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CALIFORNIA SECRETARY OF STATE



# CARS PROJECT SOFTWARE VENDOR SERVICE PROVIDER MEETING

**MARCH 30, 2021**

**11:00 AM – 12:00 PM**



# AGENDA

- CARS Project Update
- Phased Implementation – Three Releases
- Implementation Timeline
- Demonstration: Initial Registration via API
- Discussion: Contributor Unique Identification
- Final Q&A and Wrap-Up

# CARS PROJECT UPDATE

## COMPLETED AND UPCOMING ACTIVITIES



- SOS User Acceptance Testing (UAT) is underway
- Stakeholder engagement meetings included new groups (*Good Government and Institute of Governmental Advocates (IGA)*)
- Communications continue through website postings, updates to FAQs, periodic newsletters, and “Contact CARS” email

# PHASED IMPLEMENTATION – THREE RELEASES



## RELEASE 1

- Automated Functionality
  - ✓ Filer Disclosures
  - ✓ Filer Registration
  - ✓ Correspondence
  - ✓ Financial Transactions
  - ✓ System Reports
- Data Retrieval / Queries
- System Administration (account maintenance., user notifications, etc.)

**February 28, 2021**

## RELEASE 2

- Software Fixes
- Non-Automated Functionality
  - ✓ SOS User Notes and Add'l. Correspondence
  - ✓ Additional Financial Transactions
- Migrated Data and Additional Data Retrieval
- Additional System Admin. / System Notifications

