### ARIZONA HOUSE OF REPRESENTATIVES Fifty-fourth Legislature - First Regular Session

### HOUSE AD HOC COMMITTEE ON ABUSE AND NEGLECT OF VULNERABLE **ADULTS**

Report of Interim Meeting Tuesday, October 1, 2019 House Hearing Room 1 -- 1:30 P.M.

**Members Absent** 

Ms. Knupp

MINUTES RECEIVED CHIEF CLERK'S OFFICE

10-1-19

Convened 1:30 P.M.

Recessed

Reconvened

Adjourned 4:13 P.M.

**Members Present** 

Representative Longdon, Chairman

Representative Dunn, Vice-Chairman

Dr. Christ

Ms. Collins

Ms. Kader

Ms. Kennedy

Ms. McFadden

Ms. Ortiz

Ms. Reed

Mr. Rico

Ms. Snyder

Mr. Trailor

Agenda

Original Agenda – Attachment 1

Request to Speak

Report – Attachment 2

Committee Attendance

Report – Attachment 3

**Presentations** 

**Attachments** (Handouts) Name **Organization** AZ Center for Disability Law J.J. Rico 5 Dana Kennedy AARP April Reed & Phil Pangrazio Ability360 6, 7

Hayley Howard, Committee Secretary

October 1, 2019

(Original attachments on file in the Office of the Chief Clerk; video archives available at http://www.azleg.gov)

CONVENCED: 1:30 pm adjourned: 4:13 pm Interim agendas can be obtained via the Internet at http://www.azleg.gov/Interim-Committees

### ARIZONA STATE LEGISLATURE

### INTERIM MEETING NOTICE OPEN TO THE PUBLIC

### HOUSE AD HOC COMMITTEE ON ABUSE AND NEGLECT OF VULNERABLE ADULTS

Date:

Tuesday, October 1, 2019

Time:

1:30 P.M.

Place:

HHR<sub>1</sub>

**AGENDA** 

1. Call to Order

2. Presentations:

- Arizona Center for Disability Law
- AARP
- Ability 360
- 3. Stakeholders:
  - Holly Reycraft
  - Faith McCloone, Task Force Against Senior Abuse
- 4. Committee Discussion
- 5. Public Testimony
- 6. Adjourn

### Members:

Representative Jennifer Longdon, Chair Representative Timothy M. Dunn, Vice Chair

Colby Bower - designee for Dr. Cara Christ

Sherri Collins

Sarah Kader Dana Kennedy

Cheryl Knupp

Erica McFadden Elizabeth Ortiz April Reed

Jose de Jesus V Rico

Jami Snyder Michael Trailor

9/26/19 jy

People with disabilities may request reasonable accommodations such as interpreters, alternative formats, or assistance with physical accessibility. If you require accommodations, please contact the Chief Clerk's Office at (602) 926-3032 or through Arizona Relay Service 7-1-1.

<sup>\*</sup> The committee may go into an executive session to receive testimony or documents that are pursuant to House Rules.

### Information Registered on the Request to Speak System

### House Ad Hoc Committee on Abuse and Neglect of Vulnerable Adults (10/1/2019)

### 5, Public Testimony

### Support:

Cynthia Elliott, representing self; Christine Scianna, representing self

### All Comments:

Cynthia Elliott, Self: Request to speak at the Oct 1 meeting.; Christine Scianna, Self: Requesting to speak at the meeting.

### PLEASE COMPLETE THIS FORM FOR THE PUBLIC RECORD



### HOUSE OF REPRESENTATIVES

### Please PRINT Clearly

Committee on Abuset Neglect	Bill Number
Date 10/1/19	☐ Support ☐ Oppose ☐ Neutral
Name <u>Lindsay Janes</u> Representing <u>Comm. Outream Proposition</u>	Need to Speak? Yes I No
Representing Comm. Outream Proposition	Are you a registered lobbyist? <u>00</u>
Complete Address 208 W. Adams	5+ Tucsin, 92 85705
E-mail Address Lindsay ja Copdazora	Phone Number 520 792.1900
Comments: .	
•	

