



**Monitoring of
Project Construction
and
Licensee Requirements
205 CMR 135**

**Quarterly Report
as of
June 30, 2014**





Monitoring of Project Construction and Licensee Requirements Quarterly Report as of 6-30-14

Table of Contents

Project Schedules and Reporting	205 CMR 135.2 Reference	Appendix Reference
Updated Guidelines	1	1
Project Schedule	2(a)(c).....	2
Affirmative Action Program	3	3
Project Schedule Changes (if applicable)	4	4
Quarterly Status Report		
Cost of Construction	5(a)	5
Capitalization of Gaming License	5(b)	5
Design & Construction Contracts	5(c)	6
Status of Work Completed	5(d)	7
Work Force Statistics	5(e)	8
Minority Contracts	5(f)	9
Permits	6	10
Organized Labor Letter	7	11
Operational Affirmative Action Plan.....	8	12

APPENDIX 1

Plainridge Park Casino Quarterly Report to MGC Updated Guidelines As of June 30, 2104

Reference 205 CMR 135.02 – (1)

Not applicable this quarter.

APPENDIX 2

**Plainridge Park Casino
Quarterly Report to MGC
Project Schedule
As of June 30, 2104**

Reference 205 CMR 135.02 – (2)(a)(c)

Project schedule (two pages attached) was approved by MGC during meeting of July 10, 2014.



**PENN NATIONAL
GAMING, INC.**

PLAINRIDGE PARK CASINO NON-CONSTRUCTION ACTIVITY PROJECT SCHEDULE
EXTERNAL INTERFACES

Activity ID	Activity Name	Rem. Dur.	%	Start	Finish	Total Float
Plainridge Park Casino Project Schedule - DD-05.16.14		584		03-Mar-14 A	12-Sep-16	0
Non-Construction Activity Schedule (Activities)		584		03-Mar-14 A	12-Sep-16	0
SG&R Procurement		395		03-Mar-14 A	10-Dec-15	189
PPC-NON/CONSTR-0020	SG&R - Provide Plans For Contracting With Local Business Owners (Statute Check List # 8)	11	0%	03-Mar-14 A	02-Jun-14	573
PPC-NON/CONSTR-0310	SG&R - Slot Machine Procurement & Manufacturing	102	0%	01-Oct-14*	27-Feb-15	0
PPC-NON/CONSTR-0410	SG&R - Slot Machine Delivery & Installation	41	0%	01-Apr-15*	29-May-15	0
PPC-NON/CONSTR-0040	SG&R - Design & Construct To LEED Gold Certification	0	0%		03-Jul-15	189
PPC-NON/CONSTR-0050	SG&R - Apply To USGBC For LEED Gold Certification	30	0%	06-Jul-15	14-Aug-15	189
PPC-NON/CONSTR-0030	SG&R - Provide LEED Certification To MGC (Statute Check-List # 12)	80	0%	17-Aug-15	10-Dec-15	189
Hosting & Surroundings Communities		584		03-Mar-14 A	12-Sep-16	0
PPC-NON/CONSTR-0080	SG&R - Identify Infrastructure Costs + Commit To Mitigation Plan (Statute C.L. # 23)	83	0%	03-Mar-14 A	12-Sep-14	196
PPC-NON/CONSTR-0090	SG&R - Implement Measures To Address Problem Gaming (Statute C.L. # 23)	251	0%	03-Mar-14 A	15-May-15	333
PPC-NON/CONSTR-0100	SG&R - Conduct Baseline Studies (License # 9 Other)	63	0%	02-Mar-15*	29-May-15	0
PPC-NON/CONSTR-0110	SG&R - Traffic Related Baseline Studies (RFA # 2)	63	0%	02-Mar-15	29-May-15	324
PPC-NON/CONSTR-0120	SG&R - Conduct Jobfairs (SCA Foxboro, Mansfield, North Attleboro, Wrentham)	409	0%	03-Mar-14 A	31-Dec-15	175
PPC-NON/CONSTR-0370	SG&R - Monitor & Assess Initial Impacts To Community (Starting Post Opening Day)	254	0%	06-Jul-15	08-Jul-16	0
PPC-NON/CONSTR-0380	SG&R - Prepare Initial Reports On Post Opening Monitoring	45	0%	11-Jul-16	12-Sep-16	0
Regulatory Approvals		260		03-Mar-14 A	29-May-15	324
PPC-NON/CONSTR-0350	MGC - Approve Mass. Community College Training Plans (License # 12)	0	0%		15-Jul-14*	0
PPC-NON/CONSTR-0150	MGC - Finalize & Approve Gaming Office Requirements (RFA # 2)	51	0%	19-Jun-14*	29-Aug-14	0
PPC-NON/CONSTR-0260	SG&R - Submit Exterior Design Plans For MGC Approval (FDC # 9)	34	0%	15-Jul-14*	29-Aug-14	0
PPC-NON/CONSTR-0270	SG&R - Submit Floor Plan For MGC Approval (FDC # 9)	34	0%	15-Jul-14*	29-Aug-14	0
PPC-NON/CONSTR-0400	SG&R - Submit Required Approvals List & MGC Approval (Summary Item # 25)	33	0%	16-Jul-14*	29-Aug-14	0
PPC-NON/CONSTR-0170	MGC - Develop & Issue Surveillance System Specifications	88	0%	03-Mar-14 A	19-Sep-14	458
PPC-NON/CONSTR-0240	MGC - Issue Free Play Standards (License # 26)	65	0%	19-Jun-14*	19-Sep-14	0
PPC-NON/CONSTR-0290	MGC - Issue State Slot Monitoring System Requirements	65	0%	19-Jun-14*	19-Sep-14	0
PPC-NON/CONSTR-0010	MGC - Provide Regulation / Full Descriptions Of Internal Controls (Statute Check-List # 4)	95	0%	03-Mar-14 A	30-Sep-14	406
PPC-NON/CONSTR-0280	MGC - Approve Slot Machine Requirements	64	0%	01-Jul-14*	30-Sep-14	0
PPC-NON/CONSTR-0320	MGC - License Slot Machine Vendors	64	0%	01-Jul-14*	30-Sep-14	0
PPC-NON/CONSTR-0200	SG&R - Submit Slot Monitoring System For MGC Approval	20	0%	19-Sep-14*	17-Oct-14	0
PPC-NON/CONSTR-0180	SG&R - Submit Surveillance System Plan For MGC Approval (RFA C.L. # 5 & 6)	38	0%	22-Sep-14	14-Nov-14	458
PPC-NON/CONSTR-0060	SG&R - File & Submit Internal Controls To MGC	51	0%	01-Oct-14	15-Dec-14	406
PPC-NON/CONSTR-0160	MGC - Approve Internal Controls	32	0%	16-Dec-14	30-Jan-15	406
PPC-NON/CONSTR-0220	SG&R - Submit Slot Machine Testing Program For MGC Approval	22	0%	15-Jan-15*	13-Feb-15	0
PPC-NON/CONSTR-0390	SG&R - Submit "12.15.14" Bank Secrecy Act Plan To MGC For Approval "02.27.15"	52	0%	15-Dec-14*	27-Feb-15	0
PPC-NON/CONSTR-0140	MGC - Develop & Provide On Site Requirements For Inspection For Pre-Opening Activities (RF...	22	0%	02-Mar-15*	31-Mar-15	0
PPC-NON/CONSTR-0070	SG&R - Submit Responsible Gaming Plan & MGC Approval (FDC # 20)	251	0%	03-Mar-14 A	15-May-15	333
PPC-NON/CONSTR-0130	MGC - Develop & Provide Process & Road Map Requirements For On-Site Operating License I...	260	6.81%	03-Mar-14 A	29-May-15	324
PPC-NON/CONSTR-0190	MGC - On-Site Review & Approval Process Of Surveillance System	54	0%	13-Mar-15*	29-May-15	0
PPC-NON/CONSTR-0230	MGC - Test & Approve Slot Monitoring System	41	0%	01-Apr-15*	29-May-15	0
Other Requirements		130		17-Oct-14	27-Apr-15	0
PPC-NON/CONSTR-0330	SG&R - Execute Amended Lottery Agreement (License # 10)	0	0%		17-Oct-14*	0
PPC-NON/CONSTR-0340	SG&R - Submit Plan Regarding Mass. Office Of Travel & Tourism (License #23)	0	0%		13-Feb-15*	0
PPC-NON/CONSTR-0360	SG&R - Apply For Or Amend Existing & Obtain Alcoholic Beverage License	70	0%	16-Jan-15*	27-Apr-15	0

