

Delegation of Authority for Actions on:		TENURED / TENURE-TRACK FACULTY										
Type of Action	ACTION	FINAL APPROVAL AUTHORITY								Notification Issued By	Guiding Policy - Source Documents	
		Dean/Dir Libraries	Dir of Athletics	Vice Chancellor	Provost	Chancellor	BOT	President	BOG **			
ESTABLISH POSITION	Tenure or Tenure-Track					X					Dean/Vice Chancellor	NC State PRR - Delegation of Authority to Appoint and to Determine Compensation for EPA Employees, REG 01.20.01, Section 2 UNC-GA Chart on EPA Personnel Appointments and Position Establishment NC State PRR - Appointment, Reappointment, Promotion and Permanent Tenure, POL 05.20.01 section 3.1
	Endowed Chair funded by the BOG Distinguished Professors Endowment Trust Fund								X		Dean/Vice Chancellor	UNC Policy Manual 100.1, section 602 (5) NC State PRR - Board of Trustees (BOT) Bylaws, Pol 01.05.01, Appendix I (V)(vi) UNC-GA Chart on EPA Personnel Appointments and Position Establishment NC State PRR - Appointment, Reappointment, Promotion and Permanent Tenure, POL 05.20.01 section 3.1
APPOINTMENT / REAPPOINTMENT	Appointment or reappointment to a tenure-track position	X									Dean	NC State PRR - Appointment, Reappointment, Promotion and Permanent Tenure, POL 05.20.01 section 3.1 NC State PRR - Delegation of Authority to Appoint and to Determine Compensation for Employees Exempt from the State Personnel Act (EPA), REG 01.20.01, section 3.3.1
	Appointment to a tenured position								Grants Tenure		Chancellor	UNC Policy Manual 100.1, section 602 (5) NC State PRR - Board of Trustees (BOT) Bylaws, Pol 01.05.01, Appendix I (V)(vi) NC State PRR - Appointment, Reappointment, Promotion and Permanent Tenure, POL 05.20.01
	Appointment of a tenured faculty member to a BOG endowed professorship of distinction								Grants Tenure	X	Chancellor	UNC Policy Manual 600.2.3, section III.3
	Appointment of a tenured faculty member to a professorship of distinction that is not endowed by the BOG	X							Grants Tenure		Dean	NC State PRR - Delegation of Authority to Appoint and to Determine Compensation for EPA Employees, REG 01.20.01, section 3.3.7 UNC Policy Manual 600.3.4, section C.2.c
NON-REAPPOINTMENT	Non-reappointment to a tenure-track faculty position				X						Provost	UNC Policy Manual 100.1, Appendix I.A NC State PRR - Delegation of Authority to Appoint and to Determine Compensation for EPA Employees, REG 01.20.01, section 6 UNC Policy Manual 100.01, section 604.A.1
CONFERRAL OF TENURE	Conferral of tenure to a faculty member								X		Chancellor	NC State PRR - Board of Trustees (BOT) Bylaws, Pol 01.05.01, Appendix I (V)(vi) UNC Policy Manual 100.1, section 602 (5) NC State PRR - Appointment, Reappointment, Promotion and Permanent Tenure, POL 05.20.01 section 3.2
PROMOTION	Promotion accompanying conferral of tenure								X		Chancellor	UNC Policy Manual 100.1, section 602 (5) UNC Policy Manual 100.1, Appendix I.A NC State PRR - Appointment, Reappointment, Promotion and Permanent Tenure, POL 05.20.01 section 3.3
	Promotion of a tenured faculty member					X					Chancellor	UNC Policy Manual 100.1, section 602 (5)
CONFERRAL OF EMERITUS STATUS	Conferral of emeritus faculty status					X					Chancellor	NC State PRR - Emeritus/Emerita Faculty Status for Faculty and Senior Administrators, POL 05.20.02 NC State Provost SOP - Granting Emeritus/Emerita Faculty Status, section 16
SALARY RANGES & SALARY ADJUSTMENTS	Recommendation for a salary increase that would <u>not</u> result in a salary that is <u>both</u> at least 15% <u>and</u> at least \$10,000 above the previous June 30 salary				***X						Provost	NC State PRR - Delegation of Authority to Appoint and to Determine Compensation for Employees Exempt from the State Personnel Act (EPA), REG 01.20.01, section 4.2
	Recommendation for a salary increase that would result in a salary that is <u>both</u> at least 15% <u>and</u> at least \$10,000 above the previous June 30 salary								***X	***X	Chancellor	NC State PRR - Board of Trustees (BOT) Bylaws, Pol 01.05.01, Appendix I (V) (a) (iii) UNC Policy Manual 200.6, Section A.1.c
	Setting the initial compensation for faculty members with permanent tenure				X						Provost	UNC Policy Manual 100.1, Appendix I.A NC State PRR - Delegation of Authority to Appoint and to Determine Compensation for EPA Employees, REG 01.20.01, Section 2 UNC Policy Manual 600.3.4, Section C.2.a
	Establish faculty salary ranges					X					Chancellor	UNC Policy Manual 600.3.4, section C.2.a NC State PRR - Delegation of Authority to Appoint and to Determine Compensation for EPA Employees, REG 01.20.01, Section 2 UNC Policy Manual 100.1, Appendix I.A
	Recommendation for a salary increase that results in exceeding faculty salary ranges					X	X				Chancellor	NC State PRR - Board of Trustees (BOT) Bylaws, Pol 01.05.01, Appendix I (V) (a) (iii) NC State PRR - Delegation of Authority to Appoint and to Determine Compensation for EPA Employees, REG 01.20.01, Section 2 UNC Policy Manual 600.3.4, Section C

