

## **Due Diligence Questionnaire**

In order to be in-line with the Swiss Rules & Regulations on Compliance and Anti-Money Laundering, we kindly ask you to provide us with sufficient and explanatory information about the company for which you are planning to open an account with Gazprombank (Switzerland) Ltd (GPBS):

### **General information**

- The **relation between the persons on the signature card and the respective Beneficial Owner (BO)** of the company needs to be described.

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- Are there any **persons of the company (Beneficial owners, directors, authorized persons etc.) involved in ongoing investigations/court proceedings** or that for family, personal or business reasons are close to such persons?

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- Are there any existing **relations** of the company to any Gazprom- or Gazprombank-accounts/companies?

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- **Background of the expected initial transaction** through GPBS:

- Origin of funds** (name of the ordering party, origin of country of expected first transaction)

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- Source of funds/assets**

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- Transaction type** (physical: check, securities etc. / non-physical: via SIC/SWIFT or securities)

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- Detailed documentation** about the first transaction (approximate amount, currency, type of transaction: Loan tranche, shareholder's funding etc.)

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- **Additional documents** (company report, company brochure, annual report, presentations, homepage of the company, copy of deposit slip for the initial capital of the company etc.)

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- Please give a **detailed explanation** about the **economic purpose of the underlying transaction/s** (i.e. if possible copy of loan agreements etc.)

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## Information to the company

- Please give **detailed information about the company**:

Company name:

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Domicile:

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Legal form:

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**If the company is operationally active:**

- **Field of activity**, company documentation (annual report, company brochures etc.)

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- **Number of employees**

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- **Annual revenues** and income (approximately)

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Any accounts opened for the company in other financial institutions?

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Group affiliation/structure

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Names of major shareholders (if any) of the company

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- If appropriate, please enclose a **graphical scheme** that shows the relationship between the company and the group structure of the company

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- Is there a **special background of the business**, i.e. trade in diamonds, trade in art etc.?

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- What is the **reason for selecting GPBS as bank** and Switzerland in general as financial centre?

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- If the constellation is present, where the contracting partner of the company is acting on behalf of someone else or rather the contracting partner is not the BO of the assets, **the relationship between the contracting partner and the BO must be described in detail.**

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**Information to the Beneficial Owner/s (BO) of the company – if physical persons**

- You should provide us with a **proper Curriculum Vitae (CV)** of the respective BOs, stating the following points:

- Full name and address

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- Date of Birth

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- Nationality/Citizenship

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- Marital status, Children etc.

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- Profession

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- Career

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- Is the BO of the company a Politically Exposed Person (PEP) / Very Important Person (VIP)?

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- Does he have any relations to PEPs or VIPs?

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- Title, awards etc.

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- Estimated annual income:
  - CHF < 500000
  - CHF 500'000 – 1'000'000
  - CHF > CHF 1'000'000
- Estimated total assets:
  - CHF <500'000
  - CHF 500'000 – 1'000'000
  - CHF > 1'000'000
  - CHF > 10'000'000
- General remarks to the BO

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- Business cards from the BO (i.e. from other active/operating companies)

- **Origin of funds/assets** of the respective BO

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**This due diligence questionnaire must be completed, duly signed and returned to our attention.**

The Client Relations & Business Origination Department of GPBS will be at your service for any further questions regarding the account opening and beyond.

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[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]