



Date: July 9, 2020

To: Legislative Advisory Commission Members

Senator Paul Gazelka
Senate Majority Leader

Representative Melissa Hortman
Speaker of the House

Senator Julie Rosen, Chair
Senate Finance Committee

Representative Lyndon Carlson, Sr., Chair
Ways and Means Committee

Senator Michelle Benson, Chair
Health and Human Services Finance and Policy
Committee

Representative Tina Liebling, Chair
Health and Human Services Finance Division

From: Myron Frans, Secretary Legislative Advisory Commission

Subject: Urgent Review of Federal Funds

We are requesting your review and response on federal awards received by the Department of Health so that these federal funds can be distributed as soon as possible to support community needs in order to avoid waiting ten days for distribution.

The Coronavirus Aid, Relief and Economic Security Act (CARES Act), as well as other coronavirus related federal legislation, included several specific grant increases and new grant programs that will be distributed through state government agencies. As state agencies receive notice of these awards, we would like to deploy these resources to support the community as quickly as possible.

These grants are separate from and in addition to the \$1.87 billion state award for the Coronavirus Relief Fund.

Today I am submitting four requests to the Legislative Advisory Commission (LAC) for federal review under the provisions of Minnesota Statute 3.3005, subdivisions 4 and 5. I have attached to this email for summary purposes a descriptive list of the four requests and the federal funds review request forms for each grant award.

Agency	Federal Grant Award	FY 2021
Health	Epidemiology and Laboratory Capacity (ELC) - Enhanced Detection, Response, Surveillance, and Prevention of COVID-19	\$90,000,000
Health	Epidemiology and Laboratory Capacity (ELC) for Prevention and Control of Emerging Infectious Diseases	\$1,623,652
Health	Hospital Preparedness Program – CARES Act	\$350,000
Health	Hospital Preparedness Program (HPP) Cooperative Agreement Preparedness and Response, COVID-19 Supplement, Round 2 - CARES Act	\$2,232,012

The LAC review forms provide information about the amount of the federal award, the timeline for spending, the proposed uses of the funds and the requirements associated with accepting the funding.

Procedure for LAC review: We are requesting LAC members respond to the review request directly by email. If no request for further review is received from the six LAC members by Monday, July 20, 2020, I will approve the request for spending. To expedite the LAC review process during this critical time, LAC members may respond to this review request directly, recommending their approval or request for further review, instead of waiting the 10-day period. Please identify the requests to which you are submitting your recommendations and if you are submitting your recommendation for all requests, please note that in your recommendation.

cc: Bill Marx, House Chief Fiscal Analyst
Eric Nauman, Senate Lead Fiscal Analyst

Federal Funds for Legislative Advisory Commission (LAC) Review July 9, 2020

Health

Epidemiology and Laboratory Capacity (ELC) - Enhanced Detection, Response, Surveillance, and Prevention of COVID-19

Amount: FY 2021 - \$90,000,000, FY 2022 - \$28,437,000, FY 2023 \$14,357,684

Urgency: A majority of funds must be spent to combat COVID related testing and contact tracing in FY 2021, but also in later years to bolster public health infrastructure.

Flexibility: Funds may be flexibly spent on COVID-19 response and public health infrastructure to prepare for future outbreaks, though there are requirements and goals that have to be met in the federal guidance for the grant.

Summary: Funding is planned to be expended on enhancing MDH's lab and surveillance capacity, strengthening lab testing, providing for community testing events, performing contact tracing and investigation, advancing electronic data exchange at public health labs, and supporting local public health efforts.

Epidemiology and Laboratory Capacity (ELC) for Prevention and Control of Emerging Infectious Diseases

Amount: FY 2021 - \$1,623,652

Urgency: Funds must be spent quickly to support infection control training

Flexibility: Funds must be spent to support the CDC's infection control and prevention trainings

Summary: This is a supplemental award authorized by the first federal relief bill. This is part of the CDC's program to reduce Healthcare-associated infections and antimicrobial resistant infections. These funds will be used to build local and/or regional infection control and prevention expertise through training and education. This will be accomplished through onsite and remote training that the CDC is promoting for infection control.

Hospital Preparedness Program – CARES Act

Amount: FY 2021 - \$350,000

Urgency: This funding is for COVID related activities currently underway and as such is urgent.

