

PEACEKEEPING INITIATIVES IN ACTION

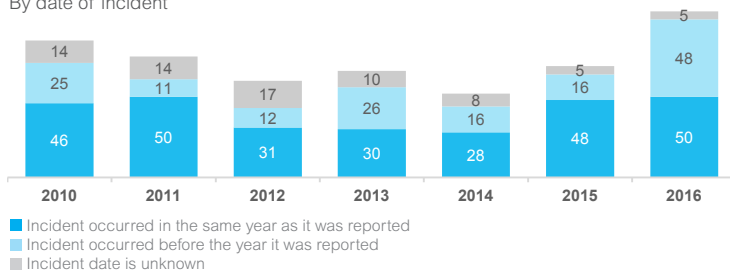
Addressing Sexual Exploitation and Abuse

OVERVIEW

In 2016, 103 allegations of sexual exploitation and abuse were reported in UN field missions. 47% related to incidents that had occurred before 2016.

ALLEGATIONS OF SEXUAL EXPLOITATION AND ABUSE

By date of incident



OF 103 ALLEGATIONS IN 2016

- 50%** in MINUSCA (52 allegations, 65% occurred before 2016)
- 18%** in MONUSCO (19 allegations, 32% occurred before 2016)
- 32%** in 12 other missions (32 allegations)

PREVENTION

VETTING

To ensure that only individuals with the highest standards of integrity and professionalism are hired, the UN conducts vetting of individuals against records of prior misconduct while in the service of a UN mission.

| Year | Average # of personnel vetted per month | Additional categories of personnel |
|------|---|---|
| 2008 | N/A | International civilian staff |
| 2013 | 208 | Individual military officers Individual police officers United Nations Volunteers |
| 2016 | 2270 | National civilian staff Consultants and contractors Military contingents Formed Police Units |

In 2017, on average > 8,000 personnel vetted every month

✓ Since 2015 T/PCCs are required to certify that individuals deployed have not committed, or been alleged to have committed, violations of international human rights law and international humanitarian law, or been repatriated on disciplinary grounds from a UN operation.

MANDATORY TRAINING

The prohibition of sexual exploitation and abuse is an essential component of training for all categories of personnel, both before deployment and after arrival in the mission.



✓ DFS/DPKO provide T/PCCs with training materials on conduct and discipline, including sexual exploitation and abuse, for their pre-deployment training.

* T/PCCs = troop contributing and police contributing countries

ENFORCEMENT

TRANSPARENCY

The information provided on allegations of sexual exploitation and abuse has continuously expanded over the years, demonstrating the firm commitment of DFS/DPKO to increased transparency in this area.

| | | | | |
|---|---|--|--|--|
| <p>Scope of information in SG report on Special Measures:</p> <ul style="list-style-type: none"> + Number of allegations by mission and category of personnel + Respective year only | <p>Additional information provided in SG report:</p> <ul style="list-style-type: none"> + Type of allegation, age of victim <p>New website features</p> <ul style="list-style-type: none"> + Data section on the CDU + Updated monthly | <p>Additional information provided in SG report:</p> <ul style="list-style-type: none"> + Who is investigating + Investigation outcome + Action by UN and T/PCCs + Paternity claims + Annex: status of allegations from 2010 onwards | <p>Additional information provided in SG report:</p> <ul style="list-style-type: none"> + Nationality + Interim action + Description of allegation + Outcome of paternity claims + Details of action by T/PCC + Criminal accountability <p>New website features</p> <ul style="list-style-type: none"> + Detailed table, updated near real-time | <p>Redesigned website on conduct and discipline in UN missions</p> <ul style="list-style-type: none"> + Enhanced site structure + More comprehensive data section and analysis + Improved search function + Interactive timeline + Reporting mechanism <p>conduct.unmissions.org</p> |
| 2007 | 2010 | 2013 | 2016 | 2017 |

✓ In 2016, the United Nations provided a model case reporting document to Member States to strengthen and clarify reporting requirements on investigations and accountability processes

INVESTIGATIONS

It is crucial that allegations of sexual exploitation and abuse are investigated thoroughly and in a timely manner.

AVERAGE TIME TO APPOINT NATIONAL INVESTIGATION OFFICERS (DAYS)



- ✓ Since 2015, some TCCs are opting to conduct investigations jointly with the UN Office for Internal Oversight Services
- ✓ Some TCCs are already embedding national investigation officers in their contingents

AVERAGE DURATION OF INVESTIGATIONS (DAYS)

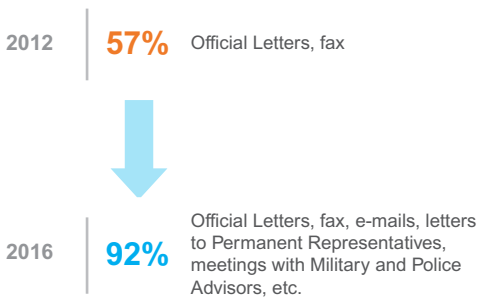


- ✓ The Secretary-General has adopted a 6-month timeline for completing investigations. For serious cases, this timeline is shortened to 3 months.