\*\*\*FIVE-MINUTE SPEAKING LIMIT\*\*\*

### ARIZONA STATE LEGISLATURE

Fifty-fourth Legislature - First Regular Session

### COMMITTEE ATTENDANCE RECORD

HOUSE AD HOC STUDY COMMITTEE ON ABUSE AND NEGLECT OF VULNERABLE ADULTS

CHAIRMAN:	Jennifer Longdon	VICE-	CHAIRMAN	: Timoth	Timothy M. Dunn		
	DATE	10/01/19	/19	/19	/19	/19	
	CONVENED		m	m	m	m	
RECESSED							
	RECONVENED						
	ADJOURNED	4:13pm					
MEMBERS							
Colby Bower-designee for Dr. Cara Christ		V					
Sherri Collins		<b>✓</b>					
Sarah Kader		/					
Dana Kennedy		/					
Cheryl Knupp		-					
Molly McCarthy-designee for microatrails		/					
Erica McFadden		<b>/</b>					
Elizabeth Ortiz		<b>/</b>					
April Reed		/					
Jose de Jesus V Rico		/					
Jami Snyder							
Dunn, Vice-	-Chairman					·	
Longdon, C	hairman	/					
			<u> </u>		*		

--- Absent

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Present

exc Excused



# VALIONA ORNALIMA TOR

J.J. Rico, Chief Executive Officer, October 1, 2019



## DOUT ZISSION & SISSION

- Our Mission: ACDL is a non-profit law firm that assists Arizonans with disabilities to promote and and equality. protect their legal rights to independence, justice,
- Our Vision: A society where people with disabilities enjoy full acceptance without barriers.



# Protection & Advocacy System (P&A's)

- Protection and Advocacy Systems (P&A's) were established by neglect of persons with disabilities who resided in institutions. Congress in response to public outcry regarding abuse and
- Congress created distinct statutory authority and programs to disabilities address the needs of various populations of persons with
- Each state and territory has a P&A agency, which is designated by the Governor.



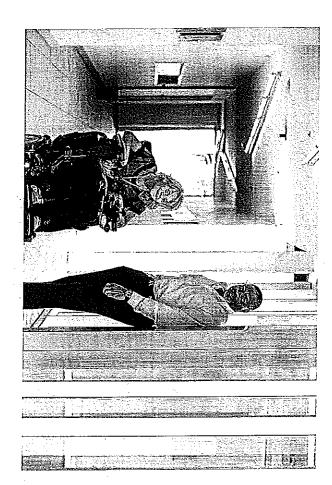
### rederal Protection and Advocacy

- Persons with Developmental Disabilities (PADD)
- 2. Individuals with Mental Illness (PAIMI)
- 3. Individuals with Traumatic Brain Injury (PATBI)
- Beneficiaries of Social Security (PABSS)
   Individual Rights (PAIR)
- 5. Voter Access (PAVA)
- Assistive Technology (PAAT)
- Client Assistance Program (CAP)
- Rep Payee (PABRP)



### 

- Designated as the P&A in Arizona in 1974
- ACDL has authority to pursue legal, administrative, and other appropriate remedies or approaches to ensure the protection of, and advocacy for, the rights of individuals with disabilities within the State.
- As part of its protection and advocacy work, ACDL engages in impact and class action litigation on behalf of clients who face discrimination or other violations of civil rights and federal statutory protections.





### Highligh Butters Examples ACDI IS CHITCHEY ORGANICAL TO COMPON

abuse and neglect, as authorized by the Protection and Advocacy of Individuals with Mental ACDL is challenging the Arizona State Hospital's practices that interfere with ACDL staff's In Arizona Center for Disability Law v. Cara M. Christ, et al, 18-CV-02854 PHX MHB (D. Ariz.), Illness (PAIMI) Act, 42 U.S.C. § 10801 et seq. reasonable unaccompanied access to patients during monitoring visits and investigations of

mediation. briefing enforcement issues, and representing the P&A during evidentiary hearings and ACDL continues to monitor prison facilities; interviewing prisoners, reviewing health care records, Arizona Department of Corrections (ADC)) in monitoring and enforcing a class action settlement. ACDL continues to serve as co-counsel (representing the P&A) with American Civil Liberties Union Additionally, in Parsons et al v. Ryan et al, 12-CV-00601 PHX ROS) (D. Ariz. Feb. 18, 2015), ("ACLU"), ACLU of Arizona, and the Prison Law Office (representing the class of prisoners in the