Flexibility: The Department of Health and Human Services requires that 90 percent of the award be for the designated FEMA Region 5 special pathogen treatment, which is the Fairview Health Services/University of Minnesota Medical Center in Minneapolis.

Summary: This administrative supplement will support existing and new activities to increase the capability of health care systems to safely and effectively manage individuals with suspected and confirmed COVID-19.

Hospital Preparedness Program (HPP) Cooperative Agreement Preparedness and Response, COVID-19 Supplement, Round 2 - CARES Act

Amount: FY 2021 - \$2,232,012

Urgency: This funding is for COVID related activities currently underway and as such is urgent.

Flexibility: Funding is prescribed in the federal grant.

Summary: This award is an administrative supplement to our current Hospital Preparedness Program (HPP) and will augment ongoing support for hospitals and other related health care entities to prepare them to identify, isolate, assess, transport, and treat patients with COVID-19 or persons under investigation (PUIs) for COVID-19, and to prepare those entities for future special pathogen disease outbreaks.

Part One – Basic Federal Award Information

Review Request Type: Fall 20-Day Review 20-Day Session Review Urgent 10-Day Review

State Agency: Minnesota Department of Health

State Legal Authority: Minnesota Statutes, section 144.074

Federal Agency: Centers for Disease Control and Prevention (CDC)

CFDA#: 93.323

Federal Award Title:

Epidemiology and Laboratory Capacity (ELC) - Enhanced Detection, Response, Surveillance, and Prevention of COVID-19

Federal Legislation Authorizing the Grant:

Paycheck Protection Program and Health Care Enhancement Act of 2020 (Public Law 116 -139)

Application Status: Pre-Application Application Negotiation Award

Start Date: 05/19/2020 **End Date:** 11/19/2022 **Total Federal Award Amount:** \$ 132,794,684

Part Two – Award Type Information

New Award

Amount requiring approval in the current biennium:

State FY: 2020 **Amt:** \$ 0

State FY: 2021 **Amt:** \$ 90,000,000

Anticipated federal grant expenditures in the planning years (next biennium)

State FY: 2022 **Amt:** \$ 28,437,000

State FY: 2023 **Amt:** \$ 14,357,684

Increase in Award Amount (includes continuation and increase in award amount)

Date the current biennium amount was originally approved?

Incremental amount requiring approval in the current biennium

State FY: _____ **Amt:** \$ _____

State FY: _____ **Amt:** \$ _____

Anticipated federal grant expenditures in the planning years (next biennium):

State FY: _____ **Amt:** \$ _____

State FY: _____ **Amt:** \$ _____

Amount originally approved + Incremental amount requested = New total current biennium amount
 _____ + _____ = \$ 0

Change in Fiscal Years Spending Authority Only

Date the current biennium amount was originally approved?

Incremental amount requiring approval in the current biennium

State FY: _____ **Amt:** \$ _____

State FY: _____ **Amt:** \$ _____

Anticipated federal grant expenditures in the planning years (next biennium):

State FY: _____ **Amt:** \$ _____

State FY: _____ **Amt:** \$ _____

Amount originally approved + Incremental amount requested = New total current biennium amount
 _____ + _____ = \$ 0

Part Three – Grant Description

Narrative Description of the Federal Award

Summary: 30 month-long CDC ELC Enhancing Detection (MN-ELC/ED) fund grant will help increase overall testing for COVID-19 across the state and improve the public health infrastructure that supports an effective response to disease outbreaks. Funds will complement other ongoing efforts (federal CARES, state awards). Specific goals include:

1. Enhance Laboratory, Surveillance, Informatics, and other Workforce capacity

This goal provides needed human resources to ensure that all other goals are met within required deadlines. A total of 94 FTE are requested: 7 MNIT, 9 LPH, 18 Epi, 9 PHL, 40 Planner/Scientist, 11 Admin. Staffing for the project will be a mixture of current and new staff.

2. Strengthen Laboratory Testing

This goal ensures that necessary testing occurs to inform decision-making, both for COVID-19 and future outbreaks. Funds to PHL will support new instruments, physical enhancement of the accessioning area, and \$5 million for COVID-19 testing and sequencing. Other efforts include \$10 million for community testing events statewide through 2021 and \$4 million for the Mayo Clinic to perform testing.

