




# NIH Data Management and Sharing Policy

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Pre-Award Office

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The background features several faint, light green silhouettes of various plants and flowers, including clusters of leaves and small berries, arranged in a grid-like pattern.

# Talk Outline

- DMS Policy - Details
- Budget, Justification, and Submission
- The DMS Plan – Elements 1 to 6

# Useful Overview Page:

<https://sharing.nih.gov/data-management-and-sharing-policy/planning-and-budgeting-for-data-management-and-sharing/writing-a-data-management-and-sharing-plan#after>



The screenshot shows a website interface with two navigation tabs at the top. The left tab is light gray and labeled "Applications for Receipt Dates BEFORE Jan 25 2023". The right tab is dark blue and labeled "Applications for Receipt Dates ON/AFTER Jan 25 2023". Below the tabs, the main content area has a heading "Applications for Receipt Dates ON/AFTER Jan 25 2023". Underneath this heading is a section titled "ON THIS PAGE:" followed by a list of eight links, each preceded by a blue circular icon with a white arrow pointing to the right.

Applications for Receipt Dates BEFORE Jan 25 2023

Applications for Receipt Dates ON/AFTER Jan 25 2023

**Applications for Receipt Dates ON/AFTER Jan 25 2023**

**ON THIS PAGE:**

- [Writing a Data Management and Sharing Plan](#)
- [Submitting Data Management and Sharing Plans](#)
- [Data Management and Sharing Plan Format](#)
- [Elements to Include in a Data Management and Sharing Plan](#)
- [Sample Plans](#)
- [Assessment of Data Management and Sharing Plans](#)
- [Revising Data Management and Sharing Plans](#)
- [Additional Considerations](#)

# From the NIH Grants Conference (Feb 1-2, 2023) – The NIH Policy for Data Management and Sharing (DMS) in Effect: Planning for Success

## Goals of Data Sharing

- **Advance rigorous and reproducible research**

- Enable validation of research results
- Make high-value datasets accessible
- Accelerate future research directions
- Increase opportunities for citation and collaboration



- **Promote public trust in research**

- Foster transparency and accountability
- Demonstrate stewardship over taxpayer funds
- Maximize research participants' contributions
- Support appropriate protections of research participants' data



# From the NIH Grants Conference (Feb 1-2, 2023) – The NIH Policy for Data Management and Sharing (DMS) in Effect: Planning for Success

## Policy Details

- **Requirements (effective January 25, 2023):**

1. **Submission** of Data Management & Sharing Plan
2. **Compliance** with ICO-approved Plan (may affect future funding)

- **Scope:** All NIH-supported research generating scientific data

*Whatever is used to make tables or figures that can be **validated and replicated***

- **What's in:** “Recorded factual material... of sufficient quality to validate and replicate research findings, regardless of whether the data are used to support scholarly publications”
- **What's out:** Lab notebooks, preliminary analyses, peer reviews, physical objects

- **Timelines:**

- **When to share data:** No later than publication or end of award (for unpublished data), whichever comes first
- **How long to share data:** Consider other relevant requirements and expectations (e.g., journal policies, repository policies)



"Which brings us to my next point."

# More on Submission and Compliance

- **Submission:** If a DMS Plan is required for that activity code and not included in the submission, 'it will likely be **returned without review**' (eRA validation)
- **Compliance** will be monitored by Program Officers during the *annual progress report* reviews (RPPR); **noncompliance** may result in (1) termination of award, (2) addition of special Terms and Conditions of Award and (3) factored into future funding decisions

# Is your proposed research subject to new DMS policy?

✓ All NIH research that results in the generation of **scientific data**

## Subject to DMS Policy (Examples)

- Research Projects (Rs)
- Some Career Development Awards (Ks)
- Small Business SBIR/STTR
- Research Centers (Ps)
- Cooperative Agreements (Us)

## Not Subject to DMS Policy

- Training (Ts)
- Fellowships (Fs)
- Some conference grants (R13)
- Construction
- Resource Centers (Gs)
- Research-Related Infrastructure Programs (e.g., S06, S10)

# NIH Activity Codes subject to DMS Policy

<https://sharing.nih.gov/sites/default/files/flmngr/List-of-Activity-Codes-Applicable-to-DMS-Policy.pdf>

*This is a **partial screenshot** of page 1 of the **List of Activity Codes pdf***

*There are **19 pages** in this document, listing Program Codes from **D to U***

NIH Data Management and Sharing Policy: Applicable Activity Codes

Revised on December 16, 2022

A comprehensive listing of all NIH activity codes that generally require applicants to submit a Data Management and Sharing Plan.

Program Code	Activity Code	Category	Title	Description	DMS Plan Requirement
D	DP1	Institutional Training and Director Program Projects	NIH Director's Pioneer Award (NDPA)	To support individuals who have the potential to make extraordinary contributions to medical research. The NIH Director's Pioneer Award is not renewable.	Y
D	DP2	Institutional Training and Director Program Projects	NIH Director's New Innovator Awards	To support highly innovative research projects by new investigators in all areas of biomedical and behavioral research.	Y
D	DP3	Institutional Training and Director Program Projects	Type 1 Diabetes Targeted Research Award	To support research tackling major challenges in type 1 diabetes and promoting new approaches to these challenges by scientific teams.	Y
D	DP4	Institutional Training and Director Program Projects	NIH Director's Pathfinder Award - Multi-Yr Funding	To support multi-year funded research with unique, high impact ideas for addressing biomedical research including assuring a balanced and effective workforce. This research grant program will encourage exceptionally creative scientists to develop potentially transforming approaches for supported research. The proposed research must reflect ideas that are substantially different from those already being pursued or they must apply existing research designs in new and innovative ways. This is a multi-year, funded companion activity code to the existing Pioneer Award (DP1); thus ICs need OER prior approval to use the DP4.	Y
D	DP5	Institutional Training and Director Program Projects	Early Independence Award	To support the independent research project of a recent doctoral degree recipient. This research grant program will encourage exceptionally creative scientists to bypass the typical post-doc research training period in order to move rapidly to research independence. It will encourage institutions to develop independent career tracks for recent graduates in order to demonstrate the benefits of early transition to independence both in terms of career productivity for the candidate and research capability for the institution.	Y
E	E11	Health Professions Programs	Grants for Public Health Special Projects	To provide grants to public or nonprofit organizations for planning, development, demonstration, research, training, public information projects for preventive medicine, health promotion and disease prevention to improve the health of targeted populations.	Y
K	K00	Research Career Programs	Post-doctoral Transition Award	To support the second phase of a Pre-Doctoral to Post-Doctoral Transition award program that provides 3-4 years of career support. Note: The K00 Post-doctoral Transition Award is anticipated to only be used in conjunction with the F99 Pre-Doctoral Award.	Y
K	K01	Research Career Programs	Research Scientist Development Award - Research & Training	For support of a scientist, committed to research, in need of both advanced research training and additional experience.	Y