**April 30, 2021**

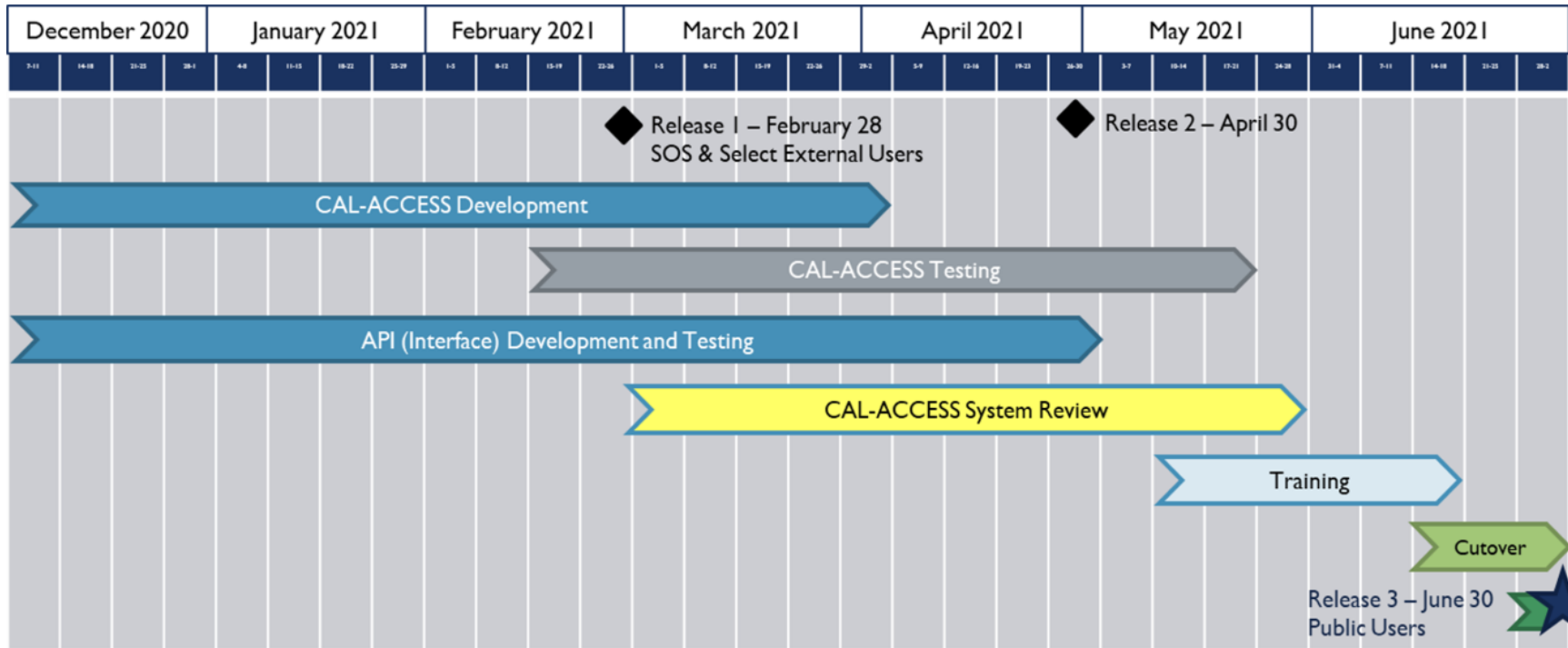
## RELEASE 3

- Software Fixes
- Final Data Migration
- Public Data Portal / Search
- API (Software Vendor Interface)
- SOS User Training
- Public User Instructional Materials
- System Certification
- Retirement of the legacy CAL-ACCESS

**June 30, 2021**

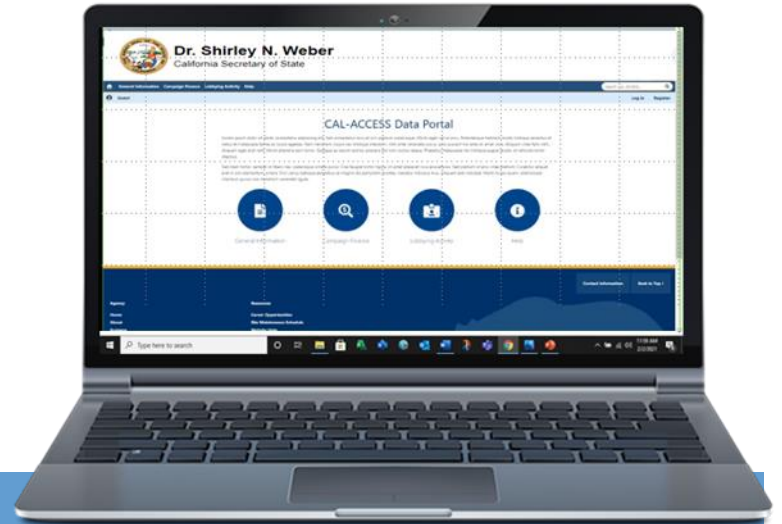


# IMPLEMENTATION TIMELINE





# DEMONSTRATION: INITIAL REGISTRATION



# DISCUSSION: CONTRIBUTOR UNIQUE IDENTIFICATION



- CARS will be issuing a unique identifier for all contributor/payee reported in itemized transactions on activity reports
- Contributors can be individuals, organizations, and various types of Filing Entities. However, **External ID applies only to individuals and organizations**
  - Committees and other registered entities (Major Donor, Political Party, etc.) with a Filer ID should be identified through their Filer ID (which can be looked up via the /accounts API service).
- The API will accept vendor-generated IDs on contributors submitted as new contributors which the vendor can then use to reference them for future submissions
- The API also provides search facilities to look-up existing contributor records and SOS encourages vendors to leverage that API to identify contributors already in the system
- Duplication is expected (multiple records for the same contributor). Post-Go-Live, a soft de-duplication approach will be implemented that links identified duplicate contributors records together via “super ID” concept



