



Date: June 17, 2020

To: Legislative Advisory Commission Members

Senator Paul Gazelka
Senate Majority Leader

Representative Melissa Hortman
Speaker of the House

Senator Julie Rosen, Chair
Senate Finance Committee

Representative Lyndon Carlson, Sr., Chair
Ways and Means Committee

Senator Michelle Benson, Chair
Health and Human Services Finance and Policy
Committee

Representative Tina Liebling, Chair
Health and Human Services Finance Division

From: Myron Frans, Secretary Legislative Advisory Commission

Subject: Urgent Review of Federal Funds

We are requesting your review and response on federal awards received by the Department of Health and Department of Human Services so that these federal funds can be distributed as soon as possible to support community needs in order to avoid waiting ten days for distribution.

The Coronavirus Aid, Relief and Economic Security Act (CARES Act), as well as other coronavirus related federal legislation, included several specific grant increases and new grant programs that will be distributed through state government agencies. As state agencies receive notice of these awards, we would like to deploy these resources to support the community as quickly as possible.

These grants are separate from and in addition to the \$1.87 billion state award for the Coronavirus Relief Fund.

Today I am submitting three requests to the Legislative Advisory Commission (LAC) for federal review under the provisions of Minnesota Statute 3.3005, subdivisions 4 and 5 and Laws of 2020, Chapter 66, Section 2. I have attached to this email for summary purposes a descriptive list of the three requests and the federal funds review request forms for each grant award.

Agency	Federal Grant Award	FY 2020	FY 2021
Health	Sexual Violence Prevention – CARES Act	\$59,146	-
Health	Suicide Prevention and Adverse Childhood Events – CARES Act	\$23,913	\$150,000
Human Services	Crisis Counseling Immediate Services Program (ISP)	\$125,000	\$412,651

The LAC review forms provide information about the amount of the federal award, the timeline for spending, the proposed uses of the funds and the requirements associated with accepting the funding.

Procedure for LAC review: We are requesting LAC members respond to the review request directly by email. Please identify the requests to which you are submitting your recommendations and if you are submitting your recommendation for all requests, please note that in your recommendation. If all LAC review recommendations are received immediately, we may proceed with the distribution instead of waiting the 10-day period.

cc: Bill Marx, House Fiscal Analysis Department
Eric Nauman, Senate Lead Fiscal Analyst
Dennis Albrecht, Senate Fiscal Analyst
Dan Mueller, Senate Fiscal Analyst

Federal Funds for Legislative Advisory Commission (LAC) Review June 17, 2020

Health

Sexual Violence Prevention – CARES Act

Amount: FY 2020 - \$59,146

Urgency: Funds must be spent quickly to support victims' services

Flexibility: Funds must be spent on prevention of sexual violence and intimate partner violence

Summary: This is a supplemental award from the CDC authorized by the CARES Act. Funds available under this supplemental award are made for the delivery of activities that support the prevention of sexual violence and intimate partner violence. This award will enhance online and virtual dissemination of strategies to combat sexual violence and intimate partner violence. It will also support innovative strategies to combat violence.

Suicide Prevention and Adverse Childhood Events – CARES Act

Amount: FY 2020 - \$23,913, FY 2021 - \$150,000

Urgency: Funds must be spent quickly on violence prevention

Flexibility: Funds must be spent on childhood injury and violence prevention activities

Summary: This is a supplemental award from the CDC. These funds are specific to support the prevention of suicide and Adverse Childhood Experiences (ACEs). These funds will be used to support approved activities to combat suicide and ACEs through virtual and online channels and support the dissemination of these strategies through those channels. This award will also support innovative strategies for suicide and ACE prevention.

Human Services

Crisis Counseling Immediate Services Program (ISP)

Amount: FY 2020 - \$125,000, FY 2021 - \$412,651

Urgency: Funds must be spent quickly to provide mental health services and meet federal requirements

Flexibility: Funds must be spent on mental health services as required by the application for funds

Summary: Minnesota applied for and received funds from FEMA for the Immediate Services Program. The ISP grant provides funding for up to 60 days after a Presidential major disaster declaration. Minnesota will use these funds to make grants to 13 community-based organizations. This program provides funding for outreach, crisis counseling, referrals, and short-term intervention counseling for mental health problems caused or aggravated by COVID-19.

