

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2018

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2018 or tax year beginning Jul 1, 2018, and ending Jun 30, 2019

| | | |
|--|--|--|
| Name of foundation The Commonwealth Fund | | A Employer identification number 13-1635260 |
| Number and street (or P.O. box number if mail is not delivered to street address) One East 75th Street | Room/suite | B Telephone number (see instructions) (212) 606-3858 |
| City or town, state or province, country, and ZIP or foreign postal code New York NY 10021 | | C If exemption application is pending, check here <input type="checkbox"/> |
| G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change | | D 1. Foreign organizations, check here . . . ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation . . . ▶ <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here . . . ▶ <input type="checkbox"/> |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 768,620,549. | J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . ▶ <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|--|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | 711,145. | | | |
| | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B | | | | |
| | 3 Interest on savings and temporary cash investments | 121,036. | 121,036. | | |
| | 4 Dividends and interest from securities | 4,008,690. | 7,151,356. | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 25,122,350. | | | |
| | b Gross sales price for all assets on line 6a 145,024,805. | | L-6a Stmt | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 25,270,960. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less: Cost of goods sold | | | | | |
| c Gross profit or (loss) (attach schedule) | | | | | |
| 11 Other income (attach schedule) See Stmt | 17,656. | 910,502. | | | |
| 12 Total. Add lines 1 through 11 | 29,980,877. | 33,453,854. | | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc. | 1,818,899. | 229,311. | | |
| | 14 Other employee salaries and wages | 6,051,769. | 47,500. | | |
| | 15 Pension plans, employee benefits | 2,584,356. | 90,969. | | |
| | 16a Legal fees (attach schedule) . . . L-16a Stmt | 13,350. | 3,063. | | |
| | b Accounting fees (attach schedule) L-16b Stmt | 54,257. | | | |
| | c Other professional fees (attach schedule) L-16c Stmt | 5,721,516. | 5,009,485. | | |
| | 17 Interest | | 286,335. | | |
| | 18 Taxes (attach schedule) (see instructions) See Stmt | 507,069. | 112,829. | | |
| | 19 Depreciation (attach schedule) and depletion | 347,882. | | | |
| | 20 Occupancy | 721,350. | 25,392. | | |
| | 21 Travel, conferences, and meetings | 830,149. | 3,318. | | |
| | 22 Printing and publications | 399,496. | | | |
| | 23 Other expenses (attach schedule) See Stmt | 1,584,723. | 4,904,115. | | 14,511,203. |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 20,634,816. | 10,712,317. | | 14,511,203. |
| | 25 Contributions, gifts, grants paid | 19,689,401. | | | 20,369,903. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 40,324,217. | 10,712,317. | | 34,881,106. | |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | -10,343,340. | | | | |
| b Net investment income (if negative, enter -0-) | | 22,741,537. | | | |
| c Adjusted net income (if negative, enter -0-) | | | | | |

| Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) | | Beginning of year | | End of year | |
|--|--|-------------------|----------------|-----------------------|--|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value | |
| Assets | 1 Cash—non-interest-bearing | 1,503,140. | 3,496,451. | 3,496,451. | |
| | 2 Savings and temporary cash investments | 29,229,193. | 16,190,953. | 16,190,953. | |
| | 3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶ | | | | |
| | 4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶ | | | | |
| | 5 Grants receivable | | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . | | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶ | | | | |
| | 8 Inventories for sale or use | | | | |
| | 9 Prepaid expenses and deferred charges | 34,697. | 16,303. | 16,303. | |
| | 10a Investments—U.S. and state government obligations (attach schedule) | | | | |
| | b Investments—corporate stock (attach schedule) | | | | |
| | c Investments—corporate bonds (attach schedule) | | | | |
| | 11 Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶ | | | | |
| | 12 Investments—mortgage loans | | | | |
| | 13 Investments—other (attach schedule) . L-13, Stmt . . | 731,809,071. | 737,308,486. | 737,308,486. | |
| | 14 Land, buildings, and equipment: basis ▶ 9,258,255. Less: accumulated depreciation (attach schedule) ▶ L-14, Stmt 4,011,261. | 5,451,694. | 5,246,994. | 5,246,994. | |
| 15 Other assets (describe ▶ L-15 Stmt) | 1,586,974. | 6,361,362. | 6,361,362. | | |
| 16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I) | 769,614,769. | 768,620,549. | 768,620,549. | | |
| Liabilities | 17 Accounts payable and accrued expenses | 1,347,538. | 1,407,860. | | |
| | 18 Grants payable | 18,565,658. | 17,825,671. | | |
| | 19 Deferred revenue | | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | | |
| | 21 Mortgages and other notes payable (attach schedule) . . . | | | | |
| | 22 Other liabilities (describe ▶ L-22 Stmt) | 8,330,641. | 7,595,818. | | |
| | 23 Total liabilities (add lines 17 through 22) | 28,243,837. | 26,829,349. | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here . . ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31. | | | | |
| | 24 Unrestricted | 741,370,932. | 741,791,200. | | |
| | 25 Temporarily restricted | | | | |
| | 26 Permanently restricted | | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31. | | | | |
| | 27 Capital stock, trust principal, or current funds | | | | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | | | | |
| 29 Retained earnings, accumulated income, endowment, or other funds | | | | | |
| 30 Total net assets or fund balances (see instructions) . . . | 741,370,932. | 741,791,200. | | | |
| 31 Total liabilities and net assets/fund balances (see instructions) | 769,614,769. | 768,620,549. | | | |

| Part III Analysis of Changes in Net Assets or Fund Balances | | | |
|---|--|----------|--------------|
| 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | | 1 | 741,370,932. |
| 2 Enter amount from Part I, line 27a | | 2 | -10,343,340. |
| 3 Other increases not included in line 2 (itemize) ▶ <u>Unrealized gains</u> | | 3 | 10,872,331. |
| 4 Add lines 1, 2, and 3 | | 4 | 741,899,923. |
| 5 Decreases not included in line 2 (itemize) ▶ <u>Deferred tax decrease</u> | | 5 | 108,723. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . | | 6 | 741,791,200. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|---|---|----------------------------------|
| 1a See attachment for fund list | | P | Various | Various |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) | |
| a 145,046,596. | | 119,775,636. | 25,270,960. | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. | | | (i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) | |
| (j) FMV as of 12/31/69 | (k) Adjusted basis as of 12/31/69 | (l) Excess of col. (j) over col. (k), if any | | |
| a 0. | 0. | 0. | 25,270,960. | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| 2 Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | | 2 | 25,270,960. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 | | | 3 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|--|--|--|---|
| 2017 | 36,310,544. | 754,232,194. | 0.048142 |
| 2016 | 34,878,264. | 709,987,544. | 0.049125 |
| 2015 | 34,185,430. | 711,048,358. | 0.048078 |
| 2014 | 30,577,081. | 747,124,271. | 0.040926 |
| 2013 | 32,537,578. | 719,066,483. | 0.045250 |
| 2 Total of line 1, column (d) | | | 2 0.231521 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years | | | 3 0.046304 |
| 4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 | | | 4 738,961,169. |
| 5 Multiply line 4 by line 3 | | | 5 34,216,858. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 227,415. |
| 7 Add lines 5 and 6 | | | 7 34,444,273. |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions. | | | 8 35,024,287. |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | | |
|-----------|--|-----------|------------|--|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 227,415. | |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b). | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 2 | 0. | |
| 3 | Add lines 1 and 2 | 3 | 227,415. | |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 4 | 0. | |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 227,415. | |
| 6 | Credits/Payments: | | | |
| a | 2018 estimated tax payments and 2017 overpayment credited to 2018 | 6a | 1,000,000. | |
| b | Exempt foreign organizations—tax withheld at source | 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d | Backup withholding erroneously withheld | 6d | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 1,000,000. | |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached | 8 | | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶ | 9 | | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . ▶ | 10 | 772,585. | |
| 11 | Enter the amount of line 10 to be: Credited to 2019 estimated tax ▶ 772,585. Refunded ▶ | 11 | | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|--|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____ | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____ | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | X | |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | X | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> . | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ <u>See Statement</u> | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | X |

Part VII-A Statements Regarding Activities (continued)

| | | Yes | No |
|----|---|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>www.commonwealthfund.org</u> | X | |
| 14 | The books are in care of ► <u>Dr Jeffrey Haber, CPA, Sr Director, Finance</u> Telephone no. ► <u>(212) 606-3858</u> Located at ► <u>One East 75th Street, New York, NY</u> ZIP+4 ► <u>10021</u> | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here ► <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u> | | |
| 16 | At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► | | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | Yes | No |
|----|---|-----|----|
| 1a | During the year, did the foundation (either directly or indirectly): | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ► <input type="checkbox"/> | 1b | X |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? | 1c | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a | At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____ | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) | 2b | X |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____ | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | |
| b | If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) | 3b | X |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? | 4b | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | Yes | No |
|-----------|--|-----------|----|
| 5a | During the year, did the foundation pay or incur any amount to: | | |
| (1) | Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) | Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) | Provide a grant to an individual for travel, study, or other similar purposes? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | |
| (4) | Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | |
| (5) | Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/> | 5b | X |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d). | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870. | 6b | X |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 7b | |
| 8 | Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| See attachment | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| See attachment | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000 **40**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

| 3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE." | | |
|--|-----------------------------|------------------|
| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
| Perella Weinber Partners 767 Fifth Avenue New York NY 10153 | Investment Mgmt | 2,055,442. |
| BNY Mellon 500 Grant Street Pittsburgh PA 15258 | Investment Custodian | 459,886. |
| Baxter Select Equity Fund II C/O SEI Global Services, 1 Freedom Valley Drive Oaks PA 19456 | Investment Mgmt | 388,135. |
| Burness Communications 7910 Woodmont Avenue, Suite 700 Bethesda MD 20814 | Media engagement consulting | 271,042. |
| Beaconfire RedEngine 2300 Clarendon Blvd, Suite 925 Arlington VA 22201 | Website consulting | 257,661. |
| Total number of others receiving over \$50,000 for professional services | | 40 |

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|------------|
| 1 Delivery System Reform See attached program descriptions | 936,492. |
| 2 Health Care Coverage and Access See attached program descriptions | 720,089. |
| 3 Communications See attached program descriptions | 3,104,936. |
| 4 International Health Policy and Innovation See attached program descriptions | 971,365. |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|--------|
| 1 | |
| 2 | |
| All other program-related investments. See instructions. | |
| 3 | |
| Total. Add lines 1 through 3 | |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|---|-----------|--------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 748,195,675. |
| b | Average of monthly cash balances | 1b | 2,018,710. |
| c | Fair market value of all other assets (see instructions) | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 750,214,385. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 750,214,385. |
| 4 | Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions) | 4 | 11,253,216. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 738,961,169. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 36,948,059. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

| | | | |
|-----------|---|-----------|-------------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 36,948,059. |
| 2a | Tax on investment income for 2018 from Part VI, line 5 | 2a | 227,415. |
| b | Income tax for 2018. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 227,415. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 36,720,644. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 135. |
| 5 | Add lines 3 and 4 | 5 | 36,720,779. |
| 6 | Deduction from distributable amount (see instructions) | 6 | |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 36,720,779. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|---|-----------|-------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 | 1a | 34,881,106. |
| b | Program-related investments—total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | 143,181. |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4 | 4 | 35,024,287. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions | 5 | 227,415. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 34,796,872. |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2017 | (c) 2017 | (d) 2018 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2018 from Part XI, line 7 | | | | 36,720,779. |
| 2 Undistributed income, if any, as of the end of 2018: | | | | |
| a Enter amount for 2017 only | | | 11,829,788. | |
| b Total for prior years: 20 ____, 20 ____, 20 ____ | | | | |
| 3 Excess distributions carryover, if any, to 2018: | | | | |
| a From 2013 | 0. | | | |
| b From 2014 | 0. | | | |
| c From 2015 | 0. | | | |
| d From 2016 | 0. | | | |
| e From 2017 | 0. | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2018 from Part XII, line 4: ► \$ 35,024,287. | | | | |
| a Applied to 2017, but not more than line 2a | | | 11,829,788. | |
| b Applied to undistributed income of prior years (Election required—see instructions) | | | | |
| c Treated as distributions out of corpus (Election required—see instructions) | | | | |
| d Applied to 2018 distributable amount | | | | 23,194,499. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).) | | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 0. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b. Taxable amount—see instructions | | 0. | | |
| e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount—see instructions | | | 0. | |
| f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019 | | | | 13,526,280. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) | | | | |
| 8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) | 0. | | | |
| 9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2014 | 0. | | | |
| b Excess from 2015 | 0. | | | |
| c Excess from 2016 | 0. | | | |
| d Excess from 2017 | 0. | | | |
| e Excess from 2018 | 0. | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

| | Tax year | | | | (e) Total |
|--|----------|----------|----------|----------|-----------|
| | (a) 2018 | (b) 2017 | (c) 2016 | (d) 2015 | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test—enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test—enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:
See Supplementary Information Statement

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|-------------|
| Name and address (home or business) | | | | |
| <p>a Paid during the year See attached schedule of grants payments</p> | | | | 20,369,903. |
| <p>Total</p> | | | ▶ 3a | 20,369,903. |
| <p>b Approved for future payment See attached schedule of grants balances</p> | | | | 17,825,671. |
| <p>Total</p> | | | ▶ 3b | 17,825,671. |

Form 990-PF: Return of Private Foundation**Part XV, Line 2: Supplementary Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc.****Continuation Statement**

| Name and Address Information | Form Information | Submission Information | Restrictions |
|---|------------------|------------------------|----------------|
| Andrea Landes The Commonwealth Fund 1 East 75th Street New York, NY 10021 acl@cmwf.org 212-606-3844 | See attachment | None | See attachment |

Additional information from your Form 990-PF: Return of Private Foundation

Form 990-PF: Return of Private Foundation

Other Income

Continuation Statement

| Description | Revenue and Expense per Book | Net Investment Income | Adjusted Net Income |
|------------------|------------------------------|-----------------------|---------------------|
| Royalties, other | 17,656. | 910,502. | |
| Total | 17,656. | 910,502. | |

Form 990-PF: Return of Private Foundation

Taxes

Continuation Statement

| Description | Revenue and Expense per Book | Net Investment Income | Adjusted Net Income | Disbursement for charitable purpose |
|----------------|------------------------------|-----------------------|---------------------|-------------------------------------|
| Federal excise | 240,346. | | | |
| UBIT | 109,087. | | | |
| State | 157,636. | | | |
| Foreign | | 112,829. | | |
| Total | 507,069. | 112,829. | | |

Form 990-PF: Return of Private Foundation

Other Expenses

Continuation Statement

| Description | Revenue and Expense per Book | Net Investment Income | Adjusted Net Income | Disbursement for charitable purpose |
|--------------|------------------------------|-----------------------|---------------------|-------------------------------------|
| See schedule | 1,584,723. | 4,904,115. | | 14,511,203. |
| Total | 1,584,723. | 4,904,115. | | 14,511,203. |

Form 990-PF: Return of Private Foundation

Reporting state

Continuation Statement

| Reporting state |
|-----------------|
| NY |
| DC |

| | |
|-------------------------------|---|
| Name The Commonwealth Fund | Employer Identification No. 13-1635260 |
|-------------------------------|---|

Asset Information:

Description of Property Investments
 Business Code _____ Exclusion Code . . . _____
 Date Acquired . . . _____ Various How Acquired . . . Purchased
 Date Sold . . . _____ Various Name of Buyer . . . _____
 Check Box, if Buyer is a Business . . .
 Sales Price . . . 145,024,805. Cost or other basis (do not reduce by depreciation) . . 119,902,455.
 Sales Expense . . . _____ Valuation Method . . . _____
 Total Gain (Loss) 25,122,350. Accumulated Depreciation _____

Description of Property _____
 Business Code _____ Exclusion Code . . . _____
 Date Acquired . . . _____ How Acquired . . . _____
 Date Sold . . . _____ Name of Buyer . . . _____
 Check Box, if Buyer is a Business . . .
 Sales Price . . . _____ Cost or other basis (do not reduce by depreciation) . . _____
 Sales Expense . . . _____ Valuation Method . . . _____
 Total Gain (Loss) _____ Accumulated Depreciation _____

Description of Property _____
 Business Code _____ Exclusion Code . . . _____
 Date Acquired . . . _____ How Acquired . . . _____
 Date Sold . . . _____ Name of Buyer . . . _____
 Check Box, if Buyer is a Business . . .
 Sales Price . . . _____ Cost or other basis (do not reduce by depreciation) . . _____
 Sales Expense . . . _____ Valuation Method . . . _____
 Total Gain (Loss) _____ Accumulated Depreciation _____

Description of Property _____
 Business Code _____ Exclusion Code . . . _____
 Date Acquired . . . _____ How Acquired . . . _____
 Date Sold . . . _____ Name of Buyer . . . _____
 Check Box, if Buyer is a Business . . .
 Sales Price . . . _____ Cost or other basis (do not reduce by depreciation) . . _____
 Sales Expense . . . _____ Valuation Method . . . _____
 Total Gain (Loss) _____ Accumulated Depreciation _____

Description of Property _____
 Business Code _____ Exclusion Code . . . _____
 Date Acquired . . . _____ How Acquired . . . _____
 Date Sold . . . _____ Name of Buyer . . . _____
 Check Box, if Buyer is a Business . . .
 Sales Price . . . _____ Cost or other basis (do not reduce by depreciation) . . _____
 Sales Expense . . . _____ Valuation Method . . . _____
 Total Gain (Loss) _____ Accumulated Depreciation _____

Totals:

Total Gain (Loss) of all assets 25,122,350.
 Gross Sales Price of all assets . . . 145,024,805.
 Unrelated Business Income _____ Business Code . . . _____
 Excluded by section 512, 513, 514 _____ Exclusion Code . . . _____
 Related/Exempt Function Income . . . _____

QuickZoom here to Form 990-PF, Page 1 ►
 QuickZoom here to Form 990-PF, Page 12 ►

| | |
|-------------------------------|---|
| Name The Commonwealth Fund | Employer Identification No. 13-1635260 |
|-------------------------------|---|

| Line 10a - Investments - US and State Government Obligations: | End of Year | | End of Year | |
|---|--|---------------------------------------|--|-------------------------------------|
| | State and Local Obligations Book Value | State and Local Obligations FMV | US Government Obligations Book Value | US Government Obligations FMV |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Tot to Fm 990-PF, Pt II, Ln 10a | | | | |

| Line 10b - Investments - Corporate Stock: | End of Year | |
|--|-------------|-------------------|
| | Book Value | Fair Market Value |
| | | |
| | | |
| | | |
| | | |
| Totals to Form 990-PF, Part II, Line 10b | | |

| Line 10c - Investments - Corporate Bonds: | End of Year | |
|--|-------------|-------------------|
| | Book Value | Fair Market Value |
| | | |
| | | |
| | | |
| | | |
| Totals to Form 990-PF, Part II, Line 10c | | |

| Line 12 - Investments - Mortgage loans: | End of Year | |
|---|-------------|-------------------|
| | Book Value | Fair Market Value |
| | | |
| | | |
| | | |
| | | |
| Totals to Form 990-PF, Part II, Line 12 | | |

| Line 13 - Investments - Other: | End of Year | |
|---|--------------|-------------------|
| | Book Value | Fair Market Value |
| Baxter Select Equity | 42,634,837. | 42,634,837. |
| DSM | 35,687,914. | 35,687,914. |
| Eagle Capital | 36,582,071. | 36,582,071. |
| See L-13 Stmt | 622,403,664. | 622,403,664. |
| Totals to Form 990-PF, Part II, Line 13 | 737,308,486. | 737,308,486. |

Schedule of Contributors

2018

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

| | |
|---|--|
| Name of the organization The Commonwealth Fund | Employer identification number 13-1635260 |
|---|--|

Organization type (check one):

- | | |
|--------------------|---|
| Filers of: | Section: |
| Form 990 or 990-EZ | <input type="checkbox"/> 501(c)() (enter number) organization |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation |
| | <input type="checkbox"/> 527 political organization |
| Form 990-PF | <input checked="" type="checkbox"/> 501(c)(3) exempt private foundation |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | <input type="checkbox"/> 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

| | |
|---|--|
| Name of organization The Commonwealth Fund | Employer identification number 13-1635260 |
|---|--|

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|--|----------------------------|---|
| 1 | Norwegian Institute of Public Health 222-Skoyen Oslo, NO | \$ 112,992. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| 2 | NIHR Leeds Innovation Centre, 103 Clarendon Road London, UK | \$ 149,493. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| 3 | The Health Foundation 90 Long Acre London, UK | \$ 149,493. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| 4 | B Braun Stiftung Bibliomed-Verlag Melsungen, Stadtwaldpark 10 Melsungen, GM | \$ 44,176. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| 5 | Canadian Foundation for Healthcare Improvement 150 Kent Street, Suite 200 Ottawa, CA | \$ 208,000. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| 6 | French Ministry of Social Affairs and Health 14, Avenue Duquesne Paris, FR | \$ 46,991. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |

| | |
|---|--|
| Name of organization The Commonwealth Fund | Employer identification number 13-1635260 |
|---|--|

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---------------------------|--|---|----------------------|
| ----- | ----- ----- ----- ----- | \$ ----- | ----- |
| ----- | ----- ----- ----- ----- | \$ ----- | ----- |
| ----- | ----- ----- ----- ----- | \$ ----- | ----- |
| ----- | ----- ----- ----- ----- | \$ ----- | ----- |
| ----- | ----- ----- ----- ----- | \$ ----- | ----- |
| ----- | ----- ----- ----- ----- | \$ ----- | ----- |
| ----- | ----- ----- ----- ----- | \$ ----- | ----- |

| | |
|---|--|
| Name of organization The Commonwealth Fund | Employer identification number 13-1635260 |
|---|--|

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|--|-------------------------|---|-------------------------------------|
| ----- | ----- ----- ----- | ----- ----- ----- | ----- ----- ----- |
| (e) Transfer of gift | | | |
| Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee | |
| ----- ----- ----- | | ----- ----- ----- | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
| ----- | ----- ----- ----- | ----- ----- ----- | ----- ----- ----- |
| (e) Transfer of gift | | | |
| Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee | |
| ----- ----- ----- | | ----- ----- ----- | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
| ----- | ----- ----- ----- | ----- ----- ----- | ----- ----- ----- |
| (e) Transfer of gift | | | |
| Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee | |
| ----- ----- ----- | | ----- ----- ----- | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
| ----- | ----- ----- ----- | ----- ----- ----- | ----- ----- ----- |
| (e) Transfer of gift | | | |
| Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee | |
| ----- ----- ----- | | ----- ----- ----- | |

Additional information from your 2018 Federal Exempt Tax Return

Form 990-PF: Return of Private Foundation

Other Income (1)

Line 11(a)

Itemization Statement

| Description | Amount |
|-----------------------|----------------|
| Royalties | 2,018. |
| Class action proceeds | 15,638. |
| Total | 17,656. |

Form 990-PF: Return of Private Foundation

Other Income (1)

Line 11(b)

Itemization Statement

| Description | Amount |
|-----------------------------|-----------------|
| Royalties | 2,018. |
| Class action proceeds | 15,638. |
| Ordinary income | 1,121,846. |
| Net rental real estate loss | -176,884. |
| Other net rental income | 3,913. |
| Royalty income | 146,837. |
| Other portfolio loss | -180,236. |
| Cancellation of debt | 52,407. |
| Other income | 1,110,035. |
| Less UBI | -1,185,072. |
| Total | 910,502. |

Form 990-PF: Return of Private Foundation

Other Expenses (1)

Line 23(a)

Itemization Statement

| Description | Amount |
|---|----------|
| Temporaries | 26,571. |
| Recruiting | 187,077. |
| Machine maintenance | 103,766. |
| Computer equipment and supplies | 45,475. |
| Telephone, fax, internet, email | 87,231. |
| Copying | 2,990. |
| Office supplies and paper products | 11,876. |
| Postage, messenger, FedEx | 11,879. |
| Food | 49,522. |
| Books and periodicals | 28,027. |
| Miscellaneous | 246. |
| Insurance (D&O, auto travel, travel accident) | 32,697. |
| Board retreat | 14,032. |

Form 990-PF: Return of Private Foundation

Other Expenses (1)

Line 23(a)

Itemization Statement

| Description | Amount |
|----------------------------------|-------------------|
| Media engagement | 237,465. |
| Media strategy | 50,574. |
| Media training | 1,759. |
| Media tracking and expenses | 500. |
| Social media | 159,424. |
| Web hosting | 169,689. |
| Web maintenance and enhancements | 333,856. |
| Web hosting management | 30,067. |
| Total | 1,584,723. |

Form 990-PF: Return of Private Foundation

Other Expenses (1)

Line 23(b)

Itemization Statement

| Description | Amount |
|-------------------------------|-------------------|
| Other deductions through K-1s | 4,904,115. |
| Total | 4,904,115. |

Form 990-PF: Return of Private Foundation

Line 1(a)

