\ B'_1 \end{pmatrix} \begin{pmatrix} B'_2 \\ A'_2 \end{pmatrix} \begin{pmatrix} A'_1 \\ A'_2 \end{pmatrix}^{-1} \begin{pmatrix} q_1 \\ C_1 \end{pmatrix} \begin{pmatrix} p_2 \\ C_2 \end{pmatrix}.$$

In this case, the handling of the first symbol requires noting that  $f^2 | u_2 p_2 A'_1$  since  $f | u_2$  and  $f | p_2 A'_1$ . Hence we may apply Proposition 4 (i) and conclude that

$$\begin{pmatrix} A'_1 \\ B'_1 \end{pmatrix} = \begin{pmatrix} A'_1 \\ \bar{B}'_1 \end{pmatrix}$$

so that  $\kappa_0(g) = \kappa_0(gu)$ . The case (iii) is identical.  $\square$

**Lemma 2** *Suppose that  $\gcd(A, B, C) = 1$ . Then there exists  $\lambda$  such that  $\gcd(A + \lambda B, C) = 1$ .*

**Proof** Let  $\theta = \gcd(A, B)$ , and write  $A = \theta A_0$ ,  $B = \theta B_0$  with  $A_0, B_0$  coprime. By the extension to  $\mathfrak{o}$  of Dirichlet's theorem on primes in an arithmetic progression, there exists  $\lambda$  such that  $\pi = A_0 + \lambda B_0$  is prime, and we may avoid the finite number of primes that divide  $C$ . Then  $A + \lambda B = \theta \pi$ , and both  $\theta$  and  $\pi$  are prime to  $C$ .  $\square$

**Proposition 9** *If  $g \in \Gamma(f)$  then there exists  $u \in \Gamma_\infty(f)$  such that  $gu \in \Sigma$ .*

**Proof** Let  $A_1, B_1, C_1, A_2, B_2, C_2$  be the invariants of  $g$ . If  $u$  is as in (5) then  $\bar{A}_1, \bar{B}_1, \bar{C}_1, \bar{A}_2, \bar{B}_2, \bar{C}_2$  as in (6) are the invariants of  $gu$ . First, taking  $u_2 = u_3 = u_4 = 0$ , Lemma 2 shows that we may choose  $u_1$  such that  $\gcd(\bar{A}_1, \bar{C}_1) = 1$ ; replacing  $g$  by another element of  $g \Gamma_\infty(f)$  we may therefore assume that  $\gcd(A_1, C_1) = 1$ .



With this assumption, we now work with  $u_1 = u_2 = 0$  and use only  $u_3$ . By the extension to  $\mathfrak{o}$  of Dirichlet's theorem on primes in an arithmetic progression, we may find  $u_3$  such that  $\bar{C}_1 = C_1 + u_3 A_1$  is prime, and we may avoid the finite number of primes that divide  $B_1 B_2$ . Noting that in the notation of (6) when  $u_1 = u_2 = 0$  we have  $u_4 = -u_3$ ,  $\gcd(\bar{C}_1, \bar{C}_2) = \gcd(C_1 + u_3 A_1, C_2 - u_3 A_2)$  divides  $A_2(C_1 + u_3 A_1) + A_1(C_2 - u_3 A_2) = -B_1 B_2$ . Since  $\bar{C}_1$  is prime to  $B_1 B_2$ , this means that  $gu \in \Sigma$ .  $\square$

We may now define the Kubota symbol, which we will eventually prove to be a homomorphism.

**Definition 1** *Let  $g \in \Gamma(\mathfrak{f})$ . Then the Kubota symbol  $\kappa(g) = \kappa_0(gu)$ , where  $u$  is any element of  $\Gamma_\infty(\mathfrak{f})$  such that  $gu \in \Sigma$ .*

The existence of such a  $u$  follows from Proposition 9, and the independence of  $\kappa_0(gu)$  on the choice of  $u$  follows from Proposition 8.

We can now improve the result of Proposition 7 by removing the assumption that  $\gcd(C_1, C_2) = 1$ .

**Proposition 10** *Suppose that  $g \in \Gamma(\mathfrak{f})$  has invariants  $A_1, B_1, C_1, A_2, B_2, C_2$ . Then there exists a factorization*

$$\begin{aligned} A_1 &= p_2 q_1 A'_1, & A_2 &= p_2 q_1 A'_2, \\ B_1 &= q_1 B'_1, & B_2 &= p_2 B'_2, \end{aligned}$$

where  $p_2 q_1 = \gcd(A_1, A_2)$ . The coprimality (3) conditions are true, and we may assume that one of the three following cases applies:

- (i)  $\mathfrak{f} | B'_1$ ,  $\lambda^2 | B'_2$ ,  $\mathfrak{f}^2 | B'_1 B'_2$  and  $A'_1 \equiv -A'_2 \equiv 1$  modulo  $\mathfrak{f}$ ;
- (ii)  $B'_1 \equiv A'_2 \equiv 1$  modulo  $\mathfrak{f}$  and  $\mathfrak{f} | p_2 A'_1$ ; or
- (iii)  $B'_2 \equiv A'_1 \equiv 1$  modulo  $\mathfrak{f}$  and  $\mathfrak{f} | q_1 A'_2$ .

We have

$$\kappa(g) = \begin{cases} \begin{pmatrix} \frac{B'_1}{A'_1} & \frac{B'_2}{A'_2} & \left(\frac{A'_1}{A'_2}\right)^{-1} & \begin{pmatrix} q_1 \\ C_1 \end{pmatrix} & \begin{pmatrix} p_2 \\ C_2 \end{pmatrix} \end{pmatrix} & \text{in case (i);} \\ \begin{pmatrix} \frac{A'_1}{B'_1} & \frac{B'_2}{A'_2} & \left(\frac{A'_1}{A'_2}\right)^{-1} & \begin{pmatrix} q_1 \\ C_1 \end{pmatrix} & \begin{pmatrix} p_2 \\ C_2 \end{pmatrix} \end{pmatrix} & \text{in case (ii);} \\ \begin{pmatrix} \frac{B'_1}{A'_1} & \frac{A'_2}{B'_2} & \left(\frac{A'_2}{A'_1}\right)^{-1} & \begin{pmatrix} q_1 \\ C_1 \end{pmatrix} & \begin{pmatrix} p_2 \\ C_2 \end{pmatrix} \end{pmatrix} & \text{in case (iii).} \end{cases}$$

**Proof** Let  $A_1, B_1, C_1, A_2, B_2, C_2$  be the invariants of  $g$ , and let  $\bar{A}_1, \bar{B}_1, \bar{C}_1, \bar{A}_2, \bar{B}_2, \bar{C}_2$  be the invariants of  $gu$ , where  $u$  is chosen so that  $\gcd(\bar{C}_1, \bar{C}_2) = 1$ .

$$\begin{aligned} \bar{A}_1 &= A_1, & \bar{A}_2 &= A_2, \\ \bar{B}_1 &= B_1 + u_2 A_1, & \bar{B}_2 &= B_2 - u_1 A_2, \\ \bar{C}_1 &= C_1 + u_1 B_1 + u_3 A_1, & \bar{C}_2 &= C_2 - u_2 B_2 + u_4 A_2. \end{aligned}$$

By Proposition 7 we may factor

$$\begin{aligned} \bar{A}_1 &= p_2 q_1 \bar{A}'_1, & \bar{A}_2 &= p_2 q_1 \bar{A}'_2, \\ \bar{B}_1 &= q_1 \bar{B}'_1, & \bar{B}_2 &= p_2 \bar{B}'_2, \end{aligned}$$

where  $\gcd(\bar{A}'_1, \bar{A}'_2) = 1$ , and taking

$$\begin{aligned} A'_1 &= \bar{A}'_1, & A'_2 &= \bar{A}'_2, \\ B'_1 &= \bar{B}'_1 - u_2 p_2 \bar{A}'_1, & B'_2 &= \bar{B}'_2 + u_1 q_1 A_2, \end{aligned}$$

we have the required factorization. Proceeding as in Proposition 8 we get

$$\left(\frac{B'_1}{A'_1}\right) \left(\frac{B'_2}{A'_2}\right) \left(\frac{A'_1}{A'_2}\right)^{-1} \left(\frac{q_1}{C_1}\right) \left(\frac{p_2}{C_2}\right) = \left(\frac{\bar{B}'_1}{\bar{A}'_1}\right) \left(\frac{\bar{B}'_2}{\bar{B}'_2}\right) \left(\frac{\bar{B}'_1}{\bar{B}'_2}\right)^{-1} \left(\frac{q_1}{\bar{C}_1}\right) \left(\frac{p_2}{\bar{C}_2}\right)$$

in case (i), and since the right-hand side is  $\kappa_0(gu) = \kappa(g)$ , we are done in this case; the other cases are similar.  $\square$