Part One – Basic Federal Award Information

Review Request Type: Fall 20-Day Review 20-Day Session Review Urgent 10-Day Review

State Agency: Minnesota Department of Health

State Legal Authority: Minnesota Statutes, section 144.074

Federal Agency: Centers for Disease Control and Prevention (CDC)

CFDA#: 93.136

Federal Award Title:

Sexual Violence Prevention

Federal Legislation Authorizing the Grant:

Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 (Public Law 116-136)

Application Status: Pre-Application Application Negotiation Award

Start Date: 06/01/2020 **End Date:** 01/31/2021 **Total Federal Award Amount:** \$ 59,146

Part Two – Award Type Information

New Award

Amount requiring approval in the current biennium:
\$59,146

State FY: 2020 **Amt:** \$ 59,146

State FY: 2021 **Amt:** \$ _____

Anticipated federal grant expenditures in the
planning years (next biennium)

State FY: 2022 **Amt:** \$ _____

State FY: 2023 **Amt:** \$ _____

Increase in Award Amount (includes continuation and increase in award amount)

Date the current biennium amount was originally approved?

Incremental amount requiring approval in the current biennium

State FY: 2020 **Amt:** \$ _____

State FY: 2021 **Amt:** \$ _____

Anticipated federal grant expenditures in the
planning years (next biennium):

State FY: 2022 **Amt:** \$ _____

State FY: 2023 **Amt:** \$ _____

Amount originally approved + Incremental amount requested = New total current
for the current biennium biennium amount
\$ 0

Change in Fiscal Years Spending Authority Only

Date the current biennium amount was originally approved?

Incremental amount requiring approval in the current biennium

State FY: 2020 **Amt:** \$ _____

State FY: 2021 **Amt:** \$ _____

Anticipated federal grant expenditures in the
planning years (next biennium):

State FY: 2022 **Amt:** \$ _____

State FY: 2023 **Amt:** \$ _____

Amount originally approved + Incremental amount requested = New total current
for the current biennium biennium amount
\$ 0

Part Three – Grant Description

Narrative Description of the Federal Award

The purpose of this supplement is to support a state health department to initiate, expand, or enhance approved activities from the Centers for Disease Control and Prevention (CDC) to address COVID-19 violence prevention activities. Specifically, funds available under this supplemental award may be directed towards the delivery of activities that support the prevention (primary, secondary, or tertiary) of sexual violence and intimate partner violence. This supplement can be used to fund sub-recipients, including sexual violence prevention coalitions, intimate partner violence prevention coalitions, dual prevention coalitions, rape crisis centers, non-governmental organizations, community-based organizations, and local health departments.

This supplement funding can be used to:

1. Enhance existing activities including virtual/on-line implementation, evaluation and dissemination of strategies that address the most pressing COVID-19 related violence issues including intimate partner violence.
2. Support the implementation and dissemination of innovative sexual violence and injury prevention violence prevention activities.

10-Day Urgent Request – Describe the nature of the urgency.

The department is seeking approval under Minnesota Laws of 2020, chapter 66, section 2, and Minnesota Statutes, section 3.3005, subdivision 4. The supplemental appropriation is intended to accelerate COVID-19 planning, operational preparedness, and response.

20-Day Review - Describe any implications if the spending authorization is delayed.

Part Four – Short and Long-Term Commitments

Provide a narrative description of the short and long-term commitments.

The short-term commitments will be to fulfill requirements of a work plan and meet the terms of the grant. There are no long-term commitments beyond the project period.

Maintenance of Effort (MOE)

Does the award contain a maintenance of effort requirement? Yes No

If yes, please provide the following:

FY	FUND	AppropID	FinDeptID	Amount

Narrative description of MOE including the program and budget activity from where cash originates, **length of MOE, and** consequences if MOE is not met

Match requirement:

Is a hard (cash contributions) or soft (in-kind contributions) match required? Yes No

If yes, please provide the following:

1. An estimate of the total project amount by state fiscal year for each year of the award; also indicate what amount is cash contributions (hard match) and what amount is in-kind contributions (soft match). If the award runs longer than two years, include information for each additional year.