# Policy for No Cost Extensions & Competitive Renewals

- No cost extension: share scientific data *no later* than the time of an associated **publication**, or the **end of the no cost extension**, whichever comes first
- Competitive renewals: a DMS Plan should be submitted at the **time** of the **renewal funding application** for research generating scientific data; if the previous award included a Plan, an updated Plan consistent with the proposed competitive renewal application should be submitted

# Policy for Genomic Data

- On or after January 25, 2023, NIH will **no longer** collect separate **Genomic Data Sharing (GDS) Plans**
- **Submit a single Plan**, addressing genomic data sharing considerations (e.g., where and when genomic data will be shared) within DMS Plan Elements

*For example:* describe genomic data such as sequencing reads and variant call files in Element 1 (Data Types) of the DMS Plan

# When should scientific data should be shared?

**As soon as possible**, whichever is earlier of these **2 timepoints**

- No later than the than the date on which an associated publication is first made available in print or electronic format, or
- End of the performance period - at this time, all scientific data including null and negative findings, other unpublished findings documented in conference proceedings, book chapters, preprints\*, etc.

*\*note that some preprint servers and data repositories may require that data be shared upon preprint posting*

# DMS Plan Submission

New **FORM-H** Package (effective 01/25/2023)

- new form field **#11** called 'Other Plans' on the **PHS 398 Research Plan Form**

## PHS 398 Research Plan Form

[View Burden Statement](#)

PHS 398 Research Plan

OMB Number: 0925-0001  
Expiration Date: 09/30/2024

### Introduction

1. Introduction to Application  
(for Resubmission and Revision applications)

[Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

### Research Plan Section

2. Specific Aims

[Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

3. \*Research Strategy

[Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

4. Progress Report Publication List

[Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

### Other Research Plan Section

5. Vertebrate Animals

[Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

6. Select Agent Research

[Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

7. Multiple PD/PI Leadership Plan

[Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

8. Consortium/Contractual Arrangements

[Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

9. Letters of Support

[Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

10. Resource Sharing Plan(s)

[Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

11. Other Plan(s)

[Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

12. Authentication of Key Biological and/or Chemical Resources

[Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

### Appendix

13. Appendix

[Add Attachments](#) [Delete Attachments](#) [View Attachments](#)

# DMS Plan Submission

New **FORM-H** Package (effective 01/25/2023)

- form field **#17** 'Other Plans' on the **Career Development Award** forms

**PHS 398 Career Development Award Supplemental Form**

OMB Number: 0925-0001  
Expiration Date: 09/30/2024

[View Burden Statement](#)

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**Introduction**

1. Introduction to Application (for Resubmission and Revision applications)  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

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**Candidate Section**

2. Candidate Information and Goals for Career Development  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

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**Research Plan Section**

3. Specific Aims  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

4. \* Research Strategy  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

5. Progress Report Publication List (for Renewal applications)  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

6. Training in the Responsible Conduct of Research  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

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**Other Candidate Information Section**

7. Candidate's Plan to Provide Mentoring  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

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**Mentor, Co-Mentor, Consultant, Collaborators Section**

8. Plans and Statements of Mentor and Co-Mentor(s)  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

9. Letters of Support from Collaborators, Contributors, and Consultants  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

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**Environment and Institutional Commitment to Candidate Section**

10. Description of Institutional Environment  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

11. Institutional Commitment to Candidate's Research Career Development  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

12. Description of Candidate's Contribution to Program Goals  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

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**Other Research Plan Sections**

13. Vertebrate Animals  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

14. Select Agent Research  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

15. Consortium/Contractual Arrangements  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

16. Resource Sharing  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

17. Other Plan(s)  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

18. Authentication of Key Biological and/or Chemical Resources  [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

# How will DMS Plans be reviewed?

✓ by **Program staff** at the proposed NIH Institute, Center, Office (ICO)

- Program staff will assess whether the DMS Plan has adequately addressed **6 recommended elements**
- Peer reviewers will **not** be asked to comment on the DMS Plan
- The Plan will **not** factor into the Overall Impact score (*unless* data sharing is *integral* to the project design and specified in the FOA; in this case, it may be factored into the score)
- Applications selected for funding will **only** be funded if the DMS Plan is complete and acceptable

# DMS Plan revisions at the Pre-Award Stage

➤ proposals with fundable scores but without an approved DMS plan will be asked for *more information* or submit a *revised Plan*

- applicants will be notified through the **Just-in-Time (JIT)** process that the DMS Plan cannot be approved as submitted and more information is needed
- applicants should communicate with their NIH ICO Program Officer and/or Grants Management Specialist to resolve issues (a *revised Plan* may be required)