# What issues does ACDL address?

- Monitor health and mental health facilities
- Investigate abuse and neglect
- Employment discrimination
- Appropriate health and mental health care services
- Discrimination in health care programs
- Special education/transition services
- businesses and commercial facilities and housing Discrimination in gov't programs, services



# PODE AND ItS POCCESS Pathority

### **ACCESS AUTHORITY:**

- ACDL may inspect all areas of the facility used by residents or accessible to residents without the facility. presence of any facility employee. ACDL does not need the consent of any party to access to the
- ACDL does not need the consent of a parent/guardian to speak with a minor or adults with guardians. ACDL may speak privately with any resident, including minors or adults with guardians, at all The resident may choose not to speak to ACDL. reasonable times, including at any time necessary to conduct a full investigation of abuse or neglect.
- any employee or other persons, who ACDL reasonably believes has knowledge of the incident under investigation When conducting an investigation of abuse or neglect, ACDL must have the opportunity to interview
- otherwise be confidential. ACDL may review and copy any records maintained by the facility. This includes records that may

### 1/30/2019

- date, but ACDL was ultimately granted access to the facility after speaking with a supervisor. arrival, staff at the front desk initially requested that we schedule an appointment for a later woman with disability becoming pregnant and giving birth while at the facility. Upon our January 30, 2019 - ACDL staff conduced an unannounced site visit due to media reports of a
- checks on rooms every 15 minutes as well as room checks every 2 hours (for repositioning, to client ratio of 1:12 for RNs. We were informed facility staff are required to do safety State ordered Hacienda to retain to oversee the functioning of their facility. There was a staff First, ACDL staff briefly met with employees of Benchmark, the third-party company that the diaper changes, etc.).
- We observed that rooms were considerable distance from the central nursing station. Since the above-described incident, Hacienda reported they have made the flowing changes:

access, and increasing patient supervision Installing CCTV cameras, hiring security staff, modifying visiting hours, restricting building



# Taciendo Second Visit I 3/26/2019

On March 26, 2019, ACDL staff returned to Hacienda as part of a another program review. While a majority of our meeting occurred in an office away common areas of the facility. observed around the building and cameras were noted throughout the wear name badges. Police officers and security personnel were again show the front staff identification, sign into an electronic security system, and above appeared to still be in effect. For example, ACDL staff were required to conducting a beneficiary interview. All of the security changes described from the main building, ACDL reviewers toured the facility again while



# Hacienda 3rd Visit - 6/27/2019

- News report on 6/18/19 of maggots being found in a patient's surgical incision.
- and all procedures were followed correctly. The client had been receiving above the standard of care. The patient has been transferred. respiratory care every six hours at the incision area; which they report is the incident report. Hacienda stated they found the incident to be isolated Hacienda reported doing an internal investigation. They provided ACDL with
- Since the incident, Hacienda reports they have implemented the following controls
- Incorporated pest control into the infection control policy.
- Had the facility inspected and sealed cracks and other areas where insects might enter.



# Tacienda update as of 9/26/2019

the closure. Medicare halted new admissions in June 2019. Per, news reports the majority of however, ACDL was not able to obtain the exact number of patients that were moved due to patients. ACDL was not able to confirm that with Hacienda. patients were moved to Allegiant. Allegiant reported they had room for all of the Hacienda The skilled nursing part of Hacienda has closed. The 74-bed facility had 35 residents in June;

in June. Hacienda filed an appeal. residents are 16-67. ADHS issued a Notice of Intent to Revoke the Hacienda ICF-IID license No new residents have been admitted to Hacienda since 1/3/19. The age range of the bed intermediate care that currently has 34 residents. 29 of whom receive respiratory care. Per, Director of Nursing Theresa Dunlap, there is no plan to close the ICF-IID. The ICF is a 60



eligible individuals. The residence of those served as of June 30, 2017 are represented below: According to DDD's 2017 Family Support Annual Report, There are 39,160 DD

