

Ticker: EDOG

ALPS Emerging Sector Dividend Dogs ETF

Fact Sheet | June 30, 2024

VALUE, INCOME, DIVERSIFICATION

The ALPS Emerging Sector Dividend Dogs ETF (EDOG) is an Exchange-Traded Fund that applies the 'Dogs of the Dow Theory' on a sector-by-sector basis using the S-Network Emerging Markets Liquid 500 Index as its starting universe of eligible securities. This strategy provides the following potential benefits:

- **High Yield Relative to Emerging Markets Broad Based Indices** – Starting with a large-cap universe such as the S-Net Emerging Markets Liquid 500 Index diminishes the likelihood of troubled and financially distressed companies entering the Index and allows dividend yield to be the primary selection criterion for the Index.
- **Sector, Stock and Country Diversification** – EDOG provides high dividend exposure across 10 sectors of the market that make up the S-Net Emerging Markets Liquid 500 Index (excluding the real estate sector) by selecting the five highest yielding securities in each sector and equally weighting them. In addition, the country representation is capped at five eligible securities per country. This methodology provides diversification at the sector, stock and country level.
- **Dogs Theory** – EDOG isolates the S-Net Emerging Markets Liquid 500 Index constituents with the highest dividend yield in their respective sectors providing the potential for price appreciation as market forces bring their yield into line with the overall market.

Performance as of 6/30/2024

Total Returns	Cumulative				Annualized				
	1 M	3 M	YTD	SI ¹	1 Y	3 Y	5 Y	10 Y	SI ¹
NAV (Net Asset Value)	0.12%	1.64%	-0.66%	31.55%	5.69%	0.50%	4.08%	1.87%	2.71%
Market Price	-0.25%	1.54%	-0.57%	31.42%	5.09%	0.42%	4.08%	1.83%	2.70%
S-Network Emerging Sector Dividend Dogs Index - NTR ²	-0.39%	1.54%	-0.62%	41.54%	5.59%	0.95%	4.67%	2.59%	3.44%
Morningstar Emerging Markets Index - NTR ³	3.72%	5.16%	7.49%	55.64%	13.92%	-3.40%	4.39%	3.62%	4.41%

Performance data quoted represents past performance. Past performance is no guarantee of future results so that shares, when redeemed, may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For current month-end performance call 1-866-759-5679 or visit www.alpsfunds.com. Performance includes reinvested distributions and capital gains.

Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

¹ Fund inception date: 3/27/2014

² S-Network Emerging Sector Dividend Dogs Index (EDOGX): a rules-based index intended to give investors a means of tracking the overall performance of the highest dividend paying stocks in the S-Network Emerging Markets Liquid 500 Index, a universe of mainly large capitalization stocks domiciled in emerging markets on a sector-by-sector basis. Emerging market countries are countries that major international financial institutions, such as the World Bank, generally consider to be less economically mature than developed nations.

³ Morningstar Emerging Markets Index: captures the performance of the stocks located in the emerging countries across the world. Stocks in the index are weighted by their float capital, which removes corporate cross ownership, government holdings and other locked-in shares.

One may not invest directly in an index.

Fund Details

Ticker:	EDOG
Underlying Index:	EDOGX
Listing Exchange:	NYSE Arca
CUSIP:	00162Q668
Inception Date:	3/27/2014
Distributions Paid:	Quarterly
Most Recent Distribution [†] :	\$0.62298
Total Operating Expenses:	0.60%
NAV:	\$20.84
Trailing Twelve Month Yield [*] :	6.80%
30-Day SEC Yield [^] :	5.57%
30-Day SEC Yield (Unsubsidized):	5.57%

[†] Distribution paid on 6/25/2024

^{*} Trailing Twelve Month Yield: refers to the percentage of income a portfolio has returned to investors over the last 12 months.

[^] 30-Day SEC Yield: reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses.

Investment Objective

The Fund seeks investment results that replicate as closely as possible, before fees and expenses, the performance of the S-Network Emerging Sector Dividend Dogs Index (EDOGX).