3. Advance Electronic Data Exchange at Public Health Labs

This goal ensures that data flows easily and transparently between labs to maximize efficiency. Funds will support leveraging the new PHL Lab Information Management System to enhance electronic access, sustain HL7 processes, and increase capacity to incorporate new assays/procedures.

4. Improve Surveillance and Reporting of Electronic Health Data (required)

This goal is the centerpiece of the effort and supports the core public health functions of assessment and assurance. Funds will support complete, up-to-date, automated reporting of morbidity and mortality to CDC through a \$3 million contract to set up electronic data feeds, \$4 million for reporting from long-term care facilities, \$8 million to assist local public health connection, \$2 million for stakeholder engagement and governance, and a variety of necessary software.

5. Data enhances investigation, response, prevention

This goal ensures that the data collected in #4 is used to maximum public health benefit. Funds will support a \$6 million contract for case investigation/contact tracing staffing, and \$5 million for special studies in schools, workplaces, congregate facilities, couriers, and blood banks.

6. Partnerships

This goal ensures that all resources in the state are coordinated and maximized. Funds will increase LPH capacity (\$40 million), collaboration with the University of Minnesota on a range of projects, and coordination with health care providers across the state on infection control and prevention.

10-Day Urgent Request – Describe the nature of the urgency.

The Epidemiology and Laboratory Capacity (ELC) Enhancing Detection (ED) project in Minnesota (MN-ELC/ED) will support ongoing efforts to ensure that the Minnesota state and local public health system is fully capable of detecting and responding to current and future disease outbreaks. In addition to increasing testing for COVID it is critical to ensure that the public health response that accompanies testing is fully supported and improved. Funds will be used to continue to build upon the response efforts to date while also adapting processes and staffing support and making necessary strategic updates to build out various infrastructure components to ensure continued ability to respond as well as implement system changes to support future outbreaks.

20-Day Review - Describe any implications if the spending authorization is delayed.

[Empty text area for 20-Day Review]

Part Four – Short and Long-Term Commitments

Provide a narrative description of the short and long-term commitments.

The department must regularly report on performance and fiscal progress to the Center for Disease Control and Prevention according to the approved workplan, and may be required to develop an annual progress report. There are no long-term commitments beyond the project period and final reports.

Maintenance of Effort (MOE)

Does the award contain a maintenance of effort requirement? Yes No

If yes, please provide the following:

FY	FUND	AppropID	FinDeptID	Amount

Narrative description of MOE including the program and budget activity from where cash originates, **length of MOE, and** consequences if MOE is not met

[Empty text area for MOE narrative description]

Match requirement:

Is a hard (cash contributions) or soft (in-kind contributions) match required? Yes No

If yes, please provide the following:

1. An estimate of the total project amount by state fiscal year for each year of the award; also indicate what amount is cash contributions (hard match) and what amount is in-kind contributions (soft match). If the award runs longer than two years, include information for each additional year.

State FY	Federal portion	Hard match	Soft match	Total project amount
				\$ 0
				\$ 0
				\$ 0
				\$ 0

2. Account information: FY _____ FUND _____ AppropID _____ FinDeptID _____
 FY _____ FUND _____ AppropID _____ FinDeptID _____
 FY _____ FUND _____ AppropID _____ FinDeptID _____

3. Description of the program and budget activity from where cash contributions will originate.

[Empty text area for description of program and budget activity]

FTE

How many FTE(s) are needed to carry out this project/proposal? 33 **New** 0 **Existing**

Is the continuation of positions a condition of receiving the federal award? **Yes** **No**
 If yes, please describe.

[Empty text area for describing conditions of receiving the federal award]

Indirect Costs

Are indirect costs included in the proposal? **Yes** **No**

1. If yes, indicate the rate. If this rate is different from the agency's approved rate, explain why.
2. If no, provide justification and supporting documentation for not including it.
3. To what base is the indirect cost rate applied?
4. How much of the grant is indirect costs?

Please use the space below to respond to the questions above as they apply to your proposal.

The department's indirect rate of 21.78% is applied to relevant expenses such as salary, supplies, and operating costs. Indirect costs are not applied to the portion of contracts and grants above \$25,000 and equipment with a value of \$5,000 and a useful life of longer than one year. Indirect costs will depend on the approved budget plan.