State FY	Federal portion	Hard match	Soft match	Total project amount
				\$ 0
				\$ 0
				\$ 0
				\$ 0

2. Account information: FY _____ FUND _____ AppropID _____ FinDeptID _____
 FY _____ FUND _____ AppropID _____ FinDeptID _____
 FY _____ FUND _____ AppropID _____ FinDeptID _____

3. Description of the program and budget activity from where cash contributions will originate.

[Empty text area for description of program and budget activity]

FTE

How many FTE(s) are needed to carry out this project/proposal? 0 **New** 0.2 **Existing**

Is the continuation of positions a condition of receiving the federal award? Yes No
 If yes, please describe.

[Empty text area for describing conditions of receiving the federal award]

Indirect Costs

Are indirect costs included in the proposal? Yes No

1. If yes, indicate the rate. If this rate is different from the agency's approved rate, explain why.
2. If no, provide justification and supporting documentation for not including it.
3. To what base is the indirect cost rate applied?
4. How much of the grant is indirect costs?

Please use the space below to respond to the questions above as they apply to your proposal.

The department's indirect rate of 21.7% is applied to relevant expenses such as salary, supplies, and operating costs. Indirect costs are not applied to the portion of contracts and grants above \$25,000 and equipment with a value of \$5,000 and a useful life of longer than one year. Indirect costs will depend on the approved budget plan.

Part-Five – Signatures

Brian Awsumb Digitally signed by Brian Awsumb
 Date: 2020.06.03 17:20:37 -05'00'

06/03/2020
 Date

Margaret Kelly Digitally signed by Margaret Kelly
 Date: 2020.06.08 12:23:45 -05'00'

06/08/2020
 Date

Joshua Riesen Digitally signed by Joshua Riesen
 Date: 2020.06.09 16:25:43 -05'00'

06/09/2020
 Date

Part One – Basic Federal Award Information

Review Request Type: Fall 20-Day Review 20-Day Session Review Urgent 10-Day Review

State Agency: Minnesota Department of Health

State Legal Authority: Minnesota Statutes, section 144.074

Federal Agency: Centers for Disease Control and Prevention (CDC)

CFDA#: 93.136

Federal Award Title:

Suicide Prevention and Adverse Childhood Events

Federal Legislation Authorizing the Grant:

Coronavirus Aid, Relief, and Economic Security Act, 2020 (Public Law 116-136)

Application Status: Pre-Application Application Negotiation Award

Start Date: 06/01/2020 **End Date:** 07/31/2021 **Total Federal Award Amount:** \$ 173,913

Part Two – Award Type Information

New Award

Amount requiring approval in the current biennium:
\$173,913

State FY: 2020 **Amt:** \$ 23,913

State FY: 2021 **Amt:** \$ 150,000

Anticipated federal grant expenditures in the
planning years (next biennium)

State FY: 2022 **Amt:** \$ 0

State FY: 2023 **Amt:** \$ 0

Increase in Award Amount (includes continuation and increase in award amount)

Date the current biennium amount was originally approved?

Incremental amount requiring approval in the current biennium

State FY: 2020 **Amt:** \$ _____

State FY: 2021 **Amt:** \$ _____

Anticipated federal grant expenditures in the
planning years (next biennium):

State FY: 2022 **Amt:** \$ _____

State FY: 2023 **Amt:** \$ _____

Amount originally approved + Incremental amount requested = New total current
for the current biennium biennium amount
\$ 0

Change in Fiscal Years Spending Authority Only

Date the current biennium amount was originally approved?

Incremental amount requiring approval in the current biennium

State FY: 2020 **Amt:** \$ _____

State FY: 2021 **Amt:** \$ _____

Anticipated federal grant expenditures in the
planning years (next biennium):

State FY: 2022 **Amt:** \$ _____

State FY: 2023 **Amt:** \$ _____

Amount originally approved + Incremental amount requested = New total current
for the current biennium biennium amount
\$ 0

Part Three – Grant Description

Narrative Description of the Federal Award

The purpose of this supplement is to support a state health department to initiate, expand, or enhance approved activities from the Centers for Disease Control and Prevention (CDC) to support injury and violence prevention activity at the state level to address COVID-19 injury and violence prevention activities. Specifically, funds available under this supplemental award may be directed towards the delivery of activities that support the prevention of suicide and Adverse Childhood Experiences (ACEs).

All state health departments funded through the Core State Violence and Injury Prevention Programs are currently implementing and evaluating injury prevention strategies.