Itemization Statement

| Description | Amount |
|--|-----------------|
| Norwegian Institute of Public Health | 112,992. |
| NIHR | 149,493. |
| The Health Foundation | 149,493. |
| B Braun Stiftung | 44,176. |
| Canadian Foundation for Healthcare Improvement | 208,000. |
| French Ministry of Social Affairs and Health | 46,991. |
| Total | 711,145. |

Form 990-PF Part I Line 16a-c Legal and Professional Fees

Line 16c - Other Professional Fees

Continuation Statement

| Line 16c Name | Line 16c Type Svc | Line 16c Book amount | Line 16c Net invest inc | Line 16c Adjusted net inc | Line 16c Chrtbl dsbrsmnts |
|---------------------------|-------------------|----------------------|-------------------------|---------------------------|---------------------------|
| Investure | Investment mgmt | 267,023. | 267,023. | | |
| Kiltearn | Investment mgmt | 249,004. | 249,004. | | |
| Lazard | Investment mgmt | 72,792. | 72,792. | | |
| New Century | Investment mgmt | 5,898. | 5,898. | | |
| OSP | Investment mgmt | 67,500. | 67,500. | | |
| Perella Weinberg Partners | OCIO services | 2,055,442. | 2,055,442. | | |
| Reams Asset Mgmt | Investment mgmt | 48,997. | 48,997. | | |

Form 990-PF Part I Line 16a-c Legal and Professional Fees

Line 16c - Other Professional Fees

Continuation Statement

| Line 16c Name | Line 16c Type Svc | Line 16c Book amount | Line 16c Net invest inc | Line 16c Adjusted net inc | Line 16c Chrtbl dsbrsmnts |
|-------------------------------|------------------------------|----------------------|-------------------------|---------------------------|---------------------------|
| RhumbLine | Investment mgmt | 30,192. | 30,192. | | |
| Rockefeller | Investment mgmt | 132,478. | 132,478. | | |
| Sanders | Investment mgmt | 92,620. | 92,620. | | |
| Shorenstein | Investment mgmt | 20,675. | 20,675. | | |
| ShoreView | Investment mgmt | 6,347. | 6,347. | | |
| Silver Lake | Investment mgmt | 1,556. | 1,556. | | |
| Tensile | Investment mgmt | 238,560. | 238,560. | | |
| Timbercreek | Investment mgmt | 62,047. | 62,047. | | |
| Vision Ridge | Investment mgmt | 195,492. | 195,492. | | |
| Bank of America | Commercial banking | 64,644. | | | 64,644. |
| Capital One | Commercial banking | 19. | | | 19. |
| Pachyderm Consulting | IT services | 96,382. | | | 96,382. |
| Great Performances Artists | Culinary services | 307,769. | | | 307,769. |
| Jeffry Haber, Inc | Senior Dir, Finance services | 191,976. | | | 191,976. |
| Pear Tree Communications, Inc | Communications consulting | 51,241. | | | 51,241. |
| Total | | 4,258,654. | 3,546,623. | | 712,031. |

Form 990-PF Part II Line 10, 12 and 13 Investments

L-13 Stmt

Continuation Statement

| Line 13 Description | Line 13 Book | Line 13 FMV |
|---------------------------------|--------------|-------------|
| Generation IM Global | 55,113,566. | 55,113,566. |
| Kiltearn Global Equity SRI Fund | 30,434,586. | 30,434,586. |
| RhumbLine ACWI ex-US | 12,364,649. | 12,364,649. |
| RhumbLine Russell 1000 Value | 21,243,832. | 21,243,832. |
| Rockefeller Int'l Equity | 19,929,647. | 19,929,647. |
| RWC Emerging Markets | 23,924,357. | 23,924,357. |
| Sanders Capital | 38,127,963. | 38,127,963. |
| Tensile | 23,053,037. | 23,053,037. |
| Beach Point | 9,176,797. | 9,176,797. |
| Brandywine Global | 19,142,889. | 19,142,889. |
| Colchester | 16,177,489. | 16,177,489. |
| IR&M Short Credit Fund | 12,698,221. | 12,698,221. |
| Marathon | 4,978,789. | 4,978,789. |
| Aeolus Property | 11,327,486. | 11,327,486. |

Form 990-PF Part II Line 10, 12 and 13 Investments
L-13 Stmt
Continuation Statement

| Line 13 Description | Line 13 Book | Line 13 FMV |
|---------------------------------|--------------|-------------|
| Aspex | 10,784,401. | 10,784,401. |
| Blackstone Distressed | 72,703. | 72,703. |
| Farallon | 1,481,304. | 1,481,304. |
| Hudson Bay | 17,382,291. | 17,382,291. |
| Ishana Capital | 10,857,366. | 10,857,366. |
| Melody Credit Fund | 13,710,919. | 13,710,919. |
| Moon Capital | 11,850,357. | 11,850,357. |
| MW Eureka SRI Fund | 12,895,678. | 12,895,678. |
| PFM Healthcare Class B | 11,087,196. | 11,087,196. |
| PFM Healthcare Class A-N | 5,420,599. | 5,420,599. |
| Tybourne Equity | 17,856,763. | 17,856,763. |
| EIP - Growth and Income | 7,478,979. | 7,478,979. |
| Lazard Global | 8,630,100. | 8,630,100. |
| New Century | 6,555,457. | 6,555,457. |
| New Energy Capital | 285,119. | 285,119. |
| PwP Direct - Equity | 9,122,413. | 9,122,413. |
| SailingStone | 4,835,949. | 4,835,949. |
| Stonepeak | 2,847,437. | 2,847,437. |
| Sustainable Asset Fund II | 533,913. | 533,913. |
| Timber Creek | 17,414,164. | 17,414,164. |
| Tristan | 2,325. | 2,325. |
| ABRY Advanced Securities | 74,855. | 74,855. |
| ABRY Advances Securities II | 519,522. | 519,522. |
| Ambienta III | 275,777. | 275,777. |
| ARCH Venture Fund IX | 7,793,466. | 7,793,466. |
| ARCH Venture Fund X | 631,017. | 631,017. |
| BEP Legacy C | 9,648. | 9,648. |
| Cressey | 2,241,949. | 2,241,949. |
| Investure Evergreen - 2013 Term | 19,429,579. | 19,429,579. |
| Investure Evergreen - 2014 Term | 23,503,047. | 23,503,047. |
| Investure Evergreen - 2015 Term | 50,366,493. | 50,366,493. |
| OSP Value Fund II | 6,034,168. | 6,034,168. |
| PeakSpan | 126,599. | 126,599. |
| Stirling Square | 127,559. | 127,559. |
| Varde | 56,578. | 56,578. |
| BREP Europe | 914,256. | 914,256. |
| BREP Int'l | 302,990. | 302,990. |
| BREP V | 385,168. | 385,168. |
| BREP VI | 451,134. | 451,134. |
| EnCap V | 53,981. | 53,981. |

Form 990-PF Part II Line 10, 12 and 13 Investments
L-13 Stmt

Continuation Statement

| Line 13 Description | Line 13 Book | Line 13 FMV |
|--------------------------------|---------------------|---------------------|
| EnCap VI | 80,246. | 80,246. |
| EnCap VII | 362,042. | 362,042. |
| EnCap VIII | 1,632,476. | 1,632,476. |
| EnerVest X | 27,203. | 27,203. |
| EnerVest XI | 43,043. | 43,043. |
| Resource Capital Fund | 1,118,115. | 1,118,115. |
| Abingworth IV | 153,549. | 153,549. |
| Abingworth V | 488,836. | 488,836. |
| ABRY Partners VI | 90,394. | 90,394. |
| ABRY Partners VII | 1,789,192. | 1,789,192. |
| ABRY Senior Equity III | 224,224. | 224,224. |
| BCP V | 245,483. | 245,483. |
| Carlyle IV | 103,851. | 103,851. |
| Columbia IV | 708,726. | 708,726. |
| Columbia V | 2,875,678. | 2,875,678. |
| Dover VI | 805,823. | 805,823. |
| Dover VII | 538,206. | 538,206. |
| Granite | 6,847,997. | 6,847,997. |
| Lindsay, Goldberg Bessemer II | 55,291. | 55,291. |
| Lindsay, Goldberg Bessemer III | 79,291. | 79,291. |
| Nokota | 1,290,000. | 1,290,000. |
| Permit | 16,864. | 16,864. |
| Polaris V | 2,971,572. | 2,971,572. |
| Polaris VI | 5,268,764. | 5,268,764. |
| ProQuest | 168,388. | 168,388. |
| Rho V | 1,969,192. | 1,969,192. |
| Rho VI | 5,143,703. | 5,143,703. |
| Shoreview II | 2,001,040. | 2,001,040. |
| Shoreview | 7,920. | 7,920. |
| Silver Lake II | 36,097. | 36,097. |
| Silver Lake III | 1,634,132. | 1,634,132. |
| Sofinnova | 638,755. | 638,755. |
| SRI | 382,640. | 382,640. |
| TA X | 48,923. | 48,923. |
| TA XI | 3,359,177. | 3,359,177. |
| Stock distributions | -2,899,696. | -2,899,696. |
| Total | 622,403,664. | 622,403,664. |

The Commonwealth Fund
13-1635260

Detail of Investment Income
FYE 06/30/19

| | <u>Interest</u> | <u>Dividends</u> | <u>Realized</u> |
|--|-----------------|------------------|-----------------|
| <u>For investments not getting K-1</u> | | | |
| Arisaig | 0 | 0 | 1,513,891 |
| DSM | 4,046 | 181,616 | 1,583,336 |
| Eagle | 27,045 | 366,970 | 1,586,944 |
| Kabouter | 0 | 0 | 1,061,861 |
| RhumbLine MSCI ACWI ex-US | 1,469 | 361,883 | 122,578 |
| RhumbLine 1000 Value | 1,382 | 634,599 | 1,100,939 |
| Sanders | 12,091 | 718,683 | 494,100 |
| IR&M | 0 | 0 | 148,534 |
| Reams | 139,931 | 0 | -551,371 |
| Aeolus | 0 | 0 | -381,488 |
| Moon | 0 | 0 | -1,146 |
| EIP - Growth and Income | 17 | 248,723 | 27,230 |
| PWP Direct | 6,828 | 324,348 | 577,399 |
| RMS | 0 | 0 | 3,168,109 |
| Timbercreek | 2,048 | 536,802 | 114,964 |
| Nokota | 0 | 0 | -1,190,000 |
| Stirling | <u>0</u> | <u>0</u> | <u>629</u> |
| Subtotal | 194,857 | 3,373,624 | 9,376,509 |

| | <u>From K-1s</u> | | |
|---------------------------|------------------|---------|-----------|
| Abingworth IV | 408 | 0 | 9,455 |
| Abingworth V | 1,652 | 0 | -249,610 |
| ABRY VI | 188 | 0 | 152,379 |
| ABRY VII | 2,126 | 0 | 300,551 |
| ABRY Advanced Security | 0 | 0 | 120 |
| ABRY Advanced Security II | 11,886 | 4,616 | 4,384 |
| ABRY Senior Equity III | 5 | 0 | 17,648 |
| Alta V | 48 | 0 | 0 |
| Arch Venture IX Overage | 132 | 0 | 0 |
| Baxter Street | 88,013 | 689,228 | 1,154,088 |
| Blackstone Distressed | 297 | 0 | 0 |
| BREP Int'l II | 1 | 770 | 73,422 |
| BREP Int'l II (AIV) | 0 | 811 | 168,312 |
| BREP Int'l II (AIV) Prime | 0 | 0 | -12 |

| | | | |
|-----------------------------------|---------|---------|-----------|
| BREP Int'l II Q | 1 | 0 | 20,098 |
| BREP Europe III | 194 | 0 | 605,133 |
| BREP Europe III Q | 4,433 | 0 | 15,207 |
| BREP V | 1,316 | 1,591 | 588,228 |
| BREP V Offshore | 0 | 0 | 16,840 |
| BREP VI | 188 | 901 | 208,060 |
| BREP VI (Offshore) | 2,358 | 69 | -2,575 |
| BREP VI (Offshore) Q | 0 | 0 | 22,043 |
| BREP VI NQ | 5,242 | 0 | 6,029 |
| BREP VI Prime | 0 | 0 | 550 |
| BREP VI VD | 176 | 0 | 1,236 |
| Brookfield | 22 | 31 | 0 |
| Carlyle IV | 49 | 0 | 0 |
| Colchester Bond Fund | 605,382 | 0 | 110,929 |
| Columbia IV | 9,160 | 927 | 816,003 |
| Columbia IV (QP) | 1,386 | 33 | 320,035 |
| Dover VI | 1,479 | 1,839 | 45,264 |
| Dover VII | 5,168 | 10,753 | 93,810 |
| EnerVest X | 0 | 0 | 29,797 |
| EnerVest XI | 814 | 0 | 0 |
| Farallon | 26 | 37,349 | -19,247 |
| Generation IM | 71 | 583,901 | 3,807,471 |
| Granite | 57 | 0 | -320,004 |
| Investure Evergreen | 520,493 | 158,938 | 4,789,315 |
| Lazard | 0 | 73 | 0 |
| Lindsay Goldberg AIV | 0 | 342 | 0 |
| Lindsay Goldberg II AIV | 0 | 95 | 0 |
| Lindsay Goldberg III | 11 | 271 | -77,377 |
| Lindsay Goldberg III AIV | 1,595 | 920 | 351,437 |
| Lindsay Goldberg III AIV DT (Dir) | 0 | 53 | 0 |
| Lindsay Goldberg III CR AIV | 0 | 10,254 | 152,455 |
| Lubert-Adler V | 1,328 | 1 | 14,910 |
| Lubert-Adler VI | 1,235 | 144 | 47,244 |
| OSP Value Fund II | 0 | 0 | 318,133 |
| Permit | 3,907 | 0 | 142,364 |
| Polaris V | 1,856 | 0 | 307,449 |
| Polaris V AIV | 327 | 0 | 31,490 |
| Polaris VI | 8,238 | 0 | 756,788 |
| Rho V | 2,375 | 0 | 26,996 |
| Rho VI | 1,272 | 0 | -263,612 |
| Rho VI-MSM (B) | 51 | 1 | -52,933 |
| Rockefeller Int'l Equity | 0 | 606,105 | 292,863 |
| SailingStone | 605 | 20,081 | -202,042 |
| Shoreview | 10,485 | 0 | -625,420 |
| Shoreview II | 786 | 0 | 1,050,441 |
| Silver Lake II | 238 | 4,742 | 443,519 |
| Silver Lake III | 8 | 1 | 4,882 |

| | | | |
|----------------------------------|------------------|------------------|-------------------|
| Silver Lake III DE | 1,285 | 0 | 5,124 |
| Silver Lake III Cayman (AIV III) | 0 | 17,765 | 23,010 |
| Silver Lake III DE (AIV II) | 81 | 0 | 0 |
| Silver Lake III DE (AIV III) | 169 | 0 | 1,376 |
| Sofinnova | 306 | 0 | 77,875 |
| Sustainable II (Cayman) | 4 | 0 | 0 |
| TA X | 319 | 493 | -26,300 |
| TA XI | 875 | 7,332 | 730,952 |
| TA XI AM-A AIV | 0 | 17 | 0 |
| TA XI DO AIV | 1,592 | 51 | 144,834 |
| TA XI ECM AIV | 57 | 121 | 10 |
| TA XI EU AIV | 0 | 76 | 0 |
| TA XI FLS AIV | 86 | 342 | 0 |
| TA XI FS-B Feeder | 222 | 177 | -3,304 |
| TA XI NS-A Feeder | 1 | 61 | -188 |
| TA XI NX AIV | 0 | 0 | 33,472 |
| TA XI PC AIV | 4 | 9 | 170,716 |
| TA XI SMM AIV | 25 | 11 | 5 |
| Tensile | 10,867 | 170,566 | 986,153 |
| Varde | <u>4,907</u> | <u>617</u> | <u>30,244</u> |
| Subtotal | <u>1,317,888</u> | <u>2,332,478</u> | <u>17,678,525</u> |
| Total | 1,512,745 | 5,706,102 | 27,055,034 |
| On 990-T | <u>64,444</u> | <u>3,047</u> | <u>1,784,074</u> |
| 990-PF | 1,448,301 | 5,703,055 | 25,270,960 |
| | 7,151,356 | | |

The Commonwealth Fund
13-1635260
Depreciation Expense
Fiscal Year End 6/30/19

Part I, Line 19, Column (a)

| | <u>Cost</u> | <u>Accumulated Depreciation</u> | <u>Depreciation Expense</u> |
|-----------------------------------|----------------|-------------------------------------|---------------------------------|
| Building & Building improvements | 7,921,895 | 3,349,029 | 253,592 |
| Computers and related | 553,248 | 113,646 | 52,356 |
| Furniture, fixtures and equipment | <u>783,112</u> | <u>548,586</u> | <u>41,934</u> |
| Total | 9,258,255 | 4,011,261 | 347,882 |

The Commonwealth Fund
EIN 13-1635260

990-PF
FYE June 30, 2019
Part 1, Line 24, Column d

| | Total Programs | General & Administrative | <i>Subtotal</i> |
|----------------------------------|-------------------|-----------------------------|-------------------|
| Compensation - Officers | 1,005,797 | 583,790 | 1,589,587 |
| Other employee salaries | 4,854,511 | 1,149,759 | 6,004,269 |
| Pension plans, employee benefits | 1,911,066 | 565,158 | 2,476,224 |
| Legal fees | 0 | 8,083 | 8,083 |
| Accounting fees | 0 | 50,257 | 50,257 |
| Other professional fees | 468,254 | 138,476 | 606,731 |
| Interest | 0 | 0 | 0 |
| Taxes | 0 | 0 | 0 |
| Depreciation | | | |
| Occupancy | 519,375 | 153,594 | 672,969 |
| Travel, conferences and meetings | 642,364 | 189,966 | 832,329 |
| Printing and publications | 328,738 | 97,217 | 425,955 |
| Other expenses | <u>1,223,840</u> | <u>361,925</u> | <u>1,585,766</u> |
| Total | 11,212,977 | 3,298,226 | 14,511,203 |

The Commonwealth Fund
 EIN 13-1635260

990-PF
 FYE June 30, 2019
 Part VIII, Line 1

| Name | Address | Title and average hours per week devoted to position | Compensation | Contributions to employee benefit plan | Other allowances |
|------------------------|---|--|--------------|--|------------------|
| David Blumenthal, MD | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | President 60 hours per week | 868,425 | 117,914 | None |
| Kathleen Regan | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Executive Vice President and Chief Operating Officer 60 hours per week | 483,032 | 99,163 | None |
| Donald Moulds | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Executive Vice President, Programs 60 hours per week | 467,441 | 121,025 | None |
| Maureen Bisognano | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Director Average of 2.5 hours per week | None | None | None |
| Mitchell Blutt, MD | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Director Average of 3.5 hours per week | None | None | None |
| Sheila Burke | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Director Average of 2.5 hours per week | None | None | None |
| Benjamin K Chu, MD | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Chairman Average of 5.0 hours per week | None | None | None |
| Michael V Drake, MD | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Director Average of 2.5 hours per week | None | None | None |
| Margaret Hamburg, MD | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Director Average of 2.5 hours per week | None | None | None |
| Kathryn Haslanger | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Director Average of 2.5 hours per week | None | None | None |
| Vivian S. Lee, MD, MBA | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Director Average of 2.5 hours per week | None | None | None |

| | | | | | |
|---|---|---|------|------|------|
| Lois Quam | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Director Average of 2.5 hours per week | None | None | None |
| Cristine Russell (term ended Nov 2018) | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Vice Chairman Average of 2.5 hours per week | None | None | None |
| Mark Smith, MD | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Director Average of 2.5 hours per week | None | None | None |
| Simon Stevens | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Director Average of 2.5 hours per week | None | None | None |
| Laura Walker | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Director Average of 2.5 hours per week | None | None | None |
| William Y Yun | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Director Average of 4.0 hours per week | None | None | None |

The Commonwealth Fund

EIN 13-1635260

990-PF

FYE June 30, 2019

Part VIII, Line 2

| Name | Address | Title and average hours per week devoted to position | Compensation | Contributions to employee benefit plan | Other allowances |
|----------------|---|--|--------------|--|------------------|
| Eric Schneider | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Sr VP, Policy & Research 50 hours per week | 362,239 | 103,340 | None |
| Barry Scholl | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | Sr VP, Communications 50 hours per week | 359,954 | 118,650 | None |
| Robin Osborn | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | VP, Int'l Programs 50 hours per week | 300,500 | 93,163 | None |
| Sara Collins | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | VP, Healthcare Coverage & Access 50 hours per week | 272,872 | 87,498 | None |
| Shawn Bishop | The Commonwealth Fund 1 East 75th Street New York, NY 10021 | VP, Cost Control & Medicare 50 hours per week | 264,993 | 93,500 | None |

PART IX CHARITABLE ACTIVITIES DESCRIPTION

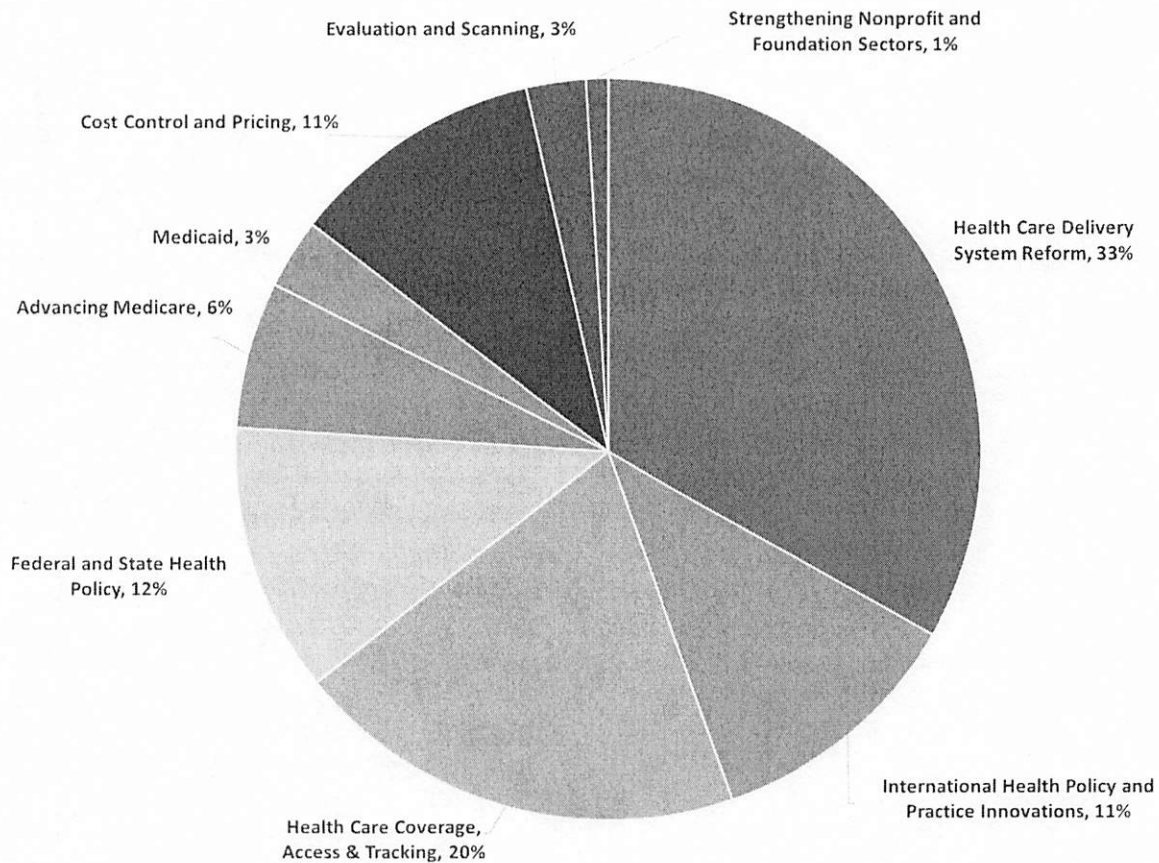
The Fund's Mission and Strategy

The mission of The Commonwealth Fund is to promote a high-performing health care system that achieves better access, improved quality, and greater efficiency, particularly for society's most vulnerable, including low-income people, the uninsured, and people of color.

The Fund carries out this mandate by supporting independent research on health care issues and making grants to improve health care practice and policy. An international program in health policy is designed to stimulate innovative policies and practices in the United States and other industrialized countries.

The Fund pursues a value-added style of grant making to accomplish the mission. A significant portion of the budget is devoted to research, surveys, and program development, collaboration with grantees, and dissemination of program results to policymakers, health care leaders, researchers, and other influential audiences. The breakdown of the distribution of grants by program area in the past year is demonstrated in the following chart.

2018 - 2019 Grants Approved by Program Area



Over the five-year period beginning on July 1, 2019, the Fund expects to spend \$185 million to advance its mission. In the fiscal year ending June 30, 2019, the Fund's budget is \$36.5 million in total and \$20 million for extramural grants.