Part-Five – Signatures

Joshua Bunker Digitally signed by Joshua Bunker
 Date: 2020.06.22 15:09:36 -05'00'

Agency Finance Director's Signature

06/22/2020
 Date

Margaret Kelly Digitally signed by Margaret Kelly
 Date: 2020.06.24 11:28:11 -05'00'

Department Head's Signature

06/24/2020
 Date

Joshua Riesen Digitally signed by Joshua Riesen
 Date: 2020.06.25 15:06:34 -05'00'

Executive Budget Officer's Signature

06/25/2020
 Date

Part One – Basic Federal Award Information

Review Request Type: Fall 20-Day Review 20-Day Session Review Urgent 10-Day Review

State Agency: Minnesota Department of Health

State Legal Authority: Minnesota Statutes, section 144.074

Federal Agency: Centers for Disease Control and Prevention (CDC)

CFDA#: 93.323

Federal Award Title:

Epidemiology and Laboratory Capacity (ELC) for Prevention and Control of Emerging Infectious Diseases

Federal Legislation Authorizing the Grant:

Coronavirus Preparedness and Response Supplemental Appropriations Act, 2020 (P.L. 116-123)

Application Status: Pre-Application Application Negotiation Award

Start Date: 08/01/2019 **End Date:** 07/01/2022 **Total Federal Award Amount:** \$ 1,623,652

Part Two – Award Type Information

New Award

Amount requiring approval in the current biennium:
\$1,623,652

State FY: 2020 **Amt:** \$ _____

State FY: 2021 **Amt:** \$ 1,623,652

Anticipated federal grant expenditures in the
planning years (next biennium)

State FY: 2022 **Amt:** \$ _____

State FY: 2023 **Amt:** \$ _____

Increase in Award Amount (includes continuation and increase in award amount)

Date the current biennium amount was originally approved?

Incremental amount requiring approval in the current biennium

State FY: 2020 **Amt:** \$ _____

State FY: 2021 **Amt:** \$ _____

Anticipated federal grant expenditures in the
planning years (next biennium):

State FY: 2022 **Amt:** \$ _____

State FY: 2023 **Amt:** \$ _____

Amount originally approved + Incremental amount requested = New total current
for the current biennium biennium amount
\$ 0

Change in Fiscal Years Spending Authority Only

Date the current biennium amount was originally approved?

Incremental amount requiring approval in the current biennium

State FY: 2020 **Amt:** \$ _____

State FY: 2021 **Amt:** \$ _____

Anticipated federal grant expenditures in the
planning years (next biennium):

State FY: 2022 **Amt:** \$ _____

State FY: 2023 **Amt:** \$ _____

Amount originally approved + Incremental amount requested = New total current
for the current biennium biennium amount
\$ 0

Part Three – Grant Description

Narrative Description of the Federal Award

The purpose of this supplement is to support the Minnesota Department of Health in training healthcare providers on healthcare acquired infections as part of the COVID-19 response activities funded by the Centers for Disease Control and Prevention. Through the Epidemiology Laboratory Capacity (ELC) Cooperative Agreement, \$80 million in COVID-19 funding is being awarded to 64 recipients to support infection prevention and control (IPC) training. These funds will be administered through the ELC in coordination with the Healthcare-associated Infections (HAI)/Antimicrobial Resistance (AR) Program to support Project Firstline, CDC's new national training collaborative for healthcare infection, prevention, and control. Project Firstline includes an innovative IPC training curriculum delivered through a variety of interactive modalities and will be implemented collaboratively with a diverse set of partners, and will include the following activities:

ACTIVITIES

1. Establish local and/or regional IPC training and education expertise.
 - a. Assign field staff to support training for local health departments and regional jurisdictions.
 - b. Train local/regional health department representatives in IPC protocols and procedures that span the spectrum of healthcare delivery (e.g., CDC's IPC 101 curriculum), including long-term and outpatient care settings.
2. Conduct high-level learning needs assessments of and provide a summary report on the jurisdiction's healthcare workforce to identify training gaps by setting, describe primary spoken and reading languages, and characterize literacy levels and age.
3. Promote awareness and facilitate uptake (e.g., leveraging licensing and certification) of IPC training and education content provided or approved by CDC as part of the broader Project Firstline initiative.
4. Conduct and convene trainings for front-line care providers, including establishment and implementation of remote learning capabilities.