### People Served by Residence\*



- ☐ Group Home 7.42%
- Adult Dev Home 3.26%
- Child Developmental Home .62%
- Institution .45%



# Arizona Group Homes (ACDL Research)

- As of mid-2017, there were approximately 2,905 group home residents throughout the state
- There were at least 485 group homes in operation
- Management Program Monitoring Unit is currently responsible for monitoring group homes The Department of Economic Security's Quality



### investigation and operation; third-party 36-592. Adult developmental homes, child 4/17/2018) developmental homes; license applications; contractors; rules; definitions (Passed

- a form prescribed by the department. A. An applicant for an adult developmental home or child developmental home license shall submit an application on
- adequacy of services. Before issuing or renewing a license, the department shall determine that the applicant is of care within the setting, the financial stability of the applicant, the character and training of the applicant and the B. Before issuing or renewing a license to an applicant, the department shall investigate the activities and standards able to meet the emotional, physical, social, developmental, educational, cultural and intellectual needs of clients oversight and licensure under this subsection. connection with oversight and licensing. The department may not contract with the same third party for both independent oversight of licensing. The department may contract with third parties to perform services in The department by rule shall establish standards for licensure. The department shall maintain a system of
- C. Each license shall state in general terms the kind of setting the licensee is authorized to operate and shall prescribe the number, ages and sex of clients.



## ARG GG-USP. COSTINGO

- D. A licensee who holds an adult developmental home or child developmental home license shall:
- 1. Comply with applicable health, safety and sanitation codes or standards and document its compliance.
- 2. File reports as prescribed by the department.
- 3. Allow the department to inspect or monitor its services and facility and the facility's books and records
- 4. Comply with rules adopted by the department.
- 5. Provide for the health, safety and welfare of the licensee's clients
- 6. Allow the inspection of the developmental home at reasonable times pursuant to section 36-595.01.
- E. A license expires one year from the date of issuance.



## 36-595 OF ACCESS to facilities

- following to inspect the facility at reasonable times: A group home, adult developmental home or child developmental home shall allow the
- Parents and guardians of facility residents.
- 2. Members of the developmental disabilities advisory council.
- and developmental disabilities. 3. Employees or agents of an Arizona nonprofit advocacy organization that is a state chapter that has historical expertise with monitoring residential settings for persons with intellectual of a national advocacy organization, that has affiliated local chapters within this state and



## ARS 36-592... Continued

F. For each adult developmental home and child developmental home, the department shall:

- 1. Conduct an annual licensing home visit.
- enter into a contract with third parties to perform services in connection with oversight and monitoring. The department may two times per year. The department shall maintain a system of independent oversight of monitoring. The department may 2. Monitor the settings for compliance with health, safety, contractual, programmatic and quality assurance standards at least not contract with the same third party for both oversight and monitoring under this paragraph.
- client, the department shall conduct the investigation immediately. 3. Investigate a complaint within ten working days after receiving notice of the complaint, except that if there is a danger to a
- of adult developmental homes and child developmental homes. The rules regarding minimum qualifications shall address G. The department shall establish by rule minimum qualifications, responsibilities and oversight for the licensing and monitoring and monitoring, maximum caseload ratios for those performing licensing and monitoring services and a system for appropriate professional judgment, conflicts of interest and training. The rules shall establish the frequency and type of visits for licensing public access to information regarding licensing and monitoring findings.