HEALTH CARE COVERAGE AND ACCESS

It is now almost ten years since enactment of the Affordable Care Act (ACA), most significant health reform legislation in half a century. During that time, The Commonwealth Fund's Health Care Coverage and Access program has monitored the implementation and impact of the ACA by sponsoring population-based survey research, analyses of insurance market trends, and tracking of state and federal approaches to the law's coverage provisions. With the maturation of the health insurance marketplaces and evolution of state Medicaid programs, the Fund's Health Care Coverage and Access program continues to deliver up-to-date information on the state of health coverage in the U.S. to federal and state policymakers, consumer groups, the media, and other key stakeholders. Its efforts include:

- Providing timely information about the ACA's reforms and the status of their implementation.
- Tracking enrollment and people's experiences with the new insurance options.
- Evaluating the effects of insurance reforms and state and federal innovation on the extent and quality of health coverage, access to health care, changes in employer-based coverage, affordability of premiums and out-of-pocket costs, health plan competition, innovation in insurance markets, and sustainability of the insurance marketplaces.
- Analyzing and developing national and state short-term policy solutions to address key issues as they arise.
- Identifying gaps in the law and its implementation that may leave some groups of people without coverage or adequate protection from costs.

HEALTH CARE DELIVERY SYSTEM REFORM

Two groups of patients experience the problems in our health system more intensely and more regularly than any other: those with complex, long-term health care needs, and those with low income, often no health insurance, and few social supports. The Commonwealth Fund's Health Care Delivery System Reform program focuses on these individuals because they represent the greatest opportunity to achieve meaningful, rapid gains in the value of health care. By prioritizing complex and vulnerable patient populations, we as a nation can target our energy and resources where the impact will likely be greatest. Moreover, improvements in care and health for these patients can catalyze improvements throughout the entire health care delivery system.

The goals of the Health Care Delivery System Reform program are to improve outcomes and lower the costs of care for high-cost, complex and vulnerable patient populations. Work supported by the program falls within the following strategies:

- Promoting care systems that coordinate medical care, long-term care services, and community-based resources.
- Reforming how providers are paid for their services to encourage more coordination, better quality, and greater efficiency.
- Strengthening primary care.

- Partnering with payers, particularly Medicare and Medicaid, and with private payers to test and spread effective innovations.

The program also supports development of the physician leadership needed to address the health needs of vulnerable populations, including racial and ethnic minorities and economically disadvantaged groups. Under the Commonwealth Fund Fellowship Program in Minority Health Policy, promising young physicians complete academic work leading to a master of public health degree at the Harvard School of Public Health, or a master of public administration degree at the Harvard Kennedy School. An additional Fellowship in Minority Health Leadership at the Yale School of Management enables a broader group of minority clinicians to complete an EMBA program with a focus on opportunities to serve vulnerable populations. Fellows from both programs also participate in leadership forums and seminars with nationally recognized leaders in health care delivery, minority health, and public policy.

INTERNATIONAL HEALTH POLICY & PRACTICE INNOVATIONS

The Commonwealth Fund’s International Health Policy and Practice Innovations program is guided by the belief that despite differences in how countries organize and finance health care, the U.S. can learn a lot by examining other health systems, their performance in relation to ours, and their health care delivery and payment innovations—particularly those that we might consider adopting.

To promote a robust international exchange of ideas, research, and experiences, the program’s activities focus on:

- Building an international network of health policy experts and leaders for cross-national research projects and sharing lessons learned.
- Benchmarking U.S. health system performance against other high-income nations.
- Providing a forum for high-level policy discussion and presenting effective international approaches to policy and care delivery.
- Fostering transfer of effective innovations in health care delivery from other countries to the U.S.

The program’s annual surveys of patients and clinicians in 11 high-income countries delve into financial barriers to care, chronic disease management, and satisfaction with care, among other topics. The annual International Symposium on Health Care provides health ministers, senior government officials, and leading policy thinkers with a rare opportunity to hear and discuss a range of viewpoints in an unscripted setting, while Capitol Hill briefings inform congressional and executive branch staff about the latest health policy developments. And the Harkness Fellowships in Health Policy and Practice enable promising health care policy researchers and practitioners in 10 countries to spend up to a year in the U.S. conducting policy-oriented research under leading experts and gaining firsthand exposure to innovative models of health care delivery.

CROSS-CUTTING RESOURCES AND POLICY ANALYTIC ACTIVITIES

Tracking Health System Performance

The federal government has made a significant investment in expanded health insurance coverage and innovations in the delivery of care to patients. The Commonwealth Fund's Tracking Health System Performance initiative is assessing the nation's progress toward these goals and examining whether the investment is producing hoped-for gains. Building on the series of health system scorecards first launched in 2006, the Fund is providing policymakers, providers, and the public with performance benchmarks and improvement targets, while helping to inform future action by policymakers and health care stakeholders.

Advancing Medicare and Medicaid

The Commonwealth Fund's Medicare and Medicaid initiatives identify ways in which these crucial programs—the largest single payers of health care services in the United States—can serve their beneficiaries more effectively and efficiently while helping to foster health system improvements nationwide.

Controlling Health Care Costs

The U.S. health care system is by far the most expensive in the world, consuming 17 percent of the nation's gross domestic product. Despite all that spending, health outcomes for Americans are often worse, while evidence of waste and inefficiency throughout the U.S. health system abounds. Evidence also shows that U.S. prices are out of line with what other developed nations pay for the same medical services, devices, and pharmaceuticals—even those produced in the United States and sold on global markets. All this excess spending is putting a strain on businesses, governments, and household budgets—diverting resources away from investments in jobs, education, and other social and economic needs.

Patients with the highest and costliest health care needs, such as people with multiple chronic conditions, are a particular emphasis of the initiative. Research efforts also focus on the cost impact of new medical technologies and changing demographics, as well as the role of prices, the structure of health care markets, treatment patterns, and resource use.

Federal and State Engagement

The U.S. health care system is in the midst of significant transformation. We live in an era of expanded insurance coverage options, new insurance market regulations and consumer protections, and private- and public-sector innovation in health care delivery and payment, driven by the imperative to control costs while improving quality. Perhaps never has our government, both federal and state, played a more integral role in ensuring access to affordable health coverage and in designing, testing, and refining new ways to deliver and pay for care.

The Commonwealth Fund's Federal and State Engagement initiative provides independent analysis of the most pressing health policy issues, tailored specifically for policymakers. The Fund's team helps inform and educate congressional members and staff, executive branch officials, state legislators, and the broader policy community—across the political spectrum—on issues central to achieving a high-performance health system, with special attention to those affecting the most vulnerable Americans.

Through the grantees it supports, the relationships it builds and nurtures, and the briefings, conferences, and retreats it convenes, The Commonwealth Fund has become a valuable source of

objective, timely, and relevant analysis for federal and state policymakers of both parties. The Fund’s independence also allows it to serve as a neutral convener, facilitating both state-to-state learning and dialogue between federal and state policymakers to inform policy deliberations and generate solutions.

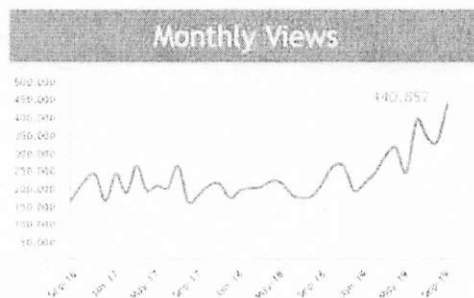
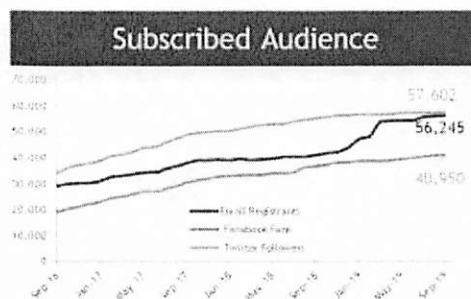
Communications

The Fund’s Communications program uses print, broadcast, online, and social media to bring information on health reform and health system transformation to the attention of critical stakeholder groups, especially policy officials and leaders in health care delivery.

- The foundation’s reports and issue briefs enrich public understanding of how the Affordable Health Care Act and other forces are changing how Americans get their health care, focusing particularly on how such changes affect specific groups, including those with low incomes, people with significant health care needs, women, small businesses, older adults, and workers undergoing a change in employment status.
- Media fellowship programs in domestic and international health policy managed by the Association of Health Care Journalists supports the ongoing training of health reporters and editors and encourages in-depth reporting on issues related to health system performance and change.
- *The Commonwealth Fund Blog* features topical analyses by staff, grantee, and external policy experts and is a major source for analysis of state health insurance exchange regulations and the status of states’ progress on exchange implementation.
- The online Health Reform Resource Center provides a timeline of the ACA’s major provisions and an interactive tool for searching specific provisions by year of implementation, category, and stakeholder group.

Traffic and Subscriber Update

- Visits and views to Fund site up about 25% year on year through first three quarters.
- Total subscribed audience across platforms now surpasses 155,000
- International content still the biggest draw; scorecards, insurance surveys also popular.
- Episodes of *The Dose* podcast now commonly landing in monthly Top 10

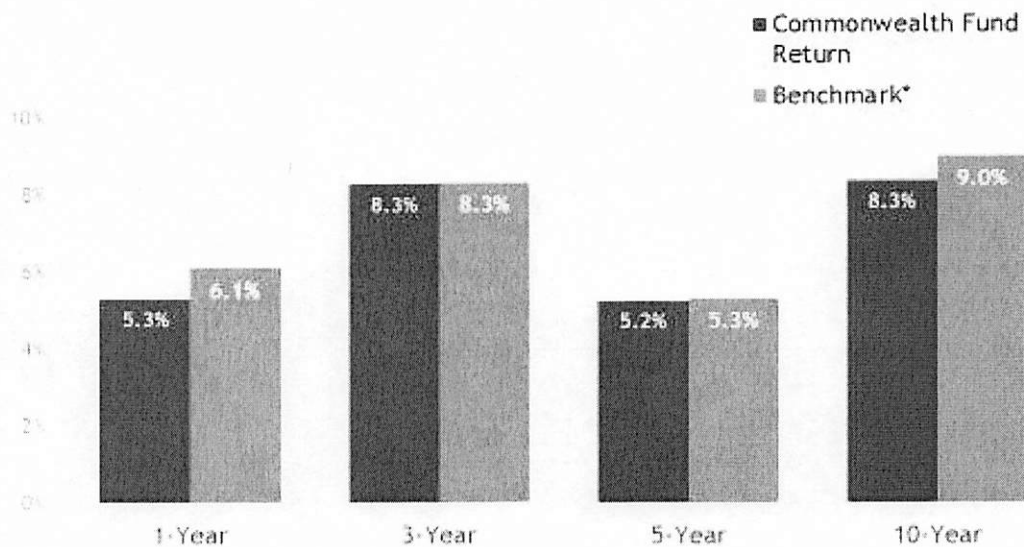


The Fund's Resources and Their Management

As of June 30, 2019, the end of the 2018-2019 fiscal year, the Fund's endowment was \$761.9 million. The annual return on the endowment was 5.31%, slightly underperforming the passive benchmark and spending plus inflation. Over the longer term three-, five- and ten-year periods, as measured by the fiscal year ended June 30, 2019, the Fund's endowment performance has been modestly below the benchmarks and spending plus inflation. The volatility of the portfolio has been lower due to its asset allocation, which includes private equity investments and other alternative structures.

Endowment Returns

Periods ending June 30, 2019



*Benchmark comprised of 70% MSCI All Country World Index and 30% Barclays Global Aggregate Bond Index

The Fund's human resources are as important as its financial ones. They include highly productive professional staff based in the Fund's New York City headquarters and in its Washington, D.C. offices—as well as an outstanding constellation of advisers, principal investigators on Fund grants, and members of the Fund's own Board of Directors.

THE FOUNDATION'S PERFORMANCE

The Fund aims to be a learning organization, and consequently places a high value on assessing its own performance. The foundation's annual reports to the Board on the performance of all

grants completed during the year, semiannual audience and grantee surveys, periodic confidential surveys of Fund Board members and Fund staff, and periodic external program reviews help to ensure a high level of accountability and institutional learning.

Beginning in 2014-15, the Fund began a new approach to assessing its programs, focusing on the extent to which the Fund's work helps create an environment more receptive to desired policy changes and lays the groundwork for successful implementation of practice or policy changes before those changes actually occur. The data collected will complement the retrospective program evaluations. At the end of the fiscal year, the Fund completed a five-year strategic review of its programs. Following an extensive review of the existing programs, new opportunities and current policy environment, the Fund has made adjustments in its current program architecture by adding an increased focus on Medicaid and an independent Medicaid program and expanding the work on cost control to include a more intensive focus on prices.

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| Appropriation Date | Fund | Institution | Foundation Status of Recipient | Grant No. | Project Director and Description | Amount Paid | Ending Balance @ 6-30-19 |
|--------------------|----------------------------------|--|--------------------------------|-----------|--|-------------|--------------------------|
| 7/10/2018 | Board Grant | AARP Foundation 601 E St NW Washington, DC 20049 | PC | 20181821 | Susan Reinhard, R.N., Ph.D., F.A.A.N. 8/1/18 - 12/31/20 . State Scorecard on Long-Term Services and Supports, 4th Edition | \$ 167,000 | \$250,600 |
| 7/11/2017 | Board Grant | AcademyHealth 1150 17th St NW Ste 600 Washington, DC 20036 | PC | 20171050 | Lisa Simpson, M.B., B.Ch., M.P.H., F.A.A.P. 7/1/18 - 6/30/18. Rent and Services | \$ - | \$55,000 |
| 7/10/2018 | Board Grant – From Authorization | AcademyHealth 1150 17th St NW Ste 600 Washington, DC 20036 | PC | 20181893a | Lisa Simpson, M.B., B.Ch., M.P.H., F.A.A.P. 1/1/19 - 12/31/19 . General Support | \$ 15,000 | \$0 |
| 7/11/2017 | Board Grant | Alliance for Health Policy 1444 Eye St NW Ste 910 Washington, DC 20005-6573 | PC | 20171031 | Sarah Dash, 7/15/17 - 7/14/18. Convenings, Briefings and Retreats for Members of Congress and Congressional Staff | \$ 366,804 | (\$0) |
| 7/10/2018 | Board Grant | Alliance for Health Policy 1444 Eye St NW Ste 910 Washington, DC 20005-6573 | PC | 20181862 | Sarah Dash, 7/15/18 - 7/14/19 . Nonpartisan Health Policy Education and Convenings for Federal Policymakers, 2018–2019 | \$ 300,000 | \$405,197 |
| 6/22/2019 | Intermediate Grant | Alliance for Health Policy 1444 Eye St NW Ste 910 Washington, DC 20005-6573 | PC | 20192380 | Sarah Dash, 7/15/19 - 12/31/19 . Nonpartisan Health Policy Education and Convening for Federal Policymakers 2019 | \$ - | \$120,955 |
| 4/11/2017 | Board Grant | Avalere Health LLC 1350 Connecticut Ave NW Ste 900 Washington, DC 20036 | NC | 20170960 | Christie Teiglan, 5/1/17 - 2/28/18. Comprehensive Analysis of the Medicare Advantage Population | \$ 79,000 | \$0 |
| 6/21/2018 | Board Grant – From Authorization | Beaconfire RED 2300 Clarendon Blvd., Suite 925 Arlington, VA 22201 | NC | 20181277e | Jeff Herron, 7/1/18 - 9/30/18 . SOW Expansion: Tool to Assess Return-on-Investment for Coordinating Primary Medical Care and Social Services, Phase 2 | \$ 35,001 | \$0 |
| 6/27/2019 | Small Grant | Beaconfire RED 2300 Clarendon Blvd., Suite 925 Arlington, VA 22201 | NC | 20192404 | Jeff Herron, 7/1/19 - 3/31/20 . SOW Expansion: Tool to Assess Return-on-Investment for Coordinating Medical Care and Social Services, Phase 3 | \$ - | \$50,000 |
| 6/21/2018 | Small Grant | Benzajen, Inc. 4047 Hilton Head Way Tarzana, CA 91356 | NC | 20181916 | Victor Tabbush Ph.D., 7/1/18 - 5/31/19 . Tool to Assess Return-on-Investment for Coordinating Primary Medical Care and Social Services, Phase 2 | \$40,000.00 | \$9,256 |
| 11/11/2014 | Board Grant | Beth Israel Deaconess Medical Center, Inc. 330 Brookline Ave Rose 3 Boston, MA 02215 | PC | 20140639 | Janice Walker, R.N., M.B.A. 2/1/15 - 9/30/16. OurNotes: A New Strategy to Improve Care for Complex Patients | \$ - | \$207,309 |

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|--------------------|----------------------------------|---|--------------------------------|-----------|--|-------------|--------------------------|
| 7/10/2018 | Intermediate Grant | Bipartisan Policy Center, Inc. 1225 Eye St NW Ste 1000 Washington, DC 20005 | PC | 20181857 | Katherine Hayes, J.D. 8/1/18 - 7/31/19 . Development of Policy Options for Addressing Barriers to Care Improvement for Patients with Complex Care Needs | \$ 98,000 | \$23,771 |
| 7/10/2018 | Intermediate Grant | Boston College Trustees Cadigan Alumni Center 140 Commonwealth Ave Chestnut Hill, MA 02467 | PC | 20181461 | Alicia Munnell, 8/1/18 - 7/31/19 . Understanding the Hidden Impacts of Medicare Part D | \$ 136,840 | \$34,210 |
| 11/13/2018 | Board Grant | Boston University 145 bay State Road Boston, MA 02215 | PC | 20170959 | Rena Conti, 5/1/17 - 11/30/18. Measuring the Impact and Size of Hospital Participation in the 340B Drug Discount Program | \$ 65,000 | \$16,296 |
| 12/20/2017 | Small Grant | Brandeis University 415 South St Waltham, MA 02454 | PC | 20181548 | Stuart H. Altman, Ph.D. 1/2/18 - 1/31/19. 25th Princeton Conference | \$5,000.00 | \$0 |
| 1/17/2019 | Small Grant | Brandeis University 415 South St Waltham, MA 02454 | PC | 20192214 | Stuart H. Altman, Ph.D. 1/1/19 - 1/31/20 . 26th Princeton Conference at the Robert Wood Johnson Foundation | \$10,000.00 | \$5,000 |
| 3/23/2018 | Small Grant | Brigham and Women's Hospital, Inc. 75 Francis St Boston, MA 02115 | PC | 20181614 | Bonnie Blanchfield, S.M., Sc.D. 5/1/18 - 4/30/19 . Lessons Learned from Pioneer ACOs: The CFO Perspective For Successful Volume to Value Transition | | \$9,971 |
| 4/11/2017 | Board Grant | Brigham and Women's Hospital, inc. 75 Francis St Boston, MA 02115 | PC | 20170972 | David Bates, M.D., M.Sc. 4/15/17 - 3/31/18. A Toolkit for Evaluating Mobile Health Applications | \$ 91,363 | \$0 |
| 2/9/2019 | Intermediate Grant | Brookings Institution 1775 Massachusetts Ave NW Washington, DC 20036-2188 | PC | 20192257 | Paul Ginsburg, Ph.D. 2/1/19 - 5/31/19 . Medicare Part B Drug Reform and Beyond | \$ 79,880 | \$19,970 |
| 11/14/2018 | Board Grant – From Authorization | Brown University 171 Meeting St. Providence, RI 02912 | PC | 20181376b | Emily Gadbois, Ph.D. 11/12/18 - 4/30/19 . Updating Post-Acute and Long-Term Care Scorecard Measures to the 2015 & 2016 Data Years | \$ 32,000 | \$8,130 |
| 9/23/2017 | Board Grant – From Authorization | Camden Coalition of Health Care Providers 800 Cooper Street, 7th Floor Camden, NJ 08102 | PC | 20170770e | Mark Humowiecki, J.D., 10/15/17 - 10/15/18. A Blueprint to Advance the Field of Complex Care | \$ 52,800 | \$0 |
| 6/22/2019 | Intermediate Grant | Camden Coalition of Health Care Providers 800 Cooper Street, 7th Floor Camden, NJ 08102 | PC | 20192290 | Lauran Hardin, 5/1/19 - 4/30/20 . Strategic Communications and Tools to Accelerate Adoption of Complex Care Interventions | | \$199,419 |

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| 4/11/2017 | Board Grant | CapView Associates, LLC 601 New Jersey Ave, NW, Suite 450 Washington D.C. 20001 | NC | 20170970 | Purva Rawal, Ph.D., 4/1/17 - 12/31/17. Evaluating and Assessing Medicare Advanced Alternative Payment Models | | \$20,000 |
| 12/20/2017 | Small Grant | Center for Excellence in Health Care Journalism 10 Neff Hall Columbia, MO 65211 | PC | 20181616 | Len Bruzzese, 1/1/18 - 12/31/18. 2018 Heath Journalism Event Support | \$9,000.00 | \$0 |
| 7/13/2016 | Board Grant | Center for Excellence in Health Care Journalism 10 Neff Hall Columbia, MO 65211 | PC | 20160695 | Len Bruzzese, 1/17/17 - 12/31/18. AHCI Reporting Fellowships on Health Care Performance, Phase 4 | \$ 141,557 | \$0 |
| 7/10/2018 | Board Grant | Center for Excellence in Health Care Journalism 10 Neff Hall Columbia, MO 65211 | PC | 20181887 | Len Bruzzese, 1/1/19 - 12/31/20 . AHCI Reporting Fellowships on Health Care Performance 2019–2020, Phase 5 | \$ 100,000 | \$150,000 |
| 9/12/2018 | Intermediate Grant | Center for Excellence in Health Care Journalism 10 Neff Hall Columbia, MO 65211 | PC | 20192055 | Len Bruzzese, 10/1/18 - 9/30/19 . AHCI International Health Study Fellowship | \$ 68,400 | \$45,281 |
| 2/25/2019 | Small Grant | Center for Excellence in Health Care Journalism 10 Neff Hall Columbia, MO 65211 | PC | 20192254 | Len Bruzzese, 3/1/19 - 12/31/19 . 2019 Heath Journalism Event Support | \$36,000.00 | \$9,000 |
| 6/28/2019 | Intermediate Grant | Center for Excellence in Health Care Journalism 10 Neff Hall Columbia, MO 65211 | PC | 20192385 | Len Bruzzese, 9/1/19 - 8/30/20 . AHCI International Health Study Fellowship – 2019-20 | | \$113,681 |
| 11/14/2017 | Board Grant | Center for Health Care Strategies, Inc. 200 American Metro Blvd Ste 119 Hamilton, NJ 08619 | PC | 20181238 | Stephen Somers, Ph.D. 1/1/18 - 6/30/19. PRIDE's Evolution: Provider Partnerships for Innovative Care, Phase 4 | \$ 150,000 | \$50,563 |
| 7/13/2015 | Board Grant | Center for Health Care Strategies, Inc. 200 American Metro Blvd Ste 119 Hamilton, NJ 08619 | PC | 20150689 | Stephen Somers, Ph.D. 8/1/15 - 1/31/17. Promoting Integrated Care for Medicare/Medicaid Dual Eligibles, Phase 3 | \$ 71,134 | \$0 |
| 11/10/2015 | Board Grant | Center for Health Care Strategies, Inc. 200 American Metro Blvd Ste 119 Hamilton, NJ 08619 | PC | 20160176 | Patricia McGinnis, M.P.P., M.P.H. 1/1/16 - 12/31/17. Advancing Accountable Care Organizations in Medicaid, Years 4 and 5 | \$ 97,615 | \$0 |
| 11/8/2016 | Board Grant | Center for Health Care Strategies, Inc. 200 American Metro Blvd Ste 119 Hamilton, NJ 08619 | PC | 20170766 | Stephen Somers, Ph.D. 1/1/17 - 12/31/17. Implementing New Systems of Care for Dually Eligible Enrollees, Phase 3 | \$ 14,274 | \$0 |