This funding can be used to:

1. Enhance existing approved activities to address the COVID-19 response and aftermath and prevent injuries and violence focusing on Suicide and ACEs. Specific activities will include virtual/on-line implementation, evaluation, and dissemination of strategies that address these topics.
2. Support the implementation and dissemination of innovative injury and violence prevention activities focused on suicide and ACEs prevention.

10-Day Urgent Request – Describe the nature of the urgency.

The department is seeking approval under Minnesota Laws of 2020, chapter 66, section 2, and Minnesota Statutes, section 3.3005, subdivision 4. The supplemental appropriation is intended to accelerate COVID-19 planning, operational preparedness, and response.

20-Day Review - Describe any implications if the spending authorization is delayed.

Part Four – Short and Long-Term Commitments

Provide a narrative description of the short and long-term commitments.

The short-term commitments will be to fulfill requirements of a work plan and meet the terms of the grant. There are no long-term commitments beyond the project period.

Maintenance of Effort (MOE)

Does the award contain a maintenance of effort requirement? Yes No

If yes, please provide the following:

FY	FUND	AppropID	FinDeptID	Amount

Narrative description of MOE including the program and budget activity from where cash originates, **length of MOE, and** consequences if MOE is not met

Match requirement:

Is a hard (cash contributions) or soft (in-kind contributions) match required? Yes No

If yes, please provide the following:

1. An estimate of the total project amount by state fiscal year for each year of the award; also indicate what amount is cash contributions (hard match) and what amount is in-kind contributions (soft match). If the award runs longer than two years, include information for each additional year.

State FY	Federal portion	Hard match	Soft match	Total project amount
				\$ 0
				\$ 0
				\$ 0
				\$ 0

2. Account information: FY _____ FUND _____ AppropID _____ FinDeptID _____
 FY _____ FUND _____ AppropID _____ FinDeptID _____
 FY _____ FUND _____ AppropID _____ FinDeptID _____

3. Description of the program and budget activity from where cash contributions will originate.

[Empty text area for description of program and budget activity]

FTE

How many FTE(s) are needed to carry out this project/proposal? 0.5 **New** 0.5 **Existing**

Is the continuation of positions a condition of receiving the federal award? Yes No
 If yes, please describe.

[Empty text area for describing conditions of receiving the federal award]

Indirect Costs

Are indirect costs included in the proposal? Yes No

1. If yes, indicate the rate. If this rate is different from the agency's approved rate, explain why.
2. If no, provide justification and supporting documentation for not including it.
3. To what base is the indirect cost rate applied?
4. How much of the grant is indirect costs?

Please use the space below to respond to the questions above as they apply to your proposal.

The department's indirect rate of 21.7% is applied to relevant expenses such as salary, supplies, and operating costs. Indirect costs are not applied to the portion of contracts and grants above \$25,000 and equipment with a value of \$5,000 and a useful life of longer than one year. Indirect costs will depend on the approved budget plan.

Part-Five – Signatures

Brian Awsumb Digitally signed by Brian Awsumb
 Date: 2020.06.03 17:18:15 -05'00'

Agency Finance Director's Signature

06/03/2020

 Date

Margaret Kelly Digitally signed by Margaret Kelly
 Date: 2020.06.08 12:25:42 -05'00'

Department Head's Signature

06/08/2020

 Date

Joshua Riesen Digitally signed by Joshua Riesen
 Date: 2020.06.09 11:32:12 -05'00'

Executive Budget Officer's Signature

06/09/2020

 Date

Part One – Basic Federal Award Information

Review Request Type: Fall 20-Day Review 20-Day Session Review Urgent 10-Day Review

State Agency: Minnesota Department of Human Services

State Legal Authority: MS § 4.07; Executive Order 19-22, Section 1007

Federal Agency: FEMA-SAMHSA

CFDA#: 97.032

Federal Award Title:

Crisis Counseling Immediate Services Program (ISP)

Federal Legislation Authorizing the Grant:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 416, Public Law 93-288
Federal Disaster Assistance Regulations, 44 CFR 206.171

Application Status: Pre-Application Application Negotiation Award

Start Date: 05/04/2020 End Date: 07/03/2020 Total Federal Award Amount: \$ 537,651

Part Two – Award Type Information

New Award

Amount requiring approval in the current biennium:
537651

State FY: 2020 Amt: \$ 125,000

State FY: 2021 Amt: \$ 412,651

Anticipated federal grant expenditures in the planning years (next biennium)

State FY: Amt: \$

State FY: Amt: \$

Increase in Award Amount (includes continuation and increase in award amount)

Date the current biennium amount was originally approved?