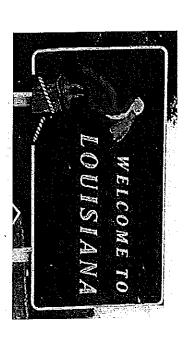
## ARS SGISSY: CORTINGO

- H. The department may contract with the same third party to developmental home. monitoring of an adult developmental home or a child perform services in connection with the licensing and
- I. For the purposes of this section:
- applicants "Licensing" includes recruiting and verifying qualifications of
- 2. "Monitoring" includes monitoring health, safety, contractual, developmental home or child developmental home programmatic and quality assurance standards of an adult



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# Fadard's Monitoring Approach

The Division of Disabilities and Rehabilitation Services oversees the Statewide Waiver Ombudsman

- Ombudsman are responsible for receiving, investigating, and attempting to resolve complaints
- Monitoring is conducted on an individual basis to ensure compliance with the person's service plan
- Conducted by Case Managers
- At least one face-to-face visit with the individual every 90 days
- At least one unannounced monitoring visit each year

Ombudsman statute <a href="http://iga.in.gov/legislative/laws/2019/ic/titles/012#12-11-13">http://iga.in.gov/legislative/laws/2019/ic/titles/012#12-11-13</a>

Ombudsman functions and Case Manager monitoring <a href="https://www.in.gov/fssa/files/ddrs%20hcbs%20waivers.pdf">https://www.in.gov/fssa/files/ddrs%20hcbs%20waivers.pdf</a>



# Kashington's Monitoring Approach

- contractor Established the Office of Developmental Disabilities Ombuds, which is operated by a nonprofit
- award the contract to Competitive bidding was used to determine which nonprofit the Department of Commerce would
- Chosen contractor is Disability Rights Washington
- Office of Developmental Disabilities Ombuds is responsible for the monitoring of group homes and handling of complaints
- Office entirely funded by Washington Department of Commerce
- \$643,000 per year

Legislation establishing ODDO https://app.leg.wa.gov/RCW/default.aspx?cite=43.382.005

ODDO website https://ddombuds.org/



# rousing/s Monitoring Abbroach

- Established Community Living Ombudsman Program to monitor group homes
- organization Program is run by the Advocacy Center of Louisiana, which is a nonprofit
- Each office visits at least 25% of the group homes in their region each month
- Reports to the Attorney General's Office

Statute establishing ombudsman program <a href="http://legis.la.gov/Legis/law.aspx?d=321103">http://legis.la.gov/Legis/law.aspx?d=321103</a>

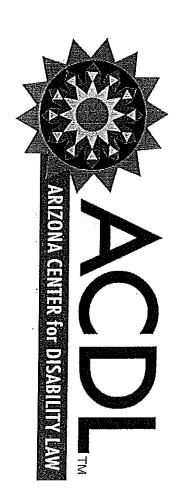
Statute for ombudsman responsibilities <a href="http://legis.la.gov/Legis/law.aspx?d=321104">http://legis.la.gov/Legis/law.aspx?d=321104</a>



## 

- Advocacy Center of Louisiana primarily operates on federal and state grants
- US Department of Health and Human Services
- US Department of Education
- Social Security Administration
- State of Louisiana Governor's Office of Elderly Affairs
- State of Louisiana Department of Justice
- Louisiana Bar Foundation
- Advocacy Center received \$647,264 in total grants for 2017





### **Phoenix Office**

### **Tucson Office**

5025 E. Washington St., Ste. 202 Phoenix, AZ 85034 (602) 274-6287 1-800-927-2260

177 N. Church Avenue, Suite 800 Tucson, AZ 85701 (520) 327-9547 1-800-922-1447

www.azdisabilitylaw.org

### TOUGO YO TOO CONNITION ON Abuse and Regiect of

State Director, AARP Arizona October 1, 2019 Dana Marie Kennedy, MSW

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for and equip each individual to live their best life." It's all about real AARP and its affiliates do can be summed up in just 12 words: "We fight nearly 38 million members—is our mission. At its heart, the work that Enhancing the quality of life for all as we age—not just serving our possibilities

AARP fights for age 50 and over individuals and their families at the local, state and national levels. We work on important issues, such as:

- Leading efforts to preserve Social Security and promote other retirement savings efforts to help everyone achieve lifetime financial
- Promoting adequate, affordable health care, including prescription drugs and long-term care
- Fostering communities with affordable and appropriate housing, as around well as supportive community features and options for getting