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| 4/10/2018 | Board Grant | Center for Health Care Strategies, Inc. 200 American Metro Blvd Ste 119 Hamilton, NJ 08619 | PC | 20181672 | Patricia McGinnis, M.P.P., M.P.H. 5/1/18 - 7/31/19 . Improving Primary Care in Medicaid Managed Care, Phase 1 | \$ 227,000 | \$50,922 |
| 2/6/2019 | Small Grant | Community Catalyst, Inc. One Federal Street Boston, MA 02110 | PC | 20192264 | Ann Hwang, M.D. 3/1/19 - 6/30/19 . Update on the Duals Demonstrations | \$40,000.00 | \$10,000 |
| 4/26/2018 | Board Grant -- From Authorization | Community Catalyst, Inc. One Federal Street, 5th Floor Boston, MA 02210 | PC | 20181277c | Ann Hwang, M.D. 5/15/18 - 12/31/18 . Community Catalyst Center for Consumer Engagement in Health Innovation - Duals Symposium | \$ 3,500 | \$0 |
| 6/22/2017 | Small Grant | Corporation for Supportive Housing 50 Broadway Ave Fl 17 New York, NY 10004 | PC | 20171036 | Sarah Gallagher, M.S. 8/1/17 - 1/31/18. Exploring Supportive Housing's Impact on Health and Health Care Quality Among High Cost High Need Populations | \$10,000.00 | \$0 |
| 7/10/2018 | Board Grant -- From Authorization | Council on Foundations, Inc. 2121 Crystal Drive Ste 700 Arlington, VA 22202 | PC | 20181893c | Gene Cochrane 1/1/19 - 12/31/19 . General Support | \$ 25,000 | \$0 |
| 4/18/2019 | Small Grant | CUNY Graduate School of Journalism Foundation Inc. 219 West 40th Street NY, NY 10018 | PC | 20192333 | Gogie Padilla 6/1/19 - 8/31/20. Health and Science Reporting Internship Support -- 2019-2020 | \$12,000.00 | \$0 |
| 4/12/2016 | Board Grant | Dartmouth College 11 Rope Ferry Road, #6210 Hanover, NH 03755 | PC | 20160616 | Carrie Colla, Ph.D. 5/1/16 - 10/31/17. Evaluating the Formation and Performance of ACOs: Optimizing Management of Dual-Eligible Beneficiaries, Phase 5 | \$ 96,307 | \$0 |
| 11/14/2018 | Board Grant -- From Authorization | David A Winston Heath Policy Fellowship 1341 G Street, NW, 11th Floor Washington, D.C. 20005 | PC | 20181940c | David Abernethy, M.P.H., 2019 Winston Health Policy Ball | \$ 6,000 | \$0 |
| 2/5/2018 | Small Grant | Dobson DaVanzo & Associates, LLC 440 Maple Ave E Ste 203 Vienna, VA 22180 | NC | 20181484 | Allen Dobson, Ph.D. 2/1/18 - 1/31/19. Utilization and Financial Performance Tracking System for Safety-Net Hospitals | | \$14,361 |
| 5/22/2019 | Small Grant | Dobson DaVanzo & Associates, LLC 440 Maple Ave E Ste 203 Vienna, VA 22180 | NC | 20192365 | Allen Dobson, Ph.D. 5/31/19 - 9/15/19 . Impact of Medicaid Work Requirements on Hospitals In Selected States | | \$24,005 |
| 11/14/2017 | Board Grant | Duke University 207 Allen Building Durham, NC 27706 | PC | 20181211 | Mark McClellan, M.D., Ph.D., M.P.A. 1/1/18 - 7/31/18. Transfer of Payment and Delivery System Innovations from Abroad to the United States, Phase 2 | \$ 121,661 | \$0 |

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| 11/13/2018 | Intermediate Grant | Duke University 207 Allen Building Durham, NC 27706 | PC | 20181952 | Mark McClellan, M.D., Ph.D., M.P.A. 12/1/18 - 4/30/19 . Transfer of Payment and Delivery System Innovations from Abroad to the United States, Phase 3 | \$ 39,790 | \$59,685 |
| 3/8/2019 | Intermediate Grant | Duke University 207 Allen Building Durham, NC 27706 | PC | 20192207 | Aaron McKethan, Ph.D. 4/1/19 - 3/31/20 . Inform the Public Debate About the Causes and Consequences of Healthcare Costs and Cost Growth at the State Level | | \$157,061 |
| 4/9/2019 | Board Grant | Dutch Ministry of Health, Welfare, and Sport PO Box 20350, DML, A09.36 2500 EJ The Hague Netherlands | NC | 20192298a | International Health Policy Survey, 2020, Dutch Sample | | \$50,000 |
| 4/11/2017 | Board Grant | Emory University 69 Jessie Hill Jr Dr Atlanta, GA 30303 | PC | 20170966 | Jason Hockenberry, Ph.D. 5/1/17 - 4/30/18. The Role of Behavioral Health Conditions in Healthcare Spending Growth 2000-2015 and Projections of Behavioral Health Related Spending | \$ 58,500 | \$29,271 |
| 11/13/2018 | Board Grant | Evidence for Healthcare Improvement 1 State St Ste 1050 Boston, MA 02109 | PC | 20192005 | Steven Pearson, M.D., M.Sc. 12/1/18 - 11/30/19 . International Collaboration to Guide Value-Based Pricing of Potential Medical Cures | \$ 90,000 | \$132,550 |
| 7/11/2017 | Board Grant | Faegre Baker Daniels LLP 1050 K Street NW, Suite 400 Washington, DC 20001 | NC | 20171022 | Michael Adelberg, M.A., M.P.P. 7/15/17 - 1/14/18. Using Machine?Readable Provider Directories in Medicare Advantage Plans | \$ 15,000 | \$0 |
| 3/8/2019 | Intermediate Grant | Families USA Foundation, Inc. 1225 New York Avenue NW Suite 800 Washington, DC 20005 | PC | 20192295 | Eliot Fishman, Ph.D. 3/1/19 - 9/1/19 . Medicaid Expansion Leadership Team and State Support | \$ 80,000 | \$20,000 |
| 7/10/2018 | Board Grant – From Authorization | Foundation Center 32 Old Slip, 24th Floor New York, NY 10005 | PC | 20181893d | Bradford Smith, 1/1/19 - 12/31/19 . General Support | \$ 15,000 | \$0 |
| 10/26/2018 | Board Grant – From Authorization | Fountain House, Inc. 425 W 47th Street New York, NY 10036 | PC | 20181940b | Caroline Slaten, Mad About Art: Art Auction and Benefit, 2018 | \$ 5,000 | \$0 |
| 3/23/2018 | Small Grant | Frameworks Institute 1333 H St, N.W. Washington, D.C. | PC | 20181786 | Nathaniel Kendall-Taylor, Ph.D. 4/1/18 - 9/30/18 . An Evidence-Based Initiative to Reframe Health Care Messages | \$8,800.00 | \$0 |
| 4/5/2019 | Board Grant | George Mason University 4400 University Dr Fairfax, VA 22030 | PC | 20192227 | Len Nichols, Ph.D. 5/1/19 - 4/30/20 . Using Sustainable Local Investments to Support Integrated Health and Social Services: A Feasibility Study | \$ 150,000 | \$200,000 |

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| 5/26/2017 | Board Grant -- From Authorization | George Washington University 44983 Knoll Sq 251 Ashburn, VA 20147 | PC | 20170961a | Sara Rosenbaum, J.D. 6/15/17 - 6/14/18. Medicaid Payment and Delivery Reform Policy | \$ 22,664 | \$0 |
| 7/11/2017 | Board Grant | George Washington University 44983 Knoll Sq 251 Ashburn, VA 20147 | PC | 20171077 | Sara Rosenbaum, J.D. 1/1/18 - 2/28/19 . Medicaid in an Uncertain Era: Assessing the Policy Implications of Moving Forward | \$ 100,000 | \$50,308 |
| 4/10/2018 | Board Grant | George Washington University 44983 Knoll Sq 251 Ashburn, VA 20147 | PC | 20181468 | Leighton Ku, Ph.D. 4/11/18 - 6/30/19 . Potential Effects of Medicaid Flexibility Proposals | \$ 84,000 | \$41,877 |
| 7/10/2018 | Board Grant | George Washington University 44983 Knoll Sq 251 Ashburn, VA 20147 | PC | 20181881 | Sara Rosenbaum, J.D. 8/1/18 - 10/31/19 . Using Medicaid Managed Care to Strengthen Primary Care for Vulnerable Populations | \$ 138,000 | \$211,685 |
| 11/13/2018 | Board Grant | George Washington University 44983 Knoll Sq 251 Ashburn, VA 20147 | PC | 20192070 | Sara Rosenbaum, J.D. 3/1/19 - 2/28/20 . Medicaid's Ongoing Evolution in an Age of Health Reform: Current Policy and Future Directions | \$ 103,317 | \$154,977 |
| 6/27/2019 | Intermediate Grant | George Washington University 44983 Knoll Sq 251 Ashburn, VA 20147 | PC | 20192318 | Elizabeth Gray, J.D., M.H.A. 4/18/19 - 10/31/19 . Supplemental Proposal to: Using Medicaid Managed Care to Strengthen Primary Care for Vulnerable Populations | | \$60,000 |
| 7/13/2016 | Board Grant | Georgetown University 2233 Wisconsin Ave NW Ste 525 Washington, DC 20007 | PC | 20160660 | Kevin Lucia, J.D., M.H.P. 10/1/16 - 7/31/17. Monitoring and Analyzing Implementation of the Affordable Care Act's Insurance Market Reforms, Year 6 | \$ 4,954 | \$0 |
| 7/11/2017 | Board Grant | Georgetown University 2233 Wisconsin Ave NW Ste 525 Washington, DC 20007 | PC | 20171083 | Kevin Lucia, J.D., M.H.P. 8/1/17 - 7/31/18. Monitoring and Analyzing Implementation of the Affordable Care Act's Insurance Market Reforms, Year 7 | \$ 100,887 | \$0 |
| 7/10/2018 | Board Grant | Georgetown University 2233 Wisconsin Ave NW Ste 525 Washington, DC 20007 | PC | 20181898 | Kevin Lucia, J.D., M.H.P. 8/1/18 - 7/31/19 . Implementing the Affordable Care Act: Monitoring and Analysis Of Private Insurance Reforms, Year 8 | \$ 444,480 | \$111,121 |
| 3/19/2019 | Small Grant | Georgetown University 2233 Wisconsin Ave NW Ste 525 Washington, DC 20007 | PC | 20192309 | Jane Horvath, 4/1/19 - 9/30/19 . US Prescription Drug Cost Containment: Viability of US State and Federal Prescription Drug Importation | \$34,068.00 | \$8,517 |
| 7/10/2018 | Board Grant | German Managed Care Association Friedrichstr. 136 Berlin, 10117 | NC | 20181869 | Patricia Ex, Ph.D. 10/1/18 - 10/31/19 . Drug Pricing and Purchasing In Germany: Insights and Implications for the United States | \$ 161,308 | \$40,327 |

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| 6/4/2018 | Small Grant | Gerontological Society of America 1220 L St Nw Ste 901 Washington, DC 20005-1503 | PC | 20181907 | Todd Kluss, M.A. 7/1/18 - 6/30/19 . Journalists in Aging Fellows Program - 2018 | \$16,000.00 | \$4,000 |
| 3/16/2017 | Small Grant | Gerontological Society of America 1220 L St Nw Ste 901 Washington, DC 20005-1503 | PC | 20170982 | Todd Kluss, M.A. 7/1/17 - 6/30/18. Journalists in Aging Fellows Program - 2017 | \$4,000.00 | \$0 |
| 4/18/2019 | Small Grant | Gerontological Society of America 1220 L St Nw Ste 901 Washington, DC 20005-1503 | PC | 20192332 | Todd Kluss, M.A. 7/1/19 - 6/30/20 . Journalists in Aging Fellows Program – 2019 | | \$20,000 |
| 3/16/2017 | Board Grant – From Authorization | Grantmakers in Aging, Inc. 2001 Jefferson Davis Hwy Ste 504 Arlington, VA 22202 | PC | 20170770c | John Feather, Ph.D. 4/1/17 - 3/31/18. Campaign to Disseminate New Information and Best Practices for People with Complex Needs | \$ 3,000 | \$0 |
| 7/10/2018 | Board Grant – From Authorization | Grantmakers in Aging, Inc. 2001 Jefferson Davis Hwy Ste 504 Arlington, VA 22202 | PC | 20181893e | John Feather, Ph.D. 1/1/19 - 12/31/19 . General Support | \$ 3,700 | \$0 |
| 11/14/2018 | Small Grant | Grantmakers in Aging, Inc. 2001 Jefferson Davis Hwy Ste 504 Arlington, VA 22202 | PC | 20192111 | John Feather, Ph.D. 12/1/18 - 12/31/20 . Engaging Philanthropy in Complex Care | \$18,000.00 | \$2,000 |
| 7/10/2018 | Board Grant – From Authorization | Grantmakers In Health 1100 Connecticut Ave NW Ste 1200 Washington, DC 20036 | PC | 20181893f | Faith Mitchell, Ph.D. 1/1/19 - 12/31/19 . General Support | \$ 16,100 | \$0 |
| 4/18/2019 | Small Grant | Grantmakers In Health 1100 Connecticut Ave NW Ste 1200 Washington, DC 20036 | PC | 20192324 | Ned Calonge 1/1/19 - 12/31/19. CEO Transition Search Support | \$5,000.00 | \$0 |
| 6/30/2018 | Small Grant | Greater New York Hospital Foundation, Inc. 555 West 57th St. New York, NY 10019 | PC | 20181935 | Tim Johnson, 29th Annual Symposium on Health Care Services in New York: Research and Practice | \$1,200.00 | \$0 |
| 6/22/2019 | Intermediate Grant | Greater Washington Educational Telecommunications Association 2775 S Quincy St Arlington, VA 22206 | PC | 20192384 | Patti Parson, 7/1/19 - 6/30/20 . Healthcare Systems in Other Countries | | \$200,000 |
| 4/26/2018 | Small Grant | Guttmacher Institute Inc. 125 Maiden Lane New York, NY 10038 | PC | 20181839 | Kinsey Hasstedt, M.P.H. 5/15/18 - 5/15/19 . Advancing Immigrants' Access to Sexual and Reproductive Health Coverage and Care | | \$10,000 |

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|--------------------|--------------------|---|--------------------------------|-----------|---|-------------|--------------------------|
| 3/5/2019 | Small Grant | Health Care for All One Federal Street Boston, MA 02110 | PC | 20192301 | Sabrina Corlette, J.D. Together For The People, 2019 | \$10,000.00 | \$0 |
| 4/12/2016 | Board Grant | Health Leads 2 Oliver St Fl 10 Boston, MA 02109 | PC | 20160618 | Rebecca Onie, J.D., 5/1/16 - 3/31/17. Addressing Patients' Nonmedical Needs: Defining the Role of Health Care Organizations, and Providing Guidance for Integrating Services | \$ 40,995 | \$0 |
| 7/11/2017 | Board Grant | Health Leads, Inc. 2 Oliver St Fl 10 Boston, MA 02109 | PC | 20171008 | Damon Francis, M.D. 10/1/17 - 3/31/19. Leveraging a Social Needs Framework to Accelerate Dissemination of Best Practices | \$ 300,000 | \$50,000 |
| 11/14/2017 | Board Grant | Health Research & Educational Trust 155 N Wacker Dr Chicago, IL 60606 | PC | 20181355 | Jay Bhatt, D.O. 1/1/18 - 6/30/19 . Understanding Performance Improvement Programs in Safety?Net Hospitals | \$ 140,100 | \$39,736 |
| 11/14/2017 | Board Grant | Health Vista LLC PO Box 47141 Chicago, IL 47141-0141 | NC | 20181352 | Sarah Klein, 11/15/17 - 11/14/18. Transforming Care | \$ 25,600 | \$0 |
| 11/13/2018 | Intermediate Grant | Health Vista LLC PO Box 47141 Chicago, IL 47141-0141 | NC | 20192115 | Sarah Klein, 11/15/18 - 12/30/19. Transforming Care | \$ 27,180 | \$56,958 |
| 1/26/2019 | Intermediate Grant | HealthBegins, LLC 2600 W Olive Avenue, Suite #500 Burbank, CA 91505 | NC | 20192124 | Rishi Manchanda, M.D., M.P.H. 2/1/19 - 6/30/19 . A Pilot to Promote the Adoption and Implementation of the ROI Calculator | \$ 93,756 | \$40,180 |
| 4/11/2017 | Board Grant | Healthwise Inc. 2601 N Bogus Basin Road Boise, ID 83702 | PC | 20170954 | Becky Reed, 5/1/17 - 9/30/17. Personas for Delivery System Reform, Phase 2 | \$ 25,060 | \$0 |
| 3/8/2019 | Intermediate Grant | Hopewell Fund for the Fairness Project Education Fund 1342 Florida Avenue, NW Washington, DC 20009 | PC | 20192294 | Jonathan Schleifer, 3/1/19 - 9/15/19 . Message and Education Material Development for Missouri and Florida | \$ 64,000 | \$16,000 |
| 2/5/2018 | Small Grant | Human Diagnosis Project Ltd. 666 K St., NW Washington, D.C. 20001 | PC | 20181644 | Seiji Hayashi, M.D., M.P.H. 2/1/18 - 8/31/18 . Technical Learning Collaborative to Implement the Human Dx System in Community Health Centers | \$5,000.00 | \$0 |
| 7/10/2018 | Board Grant | Icahn School of Medicine at Mount Sinai Office of Development 1 Gustave L. Levy Place, Box 1049 New York, NY 10029 | PC | 20181624 | Natalie Privett, Ph.D. 8/15/18 - 8/14/19 . Improving Primary Care for Low-Income Americans: Testing Best Practices from Low- and Middle-Income Countries | \$ 240,000 | \$59,561 |

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|--------------------|-----------------------------------|---|--------------------------------|-----------|---|-------------|--------------------------|
| 4/5/2019 | Board Grant | Icahn School of Medicine at Mount Sinai Office of Development 1 Gustave L. Levy Place, Box 1049 New York, NY 10029 | PC | 20181948 | Diane Meier, M.D. 5/1/19 - 4/30/22 . Medicare Advantage and ACO Learning Communities: Using Peer Learning to Expedite the Adoption of Palliative Care Straegi | | \$228,214 |
| 6/27/2019 | Intermediate Grant | Initiative for Medicines, Access & Knowledge, I-MAK Inc. 201 West 26th St. New York, NY 10001 | PC | 20192360 | Priti Krishtel, J.D. 8/1/19 - 5/31/20 . Unpacking the Role of Patent Evergreening in High Prescription Drug Prices | | \$125,000 |
| 6/4/2018 | Small Grant | Institute for Accountable Care 415 South St MS-035 Waltham, MA 02453-2728 | PC | 20181823 | Robert Mechanic, M.B.A. 6/15/18 - 12/15/18 . Testing Strategies to Improve HealthCare for HNHC Individuals: Planning Phase | \$40,000.00 | \$0 |
| 6/9/2016 | Board Grant | Institute for Healthcare Improvement 20 University Rd Fl 7 Cambridge, MA 02138 | PC | 20160709 | Donald Goldmann, M.D. 6/13/16 - 12/12/16. High-Need, High-Cost Playbook, Version 1.0 | \$ 8,739 | \$0 |
| 11/27/2017 | Board Grant -- From Authorization | Institute for Healthcare Improvement 20 University Rd Fl 7 Cambridge, MA 02138 | PC | 20181277a | Kedar Mate, M.D. 1/1/18 - 12/31/18. High-Need, High-Cost Playbook Version 3.0 | \$ 51,715 | \$0 |
| 11/8/2016 | Board Grant | Institute for Healthcare Improvement 20 University Rd Fl 7 Cambridge, MA 02138 | PC | 20170738 | Donald Goldmann, M.D. 1/1/17 - 9/30/18. IHI/Commonwealth Fund International Program for U.S. Health Care Delivery System Innovation, Phase 3 | \$ 94,837 | \$0 |
| 10/5/2018 | Intermediate Grant | Institute for Healthcare Improvement 20 University Rd Fl 7 Cambridge, MA 02138 | PC | 20192046 | Kedar Mate, M.D. 12/1/18 - 10/31/20 . Learning and Action Network for Medicare Advantage Plans | \$ 50,000 | \$71,119 |
| 10/5/2018 | Intermediate Grant | Institute for Healthcare Improvement 20 University Rd Fl 7 Cambridge, MA 02138 | PC | 20192047 | Kedar Mate, M.D. 1/1/19 - 12/31/19 . Playbook, Phase 4: Transitioning to a New Administrator and Adding Tools and Resources | \$ 50,000 | \$75,000 |
| 11/13/2018 | Board Grant | Issues Research, Inc. 7116 Falls Creek Main Durango, CO 81301 | NC | 20192089 | Douglas McCarthy, M.B.A. 1/1/19 - 12/31/19 . Case Studies and Tools to Support the Spread of Promising Practices in Health Care Delivery and Cost Control | \$ 145,481 | \$200,420 |
| 11/14/2017 | Board Grant | Issues Research, Inc. PO Box 220 Durango, CO 81302 | NC | 20181374 | Douglas McCarthy, M.B.A. 1/1/18 - 12/31/18. Support for Health System Performance Tracking: Analysis and Reports | \$ 228,190 | \$0 |
| 3/19/2019 | Small Grant | Jewish Association Serving the Aging 247 W 37th St New York, NY 10018 | PC | 20192313 | JASA Gala, 2019 | \$10,000.00 | \$0 |

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|--------------------|-----------------------------------|--|--------------------------------|-----------|---|-------------|--------------------------|
| 11/8/2016 | Board Grant | Joan and Sanford I. Weill Medical College of Cornell University 425 E 61st St New York, NY 10021 | PC | 20170769 | Lisa Kern, M.D., M.P.H., F.A.C.P. 1/1/17 - 6/30/18. Addressing Fragmentation in the Health Care of Medicare and Medicaid Beneficiaries | \$ 45,367 | \$0 |
| 1/3/2019 | Board Grant -- From Authorization | Joan and Sanford I. Weill Medical College of Cornell University 425 E 61st St New York, NY 10021 | PC | 20181940d | Oliver Fein, M.D. Rogers Health Policy Colloquium 2018-19 | \$ 3,000 | \$0 |
| 4/11/2017 | Board Grant -- From Authorization | Johns Hopkins University 242 N Charles St Baltimore, MD 21218 | PC | 20160628d | Aditi Sen, Ph.D. 4/1/17 - 10/31/18 . High Federal Spending on Part D Catastrophic Coverage: Understanding the Causes | | \$10,000 |
| 7/11/2017 | Board Grant | Johns Hopkins University 242 N Charles St Baltimore, MD 21218 | PC | 20171061 | Amber Willink, Ph.D. 9/1/17 - 8/31/18. Medicare Benefit Design and Long-term Services and Supports: Gaps, Opportunities, and Implications for Beneficiaries | | \$54,990 |
| 4/12/2016 | Board Grant | Leavitt Partners, LLC 299 South Main Street, #2300 Salt Lake City, UT 84111 | NC | 20160524 | David Muhlestein, Ph.D.,J.D. 4/15/16 - 4/14/17. Assessing Value-Based Payment's Impact on the Total Costs of Care | \$ 78,067 | \$0 |
| 2/20/2019 | Intermediate Grant | Leavitt Partners, LLC 299 South Main Street, #2300 Salt Lake City, UT 84111 | NC | 20192260 | Erik Krisle, M.P.P. 3/1/19 - 6/15/19 . Analysis of Florida Medicaid Expansion | \$ 118,025 | \$29,506 |
| 11/8/2016 | Board Grant | London School of Economics and Political Science Houghton Street London, ENGLAND WC2A 2AE | NC | 20170801 | Elias Mossialos, Ph.D. 12/15/16 - 12/14/17. The Commonwealth Fund International Profiles of Health Care Systems 2017 | \$ 50,000 | \$33,000 |
| 11/27/2017 | Small Grant | Long-Term Quality Alliance 1666 K St., NW Washington, D.C. 20009 | PC | 20181357 | G. Lawrence Atkins, LTSS Needs in the Under 65-Disabled Population: Building a Shared Understanding of Needs and Opportunities | \$9,935.00 | \$0 |
| 11/3/2017 | Small Grant | Manatt, Phelps & Phillips, LLP 7 Times Sq New York, NY 10036 | NC | 20181255 | Deborah Bachrach, J.D. 11/1/17 - 3/15/18. Medicaid and the Justice-Involved Populations: Opportunities for States to Improve Connections to Needed Health Care Services | \$10,000.00 | \$0 |
| 4/11/2018 | Small Grant | Manatt, Phelps & Phillips, LLP 7 Times Sq New York, NY 10036 | NC | 20181844 | Deborah Bachrach, J.D. 4/4/18 - 5/31/18 . Work and Community Engagement Requirements in Medicaid: Operational Considerations for States | \$8,000.00 | \$0 |
| 5/26/2017 | Board Grant -- From Authorization | Manatt, Phelps & Phillips, LLP 7 Times Sq New York, NY 10036 | NC | 20170961b | Cindy Mann, J.D. 5/29/17 - 7/29/17. Implications of Capping Medicaid Funding | | \$33,000 |