10-Day Urgent Request – Describe the nature of the urgency.

The department is seeking approval under Minnesota Laws of 2020, chapter 66, and Minnesota Statutes, section 3.3005, subdivision 4. The supplemental appropriation is intended to accelerate COVID-19 planning, operational preparedness, and response.

20-Day Review - Describe any implications if the spending authorization is delayed.

Part Four – Short and Long-Term Commitments

Provide a narrative description of the short and long-term commitments.

The short-term commitments will be to fulfill requirements of a work plan and meet the terms of the grant. There are no long-term commitments beyond the project period.

Maintenance of Effort (MOE)

Does the award contain a maintenance of effort requirement? Yes No

If yes, please provide the following:

FY	FUND	AppropID	FinDeptID	Amount

Narrative description of MOE including the program and budget activity from where cash originates, **length of MOE, and** consequences if MOE is not met

Match requirement:

Is a hard (cash contributions) or soft (in-kind contributions) match required? Yes No

If yes, please provide the following:

1. An estimate of the total project amount by state fiscal year for each year of the award; also indicate what amount is cash contributions (hard match) and what amount is in-kind contributions (soft match). If the award runs longer than two years, include information for each additional year.

State FY	Federal portion	Hard match	Soft match	Total project amount
				\$ 0
				\$ 0
				\$ 0
				\$ 0

2. Account information: FY _____ FUND _____ AppropID _____ FinDeptID _____
 FY _____ FUND _____ AppropID _____ FinDeptID _____
 FY _____ FUND _____ AppropID _____ FinDeptID _____

3. Description of the program and budget activity from where cash contributions will originate.

[Empty text area for description of program and budget activity]

FTE

How many FTE(s) are needed to carry out this project/proposal? 5 New 0 Existing

Is the continuation of positions a condition of receiving the federal award? Yes No
 If yes, please describe.

[Empty text area for describing conditions of receiving the federal award]

Indirect Costs

Are indirect costs included in the proposal? Yes No

1. If yes, indicate the rate. If this rate is different from the agency's approved rate, explain why.
2. If no, provide justification and supporting documentation for not including it.
3. To what base is the indirect cost rate applied?
4. How much of the grant is indirect costs?

Please use the space below to respond to the questions above as they apply to your proposal.

The department's indirect rate of 21.78% is applied to relevant expenses such as salary, supplies, and operating costs. Indirect costs are not applied to the portion of contracts and grants above \$25,000 and equipment with a value of \$5,000 and a useful life of longer than one year. Indirect costs will depend on the approved budget plan.

Part-Five – Signatures

Brian Awsumb Digitally signed by Brian Awsumb
 Date: 2020.06.03 17:16:06 -05'00'

Agency Finance Director's Signature

06/03/2020
 Date

Margaret Kelly Digitally signed by Margaret Kelly
 Date: 2020.06.08 12:26:57 -05'00'

Department Head's Signature

06/08/2020
 Date

Joshua Riesen Digitally signed by Joshua Riesen
 Date: 2020.06.08 16:05:35 -05'00'

Executive Budget Officer's Signature

06/08/2020
 Date

Part One – Basic Federal Award Information

Review Request Type: Fall 20-Day Review 20-Day Session Review Urgent 10-Day Review

State Agency: Minnesota Department of Health

State Legal Authority: Minnesota Statutes, section 144.074

Federal Agency: Department of Health and Human Services (HHS)

CFDA#: 93.817

Federal Award Title:

Hospital Preparedness Program

Federal Legislation Authorizing the Grant:

Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 (Public Law 116-136)

Application Status: Pre-Application Application Negotiation Award

Start Date: 06/15/2020 **End Date:** 06/14/2021 **Total Federal Award Amount:** \$ 350,000

Part Two – Award Type Information

New Award

Amount requiring approval in the current biennium:
\$350,000

State FY: 2020 **Amt:** \$ 0

State FY: 2021 **Amt:** \$ 350,000

Anticipated federal grant expenditures in the planning years (next biennium)

State FY: 2022 **Amt:** \$ _____

State FY: 2023 **Amt:** \$ _____

Increase in Award Amount (includes continuation and increase in award amount)

Date the current biennium amount was originally approved?

