

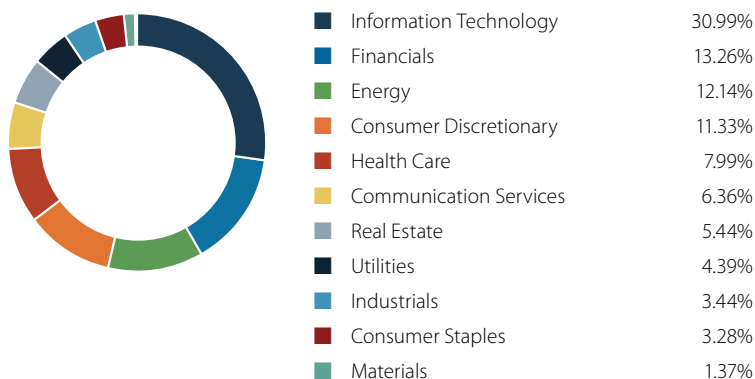
Ticker: RFDA

# RiverFront Dynamic US Dividend Advantage ETF

Fact Sheet | June 30, 2024

Under normal market conditions, the Fund will seek to achieve its investment objective by investing at least 65% of its net assets in a portfolio of equity securities of publicly traded US companies with the potential for dividend growth. In selecting the Fund's portfolio securities, RiverFront Investment Group, LLC (RiverFront) assembles a portfolio of eligible securities based on several core attributes, including, but not limited to, value, quality and momentum. RiverFront will consider multiple proprietary factors within each core attribute, such as the price-to-book value of a security when determining value, a company's cash as a percentage of the company's market capitalization when determining quality and a security's three month relative price change when determining momentum. Additionally, within a given sector, security selection will emphasize companies offering a meaningful dividend yield premium over alternative investments within that sector. This dividend yield emphasis is subject to quality screens intended to limit exposure to companies whose financial characteristics suggest the potential for dividend cuts. RiverFront then assigns each qualifying security a score based on its core attributes, including its dividend growth score, and selects the individual securities with the highest scores for investment. In doing so, RiverFront utilizes its proprietary optimization process to maximize the percentage of high-scoring securities included in the portfolio.

## Market Sector Breakdown (% of Total Investments)



## Top 10 Holdings (% of Total Investments)

Nvidia Corp	9.02%
Microsoft Corp	8.87%
Apple Inc	8.05%
Amazon.Com Inc	4.33%
Alphabet Inc	2.66%
Exxon Mobil Corp	2.36%
Equitrans Midstream Corp	2.19%
Booking Holdings Inc	2.17%
Merck & Co Inc	2.04%
Hewlett Packard Enterprise Co	1.91%

As of 6/30/2024, subject to change

## Fund Details

Ticker:	RFDA
Listing Exchange:	NYSE Arca
CUSIP:	00162Q528
Inception Date:	6/6/2016
Net Asset Value Per Share:	\$52.88
Number of Holdings:	73
Distributions Paid:	Monthly
Most Recent Distribution*:	\$0.15806
Total Operating Expenses:	<b>0.52%</b>

\* Distribution paid on 6/25/2024

## Investment Objective

The Fund seeks to provide capital appreciation and dividend income.

## Investable Securities

Under normal market conditions, the Fund will seek to achieve its investment objective by investing at least 65% of its net assets in a portfolio of equity securities of publicly traded US companies with the potential for dividend growth. Equity securities the Fund may invest in as part of the principal investments are common stocks and common or preferred shares of real estate investment trusts (REITs). The Fund may invest in small, mid and large capitalization companies. It may also invest in other exchange-traded funds and/or closed-end funds which invest in equity securities.

## Fund Characteristics

30-Day SEC Yield <sup>^</sup> :	2.41%
30-Day SEC Yield (Unsubsidized) <sup>^^</sup> :	2.41%

<sup>^</sup> 30-Day SEC Yield: reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses.

<sup>^^</sup> 30-Day SEC Yield (Unsubsidized): reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses, excluding fee waivers.

# RiverFront Dynamic US Dividend Advantage ETF

## Performance as of 6/30/2024

Total Returns	Cumulative				Annualized			
	1 M	3 M	6 M	YTD	1 Y	3 Y	5 Y	SI <sup>1</sup>
NAV (Net Asset Value)	2.79%	4.87%	12.45%	12.45%	17.91%	9.81%	13.06%	12.17%
Market Price	2.75%	4.98%	12.45%	12.45%	17.95%	9.80%	13.10%	12.16%
S&P 500 Index - TR <sup>2</sup>	3.59%	4.28%	15.29%	15.29%	24.56%	10.01%	15.05%	14.54%

**Performance data quoted represents past performance. Past performance is no guarantee of future results so that shares, when redeemed, may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For current month-end performance call 1-866-759-5679 or visit [www.alpsfunds.com](http://www.alpsfunds.com). Performance includes reinvested distributions and capital gains.**

Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

<sup>1</sup> Fund inception date: 6/6/2016

<sup>2</sup> S&P 500 Index: widely regarded as the best single gauge of large-cap US equities. The index includes 500 leading companies and covers approximately 80% of available market capitalization. One may not invest directly in an index.

## A Solution Based Partnership

### ALPS Advisors, Inc.

- Serves as Adviser to the Fund
- Specializes in manager oversight and evaluation
- Focuses on delivering innovative asset management solutions

### RiverFront Investment Group

- Serves as Sub-Adviser to the Fund
- Applies a proprietary Price Matters® optimization process to provide comprehensive, tactical global allocation portfolios
- Utilizes a “Glass Walls” approach, stressing transparency in its advice model and its relationships within the financial services industry

## Important Disclosures & Definitions

**An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus containing this and other information, call 1-866-759-5679 or visit [www.alpsfunds.com](http://www.alpsfunds.com). Read the prospectus carefully before investing.**

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemable.

Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

The performance of the Fund relative to its benchmark will depend largely on the decisions of the RiverFront Investment Group, LLC (the “Sub-Adviser” or “RiverFront”) as to strategic asset allocation and tactical adjustments made to the asset allocation. At times, RiverFront’s judgments as to the asset classes in which the Fund should invest may prove to be wrong, as some asset classes may perform worse than others or fixed income markets generally from time to time or for extended periods of time. The performance of the Fund is related to the market sectors that RiverFront may choose to emphasize or deemphasize from time to time, as well as to the individual securities selected by RiverFront within those sectors. The investment returns for particular market sectors will fluctuate and may be lower than other sectors. In addition, the individual securities chosen for investment within a particular sector may underperform other securities within that same sector. Certain bonds selected for the Fund’s portfolio may decline in value more than the overall bond markets.

The Fund is considered nondiversified and as a result may experience greater volatility than a diversified fund.

Price Matters®: a discipline of the RiverFront Investment Group that compares inflation-adjusted current prices relative to their long-term trend to help identify extremes in valuation.

ALPS Advisors, Inc. and RiverFront Investment Group, LLC, registered investment advisers with the SEC, are the investment adviser and sub-adviser to the Fund, respectively. ALPS Advisors, Inc. and ALPS Portfolio Solutions Distributor, Inc., affiliated entities, are unaffiliated with RiverFront Investment Group, LLC.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value

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