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|--------------------|-----------------------------------|---|--------------------------------|-----------|--|-------------|--------------------------|
| 4/10/2018 | Board Grant | Manatt, Phelps & Phillips, LLP 7 Times Sq New York, NY 10036 | NC | 20181705 | Cindy Mann, J.D. 5/1/18 - 4/30/19 . Implications of Federal Medicaid Changes for the Health Care Delivery System | \$ 90,000 | \$45,000 |
| 12/18/2018 | Intermediate Grant | Manatt, Phelps & Phillips, LLP 7 Times Sq New York, NY 10036 | NC | 20192217 | Deborah Bachrach, J.D. 12/10/18 - 1/31/19 . Evaluating Provider Tax Options to Finance Nebraska's Medicaid Expansion | \$ 60,000 | \$0 |
| 1/17/2019 | Intermediate Grant | Manatt, Phelps & Phillips, LLP 7 Times Sq New York, NY 10036 | NC | 20192225 | Melinda Dutton, J.D. 1/16/19 - 6/30/19 . Expert Advisory Panel on Rate Schedule Development for North Carolina's Healthy Opportunities Pilot Services | \$ 150,000 | \$50,000 |
| 6/29/2019 | Intermediate Grant | Manatt, Phelps & Phillips, LLP 7 Times Sq New York, NY 10036 | NC | 20192317 | Cindy Mann, J.D. Projected Impacts of Capping Medicaid Funding Through Waivers | | \$199,000 |
| 6/30/2018 | Board Grant -- From Authorization | McCabe Message Partners, LLC 1825 Connecticut Avenue NW #300 Washington, DC 20009 | NC | 20181277f | Patrick McCabe, 7/15/18 - 12/31/18 . Better Care Playbook 2018 Communications | \$ 15,000 | \$0 |
| 3/5/2019 | Small Grant | McCabe Message Partners, LLC 1825 Connecticut Avenue NW #300 Washington, DC 20009 | NC | 20192216 | Patrick McCabe, 3/6/19 - 2/29/20 . 2019 Communications Plan for Six Foundation Collaborative | | \$25,000 |
| 8/1/2018 | Small Grant | Milbank Memorial Fund 645 Madison Ave Fl 15 New York, NY 10022-1095 | POF | 20192004 | Lisa Dulsky Watkins, M.D. 9/1/18 - 12/31/18 . Multi-payer Primary Care Transformation: Investing in an Effective Collaborative | \$49,384.00 | \$0 |
| 7/10/2018 | Intermediate Grant | Nathanson+Hauck 601 13th Street, Eleventh Floor North Washington, D.C. 20005 | NC | 20181860 | Melanie Nathanson, M.P.A. 7/11/18 - 7/10/19 . Partnering to Engage Federal Policymakers, 2018-2019 | \$ 96,000 | \$24,000 |
| 6/22/2019 | Intermediate Grant | Nathanson+Hauck 601 13th Street, Eleventh Floor North Washington, D.C. 20005 | NC | 20192348 | Melanie Nathanson, M.P.A. 7/11/19 - 7/10/20 . Federal Insight and Engagement 2019-2020 | | \$120,000 |
| 12/20/2017 | Small Grant | Nathanson+Hauck 601 13th Street, Eleventh Floor North Washington, D.C. 20005 | NC | 20181618 | Melanie Nathanson, M.P.A. 1/1/18 - 6/30/18. 2018 Insight and Federal Engagement | \$10,000.00 | \$0 |
| 11/17/2015 | Small Grant | National Academy of Sciences 500 5th St NW Washington, DC 20001 | PC | 20160311 | Sharyl Nass, Ph.D. 12/1/15 - 5/31/17. Consensus Study on Patient Access to Affordable Drug Therapies | \$8,000.00 | \$0 |

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|--------------------|--------------------|---|--------------------------------|-----------|---|-------------|--------------------------|
| 11/8/2016 | Board Grant | National Academy of Sciences 500 5th St NW Washington, DC 20001 | PC | 20170784 | J. Michael McGinnis, M.D., M.P.P. 12/1/16 - 12/31/17. Vital Directions for Health and Health Care | | \$60,000 |
| 2/20/2019 | Small Grant | National Academy of Sciences 500 5th St NW Washington, DC 20001 | PC | 20192274 | J. Michael McGinnis, M.D., M.P.P. 2/1/19 - 1/31/20 . Leadership Consortium for a Value & Science-Driven Health System | \$20,000.00 | \$5,000 |
| 6/22/2019 | Intermediate Grant | National Academy of Sciences 500 5th St NW Washington, DC 20001 | PC | 20192340 | Sharyl Nass, Ph.D. Implementing High-Quality Primary Care: Technical Proposal | | \$150,000 |
| 10/25/2017 | Small Grant | National Academy of Social Insurance 1776 Massachusetts Ave NW Ste 400 Washington, DC 20036 | PC | 20181477 | Benjamin Veghte 11/1/17 - 5/31/18 . Program Design Challenges in Reforming Medicare Eligibility | \$9,368.00 | \$0 |
| 2/5/2018 | Small Grant | National Academy of Social Insurance 1776 Massachusetts Ave NW Ste 400 Washington, DC 20036 | PC | 20181702 | William Arnone, J.D. 2/1/18 - 12/31/18. Rashl Fein Internship in Health Policy | \$10,000.00 | \$0 |
| 1/3/2019 | Intermediate Grant | National Academy of Social Insurance 1776 Massachusetts Ave NW Ste 400 Washington, DC 20036 | PC | 20181826 | William Arnone, J.D. 1/1/19 - 1/31/20 . Study Panel on Changing Medicare Eligibility | | \$149,999 |
| 7/11/2017 | Board Grant | National Association of Medicaid Directors 444 North Capitol Street NW Suite 524 Washington, DC 20001 | PC | 20171018 | Dianne Hasselman, M.S.P.H. 8/1/17 - 7/31/18. Supporting Medicaid Operations and Strengthening the Federal-State Partnership in a Shifting Landscape, Year 5 | \$ 60,885 | \$0 |
| 7/10/2018 | Board Grant | National Association of Medicaid Directors 444 North Capitol Street NW Suite 524 Washington, DC 20001 | PC | 20181861 | Dianne Hasselman, M.S.P.H. 8/1/18 - 1/31/20 . Advancing Medicaid as a High-Performing Health System | \$ 106,248 | \$159,372 |
| 3/8/2019 | Intermediate Grant | National Association of Medicaid Directors 444 North Capitol Street NW Suite 524 Washington, DC 20001 | PC | 20192293 | Dianne Hasselman, M.S.P.H. 3/1/19 - 2/28/20 . Medicaid Leadership Program | \$ 79,992 | \$19,998 |
| 2/10/2019 | Small Grant | National Coalition on Health Care 1111 14th St. NW, Suite 900 Washington, DC 20005 | PC | 20192256 | David Introcaso, 2/15/19 - 4/30/19 . Capitol Hill Briefing Concerning Medicare Part D Binding Arbitration | \$9,779.00 | \$0 |
| 11/14/2017 | Board Grant | National Conference of State Legislatures 7700 E 1st Pl Denver, CO 80230 | GOV | 20181344 | Martha King, M.P.A., M.S.W. 1/1/18 - 6/30/19. Creating and Supporting State Legislative Champions for Health System Reform | \$ 132,000 | \$66,000 |

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| 6/2/2019 | Intermediate Grant | National Conference of State Legislatures 7700 E 1st Pl Denver, CO 80230 | GOV | 20192350 | Kate Blackman, M.S.W, M.P.H. 7/1/19 - 6/30/20 . Creating and Supporting State Legislative Champions for Health System Reforms | | \$189,980 |
| 7/11/2017 | Board Grant | National Governors Association Center for Best Practices 444 N Capital St Ste 267 Washington, DC 20001-1512 | PC | 20171037 | Hemi Tewarson, 8/1/17 - 1/30/19. Improving Lives and Reducing Cost of Care for High Need, High Cost Medicaid Enrollees with Mental Illness and Substance | \$ 92,000 | \$46,000 |
| 11/13/2018 | Intermediate Grant | National Governors Association Center for Best Practices 444 N Capital St Ste 267 Washington, DC 20001-1512 | PC | 20192076 | Hemi Tewarson, J.D. 11/1/18 - 10/31/19 . Boot Camp for Governors' Health Policy Advisors and Health Secretaries | \$ 80,000 | \$120,000 |
| 11/14/2017 | Board Grant | National Governors Association Center for Best Practices 444 N Capital St., Suite 267 Washington, DC 20001 | PC | 20181345 | Hemi Tewarson, J.D. 12/1/17 - 11/30/18 . Managing Change in Medicaid and Private Health Insurance: Technical Assistance for Governors? Leadership Teams | | \$120,000 |
| 7/20/2016 | Small Grant | National Institute for Public Health and the Environment P.O. Box 1 3720 BA Bilthoven | NC | 20160726 | Jeroen Struijs, Ph.D. 10/1/16 - 1/31/18. Key Design Elements of Bundled Payments – A Cross-Nation Comparison | \$9,430.00 | \$0 |
| 6/30/2018 | Small Grant | National Medical Fellowships, Inc. 347 Fifth Avenue New York, NY 10016-5007 | PC | 20181936 | Esther Dyer, D.L.S., M.L.S. 2018 General Support | \$5,000.00 | \$0 |
| 7/13/2016 | Board Grant | National Opinion Research Center 1155 E 60th St Chicago, IL 60637 | PC | 20160664 | Jon Gabel, M.A. 8/1/16 - 7/31/17. Analysis of Changes in the Affordable Care Act Marketplaces, 2016-2017 | | \$39,754 |
| 6/30/2018 | Small Grant | New York Academy of Medicine 1216 5th Ave New York, NY 10029-5293 | PC | 20181937 | Judith Salerno, M.D., M.S. New York Academy of Medicine's Annual Gala, 2019 | | \$10,000 |
| 6/22/2017 | Small Grant | New York Academy of Medicine 1216 5th Ave New York, NY 10029-5293 | PC | 20171112 | Kathleen O'Donnell, M.P.H., M.B.A., M.A. 7/1/17 - 6/30/19. The Margaret E. Mahoney Fellowship Program | \$25,000.00 | \$0 |
| 5/15/2019 | Small Grant | New York Academy of Medicine 1216 5th Ave New York, NY 10029-5293 | PC | 20192361 | Claire Wang, MD, ScD 6/1/19 - 5/31/21 . The Margaret E. Mahoney Fellowship Program | | \$50,000 |
| 7/10/2018 | Board Grant | New York City Health and Hospitals Corporation 125 Worth Street, 5th Floor New York, NY 10013 | PC | 20181879 | Dave Chokshi, M.D., M.Sc., F.A.C.P. 8/1/18 - 1/31/20 . Complex Care Playbook for Safety-Net Health Systems | \$ 85,000 | \$124,000 |

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| 1/17/2019 | Small Grant | New York eHealth Collaborative Inc 40 Worth St Fl 5 New York, NY 10013-2988 | PC | 20192249 | Valerie Grey, 2018 NY eHealth Collaborative Gala Sponsorship | \$5,000.00 | \$0 |
| 10/26/2018 | Board Grant – From Authorization | New York Public Radio 160 Varick St Fl 9 New York, NY 10013 | PC | 20181940a | Molly Hidenburg, 9/1/18 - 10/31/18 . New York Public Radio General Support, 2018 | \$ 10,000 | \$0 |
| 7/11/2017 | Board Grant | New York University 70 Washington Square South New York, NY 10012 | PC | 20171078 | Sherry Glied, Ph.D. 9/1/17 - 8/31/18. Analysis and Technical Assistance in Support of Health Reform, Year 5 | \$ 77,860 | \$38,994 |
| 7/10/2018 | Intermediate Grant | New York University 70 Washington Square South New York, NY 10012 | PC | 20181866 | Sherry Glied, Ph.D. 9/1/18 - 8/31/19 . Contributing to Health Reform: Analysis and Technical Assistance Grant, Year 6 | \$ 77,980 | \$116,969 |
| 10/26/2018 | Intermediate Grant | New York University 70 Washington Square South New York, NY 10012 | PC | 20192065 | Sherry Glied, Ph.D. 10/1/18 - 6/30/19 . Competition in Healthcare Markets: Status and Implications | \$ 56,998 | \$15,000 |
| 7/10/2018 | Board Grant – From Authorization | Nonprofit Coordinating Committee of New York, Inc. 135 W 36th St Fl 15 New York, NY 10018 | PC | 20181893g | Sharon Stapel, J.D. 1/1/19 - 12/31/19 . General Support | \$ 25,000 | \$0 |
| 11/27/2017 | Small Grant | North Carolina Institute of Medicine 630 Davis Drive Morrisville, NC 27560 | PC | 20181561 | Adam Zolotor 12/1/17 - 4/30/18. Legislative Health Policy Fellows and Resource Development Program | \$9,810.00 | \$0 |
| 12/20/2017 | Small Grant | Pacific Business Group on Health 221 Main St Ste 1500 San Francisco, CA 94105 | PC | 20181627 | Lauren Vela, M.B.A. 1/1/18 - 6/30/18. Value-based Pricing and Patient Access for Specialty Drugs | \$10,000.00 | \$0 |
| 7/11/2017 | Board Grant | Pacific Business Group on Health 221 Main St Ste 1500 San Francisco, CA 94105 | PC | 20171020 | Margie Powers 8/1/17 - 7/15/18 . Sustainable Payment Models to Support Effective Care Management Programs for High?Need, High?Cost Patients | \$ 30,071 | \$0 |
| 7/11/2017 | Board Grant | Pacific Business Group on Health 221 Main St Ste 1500 San Francisco, CA 94105 | PC | 20171043 | Lauren Vela, M.B.A. 8/1/17 - 8/15/18 . Formulary Project Design | | \$31,000 |
| 7/10/2018 | Board Grant – From Authorization | PEAK Grantmaking Inc. 1666 K St NW Ste 440 Washington, DC 20006 | PC | 20181893h | Michelle Greanias, M.B.A. 1/1/19 - 12/31/19 . General Support | \$ 3,000 | \$0 |

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| 11/8/2016 | Board Grant | Pear Tree Communications, Inc. 3035 Lincoln Blvd Cleveland Heights, OH 44118 | NC | 20170807 | Martha Hostetter, M.F.A. 1/1/17 - 12/31/17. Editorial Services in Support of Communications and Delivery System Reform | \$ 9,320 | \$18,871 |
| 11/14/2017 | Board Grant | Pear Tree Communications, Inc. 3035 Lincoln Blvd Cleveland Heights, OH 44118 | NC | 20181353 | Martha Hostetter, M.F.A. 1/1/18 - 12/31/18. Transforming Care | \$ 35,335 | \$753 |
| 11/13/2018 | Intermediate Grant | Pear Tree Communications, Inc. 3035 Lincoln Blvd Cleveland Heights, OH 44118 | NC | 20192114 | Martha Hostetter, M.F.A. Transforming Care | \$ 10,888 | \$24,974 |
| 7/10/2018 | Board Grant – From Authorization | Philanthropy New York Inc. 320 East 43rd Street New York, NY 10017 | PC | 20181893i | Ronna Brown, J.D. 1/1/19 - 12/31/19 . General Support | \$ 18,100 | \$0 |
| 1/17/2019 | Small Grant | Philanthropy New York Inc. 320 East 43rd Street New York, NY 10017 | PC | 20192250 | Ronna Brown, J.D. 1/1/19 - 12/31/19 . General Support | \$2,400.00 | \$0 |
| 12/20/2017 | Small Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20181629 | Elizabeth Seeley, Ph.D., M.S. 1/1/18 - 7/31/18. Pharmaceutical Benefit Managers and Their Role in the Pharmaceutical Distribution Chain | \$9,000.00 | \$0 |
| 1/16/2018 | Small Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20181476 | Robert Blendon, Sc.D. 2/1/18 - 7/31/18 . U.S. Presidential Legacies in Health | \$8,460.00 | \$0 |
| 2/20/2018 | Small Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20181690 | Robert Blendon, Sc.D. 3/1/18 - 5/31/18. Health Care in America: What do Americans Experience When They Need Health Care? Phase I | \$8,926.00 | \$0 |
| 7/13/2015 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20150600 | Joan Y. Reede, M.D., M.P.H., M.S., M.B.A. 7/1/16 - 6/30/17. Commonwealth Fund Mongan Fellowship in Minority Health Policy: Support for Program Direction and Fellowships, 2016–17 | \$ 24,214 | \$0 |
| 11/10/2015 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20150905 | Joseph Newhouse, Ph.D. 10/1/15 - 9/30/17. Comparing the Effects of Payment Reform in Medicare ACOs, Commercial ACOs, and Medicare Advantage | | \$51,124 |
| 4/12/2016 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20160555 | Ateev Mehrotra, M.D., M.P.H. 5/1/16 - 1/31/18. The Impact of Maryland's Hospital Global Budgets in Maryland on Utilization, Quality, and Spending | \$ 35,891 | \$0 |

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|--------------------|-----------------------------------|---|--------------------------------|-----------|---|-------------|--------------------------|
| 4/12/2016 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20160620 | Ashish Jha, M.D., M.P.H. 5/1/16 - 10/31/17. Understanding Who Becomes and Remains a High-Need, High-Cost Patient and the Association with Mental Illness, Phase 2 | | \$80,603 |
| 4/12/2016 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20160643 | Meredith Rosenthal, Ph.D. 5/1/16 - 10/31/17. Generic Drug Pricing: Actionable Research for Policy | \$ 60,728 | \$0 |
| 7/13/2016 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20160681 | Joan Y. Reede, M.D., M.P.H., M.S., M.B.A. 7/1/16 - 6/30/17. Commonwealth Fund Mongan Fellowship in Minority Health Policy: Support for Program Direction and Fellowships, 2017-18 | | \$100,000 |
| 7/13/2016 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20160683 | Michael Chernew, Ph.D. 8/1/16 - 10/31/17. Delivery Systems Serving Low-Income Populations | | \$35,849 |
| 11/8/2016 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20170817 | Arnold Epstein, M.D., M.A. 12/1/16 - 4/30/18. Understanding How Bundled Payment Is Changing Postacute Care | \$ 87,732 | \$0 |
| 4/11/2017 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20170969 | Richard G. Frank, Ph.D. 5/1/17 - 4/30/19. Analysis of Health Plan Competition in the Medicare Advantage Program | | \$69,471 |
| 4/11/2017 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20170973 | Archon Fung, Ph.D. 5/1/17 - 4/30/18. Empowering Patients Through Transparency and Technology | \$ 30,887 | \$0 |
| 7/11/2017 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20171021 | Joan Y. Reede, M.D., M.P.H., M.S., M.B.A. 7/1/18 - 6/30/19. Commonwealth Fund Mongan Fellowship in Minority Health Policy: Support for Program Direction and Fellowships, 2018-19 | \$ 650,000 | \$150,000 |
| 7/11/2017 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20171023 | Austin Frakt, Ph.D. 7/15/17 - 11/30/18. A National Analysis of the Extent and Value of Medicare Advantage Physician Networks | \$ 44,691 | \$0 |
| 7/11/2017 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20171081 | Benjamin Sommers, M.D., Ph.D. 10/1/17 - 9/30/18. Alternative Models of Medicaid Expansion: Assessing State Strategies in Indiana, Michigan, Ohio, and Kansas | \$ 349,355 | \$0 |
| 11/1/2017 | Board Grant -- From Authorization | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20160697c | Ashish Jha, M.D., M.P.H. 10/1/17 - 9/30/18. Updating Commonwealth Scorecard Measures with 2015 Medicare Data; Performance Metrics by High Need High Cost Segments | | \$13,544 |

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|--------------------|----------------------------------|---|--------------------------------|-----------|--|-------------|--------------------------|
| 11/14/2017 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20171084 | Ashish Jha, M.D., M.P.H. 1/1/18 - 6/30/19. Using Segmentation and Data Analytics to Improve Care for High?Need, High?Cost Patients in Accountable Care Organizations | \$ 158,000 | \$236,276 |
| 11/14/2017 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20181326 | Ashish Jha, M.D., M.P.H. 1/1/18 - 3/1/19. Managing High-Need, High-Cost Patients: An International Perspective | \$ 240,000 | \$24,514 |
| 11/14/2017 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20181350 | David Grabowski, Ph.D. 12/1/17 - 11/30/18. Evaluating the Economic and Clinical Impact of the Commonwealth Care Alliance Model for Disadvantaged Populations | | \$346,077 |
| 4/10/2018 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20181608 | Robert Blendon, Sc.D. 6/1/18 - 5/31/19 . Health Care in America: What do Americans Experience When They Need Health Care? | \$ 390,574 | \$95,820 |
| 4/26/2018 | Board Grant – From Authorization | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20181376a | Michael Chernew, Ph.D. 4/1/18 - 3/31/19 . Expanding Research on Geographic Variation in Healthcare Spending and Quality among the Commercially Insured | \$ 50,000 | \$53,216 |
| 7/10/2018 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20181746 | Joan Y. Reede, M.D., M.P.H., M.S., M.B.A. 7/1/19 - 6/30/20 . The Commonwealth Fund Fellowship in Minority Health Policy at Harvard: Support for Program Direction and Fellowships, 2019-20 | | \$800,000 |
| 7/10/2018 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20181870 | Arnold Epstein, M.D., M.A. 8/1/18 - 8/31/19 . Impact of the Bundled Payments for Care Improvement Initiative on Patient Outcomes and Health Care Costs, Phase 2 | \$ 122,000 | \$184,467 |
| 7/10/2018 | Board Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20181886 | Benjamin Sommers, M.D., Ph.D 10/1/18 - 9/30/19 . A Longitudinal Analysis of New Waiver Programs in Arkansas and Kentucky | \$ 240,000 | \$360,000 |
| 9/12/2018 | Intermediate Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20192051 | Richard G. Frank, Ph.D. 11/1/18 - 1/30/20 . Paying for Coverage of Long Term Services and Supports | \$ 60,000 | \$94,309 |
| 3/19/2019 | Small Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20192205 | Dr. Anna Sinaiko 4/1/19 - 1/31/20 . Leveraging the Lessons from Consumerism to Improve Demand for High-Value Healthcare | | \$49,966 |
| 6/2/2019 | Board Grant – From Authorization | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20192279a | Robert Blendon, Sc.D. 6/1/19 - 5/31/20 . Values Toward National Health Reform: A Survey of Underlying Beliefs | \$ - | \$487,718 |

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|--------------------|----------------------------------|---|--------------------------------|-----------|--|-------------|--------------------------|
| 5/26/2017 | Small Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20171116 | Katherine Swartz, Ph.D. 6/1/17 - 7/30/18. Tracing the Effects of Possible and Real Changes in Federal Funding of Medicaid on Beneficiaries and Health Care Provide | | \$9,628 |
| 5/26/2017 | Small Grant | President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138 | PC | 20171137 | Richard G. Frank, Ph.D. 6/1/17 - 10/31/17. Analysis of Proposed Medicaid Policy Changes on People with Mental Illness and Substance Abuse Disorders | \$5,892.75 | \$0 |
| 4/26/2018 | Small Grant | Project HOPE - The People-to-People Health Foundation, Inc. 7500 Old Georgetown Rd Ste 600 Bethesda, MD 20814 | PC | 20181878 | Alan R. Weil, J.D., M.P.P. 5/1/18 - 3/31/19 . Support for Health Affairs Strategic Plan | \$45,000.00 | \$5,000 |
| 3/16/2017 | Board Grant – From Authorization | Project HOPE - The People-to-People Health Foundation, Inc. 7500 Old Georgetown Rd Ste 600 Bethesda, MD 20814 | PC | 20160628e | Alan R. Weil, J.D., M.P.P. 3/15/17 - 12/31/17. Primers and Policy Options Papers on Prescription Drug Pricing and Spending | \$ 35,000 | \$0 |
| 4/14/2017 | Small Grant | Project HOPE - The People-to-People Health Foundation, Inc. 7500 Old Georgetown Rd Ste 600 Bethesda, MD 20814 | PC | 20171002 | Alan R. Weil, J.D., M.P.P. 5/1/17 - 11/30/17. Health Affairs Articles on Market Concentration | \$10,000.00 | \$0 |
| 4/10/2018 | Board Grant | Project HOPE - The People-to-People Health Foundation, Inc. 7500 Old Georgetown Rd Ste 600 Bethesda, MD 20814 | PC | 20181685 | Alan R. Weil, J.D., M.P.P. 5/1/18 - 4/30/19 . Dissemination of Timely, Trusted Policy Information and Evidence to Inform the Unfolding Health Reform Agenda, 2018-2019 | \$ 135,000 | \$0 |
| 4/5/2019 | Board Grant | Project HOPE - The People-to-People Health Foundation, Inc. 7500 Old Georgetown Rd Ste 600 Bethesda, MD 20814 | PC | 20192258 | Alan R. Weil, J.D., M.P.P. 5/1/19 - 4/30/20 . Setting the Agenda for the Next 10 Years of the Affordable Care Act | | \$375,000 |
| 4/5/2019 | Board Grant | Project HOPE - The People-to-People Health Foundation, Inc. 7500 Old Georgetown Rd Ste 600 Bethesda, MD 20814 | PC | 20192262 | Alan R. Weil, J.D., M.P.P. 5/1/19 - 4/30/20 . Dissemination of Timely, Trusted Policy Information and Evidence to Inform the Unfolding Health Reform Agenda, 2019-2020 | \$ 90,000 | \$135,000 |
| 4/26/2018 | Small Grant | Promoting Practical Health, Inc. 475 K St., N.W. Washington, D.C. 20001 | NC | 20181813 | Lisa Fitzpatrick, M.D., M.P.H. 5/1/18 - 7/31/18 . Exploring the Acceptability and Use of Digital Technology to Improve Patient Engagement and Delivery of Health Information | \$47,018.00 | \$0 |
| 6/6/2018 | Small Grant | Providence St. Joseph's and St. Michaels Healthcare 190 Elizabeth St. Toronto, Ontario M5G2C4 CANADA | NC | 20181908 | Wendy Levinson, M.D. 7/1/18 - 12/31/18 . Sharing Innovations of Choosing Wisely Campaigns Globally | \$32,000.00 | \$8,000 |
| 12/10/2018 | Intermediate Grant | Public Private Strategies, LLC 1305 Florida Ave NW Washington, DC 20009 | NC | 20192062 | Rhett Buttle, 12/1/18 - 6/30/19 . Support CMWF's Engagement with the Private Sector | \$ 158,000 | \$39,296 |