APPENDIX 3

Plainridge Park Casino Quarterly Report to MGC Affirmative Action Program As of June 30, 2104

Reference 205 CMR 135.02 – (3)

Diversity Plan for the Design & Construction Phase of Plainridge Park Casino previously approved during MGC meeting held May 15, 2014.

APPENDIX 4

Plainridge Park Casino Quarterly Report to MGC Project Schedule Changes As of June 30, 2104

Reference 205 CMR 135.02 – (4)

Not applicable this quarter.

APPENDIX 5

**Plainridge Park Casino
Quarterly Report to MGC
Cost of Construction and Capitalization of Gaming Licensee
As of June 30, 2104**

Reference 205 CMR 135.02 – (5)(a)(b)

Certification letter attached.



PENN NATIONAL
GAMING, INC.

July 21, 2014

Massachusetts Gaming Commission
84 State Street, 10th Floor
Boston, MA 02109

RE: Quarterly Report

Dear Commissioners:

In accordance with 205 CMR 135.02(5)(a) of the Massachusetts Gaming Commission Monitoring of Project Construction and Licensee Requirements (the "Monitoring Regulations"), please see below for the total estimated costs of construction and related infrastructure improvements relating to the development of the Plainridge Park Casino in Plainridge, Massachusetts (the "Facility"), and related costs incurred through June 30, 2014 which have been calculated in accordance with 205 CMR 122.03: Costs Included in the Calculation of Capital Investment.

	Cost Incurred as of 6/30/14	Estimated Remaining Cost	Total Estimated Cost
Building/Construction ¹	\$ 21,483,750	\$ 94,532,089	\$ 116,015,839
Land	\$ 18,980,513	\$ -	\$ 18,980,513
Other Acquisition Costs	\$ 2,084,648	\$ -	\$ 2,084,648
License/Application Fees	\$ 25,000,000	\$ -	\$ 25,000,000
Slots	\$ -	\$ 18,100,000	\$ 18,100,000
FF&E	\$ 146,826	\$ 16,318,174	\$ 16,465,000
Design	\$ 1,604,000	\$ 5,750,000	\$ 7,354,000
Preopening Expenses	\$ -	\$ 7,000,000	\$ 7,000,000
Cage Cash	\$ -	\$ 7,000,000	\$ 7,000,000
Capitalized Interest	\$ 11,000	\$ 2,989,000	\$ 3,000,000
Off-Site Improvements	\$ -	\$ 4,000,000	\$ 4,000,000
Total	\$ 69,310,737	\$ 155,689,263	\$ 225,000,000

¹ Includes site preparation.