* Requires Dean, Director of Libraries, Vice Chancellor, Director of Athletics, Provost or Chancellor approval depending whose authority the employment falls under.
 ** Revised 11/04/2014 by BOT prior to being submitted to BOG for final approval.

*** The BOT has delegated authority to the Provost for salary increases less than 15% and \$10,000 of the previous June 30th salary. Authority for increases greater than or equal to 15% and at least \$10,000 above the previous June 30th salary have not been delegated by the BOT/BOG, except for those approved by the President of the UNC system and funded through the Faculty Recruitment and Retention Fund.

Delegation of Authority for Actions on:		Non-Tenure Track Faculty										
Type of Action	ACTION	FINAL APPROVAL AUTHORITY								Notification Issued By	Guiding Policy - Source Documents	
		Dean/Dir Libraries	Dir of Athletics	Vice Chancellor	Provost	Chancellor	BOT	President	BOG **			
ESTABLISH POSITION	Non-Tenure Track (NTT)	X	X	X							Dean/Dir Libraries, Dir Athletics, Vice Chancellor	NC State PRR - Delegation of Authority to Appoint and to Determine Compensation for Employees Exempt from the State Personnel Act (EPA), REG 01.20.01, sections 3.2.1 and 3.3.3 UNC-GA Chart on EPA Personnel Appointments and Position Establishment NC State PRR - Non-Tenure Track Faculty Ranks and Appointments, POL 05.20.34, section 3.1 NC State PRR - Non-Tenure Track Faculty Ranks and Appointments, POL 05.20.34, section 3.1
APPOINTMENT / REAPPOINTMENT	*Appointment/Reappointment of an employee to a non-tenure track faculty appointment	X	X	X							Dean/Dir Libraries, Dir Athletics, Vice Chancellor	NC State PRR - Non-Tenure Track Faculty Ranks and Appointments, POL 05.20.34, section 3.1 NC State PRR - Delegation of Authority to Appoint and to Determine Compensation for Employees Exempt from the State Personnel Act (EPA), REG 01.20.01, sections 3.2.1 and 3.3.3
PROMOTION	Promotion of a faculty member holding a non-tenure track faculty appointment					X					Chancellor	UNC Policy Manual 100.1, section 610 NC State PRR - Non-Tenure Track Faculty Ranks and Appointments, POL 05.20.34, section 3.1 NC State PRR - Delegation of Authority to Appoint and to Determine Compensation for Employees Exempt from the State Personnel Act (EPA), REG 01.20.01, section 2.1.8
CONFERRAL OF EMERITUS STATUS	Conferral of emeritus faculty status					X					Chancellor	NC State PRR - Emeritus/Emerita Faculty Status for Faculty and Senior Administrators, POL 05.20.02 NC State Provost SOP - Granting Emeritus/Emerita Faculty Status, section 16
DISCONTINUATION OF APPOINTMENT	Discontinuation of an "at will" adjunct faculty appointment				X						Provost	NC State PRR - Delegation of Authority to Appoint and to Determine Compensation for Employees Exempt from the State Personnel Act (EPA), REG 01.20.01, section 5
SALARY RANGES & SALARY ADJUSTMENTS	Recommendation for a salary increase that would <i>not</i> result in a salary that is <i>both</i> at least 15% <i>and</i> at least \$10,000 above the previous June 30 salary	*** X	*** X	*** X							Dean/Dir Libraries, Dir Athletics, Vice Chancellor	NC State PRR - Delegations of Authority to Appoint and to Determine Compensation for Employees Exempt from the State Personnel Act (EPA), REG 01.20.01, section 4.2.2.c and 4.2.3.a
	Recommendation for a salary increase that would result in a salary that is <i>both</i> at least 15% <i>and</i> at least \$10,000 above the previous June 30 salary						*** X		*** X		Chancellor	NC State PRR - Board of Trustees (BOT) Bylaws, Pol 01.05.01, Appendix I (V) (a) (iii)
	Setting the initial compensation for non-tenure track faculty members	X									Dean/Dir Libraries	UNC Policy Manual 100.1, Appendix I.A NC State PRR - Delegation of Authority to Appoint and to Determine Compensation for EPA UNC Policy Manual 600.3.4, Section C.2.a