# DMS Plan revisions at the Post-Award Stage

- the Plan should be *updated* or *revised* when changes occur during the support period that affect how data is managed or shared

- The NIH ICO-approved DMS Plan becomes **part** of the **Terms and Conditions of Award**
- Potential **changes** should be discussed with the NIH ICO Program Officer
- The updated Plan should be **approved** by the funding NIH ICO



# DMS Plan – BUDGET

A budget is **not** required, unless DMS-related costs are requested

## Data Management and Sharing Costs

### ALLOWABLE COSTS:

- Curating data/developing supporting documentation
- Preserving/sharing data through repositories
- Local data management considerations
- **IMPORTANT:** Must be incurred during the performance period

### UNALLOWABLE COSTS:

- Infrastructure costs typically included in indirect costs
- Costs associated with the routine conduct of research (e.g., costs of gaining access to research data)

From the NIH Grants Conference (Feb 1-2, 2023) –  
The NIH Policy for Data Management and Sharing  
(DMS) in Effect: Planning for Success

# DMS Plan – BUDGET

## Requesting Costs - R&R Detailed Budget Form

Label costs as ‘Data Management and Sharing Costs’ line item, under F. Other Direct Costs (anywhere between line items 8-17, as applicable)  
**IMPORTANT:** Type in **\$0** if **not** requesting costs (or ASSIST will return ‘error’ message)

### Justifying Costs

- Recommended to be ½ a page
- Include brief summary of type and amount of scientific data to be preserved, shared, name of repository(ies) to be used, and general cost categories.

F. Other Direct Costs				Funds Requested (\$)
1. Materials and Supplies				
2. Publication Costs				
3. Consultant Services				
4. ADP/Computer Services				
5. Subawards/Consortium/Contractual Costs				
6. Equipment or Facility Rental/User Fees				
7. Alterations and Renovations				
8.				
9.				
10.				
11.				
12.				
13.				
14.				
15.				
16.				
17.				
Total Other Direct Costs				
<b>G. Direct Costs</b>				<b>Funds Requested (\$)</b>
Total Direct Costs (A thru F)				
<b>H. Indirect Costs</b>				
Indirect Cost Type	Indirect Cost Rate (%)	Indirect Cost Base (\$)	Funds Requested (\$)	
Total Indirect Costs				
Cognizant Federal Agency (Agency Name, POC Name, and POC Phone Number)				
<b>I. Total Direct and Indirect Costs</b>				<b>Funds Requested (\$)</b>
Total Direct and Indirect Institutional Costs (G + H)				
<b>J. Fee</b>				<b>Funds Requested (\$)</b>
<b>K. Total Costs and Fee</b>				<b>Funds Requested (\$)</b>
Total Costs and Fee (I + J)				
<b>L. Budget Justification</b>				
(Only attach one file.) <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>				
Budget Justification is required and must cover all budget periods.				
FORMS-H: If a Data Management and Sharing (DMS) plan is included, you must include a section titled "Data Management and Sharing Justification" that provides a brief summary of DMS activities and justification for their costs.				

# DMS Plan – BUDGET

## Requesting and Justifying Costs – PHS 398 Modular Budget Form

Use the **Additional Narrative Justification** attachment of the PHS 398 Modular Budget Form

- ✓ This attachment should have information on the requested costs and justification

The PHS 398 Modular Budget form cannot be used if the application requests >\$250K in direct costs in any budget period, is submitted by a foreign institution, or proposes the use of human fetal tissue from elective abortions.

### PHS 398 Modular Budget

OMB Number: 0925-0001  
Expiration Date: TBD

**Budget Period: 1**  Form allows for up to 5 Budget Periods.

Start Date:  End Date:

**A. Direct Costs** Funds Requested (\$)

Direct Cost less Consortium Indirect (F&A)  0.00

Consortium Indirect (F&A)

Total Direct Costs  0.00

Direct costs requested must be \$250K or less per period to use Modular Budget form. Request in "modules" of \$25K.  
Some grant programs have limits on Total Direct Costs. Check announcement.

**B. Indirect (F&A) Costs**

Indirect (F&A) Type	Indirect (F&A) Rate (%)	Indirect (F&A) Base (\$)	Funds Requested (\$)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Form allows for up to four F&A entries.

Cognizant Agency (Agency Name, POC Name and Phone Number)

Indirect (F&A) Rate Agreement Date  Total Indirect (F&A) Costs

**C. Total Direct and Indirect (F&A) Costs (A + B)** Funds Requested (\$)  0.00

**Cumulative Budget Information**  System calculated.

**1. Total Costs, Entire Project Period**

Section A, Total Direct Cost less Consortium Indirect (F&A) for Entire Project Period	\$	<input type="text"/> 0.00
Section A, Total Consortium Indirect (F&A) for Entire Project Period	\$	<input type="text"/>
Section A, Total Direct Costs for Entire Project Period	\$	<input type="text"/> 0.00
Section B, Total Indirect (F&A) Costs for Entire Project Period	\$	<input type="text"/>
Section C, Total Direct and Indirect (F&A) Costs (A+B) for Entire Project Period	\$	<input type="text"/> 0.00

**2. Budget Justifications**

Personnel Justification	<input type="text"/>	<input type="text"/>	<input type="text"/>
Consortium Justification	<input type="text"/>	<input type="text"/>	<input type="text"/>
Additional Narrative Justification	<input type="text"/>	<input type="text"/>	<input type="text"/>

FORMS-H: If a Data Management and Sharing (DMS) plan is included, you must provide this attachment and include a section titled "Data Management and Sharing Justification" that provides a brief summary of DMS activities and justification for their costs.

