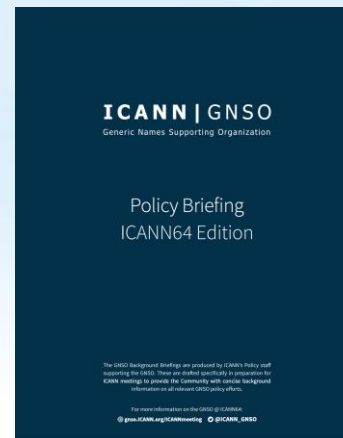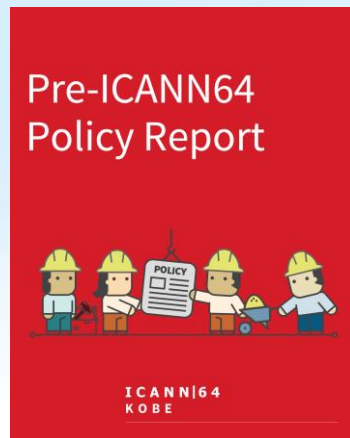# PRE-ICANN64 POLICY WEBINAR
## 28 FEBRUARY 2019

# Welcome and Introduction

**David Olive**

Senior Vice President, Policy Development Support

- **ICANN64 Prep Week** | A pilot to consolidate all pre-ICANN Public Meeting webinars into one week
- **Preparatory Materials** | The Pre-ICANN64 Policy Report and Generic Names Supporting Organization Policy Briefing: ICANN64 Edition

Pre-ICANN64
Policy Report

ICANN|64
KOBE

ICANN | GNSO
Generic Names Supporting Organization

Policy Briefing
ICANN64 Edition

The GNSO Background Briefings are produced by ICANN's Policy staff supporting the GNSO. These are drafted specifically in preparation for ICANN meetings to provide the Community with concise background information on all relevant GNSO policy efforts.

For more information on the GNSO @ICANN64:
gnso.ICANN.org/ICANNmeeting    @ICANN_GNSO

# Cross-Community and High Interest Sessions at ICANN64

- ICANN Strategic Planning

- Technical Study Group on Access to Non-Public Registration Data

- Next Steps in ICANN's Response to the General Data Protection Regulation (GDPR)

- Universal Acceptance / Internationalized Domain Names (IDNs)

- ICANN Board Session on Governance

- Q&A with ICANN org Executive Team

# Multistakeholder Policy Development

## Multistakeholder Policy Development
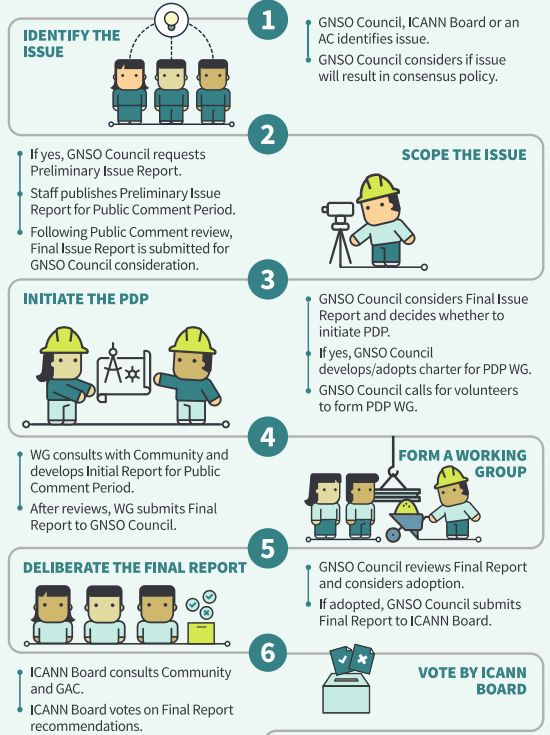
The ICANN Policy Development Support Team facilitates bottom-up, consensus-driven processes for global gTLD, ccTLD and IP address policies.

**ICANN**

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### Generic Names Supporting Organization (GNSO)

GNSO Council is composed of 21 members — divided into 2 houses (contracted and non-contracted parties) — who work on generic top-level domain name (gTLD) policies (e.g., .com, new gTLDs).