* Requires Dean, Director of Libraries, Vice Chancellor, Director of Athletics, Provost or Chancellor approval depending whose authority the employment falls under.

** All actions must be approved by BOT prior to being submitted to BOG for final approval.

*** The Chancellor has delegated authority to the Deans/Vice Chancellors for salary increases less than 15% and \$10,000 of the previous June 30th salary. Authority for increases greater than or equal to 15% and at least \$10,000 above the previous June 30th salary have not been delegated by the BOT/BOG, except for those approved by the President of the UNC system and funded through the Faculty Recruitment and Retention Fund.

Delegation of Authority for Actions on:		SENIOR ACADEMIC & ADMINISTRATIVE OFFICERS (SAAO)										
Type of Action	ACTION	FINAL APPROVAL AUTHORITY								Notification Issued By	Guiding Policy - Source Documents	
		Dean/Dir Libraries	Dir of Athletics	Vice Chancellor	Provost	Chancellor	BOT	President	BOG **			
ESTABLISH POSITION	Provosts, Vice Chancellors and Deans (SAAO Tier I)									X	President	UNC Policy Manual 300.1.1, section I.A UNC-GA Chart on EPA Personnel Appointments and Position Establishment
	Directors of major administration, education, research and public service activities (SAAO Tier I)											
	Assistant and associate vice chancellors, assistant and associate provosts, and assistant and associate deans, etc. (SAAO Tier II)									X		UNC Policy Manual 300.1.1, section I.B Position Criteria For Assistant And Associate Vice Provost
	Members of the Chancellor's professional staff (SAAO Tier II)									X		UNC Policy Manual 300.1.1, section I.B
	Positions responsible for administrative direction of separately designated divisions or departments commonly associated with institutions of higher education (SAAO Tier II)									X		UNC Policy Manual 300.1.1, section I.B
	Positions who primary responsibility is to attract external funds for and/or market the University (SAAO Tier II)									X		UNC Policy Manual 300.1.1, section I.B