In addition, in accordance with 205 CMR 135.02(b) of the Monitoring Regulations, I direct you to the publicly-filed financial statements of Penn National Gaming, Inc. ("Penn"), the parent of Springfield Gaming and Redevelopment, LLC (the "Applicant"), including Penn's Annual Report on Form 10-K for the year ended December 31, 2013, filed with the Securities and Exchange Commission (the "SEC") on February 27, 2014, and Penn's Quarterly Report on Form 10-Q for the quarter ended March 31, 2014, filed with the SEC on May 1, 2014, each of which are available at www.sec.gov. As reflected in these financial statements, the Applicant has sufficient financial resources in order to meet all expected financial obligations relating to the completion of the gaming establishment and related infrastructure improvements associated with the Facility.

I, Saul V. Reibstein, hereby certify, to my knowledge and in my capacity as Chief Financial Officer of Penn National Gaming, Inc., as to the material veracity of the foregoing.

Very truly yours,



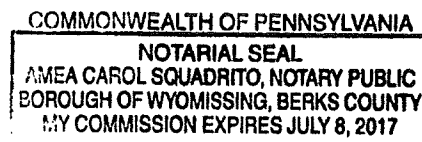
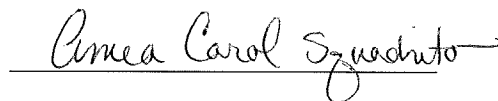
Saul V. Reibstein
Chief Financial Officer

COMMONWEALTH OF PENNSYLVANIA

COUNTY OF BERKS

On this 18th day of July, 2014, before me, the undersigned officer, personally appeared Saul V. Reibstein, who acknowledged himself to be the Chief Financial Officer of Penn National Gaming, Inc., a Pennsylvania corporation, and that he, being authorized to do so, executed the foregoing instrument for the purposes therein contained by signing the name of such company by himself as the authorized officer.

IN WITNESS WHEREOF, I have hereunder set my hand and Notarial Seal.



Plainridge Park Casino
 Quarterly Report to MGC
 Design and Construction Contracts
 As of June 30, 2014

Reference 205 CMR 135.02(5)(c)

LIST OF DESIGN AND CONSTRUCTION CONTRACTS ISSUED THROUGH JULY 15, 2014

<u>Vendor/Contractor</u>	<u>Date</u>	<u>Amount</u>	<u>Services</u>	<u>MGC Status</u>
JCJ Architecture	3-1-2014	\$2,367,000	Architectural and Design Services	VGS - Pending
Genesis Associates	4-7-2014	\$572,740	Interior Design - Casino	VGS - Temporary
Tilton & Associates, Inc.	5-5-2014	\$350,000	Civil Engineer and Surveying	VGS - Pending
Genesis Associates	6-11-2014	\$265,000	Interior Design – Clubhouse	VGS - Temporary
McMahon Associates	6-17-2014	\$248,900	MassDOT Design/Engineering/Permitting and Transit Services Evaluation	NGV - Registrant
Strategic Building Solutions, LLC	5-1-2014	\$102,037	Commissioning Services	NGV - Registrant
Briggs Engineering & Testing	5-29-2014	\$54,748	Testing and Inspection Services	NGV - Pending
WBA Associates	5-8-2014	\$33,260	Field Inspection Services	NGV - Registrant
GZA GeoEnvironmental Inc.	6-24-2014	\$25,000	Environmental Site Assessment services and Traffic Study Services	NGV - Registrant
Mechanical Designs Ltd	5-21-2014	\$24,000	Fire Protection Inspection and Compliance Review	NGV - Registrant
Engineers Design Group, Inc.	4-10-2014	\$7,500	Peer Engineering Review	NGV - Registrant
Turner Construction Company	7-10-2014	Amount TBD by Change Order	Construction Manager	VGS - Pending

APPENDIX 7

**Plainridge Park Casino
Quarterly Report to MGC
Status Report of Construction Activities
As of June 30, 2104**

Reference 205 CMR 135.02 – (5)(d)

Status Report Reflecting Progress of Construction

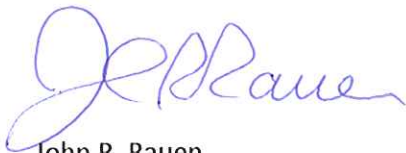
- Significant construction activities to date are in line with approved schedule (see Appendix 2) and include:
 - Project design components on track for July 2014 completion
 - Critical building permits in hand or expected by end of August 2014
 - Site work / underground utilities / parking lot construction
 - Casino building foundations
 - Procurement and erection of casino building/structural steel
 - Procurement of long lead mechanical and electrical equipment
 - Resumption of parking garage construction

Areas of non-compliance with approved schedule

- None

Certification Statement

I certify that construction activities through June 30, 2014, are in compliance with the project schedule approved by MGC on July 10, 2014.



John R. Rauen
Authorized Representative
Springfield Gaming and Redevelopment, LLC

APPENDIX 8

Plainridge Park Casino Quarterly Report to MGC Project Construction Work Force As of June 30, 2104

Reference 205 CMR 135.02 – (5)(e)

Detailed statistical reports attached:

- Subcontractors Workforce Percentages
- Weekly Hours and Percentages
- Site Orientation Log
- Total Weekly Manpower Chart

Deviation from established goals:

Minority	10% participation to date vs. goal of 16%
Female	3% participation to date vs. goal of 7%

- As part of the bid and subcontract process, Turner advised of our workforce goals
- Early work periods did not meet established goals
- Turner held corrective action meetings with subcontractors during the week of 6/16/14
- Improvement noted in subsequent weeks
 - Minority improvements from 9% to 16%
 - Female improvements from 3% to 5%
- Licensee and Turner will continue to monitor work force participation and established goals