Incremental amount requiring approval in the current biennium

State FY: Amt: \$

State FY: Amt: \$

Anticipated federal grant expenditures in the planning years (next biennium):

State FY: Amt: \$

State FY: Amt: \$

Amount originally approved + Incremental amount requested = New total current biennium amount
for the current biennium \$ 0

Change in Fiscal Years Spending Authority Only

Date the current biennium amount was originally approved?

Incremental amount requiring approval in the current biennium

State FY: Amt: \$

State FY: Amt: \$

Anticipated federal grant expenditures in the planning years (next biennium):

State FY: Amt: \$

State FY: Amt: \$

Amount originally approved + Incremental amount requested = New total current biennium amount
for the current biennium \$ 0

Part Three – Grant Description

Narrative Description of the Federal Award

Minnesota applied and has been awarded from the Federal Emergency Management Agency (FEMA) and the Center for Mental Health Services (CMHS) within the Substance Abuse and Mental Health Services Administration a grant for Crisis Counseling Immediate Services Program (ISP) funds to provide crisis counseling services to those affected by Coronavirus Disease 2019 (COVID-19) for which the President declared a major disaster on April 7, 2020. This declaration, designated FEMA-4531-DR-MN, on May 4, 2020 to authorize the Crisis Counseling Program. The ISP grant provides funding for up to 60 days after a Presidential major disaster declarations.

Minnesota will use these funds to make grants to 13 community based organizations for outreach, crisis counseling and referral services and short-term intervention counseling for mental health problems caused or aggravated by the COVID-19 disaster. This ISP grant, is a 60-day program which begins on the date of the disaster declaration.

10-Day Urgent Request – Describe the nature of the urgency.

This is a time limited grant related to the COVID-19 pandemic for Crisis Mental Health Services. This is a 60 day grant.

20-Day Review - Describe any implications if the spending authorization is delayed.

Part Four – Short and Long-Term Commitments

Provide a narrative description of the short and long-term commitments.

We will be contracting with 13 providers to provide Crisis services related to the COVID-19 pandemic

Maintenance of Effort (MOE)

Does the award contain a maintenance of effort requirement? Yes No

If yes, please provide the following:

FY	FUND	AppropID	FinDeptID	Amount

Narrative description of MOE including the program and budget activity from where cash originates, **length of MOE, and** consequences if MOE is not met

Match requirement:

Is a hard (cash contributions) or soft (in-kind contributions) match required? Yes No

If yes, please provide the following:

1. An estimate of the total project amount by state fiscal year for each year of the award; also indicate what amount is cash contributions (hard match) and what amount is in-kind contributions (soft match). If the award runs longer than two years, include information for each additional year.

State FY	Federal portion	Hard match	Soft match	Total project amount
				\$ 0
				\$ 0
				\$ 0
				\$ 0

2. Account information: FY _____ FUND _____ AppropID _____ FinDeptID _____
 FY _____ FUND _____ AppropID _____ FinDeptID _____
 FY _____ FUND _____ AppropID _____ FinDeptID _____

3. Description of the program and budget activity from where cash contributions will originate.

FTE

How many FTE(s) are needed to carry out this project/proposal? _____ New ⁷ _____ Existing

Is the continuation of positions a condition of receiving the federal award? Yes No
 If yes, please describe.

We plan to contract with someone to manage the execution of this grant. The cost associated with grant execution were approved within the grant award.

Indirect Costs

Are indirect costs included in the proposal? Yes No

1. If yes, indicate the rate. If this rate is different from the agency's approved rate, explain why.
2. If no, provide justification and supporting documentation for not including it.
3. To what base is the indirect cost rate applied?
4. How much of the grant is indirect costs?

Please use the space below to respond to the questions above as they apply to your proposal.

A condition of this grant is that Indirect Costs were unallowable costs.

Part-Five – Signatures

David Greeman

Digitally signed by David Greeman
Date: 2020.06.08 11:22:43 -05'00'

Agency Finance Director's Signature

Date



Digitally signed by Ahna Minge
Date: 2020.06.09 15:20:55 -05'00'

Department Head's Signature

Date

Joshua Riesen

Digitally signed by Joshua Riesen
Date: 2020.06.09 16:20:13 -05'00'

Executive Budget Officer's Signature

Date