#### GNSO Policy Development Process

**1 IDENTIFY THE ISSUE**
- GNSO Council, ICANN Board or an AC identifies issue.
- GNSO Council considers if issue will result in consensus policy.

**2 SCOPE THE ISSUE**
- If yes, GNSO Council requests Preliminary Issue Report.
- Staff publishes Preliminary Issue Report for Public Comment Period.
- Following Public Comment review, Final Issue Report is submitted for GNSO Council consideration.

**3 INITIATE THE PDP**
- GNSO Council considers Final Issue Report and decides whether to initiate PDP.
- If yes, GNSO Council develops/adopts charter for PDP WG.
- GNSO Council calls for volunteers to form PDP WG.

**4 FORM A WORKING GROUP**
- WG consults with Community and develops Initial Report for Public Comment Period.
- After reviews, WG submits Final Report to GNSO Council.

**5 DELIBERATE THE FINAL REPORT**
- GNSO Council reviews Final Report and considers adoption.
- If adopted, GNSO Council submits Final Report to ICANN Board.

**6 VOTE BY ICANN BOARD**
- ICANN Board consults Community and GAC.
- ICANN Board votes on Final Report recommendations.

Learn more about the GNSO
**gnso.icann.org**

**ICANN | GNSO**
Generic Names Supporting Organization

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### Country Code Names Supporting Organization (ccNSO)

The ccNSO (Council and members) works on global policies relating to country code top-level domain name (ccTLD) policies (e.g., .br, .uk).

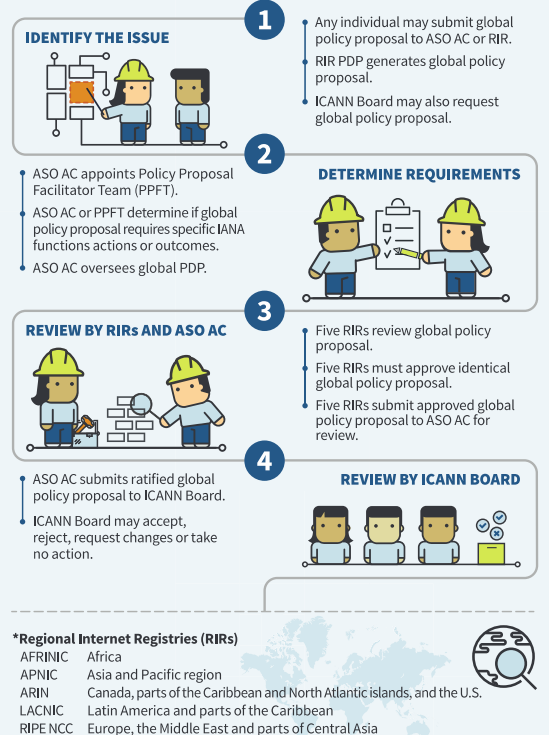#### ccNSO Policy Development Process

**1 REQUEST AN ISSUE REPORT**
- ccNSO Council, ICANN Board, Regional ccTLD organizations, SO/AC or at least 10 members of ccNSO may request Issue Report.
- ccNSO Council appoints issue manager.

**2 SCOPE THE ISSUE**
- Issue manager determines if issue is within the scope of ccNSO.
- ICANN Bylaws define and limit the scope of issues.

**3 INITIATE THE PDP**
- If issue is in scope and ccNSO Council approves Issue Report, PDP begins.
- ccNSO Council gives Public Notice and opens Public Comment Period.

**4 DEVELOP POLICY**
- ccNSO Council appoints WG to develop the policy.
- WG issues Initial Report.
- WG opens Public Comment Period.
- WG produces Final Report.
- ccNSO Council requests GAC input.

**5 VOTE BY ccNSO (TWO STAGES)**
- Stage 1. ccNSO Council deliberates Final Report and if adopted, makes recommendation to its members.
- Stage 2. If members approve, ccNSO Council submits Final Report to ICANN Board.

**6 VOTE BY ICANN BOARD**
- ICANN Board votes on Final Report recommendations, but national laws remain paramount.

Learn more about the ccNSO
**ccnso.icann.org**

**ICANN | ccNSO**
Country Code Names Supporting Organization

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### Address Supporting Organization (ASO)

ASO Address Council (AC) is composed of 15 volunteers — 3 from each of the Regional Internet Registries (RIRs)* — who work on global Internet Protocol (IP) Address Policy.