SUBCONTRACTORS WORKFORCE PERCENTAGES ~ Plainridge Park Casino

WEEK ENDING	SUBCONTRACTOR	THIS WEEKS HOURS	LAST WEEKS HOURS	Y-T-D JOB HOURS	Minority Y-T-D HOURS	LAST WEEK Minority %	THIS WEEK Minority %	Minority YEAR TO DATE %	Female Y-T-D HOURS	LAST WEEK Female %	THIS WEEK Female %	Female YEAR TO DATE %	Veteran Y-T-D HOURS	LAST WEEK Veteran %	THIS WEEK Veteran %	Veteran YEAR TO DATE %
6/29/2014	Turner Construction	245.0	303.0	2,448.0	654	28	16	27	218.0	26	33	9	0.0	0	0	0
6/29/2014	Marois Brothers	706.5	778.0	4,841.0	146	8	10	3	18.0	0	3	0	828.0	14	12	17
6/29/2014	S&F Concrete	632.5	805.5	3,621.0	325	11	13	9	0.0	0	0	0	0.0	0	0	0
6/29/2014	NB Kenney	133.0	344.0	1,133.0	0	0	0	0	0.0	0	0	0	188.0	33	12	17
6/29/2014	Ostrow Electrical	200.0	207.0	1,489.0	24	0	12	2	0.0	0	0	0	607.0	20	32	41
6/29/2014	Melo's Rodbusters	117.0	127.0	988.0	96	19	41	10	285.0	37	25	29	0.0	0	0	0
6/29/2014	Costa Brothers	224.0	0.0	247.0	32	35	11	13	0.0	0	0	0	0.0	0	0	0
6/29/2014	King Erectors	557.5	0.0	557.5	174	0	31	31	0.0	0	0	0	0.0	0	0	0
	TOTALS	2,815.5	2,564.5	15,462.5	1,514.0	15	16	10	521.0	5.0	5	3	1,623.0	6.0	6	10

	<u>Project Goals</u>	<u>Year To Date</u>
Minority	16%	10%
Female	7%	3%
Veteran	Aspirational 3%	10%

WEEKLY HOURS AND PERCENTAGES ~ Plainridge Park Casino

SUBCONTRACTOR	Minority HOURS	Minority %	Last week Minority %	Female HOURS	Female %	Last Week Female%	Veteran HOURS	Veteran %	Last Week Veteran%	TOTAL HOURS	Last Weeks Hour	Week Ending
Turner Construction	40	16	28.0	80.0	33	26	0.0	0	0	2448.0	303.0	6/29/2014
Marois Brothers	69	10	8.0	18.0	3	0	88.0	12	14	4841.0	778.0	6/29/2014
S&F Concrete	85	13	11.0	0.0	0	0	0.0	0	0	3621.0	805.5	6/29/2014
NB Kenney	0	0	0.0	0.0	0	0	16.0	12	33	1133.0	344.0	6/29/2014
Ostrow Electrical	24	12	0.0	0.0	0	0	64.0	32	20	1489.0	207.0	6/29/2014
Melo's Rodbusters	48	41	19.0	29.0	25	37	0.0	0	0	988.0	127.0	6/29/2014
Costa Brothers Masonry	24	11	35.0	0.0	0	0	0.0	0	0	247.0	23.0	6/29/2014
King Erectors	174	31	0.0	0.0	0	0	0.0	0	0	557.5	0.0	6/29/2014
TOTAL HOURS FOR THE WEEK	463.0			127.0			168.0			15462.5		
TOTAL % FOR THE WEEK	16			5			6					

Plainridge Park Casino Individual Workers Onsite			
	Name	Orientation Date	Company
1	David Geisser	29-Apr	Briggs
2	Steven Connor	30-Apr	Briggs
3	Michael Obisanya	6-May	Briggs
4	Garry Riel	28-May	Bristol Fire
5	Brandol Milby	14-May	Commonwealth Scaffold
6	James Nocella	14-May	Commonwealth Scaffold
7	Jamie Feliciano	14-May	Commonwealth Scaffold
8	Luke McKinnion	14-May	Commonwealth Scaffold
9	Matt Greenberg	14-May	Commonwealth Scaffold
10	Douglas B Cook	12-May	Cook Landclearing
11	Joshua Harding	20-May	Cook Landclearing
12	Dennis Fratus	12-May	Cosco Inc.
13	Edward Freitas	12-May	Cosco Inc.
14	John E. DeCosta Jr.	12-May	Cosco Inc.
15	Horacio Tavares	20-Jun	Costa
16	Joe deAlmeida	20-Jun	Costa
17	Paulo Paulino	20-Jun	Costa
18	Luis Barboza	23-Jun	Costa
19	Paulo Gaspar	24-Jun	Costa
20	Robert Valentin	24-Jun	Costa
21	Jose Silva	7-Jul	Costa
22	Manuel Francisco	8-Jul	Costa
23	William Currie	23-Jun	Currie
24	Joseph Briscell	12-Jun	Haron
25	James G. Kearney	23-Jun	Imperatore
26	Joe Renzi	23-Jun	Imperatore
27	Peter Bernazzani	23-Jun	Imperatore
28	Danae Tinsley	7-May	JCJ Arch.
29	Darline Whitmore	7-May	JCJ Arch.
30	David M. Battistoni	23-Jun	King
31	Dirk Andrews	23-Jun	King
32	Gregory Witherspoon	23-Jun	King
33	John R. Smith	23-Jun	King
34	Joseph B. McGuire	23-Jun	King
35	Joseph K Rose	23-Jun	King
36	Louis LeBlanc	23-Jun	King
37	Mickey Edick	23-Jun	King
38	Sean Wallace	23-Jun	King
39	Victory Valenzuela	23-Jun	King
40	Daniel G. DesGanges	24-Jun	King
41	Jacob Souliere	1-Jul	King
42	Joseph R. McWilliams	1-Jul	King
43	Thomas Farrell	1-Jul	King
44	Tina James	1-Jul	King
45	David Ranley	3-Jul	Marois
46	Jose Torres	10-Jul	Marois
47	Anthony t. Latour III	22-Apr	MBI
48	Jeffrey N. Marois	22-Apr	MBI
49	Raymond Bento, Jr.	22-Apr	MBI
50	Stephen Hedges Sr.	22-Apr	MBI
51	John Pruiner	24-Apr	MBI
52	Timothy Smith	24-Apr	MBI
53	Joel F. Leporati	28-Apr	MBI
54	Jeffrey C. White	30-Apr	MBI
55	Brian Hallen	2-May	MBI
56	William T. Kuszewski	2-May	MBI
57	Cory Winn	3-May	MBI