**Proposition 11** *If  $g \in \Gamma(\mathfrak{f})$ , then we may obtain a factorization as in Proposition 5 where  $r_1 \equiv r_2 \equiv p_1 \equiv q_2 \equiv c_1 \equiv c_2 \equiv 1$  modulo  $\mathfrak{f}$ . In this case*

$$\begin{aligned} \kappa(g) &= \begin{pmatrix} q_1 \\ p_1 \end{pmatrix} \begin{pmatrix} q_2 \\ p_1 \end{pmatrix} \begin{pmatrix} r_1 \\ p_1 \end{pmatrix} \begin{pmatrix} p_2 \\ q_2 \end{pmatrix} \begin{pmatrix} p_2 \\ r_1 \end{pmatrix} \begin{pmatrix} p_2 \\ r_2 \end{pmatrix} \begin{pmatrix} q_1 \\ r_1 \end{pmatrix} \begin{pmatrix} q_1 \\ r_2 \end{pmatrix} \begin{pmatrix} r_2 \\ q_2 \end{pmatrix} \\ &\quad \begin{pmatrix} b_1 \\ p_1 \end{pmatrix} \begin{pmatrix} b_2 \\ q_2 \end{pmatrix} \begin{pmatrix} q_1 \\ c_1 \end{pmatrix} \begin{pmatrix} p_2 \\ c_2 \end{pmatrix} \begin{pmatrix} r_1 \\ a_1 \end{pmatrix} \begin{pmatrix} r_2 \\ a_2 \end{pmatrix} \\ &\quad \times \begin{pmatrix} b_1 \\ c_1 \end{pmatrix} \begin{pmatrix} b_2 \\ c_2 \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}^{-1}. \end{aligned} \tag{7}$$

**Proof** Given any factorization as in Proposition 5 we may adjust  $r_1, r_2, p_1, q_1$  by units, with compensating changes in  $a_i, b_i, c_i$  so that  $r_1 \equiv r_2 \equiv p_1 \equiv q_2 \equiv c_1 \equiv c_2 \equiv 1$ .

It follows from the proof of Proposition 6 that there exists a particular factorization of this type in which cases (i), (ii) or (iii) of that proposition applies. Moreover, passing to such a factorization from an arbitrary one involves replacing  $b_1, q_1, p_2, b_2$  by  $\alpha b_1, \alpha^{-1} q_1, \alpha p_2, \alpha^{-1} b_2$  for some  $\alpha \in F^\times$ , and it may be checked easily that such a change does not alter (7). Therefore we may assume that cases (i), (ii) or (iii) applies.

Let

$$\begin{aligned} A'_1 &= p_1 a_1, & A'_2 &= q_2 a_2, \\ B'_1 &= q_2 r_1 b_1, & B'_2 &= p_1 r_2 b_2. \end{aligned}$$

This factorization satisfies the conditions of Proposition 10, and we may use one of the expressions from that Proposition. Expanding the symbols, using reciprocity when necessary, together with the identity from Proposition 6 gives (7).  $\square$

**Theorem 1** *Suppose that  $g \in \Gamma(\mathfrak{f})$  has invariants  $A_1, B_1, C_1, A_2, B_2, C_2$ . Then there exists a factorization*

$$\begin{aligned} C_1 &= r_1 r_2 C'_1 & C_2 &= r_1 r_2 C'_2 \\ B_1 &= r_1 B'_1, & B_2 &= r_2 B'_2, \end{aligned}$$

where  $r_1 \equiv r_2 \equiv C'_1 \equiv C'_2 \equiv 1$  modulo  $\mathfrak{f}$ , and  $\gcd(C_1, C'_1) = 1$ . We have

$$\gcd(B'_1, C'_1) = \gcd(B'_2, C'_2) = \gcd(A_1, r_1) = \gcd(A_2, r_2) = 1$$

and

$$\kappa(g) = \left( \frac{B'_1}{C'_1} \right) \left( \frac{B'_2}{C'_2} \right) \left( \frac{C'_1}{C'_2} \right)^{-1} \left( \frac{A_1}{r_1} \right) \left( \frac{A_2}{r_2} \right). \quad (8)$$

**Proof** The existence of such a factorization may be proved directly very easily, or alternatively follows from Proposition 5, on taking

$$\begin{aligned} B'_1 &= q_1 q_2 b_1, & B'_2 &= p_1 p_2 b_2, \\ C'_1 &= p_1 c_1, & C'_2 &= q_2 c_2. \end{aligned}$$

We need to know that every such factorization arises from Proposition 6 in this way, which we may see by taking any  $(P, Q, R) \geq (1, 1, r_1 r_2)$  in the proof of Proposition 6. Once the factorization of Proposition 6 is obtained, we may adjust  $p_1$  and  $q_2$  by units so that  $r_1 \equiv r_2 \equiv p_1 \equiv q_2 \equiv c_1 \equiv c_2 \equiv 1$  modulo  $\mathfrak{f}$ . Plugging in these expressions for  $B'_1, B'_2, C'_1, C'_2$ , as well as  $A_1 = p_1 p_2 q_1 a_1$  and  $A_2 = q_1 q_2 p_2 a_2$ , into the right-hand side of (8) then expanding and using the reciprocity law, we obtain (7), proving (8).  $\square$

**Proposition 12** *Suppose that  $g \in \Gamma(\mathfrak{f})$  and let*

$$h = \begin{pmatrix} p & q & \\ r & s & \\ & & 1 \end{pmatrix} \in \Gamma(\mathfrak{f}).$$

*Then  $\kappa(gh) = \kappa(g) \kappa(h)$ .*

**Proof** We prove this first under the assumption (to be removed later) that  $g \in \Sigma$ . Thus let  $A_1, B_1, C_1, A_2, B_2, C_2$  be the invariants of  $g$ , and let  $A''_1, B''_1, C''_1, A''_2, B''_2, C''_2$  be the invariants of  $g'' = gh$ . Our assumption on  $g$  is that  $\gcd(C_1, C_2) = 1$ .

We find that

$$sA''_1 - rB''_1 = A_1, \quad (9)$$

$$sB''_2 + rC''_2 = B_2, \quad (10)$$

$$sC_2 - qB_2 = C''_2. \quad (11)$$

The following identities are also easily established:

$$pC_1 A_2 = -A''_1 C_2 - B_1 B''_2, \quad (12)$$

$$qC_1 A_2 = -B''_1 C_2 + B_1 C''_2, \quad (13)$$

$$rC_1 A_2 = -A''_1 B_2 + A_1 B''_2, \quad (14)$$

$$sC_1 A_2 = -B''_1 B_2 - A_1 C''_2. \quad (15)$$

As in Theorem 1 let us factor

$$\begin{aligned} B''_1 &= r_1 B'_1, & B''_2 &= r_2 B'_2, \\ C''_1 &= r_1 r_2 C'_1, & C''_2 &= r_1 r_2 C'_2, \end{aligned}$$

where  $r_1 \equiv r_2 \equiv C'_1 \equiv C'_2 \equiv 1$  modulo  $\mathfrak{f}$  and  $\gcd(C'_1, C'_2) = 1$ . The fact that  $g \in \Sigma$  implies that  $\gcd(B'_2, C''_2) = \gcd(B_2, C_2) = 1$  and so  $r_2 = 1$ . Thus by Theorem 1

$$\kappa(gh) = \begin{pmatrix} B'_1 \\ C'_1 \end{pmatrix} \begin{pmatrix} B'_2 \\ C'_2 \end{pmatrix} \begin{pmatrix} C'_1 \\ C'_2 \end{pmatrix}^{-1} \begin{pmatrix} A''_1 \\ r_1 \end{pmatrix}.$$

Note that  $r_1$  divides  $C''_1 = C_1$  and so it is prime to  $C_2$  and  $B_1$ . Next we prove that

$$\begin{pmatrix} B'_1 \\ C'_1 \end{pmatrix} \begin{pmatrix} C'_1 \\ C'_2 \end{pmatrix}^{-1} = \begin{pmatrix} B_1 \\ C_1 \end{pmatrix} \begin{pmatrix} C_1 \\ C_2 \end{pmatrix}^{-1} \begin{pmatrix} B_1 \\ r_1 \end{pmatrix}^{-1} \begin{pmatrix} C_2 \\ r_1 \end{pmatrix}. \quad (16)$$

By (13) we have  $qC'_1A_2 = -B'_1C_2 + B_1C'_2$ . Thus

$$\begin{aligned} \begin{pmatrix} B'_1 \\ C'_1 \end{pmatrix} \begin{pmatrix} C'_1 \\ C'_2 \end{pmatrix}^{-1} &= \begin{pmatrix} B'_1C_2 \\ C'_1 \end{pmatrix} \begin{pmatrix} C'_1 \\ C'_2 \end{pmatrix}^{-1} \begin{pmatrix} C_2 \\ C_1 \end{pmatrix}^{-1} = \begin{pmatrix} B_1C'_2 \\ C'_1 \end{pmatrix} \begin{pmatrix} C'_1 \\ C'_2 \end{pmatrix}^{-1} \begin{pmatrix} C_2 \\ C_1 \end{pmatrix}^{-1} = \\ &= \begin{pmatrix} B_1 \\ C_1 \end{pmatrix} \begin{pmatrix} C_2 \\ C_1 \end{pmatrix}^{-1}. \end{aligned}$$

Now (16) follows, using reciprocity (again), since  $C_1 = C''_1 = r_1C'_1$ .