#### ASO Global Policy Development Process

**1 IDENTIFY THE ISSUE**
- Any individual may submit global policy proposal to ASO AC or RIR.
- RIR PDP generates global policy proposal.
- ICANN Board may also request global policy proposal.

**2 DETERMINE REQUIREMENTS**
- ASO AC appoints Policy Proposal Facilitator Team (PPFT).
- ASO AC or PPFT determine if global policy proposal requires specific IANA functions actions or outcomes.
- ASO AC oversees global PDP.

**3 REVIEW BY RIRs AND ASO AC**
- Five RIRs review global policy proposal.
- Five RIRs must approve identical global policy proposal.
- Five RIRs submit approved global policy proposal to ASO AC for review.

**4 REVIEW BY ICANN BOARD**
- ASO AC submits ratified global policy proposal to ICANN Board.
- ICANN Board may accept, reject, request changes or take no action.

**\*Regional Internet Registries (RIRs)**

| | |
|---|---|
| AFRINIC | Africa |
| APNIC | Asia and Pacific region |
| ARIN | Canada, parts of the Caribbean and North Atlantic islands, and the U.S. |
| LACNIC | Latin America and parts of the Caribbean |
| RIPE NCC | Europe, the Middle East and parts of Central Asia |

Learn more about the ASO
**aso.icann.org**

**ICANN | ASO**
Address Supporting Organization

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**GAC** Governmental Advisory Committee | **PDP** Policy Development Process | **SO/AC** Supporting Organizations/Advisory Committees | **WG** Working Group

# Multistakeholder Advice Development



Multistakeholder Advice Development

The Policy Development Support Department facilitates bottom-up, consensus-driven processes for advice on global gTLD, ccTLD, and IP address policies.

**At-Large Advisory Committee (ALAC)**

ALAC voices interests of Internet end users and advises ICANN Board on policies within ICANN's mission and scope.

ALAC Advice Development Process

1. **IDENTIFY THE ISSUE** — Any community member can bring up emerging issue to ALAC.

2. **SCOPE THE ISSUE** — ALAC discusses its relevance to end users and decides if it needs to respond. ALAC determines if issue is in scope.

3. **DEVELOP ADVICE** — ALAC appoints At-Large community member to lead small team to write draft statement and begin consultation. Anyone may contribute to draft statement.

4. **RATIFY ADVICE** — ALAC reviews and calls for consensus or votes on final statement. If ratified, ALAC sends advice to ICANN Board, ICANN org, SOs/ACs, or other ICANN community members for consideration.

Learn more about the ALAC
alac.icann.org

**Governmental Advisory Committee (GAC)**

GAC advises ICANN Board on public policy issues, particularly in areas where ICANN policies intersect with national laws and international agreements.

GAC Advice Development Process

1. **IDENTIFY THE ISSUE** — Any GAC member or observer may raise issues for discussion. ICANN Board may also seek GAC advice.

2. **COLLECT MEMBER VIEWS** — GAC chair solicits input from GAC members, observers, or working groups.

3. **ACHIEVE CONSENSUS** — GAC chair summarizes comments with aim of reaching GAC consensus.

4. **DRAFT ADVICE** — GAC members, observers, or working groups contribute to draft advice. GAC reviews advice.

5. **ISSUE ADVICE** — If consensus is reached, GAC chair issues communiqué or other formal written statement to ICANN Board.

Learn more about the GAC
gac.icann.org

ICANN | GAC
Governmental Advisory Committee

**Root Server System Advisory Committee (RSSAC)**

RSSAC advises ICANN Board and community on matters relating to the operation, administration, security, and integrity of the Internet's Root Server System.

RSSAC Advice Development Process

1. **IDENTIFY THE ISSUE** — Any RSSAC or RSSAC Caucus member may propose a work item, including requests from ICANN Board, then submits statement of work for RSSAC to consider.

2. **SCOPE THE ISSUE** — RSSAC reviews statement of work. If approved, RSSAC creates a work party of either RSSAC or RSSAC Caucus members.

