

AMANDA L. SENN Director

STEPHEN P. FEAGA Chief Deputy

APRIL W. MCKAY Deputy Director Administration LOUIS V. FRANKLIN, SR. Deputy Director Enforcement

## ALABAMA SECURITIES COMMISSION

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MIKE HILL Superintendent of Banks

MARK FOWLER
Commissioner of Insurance

W. ALLEN CARROLL, JR. Certified Public Accountant

> HOPE S. MARSHALL Attorney at Law

CRISTY ANDREWS
Certified Public Accountant

## MONEY TRANSMISSION LICENSE

## **LICENSE NUMBER 828**

THIS IS TO CERTIFY THAT NYDIG Execution LLC, One Vanderbilt Avenue, 65th Floor, New York, NY 10017, having complied with the requirements of the Alabama Money Transmission Act, Code of Alabama 1975, §8-7A-1 et seq., is hereby licensed to engage in the business of selling or issuing payment instruments, stored value instruments, or receiving money or monetary value for transmission in accordance with and subject to the provisions of said law from the date hereof until March 31, 2025, unless this license be otherwise suspended or revoked.

This license does not include securities and investment contracts and further would not include lending, staking, selling, pledging, rehypothecating, assigning, investing, using or commingling digital assets of Alabama residents with digital assets of **NYDIG Execution LLC**.

**IN WITNESS WHEREOF**, I have hereunto set my hand and affixed the official seal of the Alabama Securities Commission, in the City of Montgomery, on **March 4, 2024**.



Amanda L. Senn, Director
Alabama Securities Commission

This license is unassignable and must be displayed in the Public