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|--------------------|--------------------|--|--------------------------------|-----------|---|-------------|--------------------------|
| 3/6/2017 | Board Grant | RAND Corporation PO Box 2138 1776 Main St Santa Monica, CA 90407 | PC | 20170989 | Christine Eibner 4/1/17 - 5/30/18. Modeling New Proposals to Repeal, Replace, or Modify the Affordable Care Act | | \$50,000 |
| 11/14/2017 | Board Grant | Rector & Visitors of the University of Virginia PO Box 801379 Charlottesville, VA 22908 | PC | 20181327 | Nengliang Yao, Ph.D., M.S. 1/1/18 - 12/31/19. Medicare Wellness Visits and Cancer Screenings in Rural America | \$ 60,000 | \$33,240 |
| 4/26/2018 | Small Grant | Regents of the University of California 1855 Folsom Stret, Suite 425, Box 0897 San Francisco, CA 94143 | PC | 20181856 | Aaron Neinstein, M.D. 5/15/18 - 2/15/19 . An Early Look at Patient-Facing APIs | | \$10,000 |
| 7/10/2018 | Board Grant | Regents of the University of California 11 Shields Ave Davis, CA 95616 | PC | 20181822 | Brent Fulton, Ph.D., 8/1/18 - 1/31/20 . Examination of Accountable Care Organizations and Hospitals in Rural Areas | \$ 82,200 | \$123,300 |
| 11/14/2017 | Board Grant | Regents of the University of California 1855 Folsom Stret, Suite 425, Box 0897 San Francisco, CA 94143 | PC | 20181338 | Laura Gottlieb, M.D., M.P.H. 1/1/18 - 3/31/19. Evidence on Screening for Social and Economic Needs in Health Care | \$ 157,175 | \$0 |
| 4/10/2018 | Board Grant | Regents of the University of California 1855 Folsom Stret, Suite 425, Box 0897 San Francisco, CA 94143 | PC | 20181504 | Julia Adler-Milstein, Ph.D. 5/1/18 - 4/30/20 . Advancing Our Understanding of the IT-Enabled Consumer | | \$238,670 |
| 4/11/2017 | Board Grant | Regents of the University of California 50 University Hall, MC 7360 Berkeley, CA | PC | 20170976 | Richard Scheffler, Ph.D., M.A. 5/1/17 - 4/30/18. Impact of Health Care Market Concentration on Insurance Premiums: Evidence from the Health Insurance Marketplaces, Phase 2 | | \$38,356 |
| 7/10/2018 | Board Grant | Regents of the University of California 50 University Hall, MC 7360 Berkeley, CA | PC | 20181796 | Richard Scheffler, Ph.D., M.A. 8/1/18 - 7/31/19. Impact of Hospital-Owned Physician Organizations on Health Care Prices, Premiums, Quality, and Costs | \$ 132,000 | \$197,507 |
| 11/13/2018 | Board Grant | Regents of the University of California 50 University Hall, MC 7360 Berkeley, CA | PC | 20181681 | Amanda Brewster 6/1/18 - 6/30/19. Linking Health Care and Social Services for Older Adults: Area Agencies on Aging as Key Partners | \$ 54,221 | \$21,441 |
| 4/21/2019 | Intermediate Grant | Regents of the University of California 995 Potrero Ave San Francisco, CA 94143 | PC | 20192253 | Matthew Pantell, M.D., M.S. 5/1/19 - 5/31/20 . Interventions to Address Social Isolation and Loneliness to Improve Health: What are Countries Doing? | \$ 75,000 | \$96,631 |
| 4/11/2017 | Board Grant | Regents of the University of California, Los Angeles 11301 Wilshire Blvd. Los Angeles, CA 90073 | PC | 20170955 | David Reuben, M.D. 5/1/17 - 4/30/19. UCLA Alzheimer's and Dementia Care Program: Cost Analysis and Support Guide | | \$73,000 |

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| 5/26/2017 | Small Grant | Regents of the University of Michigan 3003 S State St 1020 Ann Arbor, MI 48109 | PC | 20171044 | Julia Adler-Milstein, Ph.D. 6/1/17 - 5/31/18. An Early Look at Patient Generated Health Data Capabilities | \$9,429.00 | \$0 |
| 4/11/2017 | Board Grant | Regents of the University of Michigan 3003 S State St 1020 Ann Arbor, MI 48109 | PC | 20170964 | Julia Adler-Milstein, Ph.D. 5/1/17 - 4/30/18. Assessing the Impact of Medicare Advantage on High-Cost, High-Need Beneficiaries and Exploring Interventions to Improve Outcomes | \$ 29,122 | \$0 |
| 11/14/2017 | Board Grant | Research Foundation for Mental Hygiene, Inc. 722 W 168th St New York, NY 10032 | PC | 20181339 | Harold Pincus, M.D. 1/1/18 - 6/30/19. Advancing Implementation of Primary Care and Behavioral Health Service Integration for Patients with Complex Care Needs | \$ 107,000 | \$55,554 |
| 11/14/2017 | Board Grant | Research Triangle Institute PO Box 12194 Research Triangle Park, NC 27709-2194 | PC | 20171004 | Sara Karon 12/1/17 - 5/31/19. Identifying Opportunities for Expanding the PACE Model to High-Need, High-Cost Populations | \$ 150,000 | \$77,870 |
| 7/11/2017 | Board Grant | Rockefeller Archive Center 15 Dayton Ave Sleepy Hollow, NY 10591-1598 | POF | 20171048 | Lee Hiltzik, Ph.D. Transfer and Maintenance of The Commonwealth Fund's Archives, Year 22 | \$ 46,750 | \$217 |
| 7/10/2018 | Intermediate Grant | Rockefeller Archive Center 15 Dayton Ave Sleepy Hollow, NY 10591-1598 | POF | 20181896 | Robert Clark 7/11/18 - 6/30/19 . Transfer and Maintenance of The Commonwealth Fund's Archives, Year 23 | \$ 76,500 | \$8,500 |
| 7/10/2018 | Board Grant -- From Authorization | Roper Center for Public Opinion Research, Inc. 136 HOY ROAD RHODES HALL NO 651 ITHACA, NY 14853 | PC | 20181893 | Kathleen Weldon 1/1/19 - 12/31/19 . General Support | \$ 3,000 | \$0 |
| 4/14/2015 | Board Grant | Rush University Medical Center 1700 W Van Buren St Chicago, IL 60612 | PC | 20150052 | Robyn Golden, L.C.S.W., 5/1/15 - 4/30/17. Addressing Nonmedical Influences on Health to Transform the Medical Model | \$ 70,000 | \$0 |
| 1/17/2019 | Small Grant | Scientific Institute for Quality of Healthcare Raboud University Nijmegen Medical Centre P.O. Box 9101 114 Nijmegen, 6500 HB | NC | 20192239 | Philip van der Wees, Ph.D., 1/16/19 - 12/31/19 . Expansion of 2019 Commonwealth Fund International Health Policy Survey to Include the Netherlands | \$76,314.00 | (\$36,058) |
| 5/26/2017 | Small Grant | Small Business Majority Foundation Inc. 4000 Bridgeway, Suite 101 Sausalito, CA 94965 | PC | 20170987 | David Chase, 6/1/17 - 12/31/17. The Impact of the Affordable Care Act on Small Business | \$6,000.00 | \$0 |
| 8/14/2017 | Small Grant | Society of American Business Editors and Writers 555 North Central Ave, Suite 406 E Phoenix, AZ 85004-1248 | PC | 20181259 | Kathleen Graham, 9/1/17 - 7/31/18. Health Care Training for Business Journalists, 2017 | \$9,036.00 | \$0 |

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| 1/3/2019 | Intermediate Grant | Society of American Business Editors and Writers 555 North Central Ave, Suite 406 E Phoenix, AZ 85004-1248 | PC | 20192209 | Kathleen Graham, 12/15/18 - 10/31/19 . Health Care Training for Business Journalists, 2018 | \$ 44,700 | \$11,282 |
| 4/26/2018 | Board Grant -- From Authorization | Special Needs Plan Alliance, Inc. 750 9th St NW, Suite 650 Washington, D.C. 20001 | PC | 20181277d | Cheryl Phillips, M.D., A.G.S.F 5/1/18 - 12/31/18 . Defining Parameters for Two Evidence-Based Program Pilots: Focusing on Special Needs Population Cohorts | \$ 3,709 | \$0 |
| 11/13/2018 | Board Grant | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | NC | 20192109 | Robyn Rapoport, M.A. 11/15/18 - 10/31/19 . Affordable Care Act Tracking Survey, 2019 | \$ 539,894 | \$134,972 |
| 12/20/2018 | Intermediate Grant | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | NC | 20192210 | Robyn Rapoport, M.A. 12/30/18 - 11/30/19 . Oversample of U.S. Primary Care Physicians Serving Low-Income Areas in the 2019 International Health Policy Survey | \$ 45,160 | \$11,290 |
| 4/5/2019 | Board Grant | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | NC | 20192298 | Robyn Rapoport, M.A. 5/1/19 - 11/30/20 . International Health Policy Survey, 2020 | | \$579,584 |
| 4/11/2017 | Board Grant | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | NC | 20170962 | Robyn Rapoport, M.A. 4/15/17 - 4/14/18. Survey of Federally Qualified Health Centers, 2017 | \$ 44,203 | \$2,004 |
| 7/11/2017 | Board Grant | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | NC | 20171079 | Robyn Rapoport, M.A. Affordable Care Act Tracking Survey, 2018 | \$ 105,968 | \$0 |
| 4/10/2018 | Board Grant | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | NC | 20181496 | Robyn Rapoport, M.A. 4/15/18 - 6/30/18 . Learning About the Challenges Smaller Independent Practices Face In Delivering Primary Care to Low-Income Patients | \$ 9,357 | \$0 |
| 4/10/2018 | Board Grant | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | NC | 20181677 | Robyn Rapoport, M.A. 5/1/18 - 11/30/19 . International Health Policy Survey, 2019 | \$ 215,550 | \$107,777 |
| 4/10/2018 | Board Grant | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | NC | 20181774 | Robyn Rapoport, M.A. 5/1/18 - 12/31/18 . The Commonwealth Fund 2018 Biennial Health Insurance Survey | \$ 375,831 | \$0 |
| 7/10/2018 | Board Grant -- From Authorization | Technology Affinity Group, Inc. 23 Briar Rd Wayne, PA 19087 | PC | 20181893k | Chantal Forster, 1/1/19 - 12/31/19 . General Support | \$ 1,000 | \$0 |

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| 11/14/2017 | Board Grant | The Aspen Institute Inc. 1 Dupont Cir NW Ste 700 Washington, DC 20036 | PC | 20181346 | Ruth Katz, J.D. 1/1/18 - 6/30/18. Spotlight Health 2018 | \$ 25,000 | \$0 |
| 1/3/2019 | Intermedlate Grant | The Aspen Institute Inc. 1 Dupont Cir NW Ste 700 Washington, DC 20036 | PC | 20192077 | Ruth Katz, J.D. 1/2/19 - 6/30/19 . Aspen Spotlight Health Sponsorship and Policy Scholars Package 2019 | \$ 136,000 | \$34,000 |
| 11/14/2017 | Board Grant | The Board of Trustees of the Leland Stanford Junior University 450 Serra Mall Stanford, CA 94305-2130 | PC | 20181557 | Sara Singer, Ph.D., M.B.A. 8/1/17 - 6/30/18. Distinguishing High- and Low- Performing Physician Organizations Based on Patient Perceptions of Care Integration, Phase | \$ 18,198 | \$292 |
| 8/2/2017 | Board Grant – From Authorization | The Board of Trustees of the Leland Stanford Junior University 450 Serra Mall Stanford, CA 94305-2130 | PC | 20160697b | Sara Singer, Ph.D., M.B.A. 8/1/17 - 7/31/18. Analysis of Patient Perceptions of Integrated Care in the Medicare Current Beneficiary Survey | | \$15,781 |
| 11/13/2018 | Board Grant | The Board of Trustees of the Leland Stanford Junior University 450 Serra Mall Stanford, CA 94305-2130 | PC | 20192048 | Sara Singer, Ph.D., M.B.A. 11/15/18 - 11/14/19 . Identifying Attributes of High-Performing Primary Care Practices Serving Different Subgroups of High- Need Patients, 2019–20 | \$ 120,000 | \$185,144 |
| 4/10/2018 | Board Grant | The Century Foundation Inc. 1 Whitehall Street, 15th Floor New York, NY 10004 | PC | 20181773 | Jeanne Lambrew, Ph.D. 4/11/18 - 12/31/18 . Getting Ready for Health Reform 2020 | \$ 81,000 | \$0 |
| 3/23/2018 | Small Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20181709 | Robin Osborn, M.B.A. 4/1/18 - 8/31/18 . Support for Data Analysis for International Comparisons of Managing HNHC Patient Care | \$17,000.00 | \$0 |
| 6/30/2018 | Small Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20181932 | Donald Moulds, Ph.D. Commonwealth Fund Program Advisory Funds, 2018-19 | \$8,811.59 | \$41,188 |
| 6/30/2018 | Small Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20181940 | Kathleen Regan 2018-19 Small Grants for Galas and General Operating Support | | \$1,000 |
| 6/30/2018 | Small Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20181949 | Kathleen Regan Authorization for Additional Funding: Commonwealth Fund Centennial Planning | \$34,192.21 | \$0 |
| 5/22/2015 | Small Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20150567 | Donald Moulds. 6/1/15- 5/31/16. Advisory Group Funds | | \$4,266 |

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| Appropriation Date | Fund | Institution | Foundation Status of Recipient | Grant No. | Project Director and Description | Amount Paid | Ending Balance @ 6-30-19 |
|--------------------|-------------|--|--------------------------------|-----------|---|----------------|--------------------------|
| 4/25/2017 | Small Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20171005 | Michelle Doty, Ph.D. 4/15/17 - 4/14/18. 2017 - 2018 Authorization to Support Commonwealth Fund Stakeholder Data | \$7,100.00 | \$7,241 |
| 4/14/2015 | Board Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20150496 | Robin Osborn, 4/1/15 - 10/14/17. Harkness Fellowships in Health Care Policy and Practice, 2016-17 | \$54,054.00 | (\$52,635) |
| | Board Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | none | Harkness Fellowships in Health Care Policy and Practice - Reauthorization | | \$1,599,089 |
| 5/26/2017 | Small Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20171107 | Eric Schneider, M.D, M.Sc. 7/1/17 - 6/30/18. Council of Economic Advisors Group Funds | \$5,027.70 | \$4,035 |
| 6/22/2017 | Small Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20171121 | Donald Moulds, Ph.D. 7/1/17 - 6/30/18. Commonwealth Fund Program Advisory Funds | \$21,796.00 | \$7,389 |
| 4/12/2016 | Board Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20160623 | Robin Osborn, M.B.A., 4/15/16 - 10/14/18. Harkness Fellowships in Health Care Policy and Practice, 2017-18 | \$81,926.00 | (\$821,122) |
| 7/11/2016 | Board Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20160649 | Robin Osborn, M.B.A., 8/1/16 - 12/31/17. International Symposium on Health Care Policy, Fall 2017 | \$2,056.22 | \$27,162 |
| 4/11/2017 | Board grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20170952 | Robin Osborn, M.B.A. 4/15/17 - 10/14/19. Harkness Fellowships in Health Care Policy and Practice, 2018-19 | \$1,883,522.90 | (\$265,261) |
| 7/11/2017 | Board Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20171075 | Robin Osborn, M.B.A. 8/1/17 - 12/31/18. International Symposium on Health Care Policy, Fall 2018 | \$441,590.88 | \$1,091 |
| 4/10/2018 | Board Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20181212 | Robin Osborn, M.B.A. 4/15/18 - 10/14/20 . Harkness Fellowships in Health Care Policy and Practice, 2019-20 | \$174,708.00 | \$1,275,271 |
| 11/8/2016 | Board Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20170799 | Robin Osborn 12/1/16 - 8/31/17. Israel- United States Meeting on Caring for High Need/High Cost Patients 2017 | \$ (393) | \$0 |

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| Appropriation Date | Fund | Institution | Foundation Status of Recipient | Grant No. | Project Director and Description | Amount Paid | Ending Balance @ 6-30-19 |
|--------------------|----------------------------------|--|--------------------------------|-----------|---|-------------|--------------------------|
| 7/11/2017 | Board Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20171076 | Robin Osborn, M.B.A., Harkness Fellowship 20th Anniversary Event, 2018 | \$ 166,201 | \$50,407 |
| 11/14/2017 | Board Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20181277 | Tanya Shah, M.B.A., M.P.H 1/1/18 - 12/31/18. Playbook Phase 3 Authorization: Activities to Improve Care for High-Need, High-Cost Patients | | \$7,117 |
| 11/14/2017 | Board Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20181376 | David Radley, Ph.D., M.P.H. 1/1/18 - 12/31/18. Authorization to Support Acquisition of Scorecard Data from External Sources, 2017-18 | | \$86,010 |
| 7/10/2018 | Intermediate Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20181902 | Michelle Doty, Ph.D. 7/15/18 - 7/14/19 . Authorization to Support Program Evaluation | \$ 11,220 | \$88,780 |
| 4/5/2019 | Board Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20192279 | Eric Schneider, M.D, M.Sc. 4/15/19 - 4/14/20 . Authorization, Health Care In America Year 2 | | \$ 12,283 |
| 4/5/2019 | Board Grant | The Commonwealth Fund 1 E 75th St New York, NY 10021 | PF | 20192104 | Robin Osborn, M.B.A. 4/15/19 - 10/14/21 . Harkness Fellowships in Health Care Policy and Practice, 2020-21 | \$21,686.13 | \$1,228,556 |
| 7/10/2018 | Board Grant – From Authorization | The Communications Network 1717 North Naper Boulevard Suite 102 Naperville, IL 60563 | PC | 20181893b | Sean Gibbons, 1/1/19 - 12/31/19 . General Support | \$ 3,500 | \$0 |
| 1/17/2019 | Small Grant | The Foundation for Research on Equal Opportunity 3267 Bee Caves Road Austin, TX 78746-6773 | PC | 20192231 | Avik Roy, 2/1/19 -4/30/19. Making Biologic Medicines More Affordable | \$40,000.00 | \$10,000 |
| 10/25/2017 | Small Grant | The Governing Council of the University of Toronto 563 Spadina Crescent Toronto, ON M5S 2J7 | PC | 20181367 | Geoffrey Anderson, M.D., Ph.D. 11/1/17 - 3/30/18. Program Delivery For High-Needs High-Cost Populations: International Perspectives On The Way Forward, Phase I | \$5,426.06 | (\$0) |
| 4/10/2018 | Board Grant | The Governing Council of the University of Toronto 563 Spadina Crescent Toronto, ON M5S 2J7 | PC | 20181368 | Geoffrey Anderson, M.D., Ph.D. 5/1/18 - 4/30/19 . Health Care Delivery for High-Need, High-Cost Populations: International Perspectives on the Way Forward, Phase 2 | \$ 199,940 | \$49,990 |
| 1/17/2019 | Small Grant | Trustees of Columbia University In the City of New York 630 West 168th Street New York, NY 10027 | PC | 20192248 | Debbie Bush, 8th Annual HPM Healthcare Conference 2019 | \$7,500.00 | \$0 |

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| Appropriation Date | Fund | Institution | Foundation Status of Recipient | Grant No. | Project Director and Description | Amount Paid | Ending Balance @ 6-30-19 |
|--------------------|--------------------|--|--------------------------------|-----------|---|-------------|--------------------------|
| 7/11/2017 | Board Grant | Trustees of Dartmouth College 11 Rope Ferry Road, #6210 Hanover, NH 03755 | PC | 20171072 | Ellen Meara, Ph.D. 9/1/17 - 2/28/19. Understanding the Management of Patients with Complex Needs in ACOs | \$ 65,000 | \$30,235 |
| 7/13/2015 | Board Grant | Trustees of the University of Pennsylvania 3451 Walnut Street Philadelphia, PA 19104 | PC | 20150753 | Amol Navathe, M.D., Ph.D. 8/1/15 - 6/30/17. Using Behavioral Economics to Create Effective Provider Incentives, Phase 2 | \$ 28,328 | \$0 |
| 11/8/2016 | Board Grant | Trustees of the University of Pennsylvania 3451 Walnut Street Philadelphia, PA 19104 | PC | 20170816 | Amol Navathe, M.D., Ph.D. 1/1/17 - 6/30/18. Evaluating the Impact of the Bundled Payment for Care Improvement (BPCI) on the Value of Care | \$ 191,313 | \$0 |
| 8/3/2016 | Small Grant | Trustees of the University of Pennsylvania 3451 Walnut Street Philadelphia, PA 19104 | PC | 20160714 | Amol Navathe, M.D., Ph.D. 8/1/16 - 4/30/17. Evaluating the Impact of Medicare's Joint Replacement Bundled Payment Program on the Cost and Quality of Care | \$9,642.00 | \$0 |
| 10/26/2018 | Intermediate Grant | Trustees of the University of Pennsylvania 3451 Walnut Street Philadelphia, PA 19104 | PC | 20181913 | Amol Navathe, M.D., Ph.D. 11/1/18 - 8/31/19 . Examining the Effects of Overlap Between ACOs and Bundled Payments | \$ 70,000 | \$19,881 |
| 11/13/2018 | Board Grant | Trustees of the University of Pennsylvania 3451 Walnut Street Philadelphia, PA 19104 | PC | 20191985 | Shreya Kangovi, M.D., M.S.H.P. 2/1/19 - 7/31/20 . Using Digital Health, Financial Incentives, and Community Health Worker Support to Change Health Behavior in Vulnerable Populations | \$ 127,000 | \$195,865 |
| 6/30/2018 | Small Grant | United Hospital Fund of New York 1411 Broadway Ave Fl 12 New York, NY 10018 | PC | 20181956 | Tony Shih, M.D. 2018 United Hospital Fund Gala and Medicaid Conference | \$27,500.00 | \$0 |
| 11/14/2018 | Small Grant | United Hospital Fund of New York 1411 Broadway Ave Fl 12 New York, NY 10018 | PC | 20191996 | Suzanne Brundage, M.Sc. 11/15/18 - 5/14/19 . Medicaid Value-Based Payment for Children: Lessons from the Empire State | \$40,000.00 | \$10,000 |
| 3/19/2019 | Small Grant | United Hospital Fund of New York 1411 Broadway Ave Fl 12 New York, NY 10018 | PC | 20192314 | Anthony Shih, M.D., M.P.H. Tribute to Excellence in Health Care: Recognizing Quality Improvement Champions | \$3,000.00 | \$0 |
| 6/18/2019 | Small Grant | United Hospital Fund of New York 1411 Broadway Ave Fl 12 New York, NY 10018 | PC | 20192382 | Sally Rogers, 2019 Medicaid Conference and Gala Supprt | | \$25,000 |
| 12/20/2018 | Intermediate Grant | United States of Care Campaign PO BOX 32025 Washington, D.C. 20007 | PC | 20192218 | Emily Barson, 12/17/18 - 6/30/19 . Developing Medicaid Buy-In Resources and Partnerships | \$ 108,456 | \$27,113 |

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|--------------------|-------------|---|--------------------------------|-----------|--|-------------|--------------------------|
| 7/13/2015 | Board Grant | University of California 11 Shields Ave Davis, CA 95616 | PC | 20150692 | Christine Ritchie, M.D., M.S.P.H. 9/1/15 - 2/28/17. Optimizing Quality in Home-Based Primary Care, Phase 3 | \$ 69,921 | \$0 |
| 4/11/2017 | Board Grant | University of Chicago 5801 S Ellis Ave Chicago, IL 60637 | PC | 20170959 | Rena Conti 5/1/17 - 11/30/18. Measuring the Impact and Size of Hospital Participation in the 340B Drug Discount Program | \$ 50,704 | \$0 |
| 11/8/2016 | Board Grant | University of Maryland 2387 SPH Bldg. Valley Drive College Park, MD 20742 | PC | 20181556 | Eva DuGoff, Ph.D., M.P.P. 9/1/17 - 6/30/18 . Understanding the High Need Populations In Medicare Advantage: Evidence to Improve Quality of Care | \$ 19,809 | \$0 |
| 1/17/2019 | Small Grant | University of Maryland 2387 SPH Bldg. Valley Drive College Park, MD 20742 | PC | 20192230 | Eva DuGoff, Ph.D., M.P.P. Benchmarking Medicare Advantage Benefit Design | \$36,762.00 | \$9,191 |
| 4/14/2015 | Board Grant | University of Pittsburgh 5023 Forbes Tower Pittsburgh, PA 15260 | PC | 20150380 | Yuting Zhang, Ph.D. 5/1/15 - 4/30/17. Evaluating the Effects of the Medicare Pioneer ACO Model on Prescription Drug Use by Complex Medicare Beneficiaries | \$ 56,308 | \$0 |
| 11/13/2018 | Small Grant | University of Pittsburgh 5023 Forbes Tower Pittsburgh, PA 15260 | PC | 20191988 | Eric Roberts, Ph.D. 12/1/18 - 11/30/19 . Analysis of State Payment Policies for the Qualified Medicare Beneficiary Program | \$20,000.00 | \$5,000 |
| 3/23/2018 | Small Grant | University of Southern California 3335 S. Figueroa St, Unit G Los Angeles, CA 90007 | PC | 20181752 | Michelle Levander 4/1/18 - 3/31/19 . Online Health Journalism Education Initiative | | \$8,951 |
| 5/15/2019 | Small Grant | University of Southern California 3335 S. Figueroa St, Unit G Los Angeles, CA 90007 | PC | 20192363 | Michelle Levander 6/1/19 - 5/31/20 . Online Health Journalism Education Initiative, 2019 | | \$49,988 |
| 6/26/2015 | Small Grant | University of Texas at Austin 1515 Holcombe Blvd Box 536 Houston, TX 77030 | GOV | 20150799 | William Sage, M.D., J.D. 9/1/15 - 8/31/16. Preparing State Health Insurance Markets for Provider Competition to Deliver Assembled Products with Warranties | | \$10,000 |
| 3/23/2018 | Small Grant | Urban Institute 2100 M St NW Washington, DC 20037 | PC | 20181754 | Linda Blumberg, Ph.D. 4/1/18 - 8/31/18 . Individual Mandate Analysis at State Level | \$10,000.00 | \$0 |
| 6/22/2017 | Small Grant | Urban Institute 2100 M St NW Washington, DC 20037 | PC | 20171145 | Robert Berenson, M.D. 6/15/17 - 10/15/17. International Speakers' Travel for Washington DC Medicare Physician Fee Schedule Meeting | | \$14,400 |