3. **DEVELOP ADVICE** — Work party writes draft document, which its leader sends for review until no further comments are received. If RSSAC Caucus has not been involved, RSSAC work party leader may send draft document for its review.

4. **RATIFY ADVICE** — Work party submits draft document to RSSAC. RSSAC votes on draft document.

5. **PREVIEW RECOMMENDATIONS** — RSSAC may share content with those it might affect. RSSAC may also post approved document for Public Comment.

6. **PUBLISH ADVICE** — RSSAC publishes approved document. RSSAC tracks and reviews effects of its recommendations.

Learn more about the RSSAC
rssac.icann.org

ICANN | RSSAC
Root Server System Advisory Committee

**Security and Stability Advisory Committee (SSAC)**

SSAC advises ICANN Board and community on issues relating to the security and integrity of the Internet's naming and address allocation systems.

SSAC Advice Development Process

1. **IDENTIFY THE ISSUE** — SSAC members, ICANN Board, SOs/ACs, or ICANN org may request SSAC to review issue.

2. **STUDY THE ISSUE** — If SSAC agrees to review issue, work party begins draft document. Work party may write concept paper with research, but no recommendations.

3. **PRELIMINARY REVIEW** — Work party may share draft document with those it might affect. Work party sends revisions to SSAC. SSAC may post draft document for Public Comment.

4. **PREVIEW RECOMMENDATIONS** — SSAC reaches consensus on final document. SSAC chair may share final document with relevant parties in ICANN community or ICANN legal.

5. **PUBLISH ADVICE** — SSAC publishes approved document. SSAC tracks and reviews effects of its recommendations.
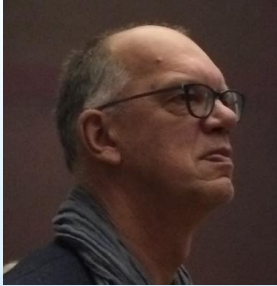
Learn more about the SSAC
ssac.icann.org

ICANN | SSAC
Security and Stability Advisory Committee

**SO/AC** Supporting Organizations/Advisory Committees

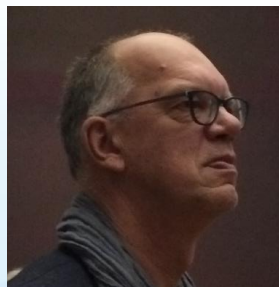# Supporting Organization (SO) Updates

# Country Code Names Supporting Organization (ccNSO)

**Bart Boswinkel**

Vice President, Policy Development and ccNSO Relations

- Policy Development Process (PDP) on the Retirement of Country Code Top Level Domains (ccTLDs)
- Internationalized Domain Name (IDN) Policy Review

- Progress made by the ccNSO Study Group on the use of emoji as second level domains

- Customer Standing Committee (CSC) Effectiveness Review

- ccTLD Technical & Operational cooperation: TLD-OPS & Tech Day
- ccNSO Members Meeting

# Country Code Names Supporting Organization (ccNSO)

## Bart Boswinkel

Vice President, Policy Development and ccNSO Relations

**Policy Development Process (PDP) on the Retirement of ccTLDs**

- part 1: retirement of ccTLDs;
- part 2: develop and recommend the review mechanisms for decisions relating to the delegation, transfer, revocation, and retirement of ccTLDs.

### ✔ Completed

- Analysis retirement cases to date
- Glossary
- Consensus on basic duration
- Consensus on basic process

### �� Under discussion

- Removal ccTLDs – exceptionally reserved list ISO3166 & IDN ccTLDs
- Oversight process & decision making

# Generic Names Supporting Organization (GNSO)

**Marika Konings**

Vice President, Policy Development for the GNSO

# Generic Names Supporting Organization (GNSO)

ICANN64 Highlights

**Significant time for PDP F2F WG meetings:**
- EPDP Phase 2 Planning
- New gTLDs Subsequent Procedures
- Review of Rights Protection Mechanisms in all gTLDs