# Preparing the DMS Plan

- **Structure:** length and content
- **DMS Plan Template** - <https://grants.nih.gov/grants/forms/all-forms-and-formats/data-management-and-sharing-plan-format-page>
- **Sample DMS Plans** - <https://sharing.nih.gov/data-management-and-sharing-policy/planning-and-budgeting-for-data-management-and-sharing/writing-a-data-management-and-sharing-plan#sample-plans>

# Showing Again: Overview Page has the relevant links

<https://sharing.nih.gov/data-management-and-sharing-policy/planning-and-budgeting-for-data-management-and-sharing/writing-a-data-management-and-sharing-plan#after>

The screenshot shows a navigation bar with two tabs: "Applications for Receipt Dates BEFORE Jan 25 2023" (light grey) and "Applications for Receipt Dates ON/AFTER Jan 25 2023" (dark blue). The active tab is "ON/AFTER Jan 25 2023". Below the tabs, the main heading is "Applications for Receipt Dates ON/AFTER Jan 25 2023". Underneath, there is a section titled "ON THIS PAGE:" followed by a list of eight links, each with a blue circular icon containing a white document symbol.

Applications for Receipt Dates BEFORE Jan 25 2023

Applications for Receipt Dates ON/AFTER Jan 25 2023

**Applications for Receipt Dates ON/AFTER Jan 25 2023**

**ON THIS PAGE:**

- [Writing a Data Management and Sharing Plan](#)
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- [Additional Considerations](#)

# Preparing the DMS Plan - Structure

- Length: recommended to be **2 pages** or less (except for large projects or those that generate many data types)
- Content: address **6 elements**
  - 1) Data Type
  - 2) Related Tools, Software and/or Code
  - 3) Standards
  - 4) Data Preservation, Access, and Associated Timelines
  - 5) Access, Distribution, or Reuse Considerations
  - 6) Oversight of Data Management and Sharing
- No hyperlinks (no URLs): use **name** of the data repository, dataset identifier, or relevant resource or NIH may *withdraw* your application from consideration

# From the NIH Grants Conference (Feb 1-2, 2023) – The NIH Policy for Data Management and Sharing (DMS) in Effect: Planning for Success

## Elements of a Data Management and Sharing Plan

- Data type
  - Identifying data to be preserved and shared
- Related tools, software, code
  - Tools and software needed to access and manipulate data
- Standards
  - Standards to be applied to scientific data and metadata
- Data preservation, access, timelines
  - Repository to be used, persistent unique identifier, and when/ how long data will be available
- Access, distribution, reuse considerations
  - Description of factors for data access, distribution, or reuse
- Oversight of data management
  - Plan compliance will be monitored/ managed and by whom

# The NIH Sharing Plan Format (Template)

## DATA MANAGEMENT AND SHARING PLAN

If any of the proposed research in the application involves the generation of scientific data, this application is subject to the NIH Policy for Data Management and Sharing and requires submission of a Data Management and Sharing Plan. If the proposed research in the application will generate large-scale genomic data, the Genomic Data Sharing Policy also applies and should be addressed in this Plan. Refer to the detailed instructions in the application guide for developing this plan as well as to additional guidance on [sharing.nih.gov](http://sharing.nih.gov). The Plan is recommended not to exceed two pages. Text in italics should be deleted. There is no "form page" for the Data Management and Sharing Plan. The DMS Plan may be provided in the *format* shown below.

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering, and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-0001 and 0925-0002). Do not return the completed form to this address.

### Element 1: Data Type

#### A. Types and amount of scientific data expected to be generated in the project:

*Summarize the types and estimated amount of scientific data expected to be generated in the project,*

#### B. Scientific data that will be preserved and shared, and the rationale for doing so:

*Describe which scientific data from the project will be preserved and shared and provide the rationale for this decision.*

#### C. Metadata, other relevant data, and associated documentation:

*Briefly list the metadata, other relevant data, and any associated documentation (e.g., study protocols and data collection instruments) that will be made accessible to facilitate interpretation of the scientific data.*

### Element 2: Related Tools, Software and/or Code:

*State whether specialized tools, software, and/or code are needed to access or manipulate shared scientific*

*data, and if so, provide the name(s) of the needed tool(s) and software and specify how they can be accessed.*

### Element 3: Standards:

*State what common data standards will be applied to the scientific data and associated metadata to enable interoperability of datasets and resources, and provide the name(s) of the data standards that will be applied and describe how these data standards will be applied to the scientific data generated by the research proposed in this project. If applicable, indicate that no consensus standards exist.*

### Element 4: Data Preservation, Access, and Associated Timelines

#### A. Repository where scientific data and metadata will be archived:

*Provide the name of the repository(ies) where scientific data and metadata arising from the project will be archived; see [Selecting a Data Repository](#).*

#### B. How scientific data will be findable and identifiable:

*Describe how the scientific data will be findable and identifiable, i.e., via a persistent unique identifier or other standard indexing tools.*

#### C. When and how long the scientific data will be made available:

*Describe when the scientific data will be made available to other users (i.e., no later than time of an associated publication or end of the performance period, whichever comes first) and for how long data will be available.*

### Element 5: Access, Distribution, or Reuse Considerations

#### A. Factors affecting subsequent access, distribution, or reuse of scientific data:

*NIH expects that in drafting Plans, researchers maximize*

*the appropriate sharing of scientific data. Describe and justify any applicable factors or data use limitations affecting subsequent access, distribution, or reuse of scientific data related to informed consent, privacy and confidentiality protections, and any other considerations that may limit the extent of data sharing. See [Frequently Asked Questions](#) for examples of justifiable reasons for limiting sharing of data.*

#### B. Whether access to scientific data will be controlled:

*State whether access to the scientific data will be controlled (i.e., made available by a data repository only after approval.)*

#### C. Protections for privacy, rights, and confidentiality of human research participants:

*If generating scientific data derived from humans, describe how the privacy, rights, and confidentiality of human research participants will be protected (e.g., through de-identification, Certificates of Confidentiality, and other protective measures).*