# CONTRIBUTOR SCHEMA DEFINITION

```
<xs:element name="ScheduleA" minOccurs="0" maxOccurs="unbounded">
  <xs:complexType>
    <xs:annotation>
    <xs:sequence>
      <xs:element name="ParentTransactionID" minOccurs="0" maxOccurs="1">
      <xs:element name="TransactionID" minOccurs="0" maxOccurs="1">
      <xs:choice>
        <xs:element name="Contributor" minOccurs="0" maxOccurs="1">
          <xs:complexType>
            <xs:sequence>
              <xs:choice>
                <xs:annotation>
                  • <xs:element name="Individual" type="individualType"/> •
                  • <xs:element name="Other" type="organizationType"/> •
                  <xs:element name="SmallContributorCommittee" type="committeeType"/>
                  <xs:element name="RecipientCommittee" type="committeeType"/>
                  <xs:element name="PoliticalParty" type="committeeType"/>
                </xs:choice>
                <xs:element name="Address" type="addressType" minOccurs="0" maxOccurs="1"/>
                <xs:element name="DateReceived" type="xs:date" minO
                <xs:element name="AmountReceived" type="xs:decimal"
                <xs:element name="CumulativeToDateCalendarYear" typ
                <xs:element name="PerElectionToDate" type="election
              </xs:sequence>
            </xs:complexType>
          </xs:element>
          <xs:element name="Intermediary" type="IntermediaryType" minOccu
          <xs:element name="TransferFromAffiliate" type="TransferFromAffi
          <xs:element name="ForgivenLoan" type="ForgivenLoanType" minOccu
          <xs:element name="Returned" type="returnType" minOccurs="0" max
          </xs:choice>
          <xs:element name="IsMemo" type="xs:boolean" minOccurs="0" maxOc
          <!-- renamed 'Memo' field to 'Notes' because a 'memo' has a ver
          <xs:element name="Notes" type="noteListType" minOccurs="0" maxO
        </xs:sequence>
      </xs:complexType>
    </xs:element>
```

```
<xs:complexType name="individualType">
  <xs:sequence>
    <xs:element name="ContributorID" minOccurs="0" maxOccurs="1">
    <xs:element name="ExternalContributorID" minOccurs="0" maxOccurs="1">
    <xs:element name="FirstName" minOccurs="0" maxOccurs="1">
    <xs:element name="LastName" minOccurs="0" maxOccurs="1">
    <xs:element name="OccupationandEmployer" minOccurs="0" maxOccurs="1">
  </xs:sequence>
</xs:complexType>

<xs:complexType name="organizationType">
  <xs:sequence>
    <xs:element name="ContributorID" minOccurs="0" maxOccurs="1">
    <xs:element name="ExternalContributorID" minOccurs="0" maxOccurs="1">
    <xs:element name="Name" minOccurs="1" maxOccurs="1">
  </xs:sequence>
</xs:complexType>
```



# CONTRIBUTOR ID EXAMPLE IN XML PAYLOAD



- Form 460, Schedule A line item example (retrieved after insert w/ external ID)
- Unique Contributor ID = CBR001504
- Vendor-Specified ID = EC-006
- Both are valid ways to reference the Contributor record in the system
- If ContributorID is supplied, the system will ignore ExternalContributorID for lookup purposes

```
<ScheduleA>
  <TransactionID>SAO-R1</TransactionID>
  <Contributor>
    <Individual>
      <ContributorID>CBR001504</ContributorID>
      <ExternalContributorID>EC-003</ExternalContributorID>
      <FirstName>John</FirstName>
      <LastName>Smith</LastName>
    </Individual>
    <Address>
      <AddressLine>Sstreet</AddressLine>
      <City>city</City>
      <State>MO</State>
      <ZipCode>12345</ZipCode>
      <Country>US</Country>
    </Address>
    <DateReceived>2020-12-01</DateReceived>
    <AmountReceived>100.0</AmountReceived>
    <CumulativeToDateCalendarYear>5000.0</CumulativeToDateCalendarYear>
  </Contributor>
  <IsMemo>true</IsMemo>
  <Notes>
    <Note>Memo A</Note>
  </Notes>
</ScheduleA>
```



# Q & A

# WHERE TO FIND PROJECT INFORMATION



## Visit the CARS project website

<https://www.sos.ca.gov/campaign-lobbying/cal-access-replacement-system-project-cars-updates/>

- View documents, FAQs, and events
- Sign up to receive project updates

**CARS Email Address:** Submit questions and comments to the CARS project team:  
**[PRDCARS@sos.ca.gov](mailto:PRDCARS@sos.ca.gov)**

### CAL-ACCESS Replacement System Project (CARS) Updates