**Bilateral meetings** with the ICANN Board, ccNSO, Governmental Advisory Committee and Nominating Committee

**GNSO Sunday Sessions:**
- PDP 3.0
- Finance Update
- EPDP Phase 2 discussion
- GDD Update
- RPM Update
- SubPro Update

**GNSO Stakeholder Group and Constituency Meetings**

**GNSO Council Meeting:**
- PDP 3.0
- GNSO Chair Election Timeline
- WHOIS Procedure Implementation Advisory Group

**GNSO Wrap-up Session**

# Expedited Policy Development Process on the Temporary Specification for gTLD Registration Data

ICANN

# What is the mission and scope?

Initiated by GNSO, triggered by ICANN Board's adoption of Temp Spec

To confirm, or not, the Temp Spec as Consensus Policy by 25 May 2019
Develop Policy Recs and answer 52 Charter Questions

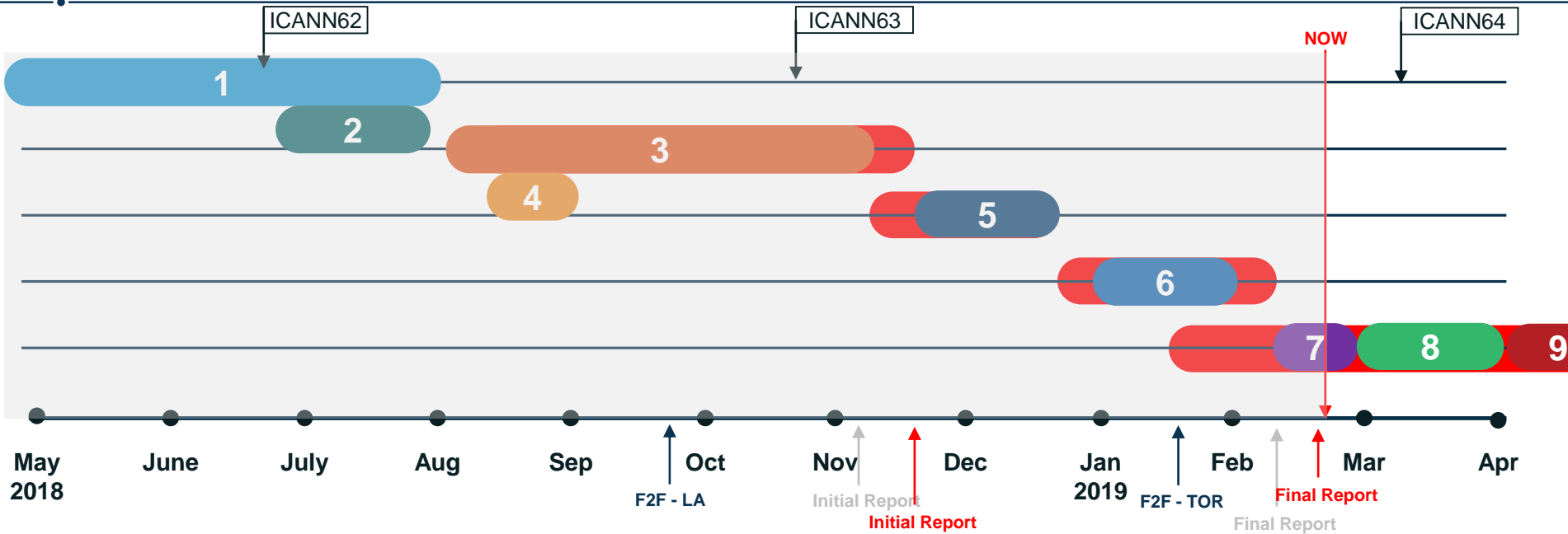Discuss a standardized access model to nonpublic registration data
- Only after the "gating questions" specified in the EPDP Team's Charter are addressed

Only covers topics in the Temp Spec

# EPDP Timeline

**1** Prep work, incl. EPDP Initiation Request & Charter adoption

**2** Formation of EPDP Team

**3** EPDP Team Deliberation & Publication of Initial Report[1]

**4** Input from SO/ACs & SG/Cs

**5** Public Comment on Initial Report

**6** Review of Public Comment & Submission of Final Report

**7** Council consideration of Final Report

**8** Public Comment prior to Board consideration

**9** Board consideration

**00** Days to Final Report

**91** Days to Temp Spec Expiration

(1)  Access Model deliberations will begin after gating questions have been completed & the GNSO Council has no objection with the next phase proceeding. A new timeline will be created for that effort.