	Other positions characterized by active, continuing involvement in formulating, interpreting, and implementing institutional policy and exercise of substantial independence of administrative authority and discretion in areas such as program planning and design and allocation of resources (SAAO Tier II)							X		President	UNC Policy Manual 300.1.1, section I.B
APPOINTMENT / REAPPOINTMENT	Appointment (but not tenure) and initial salary/promotion of a Dean (conferral of academic tenure requires BOT approval)					X				Chancellor	UNC Policy Manual 100.1, Appendix I.A
	Appointment and initial salary/promotion of a Vice Chancellor						X			Chancellor	UNC Policy Manual 600.3.4.C.2.c NC State PRR - Board of Trustees (BOT) Bylaws, Pol 01.05.01, Appendix I (III) (e) (ii) UNC Policy Manual 100.1, Appendix I.A UNC Policy Manual 600.3.4.C.1.a
	*Appointment or reappointment to an SAAO Tier II position	X	X	X	X	X				Dean/Dir Libraries, Vice Chancellor, Provost or Chancellor	UNC Policy Manual 300.1.1, section 3A UNC Policy Manual 300.1.1.1[R]
DISCONTINUATION OF APPOINTMENT	Discontinuation of an "at will" EPA non-faculty appointment, including SAAO				X					Provost	UNC Policy Manual 300.2.1, Section 3.A
CONFERRAL of EMERITUS STATUS	Conferral of emeritus status to an individual with an SAAO Tier I or Tier II appointment at retirement					X Tier II	X Tier I			Chancellor	NC State PRR - Emeritus/Emerita Faculty Status for Faculty and Senior Administrators , POL 05.20.02, Section 3.II
SALARY RANGES & SALARY ADJUSTMENTS	Recommendation for a salary increase that would not result in a salary that is both at least 15% and at least \$10,000 above the previous June 30 salary	X Tier II	X Tier II	X Tier II	X Tier II	X Tier II	X Tier I			Dean/Dir Libraries, Dir Athletics, Vice Chancellor, Provost or Chancellor	NC State PRR - Delegations of Authority to Appoint and to Determine Compensation for Employees Exempt from the State Personnel Act (EPA), REG 01.20.01, sections 4.2.1.c and 4.2.2.a and 4.2.3.c
	Recommendation for a salary increase that would result in a salary that is both at least 15% and at least \$10,000 above the previous June 30 salary						X		X	Chancellor	NC State PRR - Board of Trustees (BOT) Bylaws, Pol 01.05.01, Appendix I (V) (a) (iii)
	Establishment of SAAO salary ranges						X			Chancellor	UNC Policy Manual 100.1, Appendix I.A.1 NC State PRR - Board of Trustees (BOT) Bylaws, Pol 01.05.01, Appendix I (V) (a) (ii) UNC Policy Manual 200.6, Section A.1.c UNC Policy Manual 600.3.4.C.2.c
	Recommendation for a salary increase that results in exceeding SAAO Tier I salary ranges								X	Chancellor	UNC Policy Manual 600.3.4, Section B
	Recommendation for a salary increase that results in exceeding SAAO Tier II salary ranges							X		Chancellor	UNC Policy Manual 100.1, Appendix I.A.1 NC State PRR - Board of Trustees (BOT) Bylaws, Pol 01.05.01, Appendix I (V) (a) (iii) NC State PRR - Delegation of Authority to Appoint and to Determine Compensation for EPA Employees, REG 01.20.01, Section 2 UNC Policy Manual 600.3.4, Section C

* Requires Dean, Director of Libraries, Vice Chancellor, Director of Athletics, Provost or Chancellor approval depending **whose authority** the employment falls under.

** All actions must be approved by BOT prior to being submitted to BOG for final approval.

*** The BOT has delegated authority to the Provost/Vice Chancellor for salary increases less than 15% and \$10,000 of the previous June 30th salary. Authority for increases greater than or equal to 15% and at least \$10,000 above the previous June 30th salary have not been delegated by the BOT/BOG.