Let us factor  $s = \sigma d$ ,  $C''_2 = d\gamma''_2$  with  $\sigma \equiv d \equiv \gamma''_2 \equiv 1$  modulo  $\mathfrak{f}$  and  $\gcd(\sigma, \gamma''_2) = 1$ . We have  $C''_2 = sC_2 - qB_2$  and since  $\gcd(s, q) = 1$ ,  $d$  divides  $B_2$ ; write  $B_2 = d\beta_2$ . Now since  $C''_2 = r_1C'_2$  we may factor  $d = d_1d_2$ , with  $r_1 = \rho_1d_1$  and  $C'_2 = d_2\gamma'_2$ . This factorization may be chosen so that  $\gcd(\rho_1, d_2) = 1$ , and  $d_1 \equiv d_2 \equiv 1$  modulo  $\mathfrak{f}$ . We note that  $\gamma''_2 = \rho_1\gamma'_2$ . We now show that

$$\begin{pmatrix} B'_2 \\ C'_2 \end{pmatrix} = \begin{pmatrix} r \\ s \end{pmatrix} \begin{pmatrix} B_2 \\ C_2 \end{pmatrix} \begin{pmatrix} r \\ d \end{pmatrix}^{-1} \begin{pmatrix} d \\ C_2 \end{pmatrix}^{-1} \begin{pmatrix} \rho_1 \\ \sigma \end{pmatrix} \begin{pmatrix} B'_2 \\ d_2 \end{pmatrix} \begin{pmatrix} \beta_2 \\ \rho_1 \end{pmatrix}^{-1}. \quad (17)$$

By (10) and (11) we have

$$\sigma B''_2 + r\gamma''_2 = \beta_2, \quad (18)$$

$$\sigma C_2 - q\beta_2 = \gamma''_2 \quad (19)$$

Using (18) we have

$$\begin{pmatrix} B'_2 \\ C'_2 \end{pmatrix} = \begin{pmatrix} B'_2 \\ d_2 \end{pmatrix} \begin{pmatrix} B'_2 \\ \gamma'_2 \end{pmatrix} = \begin{pmatrix} B'_2 \\ d_2 \end{pmatrix} \begin{pmatrix} \sigma B'_2 \\ \gamma'_2 \end{pmatrix} \begin{pmatrix} \sigma \\ \gamma'_2 \end{pmatrix}^{-1} = \begin{pmatrix} B'_2 \\ d_2 \end{pmatrix} \begin{pmatrix} \beta_2 \\ \gamma'_2 \end{pmatrix} \begin{pmatrix} \sigma \\ \gamma'_2 \end{pmatrix}^{-1}.$$

Note that  $\gcd(\beta_2, \gamma'_2) = 1$ . Indeed by (19) any prime dividing both  $\gamma'_2$  and  $\beta_2$  would divide either  $\sigma$  (impossible since  $\sigma$  and  $\gamma''_2$  are coprime) or  $C_2$  (impossible since  $B_2$  and  $C_2$  are coprime). Therefore

$$\begin{aligned} \begin{pmatrix} B'_2 \\ C'_2 \end{pmatrix} &= \begin{pmatrix} B'_2 \\ d_2 \end{pmatrix} \begin{pmatrix} \beta_2 \\ \gamma'_2 \end{pmatrix} \begin{pmatrix} \beta_2 \\ \rho_1 \end{pmatrix}^{-1} \begin{pmatrix} \sigma \\ \gamma'_2 \end{pmatrix}^{-1} &= \text{[by (19) and Proposition 4 (i)]} \\ &\begin{pmatrix} B'_2 \\ d_2 \end{pmatrix} \begin{pmatrix} \beta_2 \\ \sigma \end{pmatrix} \begin{pmatrix} \beta_2 \\ C_2 \end{pmatrix} \begin{pmatrix} \beta_2 \\ \rho_1 \end{pmatrix}^{-1} \begin{pmatrix} \sigma \\ \gamma'_2 \end{pmatrix}^{-1} &= \text{[by (18), reciprocity]} \\ &\begin{pmatrix} B'_2 \\ d_2 \end{pmatrix} \begin{pmatrix} r \\ \sigma \end{pmatrix} \begin{pmatrix} \gamma''_2 \\ \sigma \end{pmatrix} \begin{pmatrix} \beta_2 \\ C_2 \end{pmatrix} \begin{pmatrix} \beta_2 \\ \rho_1 \end{pmatrix}^{-1} \begin{pmatrix} \gamma'_2 \\ \sigma \end{pmatrix}^{-1}, \end{aligned}$$

and (17) follows. Since  $\kappa(h) = \begin{pmatrix} r \\ s \end{pmatrix}$ , and since we are assuming that  $g \in \Sigma$ , we now have

$$\frac{\kappa(gh)}{\kappa(g)\kappa(h)} = \begin{pmatrix} B'_1 \\ C'_1 \end{pmatrix} \begin{pmatrix} B'_2 \\ C'_2 \end{pmatrix} \begin{pmatrix} C'_1 \\ C'_2 \end{pmatrix}^{-1} \begin{pmatrix} A''_1 \\ r_1 \end{pmatrix} \begin{pmatrix} B_1 \\ C_1 \end{pmatrix}^{-1} \begin{pmatrix} B_2 \\ C_2 \end{pmatrix}^{-1} \begin{pmatrix} C_1 \\ C_2 \end{pmatrix} \begin{pmatrix} r \\ s \end{pmatrix}^{-1},$$

which by (16) and (17) equals

$$\begin{pmatrix} B_1 \\ r_1 \end{pmatrix}^{-1} \begin{pmatrix} C_2 \\ r_1 \end{pmatrix} \begin{pmatrix} r \\ d \end{pmatrix}^{-1} \begin{pmatrix} d \\ C_2 \end{pmatrix}^{-1} \begin{pmatrix} \rho_1 \\ \sigma \end{pmatrix} \begin{pmatrix} B'_2 \\ d_2 \end{pmatrix} \begin{pmatrix} \beta_2 \\ \rho_1 \end{pmatrix}^{-1} \begin{pmatrix} A''_1 \\ r_1 \end{pmatrix}.$$

We will show that this equals 1. By (9),  $d_1$  divides  $A_1$ , say  $A_1 = d_1\alpha_1$  so (9) implies

$$\sigma d_2 A''_1 - r \rho_1 B'_1 = \alpha_1. \quad (20)$$

Since  $\gcd(\rho_1, d_2) = 1$  and  $r_1 = \rho_1 d_1$  our previous expression equals

$$\begin{pmatrix} B_1 \\ r_1 \end{pmatrix}^{-1} \begin{pmatrix} C_2 \\ r_1 \end{pmatrix} \begin{pmatrix} r \\ d \end{pmatrix}^{-1} \begin{pmatrix} d \\ C_2 \end{pmatrix}^{-1} \begin{pmatrix} B'_2 \\ d_2 \end{pmatrix} \begin{pmatrix} \beta_2 \\ \rho_1 \end{pmatrix}^{-1} \begin{pmatrix} d_2 \\ \rho_1 \end{pmatrix}^{-1} \begin{pmatrix} \sigma d_2 A''_1 \\ \rho_1 \end{pmatrix} \begin{pmatrix} A''_1 \\ d_1 \end{pmatrix}.$$

Using (20),  $r_1 = \rho_1 d_1$  and  $\alpha_1 C_2 + d_2 B_1 \beta_2 + \rho_1 C'_1 A_2 = 0$ , this reduces to

$$\begin{pmatrix} B_1 \\ d_1 \end{pmatrix}^{-1} \begin{pmatrix} C_2 \\ d_1 \end{pmatrix} \begin{pmatrix} B'_2 \\ d_1 \end{pmatrix}^{-1} \begin{pmatrix} A''_1 \\ d_1 \end{pmatrix} \cdot \begin{pmatrix} r \\ d \end{pmatrix}^{-1} \begin{pmatrix} C_2 \\ d \end{pmatrix}^{-1} \begin{pmatrix} B'_2 \\ d \end{pmatrix}$$

The product of the first four factors is 1 by (12), together with the fact that  $B'_2 = B''_2$ . The product of the last four factors is 1 by (10).

The result is now proved under the assumption that  $g \in \Sigma$ . Replacing  $g$  by  $gh$  and  $h$  by  $h^{-1}$  and noting that  $\kappa(h^{-1}) = \kappa(h)^{-1}$ , we have also proved the result under the assumption that  $gh \in \Sigma$ . We may remove this assumption by the following considerations. By Proposition 9 there exists

$$u = \begin{pmatrix} 1 & u_2 & u_3 \\ & 1 & u_1 \\ & & 1 \end{pmatrix} \in \Gamma_\infty(\mathfrak{f})$$

such that  $ghu \in \Sigma$ , and by definition  $\kappa(gh) = \kappa(ghu)$ . Now  $hu = u'h'$  where

$$u' = \begin{pmatrix} 1 & pu_3 + qu_1 \\ & 1 & ru_3 + su_1 \\ & & 1 \end{pmatrix}, \quad h' = \begin{pmatrix} p & q + u_2 p & \\ r & s + u_2 r & \\ & & 1 \end{pmatrix}.$$

Thus the case that we have just settled shows that  $\kappa(gh) = \kappa(gu')\kappa(h') = \kappa(g)\kappa(h')$ . But

$$\kappa(h') = \begin{pmatrix} r \\ s + u_2 r \end{pmatrix} = \begin{pmatrix} r \\ s \end{pmatrix} = \kappa(h)$$

by Proposition 4 (i), and we are done.  $\square$

**Lemma 3** *Let  $G$  and  $H$  be a groups,  $S$  a generating subset of  $G$  that is closed under the inverse map of  $G$ . Assume that  $\chi : G \rightarrow H$  is a map such that  $\chi(gx) = \chi(g)\chi(x)$  for all  $x \in S$ ,  $g \in G$ . Then  $\chi$  is a homomorphism.*

