



# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Greg Stanton

**Status:** Member

**State/District:** AZ04

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
SP	3M Company (MMM) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>DESCRIPTION: Purchase of this stock was above the \$1000 reporting threshold. The sale fell below the \$1000 threshold and is therefore not reported.</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Abbott Laboratories (ABT) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Abbott Laboratories (ABT) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	AbbVie Inc. (ABBV) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested</p>						

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		the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP		AbbVie Inc. (ABBV) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Accenture plc Class A Ordinary Shares (ACN) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Accenture plc Class A Ordinary Shares (ACN) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Adobe Inc. (ADBE) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Adobe Inc. (ADBE) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Advanced Micro Devices, Inc. (AMD) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking</p>					

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	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Alphabet Inc. - Class A (GOOGL) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Alphabet Inc. - Class A (GOOGL) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
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SP	Amazon.com, Inc. (AMZN) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was</p>					

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SP	American Express Company (AXP) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	American Express Company (AXP) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Amgen Inc. (AMGN) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Amgen Inc. (AMGN) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Analog Devices, Inc. (ADI) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was</p>					

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SP		Analog Devices, Inc. (ADI) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
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SP		Apple Inc. (AAPL) [ST]	S	10/24/2023	10/26/2023	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
							<p>FILING STATUS: New  COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>
SP		Apple Inc. (AAPL) [ST]	P	09/26/2023	10/21/2023	\$15,001 - \$50,000	<input type="checkbox"/>
							<p>FILING STATUS: New  COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>
SP		Applied Materials, Inc. (AMAT) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
							<p>FILING STATUS: New  COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>
SP		Applied Materials, Inc. (AMAT) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
							<p>FILING STATUS: New  COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>
SP		AT&T Inc. (T) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
							<p>FILING STATUS: New  COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was</p>

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SP	Axon Enterprise, Inc. (AXON) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Axon Enterprise, Inc. (AXON) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Ball Corporation (BALL) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Ball Corporation (BALL) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Bank of America Corporation (BAC) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
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SP	Bank of America Corporation (BAC) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
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SP	Becton, Dickinson and Company (BDX) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
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SP	Berkshire Hathaway Inc. New (BRK.B) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
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SP	BlackRock, Inc. (BLK) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>

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SP	Bristol-Myers Squibb Company (BMY) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
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SP	Broadcom Inc. (AVGO) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Broadcom Inc. (AVGO) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Caterpillar, Inc. (CAT) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>



ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Caterpillar, Inc. (CAT) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Chevron Corporation (CVX) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Chevron Corporation (CVX) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Chubb Limited (CB) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Chubb Limited (CB) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Cisco Systems, Inc. (CSCO) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p>					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	<p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Cisco Systems, Inc. (CSCO) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Citigroup, Inc. (C) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Citigroup, Inc. (C) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Coca-Cola Company (KO) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Coca-Cola Company (KO) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Comcast Corporation - Class A (CMCSA) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p>					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
<p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Comcast Corporation - Class A (CMCSA) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	ConocoPhillips (COP) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	ConocoPhillips (COP) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Costco Wholesale Corporation (COST) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Costco Wholesale Corporation (COST) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Danaher Corporation (DHR) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Danaher Corporation (DHR) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Deere & Company (DE) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Deere & Company (DE) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Elevance Health, Inc. (ELV) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Elevance Health, Inc. (ELV) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Eli Lilly and Company (LLY) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	<p>FILING STATUS: New</p>					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
<p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>							
SP	Eli Lilly and Company (LLY) [ST]		P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>							
SP	Exxon Mobil Corporation (XOM) [ST]		P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>							
SP	Exxon Mobil Corporation (XOM) [ST]		S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>							
SP	Gilead Sciences, Inc. (GILD) [ST]		P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>							
SP	Gilead Sciences, Inc. (GILD) [ST]		S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>							
SP	Goldman Sachs Group, Inc. (GS) [ST]		P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was</p>							

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP	Goldman Sachs Group, Inc. (GS) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Home Depot, Inc. (HD) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Home Depot, Inc. (HD) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Howmet Aerospace Inc. (HWM) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Howmet Aerospace Inc. (HWM) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Intel Corporation (INTC) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking</p>					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP	Intel Corporation (INTC) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	International Business Machines Corporation (IBM) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	International Business Machines Corporation (IBM) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Intuit Inc. (INTU) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Intuit Inc. (INTU) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Intuitive Surgical, Inc. (ISRG) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking</p>					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP	Intuitive Surgical, Inc. (ISRG) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Jacobs Solutions Inc. (J) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Jacobs Solutions Inc. (J) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Johnson & Johnson (JNJ) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Johnson & Johnson (JNJ) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	JP Morgan Chase & Co. (JPM) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested</p>					



ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP	JP Morgan Chase & Co. (JPM) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Lam Research Corporation (LRCX) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Lam Research Corporation (LRCX) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Linde plc Ordinary Shares (LIN) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Linde plc Ordinary Shares (LIN) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Lowe's Companies, Inc. (LOW) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested</p>					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP	Lowe's Companies, Inc. (LOW) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Marsh & McLennan Companies, Inc. (MMC) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Marsh & McLennan Companies, Inc. (MMC) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Mastercard Incorporated (MA) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Mastercard Incorporated (MA) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	McDonald's Corporation (MCD) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested</p>					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP		McDonald's Corporation (MCD) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Medtronic plc. Ordinary Shares (MDT) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Medtronic plc. Ordinary Shares (MDT) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Merck & Company, Inc. Common Stock (MRK) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Merck & Company, Inc. Common Stock (MRK) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Meta Platforms, Inc. - Class A (META) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was</p>					