### Element 6: Oversight of Data Management and Sharing:

*Describe how compliance with this Plan will be monitored and managed, frequency of oversight, and by whom at your institution (e.g., titles, roles).*



# Sample Plans

**9 DMS Plans** are currently available at NIH, for *educational purposes only*. NIH notes that these documents are not intended to be used as templates; their use does **not guarantee** NIH approval

- Sample Plan A: **Clinical and/or MRI** data from **human** research participants
- Sample Plan B: **Genomic** data from **human** research participants
- Sample Plan C: **Genomic** data from a **non-human** source
- Sample Plan D: **Secondary** data analysis
- Sample Plan E: **Human genomic** data
- Sample Plan F: **Technology** development
- Sample Plan G: **Human clinical** and **genomics** data
- Sample Plan H: **Gene expression** analysis data from **non-human model** organism (zebrafish)
- Sample Plan I: **Human survey** data

# DMS Plan – Element 1: Data Type

- A. Type and amount of scientific data expected to be generated in the project:  
In general terms, address the **type and amount/size** of scientific data expected to be collected and used in the project; indicate the **data modality** (e.g., imaging, genomic, mobile, survey), **level of aggregation** (e.g., individual, aggregated, summarized), and/or the **degree of data processing** (i.e., how raw or processed the data will be)
- B. Scientific data that will be preserved and shared, and the rationale  
NIH does not anticipate that all data will be preserved and shared. Decide which to preserve and share based on **ethical, legal, and technical** factors that may affect the extent to which data are preserved and shared. Provide the rationale for the decision
- C. Metadata and other relevant data, and associated documentation  
Briefly list the **metadata**, other relevant data, and any **associated documentation** (e.g., study protocols and data collection instruments) that will be made accessible to **facilitate interpretation** of the scientific data

# DMS Plan – Element 2: Related Tools, Software and/or Code

- Indicate whether **specialized tools** are needed to access or manipulate shared scientific data to support replication or reuse, and **name(s)** of the needed **tool(s)** and **software**.

*For example (1) data will be processed and analyzed with STATA and shared in many widely accessible formats, including SAS, STATA, SPSS, dBase, Excel, and ASCII*

*(2) All newly developed software and code for processing and analyzing data will be distributed as version controlled, open-source code written in R or Python via GitHub, with detailed user documentation*

- If applicable, specify how **needed tools** can be **accessed** (e.g., *open source and freely available* or *generally available for a fee* in the marketplace, *available only from the research team*). If known, whether such tools are likely to remain available for as long as the scientific data remain available.

# DMS Plan – Element 3: Standards

- Many scientific fields have developed and adopted ***common data standards*** - indicate what standards will be applied (i.e., data formats, data dictionaries, data identifiers, definitions, unique identifiers, and other data documentation)

Example: RNA-Seq data: Data will be QC'd and analyzed according to ENCODE Bulk RNA-seq Data Standards. FASTQs, BAM alignment files, and TSV transcript quantifications will be shared.

- In fields where there are no common standards, indicate that ***no consensus data standards exist*** for the scientific data and metadata to be generated, preserved, and shared.

# DMS Plan – Element 4: Data Preservation, Access, and Associated Timelines

## A. Repository where scientific data and metadata will be archived:

Will discuss in next few slides

## B. How scientific data will be findable and identifiable

For example: *'The dataset will be registered in dbGaP and assigned an ID. Data will be findable and identifiable using standard data indexing tools. We will reference the accession number(s) for our dataset(s) in all relevant future publications*

## C. When and how long the scientific data will be made available

For example: *'We will meet the data submission and release timeframes specified by the DMS Policy as described on NIH's data sharing website';*

*The data will be available for **as long as the repository preserves the dataset***

# Data Repositories - Element 4 of DMS Plan

## NIH Guidelines on Repositories

- Use FOA- or ICO-designated data repositories
- If no specific data repository is noted, select one that is appropriate for the data generated from the research project, as long as repository meets list of *desirable characteristics*
- Preference given to data repositories that are discipline or data-type specific to support effective data discovery and reuse

# Selecting a data repository

<https://sharing.nih.gov/data-management-and-sharing-policy/sharing-scientific-data/selecting-a-data-repository>

[Home](#) > [Data Management and Sharing Policy](#) > [Sharing Scientific Data](#) > [Selecting a Data Repository](#)

## Selecting a Data Repository

Learn how to evaluate and select appropriate data repositories.

### ON THIS PAGE:

- [Overview](#)
- [Selecting a Data Repository](#)
- [Desirable Characteristics for All Data Repositories](#)
- [Additional Considerations for Human Data](#)
- [Repositories for Scientific Data](#)

# NIH-Supported Repositories:

[https://www.nlm.nih.gov/NIHbmic/domain\\_specific\\_repositories.html](https://www.nlm.nih.gov/NIHbmic/domain_specific_repositories.html)



DOMAIN-SPECIFIC REPOSITORIES

GENERALIST REPOSITORIES

DOWNLOAD(.csv)

## Domain-Specific Repositories

Displaying 1 - 25 of 127 results

CLEAR ALL

25 PER PAGE ▾

NAME/DESCRIPTION	ICO	SUBJECT AREA	MODEL SYSTEM	ACCESS TYPE	PROPERTIES	REPOSITORY LINKS
<input type="text" value="search name &amp; description"/>	All ▾	All ▾	All ▾	All ▾	All ▾	
<b>Federal Interagency Traumatic Brain Injury Research (FITBIR) Informatics System</b> The Federal Interagency Traumatic Brain Injury Research (FITBIR) informatics system was developed to share data across the entire TBI research field <a href="#">..More</a>	CIT NINDS	Clinical research Imaging Neuroscience	human	controlled registered	<input type="button" value="Open data submission"/> <input type="button" value="Open timeframe for data deposit"/> <input type="button" value="NIH funding support"/> <input type="button" value="Sustained support"/>	<input type="button" value="DATA ACCESS"/> <input type="button" value="DATA SUBMISSION"/>
<b>Metabolomics Workbench</b> The NIH Common Fund's National Metabolomics Data Repository (NMDR) is now accepting metabolomics data for small and large studies on cells, tissues <a href="#">..More</a>	Common Fund	Clinical research Computational biology Other	human non-human	open	<input type="button" value="Open data submission"/> <input type="button" value="Open timeframe for data deposit"/> <input type="button" value="NIH funding support"/> <input type="button" value="Sustained support"/>	<input type="button" value="DATA ACCESS"/> <input type="button" value="DATA SUBMISSION"/>



