



**Date:** March 19, 2021

**To:** Senator Paul Gazelka  
Senate Majority Leader

Representative Melissa Hortman  
Speaker of the House

Senator Julie Rosen  
Chair, Senate Finance Committee

Representative Rena Moran  
Chair, House Ways and Means Committee

**From:** Commissioner Jim Schowalter

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**Subject:** Session Submission of American Rescue Plan Federal Funds

This letter is to advise you and your colleagues of additional, anticipated federal funds from the American Rescue Plan (ARP) Act of 2021.

The attached document describes each award anticipated to be received by state agencies from the ARP and the estimated spending by fiscal year. Some of these estimates are based on formulaic estimates from Federal Funds Information for States, others are based on the agency's analysis of the percentage of the total federal funds allocation that Minnesota received in the past.

Additionally, some of the federal funds listed have legal authority to spend outside the LAC process in M.S. 3.3005. These funds are presented for information purposes. In those cases, the relevant legal citation for spending authority is provided.

The federal awards included in the attached document that are subject to the LAC review process in M.S. 3.3005 will be approved for spending in 20 days unless an LAC member requests further review or provides a negative recommendation for a specific grant in writing by April 8, 2021.

If you have any question about the awards included on the attached, please contact the relevant agency.

cc: Senator Susan Kent, Minority Leader  
Senator Michelle Benson  
Senator David Senjem  
Senator Mary Kiffmeyer  
Senator Scott Newman  
Senator Roger Chamberlain  
Senator Eric Pratt  
Senator David Senjem  
Senator Warren Limmer  
Senator Bill Ingebrigtsen  
Senator Rich Draheim  
Senator Jim Abeler  
Eric Nauman, Senate Lead Fiscal Analyst

Representative Kurt Daudt, Minority Leader  
Representative Tina Liebling  
Representative Jamie Long  
Representative Michael Nelson  
Representative Frank Hornstein  
Representative Jim Davnie  
Representative Alice Hausman  
Representative Jennifer Schultz  
Representative Rob Ecklund  
Representative Fue Lee  
Representative Carlos Mariani  
Representative Rick Hansen  
Representative Dave Pinto  
Bill Marx, House Chief Fiscal Analyst

## American Rescue Plan Act Summary

(Dollars in Thousands)

Federal Agency and CFDA #	Federal Grant Name and Brief Purpose	New Grant	FY 2021	FY 2022	FY 2023	Required State Match or MOE?	FTEs	Agency Legal Authority to Spend Other than M.S. 3.3005
<b>Arts Board</b>								
National Endowment for the Arts 45.025	<b>Partnership Agreement amended to include funds from the American Rescue Plan</b> - To support salaries, administration costs, and related subgranting to the nonprofit arts sector in response to the COVID-19 pandemic.		\$ -	\$ 836	\$ -	No	0.50	
<b>Arts Board Total</b>			<b>\$ -</b>	<b>\$ 836</b>	<b>\$ -</b>		<b>0.50</b>	
<b>Commerce</b>								
U.S. Department of Health and Human Services 93.568	<b>Low Income Home Energy Assistance Program</b> - This is a supplemental award in an existing federal program, to be used to ensure safe, affordable, and continuous home energy for low income Minnesotans - subsidize home energy costs, emergency bill payment assistance, and heating system repair and replacement.		\$ 109,986	\$ -	\$ -	No	12 Existing 1 Backfill 1 New	216C.02 and Executive Order 08-02 (2003) and Reorganization Order 183 (2000)
U.S. Department of Health and Human Services (CFDA unknown at this time)	<b>Low Income Household Water Assistance Program</b> - This is a new program. \$638M was appropriated nationally in December 2020, and additional \$500M was included in the American Rescue Plan, presumably to be administered similarly. The program is to carry out grants to assist low-income households, particularly those with the lowest incomes, that pay a high proportion of household income for drinking water and wastewater services, by providing funds to owners or operators of public water systems or treatment works to reduce arrearages of and rates charged to such households for such services.	Yes	\$ 18,000	\$ -	\$ -	No	1 New	216C.02
<b>Commerce Total</b>			<b>\$ 127,986</b>	<b>\$ -</b>	<b>\$ -</b>		<b>0.00</b>	