### What We Learned

- The focus was certainly on the Developmental Disability Community but we know that abuse also happens to vulnerable adults
- We wanted to make sure that when agencies were looking at what all the systems that have oversights of vulnerable adults. happened at Hacienda was an opportunity to make sure we reviewed
- highlighted on Sept. 17th at the last Ad Hoc meeting and presented by We commend the Governor for appointing a task force which was comprehensive recommendations some are short term and others are DES. This task force is just wrapping up the work and has some long term.
- Erica McFadden did an excellent job of reviewing what we learned on not replicate them. Sept. 17th and I agree with the points she made and will attempt to

### What We Learned

- and federal agencies, which hampers comparisons of data that inform prevention and There is little reliable data on the prevalence of abuse. Like other forms of abuse it further complicates research comparisons. intervention efforts. A lack of conformity among data collection instruments Furthermore, there is a lack of a common definition of elder abuse among states is underreported, but older adult victims number in the hundreds of thousands
- We do not have a good system in place to make sure all the people who live in group homes have same oversight as those in skilled nursing facilities
- We have a huge void to fill with recruiting, training and retaining paid caregivers who nursing facilities. Workforce shortages lead to lack of quality and quantity of staff. provide the majority of care to our love ones in the home, group homes, and skilled
- Cognitive impairment makes people more vulnerable and makes it difficult to communicate that they were abused.
- should report Lack of training for workers to understand what abuse is, how to report it, and who

## What Changes Can We Make?

- Meals on Wheels or home health care, play significant roles in preventing and addressing abuse of referrals, and personal money management. include community-based programs that provide services such as counseling, information and ranging from simple assistance with household chores to outright guardianship. Other examples frail older adults. A broad range of protective services are needed to help prevent and stop abuse, health professionals all must be made aware of the signs of abuse. In-home services, such as Detecting and preventing elder abuse requires increasing awareness. The general public, and
- impose criminal penalties for various forms of elder abuse to seek restitution. State laws typically offer victims a range of remedial services. Statutes also Enhancing legal protections against elder abuse could help prevent it. It would also allow victims

## What Changes Can We Make?

Explore Mandatory Reporting Statute to:

- Impose Civil Penalty on the individual failing to report, (See, interesting rationale for going the civil route at http://publish.illinois.edu/elderlawjournal/files/2017/07/Bernal.pdf) OR
- Impose stricter criminal penalty (as in NY/felony)

Impose Civil Penalty on the institution with a number of potentially escalating remedies which could

- (a) Revoke the facility's license and/or contract with the state to provide services;
- (b) Deny payment;
- <u>O</u> facility administrator; Assess and collect a civil monetary penalty with interest from the facility owner and/or
- (d) Appoint temporary management;
- (e) Close the facility and/or transfer residents to another certified facility;
- (f) Direct a plan of correction;
- Assign monitors to the facility; or
- (h) Reduce the licensed bed capacity.
- Require facilities to train all staff and contractors with potential for resident access and test on mandatory reporting. Mandate continuing education on same

# What Changes Can We Make?

- the state and federal level. automatically alert facilities of new arrests (or even convictions) at employees and contractors with direct access; Create a system to Strengthen Criminal Background Checks to ensure coverage of all
- Strengthen penalties for facilities that fail to follow statutory or consistently imposed regulatory guidelines. Ensure that remedies are swiftly and
- Pass Virtual Visitation Legislation requiring nursing homes to inform rooms to monitor their care and facilitate communication with family residents and their families of their right to utilize technology in their members. This was just recently passed in Louisiana
- Facilitate family involvement in nursing home care planning.

# What Changes Can We Wake?

- Strengthen nursing home family council requirements. Ensure that groups of family members can organize a group and meet without nursing home staff, to discuss concerns and make recommendations to the nursing facility for action
- Fully fund agencies responsible for investigating abuse and neglect and ensure that they have the capacity to respond appropriately and follow-up.
- that go beyond federal requirements and include an adequate number of Implement and fund strong and independent LTSS ombudsman programs ombudsmen
- Provide adequate funding to permit Ombudsmen to visit all facilities including group homes
- Ensure that complaints and reports of nursing home abuse are fully cases of nursing home sexual abuse are handled by those with expertise in investigated by those with skills, training and capacity to do so, and that that area.