Plainridge Park Casino Individual Workers Onsite			
	Name	Orientation Date	Company
58	Paul Davis	19-May	MBI
59	Joshua Kubilis	27-May	MBI
60	Mitchell Connolly	27-May	MBI
61	James Towne	5-Jun	MBI
62	John Virgilio	5-Jun	MBI
63	Ricky Gallant	5-Jun	MBI
64	Timothy Weih	5-Jun	MBI
65	Carleton R. Matthews	19-Jun	MBI
66	Jill Marois	23-Jun	MBI
67	Andy Dufore	9-Jun	MD Drilling
68	James E. Moore	9-Jun	MD Drilling
69	Thomas Marcoux	9-Jun	MD Drilling
70	Mathew Fitzgerald	12-Jun	MD Drilling
71	Richard Galletta	12-Jun	MD Drilling
72	Anthony DeStito	17-Jun	MD Drilling
73	Jacob Bell	18-Jun	MD Drilling
74	Jason Corrao	10-Jul	MD Drilling
75	Vincent Bennett	11-Jul	MD Drilling
76	John Dillion	11-Jul	MD Drilling
77	Cheryl Ann Page	2-May	Melo Rod
78	Dustin Oliveira	2-May	Melo Rod
79	James W. Czolada	2-May	Melo Rod
80	Jose E. Melo	2-May	Melo Rod
81	Marco Correta	2-May	Melo Rod
82	Andrew Whalen	5-May	Melo Rod
83	Edgar Monteiro	5-May	Melo Rod
84	Richard Sousa, JR.	15-May	Melo Rod
85	Brandon Paige	29-May	Melo Rod
86	Cory Page	29-May	Melo Rod
87	Daniel J. Carvalho	29-May	Melo Rod
88	Lawrence Cedrone Jr.		Melo Rod
89	Ron J. Panarelli	28-Apr	NBK
90	Thomas Koney	28-Apr	NBK
91	Kenneth m. Jameson	19-May	NBK
92	Thomas E. Campbell III	19-May	NBK
93	Walter Dyer	19-May	NBK
94	James M. Grimes Jr.	10-Jun	NBK
95	Greg Reardon	11-Jun	NBK
96	James Clark	11-Jun	NBK
97	Charles F. Clougherty	12-Jun	NBK
98	Wayne Cote	12-Jun	NBK
99	Michael Holland	20-Jun	NBK
100	James Openshaw	1-May	Ostrow
101	Jacob I. Santos	7-May	Ostrow
102	Marco Nobrega	7-May	Ostrow
103	Justin Huber	12-May	Ostrow
104	Gary D. Doyle	19-May	Ostrow
105	Timo Ojanpera	19-May	Ostrow
106	Nicholas Smioth	9-Jun	Ostrow
107	Robert Guinto	16-Jun	Ostrow
108	Michael McGrew	7-May	Penn Game
109	Joseph Smith	14-May	Penn Game
110	Dane Wigfall	2-Jun	Pinck
111	William Rivers	9-Jul	Pro Cut
112	Thomas Crossman	22-Apr	S&F Concrete
113	Joe D. Sagado	30-Apr	S&F Concrete
114	Paul Terranova	30-Apr	S&F Concrete

Plainridge Park Casino Individual Workers Onsite			
	Name	Orientation Date	Company
115	Richard Rioux	30-Apr	S&F Concrete
116	Andrew Page	1-May	S&F Concrete
117	Casimiro Costa	1-May	S&F Concrete
118	Ken Boudry	1-May	S&F Concrete
119	Luciano Cabral	2-May	S&F Concrete
120	Daniel Crowley	5-May	S&F Concrete
121	Eduardo Arruda	5-May	S&F Concrete
122	Francis Prestopino	5-May	S&F Concrete
123	Francisco V. Machado	5-May	S&F Concrete
124	Antonio Augusto	6-May	S&F Concrete
125	Manuel C. Sousa	6-May	S&F Concrete
126	Paulo Leanders	12-May	S&F Concrete
127	Denis Paim	19-May	S&F Concrete
128	Kyle Barlow	19-May	S&F Concrete
129	Fernando Ferreira	21-May	S&F Concrete
130	Pedro Teixeira	21-May	S&F Concrete
131	David Cardoso	22-May	S&F Concrete
132	Jose Sousa	22-May	S&F Concrete
133	Serafim J. Rodrigues	22-May	S&F Concrete
134	Wayne Marcou	28-May	S&F Concrete
135	John Hollingdale	29-May	S&F Concrete
136	Milton Mercure	2-Jun	S&F Concrete
137	Antonio Leiva	3-Jun	S&F Concrete
138	Antonio Reis	3-Jun	S&F Concrete
139	Armindo Santos	3-Jun	S&F Concrete
140	Domingos Silva	3-Jun	S&F Concrete
141	Isidro Luz	3-Jun	S&F Concrete
142	Jaime Pinto	3-Jun	S&F Concrete
143	Joe Costa	3-Jun	S&F Concrete
144	Jorge Sousa	3-Jun	S&F Concrete
145	Jose Maria Duarte	3-Jun	S&F Concrete
146	Jose Resendes	3-Jun	S&F Concrete
147	Paulo Figueiredo	3-Jun	S&F Concrete
148	Sergio Freitas	3-Jun	S&F Concrete
149	Shawn Costa	3-Jun	S&F Concrete
150	Tiago Farias	3-Jun	S&F Concrete
151	Tracey Kinlan	3-Jun	S&F Concrete
152	Francisco M Chaves	5-Jun	S&F Concrete
153	Zakary Medeiros	5-Jun	S&F Concrete
154	Antonio Ferreira	6-Jun	S&F Concrete
155	Richard Fonseca	9-Jun	S&F Concrete
156	Artur Ribeiro	10-Jun	S&F Concrete
157	Marco Correia	10-Jun	S&F Concrete
158	Mario Dwartte	11-Jun	S&F Concrete
159	Rene R. Pariseau	13-Jun	S&F Concrete
160	Ronald Carty	13-Jun	S&F Concrete
161	Antonio Silva	17-Jun	S&F Concrete
162	Armindo F. Andrude	18-Jun	S&F Concrete
163	David Camara	18-Jun	S&F Concrete
164	Victor Santos	18-Jun	S&F Concrete
165	Israel DaSilva	19-Jun	S&F Concrete
166	Raymond Maglio	19-Jun	S&F Concrete
167	Craig M. Jacobs	20-Jun	S&F Concrete
168	Espidio Tavares	20-Jun	S&F Concrete
169	Jamie Barandas	20-Jun	S&F Concrete
170	Jose Oliveira	20-Jun	S&F Concrete
171	Steve Serpa	20-Jun	S&F Concrete