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<b>Education</b>								
Education 84.425D	<b>American Rescue Plan Act (ARP) of 2021 - ESSER 90%.</b> Grants to State educational agencies (SEAs) for the purpose of providing local educational agencies (LEAs), including charter schools that are LEAs, with emergency relief funds to address the impact of COVID-19 as authorized in section 2001 of the American Rescue Plan (ARP) Act, 2021. 20% of these funds must be reserved by the LEA to address learning loss.	Yes	\$ 1,188,581			MOE		
Education 84.425D	<b>American Rescue Plan Act of 2021 - ESSER 10%</b> Grants to State educational agencies (SEAs) for the purpose of providing local educational agencies (LEAs), including charter schools that are LEAs, with emergency relief funds to address the impact of COVID-19 as authorized in section 2001 of the American Rescue Plan (ARP) Act, 2021. These funds are identified to be spent in the following ways: learning loss (5%), and Summer Enrichment and Afterschool programs (1% each).	Yes	\$ 128,244	\$ 1,910	\$ 1,909	MOE	2.00	
Education 84.425R	<b>American Rescue Plan Act of 2021 - EANS.</b> To provide services or assistance to non-public schools that enroll a significant percentage of low-income students and are most impacted by the qualifying emergency as authorized in section 2002 of the American Rescue Plan (ARP) Act, 2021.	Yes	\$ 18,867	\$ 18,867		MOE		
Education 84.027	<b>American Rescue Plan Act of 2021 - SPED.</b> For grants to states for IDEA Part B, for preschool grants under section 619 of IDEA, and for infants and toddlers under Part C of IDEA as authorized under section 2014 of the American Rescue Plan (ARP) Act, 2021.	Yes	\$ 49,741			MOE		

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Institute of Museum & Library Services 45.310	<b>Library Services and Technology Act – American Rescue Plan Act of 2021 - Grants to States</b> To promote improvement in library services in all types of libraries to better serve the public by facilitating access to resources for the purpose of cultivating an educated and informed citizenry and encouraging resource sharing among libraries for the purpose of achieving economical and efficient delivery of library services to the public.	Yes	\$ -	\$ 2,425	\$ 808		2.00	
	<b>Education Total</b>		<b>\$ 1,385,433</b>	<b>\$ 23,202</b>	<b>\$ 2,717</b>		<b>2.00</b>	
<b>Employment and Economic Development</b>								
US Dept of Treasury (no CFDA#)	<b>State Small Business Credit Initiative (SSBCI)</b> Funding new or existing state programs that fell into one of the following types: Capital Access Program (CAP), Collateral Support Program, Loan Guarantee Program, Loan Participation Program, or Venture Capital Program.		\$ 5,000	\$ 25,000	\$ 20,000		4.00	
Small Business Administration (CFDA unknown)	<b>Community Navigator Pilot Program</b> To provide free outreach, education, and technical assistance provided by community navigators that target eligible businesses to increase awareness of, and participation in, programs of the Small Business Administration.	Yes	\$ 500	\$ 1,500	\$ 1,500	Unknown	3.00	
Department of Labor CFDA 17.225	<b>Pandemic Unemployment Assistance</b> To provide an extension of temporary UI benefit programs to September 6, 2021		\$ 275,000	\$ 275,000	\$ -			MS 268.194
Department of Labor CFDA 17.225	<b>Federal Pandemic Unemployment Compensation</b> To provide an extension of temporary UI benefit programs to September 6, 2021		\$ 3,490,000	\$ 3,490,000	\$ -			MS 268.194
Department of Labor CFDA 17.225	<b>UI Wait Week Benefits</b> To provide an extension of temporary UI benefit programs to September 6, 2021		\$ 85,000	\$ 85,000	\$ -			MS 268.194
Department of Labor CFDA 17.225	<b>Pandemic emergency unemployment compensation</b> To provide an extension of temporary UI benefit programs to September 6, 2021		\$ 75,000	\$ 75,000	\$ -			MS 268.194

