

## NSLLC Brokerage Miscellaneous Account and Service Fees Schedule

The listed fees below do not include commissions, markups, commission equivalents or advisory fees. These fees apply to NSLLC brokerage accounts held at Pershing, LLC. Some of these fees may not apply to all brokerage account types. Some of these fees may be waived under certain conditions.

Account or Service	Fee	Frequency
<b>ACCOUNT MAINTENANCE</b>		
Account Maintenance Fee (non-retirement accounts)	\$0.00	Annual
Corporate Action- Mandatory	\$10.00	Per event
Corporate Action- Voluntary	\$50.00	Per event
Direct Registration	\$10.00	Per security
Inactivity Fee (Full service accounts only)	\$25.00	Annual
Legal or Restricted Transfers	\$20.00	Per event
Margin Extension	\$10.00	Per event
Minimum Balance Fee	\$0.00	No minimum balance
Outgoing Transfer Fee	\$75.00	Per event
<b>Retirement Account Fees</b>		
Conversion- Traditional or Roth IRA (from "full service" to Mutual Fund only)	\$50.00	Per Conversion
Maintenance Fee- Traditional and Roth IRA, Coverdell, IRS Model 5305 SEP	\$43.50	Annual
Maintenance Fee- Traditional and Roth IRA - Mutual Fund Only Account	\$10.00	Annual
Maintenance Fee- All Other Retirement Accounts	\$58.50/\$75.00/\$125.00*	Annual
Termination Fee	\$95.00	Per event
<b>CoreStone Account Fees<sup>1</sup></b>		
Silver Account	\$25.00	Annual
Silver Plus Account	\$50.00	Annual
Gold Account	\$100.00	Annual
Platinum Account	\$150.00	Annual
Personal Checks - initial order	Waived	
Personal Checks - carbon copy- initial order	\$15.00	Per event
Personal Checks- Reorder (Silver/Gold/Platinum)	\$12.50/\$10.00/\$7.50**	Per event
Personal Checks - Reorder - carbon copy	\$25.00	Per event
ACH Return Charge	\$20.00	Per event
Cash Advance Transaction Fee (non-ATM)	0.25%	% of principal (\$2.50 minimum)
Overnight Delivery of Checks or Debit Card	\$20.00	Per event
Returned Check Fee	\$25.00	Per event
Stop Payment Request	\$25.00	Per check
<b>CASH MANAGEMENT SERVICES</b>		
ACH Transfer	\$0.00	Per event
Overnight Check Delivery	\$12.00	Per event
Wire Transfer	\$20.00	Per wire
<b>INVESTMENT SPECIFIC</b>		
<b>Bonds:</b>		
GNMA Transfer	\$135.00	Per event
Redemptions	\$10.00	Per event
<b>Mutual Funds:</b>		
Purchases/Redemptions	\$0.00	Annual
Exchanges within same fund family	\$0.00	Annual
<b>Register and Ship Certificate</b>	\$60.00	Per security

<sup>1</sup>CoreStone is a grandfathered service and no longer offered with NSLLC.

\*fee dependent upon type of Retirement Account

\*\*fee dependent upon type of CoreStone Account.

If you would like to add to your existing brokerage account, please make checks payable to "Pershing" and include your account number on the Memo line.

Mail checks to: Nationwide Securities, LLC

10 W. Nationwide Blvd, 5-2-302

Columbus, OH 43215

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