Plainridge Park Casino Individual Workers Onsite			
	Name	Orientation Date	Company
172	Antonio Monteiro	21-Jun	S&F Concrete
173	Daniel Viveiros	21-Jun	S&F Concrete
174	Gabriel Barragan	21-Jun	S&F Concrete
175	Joseph Walsh	21-Jun	S&F Concrete
176	Roger Melo	21-Jun	S&F Concrete
177	Donald Kenyon	9-Jun	Security Fence
178	Sean Knox	9-Jun	Security Fence
179	Damien LaPierre	10-Jun	Security Fence
180	Evaristo J. Pereira	10-Jun	Security Fence
181	Michael W. Hoffman	10-Jun	Security Fence
182	Peter Albert		Security Fence
183	Alexander Robichaud	23-Apr	Soini
184	Chris Alix	23-Apr	Soini
185	Dennis A Alix	23-Apr	Soini
186	Jose Daniel Navarro	23-Apr	Soini
187	Norman Thibodeaut	23-Apr	Soini
188	Jon Andrade	25-Apr	Steelco
189	Jose A. Acuna	25-Apr	Steelco
190	Glenn A Ofcarcik	7-May	Tilton
191	Brian Peck	22-Apr	Turner
192	Ed Eppolilo	22-Apr	Turner
193	Michael O'Brien	22-Apr	Turner
194	Nate Showalter	22-Apr	Turner
195	Sal Louro	22-Apr	Turner
196	Dale Randolph	24-Apr	Turner
197	Andrew Mellor	30-Apr	Turner
198	Jason J. Ray	5-May	Turner
199	Joseph A. Couto	5-May	Turner
200	Tyler Matt	5-May	Turner
201	Gregory Fahy	27-May	Turner
202	Jason Hayes	27-May	Turner
203	Paul Tafe	27-May	Turner
204	Ryan Killarney	27-May	Turner
205	Randy Gobern	6-Jun	Turner
206	Max Martin	9-Jun	Turner
207	Peter Troisi	9-Jun	Turner
208	Heidi B. Pucillo	10-Jun	Turner
209	Elaine Polito	11-Jun	Turner
210	Emmanuel Fontes	12-Jun	Turner
211	Mario Rodriguez	16-Jun	Turner
212	Michael Blazejewski	16-Jun	Turner
213	Allison DeLuca	19-Jun	Turner
214	Michael Horgan	7-Jul	Turner
215	Dave Moore	9-Jul	Turner
216	Elizabeth Nguyn	14-Jul	Turner
217	Carolyn LaCamera		Turner
218	Ed Papacoda		Turner
219	James Delaney		Turner
220	Mahmoud Asad		Turner
221	Tyler Cornel		Turner
222	William Slaney		Turner
223	Mike Hart	2-Jun	Willow Tree
224	Orlando Cappiello	2-Jun	Willow Tree
225	Vincent A Desharnais	10-Jun	Willow Tree

APPENDIX 9

Plainridge Park Casino Quarterly Report to MGC Contracts and Payments to Minority, Women and Veteran Business Enterprises for Design Phase As of June 30, 2104

Reference 205 CMR 135.02 – (5)(f)

Detailed statistical report:

Minority	0% participation to date vs. goal of 4%
Female	0% participation to date vs. goal of 7%
Veteran	0% participation to date vs. aspirational goal of 3%

Deviation from established goals:

- We recognize the State’s goals, as mentioned above, for design phase of the project
- Results to date have not achieved the goals, as referenced in the Diversity Plan for the Design & Construction Phase of Plainridge Park Casino approved during MGC meeting held May 15, 2014, due to the following:
 - Unique set of circumstances due to Penn’s late entry into the project (September 2013)
 - Inherited the design and design team from the previous applicant
 - Significant design work already completed prior to Penn entry into the project
 - Penn elected to retain design team to advance overall goals of the project
 - Created limitation to source and retain MBE/WBE/VBE design professionals
 - Continue to work with design team members to identify any MBE/WBE/VBE opportunities

Actual Payments to Minority Business Enterprises

As of June 30, 2014, no payments were contractually due to any design team firms (majority or minority enterprises).