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Department of Labor CFDA 17.225	<b>Unemployment relief for governmental entities and nonprofit organizations.</b> To provide an extension of temporary UI benefit programs to September 6, 2021		\$ 26,000	\$ 26,000	\$ -			MS 268.194
Department of Labor CFDA 17.225	<b>Short-time compensation</b> To provide an extension of temporary UI benefit programs to September 6, 2021		\$ 10,000	\$ 10,000	\$ -			MS 268.194
Department of Labor CFDA 17.225	<b>Extended unemployment compensation</b> To provide an extension of temporary UI benefit programs to September 6, 2021		\$ 250	\$ 250	\$ -			MS 268.194
Department of Labor CFDA 17.225	<b>UI fraud prevention, equitable access, and timely payment</b> To provide funding to detect and prevent fraud, promote equitable access, and ensure the timely payment of benefits with respect to unemployment compensation programs		\$ 5,000	\$ 15,000	\$ 15,000			MS 268.196
	<b>Agency Total</b>		<b>\$ 3,971,750</b>	<b>\$ 4,002,750</b>	<b>\$ 36,500</b>		<b>7.00</b>	

Unemployment Insurance - Department of Labor - CFDA 17.225

Under the American Rescue Plan, temporary UI benefit programs and provisions were extended out to September 6, 2021 . Funding doesn't get awarded like a traditional federal grant, but are allocated to states as needed. Under state unemployment statute MS 268.194, DEED has the authority to expend UI Benefit programs. DEED also has authority to expend federal UI administrative funds under MS 268.196. Amounts have been included in the above table for estimated expenses related to the Unemployment Insurance program, but do not require LAC approval because of the existing authority.

Housing								
US Treasury 21.023	<b>Emergency Rental Assistance</b> - rent and utility assistance (unpaid and future bills) to provide housing stability for low income renters impacted by COVID.			\$ 100,000	\$ 50,000	No	TBD	M.S. 462A.03, subd. 14 and M.S. 462A.05, Subd. 11 & 12
US Treasury (No CFDA yet)	<b>Homeownership Assistance Fund</b> - preventing homeowner mortgage delinquencies, defaults, foreclosures, loss of utilities or home energy services, and displacements of homeowners experiencing financial hardship due to COVID.	Yes	\$ 10,000	\$ 45,000		No	TBD	M.S. 462A.03, subd. 14 and M.S. 462A.05, Subd. 11 & 12
Housing and Urban Development 14.239 Minnesota Management and Budget	<b>HOME for Homelessness and Supportive Services Program</b> - housing and homelessness development including acquisition and development of non-congregate shelter, as well as support services, transitional housing, and homeless prevention services for people experiencing or at-risk of homelessness.	Yes		\$ 31,600		No	TBD	M.S. 462A.03, subd. 14 and M.S. 462A.05, Subd. 11 & 12

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Housing and Urban Development (No CFDA yet)	<b>Housing Counseling</b> - housing counseling provided directly to households facing housing instability, such as eviction, default, foreclosure, loss of income, or homelessness	Yes		\$ 5,000		No	TBD	M.S. 462A.03, subd. 14 and M.S. 462A.05, Subd. 11 & 12
	<b>Housing Total</b>		\$ 10,000	\$ 181,600	\$ 50,000		0.00	
<b>Health</b>								
USDA (10.557)	<b>Women, Infants and Children (WIC)</b> - COVID Supplement - cash value vouchers		\$ -	\$ 8,084	\$ -	No	0.00	
HRSA (93.780)	<b>Maternal, Infant and Early Childhood Home Visiting (MIECHV)</b>		\$ -	\$ 3,400	\$ -	TBD	2.00	
EPA (66.204 - 66.608)	<b>Clean Air Act and Safe Drinking Water Act</b> - Address health disparities related to pollution and the impacts of the COVID-19 Pandemic.	Yes	\$ -	\$ 1,000	\$ -	No	2.00	
SAMHSA ( 93.243)	<b>Youth Suicide Prevention and Early Intervention</b> - Build local capacity and strengthen the coordination, implementation and evaluation of statewide (including tribal communities) youth suicide prevention and early intervention strategies to decrease suicide		\$ -	\$ 335		No	3.50	
HHS (93.547)	<b>National Health Service Corps</b> - Address health professional shortage areas		\$ -	\$ 1,800	\$ -	TBD	0.00	
CDC (93.323)	<b>Epidemiology and Laboratory Capacity (ELC) Enhancing Detection</b> - Supports testing, contact tracing, surveillance, containment, and mitigation efforts.		\$ -	\$ 751,140	\$ -	No	TBD	
CDC ( 93.268)	<b>Immunization and Vaccines for Children</b> - Support a range of COVID-19 vaccination activities.		\$ -	\$ 85,000	\$ -	No	TBD	
CDC ( 93.103)	<b>Genomic Sequencing and Surveillance</b> - to identify SARS-CoV-2 genome and monitor how it changes over time into new variants, understand those changes and predict health impacts	Yes	\$ -	\$ 17,500	\$ -	No	TBD	
	<b>Health Total</b>		\$ -	\$ 868,259	\$ -		7.50	