# High-Level Overview of Final Report
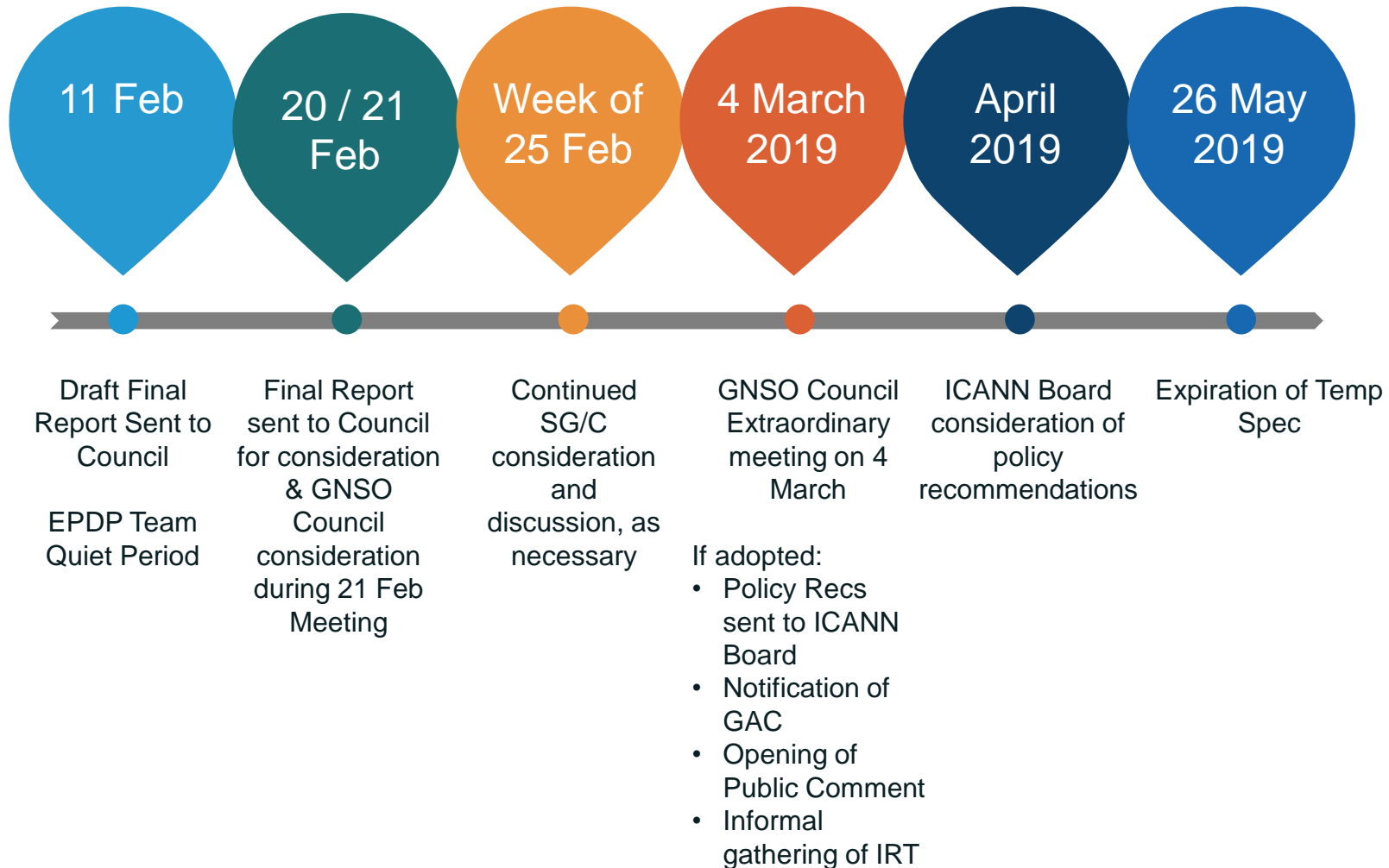
Final Report contains 29 Policy Recommendations:

⦿ Purposes for Processing Data (Rec #1)

⦿ Details on the collection and transfer of specifically identified data elements (Rec #5, 7, 8, 9)

⦿ Display and redaction of specifically identified data elements (Rec #10-12)