Incremental amount requiring approval in the current biennium

State FY: 2020 **Amt:** \$ _____

State FY: 2021 **Amt:** \$ _____

Anticipated federal grant expenditures in the planning years (next biennium):

State FY: 2022 **Amt:** \$ _____

State FY: 2023 **Amt:** \$ _____

Amount originally approved + Incremental amount requested = New total current biennium amount
 _____ + _____ = \$ 0

Change in Fiscal Years Spending Authority Only

Date the current biennium amount was originally approved?

Incremental amount requiring approval in the current biennium

State FY: 2020 **Amt:** \$ _____

State FY: 2021 **Amt:** \$ _____

Anticipated federal grant expenditures in the planning years (next biennium):

State FY: 2022 **Amt:** \$ _____

State FY: 2023 **Amt:** \$ _____

Amount originally approved + Incremental amount requested = New total current biennium amount
 _____ + _____ = \$ 0

Part Three – Grant Description

Narrative Description of the Federal Award

In response to the COVID-19 outbreak, Congress appropriated emergency supplemental funding to support the urgent preparedness and response needs of hospitals, health systems, and health care workers on the front lines of this pandemic. This administrative supplement will support existing and new activities to increase the capability of health care systems to safely and effectively manage individuals with suspected and confirmed COVID-19. The Department of Health and Human Services requires that 90 percent of the award be for the designated FEMA Region 5 special pathogen treatment, which is the Fairview Health Services/University of Minnesota Medical Center in Minneapolis.

This emergency supplemental funding will be used for existing and new activities to increase the capability of health care systems to safely and effectively manage individuals with suspected and confirmed COVID-19, which may include the following:

- Conduct supplemental training (didactic training, “train the trainer”, virtual consultations, etc.) within each region for health care facility workers and awareness trainings to health care entities outside the acute health care system (e.g., home health agencies, residential placement facilities, behavioral health facilities, outpatient care facilities including specialty practices, long term care facilities, etc.) on COVID-19 patient identification, assessment, and treatment.
- Develop and implement a 24-hour hotline and other resources (e.g., telemedicine, use of alternative care sites, etc.) to support clinical consultation and technical assistance for COVID-19.
- Regional treatment centers' maintenance of their continued capability and capacity for COVID-19 care.
- Support continued planning, development, and implementation of a national system for special pathogen patient care, including engaging state and jurisdiction special pathogen treatment centers¹; and other health care facilities (including but not limited to settings such as nursing homes, residential care facilities, EMS, and 911 call centers). This network may be informed by and modeled using the lessons learned from already established trauma, stroke, or burn specialty networks.
- Collect Regional Ebola and other special pathogen treatment centers (RESPTC) evaluation and performance measures for COVID-19, in addition to receiving peer assessments using metrics developed by the National Emerging Special Pathogen Training and Education Center (NETEC).
- Support NETEC in their activities as a force amplifier in their region.
- Ensure a physician is in the state or jurisdiction emergency operations center full time to manage patient facility assignments (right bed for the right patient) within their state or jurisdiction so that EMS and hospitals do not need to transfer patients; for example, participating in or establishing a Medical Operations Coordinating Cell (MOCC). This EOC physician should have insight into available resources at hospitals and other health care facilities.

10-Day Urgent Request – Describe the nature of the urgency.

The department is seeking approval under Minnesota Laws of 2020, chapter 66, section 2, and Minnesota Statutes, section 3.3005, subdivision 4. The supplemental appropriation is intended to accelerate COVID-19 planning, operational preparedness, and response. The department has already received the notice of award.

20-Day Review - Describe any implications if the spending authorization is delayed.

Part Four – Short and Long-Term Commitments

Provide a narrative description of the short and long-term commitments.

The short-term commitments will be to fulfill requirements of a work plan and meet the terms of the grant. There are no long-term commitments beyond the project period.

Maintenance of Effort (MOE)

Does the award contain a maintenance of effort requirement? Yes No

If yes, please provide the following:

FY	FUND	AppropID	FinDeptID	Amount

Narrative description of MOE including the program and budget activity from where cash originates, **length of MOE, and** consequences if MOE is not met

Match requirement:

Is a hard (cash contributions) or soft (in-kind contributions) match required? Yes No

If yes, please provide the following:

1. An estimate of the total project amount by state fiscal year for each year of the award; also indicate what amount is cash contributions (hard match) and what amount is in-kind contributions (soft match). If the award runs longer than two years, include information for each additional year.

