
Human Subjects Financial Conflict of Interest Form

Individuals who have independent roles in projects and who are responsible for the design, analysis, conduct, or reporting of the results of research performed (or to be performed) under a human subjects protocol must disclose whether or not they have a financial interest in or association with the sponsor or the company supplying materials, drugs, or devices for the project. This pertains to the entire project team working under the protocol. Any individual who has a conflict must comply with University regulations and procedures for disclosure of financial conflict of interest. For more information, see the UC Berkeley Conflict of Interest Committee website <https://researchcoi.berkeley.edu/human.html>.

Member of the Research Team with a financial interest: _____

Connection to study (role on project): _____

Protocol #: _____

Please answer the following yes or no questions:

Does any member of the project team (defined as UCB or non-UCB personnel working under the protocol) with substantive responsibility for the design, conduct, or reporting of activities under the protocol, or any member of their immediate family (defined as spouse, dependent child, or registered domestic partner) have any of the following interests with a non-UC entity financing the research to be done under the protocol or the non-UC entity supplying materials, drugs or devices being tested under the protocol:

1. Is the interest in an entity such as the sponsor, manufacturer, licensee, or performer (e.g., subcontractor)?
 Yes No
2. Is the interest something other than a financial interest in an entity (e.g., in the product being tested)?
 Yes No
3. Is the interest from income (e.g., consulting fee, salary)?
 Yes No
4. Is the interest as a scientific advisor, consultant, or employee to the entity?
 Yes No
5. Is the interest as a member of the board to the entity?
 Yes No
6. Is the interest as an officer to the entity (e.g. President, CEO, COO, Director, Partner, Trustee or other position of management)?
 Yes No
7. Is the interest from stock ownership in a publicly traded company?
 Yes No

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8. Is the interest from ownership, stock ownership, or investment in a non-publicly traded company?

Yes No

9. Is the interest from stock options?

Yes No

10. Is the interest from rights to a pending patent application or issued patent to any invention(s) or license rights or copyright that has a direct relationship to the project (e.g. drug or device)?

Yes No

You may provide additional details below (optional):

Certification

I have reviewed this document and certify that to the best of my knowledge the information provided is accurate and complete.

Signature: _____

Date: _____