

Ticker: SDOG

ALPS Sector Dividend Dogs ETF

Fact Sheet | June 30, 2024

VALUE, INCOME, DIVERSIFICATION

The ALPS Sector Dividend Dogs ETF (SDOG) is an Exchange-Traded Fund that applies the 'Dogs of the Dow Theory' on a sector-by-sector basis using the S-Network US Equity WR Large-Cap 500 Index (SNR 500) as its starting universe of eligible securities. This strategy provides the following potential benefits:

- **High Dividend Yield Relative to US Large Cap Indices** – Starting with a large-cap universe such as the SNR 500 diminishes the likelihood of troubled and financially distressed companies entering the Index and allows dividend yield to be the primary selection criterion for the Index.
- **Sector and Stock Diversification** – SDOG provides high dividend exposure across all 10 sectors of the market by selecting the five highest yielding securities in each sector and equally weighting them. This provides diversification at both the stock and sector level.
- **Dogs Theory** – SDOG isolates the SNR 500 constituents with the highest dividend yield in their respective sectors providing the potential for price appreciation as market forces bring their yield into line with the overall market.

Performance as of 6/30/2024

Total Returns	Cumulative				Annualized				
	1 M	3 M	YTD	SI ¹	1 Y	3 Y	5 Y	10 Y	SI ¹
NAV (Net Asset Value)	-1.39%	-1.04%	5.37%	233.62%	10.52%	4.12%	8.29%	7.51%	10.56%
Market Price	-1.42%	-1.00%	5.37%	233.68%	10.57%	4.12%	8.34%	7.51%	10.56%
S-Network Sector Dividend Dogs Index - TR ²	-1.36%	-0.94%	5.58%	251.13%	10.81%	4.45%	8.68%	7.95%	11.03%
S&P 500 Index - TR ³	3.59%	4.28%	15.29%	403.73%	24.56%	10.01%	15.05%	12.86%	14.42%

Performance data quoted represents past performance. Past performance is no guarantee of future results so that shares, when redeemed, may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For current month-end performance call 1-866-759-5679 or visit www.alpsfunds.com. Performance includes reinvested distributions and capital gains.

Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

¹ Fund inception date: 6/29/2012

² S-Network Sector Dividend Dogs Index (SDOGX): a rules-based index intended to give investors a means of tracking the overall performance of the highest dividend paying stocks in the SNR 500 on a sector-by-sector basis. The SNR 500 includes the 500 largest US stocks by market capitalization.

³ S&P 500 Index: widely regarded as the best single gauge of large-cap US equities. The index includes 500 leading companies and covers approximately 80% of available market capitalization.

One may not invest directly in an index.

Fund Details

Ticker:	SDOG
Underlying Index:	SDOGX
Listing Exchange:	NYSE Arca
CUSIP:	00162Q858
Inception Date:	6/29/2012
Distributions Paid:	Quarterly
Most Recent Distribution [†] :	\$0.5421
Total Operating Expenses:	0.36%
NAV:	\$52.85
Trailing Twelve Month Yield [*] :	4.17%
30-Day SEC Yield [^] :	4.22%
30-Day SEC Yield (Unsubsidized):	4.22%

[†] Distribution paid on 6/25/2024

^{*} Trailing Twelve Month Yield: refers to the percentage of income a portfolio has returned to investors over the last 12 months.

[^] 30-Day SEC Yield: reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses.

Investment Objective

The Fund seeks investment results that replicate as closely as possible, before fees and expenses, the performance of the S-Network Sector Dividend Dogs Index (SDOGX).

Index Allocations



Financials	10.55%
Communication Services	10.24%
Energy	10.23%
Health Care	10.17%
Consumer Discretionary	10.01%
Information Technology	9.99%
Industrials	9.85%
Materials	9.77%
Utilities	9.69%
Consumer Staples	9.49%

As of 6/30/2024, subject to change

Index Constituents

Communication Services		Financials		Information Technology	
T	At&T Inc	TFC	Truist Financial Corp	GLW	Corning Inc
CMCSA	Comcast Corp	RF	Regions Financial Corp	IBM	International Business Machine
VZ	Verizon Communications Inc	HBAN	Huntington Bancshares Inc/Oh	TXN	Texas Instruments Inc
OMC	Omnicom Group Inc	CFG	Citizens Financial Group Inc	STX	Seagate Technology Holdings Pl
IPG	Interpublic Group Of Cos Inc/T	PRU	Prudential Financial Inc	HPQ	Hp Inc
Consumer Discretionary		Health Care		Materials	
F	Ford Motor Co	GILD	Gilead Sciences Inc	LYB	Lyondellbasell Industries Nv
DRI	Darden Restaurants Inc	VTRS	Viatis Inc	IFF	International Flavors & Fragra
GPC	Genuine Parts Co	ABBV	Abbvie Inc	AMCR	Amcro Plc
HD	Home Depot Inc/The	PFE	Pfizer Inc	DOW	Dow Inc
BBY	Best Buy Co Inc	BMJ	Bristol-Myers Squibb Co	IP	International Paper Co
Consumer Staples		Industrials		Utilities	
MO	Altria Group Inc	CMI	Cummins Inc	EVRG	Evergy Inc
CAG	Conagra Brands Inc	MMM	3M Co	EIX	Edison International
KHC	Kraft Heinz Co/The	UPS	United Parcel Service Inc	DUK	Duke Energy Corp
PM	Philip Morris International In	RTX	Rtx Corp	D	Dominion Energy Inc
WBA	Walgreens Boots Alliance Inc	SWK	Stanley Black & Decker Inc	ES	Eversource Energy
Energy					
XOM	Exxon Mobil Corp				
OKE	Oneok Inc				
WMB	Williams Cos Inc/The				
CVX	Chevron Corp				
KMI	Kinder Morgan Inc				

Source: Bloomberg L.P., as of 6/30/2024, subject to change

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. This material must be preceded or accompanied by the prospectus. Read the prospectus carefully before investing.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemable.

Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

The Fund is subject to the additional risks associated with concentrating its investments in companies in the market sector.

Diversification does not eliminate the risk of experiencing investment losses.

The Fund employs a "passive management" - or indexing - investment approach and seeks investment results that correspond (before fees and expenses) generally to the performance of its underlying index. Unlike many investment companies, the Fund is not "actively" managed. Therefore, it would not necessarily sell or buy a security unless that security is removed from or added to the underlying index, respectively.

Dogs of the Dow Theory: an investment strategy which proposes that an investor annually select for investment the ten Dow Jones Industrial Average stocks whose dividend is the highest fraction of their price.

ALPS Advisors, Inc., registered investment adviser with the SEC, is the investment adviser to the Fund. ALPS Advisors, Inc. is affiliated with ALPS Portfolio Solutions Distributor, Inc.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value

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