



Sports Wagering Operator & Vendor Scope of Licensing – Initial Survey

Pursuant to 205 CMR 211.01, this survey must be submitted as part of the application for a Category 1, Category 2, or Category 3 Sports Wagering License and must be submitted as a prerequisite to the submission of the additional application forms.*

SPORTS WAGERING LICENSE INFORMATION	
Seeking to apply as:	<input checked="" type="checkbox"/> Category 1 Operator <input type="checkbox"/> Category 2 Operator <input type="checkbox"/> Category 3 Operator <input type="checkbox"/> Vendor to Operator

APPLICANT INFORMATION & DESCRIPTION			
Company Name:	Plainville Gaming and Redevelopment, LLC		
D/B/A or Trade Name(s):	Plainridge Park Casino		
Corporate HQ Address: <i>(Also include the address of any operation/s in Massachusetts)</i>	<table style="width: 100%; border: none;"> <tr> <td style="width: 50%;">825 Berkshire Blvd Suite 200 Wyomissing, PA 19610</td> <td style="width: 50%;">301 Washington Street Plainville, MA 02762</td> </tr> </table>	825 Berkshire Blvd Suite 200 Wyomissing, PA 19610	301 Washington Street Plainville, MA 02762
825 Berkshire Blvd Suite 200 Wyomissing, PA 19610	301 Washington Street Plainville, MA 02762		
Point-of-Contact for Licensing Process: <i>(Include NAME, POSITION, ADDRESS, PHONE, EMAIL)</i>	[REDACTED]		
Description of Business:	[REDACTED]		
Goods/Services you expect to provide in Massachusetts:	[REDACTED]		

APPLICANT ORGANIZATIONAL INFORMATION	
Applicant Company Type:	<input type="checkbox"/> Sole Proprietorship <input checked="" type="checkbox"/> LLC <input type="checkbox"/> Cooperative <input type="checkbox"/> C-Corporation <input type="checkbox"/> S-Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> SEC Registrant <input type="checkbox"/> Other
Publicly Traded? :	<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes <i>(if "Yes", Stock exchange symbol and exchange)</i> <div style="text-align: center;">PENN</div>
Applicant Financial Statements Type:	[REDACTED]



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Applicant Tax Returns:		
Management Structure: <i>(Do you have any of the following?)</i>		

ATTACHMENTS

PLEASE SUBMIT THE FOLLOWING INFORMATION

- TABLE of ORGANIZATION** including parent companies and subsidiaries (note any companies that are publicly traded and are sports wagering-related).
- TABLE of OWNERSHIP INTERESTS** showing all ownership interests, including parent companies and subsidiaries showing the corporate structure with entity names and ownership percentages.
- FUNCTIONAL TABLE of ORGANIZATION** including the executive team and Board of Directors with individual names and titles, and percentage of ownership interest, if applicable.
- CONTROL** – List (1) individuals and entities who own 10 percent or more of the applicant; (2) individuals and entities who have the ability to control the activities of the applicant; and (3) any executive, employee or agent having the power to exercise significant influence over decisions concerning the applicant’s sports wagering operations in Massachusetts.
- INSTITUTIONAL INVESTORS** – Provide a list of these investors along with their percentage of ownership.
- LICENSING HISTORY** – List the last 3 jurisdictions in which licensed for sports wagering operations, including the type of license that was obtained.
- LICENSEE ASSOCIATION** – List any Gaming Licensee(s), Sports Wagering Operator Licensee(s) or Applicant(s) for a Sports Wagering Operator License with whom you have an agreement to conduct business or are in the process of negotiating an agreement.
- ON-SITE PERSONNEL (for Vendor only)** – If you expect to have any personnel on-site at a Massachusetts casino, please indicate the approximate number and a brief description of their duties.

*Pursuant to 205 CMR 211.01, this survey must be submitted as part of the application for a Category 1, Category 2, or Category 3 Sports Wagering License and must be submitted as a prerequisite to the submission of the additional application forms, which consist of the following:

- Application for Category 1, 2, & 3 Sports Wagering Operator License;
- For designated entity qualifiers, Business Entity Disclosure Form;
- For designated individual qualifiers, Multi-jurisdictional Personal History Form; and
- For designated individual qualifiers, Massachusetts Supplemental Form.

Failure to submit this Survey by October 17, 2022, shall result in the Commission deeming the application incomplete and administratively closed unless the Commission authorizes an extension of time pursuant to 205 CMR 211.01(10).

Exhibit 1, [REDACTED]

Penn Sports Interactive, LLC ("PSI") seeks sports wagering licensure for the future offering of retail and online sports wagering services in the Commonwealth of Massachusetts. PSI is a wholly owned subsidiary of Penn Interactive Ventures, LLC ("PIV"). Both entities are wholly owned subsidiaries and the digital arm of PENN Entertainment, Inc. ("PENN"), a publicly traded company on the NASDAQ exchange.