**Plainridge Park Casino
Quarterly Report to MGC
Contracts and Payments to Minority, Women and
Veteran Business Enterprises for Construction Phase
As of June 30, 2104**

Reference 205 CMR 135.02 – (5)(f)

Detailed statistical reports attached:

- M/W/VBE Tracking Report updated through 6/30/14
 - Through 6/30/14 Turner has issued \$30.2 million in construction subcontracts
- | | |
|----------|--|
| Minority | 23% participation to date vs. goal of 4% |
| Female | 6% participation to date vs. goal of 7% |
| Veteran | 0% participation to date vs. aspirational goal of 3% |

Deviation from established goals:

- Pleased with results to date for MBE/WBE
- No success to date with VBE
 - VBE is an emerging field
 - Limited historical/statistical data available
- Turner is researching trade organizations to identify VBE
- Given results to date Turner has:
 - Researched trade organizations available data to identify available VBE opportunities
 - Working with VetBiz for VBE opportunities
 - Will continue with ongoing search efforts as continued VBE participants emerge

Actual Payments to Minority Business Enterprises

As of June 30, 2014, no payments were contractually due to any Turner subcontractors (majority or minority enterprises).

updated: **6/30/2014**

Trade	Contract Award Date	Awarded Prime Subcontractor	MBE / WBE / VBE Opportunity	Updated At award					
				Original Commitment					
				MBE	%	WBE	%	VBE	%
Existing Conditions Demo and Structure lower tier	05/16/14	Turner	None						
Temp Stair lower tier	05/16/14	Commonwealth	None						
Earthwork lower tier	04/11/14	Marois	Don Martin Corporation FC Corporation Security Fence Company Willow Tree Outdoor Markings, Inc.						
Earthwork - Garage lower tier	05/12/14	Marois	None						
Concrete lower tier	04/04/14	S&F	S&F Rebars and Mesh Melo's Rod Busters						
Concrete - Garage lower tier	05/12/14	S&F	S&F Rebars and Mesh Melo's Rod Busters						
Masonry lower tier	06/10/14	Costa Brothers Masonry	Costa Brothers Masonry						
Steel Framing lower tier	04/11/14	Industries Canatal	Erection and Welding						
Misc Metal lower tier									
Architectural Woodwork lower tier									
Damproofing & Waterproofing lower tier	06/19/14	Chapman	Gilbert & Becker Villanova						
Membrane Roofing lower tier	05/16/14	Titan Roofing	Titan Roofing						
Spray on Fireproofing lower tier									
Spray Foam lower tier	06/17/14	Island International	Iroquois Bar Corp						
Doors & Frames lower tier									

updated: **6/30/2014**

Trade	Contract Award Date	Awarded Prime Subcontractor	MBE / WBE / VBE Opportunity	Updated At award					
				Original Commitment					
				MBE	%	WBE	%	VBE	%
Entrances Storefronts & Curtainwall lower tier	06/17/14	Modern Glass	Material Hardware						
Plaster & Gypsum Board lower tier	06/10/14	TJ McCartney	TJ McCartney						
Tiling lower tier									
Ceilings lower tier									
Flooring lower tier									
Finishes lower tier									
Paint lower tier									
Specialties lower tier									
Pre-Engineered Metal Building lower tier	04/11/14	Currie Building Systems	None						
Elevators lower tier									
Elevators - Garage lower tier	05/16/14	Kone	None						
Fire Protection- Garage lower tier	06/05/14	Arden Engineering	E.L. Waterman						
Temp Fire Protection lower tier	05/20/14	Bristol Fire Protection	None						
Plumbing lower tier									
Plumbing - Garage lower tier	05/16/14	NB Kenney	None						
Temp Plumbing lower tier	04/11/14	NB Kenney	None						

updated: **6/30/2014**

Trade	Contract Award Date	Awarded Prime Subcontractor	MBE / WBE / VBE Opportunity	Updated At award					
				Original Commitment					
				MBE	%	WBE	%	VBE	%
Underground Plumbing lower tier	06/17/14	NB Kenney	None						
HVAC lower tier									
MEP Equipment - Casino and Garage lower tier	04/11/14	Turner Logistics	None						
MEP Equipment - Simulcast lower tier	06/17/14	Turner Logistics	None						
Electrical lower tier									
Electrical - Garage lower tier	05/12/14	Ostrow Electric	Reid Electric Fire Code Design						
Underground and Site Electrical lower tier	06/17/14	Ostrow Electric	Reid Electric Granite City Electric						
Photovoltaics lower tier									
Communication lower tier									
Security lower tier									
				\$ 6,876,605.00	23%	\$ 1,934,811.00	6%	\$ -	0%

TOTAL AWARDS TO DATE \$ **30,169,140.00**

PROJECT GOALS

MBE - 4%
 WBE - 7%
 VBE - 3%

COMMITMENT TOTALS

MBE Percentage 23%
 WBE Percentage 6%
 VBE Percentage 0%

APPENDIX 10

Plainridge Park Casino Quarterly Report to MGC Permits As of June 30, 2104

Reference 205 CMR 135.02 – (6)

Permit Chart and Corresponding Documents (pursuant to 205 CMR 120.01)

- Permit Status Report dated July 15 attached

Updates to MEPA Process

- Awaiting MASSDOT/MEPA response on Section 61 Findings for offsite traffic improvements
 - Expected before end of July 2014