**Proof** By induction if  $x_1, \dots, x_N \in S$  we have  $\chi(x_1 \cdots x_N) = \chi(x_1) \cdots \chi(x_N)$ , and since every element of  $G$  can be written in this form, the statement follows.  $\square$

**Theorem 2** *The map  $\kappa : \Gamma(\mathfrak{f}) \longrightarrow \mu_n$  is a homomorphism.*

**Proof** We may take  $S$  to be the subset of  $g$  of the three forms

$$\begin{pmatrix} p & q & & \\ r & s & & \\ & & & 1 \end{pmatrix}, \quad \begin{pmatrix} 1 & & & \\ & p & q & \\ & r & s & \\ & & & 1 \end{pmatrix}, \quad \begin{pmatrix} 1 & u_2 & u_3 & \\ & 1 & u_1 & \\ & & & 1 \end{pmatrix}.$$

We have proved that  $\kappa(gx) = \kappa(g)$  when  $x$  is of the first or third form. For the second type of element, we may deduce this from the first type by applying the involution. The result now follows from Lemma 3.  $\square$

## 2 Some Exponential Sums

In this Section,  $\mathfrak{o}$  will be the ring of integers in a totally complex number field  $F$ . We assume that  $\mathfrak{o}^\times$  contains the group  $\mu_n$  of  $n$ -th roots of unity, and that  $-1$  is an  $n$ -th power in  $\mathfrak{o}^\times$ . We assume that there is given an ideal  $\mathfrak{f}$  of  $\mathfrak{o}$  such that

$$d \equiv c \equiv 1 \pmod{\mathfrak{f}}, \quad \gcd(d, c) = 1 \quad \Rightarrow \quad \left(\frac{c}{d}\right) = \left(\frac{d}{c}\right). \quad (21)$$

We also assume that if  $d \equiv d' \equiv 1$  modulo  $\mathfrak{f}$  then

$$d \equiv d' \pmod{\mathfrak{f}^2} \text{ and } d \equiv d' \pmod{c} \quad \Rightarrow \quad \left(\frac{c}{d}\right) = \left(\frac{c}{d'}\right). \quad (22)$$

Note that this condition is satisfied in the two cases of Section 1 by Proposition 4.

We embed  $F \longrightarrow F_\infty$ , the product of the archimedean completions of  $F$ . Let  $\psi : F_\infty \longrightarrow \mathbb{C}$  be a nontrivial additive character. We assume that the conductor of  $\psi$  is precisely  $\mathfrak{o}$  that is,  $\psi(x\mathfrak{o}) = 1$  if and only if  $x \in \mathfrak{o}$ .

The exponential sums that we describe are analogs of classical Gauss sums, and they will be evaluated in terms of Gauss sums. Some of what we will prove about the  $H$ -sums proved in this Section (particularly the multiplicativity) are analogous to properties of the Gauss sums, so this is the place to discuss the Gauss sums, even though they won't be used until the next Section. If  $c \equiv 1$  modulo  $\mathfrak{f}$  let

$$g(m, c) = \sum_{d \pmod{c}} \left(\frac{d}{c}\right) \psi\left(\frac{md}{c}\right). \quad (23)$$

Also, let  $\phi(c)$  be the cardinality of  $(\mathfrak{o}/(c))^\times$ .

**Proposition 13** *The Gauss sum has the following properties.*

(i) *We have*

$$g(m, cc') = \left(\frac{c}{c'}\right) \left(\frac{c'}{c}\right) g(m, c) g(m, c'), \quad \text{if } c, c' \text{ are coprime;}$$

(ii) We have

$$g(am, c) = \left(\frac{a}{c}\right)^{-1} g(m, c) \quad \text{if } a, c \text{ are coprime};$$

(iii) Suppose that  $p$  is prime. The Gauss sum  $g(p^k, p^l)$  is zero unless either  $l = k + 1$  or  $k \geq l$  and  $n|l$ . If  $n|l$  then

$$g(p^k, p^l) = \begin{cases} 0 & \text{if } k < l - 1; \\ -\mathbb{N}p^k & \text{if } k = l - 1; \\ \phi(p^l) & \text{if } k \geq l. \end{cases}$$

(iv) If  $n \nmid l$  then  $|g(p^{l-1}, p^l)| = \mathbb{N}p^{l-\frac{1}{2}}$ .

(v) If  $k, k + b > 0$  and  $n|b$  then  $g(p^{k+b}, p^{l+b}) = \mathbb{N}p^b g(p^k, p^l)$ .

Let  $C_1$  and  $C_2$  be elements of  $\mathfrak{o}$  that are congruent to 1 modulo  $\mathfrak{f}$ , and let  $m_1, m_2 \in \mathfrak{o}$ . We define

$$\sum_{\substack{A_1, B_1 \bmod C_1 \\ A_2, B_2 \bmod C_2 \\ \gcd(A_1, B_1, C_1) = 1 \\ \gcd(A_2, B_2, C_2) = 1 \\ A_1 \equiv B_1 \equiv A_2 \equiv B_2 \equiv 0 \pmod{\mathfrak{f}} \\ A_1 C_2 + B_1 B_2 + C_1 A_2 \equiv 0 \pmod{C_1 C_2}}} H(C_1, C_2; m_1, m_2) = \left(\frac{B'_1}{C'_1}\right) \left(\frac{B'_2}{C'_2}\right) \left(\frac{C'_1}{C'_2}\right)^{-1} \begin{pmatrix} A_1 \\ r_1 \end{pmatrix} \begin{pmatrix} A_2 \\ r_2 \end{pmatrix} \psi \left( \frac{m_1 B_1}{C_1} + \frac{m_2 B_2}{C_2} \right),$$

where we have chosen a factorization

$$\begin{aligned} C_1 &= r_1 r_2 C'_1 & C_2 &= r_1 r_2 C'_2 \\ B_1 &= r_1 B'_1, & B_2 &= r_2 B'_2, \end{aligned}$$

where  $r_1 \equiv r_2 \equiv C'_1 \equiv C'_2 \equiv 1$  modulo  $\mathfrak{f}$ , and  $\gcd(C_1, C'_1) = 1$ .

**Remark 1** The summation is more correctly written

$$\sum_{\substack{B_1 \bmod C_1 \\ B_2 \bmod C_2 \\ B_1 \equiv B_2 \equiv 0 \pmod{\mathfrak{f}}}} \sum_{\substack{A_1 \bmod C_1 \\ A_2 \bmod C_2 \\ \gcd(A_1, B_1, C_1) = 1 \\ \gcd(A_2, B_2, C_2) = 1 \\ A_1 \equiv A_2 \equiv 0 \pmod{\mathfrak{f}} \\ A_1 C_2 + B_1 B_2 + C_1 A_2 \equiv 0 \pmod{C_1 C_2}}} \quad . \quad (24)$$

The reason that this way of writing the sum is correct is that if  $B_1$  is changed to  $B_1 + tC_1$  then the terms of the inner sum are permuted, with a compensating change  $A_2 \rightarrow A_2 - tB_2$ . We will check this in the proof of the following Proposition.

**Proposition 14** *The sum  $H(C_1, C_2; m_1, m_2)$  is well-defined.*

**Proof** First, it must be checked that for fixed  $A_1, B_1, C_1, A_2, B_2, C_2$  the expression is independent of the factorization. We do this in two steps. First, with  $C'_1$  and  $C'_2$  fixed, we might vary the factorization by changing  $r_1$  and  $r_2$ , with compensating changes to  $B'_1$  and  $B'_2$ :

$$\begin{aligned} r_1 &\longrightarrow \alpha r_1, & B'_1 &\longrightarrow \alpha^{-1} B'_1, \\ r_2 &\longrightarrow \alpha^{-1} r_2, & B'_2 &\longrightarrow \alpha B'_2. \end{aligned}$$

Here  $\alpha \in F^\times$  must be such that  $\alpha r_1, \alpha^{-1} r_2, \alpha^{-1} B'_1, \alpha B'_2$  as well as  $r_1, r_2, B'_1, B'_2$  are integral, and  $\alpha^{-1} B'_1, \alpha B'_2 \in \mathfrak{f}$ . Writing  $\alpha$  as a fraction, this may be done in two steps; first we consider the case where  $\alpha$  is integral, dividing  $r_2$  and  $B'_1$ ; then we take  $\alpha^{-1}$  to be integral, dividing  $r_1$  and  $B'_2$ . The two steps are identical, so we only check the first; thus  $\alpha$  is an integer dividing  $\gcd(r_2, B'_1)$ . Since  $r_1 \equiv 1$  modulo  $\mathfrak{f}$ , and  $\alpha r_1$  is to satisfy the same congruence, we have  $\alpha \equiv 1$  modulo  $\mathfrak{f}$ . This variable change multiplies the symbol in the definition of  $H$  by

$$\left(\frac{\alpha}{C'_1}\right)^{-1} \left(\frac{\alpha}{C'_2}\right) \left(\frac{A_1}{\alpha}\right) \left(\frac{A_2}{\alpha}\right)^{-1} = \left(\frac{A_2 C'_1}{\alpha}\right)^{-1} \left(\frac{A_1 C'_2}{\alpha}\right),$$

where we have used (21). Now  $A_2 C'_1 \equiv A_1 C'_1$  modulo both  $\mathfrak{f}^2$  and  $\alpha$ , since both  $\mathfrak{f}^2$  and  $\alpha$  divide  $B'_1 B'_2$ , so the symbol is unchanged. One must also check invariance under

$$\begin{aligned} C'_1 &\longrightarrow \varepsilon C'_1, & C'_2 &\longrightarrow \varepsilon C'_2, \\ r'_1 &\longrightarrow \varepsilon^{-1} r_1, & B'_1 &\longrightarrow \varepsilon B'_1, \end{aligned}$$

with  $r_2$  and  $B'_2$  unchanged, where  $\varepsilon$  is a unit  $\equiv 1$  modulo  $\mathfrak{f}$ . This is straightforward as a consequence of reciprocity and the invariance of  $\left(\frac{\varepsilon}{d}\right)$  when  $d$  is changed by a unit.

