



Alex Padilla
California Secretary of State



CARS PROJECT UPDATE FOR THE FAIR POLITICAL PRACTICES COMMISSION (FPCC)

JANUARY 19, 2021

2:00 PM – 3:00 PM

AGENDA



- CARS Project Update
- Certification of CAL-ACCESS
- Responses to FPPC Pre-Meeting Questions
- Assumptions Regarding FPPC System Needs
- FPPC CAL-ACCESS Training
- FPPC Review of the new CAL-ACCESS
- Demonstrations
- Final Q&A and Wrap-Up

CARS PROJECT UPDATE COMPLETED AND UPCOMING ACTIVITIES



- Completed a second round of testing in December 2020
- SOS User Acceptance Testing (UAT) starts in mid-February.
- Stakeholder engagement meetings continue and will expand to additional groups (*Good Government - February; the media - May*).
- Communications continue through website postings, updates to FAQs, periodic newsletters, and “Contact CARS” email.

CARS PROJECT UPDATE

REVISED PHASED IMPLEMENTATION APPROACH



Plans are being finalized for a revised phased implementation approach.

- **Release 1 – Implement February 28:** Majority of functionality; internal and select externals will have access
- **Release 2 – April 30:** Correspondence, Financial Transactions, API initial filings, E530 and more
- **Release 3 – June 30:** Public to have access, and system certification by the Secretary

PROJECT UPDATE BENEFITS OF THE APPROACH



- Provides improved product delivery
- Enables SOS users to gain ability needed to assist external users
- Provides time for select external users (FPPC, FTB and SIG) to perform a system review and submit feedback
- Provides additional time for software vendors to test the API and become certified software vendors
- Minimizes changes during lobbying registration period

PROJECT UPDATE INITIAL LOG-IN TO THE NEW CAL-ACCESS



New and returning users must complete a registration process the first time logging in starting June 30, 2021.

- Treasurers / Responsible Officers for multiple entities only need to create one account.
- Filing entities can be linked to the one account, eliminating the need for individual filing entity passwords.
- Ownership of the entities can be transferred to another registered user by submitting a linkage request.
- Linkage gives permission for that user to access a filing entity's data.

CERTIFICATION OF CAL-ACCESS



- New CAL-ACCESS system certification is targeted for the date of external implementation (June 2021).
- The new CAL-ACCESS becomes the “system of record” at the time of external implementation and certification.
- The Certification Checklist created by SOS includes criteria for system certification.
- We are running a parallel activity for certification of software vendors.

RESPONSES TO FPPC PRE-MEETING QUESTIONS



- Testing and System Review will utilize “mock” data (initially) and then real data.
- Data from the current system will be migrated prior to external implementation (June).
- FPPC users will have the same level of access as today.
- FPPC users will be able to view “correspondence sent.”
- FPPC users will be able to view PDATA payment information.

ASSUMPTIONS REGARDING FPPC SYSTEM NEEDS



- Ability to view (not edit) all filings, including PDF versions
- Ability to view (not edit) transactions, responsible officers, and attestations
- Ability to view information redacted from public view
 - View physical addresses
 - View bank account numbers

FPPC CAL-ACCESS TRAINING



- FPPC will receive a four-hour **virtual** classroom training session for 15 FPPC users in the Education Division.
(34 Enforcement Division users – TBD)
- Training will be scheduled Q2 of 2021 (approximately May).
- Instructional and reference materials provided during training will be available on the SOS website CAL-ACCESS page.
- Need FPPC point of contact for training *(SOS is Samantha Brown, Training & Outreach - sbrown@sos.ca.gov)*

FPPC REVIEW OF THE NEW CAL-ACCESS

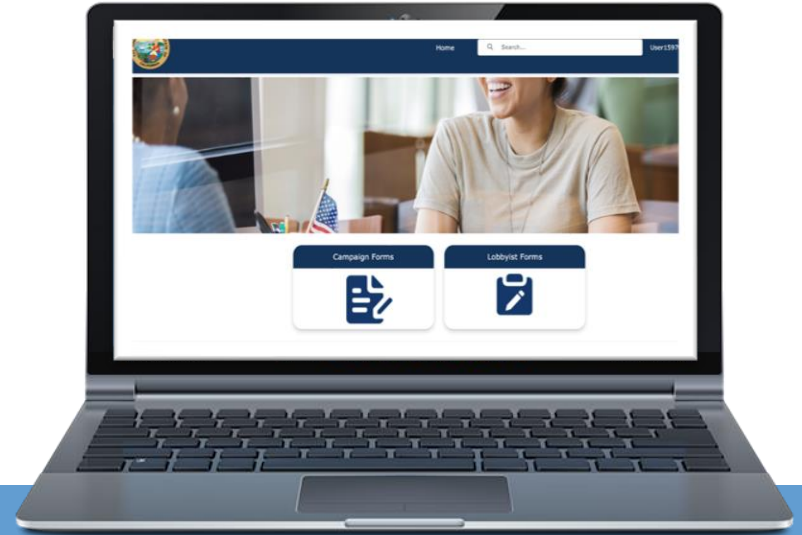


- System review will occur between March and May.
- FPPC, FTB, and Stakeholder Input Group (SIG) will participate.
- FPPC may designate two people from their organization.

Please send names and email addresses of your two people to Hailey Coursey at hcoursey@sos.ca.gov by Friday, January 22.
- Input from the review will be utilized by the CARS Testing Team for consideration in June and for future releases.



DEMONSTRATION OF THE PUBLIC PORTAL



Q & A / WRAP UP

Q & A

WHERE TO FIND PROJECT INFORMATION



Visit the CAL-ACCESS project website

<https://www.sos.ca.gov/campaign-lobbying/cal-access-replacement-system-project-cars-updates/>

- View documents, FAQs, and events
- Sign up to receive project updates

CARS Email Address: Submit questions and comments to the CARS project team:
PRDCARS@sos.ca.gov

CAL-ACCESS Replacement System Project (CARS) Updates