State FY	Federal portion	Hard match	Soft match	Total project amount
				\$ 0
				\$ 0
				\$ 0
				\$ 0

2. Account information: FY _____ FUND _____ AppropID _____ FinDeptID _____
 FY _____ FUND _____ AppropID _____ FinDeptID _____
 FY _____ FUND _____ AppropID _____ FinDeptID _____

3. Description of the program and budget activity from where cash contributions will originate.

[Empty text area for description of program and budget activity]

FTE

How many FTE(s) are needed to carry out this project/proposal? 0 **New** 0.2 **Existing**

Is the continuation of positions a condition of receiving the federal award? Yes No
 If yes, please describe.

[Empty text area for describing conditions of receiving the federal award]

Indirect Costs

Are indirect costs included in the proposal? Yes No

1. If yes, indicate the rate. If this rate is different from the agency's approved rate, explain why.
2. If no, provide justification and supporting documentation for not including it.
3. To what base is the indirect cost rate applied?
4. How much of the grant is indirect costs?

Please use the space below to respond to the questions above as they apply to your proposal.

The department's indirect rate of 21.7% is applied to relevant expenses such as salary, supplies, and operating costs. Indirect costs are not applied to the portion of contracts and grants above \$25,000 and equipment with a value of \$5,000 and a useful life of longer than one year. Indirect costs will depend on the approved budget plan.

Part-Five – Signatures

Brian Awsumb Digitally signed by Brian Awsumb
 Date: 2020.06.03 17:21:35 -05'00'

06/03/2020
 Date

Margaret Kelly Digitally signed by Margaret Kelly
 Date: 2020.06.24 11:24:34 -05'00'

06/24/2020
 Date

Joshua Riesen Digitally signed by Joshua Riesen
 Date: 2020.06.26 09:03:08 -05'00'

06/26/2020
 Date

Part One – Basic Federal Award Information

Review Request Type: Fall 20-Day Review 20-Day Session Review Urgent 10-Day Review

State Agency: Minnesota Department of Health

State Legal Authority: Minnesota Statutes, section 144.074

Federal Agency: Department of Health and Human Services (HHS)

CFDA#: 93.889

Federal Award Title:

Hospital Preparedness Program (HPP) Cooperative Agreement Preparedness and Response, COVID-19 Supplement, Round 2

Federal Legislation Authorizing the Grant:

Coronavirus Aid, Relief, and Economic Security (CARES) Act, 2020 (P.L. 116-136)

Application Status: Pre-Application Application Negotiation Award

Start Date: 07/01/2019 **End Date:** 06/30/2021 **Total Federal Award Amount:** \$ 2,232,012

Part Two – Award Type Information

New Award

Amount requiring approval in the current biennium:
\$2,232,012

State FY: 2020 **Amt:** \$ _____

State FY: 2021 **Amt:** \$ 2,232,012

Anticipated federal grant expenditures in the
planning years (next biennium)

State FY: 2022 **Amt:** \$ _____

State FY: 2023 **Amt:** \$ _____

Increase in Award Amount (includes continuation and increase in award amount)

Date the current biennium amount was originally approved?

Incremental amount requiring approval in the current biennium

State FY: 2020 **Amt:** \$ _____

State FY: 2021 **Amt:** \$ _____

Anticipated federal grant expenditures in the
planning years (next biennium):

State FY: 2022 **Amt:** \$ _____

State FY: 2023 **Amt:** \$ _____

Amount originally approved + Incremental amount requested = New total current
for the current biennium biennium amount
\$ 0

Change in Fiscal Years Spending Authority Only

Date the current biennium amount was originally approved?

Incremental amount requiring approval in the current biennium

State FY: 2020 **Amt:** \$ _____

State FY: 2021 **Amt:** \$ _____

Anticipated federal grant expenditures in the
planning years (next biennium):

State FY: 2022 **Amt:** \$ _____

State FY: 2023 **Amt:** \$ _____

Amount originally approved + Incremental amount requested = New total current
for the current biennium biennium amount
\$ 0

Part Three – Grant Description

Narrative Description of the Federal Award

This award is an administrative supplement to our current Hospital Preparedness Program (HPP) and will augment ongoing support for hospitals and other related health care entities to prepare them to identify, isolate, assess, transport, and treat patients with COVID-19 or persons under investigation (PUIs) for COVID-19, and to prepare those entities for future special pathogen disease outbreaks.