PENN operates 43 entertainment destinations in 20 jurisdictions across the United States with a variety of retail and online gaming, live and simulcast racing, entertainment, and hospitality offerings, and currently has 25 retail sportsbooks in 11 states. These states include Colorado, Iowa, Indiana, Illinois, Kansas, Louisiana, Maryland, Michigan, Mississippi, Pennsylvania, and West Virginia.

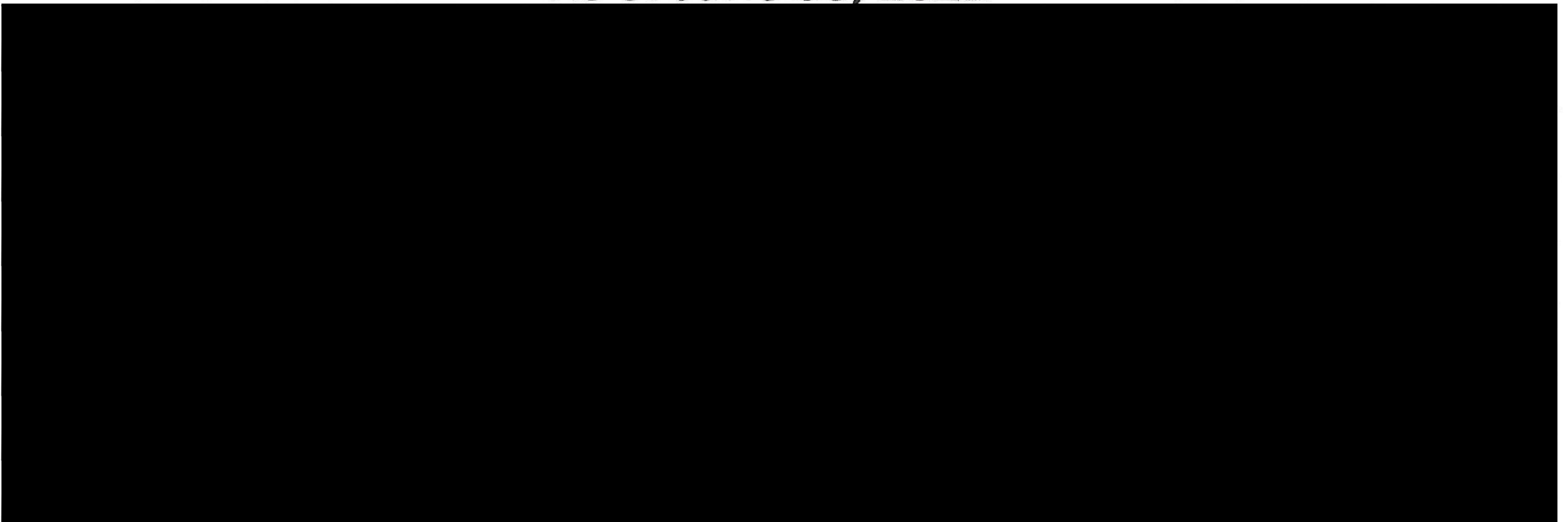
[REDACTED]

In 2019, PENN opened the first interactive (online) casino in the Commonwealth of Pennsylvania and has since launched online casino in the states of Michigan, New Jersey, and West Virginia with the Barstool Casino. In addition, PENN has launched the online Barstool Sportsbook in the following 13 states: Arizona, Colorado, Illinois, Indiana, Iowa, Kansas, Louisiana, Michigan, New Jersey, Pennsylvania, Tennessee, Virginia, and West Virginia.

[REDACTED]

Ownership Interests in Penn Entertainment, Inc.

**Penn Entertainment, Inc.
Ownership Chart
As of June 30, 2022**



Beneficial Ownership

Penn Entertainment, Inc.
~~Penn National Gaming, Inc.~~



List (1) individuals and entities who own 10 percent or more of the applicant; (2) individuals and entities who have the ability to control the activities of the applicant; and (3) any executive, employee or agent having the power to exercise significant influence over decisions concerning the applicant's sports wagering operations in Massachusetts.

1. PENN Entertainment, Inc.
100% ownership

2. PENN Entertainment, Inc.
Executive Officers:
President/CEO: Jay Snowden
EVP/CFO: Felicia Hendrix
EVP/Operations: Todd George
EVP/Chief Strategy Officer: Chris Rogers

Penn Interactive Ventures, LLC
Officers:
President: Jay Snowden
Vice President: Benjie Levy (Approval Pending)
Treasurer: Felicia Hendrix
Secretary: Harper Ko

Penn Sports Interactive, LLC
Officers:
President: Jay Snowden
Treasurer: Felicia Hendrix
Secretary: Harper Ko

3. [REDACTED]

Exhibit 5,

[REDACTED]

[REDACTED]



License Granted

Kansas Racing and Gaming Commission

PSI granted Sports Wagering Provisional Certification, License Number: 5000530 (retail B2B services and online sports wagering offering)

Louisiana Gaming Control Board

PSI granted Sports Wagering Platform Provider License B016503440 (retail B2B services offering and online sports wagering offering)

Wyoming Gaming Commission

PSI granted Online Sports Wagering Operator License (for future online sports wagering offering)

[REDACTED]