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|--------------------|--------------------|--|--------------------------------|-----------|--|-------------|--------------------------|
| 4/13/2016 | Small Grant | Urban Institute 2100 M St NW Washington, DC 20037 | PC | 20160686 | Stan Dorn, J.D. TK - HCCA Small Grant Proposal | \$8,056.00 | \$0 |
| 4/11/2017 | Board Grant | Urban Institute 2100 M St NW Washington, DC 20037 | PC | 20170975 | Robert Berenson, M.D. 4/15/17 - 3/1/18. Understanding the Status and Role of the Medicare Physician Fee Schedule in Value-Based Care | \$ 42,529 | \$0 |
| 7/10/2018 | Board Grant | Urban Institute 2100 M St NW Washington, DC 20037 | PC | 20181897 | Linda Blumberg, Ph.D. 8/1/18 - 7/31/19 . Analyzing the New Health Reform Proposals: From Incremental Improvements to Single Payer | \$ 184,126 | \$276,189 |
| 3/25/2019 | Intermediate Grant | Urban Institute 2100 M St NW Washington, DC 20037 | PC | 20192282 | Robert Berenson, M.D. 4/1/19 - 9/30/19 . State-based Approaches to Address Consolidation and Rising Prices | | \$95,000 |
| 6/18/2019 | Small Grant | Urban Institute 2100 M St NW Washington, DC 20037 | PC | 20192387 | Melissa Favreault, Ph.D., 7/1/19 - 6/30/20 . Long-Term Services and Supports: Projections to Support Single-Payer Analyses | | \$35,000 |
| 6/22/2019 | Intermediate Grant | Urban Institute 2100 M St NW Washington, DC 20037 | PC | 20192355 | Fredric Blavin, Ph.D. 7/1/19 - 6/30/20 . Examining the Effects of Medicaid Section 1115 Demonstration Waivers of Retroactive Eligibility | | \$199,980 |
| 7/11/2017 | Board Grant | Vanderbilt University Medical Center 1161 21st Ave S Nashville, TN 37232-2101 | PC | 20171024 | Melinda Buntin, Ph.D. 8/1/17 - 10/31/18. Chronic Conditions and Acute Episode-Based Spending in Medicare | \$ 181,039 | \$44 |
| 7/10/2018 | Board Grant | Vanderbilt University Medical Center 1161 21st Ave S Nashville, TN 37232-2101 | PC | 20181785 | Stacie Dusetzina, Ph.D., 9/1/18 - 8/31/20 . Identifying Cost and Coverage Barriers to Medicare Beneficiary Access to Specialty Drugs | \$ 110,063 | \$165,095 |
| 7/13/2016 | Board Grant | Virginia Commonwealth University PO Box 842512 Richmond, VA 23284 | GOV | 20160688 | Peter Cunningham, Ph.D. 8/1/16 - 7/31/17. Characterizing the Health Needs of Low-Income Populations | \$ 35,000 | \$0 |
| 4/5/2019 | Board Grant | Vox Media 1201 Connecticut Avenue NW Washington, DC 20036 | NC | 20192288 | Kate Dailey, 7/15/19 - 3/31/20 . Media Partnership to Examine International Models of Universal Health Coverage | | \$198,000 |
| 7/11/2017 | Board Grant | Wake Forest University Health Sciences Medical Center Blvd. Winston-Salem, NC 27157-1066 | PC | 20171082 | Mark Hall, J.D. 11/1/17 - 10/31/18. Using Premium Rate and Medical Loss Ratio Data to Assess Insurers? Financial Performance, Round 6 | \$ 70,000 | \$42,006 |

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|--------------------|--------------------|--|--------------------------------|-----------|--|-------------|--------------------------|
| 11/13/2018 | Intermediate Grant | Wake Forest University Health Sciences Medical Center Blvd. Winston-Salem, NC 27157-1066 | PC | 20192108 | Mark Hall, J.D. 12/1/18 - 9/30/19 . Assessing Health Insurers Using Medical Loss Ratio Data, Round 7 | \$ 32,028 | \$48,041 |
| 6/26/2015 | Small Grant | Wakely Consulting Group 17757 Us Highway 19N, Suite 310 Clearwater, FL 33764 | NC | 20150833 | Julia Lerche, F.S.A., M.A.A.A., M.S.P.H. 10/1/15 - 9/30/16. Actuarial Support Under Two Board Grants | \$13,005.00 | \$6,643 |
| 11/14/2017 | Board Grant | Waxman Consulting, Inc. 1900 M Street, NW 3rd Floor Washington, DC 20036 | NC | 20181359 | William Corr, J.D. 11/15/17 - 7/14/18. Generating and Disseminating Evidence on the Problems and Drivers of High Prescription Drug Pricing | \$ 32,050 | \$0 |
| 7/10/2018 | Board Grant | Waxman Consulting, Inc. 1900 M Street, NW 3rd Floor Washington, DC 20036 | NC | 20181795 | William Corr, J.D. 8/1/18 - 7/31/19 . Lowering Prescription Drug Prices: Moving the Ball Forward | \$ 212,800 | \$53,200 |
| 11/14/2017 | Board Grant | Westat, Inc. 1600 Research Blvd Rockville, MD 20850-3129 | NC | 20181375 | David Radley, Ph.D., M.P.H. 1/1/18 - 12/31/18. Support for Health System Performance Tracking: Analysis and Reports | \$ 140,000 | \$71,414 |
| 11/13/2018 | Board Grant | Westat, Inc. 1600 Research Blvd Rockville, MD 20850-3129 | NC | 20192091 | David Radley, Ph.D., M.P.H. 1/1/19 - 12/31/19 . Support for a Research Unit to Update the Health System Scorecards and Analyze Variations in Health System Performance | \$ 141,744 | \$212,618 |
| 7/11/2017 | Board Grant | Wynne Health Group, LLC 300 New Jersey Ave NW, Suite 900 Washington, DC 20001 | NC | 20181751 | William Wynne, J.D. Tracking and Targeted Analysis of Medicare and Health Care Cost Policy Development, Phase 2 | \$ 15,000 | \$0 |
| 7/10/2018 | Intermediate Grant | Wynne Health Group, LLC 300 New Jersey Ave NW, Suite 900 Washington, DC 20001 | NC | 20181825 | William Wynne, J.D. 8/1/18 - 7/31/19 . Tracking and Targeted Analysis of Medicare and Health Care Cost Policy Development | \$ 72,000 | \$18,000 |
| 6/2/2019 | Intermediate Grant | Wynne Health Group, LLC 300 New Jersey Ave NW, Suite 900 Washington, DC 20001 | NC | 20192344 | William Wynne, J.D. 8/1/19 - 7/31/20 . Tracking and Targeted Analysis of Medicare and Health Care Cost Policy Development | | \$98,000 |
| 7/11/2017 | Board Grant | X4 Health, Inc. 18548 Clovercrest Circle Olney, MD 20832 | NC | 20171038 | Christine Bechtel, M.A. 9/1/17 - 8/31/18. Developing a Standardized Request and Authorization Protocol for Electronic Patient Records | \$ 14,510 | \$0 |
| 2/21/2017 | Small Grant | Yale University PO Box 2038 New Haven, CT 06520 | PC | 20170762 | Amy Davidoff, Ph.D. 2/1/17 - 9/30/17. Lack of Integration Between Medical and Prescription Drug Benefits within Medicare | \$9,181.00 | \$0 |

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|--------------------|-------------|---|--------------------------------|-----------|---|---------------|--------------------------|
| 7/24/2014 | Board Grant | Yale University PO Box 2038 New Haven, CT 06520 | PC | 20150031 | Zach Cooper, Ph.D. 9/1/14 - 8/31/15. Tracking Private and Medicare Costs by Clinical Condition | \$ 30,968 | \$0 |
| 11/8/2016 | Board Grant | Yale University PO Box 2038 New Haven, CT 06520 | PC | 20160724 | Amanda Brewster, Ph.D., 1/1/17 - 6/30/18. Partnerships and Performance: The Role of Health Care and Social Service Networks in Reducing Utilization and Costs for Older Adults with Complex Needs | \$ 55,956 | \$0 |
| 7/11/2017 | Board Grant | Yale University PO Box 2038 New Haven, CT 06520 | PC | 20171051 | Zack Cooper, Ph.D. 9/1/17 - 8/31/19. Understanding How Vertical Integration Influences Physician Behavior | \$ 90,330 | \$ 45,164 |
| 6/21/2018 | Board Grant | Yale University PO Box 2038 New Haven, CT 06520 | PC | 20181748 | David Bach, Ph.D., M.A. 7/1/18 - 6/30/19 . The Commonwealth Fund Fellowship in Minority Health Policy at Yale: Support for Program Planning and Development, 2018-19 | \$ 90,000 | \$10,000 |
| | | | | | Total | \$ 19,822,477 | \$ 17,825,671 |
| | | | | | Matching Gifts (attached) | \$ 547,426 | |
| | | | | | Total | \$ 20,369,903 | \$ 17,825,671 |

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|---------------|------------|---|--------------------------------|----------------|-----------------|-------------|
| 1/29/2019 | 2/25/2019 | A2S-Access to Success 209 Delburg Street, Suite 101 PO Box 154 Davidson NC 28036 | PC | General | MG2019-1183 | \$600.00 |
| 12/18/2018 | 1/14/2019 | Adopt A Horse Inc. 10 Macon Street Monticello GA 31064 | PC | General | MG2019-1115 | \$150.00 |
| 9/14/2018 | 11/5/2018 | Advancement Project 1220 L Street NW, Suite 850 Washington DC 20005 | PC | General | MG2018-1030 | \$3,750.00 |
| 10/4/2018 | 12/3/2018 | Alzheimer's Disease and Related Disorders Association, Inc. 360 N Michigan Ave Ste 601 Chicago IL 60601 | PC | General | MG2018-1049 | \$360.00 |
| 12/1/2018 | 1/2/2019 | America Press 106 W 56th St New York NY 10019 | PC | General | MG2018-1088 | \$750.00 |
| 11/27/2018 | 12/17/2018 | America SCORES 520 Eighth Avenue, 201C New York NY 10018 | PC | General | MG2018-1066 | \$156.00 |
| 12/26/2018 | 2/25/2019 | American Red Cross PO Box 37295 Washington DC 20013 | PC | General | MG2019-1181 | \$750.00 |
| 6/25/2018 | 5/6/2019 | Amherst College Trustees PO Box 5000 Amherst MA 01002-5000 | PC | General | MG2019-1207 | \$300.00 |
| 11/14/2018 | 1/28/2019 | Andover/Phillips Academy 180 Main St Andover MA 01810 | PC | Snyder Center | MG2018-1061 | \$5,000.00 |
| 7/23/2018 | 8/13/2018 | Art Omi Inc 1405 County Rte 22 c/o Kit Fraser Ghent NY 12075 | PC | General | MG2018-1012 | \$1,500.00 |
| 8/30/2018 | 12/3/2018 | Autism Speaks 1 East 33rd St. New York New York 10016 | PC | General | MG2018-1057 | \$1,650.00 |
| 12/18/2018 | 1/2/2019 | Baltimore Area Rescue & Care Shelter 301 Stockholm St Baltimore MD 21230 | PC | Medical Fund | MG2018-1085 | \$1,560.00 |
| 8/5/2018 | 11/5/2018 | Becket-Chimney Corners YMCA 748 Hamilton Road Becket MA 01223 | PC | 30@30 campaign | MG2018-1036 | \$300.00 |
| 11/5/2018 | 11/19/2018 | Boston Health Care for the Homeless Program 780 Albany Street Boston MA 02118 | PC | General | MG2018-1043 | \$150.00 |

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| Donation Date | Check Date | Institution and Address | Foundation Status of Recipient | Purpose | Matching Gift # | Amount Paid |
|---------------|------------|--|--------------------------------|------------------------|-----------------|-------------|
| 12/25/2018 | 2/11/2019 | Boston Psychoanalytic Society and Institute 169 Herrick Rd Newton MA 02459 | PC | Annual Fund | MG2019-1165 | \$1,500.00 |
| 12/23/2018 | 1/28/2019 | Boston University 145 Bay State Rd Boston MA 02215 | PC | WBUR | MG2019-1144 | \$500.00 |
| 12/31/2018 | 1/14/2019 | Boys & Girls Club of New Rochelle 79 Seventh Street New Rochelle NY 10801 | PC | General | MG2019-1093 | \$500.00 |
| 6/19/2018 | 7/30/2018 | Brookline Community Mental Health Center 41 Garrison Rd Brookline MA 02445 | PC | The Cindy Fund | MG2018-1010 | \$4,500.00 |
| 12/9/2018 | 1/2/2019 | Brookline Community Mental Health Center 41 Garrison Rd Brookline MA 02445 | PC | Women at Center | MG2018-1083 | \$1,800.00 |
| 12/25/2018 | 1/14/2019 | Brookline Community Mental Health Center 41 Garrison Rd Brookline MA 02445 | PC | General | MG2019-1142 | \$4,500.00 |
| 4/24/2019 | 6/3/2019 | Brookline Community Mental Health Center 41 Garrison Rd Brookline MA 02445 | PC | Believe Brookline Kids | MG2019-1218 | \$1,500.00 |
| 12/27/2018 | 1/14/2019 | Brooklyn Academy of Music 30 Lafayette Avenue Brooklyn NY 11217 | PC | General | MG2019-1133 | \$750.00 |
| 12/27/2018 | 1/28/2019 | California Institute of Technology 1200 E California Blvd, ML 5-32 Pasadena CA 91125 | PC | General | MG2019-1148 | \$1,750.00 |
| 12/31/2018 | 1/14/2019 | Care for the Homeless 30 East 33rd Street, 5th Floor New York NY 10016 | PC | General | MG2019-1121 | \$450.00 |
| 11/22/2018 | 1/14/2019 | CARE 151 Ellis St NE Atlanta GA 30303-2440 | PC | General | MG2019-1126 | \$750.00 |
| 12/27/2018 | 1/14/2019 | CASA for Children of the District of Columbia 1140 3rd Street NE Washington DC 20002 | PC | General | MG2019-1102 | \$210.00 |
| 12/25/2018 | 2/11/2019 | Center for Advanced Psychoanalytic Studies PO Box 627 Princeton NJ 08542 | PC | General | MG2019-1164 | \$500.00 |

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|---------------|------------|---|--------------------------------|----------------------------|-----------------|-------------|
| 11/24/2018 | 1/2/2019 | Charles B. Wang Community Health Center 268 Canal Street, #6F New York NY 10013 | PC | General | MG2018-1082 | \$750.00 |
| 12/11/2018 | 1/14/2019 | Children's Health Fund 215 W. 125, Suite 301 New York NY 10027 | PC | General | MG2019-1130 | \$7,500.00 |
| 11/28/2018 | 12/17/2018 | Children's Hospital Corporation 300 Longwood Ave Boston MA 02115 | PC | Every Child Fund | MG2018-1077 | \$2,000.00 |
| 12/27/2018 | 1/14/2019 | Christ House 1717 Columbia Road NW Washington DC 20009 | PC | General | MG2019-1104 | \$300.00 |
| 12/5/2018 | 1/2/2019 | Church of the Holy Apostles 296 Ninth Avenue New York NY 10001 | PC | Holy Apostles Soup Kitchen | MG2018-1092 | \$225.00 |
| 12/3/2018 | 1/14/2019 | Cincinnati Children's Hospital 3333 Burnet Ave Mlc 9016 Cincinnati OH 45229 | PC | General | MG2019-1122 | \$20,000.00 |
| 12/18/2018 | 1/2/2019 | City and Country School 146 East 13th Street New York NY 10011 | PC | Annual Fund | MG2018-1079 | \$1,100.00 |
| 11/5/2018 | 12/17/2018 | Co Lab Theater Group PO Box 727 New York NY 10108 | PC | General | MG2018-1065 | \$300.00 |
| 12/5/2018 | 1/14/2019 | Coalition for the Homeless 129 FULTON ST New York NY | PC | General | MG2019-1109 | \$225.00 |
| 3/19/2019 | 5/6/2019 | College Track 11 Broadway Ave Ste 101 Oakland CA 94607 | PC | Arts Club for Girls | MG2019-1213 | \$1,875.00 |
| 12/26/2018 | 3/18/2019 | Columbia County Historical Society 5 Albany Avenue Kinderhook NY 12106 | PC | General | MG2019-1201 | \$750.00 |
| 5/13/2019 | 6/3/2019 | Columbia County Historical Society 5 Albany Avenue Kinderhook NY 12106 | PC | General | MG2019-1222 | \$750.00 |
| 11/24/2018 | 12/3/2018 | Columbia Land Conservancy 49 Main Street Chatham NY 12037 | PC | General | MG2018-1052 | \$1,500.00 |
| 6/1/2019 | 6/13/2019 | Columbia-Greene Hospital Foundation 71 Prospect Ave Hudson NY 12534 | PC | General | MG2019-1227 | \$750.00 |

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7-1-2018 to 6-30-2019
Part XV - Grants and Contributions Paid (MATCHING GIFTS)
Paid During the Year

| Donation Date | Check Date | Institution and Address | Foundation Status of Recipient | Purpose | Matching Gift # | Amount Paid |
|---------------|------------|--|--------------------------------|--|-----------------|-------------|
| 9/5/2018 | 9/24/2018 | Columbus Zoo and Aquarium 9990 Riverside Drive Powell OH 43065 | PC | Partners in Conservation | MG2018-1023 | \$3,750.00 |
| 12/25/2018 | 1/14/2019 | Combined Jewish Philanthropies of Greater Boston, Inc. 126 High St Boston MA 02110 | PC | General | MG2019-1124 | \$1,000.00 |
| 1/15/2019 | 2/11/2019 | Commonweal PO Box 316 San Raphael CA 94924 | PC | General | MG2019-1163 | \$750.00 |
| 4/30/2019 | 6/3/2019 | Cornell University 341 Pine Tree Rd Ithaca NY 14850 | PC | Scholarship Fund | MG2019-1224 | \$750.00 |
| 8/23/2018 | 9/24/2018 | Dana Farber Cancer Institute 44 Binney St Boston MA 02115 | PC | BAA Half Marathon | MG2018-1019 | \$600.00 |
| 8/30/2018 | 9/24/2018 | Dana Farber Cancer Institute 44 Binney St Boston MA 02115 | PC | BAA Half Marathon | MG2018-1020 | \$450.00 |
| 9/18/2018 | 11/19/2018 | Dana Farber Cancer Institute 44 Binney St Boston MA 02115 | PC | BAA Half Marathon | MG2018-1041 | \$300.00 |
| 9/27/2018 | 11/19/2018 | Dana Farber Cancer Institute 44 Binney St Boston MA 02115 | PC | General | MG2018-1042 | \$75.00 |
| 12/16/2018 | 1/14/2019 | Democracy Now Productions Inc 207 West 25th Street, Fl. 11 New York NY 10001 | PC | General | MG2019-1097 | \$1,500.00 |
| 3/15/2019 | 5/6/2019 | Dominican Academy of the City of New York 44 East 68th Street New York NY 10065 | PC | 2019 Spring Auction & Benefit | MG2019-1208 | \$750.00 |
| 11/27/2018 | 1/2/2019 | DonorsChoose.org 134 W 37th St Fl 11 New York NY 10018 | PC | IS 303 Leadership & Community Service Academy | MG2018-1086 | \$120.00 |
| 12/16/2018 | 2/11/2019 | Earlham College 801 National Rd W Richmond IN 47374 | PC | Cross Country & Track Fund | MG2019-1167 | \$4,000.00 |
| 12/21/2018 | 1/14/2019 | Ethical Culture Fieldston School PO Box 28552 New York NY 10087-8552 | PC | General | MG2019-1098 | \$750.00 |

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Part XV - Grants and Contributions Paid (MATCHING GIFTS)

Paid During the Year

| Donation Date | Check Date | Institution and Address | Foundation Status of Recipient | Purpose | Matching Gift # | Amount Paid |
|---------------|------------|---|--------------------------------|------------------------|-----------------|-------------|
| 2/5/2019 | 2/11/2019 | Every Mother Counts 180 Varick Street, Suite 1116 New York NY 10014 | PC | Team EMC NYC Half 2019 | MG2019-1162 | \$75.00 |
| 2/5/2019 | 2/25/2019 | Every Mother Counts 180 Varick Street, Suite 1116 New York NY 10014 | PC | Team EMC NYC Half 2019 | MG2019-1171 | \$150.00 |
| 2/8/2019 | 2/25/2019 | Every Mother Counts 180 Varick Street, Suite 1116 New York NY 10014 | PC | Team EMC NYC Half 2019 | MG2019-1172 | \$150.00 |
| 2/8/2019 | 2/25/2019 | Every Mother Counts 180 Varick Street, Suite 1116 New York NY 10014 | PC | Team EMC NYC Half 2020 | MG2019-1173 | \$75.00 |
| 2/8/2019 | 2/25/2019 | Every Mother Counts 180 Varick Street, Suite 1116 New York NY 10014 | PC | Team EMC NYC Half 2021 | MG2019-1174 | \$150.00 |
| 2/8/2019 | 2/25/2019 | Every Mother Counts 180 Varick Street, Suite 1116 New York NY 10014 | PC | Team EMC NYC Half 2022 | MG2019-1175 | \$75.00 |
| 2/8/2019 | 2/25/2019 | Every Mother Counts 180 Varick Street, Suite 1116 New York NY 10014 | PC | Team EMC NYC Half 2023 | MG2019-1176 | \$75.00 |
| 2/8/2019 | 2/25/2019 | Every Mother Counts 180 Varick Street, Suite 1116 New York NY 10014 | PC | Team EMC NYC Half 2024 | MG2019-1177 | \$75.00 |
| 2/8/2019 | 2/25/2019 | Every Mother Counts 180 Varick Street, Suite 1116 New York NY 10014 | PC | Team EMC NYC Half 2025 | MG2019-1178 | \$150.00 |
| 2/8/2019 | 2/25/2019 | Every Mother Counts 180 Varick Street, Suite 1116 New York NY 10014 | PC | Team EMC NYC Half 2019 | MG2019-1189 | \$225.00 |
| 2/5/2019 | 2/25/2019 | Every Mother Counts 180 Varick Street, Suite 1116 New York NY 10014 | PC | Team EMC NYC Half 2020 | MG2019-1190 | \$150.00 |
| 2/12/2019 | 2/25/2019 | Every Mother Counts 180 Varick Street, Suite 1116 New York NY 10014 | PC | Team EMC NYC Half 2021 | MG2019-1191 | \$300.00 |
| 2/26/2019 | 3/18/2019 | Every Mother Counts 180 Varick Street, Suite 1116 New York NY 10014 | PC | Team EMC NYC Half 2019 | MG2019-1197 | \$75.00 |