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<b>Human Services</b>								
93.958	<b>Mental Health Block Grant</b> , in accordance with the Coronavirus Response and Relief Supplement Appropriations Act, 2021: To provide financial assistance to states and territories to enable them to carry out the State's Plan for providing comprehensive community mental health services to adults with a serious mental illness and to children with a serious emotional disturbance; monitor the progress in implementing a comprehensive community based mental health system; provide technical assistance to states and the Mental Health Planning Council that will assist the states in planning and implementing a comprehensive community based mental health system.	Yes	\$ -	\$ 10,811	\$ 10,811	No	0.00	
93.959	<b>Substance Abuse Block Grant:</b> The objective of this grant is to support prevention, treatment, and rehabilitation activities for diseases of alcohol and drug abuse. Uses of these funds include programs for the prevention of alcohol and drug abuse and treatment services designed for pregnant women and women with dependent children.	Yes	\$ -	\$ 11,295	\$ 11,296	No	8.00	
DHHS; 93.575	<b>Child Care and Development Block Grant:</b> The aim of these funds is aims to increase access and improve the quality of child care for low-income families.	No	\$ -	\$ 101,611	\$ 101,611	MOE	0.00	119B.02, 119B.04, Federal Child Care Development Fund; 119B.06, Federal Child Care and Development Block Grant
DHHS; 93.596	<b>Child Care Entitlements to State:</b> The aim of these funds is aims to increase access and improve the quality of child care for low-income families.	No	\$ -	\$ 4,610	\$ 4,610	MOE	0.00	119B.02, 119B.04, Federal Child Care Development Fund

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N/A	<b>Child Care Stabilization Fund:</b> States must provide grants through a rolling application process to child care providers to stabilize these businesses/programs. Up to 10% of funds to administer the stabilization grants, provide TA and support to access and publicize the grants, and carry out activities to increase the supply of child care.	Yes	\$ 50,000	\$ 275,033		MOE	1.00	119B.02, 119B.06, Federal Child Care and Development Fund
DHHS; 93.590	<b>Community-Base Child Abuse Prevention:</b> The objective of these funds is to prevent child abuse and neglect by building programs to strengthen and support families and to better understand diverse populations in order to more effectively treat instances of child abuse and neglect.	No	\$ -	\$ 2,185	\$ 2,185	Match (waived)	0.00	
DHHS; 93.669	<b>Child Abuse Prevention and Treatment State Grant:</b> The objective of this grant is to improve child protective services. Various activities are eligible, such as those pertaining to the improvement of the intake, assessment, screening and investigation of reports of abuse and neglect.	No	\$ -	\$ 879	\$ 879	No	0.00	
N/A	<b>Pandemic Emergency Assistance</b> funds may only be used for non-recurrent, short-term benefits in cash or other forms.	Yes	\$ 2,387	\$ 9,553	\$ 2,387	MOE	0.00	
Agriculture; 10.561	<b>Supplemental Nutrition Assistance Program (SNAP) State Administration</b> funds are used to reimburse states for the administration of the SNAP program.	Yes	\$ 2,000	\$ 4,592	\$ 4,592	No	5.00	
Agriculture	<b>Supplemental Nutrition Assistance Program (SNAP) Online Purchasing and Technology Improvements:</b> This is a competitive grant for which the State will need to apply and receive approval. Grantees will make technological improvements associated with the SNAP online purchasing program, electronic benefit transfer (EBT) systems, and mobile payment technologies.	Yes	\$ -	\$ 500	\$ -	No		