The next thing that must be checked is that in (24) the inner sum over  $A_1$  and  $A_2$  does not depend on the choice of  $A_1$  and  $A_2$  modulo  $C_1$  and  $C_2$  respectively. This follows from Proposition 1 (ii) since  $r_1$  and  $r_2$  both divide  $C_1$  and  $C_2$ .

Lastly, it must be checked is that the sum is invariant under  $B_1 \longrightarrow B_1 + tC_1$  and  $A_2 \longrightarrow A_2 - tB_2$ . This variable change corresponds to  $B'_1 \longrightarrow B'_1 + tr_2 C'_1$ , with no changes in  $r_1, r_2$  and  $B_2$ , and it is easy to check using Proposition 1 (ii) that the sum is unchanged. This proves the assertion in Remark 1 that the terms of the inner sum are permuted when  $B_1$  is changed modulo  $C_1$ . There is a similar verification for  $B_2 \longrightarrow tC_2$  and  $A_1 \longrightarrow A_1 - tB_1$ .  $\square$

**Proposition 15** *If  $\gcd(C_1 C_2, C'_1 C'_2) = 1$  with  $C_1 \equiv C_2 \equiv C'_1 \equiv C'_2 \equiv 1$  modulo  $\mathfrak{f}$ , then*

$$\begin{aligned} &H(C_1 C'_1, C_2 C'_2; m_1, m_2) = \\ &\left(\frac{C_1}{C'_1}\right)^2 \left(\frac{C_2}{C'_2}\right)^2 \left(\frac{C_1}{C'_1}\right)^{-1} \left(\frac{C_2}{C'_2}\right)^{-1} H(C_1, C_2; m_1, m_2) H(C'_1, C'_2; m_1, m_2). \end{aligned}$$

**Proof** Let  $p, p' \in \mathfrak{o}$  such that  $pC_1 C_2 + p' C'_1 C'_2 = 1$ . Let  $B_1, A_1$  be given modulo  $C_1$  and  $B_2, A_2$  modulo  $C_2$  such that  $A_1 \equiv B_1 \equiv A_2 \equiv B_2 \equiv 0$  modulo  $\mathfrak{f}$ ,  $\gcd(A_1, B_1, C_1) = \gcd(A_2, B_2, C_2) = 1$  and  $A_1 C_2 + B_1 B_2 + C_1 A_2 \equiv 0$  modulo  $C_1 C_2$ , and let similar data  $B'_1, A'_1$  modulo  $C'_1$ . Let

$$\begin{aligned} c_1 &= C_1 C'_1, & c_2 &= C_2 C'_2, \\ b_1 &= p' C_1'^2 C'_2 B_1 + p C_1^2 C_2 B'_1, & b_2 &= p' C'_1 C_2'^2 B_2 + p C_1 C_2^2 B'_2, \\ a_1 &= p' C_1'^2 C'_2 A_1 + p C_1^2 C_2 A'_1, & a_2 &= p' C'_1 C_2'^2 A_2 + p C_1 C_2^2 A'_2. \end{aligned}$$



Then  $b_1, a_1$  and  $b_2, a_2$  run through the residue classes modulo  $c_1$  and  $c_2$  respectively such that  $a_1c_2 + b_1b_2 + c_1a_2 \equiv 0$  modulo  $c_1c_2$  and  $\gcd(a_1, b_1, c_1) = \gcd(a_2, b_2, c_2) = 1$ , and we may use these to parametrize the sum  $H(c_1, c_2; m_1, m_2)$ . We show that we can choose factorizations

$$\begin{aligned} C_1 &= r_1r_2\hat{C}_1, & C_2 &= r_1r_2\hat{C}_2, \\ B_1 &= r_1\hat{B}_1, & B_2 &= r_2\hat{B}_2, \\ C'_1 &= r'_1r'_2\hat{C}'_1, & C'_2 &= r'_1r'_2\hat{C}'_2, \\ B'_1 &= r'_1\hat{B}'_1, & B'_2 &= r'_2\hat{B}'_2, \\ c_1 &= R_1R_2\hat{c}_1, & c_2 &= R_1R_2\hat{c}_2, \\ b_1 &= R_1\hat{b}_1, & b_2 &= R_2\hat{b}_2, \end{aligned}$$

such that  $r_i \equiv r'_i \equiv R_i \equiv 1$  modulo  $f$  and  $\gcd(C_1, C_2) = \gcd(\hat{C}'_1, \hat{C}'_2) = \gcd(c_1, c_2) = 1$  and

$$\begin{aligned} & \begin{pmatrix} \hat{b}_1 \\ \hat{c}_1 \end{pmatrix} \begin{pmatrix} \hat{b}_2 \\ \hat{c}_2 \end{pmatrix} \begin{pmatrix} \hat{c}_1 \\ \hat{c}_2 \end{pmatrix}^{-1} \begin{pmatrix} a_1 \\ R_1 \end{pmatrix} \begin{pmatrix} a_2 \\ R_2 \end{pmatrix} = \\ & \begin{pmatrix} \hat{B}_1 \\ \hat{C}_1 \end{pmatrix} \begin{pmatrix} \hat{B}_2 \\ \hat{C}_2 \end{pmatrix} \begin{pmatrix} \hat{C}_1 \\ \hat{C}_2 \end{pmatrix}^{-1} \begin{pmatrix} A_1 \\ r_1 \end{pmatrix} \begin{pmatrix} A_2 \\ r_2 \end{pmatrix} \begin{pmatrix} \hat{B}'_1 \\ \hat{C}'_1 \end{pmatrix} \begin{pmatrix} \hat{B}'_2 \\ \hat{C}'_2 \end{pmatrix} \begin{pmatrix} \hat{C}'_1 \\ \hat{C}'_2 \end{pmatrix}^{-1} \begin{pmatrix} A'_1 \\ r'_1 \end{pmatrix} \begin{pmatrix} A'_2 \\ r'_2 \end{pmatrix} \\ & \times \begin{pmatrix} C_1 \\ C'_1 \end{pmatrix}^2 \begin{pmatrix} C_2 \\ C'_2 \end{pmatrix}^2 \begin{pmatrix} C_1 \\ C'_1 \end{pmatrix}^{-1} \begin{pmatrix} C_2 \\ C'_2 \end{pmatrix}^{-1}. \end{aligned} \quad (25)$$

We first choose the factorizations of the  $C_i$  and  $C'_i$ , then take  $R_1 = r_1r'_1$ ,  $R_2 = r_2r'_2$ ,

$$\begin{aligned} \hat{c}_1 &= \hat{C}_1\hat{C}'_1, & \hat{c}_2 &= \hat{C}_2\hat{C}'_2, \\ \hat{b}_1 &= r_1'^2r_2'^3p'\hat{C}'_1{}^2\hat{C}_2\hat{B}_1 + pr_1^2r_2^3\hat{C}_1^2\hat{C}_2\hat{B}'_1, & \hat{b}_2 &= p'r_1'^3r_2'^2\hat{C}'_1\hat{C}_2'^2\hat{B}_2 + pr_1^3r_2^2\hat{C}_1\hat{C}_2^2\hat{B}'_2. \end{aligned}$$

We will show that

$$\begin{aligned} \begin{pmatrix} \hat{b}_1 \\ \hat{c}_1 \end{pmatrix} &= \begin{pmatrix} r_2\hat{C}_1\hat{B}'_1 \\ \hat{C}'_1 \end{pmatrix} \begin{pmatrix} r'_2\hat{C}'_1\hat{B}_1 \\ \hat{C}_1 \end{pmatrix}, & \begin{pmatrix} \hat{b}_2 \\ \hat{c}_2 \end{pmatrix} &= \begin{pmatrix} r'_1\hat{C}'_2\hat{B}_2 \\ \hat{C}_2 \end{pmatrix} \begin{pmatrix} r_1\hat{C}_2\hat{B}'_2 \\ \hat{C}'_2 \end{pmatrix}, \\ \begin{pmatrix} a_1 \\ R_1 \end{pmatrix} &= \begin{pmatrix} r'_1r'_2\hat{C}'_1A_1 \\ r_1 \end{pmatrix} \begin{pmatrix} r_1r_2\hat{C}_1A'_1 \\ r'_1 \end{pmatrix}, & \begin{pmatrix} a_2 \\ R_2 \end{pmatrix} &= \begin{pmatrix} r'_1r'_2\hat{C}'_2A_2 \\ r_2 \end{pmatrix} \begin{pmatrix} r_1r_2\hat{C}_2A'_2 \\ r'_2 \end{pmatrix}. \end{aligned} \quad (26)$$