# Domain-Specific Repository Spreadsheet (from previous slide)

Has information on: **URL, Subject Area, Access Type** (*open, registration required, controlled*), **Model System**, and **Open Data Submission**

**Open data submission:** accepts data from a broad set of investigators; any investigator funded by a particular NIH ICO. There are **75** repositories that allow open data submission in this list

ICO	Repository_Name	Repository_Url	Description	Data_Submission	Data_Access_Url	Subject_Area	Access_Type	Model_System	NIH_Funding	Open_Data_Submission
Common	Metabolomics Workbench	<a href="https://www.metabolomicsworkbench.org/">https://www.metabolomicsworkbench.org/</a>	The NIH Common Fund's National Metabolomics Data Repository	<a href="https://www.metabolomicsworkbench.org/">https://www.metabolomicsworkbench.org/</a>	<a href="https://www.metabolomicsworkbench.org/">https://www.metabolomicsworkbench.org/</a>	Clinical research, Computational biology	open	human, non-human	TRUE	TRUE
Common	Stimulating Peripheral Activity to Relieve Pressure in the SCI Spinal Cord (SPARC)	<a href="https://sparc.science/">https://sparc.science/</a>	The SPARC Portal provides interactive access to a growing collection of data and tools	<a href="https://docs.sparc.science/">https://docs.sparc.science/</a>	<a href="https://docs.sparc.science/">https://docs.sparc.science/</a>	Clinical research, Computational biology	open	human, non-human	TRUE	TRUE
Common	Open Data Commons for Spinal Cord Injury (ODC-SCI.org)	<a href="https://odc-sci.org/">https://odc-sci.org/</a>	The Open Data Commons for Spinal Cord Injury (ODC-SCI.org)	<a href="https://odc-sci.org/">https://odc-sci.org/</a>	<a href="https://odc-sci.org/">https://odc-sci.org/</a>	Computational biology, Neuroscience	controlled, registration required	non-human	TRUE	TRUE
Common	SenNet Consortium	<a href="https://data.sennetconsortium.org/">https://data.sennetconsortium.org/</a>	The goal of the Cellular Senescence Network (SenNet) is to improve our understanding of the cellular senescence process	undefined	undefined	Computational biology, Immunology	controlled, registration required	human, non-human	TRUE	TRUE
Common	4D Nucleome Data Portal	<a href="https://data.4dnucleome.org/">https://data.4dnucleome.org/</a>	The 4D Nucleome Data Portal is an open repository for genomic data	<a href="https://data.4dnucleome.org/">https://data.4dnucleome.org/</a>	<a href="https://data.4dnucleome.org/">https://data.4dnucleome.org/</a>	Imaging, Sequence biology	registered, open	human, non-human	TRUE	TRUE
DPCPSI	Monarch Initiative	<a href="https://monarchinitiative.org/">https://monarchinitiative.org/</a>	The Monarch Initiative is an integrative data and analytic platform	undefined	<a href="https://archive.monarchinitiative.org/">https://archive.monarchinitiative.org/</a>	Clinical research, Imaging	open	human, non-human	TRUE	TRUE
DPCPSI, NCI	The Universal Protein Resource (UniProt)	<a href="https://www.uniprot.org/">https://www.uniprot.org/</a>	The Universal Protein Resource (UniProt) is a comprehensive protein sequence and functional information resource	<a href="http://www.uniprot.org/">http://www.uniprot.org/</a>	<a href="https://www.uniprot.org/">https://www.uniprot.org/</a>	Sequence biology	open	human, non-human	TRUE	TRUE
NCAT, NCAT	INCLUDE Data Hub	<a href="https://portal.includedcc.org/">https://portal.includedcc.org/</a>	The INCLUDE (INvestigation of Co-occurring conditions across multiple domains) Data Hub	<a href="https://portal.includedcc.org/">https://portal.includedcc.org/</a>	<a href="https://portal.includedcc.org/">https://portal.includedcc.org/</a>	Behavioral and social sciences	controlled, registration required	human, non-human	TRUE	TRUE
NCATS	BioSystics Analytics Platform (BioSystics)	<a href="https://mps.csb.pitt.edu/">https://mps.csb.pitt.edu/</a>	The BioSystics Analytics Platform accesses, manages, analyzes, and visualizes data	<a href="https://mps.csb.pitt.edu/">https://mps.csb.pitt.edu/</a>	<a href="https://mps.csb.pitt.edu/">https://mps.csb.pitt.edu/</a>	Clinical research, Computational biology	registered, open	human, non-human	TRUE	TRUE
NCATS, NCI	National COVID Cohort Collaborative (N3C)	<a href="https://covid.cd2h.org/">https://covid.cd2h.org/</a>	The N3C Data Enclave is a secure platform through which the National COVID Cohort Collaborative (N3C) can share data	<a href="https://covid.cd2h.org/">https://covid.cd2h.org/</a>	<a href="https://covid.cd2h.org/">https://covid.cd2h.org/</a>	Clinical research	controlled, registration required	human	TRUE	TRUE