Delegation of Authority for Actions on: Academic Department Heads and EPA Professional (Non-Faculty)

Type of Action	ACTION	FINAL APPROVAL AUTHORITY								Notification Issued By	Guiding Policy - Source Documents
		Dean/Dir Libraries	Dir of Athletics	Vice Chancellor	Provost	Chancellor	BOT	President	BOG **		
ESTABLISH POSITION	Instructional, Research, and Public Service (IRPS) EPA Professional (non-faculty)	X	X	X	X	X				Provost, Vice Chancellors, Deans, Dir Libraries, Dir Athletics or Chancellor	NC State PRR - Delegation of Authority, REG 01.20.01, section 3 UNC-GA Chart on EPA Personnel Appointments and Position Establishment NC State PRR - Delegation of Authority, REG 01.20.01, section 3
	Physicians, Dentists, and Veterinarians	X	X	X	X	X				Provost, Vice Chancellors, Deans, Dir Libraries, Dir Athletics or Chancellor	Approval not required for physician, dentist, and veterinarians requiring professional licensure, as established by the UNC-OSHR agreement dated 8/22/1997. UNC-GA Chart on EPA Personnel Appointments and Position Establishment

APPOINTMENT / CONTRACT EXTENSION	Appointment as an academic department head	X							Dean	NC State PRR - Delegation of Authority, REG 01.20.01, section 2.1.4
	Appointment or contract extension as director of athletics						X		Chancellor	UNC Policy Manual 1100.3 NC State PRR - Board of Trustees (BOT) Bylaws, Pol 01.05.01, Appendix I (V) (a) (x)
	Appointment or contract extension of a head coach						X		Chancellor	UNC Policy Manual 1100.3 NC State PRR - Board of Trustees (BOT) Bylaws, Pol 01.05.01, Appendix I (V) (a) (x)
	Appointment as an EPA Professional (non-faculty)	X	X	X	X	X			Provost, Vice Chancellors, Deans, Dir Libraries, Dir Athletics or Chancellor	NC State PRR - Delegation of Authority, REG 01.20.01, Section 3
PROMOTION	Promotion of an EPA Professional (non-faculty)	X	X	X	X	X			Provost, Vice Chancellors, Deans, Dir Libraries, Dir Athletics or Chancellor	NC State PRR - Delegation of Authority, REG 01.20.01, Section 3
DISCONTINUATION	Discontinuation of an "at will" EPA Professional (non-faculty) appointment					X			Provost	UNC Policy Manual 300.2.1, Section 3.A NC State PRR - Delegations of Authority to Appoint and to Determine Compensation for Employees Exempt from the State Personnel Act (EPA), REG 01.20.01, Section 5
SALARY RANGES & SALARY ADJUSTMENTS	Recommendation for a salary increase that would <u>not</u> result in a salary that is <u>both</u> at least 15% <u>and</u> at least \$10,000 above the previous June 30 salary*	X	X	X	X	X			Provost, Vice Chancellors, Deans, Dir Libraries, Dir Athletics or Chancellor	NC State PRR - Delegations of Authority to Appoint and to Determine Compensation for Employees Exempt from the State Personnel Act (EPA), REG 01.20.01, sections 4.2.1.d and 4.2.2.b and 4.2.3.b
	Recommendation for a salary increase that would result in a salary that is <u>both</u> at least 15% <u>and</u> at least \$10,000 above the previous June 30 salary						X	X	Chancellor	NC State PRR - Board of Trustees (BOT) Bylaws, Pol 01.05.01, Appendix I (V) (a) (iii)
	Setting the initial compensation for EPA Professional (non-faculty)	X	X	X	X	X			Provost, Vice Chancellors, Deans, Dir Libraries, Dir Athletics or Chancellor	UNC Policy Manual 100.1, Appendix I.A NC State PRR - Delegation of Authority to Appoint and to Determine Compensation for EPA Employees, REG 01.20.01, Section 2 UNC Policy Manual 600.3.4

* Requires Dean, Director of Libraries, Vice Chancellor, Director of Athletics, Provost or Chancellor approval depending **whose authority** the employment falls under.

** All actions must be approved by BOT prior to being submitted to BOG for final approval.

*** The BOT has delegated authority to the Provost/Vice Chancellor for salary increases less than 15% and \$10,000 of the previous June 30th salary. Authority for increases greater than or equal to 15% and at least \$10,000 above the previous June 30th salary have not been delegated by the BOT/BOG. [The Delegation of Authority Regulation can be found at: http://www.ncsu.edu/policies/governance_admin/delegation_authority/REG01.20.1.php](http://www.ncsu.edu/policies/governance_admin/delegation_authority/REG01.20.1.php)