⦿ Refer to the treatment of current ICANN Consensus Policies (Rec #21 – 25, 27)

⦿ A proposed implementation bridge (Rec #28)

⦿ Items to be further discussed during Phase 2 of the EPDP Team's work (Rec #2, 3, 15, 17, 18)

All of the recommendations received full consensus / consensus support of the EPDP Team, apart from 2 recommendations (#2 – additional purposes and #16 – geographic basis)

# Recommendations

| | |
|---|---|
| 1. Purposes | 2. Additional Purposes |
| 3. Commitment to consider a system for Standardized Access to non-public Registration Data | 4. Requirements related to accuracy |
| 5. Data elements to be collected by Registrars | 6. Consent to publish additional contact information |
| 7. Data elements to be transferred from Registrars to Registries | 8. Escrow Providers |
| 9. Compliance | 10. Data Redaction |
| 11. City Field Redaction | 12. Organization Field |
| 13. Email Communication | 14. Privacy/Proxy Registrations |
| 15. Data Retention | 16. Geographic Application |
| 17. Legal vs. Natural | 18. Reasonable Access |
| 19. Controller | 20. Responsible Parties |
| 21. URS/ UDRP | 22. Instructions for RPM PDP WG |
| 23. Data protection arrangements with dispute resolution providers | 24. Transfer Policy |
| 25. Input to Transfer Policy Review | 26. Data protection arrangements with non- Contracted Party entities |
| 27. Impact on other policies | 28. Implementation Bridge |
| 29. Admin Contact transition | |

# Recent Developments & Next Steps

**11 Feb**

Draft Final Report Sent to Council

EPDP Team Quiet Period

**20 / 21 Feb**

Final Report sent to Council for consideration & GNSO Council consideration during 21 Feb Meeting

**Week of 25 Feb**

Continued SG/C consideration and discussion, as necessary

**4 March 2019**

GNSO Council Extraordinary meeting on 4 March

If adopted:
- Policy Recs sent to ICANN Board
- Notification of GAC
- Opening of Public Comment
- Informal gathering of IRT

**April 2019**

ICANN Board consideration of policy recommendations

**26 May 2019**

Expiration of Temp Spec

# Address Supporting Organization (ASO)

**Carlos Reyes**

Strategic Policy Planning Director

- Address Supporting Organization (ASO) Organizational Review Update
  - Changes to Address Council operational procedures and coordination as necessary
  - Ongoing community discussions about the future structure of the ASO

- Regional Internet Registry Meetings and Policy Development
  - APNIC47 taking place this week in Daejeon, South Korea
  - Five proposals considered, including modifications to encourage more participation in the regional policy development process

# Address Supporting Organization (ASO)

**Carlos Reyes**

Strategic Policy Planning Director

- ICANN Board Seat 10 Selection Process
  - o Two candidates, interview and deliberation phases underway.

- ICANN64 Schedule (all times local)
  - o ASO Address Council Annual Meeting
    Monday, 11 March, 10:30-12:00 and 15:15-16:45
  - o ASO Session
    Wednesday, 13 March, 13:30-15:00

# Advisory Committee (AC) Updates

# At-Large Advisory Committee (ALAC) and At-Large Community



**Heidi Ullrich**

Vice President, Policy Development and At-Large Relations

- **Key At-Large Activities at ICANN64**
  - ALAC/At-Large will hold 23 sessions with a focus on policy issues including privacy/policy, universal acceptance and subsequent procedures.
  - Updates on:
    - At-Large Review Implementation
    - Third At-Large Summit (ATLAS III)
  - Leadership Skills Development Session
  - Sessions on Capacity Building and Outreach and Engagement
  - Additional sessions include meetings with:
    - The Board, GAC and NPOC
    - Leadership of the ccNSO, GNSO, and SSAC
    - The NCSG in a joint outreach and engagement session.

# At-Large Advisory Committee (ALAC) and At-Large Community

**Evin Erdoğdu**

Policy Development Senior Coordinator

- **Policy Issues**
  - Balancing Privacy and Security/Stability

  - Universal Acceptance: Challenges and Possible At-Large Opportunities

  - Subsequent Procedures: What sort of applications do we want and how do we get them?