We begin by noting that

$$\begin{pmatrix} \hat{b}_1 \\ \hat{c}_1 \end{pmatrix} = \begin{pmatrix} pr_1^2r_2^3\hat{C}'_1\hat{C}_2\hat{B}'_1 \\ \hat{C}'_1 \end{pmatrix} \begin{pmatrix} r_1'^2r_2'^3p'\hat{C}'_1{}^2\hat{C}_2\hat{B}_1 \\ \hat{C}_1 \end{pmatrix}$$

and since  $pr_1^2r_2^3\hat{C}'_1\hat{C}_2 + p'r_1'^2r_2'^3\hat{C}'_1{}^2\hat{C}_2 = 1$ , one may simplify both factors to obtain the first identity. The others are similar. Now substituting (26) into the left-hand side of (25) and simplifying one (using the reciprocity law) obtains the result.  $\square$

**Proposition 16** *Suppose that  $\gcd(m'_1m'_2, C_1C_2) = 1$ . Then*

$$H(C_1, C_2; m_1m'_1, m_2m'_2) = \begin{pmatrix} m'_1 \\ C_1 \end{pmatrix}^{-1} \begin{pmatrix} m'_2 \\ C_2 \end{pmatrix}^{-1} H(C_1, C_2; m_1, m_2)$$

**Proof** This is much easier than the multiplicativity of Proposition 15, and we leave it to the reader.  $\square$

We now prove the main theorem of [2], giving fuller details than we had space for in that paper. We will make use of strict Gelfand-Tsetlin patterns of the form

$$\mathfrak{T} = \left\{ \begin{array}{ccc} l_1 + l_2 + 2 & l_2 + 1 & 0 \\ & a & b \\ & & c \end{array} \right\}. \quad (27)$$

For each such  $\mathfrak{T}$  define

$$G(\mathfrak{T}) = g(p^{a-b-1}, p^{c-b}) g(p^{l_2}, p^b) g(p^{l_1+b}, p^{a+b-l_2-1}) \quad (28)$$

unless  $a = l_2 + 1$ ; in the latter case we modify the definition and write

$$G \left( \left\{ \begin{array}{ccc} l_1 + l_2 + 2 & l_2 + 1 & 0 \\ & l_2 + 1 & b \\ & & c \end{array} \right\} \right) = \mathbb{N}p^b g(p^{a-b-1}, p^{c-b}) g(p^{l_2}, p^b). \quad (29)$$

Note that the pattern  $\mathfrak{T}$  with  $a = b = l_2 + 1$  is not strict, and will be omitted from our summations. Thus  $a - b - 1 \geq 0$ .

If  $\mathfrak{T}$  is as in (27), let  $k(\mathfrak{T}) = (a + b - l_2 - 1, c)$ . Let  $k_1(\mathfrak{T}) = a + b - l_2 - 1$  and  $k_2(\mathfrak{T}) = c$ .

**Theorem 3** *Let  $l_1, l_2$  be nonnegative integers. Then*

$$\sum_{k_1, k_2} H(p^{k_1}, p^{k_2}; p^{l_1}, p^{l_2}) \mathbb{N}p^{-k_1 s_1 - k_2 s_2} = \sum_{\mathfrak{T}} G(\mathfrak{T}) \mathbb{N}p^{-k_1(\mathfrak{T}) s_1 - k_2(\mathfrak{T}) s_2},$$

where the summation is over all strict Gelfand-Tsetlin patterns  $\mathfrak{T}$  of the form (27).

**Proof** Let us denote  $k(\mathfrak{T}) = (a + b - l_2 - 1, c)$ . Let  $\Upsilon(k_1, k_2; l_1, l_2)$  be the set of all  $\mathfrak{T}$  of the form (27) such that  $k(\mathfrak{T}) = (k_1, k_2)$ . Evidently what must be proved is that

$$H(k_1, k_2; l_1, l_2) = H'(k_1, k_2; l_1, l_2) \quad (30)$$

where

$$H'(k_1, k_2; l_1, l_2) = \sum_{\mathfrak{T} \in \Upsilon(k_1, k_2; l_1, l_2)} G(\mathfrak{T}).$$

**Lemma 4** *Let*

$$\mathfrak{T} = \left\{ \begin{array}{ccc} l_1 + l_2 + 2 & l_2 + 1 & 0 \\ & a & b \\ & & c \end{array} \right\}$$

be a Gelfand-Tsetlin pattern. Assume that

$$\begin{aligned} l_2 &\geq b, \\ c + l_2 + 1 &\geq a, \\ c - 2a + l_1 + 2l_2 + 2 &\geq b. \end{aligned} \quad (31)$$

Let

$$\begin{aligned} a' &= c - a + l_1 + l_2 + 2, \\ b' &= a - l_2 - 1, \\ c' &= a + b - l_2 - 1, \end{aligned}$$

and

$$\mathfrak{T}' = \begin{Bmatrix} l_1 + l_2 + 2 & l_1 + 1 & 0 \\ & a' & b' \\ & & c' \end{Bmatrix}.$$

Then  $\mathfrak{T}'$  is also a Gelfand-Tsetlin pattern and  $G(\mathfrak{T}) = G(\mathfrak{T}')$ . The hypothesis (31) is always satisfied if  $k_2 = c$  is greater than  $k_1 = a + b - l_2 - 1$ .

**Proof** It is straightforward to check that (31) implies that  $\mathfrak{T}'$  is a Gelfand-Tsetlin pattern. It is also easy to check that that  $k_2 > k_1$  implies (31).

We turn to the proof that  $G(\mathfrak{T}) = G(\mathfrak{T}')$ . First suppose that  $a > l_2 + 1$ . We note that our assumptions imply that  $a' > l_1 + 1$ . Assuming (31) we must show that

$$\begin{aligned} g(p^{a-b-1}, p^{c-b}) g(p^{l_2}, p^b) g(p^{l_1+b}, p^{a+b-l_2-1}) &= \\ g(p^{c-2a+l_1+2l_2+2}, p^b) g(p^{l_1}, p^{a-l_2-1}) g(p^{a-1}, p^c). \end{aligned}$$

Since we are assuming  $l_2 \geq b$  and  $c - 2a + 2l_1 + l_2 + 2 \geq b$  both sides vanish unless  $n|b$ . We therefore assume  $n|b$ . Since

$$g(p^{l_2}, p^b) = g(p^b, p^b) = g(p^{c-2a+2l_1+l_2+2}, p^b) \quad (32)$$

so we must show that

$$g(p^{a-b-1}, p^{c-b}) g(p^{l_1+b}, p^{a+b-l_2-1}) = g(p^{a-1}, p^c) g(p^{l_1}, p^{a-l_2-1}).$$

This follows since  $n|b$  implies that

$$g(p^{a-1}, p^c) = \mathbb{N}p^b g(p^{a-b-1}, p^{c-b}) \quad (33)$$

and

$$g(p^{l_2+b}, p^{a+b-l_1-1}) = \mathbb{N}p^b g(p^{l_2}, p^{a-l_1-1}).$$

If  $a = l_2 + 1$  then what we must show is that

$$\begin{aligned} \mathbb{N}p^b g(p^{a-b-1}, p^{c-b}) g(p^{l_2}, p^b) &= \\ g(p^{c-2a+l_1+2l_2+2}, p^b) g(p^{l_1}, p^{a-l_2-1}) g(p^{a-1}, p^c). \end{aligned}$$

Again both sides vanish unless  $n|b$ , which we assume, and proceeding as before, the statement now follows from (32) and (33), together with the fact that  $g(p^{l_1}, p^{a-l_2-1}) = 1$ .  $\square$

Lemma 4 gives a bijection  $\Upsilon(k_1, k_2; l_1, l_2) \longrightarrow \Upsilon(k_2, k_1; l_2, l_1)$  when  $k_2 > k_1$ ; since the bijection preserves  $G(\mathfrak{T})$ , this means that the right-hand side of (30) satisfies

$$H'(p^{k_1}, p^{k_2}; p^{l_1}, p^{l_2}) = H'(p^{k_2}, p^{k_1}; p^{l_2}, p^{l_1})$$

when  $k_2 > k_1$ ; on the other hand it is evident from the definition that

$$H(p^{k_1}, p^{k_2}; p^{l_1}, p^{l_2}) = H(p^{k_2}, p^{k_1}; p^{l_2}, p^{l_1}) \quad (34)$$

for all  $k_1$  and  $k_2$ . Hence we are reduced to proving the Theorem when  $k_1 \geq k_2$ .

**Lemma 5** *If  $k_1 > k_2$ , then*

$$H(p^{k_1}, p^{k_2}; p^{l_1}, p^{l_2}) = \sum_{i=\max(0, k_2-l_2-1)}^{\min(k_2, k_2-k_1+l_1+1)} g(p^i, p^i) g(p^{l_2}, p^{k_2-i}) g(p^{l_1+k_2-i}, p^{k_1}).$$

**Proof** We note that since  $g(p^a, p^b) = 0$  if  $a < b - 1$ , the statement is equivalent to

$$H(p^{k_1}, p^{k_2}; p^{l_1}, p^{l_2}) = \sum_{i=0}^{k_2} g(p^i, p^i) g(p^{l_2}, p^{k_2-i}) g(p^{l_1+k_2-i}, p^{k_1}) \quad (35)$$

since any terms in this sum with  $i < k_2 - l_2 - 1$  or  $i > k_2 - k_1 + l_1 + 1$  contribute zero. We prove (35).