Index Allocations



Information Technology	10.48%
Materials	10.43%
Consumer Discretionary	10.21%
Communication Services	10.09%
Financials	10.09%
Health Care	10.03%
Industrials	9.77%
Utilities	9.75%
Consumer Staples	9.66%
Energy	9.49%

As of 6/30/2024, subject to change

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Index Country Allocations



Asia Pacific

Thailand	11.26%
Indonesia	10.10%
Malaysia	9.75%
China	9.47%
Philippines	3.91%
Central Asia	
India	9.14%

Eastern Europe

Turkey	2.13%
Hungary	2.05%
Czech Republic	1.80%

Africa / Middle East

South Africa	10.61%
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South & Central America

Brazil	10.01%
Chile	5.93%
Colombia	4.02%

North America

Mexico	9.82%
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As of 6/30/2024, subject to change

Index Constituents

Communication Services

TLKM IJ	Telkom Indonesia Persero Tbk P
VOD SJ	Vodacom Group Ltd
TEL PM	Pldt Inc
MAXIS MK	Maxis Bhd
AXIATA MK	Axiata Group Bhd

Consumer Discretionary

TOASO TI	Tofas Turk Otomobil Fabrikasi
WHL SJ	Woolworths Holdings Ltd/South
HMPRO TB	Home Product Center Pcl
PPH SJ	Pepkor Holdings Ltd
GENM MK	Genting Malaysia Bhd

Consumer Staples

INDF IJ	Indofood Sukses Makmur Tbk Pt
GGRM IJ	Gudang Garam Tbk Pt
CENCOSUD CI	Cencosud Sa
ABEV US	Ambev Sa
THBEV SP	Thai Beverage Pcl

Energy

PET4 BZ	Petroleo Brasileiro Sa
EXX SJ	Exxaro Resources Ltd
ADRO IJ	Adaro Energy Indonesia Tbk Pt
1088 HK	China Shenhua Energy Co Ltd
1171 HK	Yankuang Energy Group Co Ltd

Financials

3618 HK	Chongqing Rural Commercial Ban
GFNORTEO	
MM	Grupo Financiero Banorte Sab D
2016 HK	China Zheshang Bank Co Ltd
CIB US	Bancolumbia Sa
BBSE3 BZ	Bb Seguridade Participacoes Sa

Health Care

RDY US	Dr Reddy'S Laboratories Ltd
RICHT HB	Richter Gedeon Nyrt
BH TB	Bumrungrad Hospital Pcl
BDMS TB	Bangkok Dusit Medical Services
KLBF IJ	Kalbe Farma Tbk Pt

Industrials

SIME MK	Sime Darby Bhd
1919 HK	Cosco Shipping Holdings Co Ltd
VAPORES CI	Cia Sud Americana De Vapores S
OMAB MM	Grupo Aeroportuario Del Centro
GAPB MM	Grupo Aeroportuario Del Pacifi

Information Technology

WIT US	Wipro Ltd
INFY US	Infosys Ltd
DELTA TB	Delta Electronics Thailand Pcl

Materials

SOL SJ	Sasol Ltd
GMEXICOB MM	Grupo Mexico Sab De Cv
GGBR4 BZ	Gerdau Sa
CSNA3 BZ	Cia Siderurgica Nacional Sa
ORBIA* MM	Orbia Advance Corp Sab De Cv

Utilities

ISA CB	Interconexion Electrica Sa Esp
ENELCHIL CI	Enel Chile Sa
PTG MK	Petronas Gas Bhd
MER PM	Manila Electric Co
CEZ CP	Cez As

Source: Bloomberg L.P., as of 6/30/2024, subject to change

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. This material must be preceded or accompanied by the prospectus. Read the prospectus carefully before investing.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemable.

Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

The Fund is subject to the additional risks associated with concentrating its investments in companies in the market sector.

Diversification does not eliminate the risk of experiencing investment losses.

The Fund's investments in non-US issuers may involve unique risks compared to investing in securities of US issuers, including, among others, less liquidity generally, greater market volatility than US securities and less complete financial information than for US issuers. In addition, adverse political, economic or social developments could undermine the value of the Fund's investments or prevent the Fund from realizing the full value of its investments. Finally, the value of the currency of the country in which the Fund has invested could decline relative to the value of the US dollar, which may affect the value of the investment to US investors.

The Fund employs a "passive management" - or indexing - investment approach and seeks investment results that correspond (before fees and expenses) generally to the performance of its underlying index. Unlike many investment companies, the Fund is not "actively" managed. Therefore, it would not necessarily sell or buy a security unless that security is removed from or added to the underlying index, respectively.

Dogs of the Dow Theory: an investment strategy which proposes that an investor annually select for investment the ten Dow Jones Industrial Average stocks whose dividend is the highest fraction of their price.

ALPS Advisors, Inc., registered investment advisor with the SEC, is the investment advisor to the Fund. ALPS Advisors, Inc. is affiliated with ALPS Portfolio Solutions Distributor, Inc.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value

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