# At-Large Advisory Committee (ALAC) and At-Large Community

**Silvia Vivanco**

At-Large Regional Affairs Senior Manager

- **RALO Activities including:**
  - Cross-RALO Activities
  - AFRALO/AfrICANN Session
  - APRALO Session and Joint APAC/APRALO Networking Session
  - At-Large RALOs and Regional Partners Outreach and Engagement Planning Meeting
  - At-Large/APRALO booth

# Governmental Advisory Committee (GAC)



## Robert Hoggarth

Vice President, Policy Development and GAC Relations

# Governmental Advisory Committee (GAC)

# GAC Snapshot - Kobe

## Issues:

### *Substantive*

- WHOIS and Data Protection matters (GNSO-EPDP, UAM)
- New gTLD Policies:
  - 2 Character Concerns
  - IGO curative rights protections
  - Geographic Names (Subsequent new gTLD procedures – WT5)
- Auction Proceeds

### *Operational*

- New Operating Principles Working Group
- Communications Improvements
- Expanded Liaison Function Coordination

## Demographics:

178 Members**
37 Observers
~25% Meeting Attendance* (participants)
~40% Meeting Attendance* (members)
~28% annualized change rate (participants)
20 "First Timers" in Kobe

## GAC Kobe Meeting:

17 Blocks 30 sessions/ 32 hours/ 5 days

SO-AC Connections

Communiqué

## HLGM Follow-Up:

- Fourth HLGM
- 124 delegations
- Chair Report
- Thinking About 2020/2021 Mtg

## Support Matters:

- Independent Secretariat support "transition"
- Lowering Barriers to Community Participation (ALAC & GAC follow-up)
- Onboarding Implementation (First timers and newcomers; workshop assessment)

ICANN 64 COMMUNITY FORUM
KOBE
9–14 March 2019

ICANN

# Root Server System Advisory Committee (RSSAC)

**Andrew McConachie**

Technical & Policy Manager

- Proposed Governance Model for the Root Server System
  - o Awaiting response to RSSAC037 and RSSAC038 from ICANN Board and ready to support next steps

- RSSAC Work Parties
  - o Root Server System Metrics
  - o Modern Resolver Behavior
  - o Service Coverage of the Root Server System

# Root Server System Advisory Committee (RSSAC)

**Andrew McConachie**

Technical & Policy Manager

- RSSAC Organizational Review Update
    - ICANN Board Organizational Effectiveness Committee considering Feasibility Assessment and Initial Implementation Plan
    - Planning for implementation of an annual work plan and new onboarding resources for RSSAC and RSSAC Caucus members

- ICANN64 Schedule (all times local)
    - RSSAC Information Session
      Tuesday, 12 March, 09:00-10:15
    - RSSAC Meeting
      Wednesday, 13 March, 15:15-16:45

# Security and Stability Advisory Committee (SSAC)

## Steve Sheng

Senior Director, Policy Development Support and Team Lead in Support of RSSAC and SSAC

- SSAC Publications Since ICANN63:
  - SAC101v2: SSAC Advisory Regarding Access to Domain Name Registration Data version 2
  - SAC103: SSAC Response to the new gTLD Subsequent Procedures Policy Development Process Working Group Initial Report
  - SAC104: SSAC Comment on Initial Report of the Temporary Specification for gTLD Registration Data Expedited Policy Development Process

- Current SSAC Activities
  - Name Collision Aanalysis Project (NCAP)
  - SSAC Organizational Review

# Q & A

## Questions and Answers

Adobe Connect room microphones have now been enabled. To activate your microphone, please click on the microphone icon at the top of the toolbar and follow the instructions.

Please remember to MUTE your microphone when not speaking.

# Questions received through the registration form

- How to ensure that community/public comments and recommendations are taken into account during PDP and other issues?

- How can I contribute to ICANN from LAC as a Chair of the policy development process in LACNIC?

# Engage with ICANN Policy Development Support

**Thank You!**

Contact us at **policy-staff@icann.org**
Follow us on Twitter:

@ICANN                    @ccNSO

@ICANN_GNSO            @ICANNAtLarge

Recordings & slides from this webinar will be posted on the Policy Presentations Space on the ICANN website – see link in Adobe Connect Chat Pod and below:

https://www.icann.org/resources/pages/presentations-2012-08-27-en