NCCIH	Natural Products Magnetic Resonance Database (NP-MRD)	<a href="https://np-mrd.org/">https://np-mrd.org/</a>	The Natural Products Magnetic Resonance Database is a free and open platform	<a href="https://np-mrd.org/">https://np-mrd.org/</a>	<a href="https://np-mrd.org/">https://np-mrd.org/</a>	Other	open	non-human	TRUE	TRUE
NCCIH, NE	OpenNeuro	<a href="https://openneuro.org/">https://openneuro.org/</a>	A free and open platform for validating and sharing BIDS-compliant neuroimaging data	undefined	<a href="https://openneuro.org/">https://openneuro.org/</a>	Imaging	open	human, non-human	TRUE	TRUE
NCCIH, NE	The DANDI Archive	<a href="https://dandiarchive.org/">https://dandiarchive.org/</a>	The BRAIN Initiative archive for publishing and sharing neuroimaging data	undefined	<a href="https://dandiarchive.org/">https://dandiarchive.org/</a>	Neuroscience	open	human, non-human	TRUE	TRUE
NCCIH, NE	The Neuroscience Multi-omic Archive (NeMO Archive)	<a href="https://nemoarchive.org/">https://nemoarchive.org/</a>	The Neuroscience Multi-omic Archive (NeMO Archive) is a data sharing platform	undefined	<a href="https://nemoarchive.org/">https://nemoarchive.org/</a>	Sequence biology	controlled, open	human, non-human	TRUE	TRUE
NCCIH, NE	Brain Image Library (BIL)	<a href="https://www.brainimagelibrary.org/">https://www.brainimagelibrary.org/</a>	The Brain Image Library (BIL) is a national public resource enabling the sharing of brain imaging data	<a href="https://www.brainimagelibrary.org/">https://www.brainimagelibrary.org/</a>	<a href="https://submit.brainimagelibrary.org/">https://submit.brainimagelibrary.org/</a>	Imaging, Neuroscience	open	human, non-human	TRUE	TRUE
NCCIH, NE	Brain Observatory Storage Service (BOSSDB)	<a href="https://bossdb.org/">https://bossdb.org/</a>	BossDB is a volumetric database for 3D and 4D neuroscience data	undefined	<a href="https://bossdb.org/">https://bossdb.org/</a>	Imaging, Neuroscience	open	human, non-human	TRUE	TRUE
NCI	Cancer Nanotechnology Laboratory (caNanoLab)	<a href="https://cananolab.cancer.gov/">https://cananolab.cancer.gov/</a>	caNanoLab is a data sharing portal designed to facilitate information exchange	<a href="https://wiki.nci.nih.gov/">https://wiki.nci.nih.gov/</a>	<a href="https://wiki.nci.nih.gov/">https://wiki.nci.nih.gov/</a>	Other	registered, open	human	TRUE	TRUE
NCI	The Cancer Imaging Archive (TCIA)	<a href="https://www.cancerimagingarchive.net/">https://www.cancerimagingarchive.net/</a>	TCIA is a service which de-identifies and publishes medical imaging data	<a href="https://www.cancerimagingarchive.net/">https://www.cancerimagingarchive.net/</a>	<a href="https://www.cancerimagingarchive.net/">https://www.cancerimagingarchive.net/</a>	Imaging	controlled, registration required	human, non-human	TRUE	TRUE
NCI	The Network Data Exchange (NDEX)	<a href="https://www.ndexbio.org/">https://www.ndexbio.org/</a>	NDEX is an online commons where scientists can upload, share, and discover data	<a href="https://home.ndexbio.org/">https://home.ndexbio.org/</a>	<a href="https://home.ndexbio.org/">https://home.ndexbio.org/</a>	Computational biology, Open science	open	human, non-human	FALSE	TRUE
NCI, NIAID	Protein Data Bank	<a href="https://www.rcsb.org/">https://www.rcsb.org/</a>	The mission of the RCSB Protein Data Bank (PDB) is to sustain and disseminate structural information	<a href="https://www.rcsb.org/">https://www.rcsb.org/</a>	<a href="https://www.rcsb.org/">https://www.rcsb.org/</a>	Computational biology, Immunology	open	human, non-human	TRUE	TRUE
NHGRI	The NHGRI Genomic Data Science Analysis, Visualization, and Archiving (ANVIL)	<a href="https://anvil.terra.bio/">https://anvil.terra.bio/</a>	The NHGRI Genomic Data Science Analysis, Visualization, and Archiving (ANVIL) project	<a href="https://anvilproject.org/">https://anvilproject.org/</a>	<a href="https://anvilproject.org/">https://anvilproject.org/</a>	Clinical research, Computational biology	controlled, registration required	human	TRUE	TRUE
NHGRI	Zebrafish Information Network (ZFIN)	<a href="https://zfin.org/">https://zfin.org/</a>	The Zebrafish Information Network (ZFIN) is the database of zebrafish genomic and phenotypic data	<a href="https://zfin.org/">https://zfin.org/</a>	<a href="https://zfin.org/">https://zfin.org/</a>	Sequence biology	open	non-human	TRUE	TRUE
NHGRI	WormBase	<a href="https://wormbase.org/#012">https://wormbase.org/#012</a>	WormBase is an international consortium of biologists and computer scientists	<a href="https://www.informatics.jax.org/">https://www.informatics.jax.org/</a>	<a href="http://www.informatics.jax.org/">http://www.informatics.jax.org/</a>	Behavioral and social sciences	open	non-human	TRUE	TRUE

# NIH-Supported Repositories:

[https://www.nlm.nih.gov/NIHbmic/domain\\_specific\\_repositories.html](https://www.nlm.nih.gov/NIHbmic/domain_specific_repositories.html)