In the definition of  $H$ , we have  $r_1 r_2 = p^{k_2}$  and we can take  $C'_1 = p^{k_1-k_2}$ ,  $C'_2 = 1$ . Thus

$$\sum_{\substack{A_1, B_1 \bmod p^{k_1} \\ A_2, B_2 \bmod p^{k_2} \\ \gcd(A_1, B_1, p) = \gcd(A_2, B_2, p) = 1 \\ A_1 p^{k_2} + B_1 B_2 + A_2 p^{k_1} \equiv 0 \pmod{p^{k_1+k_2}}} H(p^{k_1}, p^{k_2}; p^{l_1}, p^{l_2}) = \left( \frac{B'_1}{p^{k_1-k_2}} \right) \left( \frac{A_1}{r_1} \right) \left( \frac{A_2}{r_2} \right) \psi \left( \frac{B_1 p^{l_1}}{p^{k_1}} + \frac{B_2 p^{l_2}}{p^{k_2}} \right). \quad (36)$$

It is understood that  $A_1, A_2, B_1$  and  $B_2$  are always chosen to be divisible by the conductor  $f$ ; we will omit this condition from all summations since it really plays no role in the computation. We break the sum up into 3 pieces: (1)  $\gcd(B_2, p) = 1$ , (2)  $p^i$  exactly divides  $B_2$  with  $1 \leq i < k_2$ , and (3)  $p^{k_2} | B_2$ .

First we consider the contribution where  $\gcd(B_2, p) = 1$ . Here  $r_2 = 1$ ,  $r_1 = p^{k_2}$ , and from the Plücker relation,  $B_1 \equiv 0 \pmod{p^{k_2}}$ . After replacing  $B_1$  by  $p^{k_2} B'_2$  and dropping the prime, we get

$$\sum_{\substack{A_1 \bmod p^{k_1}, B_1 \bmod p^{k_1-k_2} \\ A_2, B_2 \bmod p^{k_2} \\ \gcd(B_2, p) = \gcd(A_1, p) = 1 \\ A_1 + B_1 B_2 + A_2 p^{k_1-k_2} \equiv 0 \pmod{p^{k_1}}} \left( \frac{B_1}{p^{k_1-k_2}} \right) \left( \frac{A_1}{p^{k_2}} \right) \psi \left( \frac{B_1 p^{l_1}}{p^{k_1-k_2}} + \frac{B_2 p^{l_2}}{p^{k_2}} \right). \quad (37)$$

We may use the Plücker relation to determine  $A_1$ . The sum becomes

$$\sum_{\substack{B_1 \bmod p^{k_1-k_2} \\ A_2, B_2 \bmod p^{k_2} \\ \gcd(B_2, p) = \gcd(A_2 p^{k_1-k_2} + B_1 B_2, p) = 1}} \left( \frac{B_1}{p^{k_1-k_2}} \right) \left( \frac{A_2 p^{k_1-k_2} + B_1 B_2}{p^{k_2}} \right) \psi \left( \frac{B_1 p^{l_1}}{p^{k_1-k_2}} + \frac{B_2 p^{l_2}}{p^{k_2}} \right).$$

Since  $k_1 > k_2$  we may replace the condition  $\gcd(A_2 p^{k_1-k_2} + B_1 B_2, p) = 1$  by just  $\gcd(B_1, p) = 1$ , and we also have  $\left( \frac{A_2 p^{k_1-k_2} + B_1 B_2}{p^{k_2}} \right) = \left( \frac{B_1 B_2}{p^{k_2}} \right)$ . The summand is independent of  $A_2$ , and we may drop this summation to obtain

$$\mathbb{N} p^{k_2} \sum_{\substack{B_1 \bmod p^{k_1-k_2} \\ B_2 \bmod p^{k_2} \\ \gcd(B_1 B_2, p) = 1}} \left( \frac{B_1}{p^{k_1}} \right) \left( \frac{B_2}{p^{k_2}} \right) \psi \left( \frac{B_1 p^{l_1}}{p^{k_1-k_2}} + \frac{B_2 p^{l_2}}{p^{k_2}} \right).$$

Now we may drop the leading factor of  $\mathbb{N}p^{k_2}$  by summing  $B_2$  over  $p^{k_1}$  instead of  $p^{k_1-k_2}$ . Hence we obtain

$$g(p^{l_2}, p^{k_2}) g(p^{l_1+k_2}, p^{k_1}).$$

This is the contribution  $i = 0$  in (35).

Next, we have the contributions where  $p^i$  exactly divides  $B_2$  for some  $i$ ,  $1 \leq i < k_2$ . Note that  $B_1 \equiv 0 \pmod{p^{k_2-i}}$ . We have  $r_2 = p^i$ ,  $r_1 = p^{k_1-i}$ . After writing  $B_1 = p^{k_2-i}B'_1$ ,  $B_2 = p^iB'_2$  and dropping the primes from the notation, the sum becomes

$$\sum_{\substack{A_1 \bmod p^{k_1}, B_1 \bmod p^{k_1-k_2+i} \\ A_2 \bmod p^{k_2}, B_2 \bmod p^{k_2-i} \\ \gcd(A_1, p) = \gcd(B_2, p) = \gcd(A_2, p) = 1 \\ A_1 + B_1B_2 + A_2p^{k_1-k_2} \equiv 0 \pmod{p^{k_1}}}} \left( \frac{B_1}{p^{k_1-k_2}} \right) \left( \frac{A_1}{p^{k_2-i}} \right) \left( \frac{A_2}{p^i} \right) \psi \left( \frac{B_1p^{l_1}}{p^{k_1-k_2+i}} + \frac{B_2p^{l_2}}{p^{k_2-i}} \right). \quad (38)$$

Next we use the Plücker relation to eliminate  $A_1$ . The sum is

$$\sum_{\substack{B_1 \bmod p^{k_1-k_2+i} \\ A_2 \bmod p^{k_2}, B_2 \bmod p^{k_2-i} \\ \gcd(B_2, p) = \gcd(A_2, p) = 1 \\ \gcd(B_1B_2, p) = 1}} \left( \frac{B_1}{p^{k_1-k_2}} \right) \left( \frac{B_1B_2}{p^{k_2-i}} \right) \left( \frac{A_2}{p^i} \right) \psi \left( \frac{B_1p^{l_1}}{p^{k_1-k_2+i}} + \frac{B_2p^{l_2}}{p^{k_2-i}} \right).$$

The  $A_2$  sum gives zero unless  $n|i$ ; since the  $i$  contribution in (35) is also zero unless  $n|i$  due to the factor  $g(p^i, p^i)$ , we may now assume that  $n|i$ . The  $A_2$  sum produces  $\phi(p^{k_2}) = \mathbb{N}p^{k_2-i} g(p^i, p^i)$  and the  $B_2$  sum produces  $g(p^{l_2}, p^{k_2-i})$ . We obtain

$$\mathbb{N}p^{k_2-i} g(p^i, p^i) g(p^{l_2}, p^{k_2-i}) \sum_{B_1 \bmod p^{k_1-k_2+i}} \left( \frac{B_1}{p^{k_1-i}} \right) \psi \left( \frac{B_1p^{l_1}}{p^{k_1-k_2+i}} \right).$$

We can absorb the  $\mathbb{N}p^{k_2-i}$  into the summation by extending the summation to the larger modulus  $p^{k_1}$ . Since  $n|i$ , we may also write  $\left( \frac{B_1}{p^{k_1-i}} \right) = \left( \frac{B_1}{p^{k_1}} \right)$  and obtain the  $i$ -th term in (35).

Finally, we have the contribution when  $p^{k_2} | B_2$ . We have  $r_1 = 1$  and  $r_2 = p^{k_2}$ . We may take  $B_2 = 0$  in the sum. We obtain

$$\sum_{\substack{A_1, B_1 \bmod p^{k_1} \\ A_2 \bmod p^{k_2} \\ \gcd(A_1, B_1, p) = \gcd(A_2, p) = 1 \\ A_1 + p^{k_1-k_2}A_2 \equiv 0 \pmod{p^{k_1}}}} \left( \frac{B_1}{p^{k_1-k_2}} \right) \left( \frac{A_2}{p^{k_2}} \right) \psi \left( \frac{B_1p^{l_1}}{p^{k_1}} \right). \quad (39)$$

We may use the Plücker relation to eliminate  $A_1$ , which is divisible by  $p$ . The sum is therefore

$$\begin{aligned} & \sum_{\substack{B_1 \bmod p^{k_1} \\ A_2 \bmod p^{k_2} \\ \gcd(B_1, p) = \gcd(A_2, p) = 1}} \left( \frac{B_1}{p^{k_1-k_2}} \right) \left( \frac{A_2}{p^{k_2}} \right) \psi \left( \frac{B_1p^{l_1}}{p^{k_1}} \right) = \\ & g(p^{k_2}, p^{k_2}) \sum_{\substack{B_1 \bmod p^{k_1} \\ \gcd(B_1, p) = 1}} \left( \frac{B_1}{p^{k_1-k_2}} \right) \psi \left( \frac{B_1p^{l_1}}{p^{k_1}} \right). \end{aligned}$$