The award requires that the health department to limit its direct costs to no more than 10% of the total allocation. Ninety percent of these funds will be sub awarded to the eight Regional Health Care Coalitions (HCCs) as well as Mayo Clinic St. Mary Hospital, which is a designated Special Pathogen Treatment Center.

Activities outlined in the award to be performed by MDH Center for Emergency Preparedness and Response, along with the eight Regional Health Care Coalitions and Mayo Clinic St. Mary Hospital, may include, but are not limited to:

- HCCs and state/jurisdiction special pathogen treatment centers as well as emergency medical services (EMS) and other health care facilities should improve and maintain health care worker readiness for COVID-19 and other special pathogens.
- HCCs and state/jurisdiction special pathogen treatment centers as well as EMS and other health care facilities should examine and enhance physical infrastructure to ensure infection control for COVID-19 preparedness and response, as necessary.
- HCCs and state/jurisdiction special pathogen treatment centers as well as EMS and other health care facilities should collaborate with a multiplicity of provider types to ensure capabilities to care for target populations.

10-Day Urgent Request – Describe the nature of the urgency.

The department is seeking approval under Minnesota Laws of 2020, chapter 66, section 2, and Minnesota Statutes, section 3.3005, subdivision 4. The supplemental appropriation is intended to accelerate COVID-19 planning, operational preparedness, and response.

20-Day Review - Describe any implications if the spending authorization is delayed.

Part Four – Short and Long-Term Commitments

Provide a narrative description of the short and long-term commitments.

The short-term commitments will be to fulfill requirements of a work plan and meet the terms of the grant. There are no long-term commitments beyond the project period.

Maintenance of Effort (MOE)

Does the award contain a maintenance of effort requirement? Yes No

If yes, please provide the following:

FY	FUND	AppropID	FinDeptID	Amount

Narrative description of MOE including the program and budget activity from where cash originates, **length of MOE, and** consequences if MOE is not met

Match requirement:

Is a hard (cash contributions) or soft (in-kind contributions) match required? Yes No

If yes, please provide the following:

1. An estimate of the total project amount by state fiscal year for each year of the award; also indicate what amount is cash contributions (hard match) and what amount is in-kind contributions (soft match). If the award runs longer than two years, include information for each additional year.

State FY	Federal portion	Hard match	Soft match	Total project amount
				\$ 0
				\$ 0
				\$ 0
				\$ 0

2. Account information: FY _____ FUND _____ AppropID _____ FinDeptID _____
 FY _____ FUND _____ AppropID _____ FinDeptID _____
 FY _____ FUND _____ AppropID _____ FinDeptID _____

3. Description of the program and budget activity from where cash contributions will originate.

[Empty text area for description of program and budget activity]

FTE

How many FTE(s) are needed to carry out this project/proposal? 0 **New** 1.5 **Existing**

Is the continuation of positions a condition of receiving the federal award? **Yes** **No**
 If yes, please describe.

[Empty text area for describing conditions of receiving the federal award]

Indirect Costs

Are indirect costs included in the proposal? **Yes** **No**

1. If yes, indicate the rate. If this rate is different from the agency's approved rate, explain why.
2. If no, provide justification and supporting documentation for not including it.
3. To what base is the indirect cost rate applied?
4. How much of the grant is indirect costs?

Please use the space below to respond to the questions above as they apply to your proposal.

The department's indirect rate of 21.78% is applied to relevant expenses such as salary, supplies, and operating costs. Indirect costs are not applied to the portion of contracts and grants above \$25,000 and equipment with a value of \$5,000 and a useful life of longer than one year. Indirect costs will depend on the approved budget plan.

Part-Five – Signatures

Brian Awsumb Digitally signed by Brian Awsumb
 Date: 2020.06.04 14:07:24 -05'00'

06/04/2020

 Date

Agency Finance Director's Signature

Margaret Kelly Digitally signed by Margaret Kelly
 Date: 2020.06.08 12:18:48 -05'00'

06/08/2020

 Date

Department Head's Signature

Joshua Riesen Digitally signed by Joshua Riesen
 Date: 2020.06.26 09:04:34 -05'00'

06/26/2020

 Date

Executive Budget Officer's Signature