Permit Appeals

- None

Plainridge Park Casino Permit Status Report

Report Updated July 16, 2014

<u>PERMIT</u>	<u>DATE ISSUED</u>
1. <u>Plainville Conservation Commission</u>	
• Negative Determination of Applicability (sitework) (no number assigned)	10/23/2012
• Order of Conditions (electrical transformer substation) Mass DEP File No. SE 265-0322	11/19/2013
• Order of Conditions (additional surface parking) Mass DEP File No. SE 265-0323	11/19/2013
• Order of Conditions (offsite highway improvements) Mass DEP File No. SE 265-0324	11/19/2013
2. <u>Plainville Board of Health</u>	
• Environmental Health Impact Permit (no number assigned)	07/09/2013
3. <u>Plainville Planning Board</u>	
• Amended Special Permit (no number assigned)	08/29/2013
4. <u>Plainville Building Department</u>	
• Building Permit (Core Shell Structure) Permit Number 14-134	06/18/2014
5. <u>Mass. Department of Transportation (MassDOT)</u>	
• Attractions Guide Signage Permit Number 5-2012-0130	09/05/2012
6. <u>Mass. Division of Fisheries and Wildlife (Mass DFW)</u>	
• Confirmation of “No-Take” Letter under Mass. Natural Heritage and Endangered Species Program NHESP Tracking No. 10-27867	04/12/2013
7. <u>Mass. Exec. Office of Energy & Environmental Affairs</u>	
• Certificate of the Secretary of Energy and Environ – mental Affairs on the Final Environmental Impact Report under the Massachusetts Environmental Policy Act (MEPA FEIR) EOEA Number 11431	12/27/2013
8. <u>U. S. Environmental Protection Agency (US EPA)</u>	
• Confirmation of No Permit Required under the National Pollutant Discharge Elimination System (NPDES) Permitting requirements	06/12/2013
 Pending Project Permits :	
* Building Permit - Simulcast Interior Demolition	Filed with Town
* Building Permit – Casino Interior Fitout	Filed with Town
 Future Project Permits:	
A.) <u>Plainville Conservation Commission</u> – Security Fence	Application In Process
B.) <u>MassDOT</u> - Highway Entrance Modifications	Awaiting DOT Response
C.) <u>Mass EOEEA MEPA Unit</u> – Section 61 Findings	Awaiting DOT Response

APPENDIX 11

Plainridge Park Casino Quarterly Report to MGC Notice to Organized Labor As To Licensees Commitments for Affirmative Action As of June 30, 2104

Reference 205 CMR 135.02 – (7)

Notice to Labor Unions

- Upon issuance of the License on February 28, 2014 , Turner Construction requested a pre-job conference with the Brockton Building Trades to further convey SG&R's licensing requirements and commitments pursuant to MGL. C23K various sections.
- Meeting held on April 2, 2014, to specifically review and outline the regulations and impress the importance of utilizing minorities, women, residents and veterans on construction jobs for Plainridge Park Casino.
- Brockton Building Trades Sign-In Sheet attached.
- Meeting was attended by Turner and organized by David Fenton- the lead Business Manager for the Brockton Area Building Trades.
- The goals of 16% minority, 7% female and 3% aspirational veterans were clarified and reinforced.
- The response from the Business Agents and Managers was positive and receptive.
- The general feedback was that the group understood the commitments and effort necessary to meet these requirements.

Other Organized Labor Efforts

- The PLA (Project Labor Agreement dated 1/23/14) had been executed by the Brockton Area Building trades prior to the April 2, 2014 meeting.
- Article 14 of the PLA provides for recognition of inclusion and specific goals of Licensee.
- Article 14 also recognizes the importance of hiring Plainville residents for construction jobs.

BROCKTON BUILDING TRADES
SIGN-IN SHEET
APRIL 2, 2014

NAME	AFFILIATE	CELL PHONE	EMAIL ADDRESS
OVID FERRELL	IBEW 223	528 524 8652	oferre@ibew223.org
MARLE FERRELL	LOCAL 550	617-828-8305	MARLE@SPRINKLERCONTRACTORS550.ORG
JOHN McMULLEN	Local 51	401-516-0894	jmmullen@ua/local51.com
PAUL ALVAREZ	Local 51	401-418-1027	PAlvarez@valocal51.com
JOE ITRI	DC 35	617-592-2405	jitri@iupartdc35.ORG
ED FOLEY	SMW #17	603-717-2267	efoley@smw17bofaweb.org
PETE STRACCOZZI	BPCM 539	617-750-0896	Pstracozzi1@gmail.com
BARRY KEADY	Plumbers Local 12	617 250 2882	bkeady@local12bsen.org
MATT JONES	Local 550	781-367-2548	matt@sprinklerfitters550.org
NEIL CONLEY	?	617-719-5760	nconley@IWlocal17-ORG
BOY COLOMBIS	Local 39 IUCS	401-635-2292	boycol@101@hotmail.com
DAVID FANTINI	IUBE Local 4	617-413-1109	D.FANTINI@IUBELOCAL4.ORG
DANIEL SANO	LOCAL	508-400-3692	LOCAL138@mercedlyst.com
FRANK CALLAHAN	MRT	617 347-1169	F.Callahan@verizon.net
BILL TRASK	Teamsters LU 653	508 562-7477	wt@teamsterslocal653.org
JOE BRODENICK	carpenters 535	781 969 0200	joe@535@voino.com
JACK JOHNDROW	Bolshermakers L-29	617-328-8400	Jack@bmlocal29.org

APPENDIX 12

Plainridge Park Casino Quarterly Report to MGC Operational Affirmative Action Plan As of June 30, 2104

Reference 205 CMR 135.02 – (8)

- An affirmative marketing plan for utilization of minority, women, and veterans enterprises in the provision of goods and services to the gaming establishment was filed with MGC May 2014.
- Review in progress.
- Expect to present for MGC approval in August 2014.
- No procurement for non-construction activities has yet taken place.