Note that  $g(p^{k_2}, p^{k_2}) = 0$  unless  $n|k_2$ , in which case  $\left(\frac{B_1}{p^{k_1-k_2}}\right) = \left(\frac{B_1}{p^{k_1}}\right)$ . Hence this contribution is  $g(p^{k_2}, p^{k_2})g(p^{l_1}, p^{k_1})$ , which is the contribution of  $i = k_2$  in (35).  $\square$

Now suppose that  $k_1 > k_2$ . Then given an integer  $i$  we consider

$$\mathfrak{T} = \left\{ \begin{array}{ccc} l_1 + l_2 + 2 & l_2 + 1 & 0 \\ & a & b \\ & & c \end{array} \right\}, \quad \begin{array}{l} a = k_1 - k_2 + i + l_2 + 1, \\ b = k_2 - i, \\ c = k_2. \end{array}$$

A necessary and sufficient condition for this to be a Gelfand-Tsetlin pattern is that

$$\max(0, k_2 - l_2 - 1) \leq i \leq \min(k_2, k_2 + l_1 + 1 - k_1).$$

This gives a complete enumeration of  $\Upsilon(k_1, k_2; l_1, l_2)$ . We have  $a - b - 1 \geq c - b$  and so

$$G(\mathfrak{T}) = g(p^{c-b}, p^{c-b}) g(p^{l_2}, p^b) g(p^{l_1+b}, p^{a+b-l_2-1}) = \\ g(p^i, p^i) g(p^{l_2}, p^{k_2-i}) g(p^{l_1+k_2-i}, p^{k_1}).$$

In this case, the result now follows from Lemma 5.

It remains for us to handle the case  $k_1 = k_2$ .

**Lemma 6** *We have*

$$H(p^k, p^k; p^{l_1}, p^{l_2}) = \\ \sum_{i=\max(0, k-l_1-1)}^{\min(k-1, l_2+1)} g(p^{l_2}, p^i) g(p^{l_1+i}, p^k) g(p^{l_2+k-2i}, p^{k-i}) \\ + \begin{cases} \mathbb{N}p^k g(p^k, p^k) & \text{if } k \leq l_2; \\ 0 & \text{if } k > l_2. \end{cases}$$

**Proof** As in the proof of Lemma 5 we may replace the range of summation with  $\sum_{i=0}^{k-1}$  since the fact that  $g(p^a, p^b) = 0$  when  $a < b - 1$  implies that any additional terms are zero. Now using (34) it is equivalent to prove

$$H(p^k, p^k; p^{l_1}, p^{l_2}) = \\ \sum_{i=0}^{k-1} g(p^{l_1}, p^i) g(p^{l_2+i}, p^k) g(p^{l_1+k-2i}, p^{k-i}) \\ + \begin{cases} \mathbb{N}p^k g(p^k, p^k) & \text{if } k \leq l_1; \\ 0 & \text{if } k > l_1, \end{cases} \quad (40)$$

which has the advantage that we may reuse parts of the proof of Lemma 5. It is possible that  $l_1 + k - 2i < 0$  but if this occurs the meaning of  $g(p^{l_1+k-2i}, p^{k-i})$  can be assigned arbitrarily since then  $i > l_1 + 1$ , and the first Gauss sum will be zero.

We start with (36) and break the sum up as in Lemma 5.

First let us consider the contribution when  $\gcd(B_2, p) = 1$ . This is still given by (37). Since  $B_1$  is chosen modulo 1 it is arbitrary, and we take  $B_1 = p$ . We may omit the summation over  $A_2$  since

it is determined by  $A_1$  and  $B_2$ . We obtain

$$\sum_{\substack{A_1 \bmod p^k \\ B_2 \bmod p^{k^2} \\ \gcd(B_2, p) = \gcd(A_1, p) = 1}} \left( \frac{A_1}{p^k} \right) \psi \left( \frac{B_2 p^{l_2}}{p^k} \right).$$

The  $A_1$  summation produces  $g(p^k, p^k) = g(p^{l_1+k}, p^k)$ , which is zero unless  $n|k$ . Assuming this the  $B_2$  sum gives  $g(p^{l_2}, p^k)$  and we get the  $i = 0$  contribution in (40).

Next let us consider the contribution when  $p^i \parallel B_2$  with  $0 < i \leq k-1$ . This is given by (38). We can use the Plücker relation to eliminate  $A_1$ . Moreover, we can extend the summations of  $B_1$  and  $B_2$  to the larger modulus  $p^k$ , dividing by  $\mathbb{N}p^{-k}$  to compensate for overcounting. We obtain

$$\mathbb{N}p^{-k} \sum_{\substack{B_1 \bmod p^k \\ A_2, B_2 \bmod p^k \\ \gcd(B_2, p) = \gcd(A_2, p) = 1 \\ \gcd(B_1 B_2 + A_2, p) = 1}} \left( \frac{A_2 + B_1 B_2}{p^{k-i}} \right) \left( \frac{A_2}{p^i} \right) \psi \left( \frac{B_1 p^{l_1}}{p^i} + \frac{B_2 p^{l_2}}{p^{k-i}} \right).$$

We make the variable change  $B_1 \mapsto B_2^{-1}(B_1 - A_2)$ , where the inverse is modulo  $p^k$ . This produces

$$\mathbb{N}p^{-k} \sum_{\substack{B_1 \bmod p^k \\ A_2, B_2 \bmod p^k \\ \gcd(B_2, p) = \gcd(A_2, p) = 1 \\ \gcd(B_1, p) = 1}} \left( \frac{B_1}{p^{k-i}} \right) \left( \frac{A_2}{p^i} \right) \psi \left( \frac{B_2^{-1}(B_1 - A_2) p^{l_1}}{p^i} + \frac{B_2 p^{l_2}}{p^{k-i}} \right).$$

Next we replace  $B_1$  and  $A_2$  by  $B_1 B_2$  and  $A_2 B_2$ , respectively to get

$$\mathbb{N}p^{-k} \sum_{\substack{B_1 \bmod p^k \\ A_2, B_2 \bmod p^k \\ \gcd(B_2, p) = \gcd(A_2, p) = 1 \\ \gcd(B_1, p) = 1}} \left( \frac{B_1}{p^{k-i}} \right) \left( \frac{A_2}{p^i} \right) \left( \frac{B_2}{p^k} \right) \psi \left( \frac{(B_1 - A_2) p^{l_1}}{p^i} + \frac{B_2 p^{l_2}}{p^{k-i}} \right).$$

The  $B_2$  sum produces  $g(p^{l_2+i}, p^k)$ , and the  $A_2$  sum gives  $\mathbb{N}p^{k-i} g(p^{l_1}, p^i)$ . Thus we arrive at

$$\mathbb{N}p^{-i} g(p^{l_2+i}, p^k) \mathbb{N}p^{k-i} g(p^{l_1}, p^i) \sum_{\substack{B_1 \bmod p^k \\ \gcd(B_1, p) = 1}} \left( \frac{B_1}{p^{k-i}} \right) \psi \left( \frac{B_1 p^{l_1}}{p^i} \right).$$

But  $g(p^{l_1}, p^i)$  is nonzero only when  $i \leq l_1 + 1$ . In this case  $l_2 + k - 2i > 0$  and

$$\mathbb{N}p^{-i} \sum_{\substack{B_1 \bmod p^k \\ \gcd(B_1, p) = 1}} \left( \frac{B_1}{p^{k-i}} \right) \psi \left( \frac{B_1 p^{l_1}}{p^i} \right) = g(p^{l_2+k-2i}, p^{k-i}).$$

Thus we arrive at the contribution  $g(p^{l_1}, p^i) g(p^{l_2+i}, p^k) g(p^{l_1+k-2i}, p^{k-i})$ .

It remains for us to discuss the contribution when  $p^k|B_2$ . We start with (39). The  $A_1$  sum is irrelevant since  $A_1 \equiv -A_2$  modulo  $p^k$ . As  $p \nmid A_2$ , this implies that the condition  $\gcd(A_1, B_1, p) = 1$  may also be dropped. Now the summation over  $B_1$  produces a factor of  $p^k$  if  $k \leq l_1$ , and zero otherwise; and the summation over  $A_2$  produces a factor of  $g(p^k, p^k)$ .  $\square$

Assume that  $k_1 = k_2 = k$ . Given an integer  $i$ , consider

$$\mathfrak{T} = \left\{ \begin{array}{ccc} l_1 + l_2 + 2 & l_2 + 1 & 0 \\ & a & b \\ & & c \end{array} \right\}, \quad \begin{array}{l} a = k - i + l_2 + 1, \\ b = i, \\ c = k. \end{array}$$

A necessary and sufficient condition for this to be a Gelfand-Tsetlin pattern is that

$$\max(0, k - l_1 - 1) \leq i \leq \max(k, l_2 + 1),$$

and this gives a complete enumeration of  $\Upsilon(k, k; l_1, l_2)$ . We assume first that  $i < k$ . In this case we have

$$G(\mathfrak{T}) = g(p^{a-b-1}, p^{c-b}) g(p^{l_2}, p^b) g(p^{l_1+b}, p^{a+b-l_2-1}) \\ g(p^{l_2+k-2i}, p^{k-i}) g(p^{l_2}, p^i) g(p^{l_1+i}, p^k),$$

and these terms account for the first summation in Lemma 6. If  $k \leq l_2 + 1$  there is one more term with  $i = k$ . Using (29), this accounts for the last term in Lemma 6, and the Theorem is proved.  $\square$

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