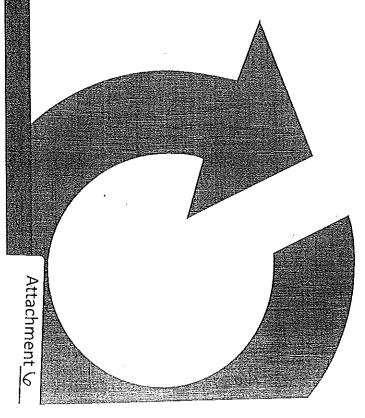
### Next Steps

- Look at model legislation from other states and introduce a package of bills to address the changes we can make
- and mentor paid caregivers. Develop a work group to explore best practice models to recruit, train

### State Director, ART ARIONA okennedy@aarp.org

(602) 262-5191





## 

and promotes programs designed to empower Ability360 is a nonprofit organization that offers people with disabilities to take personal community. continue independent lifestyles within the responsibility so that they may achieve or

# "Center for Independent Living" is...

- A non-residential, private, nonprofit agency
- by individuals with disabilities Designed and operated within a local community
- Provides an array of independent living services.

### We are:

- Consumer-controlled (51%+)
- Community-based
- Cross-disability

Don't live in Maricopa, Pinal, or Gila County? Find Your OI on the IRU Website

# DORDON LIVING PRIOSONY

We believe that the opportunity to fully basic human right. social life of our own community is a participate in the political, economic, and

a reason to deny those rights. inhibits personal function is not, in itself, The existence of a disability which

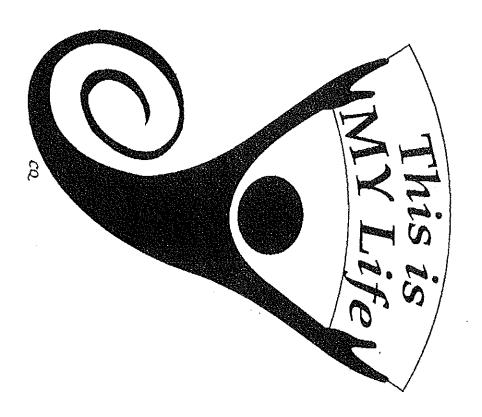


- A Victim Services Specialist is available every Campus Thursday from 3 – 7 PM at the Ability360 Mair
- Community members, including people with services, can use this resource at no cost. Ability360 Staff, or anyone needing support and disabilities, family, caregivers, service providers,
- Call 602-279-2900 or 1-800-782-6400

## 

Free self-advocacy and self-determination skills program for people 16+ who receive services from the Division of Developmental Disabilities (DDD).

Individual and group classes are available.



# Ability360 Home Care Services

Provides personal care attendants to elders or people with disabilities

- Maricopa
- T na
- <u>の</u>
- Pima
- Yavapai

Funded Through:

- Arizona Long Term Care System (ALTCS)
- Native American
  Community Health
- AzDES Division of Developmental Disabilities (DDD)
- NACOG Area Agency on Aging
- Private Pay

# Training on Legal Concepts

## 

- Abandonment left w/o care of family or agency.
- Assault intentional attempt or threat to touch a person without a person's
- Battery actual touching of a person's body without a person's consent.
- False imprisonment Unlawful restriction of person's freedom of movement.
- make money or gain an advantage Fraud – refers that a person intentionally gives false information in order to
- Invasion of privacy exposing or making public person's personal and confidential info w/o consent
- neglect, and responsibility for damages Liability - The state of being responsible for negligence, such as financial,
- Malpractice failure to exercise reasonable judgment in the application of professional knowledge
- Negligence action on your part or your failure to act that either causes or contributes to the cause of a personal injury or property damage to others

## **Ethical Principles**

- Honesty: do not be afraid to politely say "no" to a task you are not assigned to do. Never steal, take consumer's possessions, or falsify documents or reports.
- Respect: a consumers religious or personal beliefs
- Reliability: Work hours scheduled on Service Agreement. Always finish your shift.
- You should not take gifts or tips from consumer or families.
- Follow the consumer's service plan unless you consult with your supervisor.