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HHS (ACL) 93.045	<b>Congregate Meals and Home Delivered Meals</b> American Rescue Act for Nutrition Services under Title III-C of the Older Americans Act. This is a supplemental award from Administration for Community Living (ACL) to provide Nutrition Services to low-income older adults who depend on services to help them shelter in place in response to the Coronavirus pandemic.	Yes	\$ 6,196	\$ 6,196	\$ -	Match		
HHS (ACL) 93.747	<b>Elder Justice Programs:</b> The objective of this grant is to improve interventions used to prevent elder abuse, neglect, and exploitation of older adults.	Yes	\$ 1,408	\$ 1,408	\$ -	No		
HHS (ACL) 93.044	<b>Home and Community-Based Supportive Services:</b> American Rescue Plan Older Americans Act supplemental grants to Area Agencies on Aging and local providers to provide a variety of community-based supportive services in response to the pandemic. Older Americans Act grants to Area Agencies on Aging for administrative purpose and awards to direct service providers.	Yes	\$ 3,745	\$ 3,745	\$ -	Match		
HHS (ACL) 93.052	<b>Family Caregivers:</b> American Rescue Plan Older Americans Act supplemental grants to provide information, respite, education, training and support groups to family caregivers	Yes	\$ 1,169	\$ 1,169	\$ -	Match		
HHS (ACL) 93.041	<b>Protection of Vulnerable Older Americans Special Programs for the Aging (Title VII):</b> This American Rescue Plan Older Americans Act grant provides additional support for the Ombudsman for Long Term Care office in response to the pandemic. The principal role of the Ombudsman Program is to investigate and resolve complaints made by or on behalf of residents of nursing homes or other long-term care facilities. This grant also promotes policies and practices needed to improve the quality of care and life in long-term care facilities and educate both consumers and providers about residents' rights and good care practices.	Yes	\$ 83	\$ 83	\$ -	No		

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HHS (ACL) 93.043	<b>Preventive Services (Special Programs for the Aging, Aging Preventive Health):</b> These supplemental grants to Area Agencies on Aging and service providers would be used to provide preventive health information and services to seniors	Yes	\$ 364	\$ 364	\$ -	No		
	<b>DHS Total</b>		<b>\$ 67,351</b>	<b>\$ 434,033</b>	<b>\$ 138,371</b>		<b>14.00</b>	
<b>Labor &amp; Industry</b>								
US DOL - 17.503	<b>Occupational Safety and Health Administration (OSHA)</b> - This grant will fund agency activities by improving workplace safety through outreach, consultation, and enforcement. To carry out COVID-19 related worker protections.		\$ -	\$ 113	\$ 113	Yes, 100% Match. State Workplace Safety Appropriation (Workers Compensation Fund)	2.00	M.S. 175.17
	<b>Labor &amp; Industry Total</b>		<b>\$ -</b>	<b>\$ 113</b>	<b>\$ 113</b>		<b>2.00</b>	
<b>MNSure</b>								
HHS; N/A	The ARPA has made a \$20 million grant pool available for states to apply for to pay for IT infrastructure changes needed to accommodate health insurance exchange changes in the bill. For example, cost sharing changes for those purchasing insurance through MNSure will require system upgrades. It is not yet clear what portion of these funds will be made available to Minnesota.	Yes	\$ 2,000					M.S. 62V.05, 62V.07
	<b>MNSure Total</b>		<b>\$ 2,000</b>	<b>\$ -</b>	<b>\$ -</b>		<b>0.00</b>	

