

Release/Consent for Information to be Published on Cboe Global Markets, Inc. Website

Disclosure/Purpose

In order to assist those market participants that wish to consider entering into Block Trade or Exchange of Contract for Related Position (“ECRP”) transactions in Cboe® iBoxx® iShares® Corporate Bond Index (“CB Index”) futures, Cboe Futures Exchange, LLC (“CFE”) has assembled a list of CFE Trading Privilege Holders that have expressed interest in being considered as a potential contra party to Block Trade and ECRP transactions in CB Index futures and/or as an agent for the execution of Block Trade and ECRP transactions in CB Index futures.

This list is not an endorsement of the firms listed, and no significance should be attached to a firm’s inclusion or omission. In connection with compiling this list, CFE has not investigated the background or disciplinary history of any of the firms or their associated individuals listed or whether or not they have any required registration status necessary to perform these functions.

This list may not be exhaustive since the list only includes those firms that have requested to be included on the list. Any CFE Trading Privilege Holder that would like to be included in or removed from this list should complete and email this form to the Membership Services Department at MembershipServices@cboe.com.

Any non-TPH must utilize a TPH to execute the Block or ECRP trade as agent on behalf of that non-TPH just as a non-TPH would need to use a TPH to execute an order through CFE’s trading system. In order for a TPH to act as agent for a non-TPH, that TPH would need to have any required registration or qualification to perform that function.

TPHs need to utilize Authorized Reporters to report Block and ECRP trades to CFE. Any individual that is pre-authorized by a CFE Clearing Member can register as an Authorized Reporter to report Block/ECRP transactions on behalf of the TPH by completing the applicable Block Trade/ECRP Affiliated Authorized Reporter Registration and Guarantee Form. See CFE Rules 414(i) and 415(f) for more information regarding Authorized Reporter requirements. For more information on Block and ECRP trades, refer to the [CFE Block/ECRP Guide](#).

Contact Information to be Published on Cboe Global Markets, Inc. Website

Add New Contact
Remove Contact

Modify Existing Contact to:

FIRST NAME _____

LAST NAME _____

FIRM NAME _____

EMAIL ADDRESS _____

PHONE NUMBER _____

FIRST NAME _____

LAST NAME _____

FIRM NAME _____

EMAIL ADDRESS _____

PHONE NUMBER _____

Cboe Global Markets Privacy Notice and Policy

The undersigned agrees to the terms of Cboe Global Markets, Inc. (“Cboe”) Privacy Notice and Policy (located at <http://www.cboe.com/legal/privacy>) and acknowledges that the undersigned’s personal data (including the data provided in this form) will be processed in accordance with the policy.

Consent

The undersigned hereby agrees and explicitly consents to the processing and dissemination (on the Cboe publicly available website) of the data on the attached form for the purpose facilitating Block Trade and ECRP transactions in CB Index futures. The undersigned understands that this consent can be withdrawn at any time by contacting the Membership Services Department at MembershipServices@cboe.com.

Indemnification

The undersigned agrees, at their expense, to indemnify, defend and hold harmless Cboe, its officers, directors, members, trading permit holders, trading privilege holders, employees, affiliates (including CFE), subsidiaries, agents, and assigns from any and all claims, suits, actions, or other proceedings based on or arising in connection with any misuse of the data provided on this form arising from such data being made publicly available.

Read, understood, and agreed to by¹ :

SIGNATURE

PRINTED NAME

DATE

CONTACT EMAIL

¹The individual executing this form must be the individual whose contact information is being made available on the Cboe website. Any request to alter the information provided in this form must be accompanied by a new executed form with the updated information. © 2019 Cboe Exchange, Inc. All Rights Reserved.