## Consumer's Rights

# 

- Considerate and respectful treatment and care
- Not be abused emotionally, sexually, financially, or physically.
- (including requesting a change of caregiver) will be provided, and who will deliver those services Design their treatment or care plan, decide how their services
- Refuse treatment Service Plan states to give a consumer a shower and he/she refuses, you would respect their decision
- Privacy
- File a complaint with the agency.
- Confidential handling of their personal information.

# Adult and Child Abuse

# 

of maltreatment of a person by a caregiver, family ncude: member, spouse, or friend. Categories of abuse Definition: adult and child abuse refers to any forms

- Abuse
- Sexual
- Neglect
- Financial
- Emotional

# Signs of Adult and Child Abuse

- injuries in various stages of healing. Physical: bruises, broken bones, cut, or other untreated
- Sexual: bruises around breast or genital area; signs of sexual transmitted diseases (STDs), child (Pregnancy).
- disorder; developmental delay; and behaviors communicative, or paranoid. For a child - eating and speech Emotional: adult is upset or agitated, withdrawn, non-
- Neglect: dehydration, malnutrition, pressure ulcers, poor For a child - poor hygiene; absenteeism; hunger, tiredness, no personal hygiene and unsafe or unsanitary living conditions caretaker at home
- do not match adult's signature. statement or other personal effects; signatures on checks that Financial: unusual banking activity; missing financial

# Observing and Monitoring:

Recognizing Changes - The Direct Care Worker (DCW) as Detective:

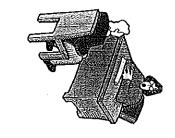
- Early identification of changes in an individuals routines, behavior, appearance, etc.
- You are the first to observe any changes in a consumer.
- You get to know a person by spending time with him/her and learning what is usual for them.

Tools the DCW may use:

- Observation Use all your senses: sight, hearing, touch and smell.
- Communication Ask questions and listen to answers.

### Reporting

- Any change in physical, emotional, or mental confusion, etc.) condition. (pressure sore, bruises, agitation
- Problems with medication (new or existing medication).
- Abuse or Neglect.
- Change in the home environment.
- If the consumer is asking you to do something you are not supposed to do.
- If you do not understand a procedure or task.
- If you disagree with the consumer, contact your supervisor.



# Abuse Reporting Requirements

- All persons responsible for the care of an incapacitated or vulnerable adult or child have a duty to report suspected abuse and neglect.
- Reports must be made immediately (by phone, on-line, or in is a misdemeanor person) to Adult Protective Services or Child Protective Services (depending on the persons age) or to the police. Failure to report
- If the individual is in immediate danger, call 911.
- If abuse is NOT life-threatening, report it to your supervisor.
- If you notice suspicious bruises, report observation to the authorities/supervisor
- (APS 1-877-767-2385 CPS 1-888-767-2445)

# Prevention for Abuse

# 

- Community awareness
- Public and professional education
- Caregiver support groups
- Stress management training
- Respite care/In-home services
- The Parent Assistance Program
- (Phoenix: 602 542-9580 or 1-800-732-8193)





### Recognize & Report **Abuse**

**JUNE 15:** World **Elder Abuse** Awareness Dav Attachment\_7

rea Agency on Aging, Region One

### ogether We Stand Strong gainst Abuse

### Ve are All Vulnerable to Abuse

buse can be Physical, Emotional, Sexual, or Financial.

- 1 in 4 women and 1 in 7 men will experience domestic violence in their lifetime.
- 1 in 10 older Americans experience elder abuse, neglect, or exploitation.
- Persons with disabilities are at least three times more likely to be victims of violent crimes than those without disabilities.

### ecognize and Report Abuse you suspect that a vulnerable adult has been a ictim of abuse, call or text

Law Enforcement at 911

Adult Protective Services:

1-877-767-2385 TDD: 1-877-815-8390 www.azdes.gov/reportadultabuse

BILITY360 602-256-2245 ability360.org

Area Agency on Aging 24-Hour *Senior* HELP LINE **602-264-HELP (4357)** aaaphx.org



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