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<b>MMB Non-Operating</b>								
Dept. of Treasury	<p><b>State Fiscal Relief Fund:</b> The ARP permits the following uses, for costs incurred from March 3, 2021 through December 31, 2024:</p> <ul style="list-style-type: none"> <li>• Respond to the COVID-19 public health emergency and cover costs related to it, including assistance to households, small businesses, non-profits, and affected industries such as tourism, travel, and hospitality</li> <li>• Provide premium pay (up to \$13 per hour in addition to base pay, up to a \$25,000 cap) to state, territory, or tribal government workers who perform essential work during the public health emergency, or provide grants to employers with employees who perform essential work (defined as work needed to maintain continuity of operations of critical infrastructure and other sectors designated by the governor as critical to protect the health and well-being of residents)</li> <li>• Provide government services to the extent of lost revenue from the public health emergency, relative to revenues in the fiscal year prior to the pandemic</li> <li>• Make investments in water, sewer, or broadband infrastructure</li> </ul>	Yes	\$ 859,133	\$ 859,133	\$ 859,133	No		

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Dept. of Treasury	<b>Local Fiscal Relief Fund:</b> MN will receive funding for non-entitlement local governments. The assistance to the local government may not exceed 75% of the most recent budget for that government as of Jan. 27, 2020. Any undistributed funds must be returned to the federal government.	Yes	\$ 210,116	\$ 210,116		No		
Dept. of Treasury	<b>Capital Projects:</b> For "critical capital projects directly enabling work, education, and health monitoring, including remote options, in response to the public health emergency."	Yes	\$ 59,618	\$ 59,618	\$ 59,618	No		
	<b>MMB Non-Operating Total</b>		<b>\$ 1,128,867</b>	<b>\$ 1,128,867</b>	<b>\$ 918,752</b>		<b>0.00</b>	
<b>Public Safety</b>								
HHS 93.671	<b>Family Violence Prevention and Services Act (FVPSA):</b> This is a supplemental award in an existing federal grant program dedicated to the support of emergency shelter and related assistance for victims of domestic violence and their children. Grants will be distributed to organizations that provide these services.		\$ 200	\$ 1,250	\$ 1,250		1.20	
	<b>Agency Total</b>		<b>\$ 200</b>	<b>\$ 1,250</b>	<b>\$ 1,250</b>		<b>1.20</b>	

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<b>Transportation</b>								
Federal Transit Administration CFDA 20.509	<b>American Rescue Plan Act - FTA Section 5311</b> -Capital and operating transit funding for small urban and rural areas; includes administration for technical oversight of programs.	Yes	\$ -	\$ 11,064	\$ -	No	0	N/A
Federal Transit Administration CFDA 20.513	<b>American Rescue Plan Act - FTA Section 5310</b> -Capital assistance grants for organizations that serve elderly and/or persons with disabilities.	Yes	\$ -	\$ 348	\$ -	No	0	N/A
Federal Aviation Administration CFDA 20.106	<b>American Rescue Plan Act</b> grants to be awarded as economic relief to eligible U.S. airports and eligible concessions at those airports to prevent, prepare for, and respond to the coronavirus pandemic. Funds may also be available for airport development grants awarded in FY 2021 or for grants awarded in FY 2020 that had less than a 100 percent federal share.	Yes	\$ -	TBD	\$ -	No	0	N/A
<b>Transportation Total</b>			\$ -	\$ 11,412	\$ -		<b>0.00</b>	
<b>Zoo</b>								
Small Business Administration	<b>Shuttered Venue Operators Grant</b> Emergency assistance for eligible venues affected by the COVID-19 pandemic. Eligible venues including zoos may qualify for grants equal to 45% of their FY 2019 gross earned revenue up to a maximum of \$10 million with priority given to entities suffering loss of at least 70% of revenue between April and December 2020 due to the COVID-19 pandemic.	Yes	\$ -	\$ 7,000	\$ -	No		M.S. 85A.02, subd. 5
<b>Zoo Total</b>			\$ -	\$ 7,000	\$ -		<b>0.00</b>	