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP		Meta Platforms, Inc. - Class A (META) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Microsoft Corporation (MSFT) [ST]	P	09/26/2023	10/21/2023	\$15,001 - \$50,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Microsoft Corporation (MSFT) [ST]	S	10/24/2023	10/26/2023	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Mondelez International, Inc. - Class A (MDLZ) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Mondelez International, Inc. - Class A (MDLZ) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Morgan Stanley (MS) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Morgan Stanley (MS) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Netflix, Inc. (NFLX) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Netflix, Inc. (NFLX) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	NextEra Energy, Inc. (NEE) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	NextEra Energy, Inc. (NEE) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Nike, Inc. (NKE) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	<p>FILING STATUS: New</p>					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
<p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Nike, Inc. (NKE) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	NVIDIA Corporation (NVDA) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	NVIDIA Corporation (NVDA) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Oracle Corporation (ORCL) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Oracle Corporation (ORCL) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Palo Alto Networks, Inc. (PANW) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>DESCRIPTION: Purchase of this stock fell below the \$1000 reporting threshold. The sale of the stock was above \$1000 and is</p>						

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	reported. COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP	Parker-Hannifin Corporation (PH) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP	Parker-Hannifin Corporation (PH) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP	Pepsico, Inc. (PEP) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP	Pepsico, Inc. (PEP) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP	Pfizer, Inc. (PFE) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP	Pfizer, Inc. (PFE) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Philip Morris International Inc (PM) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Philip Morris International Inc (PM) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Procter & Gamble Company (PG) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Procter & Gamble Company (PG) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Progressive Corporation (PGR) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Progressive Corporation (PGR) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>



ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	ProLogis, Inc. (PLD) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	ProLogis, Inc. (PLD) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	QUALCOMM Incorporated (QCOM) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	QUALCOMM Incorporated (QCOM) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	S&P Global Inc. (SPGI) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	S&P Global Inc. (SPGI) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Salesforce, Inc. (CRM) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Salesforce, Inc. (CRM) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	ServiceNow, Inc. (NOW) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	ServiceNow, Inc. (NOW) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Starbucks Corporation (SBUX) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Starbucks Corporation (SBUX) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p>					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	<p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Stryker Corporation (SYK) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Stryker Corporation (SYK) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Teledyne Technologies Incorporated (TDY) [ST]	S	10/24/2022	10/26/2023	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Teledyne Technologies Incorporated (TDY) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Tesla, Inc. (TSLA) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Tesla, Inc. (TSLA) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p>					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
<p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Texas Instruments Incorporated (TXN) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Texas Instruments Incorporated (TXN) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Thermo Fisher Scientific Inc (TMO) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Thermo Fisher Scientific Inc (TMO) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	TJX Companies, Inc. (TJX) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	TJX Companies, Inc. (TJX) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	T-Mobile US, Inc. (TMUS) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	T-Mobile US, Inc. (TMUS) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Union Pacific Corporation (UNP) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	Union Pacific Corporation (UNP) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	United Parcel Service, Inc. (UPS) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP	United Parcel Service, Inc. (UPS) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	<p>FILING STATUS: New</p>					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
<p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	UnitedHealth Group Incorporated Common Stock (UNH) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	UnitedHealth Group Incorporated Common Stock (UNH) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Verizon Communications Inc. (VZ) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Verizon Communications Inc. (VZ) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Vertex Pharmaceuticals Incorporated (VRTX) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>						
SP	Vertex Pharmaceuticals Incorporated	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
	(VRTX) [ST] FILING STATUS: New COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					<input type="checkbox"/>
SP	Visa Inc. (V) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP	Visa Inc. (V) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP	Walmart Inc. (WMT) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP	Walmart Inc. (WMT) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP	Walt Disney Company (DIS) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
	FILING STATUS: New COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S & P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.					
SP	Walt Disney Company (DIS) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount	Cap. Gains > \$200?
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Wells Fargo & Company (WFC) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Wells Fargo & Company (WFC) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Zoetis Inc. Class A (ZTS) [ST]	P	09/26/2023	10/21/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					
SP		Zoetis Inc. Class A (ZTS) [ST]	S	10/24/2023	10/26/2023	\$1,001 - \$15,000	<input type="checkbox"/>
		<p>FILING STATUS: New</p> <p>COMMENTS: In Sept. 2023, my wife started with a new investment firm for her assets, and this new investment firm was unaware of our policy against owning individual stocks. On Sept. 26, the new investment firm purchased stock mimicking the S &amp; P 500. I learned of the purchase of stock on Oct. 21, and immediately on the next business day (Oct. 23) requested the stocks be divested. The new investment firm sold the stock and instead invested in Exchange Traded Funds.</p>					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## COMMENTS

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE



I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief. Further, I CERTIFY that I have disclosed all transactions as required by the STOCK Act.

**Digitally Signed:** Hon. Greg Stanton , 11/09/2023