DOMAIN-SPECIFIC REPOSITORIES	GENERALIST REPOSITORIES										
<h2>Generalist Repositories</h2> <p>Displaying 1 - 9 of 9 results</p> <table border="1"><thead><tr><th>NAME/DESCRIPTION</th></tr></thead><tbody><tr><td>Dataverse</td></tr><tr><td>Dryad</td></tr><tr><td>Figshare</td></tr><tr><td>IEEE Dataport</td></tr><tr><td>Mendeley Data <b>FREE</b>, <a href="https://data.mendeley.com/">https://data.mendeley.com/</a> (hosted by Elsevier)</td></tr><tr><td>Open Science Framework</td></tr><tr><td>Synapse <b>FREE</b>, <a href="https://www.synapse.org/">https://www.synapse.org/</a> hosted by SAGE Bionetworks</td></tr><tr><td>Vivli</td></tr><tr><td>Zenodo</td></tr></tbody></table>		NAME/DESCRIPTION	Dataverse	Dryad	Figshare	IEEE Dataport	Mendeley Data <b>FREE</b> , <a href="https://data.mendeley.com/">https://data.mendeley.com/</a> (hosted by Elsevier)	Open Science Framework	Synapse <b>FREE</b> , <a href="https://www.synapse.org/">https://www.synapse.org/</a> hosted by SAGE Bionetworks	Vivli	Zenodo
NAME/DESCRIPTION											
Dataverse											
Dryad											
Figshare											
IEEE Dataport											
Mendeley Data <b>FREE</b> , <a href="https://data.mendeley.com/">https://data.mendeley.com/</a> (hosted by Elsevier)											
Open Science Framework											
Synapse <b>FREE</b> , <a href="https://www.synapse.org/">https://www.synapse.org/</a> hosted by SAGE Bionetworks											
Vivli											
Zenodo											



accept data regardless of data type, format, content, or disciplinary focus  
useful when investigators cannot locate a repository for their discipline or the type of data they generate

# Other good resources for finding suitable data repositories

- <https://www.nature.com/sdata/policies/repositories#general>

## View data repositories

- **Biological sciences:** [Nucleic acid sequence](#); [Protein sequence](#); [Molecular & supramolecular structure](#); [Neuroscience](#); [Omics](#); [Taxonomy & species diversity](#); [Mathematical & modelling resources](#); [Cytometry and Immunology](#); [Imaging](#); [Organism-focused resources](#)
- **Health sciences**

- <https://journals.plos.org/plosone/s/recommended-repositories>

## Repositories by type

<a href="#">Biochemistry</a>	<a href="#">Neuroscience</a>	<a href="#">Social Sciences</a>
<a href="#">Biomedical Sciences</a>	<a href="#">Omics</a>	<a href="#">Structural Databases</a>
<a href="#">Marine Sciences</a>	<a href="#">Physical Sciences</a>	<a href="#">Taxonomic &amp; Species Diversity</a>
<a href="#">Model Organisms</a>	<a href="#">Sequencing</a>	<a href="#">Unstructured and/or Large Data</a>

- <https://data-repository-finder.ll.mit.edu/>
- <https://github.com> – there is a **free** option (basic service)

# Data Repositories - More Options

- Small datasets (up to 2 GB in size): included as supplementary material to accompany articles submitted to **PubMed Central**
- University of Hawaii Cyberinfrastructure and the UH Library System: we are exploring these options (*should meet the accessibility requirement of the NIH DMS Policy*)

# DMS Plan – Element 5: Access, Distribution, or Reuse Considerations (largely applicable to human subject research)

Discuss and justify applicable ***factors*** or ***data use limitations*** affecting subsequent access, distribution, or reuse of scientific data related to *informed consent, privacy, proprietary issues, confidentiality protections*, and any other considerations that may *limit* the extent of data sharing

- Informed Consent: discuss disease-specific limitations, particular communities' concerns
- Protections for privacy, rights, and confidentiality of human research participants (i.e., de-identification, Certificates of Confidentiality, and other protective measures)

For example: *'only genomic data will be shared; we are not obtaining demographic or phenotypic information from BIOBANK X'*; OR *'upon receipt of an NIH Award, the data for this study will be protected by a Certificate of Confidentiality'*

# DMS Plan – Element 5 *continued*

- Discuss whether **access to scientific data** derived from **humans** will be **controlled** (i.e., made available by a data repository only after approval).
- Discuss any **restrictions** imposed by federal, Tribal, or state laws, regulations, or policies, or existing or anticipated agreements (e.g., with third party funders, with partners, with Health Insurance Portability and Accountability Act (HIPAA) covered entities that provide Protected Health Information under a data use agreement, through licensing limitations attached to materials needed to conduct the research).

**Please see sample DMS Plans with human subjects and clinical data**

# DMS Plan – Element 6: Oversight of Data Management and Sharing

Indicate how compliance with the Plan will be monitored and managed, frequency of oversight, and by whom (e.g., titles, roles).

*For example:*

The **study PI** will oversee the execution of this Data Management and Sharing Plan.

**Progress on data sharing** will be reported in the Research Performance Progress Report. If changes occur during the project that might affect the proposed data management and sharing plans, we will provide an update and/or revision.

# A Few More Notes -

- Some NIH ICOs have **ICO-specific** DMS Plan requirements  
NIMH, for example requires use of their data repository, the NIMH Data Archive (or NDA). They also require a Validation Schedule in the Plan and submission of a Data Use Agreement signed by the PI and Institutional Official
- Some **FOAs** also have **specific** requirements
- If you need assistance, please go to the Pre-Award website and submit a ticket:  
<https://jabsom.hawaii.edu/admin/dir-rge/support-for-research/>

*We request those who will receive NIH feedback on their submitted DMS Plans (sometime in June-July) to please share the feedback with us so that we can develop templates and examples for future submissions from JABSOM faculty*





**Thank you!!**

**Questions?**