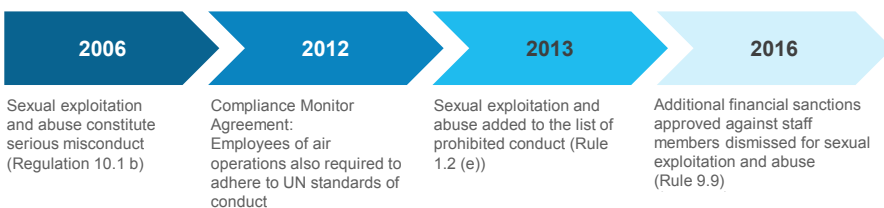
ACCOUNTABILITY

The UN and its Member States are committed to taking decisive action to uphold our shared values and ensuring accountability when these values are violated.

INCREASING MEMBER STATES RESPONSE RATE



STRENGTHENING CIVILIAN ACCOUNTABILITY MEASURES

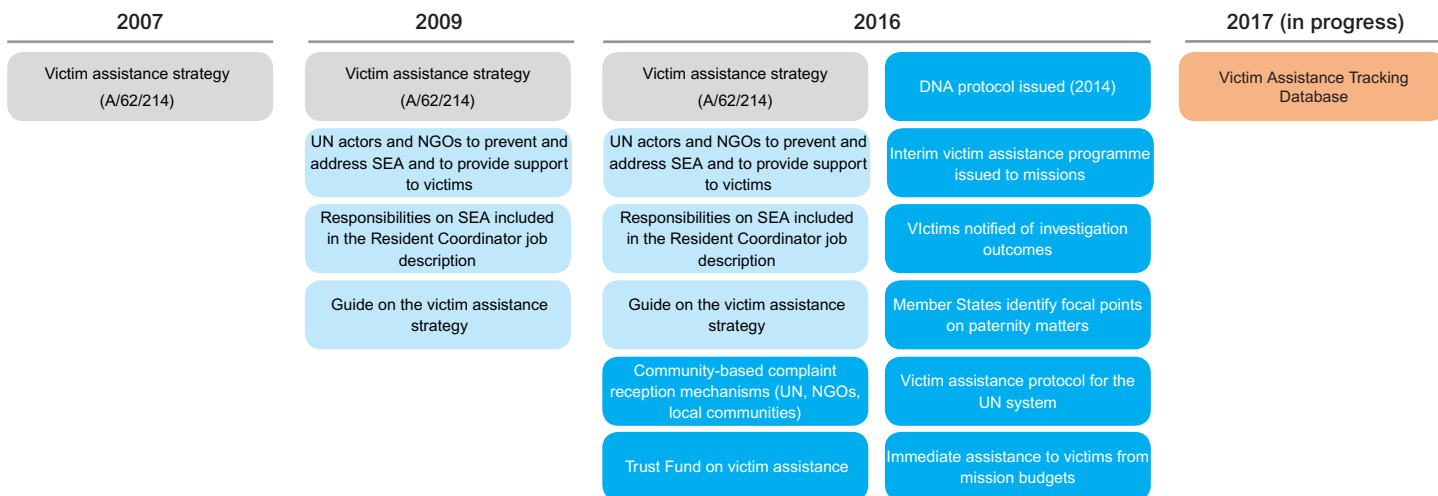


- ✓ The 2015 Policy on Accountability for Conduct and Discipline in Field Missions applies to all categories of personnel
- ✓ Any substantiated allegations of sexual exploitation and abuse are grounds for dismissal.

REMEDIAL ACTION

VICTIM ASSISTANCE

Since 2007, the support and assistance provided by the UN to victims of sexual exploitation and abuse has been strengthened with robust policies and mechanisms, and through coordination between UN entities, NGOs and Member States.



KEY FIGURES FOR TRUST FUND ON VICTIM ASSISTANCE

\$436,120 donated to trust fund by Japan, Norway, India, Bhutan, Cyprus

\$49,566 received from suspension of payments

OVERVIEW OF ASSISTANCE TO VICTIMS IN 2016

74% Received assistance (medical, psychosocial, legal, etc.)

10% Did not want assistance

16% Victim or location of victim unknown