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Part XV - Grants and Contributions Paid (MATCHING GIFTS)
Paid During the Year

| Donation Date | Check Date | Institution and Address | Foundation Status of Recipient | Purpose | Matching Gift # | Amount Paid |
|---------------|------------|---|--------------------------------|----------------------------------|-----------------|-------------|
| 2/14/2019 | 3/18/2019 | Every Mother Counts 180 Varick Street, Suite 1116 New York NY 10014 | PC | Team EMC NYC Half 2020 | MG2019-1198 | \$300.00 |
| 12/31/2018 | 1/28/2019 | EVKids 170 Appleton St Cambridge MA 02138 | PC | General | MG2019-1157 | \$750.00 |
| 12/21/2018 | 1/28/2019 | Facing History and Ourselves 16 Hurd St Brookline MA 02445 | PC | General | MG2019-1154 | \$300.00 |
| 12/5/2018 | 1/2/2019 | Fordham University 3 Age Center Rose HI Fmh 428 Bronx NY 10458 | PC | WFUV | MG2018-1089 | \$216.00 |
| 7/24/2018 | 9/24/2018 | Foundation Fighting Blindness 7168 Columbia Gateway Drive, Suite 100 Columbia MD 20146 | PC | General | MG2018-1022 | \$300.00 |
| 10/26/2018 | 11/19/2018 | Fountain House 425 W 47th St New York NY 10036 | PC | Fountain Gallery Fundraiser | MG2018-1047 | \$15,000.00 |
| 12/5/2018 | 1/28/2019 | Fountain House 425 W 47th St New York NY 10036 | PC | General | MG2019-1156 | \$225.00 |
| 1/18/2019 | 2/11/2019 | Fran Crippen Elevation Foundation 301 East 8th Ave Conshohocken PA 19428 | PC | General | MG2019-1160 | \$600.00 |
| 11/12/2018 | 12/3/2018 | Friends of Tilonia Inc. 134 Lincoln Place #2 Brooklyn NY 11217 | PC | General | MG2018-1054 | \$300.00 |
| 11/28/2018 | 1/14/2019 | Fund for the City of New York 121 Avenue of the Americas 6th Floor New York NY 10013 | PC | Power of Two | MG2019-1113 | \$4,000.00 |
| 11/22/2018 | 3/18/2019 | Fund for the City of New York 121 Avenue of the Americas 6th Floor New York NY 10013 | PC | Power of Two | MG2019-1200 | \$1,500.00 |
| 12/16/2018 | 4/8/2019 | Georgetown University 2233 Wisconsin Ave NW Ste 525 Washington DC 20007 | PC | School of Nursing, Financial Aid | MG2019-1205 | \$3,000.00 |
| 1/24/2019 | 2/11/2019 | GiveDirectly, Inc. PO Box 3221 New York NY 10008 | PC | General | MG2019-1161 | \$750.00 |

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Paid During the Year

| Donation Date | Check Date | Institution and Address | Foundation Status of Recipient | Purpose | Matching Gift # | Amount Paid |
|---------------|------------|---|--------------------------------|---------|-----------------|-------------|
| 11/5/2018 | 1/14/2019 | Global Wildlife Conservation PO Box 129 Austin TX 78767 | PC | General | MG2019-1101 | \$308.94 |
| 12/5/2018 | 1/2/2019 | Habitat for Humanity 322 West Lamar Street Americus GA 31709 | PC | General | MG2018-1090 | \$225.00 |
| 12/26/2018 | 2/25/2019 | Habitat for Humanity 322 West Lamar Street Americus GA 31709 | PC | General | MG2019-1182 | \$750.00 |
| 11/1/2018 | 11/19/2018 | HIAS, Inc. 411 Fifth Avenue Suite 1006 New York NY 10016 | PC | General | MG2018-1040 | \$300.00 |
| 12/31/2018 | 1/14/2019 | HIAS, Inc. 411 Fifth Avenue Suite 1006 New York NY 10016 | PC | General | MG2019-1119 | \$300.00 |
| 6/21/2018 | 7/2/2018 | Hispanic Federation 55 Exchange Place 5th Fl New York NY 10005 | PC | General | MG2018-1008 | \$300.00 |
| 12/21/2018 | 1/28/2019 | Holy Names High School 4660 Harbord Drive Oakland CA 94618 | PC | General | MG2019-1147 | \$1,875.00 |
| 12/28/2018 | 1/28/2019 | Hudson Headwaters Health Foundation 9 Carey Road Queensbury NY 12804 | PC | General | MG2019-1158 | \$750.00 |
| 4/22/2019 | 5/6/2019 | Huntington's Disease Society of America 158 W 29th St Fl 7 New York NY 10001-5300 | PC | General | MG2019-1212 | \$1,500.00 |
| 9/14/2018 | 9/24/2018 | ICE Foundation, Inc. 345 East 15th Street, 5th Floor New York NY 10003 | PC | General | MG2018-1024 | \$1,800.00 |
| 12/3/2018 | 2/11/2019 | Indiana University Health Foundatoin 1800 North Capitol Avenue Indianapolis IN 46202 | PC | General | MG2019-1166 | \$20,000.00 |
| 12/7/2018 | 12/17/2018 | Institute of Classical Architecture 20 West 44th Street, Suite 310 New York NY 10036 | PC | General | MG2018-1075 | \$750.00 |
| 11/2/2018 | 12/3/2018 | International Rescue Committee 122 East 42nd Street New York New York 10168- 1289 | PC | General | MG2018-1055 | \$450.00 |

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Part XV - Grants and Contributions Paid (MATCHING GIFTS)

Paid During the Year

| Donation Date | Check Date | Institution and Address | Foundation Status of Recipient | Purpose | Matching Gift # | Amount Paid |
|---------------|------------|---|--------------------------------|--------------------|-----------------|-------------|
| 12/18/2018 | 1/14/2019 | International Rescue Committee 122 East 42nd Street New York New York 10168-1289 | PC | General | MG2019-1100 | \$600.00 |
| 1/18/2019 | 2/25/2019 | International Rescue Committee 122 East 42nd Street New York New York 10168-1289 | PC | General | MG2019-1180 | \$600.00 |
| 5/13/2018 | 7/2/2018 | International Women's Health Coalition 333 7th Ave Fl 6 New York NY 10001 | PC | General | MG2018-1002 | \$500.00 |
| 11/17/2018 | 1/2/2019 | International Women's Health Coalition 333 7th Ave Fl 6 New York NY 10001 | PC | General | MG2018-1080 | \$14,000.00 |
| 11/22/2018 | 1/2/2019 | International Women's Health Coalition 333 7th Ave Fl 6 New York NY 10001 | PC | General | MG2018-1081 | \$2,250.00 |
| 12/21/2018 | 1/14/2019 | International Women's Health Coalition 333 7th Ave Fl 6 New York NY 10001 | PC | General | MG2019-1118 | \$360.00 |
| 3/29/2019 | 6/3/2019 | International Women's Health Coalition 333 7th Ave Fl 6 New York NY 10001 | PC | General | MG2019-1215 | \$500.00 |
| 12/1/2018 | 1/14/2019 | Irish Repertory Theatre 135 W 22nd St New York NY 10011 | PC | General | MG2019-1137 | \$750.00 |
| 6/3/2018 | 9/20/2018 | Islamic Relief Fund P.O> Box 22250 Alexandria VA 22304 | PC | General | MG2018-1018 | \$675.00 |
| 12/19/2018 | 1/2/2019 | J Street Education Fund 1828 L St NW Ste 240 Washington DC 20036 | PC | General | MG2018-1078 | \$5,400.00 |
| 5/20/2019 | 6/3/2019 | JASA 247 W 37th St New York NY 10018 | PC | General | MG2019-1225 | \$75.00 |
| 12/20/2018 | 1/28/2019 | Joan and Sanford I. Weill Medical College of Cornell University 425 E 61st St New York NY 10021 | PC | Daedalus Fund | MG2019-1145 | \$42,500.00 |
| 12/23/2018 | 2/25/2019 | Kansas University Endowment Association PO Box 928 Lawrence KS 66044 | PC | School of Medicine | MG2019-1185 | \$2,000.00 |

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Part XV - Grants and Contributions Paid (MATCHING GIFTS)
Paid During the Year

| Donation Date | Check Date | Institution and Address | Foundation Status of Recipient | Purpose | Matching Gift # | Amount Paid |
|---------------|------------|---|--------------------------------|-------------------------------|-----------------|-------------|
| 12/23/2018 | 2/25/2019 | Kansas University Endowment Association PO Box 928 Lawrence KS 66044 | PC | Health Sciences Center | MG2019-1186 | \$2,000.00 |
| 6/15/2018 | 7/2/2018 | Kids in Need of Defense 1300 L Street NW Suite 1100 Washington DC 20005 | PC | General | MG2018-1003 | \$75.00 |
| 10/21/2018 | 11/5/2018 | Kinderhook Memorial Library 18 Hudson Street PO Box 293 Kinderhook NY 12106 | PC | General | MG2018-1038 | \$1,500.00 |
| 11/5/2018 | 12/3/2018 | Kithara Project Inc. PO Box 171583 Boston, MA 02117 | PC | General | MG2018-1053 | \$300.00 |
| 7/13/2018 | 7/30/2018 | Lancaster County Society for Historical Preservation PO Box 1132 Lancaster SC 29721 | PC | General | MG2018-1009 | \$750.00 |
| 5/7/2019 | 6/3/2019 | Lancaster County Society for Historical Preservation PO Box 1132 Lancaster SC 29721 | PC | Cultural Arts Center Addition | MG2019-1216 | \$1,500.00 |
| 4/23/2019 | 5/6/2019 | Lower East Side Tenement Museum 91 Orchard St New York NY 10002 | PC | General | MG2019-1211 | \$450.00 |
| 4/17/2019 | 5/6/2019 | Lupus Foundation of America 2121 K Street NW, Suite 200 Washington DC 20037 | PC | General | MG2019-1210 | \$75.00 |
| 12/26/2018 | 3/18/2019 | Margaret Gifford School Inc. 177 Boston Post Road Weston MA 02493 | PC | General | MG2019-1194 | \$1,300.00 |
| 12/31/2018 | 1/28/2019 | Meals-on-Wheels of New Rochelle 50 Pintard Avenue New Rochelle NY 10801 | PC | General | MG2019-1152 | \$200.00 |
| 9/17/2018 | 11/5/2018 | Memorial Sloan-Kettering Cancer Center 1275 York Ave New York NY 10021 | PC | General | MG2018-1037 | \$150.00 |
| 12/27/2018 | 1/14/2019 | Memorial Sloan-Kettering Cancer Center 1275 York Ave New York NY 10021 | PC | Pediatric Leukemia Research | MG2019-1134 | \$3,000.00 |
| 12/27/2018 | 2/25/2019 | Memorial Sloan-Kettering Cancer Center 1275 York Ave New York NY 10021 | PC | Cycle for Survival | MG2019-1170 | \$2,850.00 |

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| Donation Date | Check Date | Institution and Address | Foundation Status of Recipient | Purpose | Matching Gift # | Amount Paid |
|---------------|------------|--|--------------------------------|-------------------------------------|-----------------|-------------|
| 2/5/2019 | 3/18/2019 | Memorial Sloan-Kettering Cancer Center 1275 York Ave New York NY 10021 | PC | Cycle for Survival | MG2019-1195 | \$600.00 |
| 2/12/2019 | 3/18/2019 | Memorial Sloan-Kettering Cancer Center 1275 York Ave New York NY 10021 | PC | Cycle for Survival | MG2019-1196 | \$180.00 |
| 12/28/2018 | 6/3/2019 | Memorial Sloan-Kettering Cancer Center 1275 York Ave New York NY 10021 | PC | Cycle for Survival | MG2019-1214 | \$150.00 |
| 12/3/2018 | 12/17/2018 | Met Council Research & Educational Fund 168 Canal Street New York NY 10013 | PC | General | MG2018-1067 | \$105.00 |
| 3/24/2018 | 5/6/2019 | Metropolitan Museum of Art 5 Ave At 81st St New York NY 10028-0198 | PC | General | MG2018-1059 | \$3,624.00 |
| 12/27/2018 | 1/28/2019 | Metropolitan Opera Association 30 Lincoln Ctr New York NY 10023 | PC | General | MG2019-1149 | \$3,000.00 |
| 12/28/2018 | 4/8/2019 | Mills College 5000 MacArthur Blvd Oakland CA 94613 | PC | Russell Women in Science Lecture | MG2019-1203 | \$16,000.00 |
| 12/27/2018 | 1/14/2019 | Miriam's Kitchen 2401 Virginia Ave. NW Washington DC 20037 | PC | General | MG2019-1112 | \$150.00 |
| 12/21/2018 | 1/14/2019 | Mollys Hope Inc. 4 Midsummer Court Riverstown MD 21136 | PC | General | MG2019-1114 | \$1,650.00 |
| 5/19/2019 | 6/13/2019 | Momentum-Excellence 500 S. Front Street Suite 965 Columbus OH 43215 | PC | General | MG2019-1230 | \$3,750.00 |
| 5/18/2019 | 6/13/2019 | Museum of Fine Arts 465 Huntington Ave Boston MA 02115 | PC | General | MG2019-1229 | \$9,000.00 |
| 11/22/2018 | 1/14/2019 | National Academy of Sciences 500 5th St NW Washington DC 20001 | PC | National Academy of Medicine | MG2019-1127 | \$750.00 |
| 12/6/2018 | 1/2/2019 | National Institute for Children's Healthcare Quality 30 Winter St Fl 6 Boston MA 02108-4720 | PC | General | MG2018-1084 | \$3,000.00 |

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Paid During the Year

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|---------------|------------|---|--------------------------------|-------------|-----------------|-------------|
| 12/31/2018 | 1/14/2019 | National Institute for Reproductive Health 14 Wall Street, Suite 3B New York NY 10005 | PC | General | MG2019-1094 | \$500.00 |
| 5/15/2019 | 6/13/2019 | National Medical Fellowships 347 5th Ave Ste 510 New York NY 10016-5007 | PC | General | MG2019-1231 | \$750.00 |
| 6/20/2018 | 7/2/2018 | National Medical Fellowships 347 5th Ave Ste 510 New York NY 10016-5007 | PC | General | MG2018-1005 | \$750.00 |
| 12/5/2018 | 1/28/2019 | National Wildlife Federation 750 W 2nd Ave Ste 200 Anchorage AK 99501 | PC | General | MG2019-1155 | \$225.00 |
| 12/5/2018 | 1/14/2019 | Nature Conservancy 4245 N. Fairfax Drive, Suite 100 Arlington VA 22203 | PC | General | MG2019-1107 | \$225.00 |
| 12/16/2018 | 1/28/2019 | Negro Leagues Baseball Museum 1616 E 18th St Kansas City MO 64108 | PC | General | MG2019-1151 | \$4,000.00 |
| 12/31/2018 | 1/14/2019 | New Rochelle Fund for Educational Excellence 265 Clove Road New Rochelle NY 10801 | PC | General | MG2019-1095 | \$500.00 |
| 12/31/2018 | 1/14/2019 | New York Civil Liberties Union Foundatoin 125 Broad Street, 19th Fl New York NY 10004 | PC | General | MG2019-1096 | \$1,000.00 |
| 12/16/2018 | 1/28/2019 | New York Public Library 5 Avenue and 42nd St Rm 76 New York NY 10018-2788 | PC | General | MG2019-1153 | \$800.00 |
| 11/17/2018 | 12/17/2018 | Nightingale-Bamford School 20 E 92nd St New York NY 10128 | PC | Annual Fund | MG2018-1069 | \$1,000.00 |
| 12/28/2018 | 1/14/2019 | NYC Books Through Bars Inc 123 Columbia Street Brooklyn NY 11231 | PC | General | MG2019-1111 | \$75.00 |
| 12/18/2018 | 1/14/2019 | Oxfam-America 226 Causeway St Fl 5 Boston MA 02114 | PC | General | MG2019-1099 | \$900.00 |
| 8/21/2018 | 9/24/2018 | Pan Massachusetts Challenge Trust 77 4th Avenue Needham Heights MA 02494 | PC | General | MG2018-1021 | \$150.00 |

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|---------------|------------|---|--------------------------------|-------------------------|-----------------|-------------|
| 11/26/2018 | 12/17/2018 | Pathfinder International 9 Galen Street, Suite 217 Watertown MA 02472 | PC | General | MG2018-1070 | \$15,000.00 |
| 11/5/2018 | 11/19/2018 | Pearson College of the Pacific US Foundation 1747 Pennsylvania Ave NW Suite 1000 Washington DC 20006-4636 | PC | General | MG2018-1045 | \$300.00 |
| 12/21/2018 | 2/11/2019 | Perennial Farming Initiative 59 Ninth Street San Francisco CA 94103 | PC | Soil Centric | MG2019-1169 | \$3,750.00 |
| 10/26/2018 | 12/17/2018 | Planned Parenthood Minnesota North Dakota South Dakota 671 Vandalia Street St. Paul MN 55114 | PC | General | MG2018-1063 | \$1,500.00 |
| 10/4/2018 | 11/5/2018 | Planned Parenthood of New York City, Inc. 380 2nd Ave New York NY 10010 | PC | General | MG2018-1033 | \$900.00 |
| 11/5/2018 | 12/17/2018 | Planned Parenthood 123 William Street, 10th Floor New York NY 10038 | PC | General | MG2018-1071 | \$300.00 |
| 10/4/2018 | 12/17/2018 | Planned Parenthood 123 William Street, 10th Floor New York NY 10038 | PC | General | MG2018-1072 | \$900.00 |
| 5/20/2019 | 6/3/2019 | Planned Parenthood 123 William Street, 10th Floor New York NY 10038 | PC | General | MG2019-1226 | \$75.00 |
| 11/24/2018 | 12/17/2018 | Primary Care Development Corporation 22 Cortlandt St Fl 12 New York NY 10007 | PC | General | MG2018-1073 | \$750.00 |
| 10/8/2018 | 1/14/2019 | Public Health Institute 555 12th Street, 10th floor Oakland CA 94607 | PC | Carol Emmott Fellowship | MG2018-1060 | \$3,750.00 |
| 6/1/2019 | 6/13/2019 | Public Health Institute 555 12th Street, 10th floor Oakland CA 94607 | PC | Carol Emmott Fellowship | MG2019-1228 | \$15,000.00 |
| 2/27/2019 | 3/18/2019 | Public School 10 Parent Teacher Assocation 511 7th Ave Brooklyn NY 11215 | PC | Readathon | MG2019-1202 | \$75.00 |
| 3/15/2019 | 5/6/2019 | Public School 10 Parent Teacher Assocation 511 7th Ave Brooklyn NY 11215 | PC | Readathon | MG2019-1206 | \$525.00 |

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|---------------|------------|---|--------------------------------|------------------------|-----------------|-------------|
| 5/28/2018 | 11/5/2018 | Queens Library Foundation 89-11 Merrick Blvd Jamaica NY 11432 | PC | Lefrak- Spellabration | MG2018-1025 | \$300.00 |
| 5/23/2018 | 11/5/2018 | Queens Library Foundation 89-11 Merrick Blvd Jamaica NY 11432 | PC | Lefrak- Spellabration | MG2018-1026 | \$150.00 |
| 5/21/2018 | 11/5/2018 | Queens Library Foundation 89-11 Merrick Blvd Jamaica NY 11432 | PC | Lefrak- Spellabration | MG2018-1027 | \$150.00 |
| 6/18/2018 | 11/5/2018 | Queens Library Foundation 89-11 Merrick Blvd Jamaica NY 11432 | PC | Lefrak- Spellabration | MG2018-1028 | \$75.00 |
| 5/25/2018 | 7/2/2018 | Queens Library Foundation 89-11 Merrick Blvd Jamaica NY 11432 | PC | Lefrak, Spellabration | MG2018-1004 | \$75.00 |
| 10/15/2018 | 12/17/2018 | Rebuilding Together Dutchess County 47 S Hamilton St Poughkeepsie NY 12601 | PC | General | MG2018-1076 | \$900.00 |
| 12/16/2018 | 1/2/2019 | Rebuilding Together Dutchess County 47 S Hamilton St Poughkeepsie NY 12601 | PC | General | MG2018-1087 | \$150.00 |
| 11/27/2018 | 2/25/2019 | Rebuilding Together Dutchess County 47 S Hamilton St Poughkeepsie NY 12601 | PC | General | MG2019-1187 | \$150.00 |
| 6/21/2018 | 7/2/2018 | Refugee & Immigrant Center for Education & Legal Services 1305 N. Flores Street San Antonio TX 78212 | PC | General | MG2018-1000 | \$750.00 |
| 6/18/2018 | 7/2/2018 | Refugee & Immigrant Center for Education & Legal Services 1305 N. Flores Street San Antonio TX 78212 | PC | General | MG2018-999 | \$750.00 |
| 12/23/2018 | 1/14/2019 | Riverdale Country School 5250 Fieldston Road Bronx NY 10471 | PC | General | MG2019-1123 | \$300.00 |
| 12/5/2018 | 1/2/2019 | Riverside Park Conservancy Inc. 475 Riverside Drive New York NY 10115 | PC | General | MG2018-1091 | \$225.00 |
| 11/6/2018 | 12/3/2018 | Rock and Roll Hall of Fame and Museum, Inc. 1100 Rock and Roll Blvd. Cleveland OH 44114 | PC | Capital Campaign | MG2018-1051 | \$5,250.00 |
| 9/18/2018 | 11/5/2018 | Rocking the Boat 812 Edgewater Road Bronx NY 10474 | PC | Rocking Manhattan 2018 | MG2018-1035 | \$150.00 |

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|---------------|------------|---|--------------------------------|---|-----------------|-------------|
| 12/5/2018 | 3/18/2019 | Salvation Army 120 W. 14th Street New York NY 10011 | PC | General | MG2019-1193 | \$225.00 |
| 12/1/2018 | 1/14/2019 | Samaritan House 11 Fayette St Binghamton NY 13901 | PC | General | MG2019-1140 | \$750.00 |
| 10/5/2018 | 11/5/2018 | Save the Children 2000 M St NW Ste 500 Washington DC 20036 | PC | General | MG2018-1034 | \$300.00 |
| 8/7/2018 | 9/24/2018 | Scalawag PO Box 129 Durham NC 27702 | PC | General | MG2018-1016 | \$10,000.00 |
| 11/1/2018 | 11/19/2018 | School Year Abroad Inc 120 Water Street, Suite 310 North Andover MA 01845 | PC | General | MG2018-1046 | \$500.00 |
| 12/6/2018 | 12/17/2018 | Shadoart Productions Inc 503 S Front St. Suite 260 Columbus OH 43215 | PC | Shadowbox Live | MG2018-1068 | \$7,500.00 |
| 12/23/2017 | 11/5/2018 | Smithsonian Institution 1000 Jefferson Dr SW Washington DC 20560-0040 | PC | National Museum of African American History & Culture | MG2018-1031 | \$750.00 |
| 12/13/2018 | 1/14/2019 | Smithsonian Institution 1000 Jefferson Dr SW Washington DC 20560-0040 | PC | Smithsonian American Art Museum Director's Fund | MG2019-1125 | \$6,000.00 |
| 12/13/2018 | 4/8/2019 | Smithsonian Institution 1000 Jefferson Dr SW Washington DC 20560-0040 | PC | National Museum of African American History and Culture | MG2019-1204 | \$6,000.00 |
| 12/16/2018 | 1/14/2019 | Society of Teachers of Family Medicine Foundation 11400 Tomahawk Creek Parkway Leawood KS 66211 | PC | General | MG2019-1136 | \$4,000.00 |
| 9/5/2018 | 11/5/2018 | Special Equestrians of the Treasure Coast, Inc. 6005 65th Street Vero Beach FL 32967 | PC | General | MG2018-1039 | \$1,000.00 |
| 6/17/2018 | 2/25/2019 | St. Marks School of Texas 10600 Prescott Road Dallas TX 75230-4047 | PC | General | MG2019-1184 | \$750.00 |
| 11/27/2018 | 2/25/2019 | Step Up Womens Network 501 S. Hewitt Street #111 Los Angeles CA 90013 | PC | after-school programs | MG2019-1179 | \$150.00 |
| 11/24/2018 | 1/14/2019 | Steven Myron Holl Foundation 450 West 31st Street, 11th Floor New York NY 10001 | PC | T Space Rhinebeck | MG2019-1129 | \$750.00 |
| 11/5/2018 | 11/19/2018 | Street Level Health Project 3125 East 15th Street, Suite B Oakland CA 94601 | PC | General | MG2018-1044 | \$300.00 |

The Commonwealth Fund

7-1-2018 to 6-30-2019

Part XV - Grants and Contributions Paid (MATCHING GIFTS)

Paid During the Year

| Donation Date | Check Date | Institution and Address | Foundation Status of Recipient | Purpose | Matching Gift # | Amount Paid |
|---------------|------------|---|--------------------------------|--------------------------------------|-----------------|-------------|
| 12/1/2018 | 1/28/2019 | Syracuse University 820 Comstock Ave Syracuse NY 13244-5040 | PC | Maxwell School, Tenth Decade Project | MG2019-1150 | \$900.00 |
| 5/1/2019 | 6/3/2019 | Temple Beth Elohim 10 Bethel Road Wellesley MA 02481 | PC | Early Childhood Fund | MG2019-1217 | \$300.00 |
| 6/29/2018 | 7/30/2018 | Temple Har Shalom 104 Mount Horeb Road Warren NJ 07059 | PC | Cantor's Discretionary Fund | MG2018-1011 | \$1,080.00 |
| 12/24/2018 | 3/18/2019 | The American Society for the Prevention of Cruelty to Animals 424 E 92nd St New York NY 10128-6804 | PC | General | MG2019-1199 | \$300.00 |
| 4/10/2019 | 6/3/2019 | The Browning School 52 E 62nd St New York NY 10065 | PC | General | MG2019-1223 | \$1,500.00 |
| 11/14/2018 | 12/17/2018 | The Buckley School 113 E 73rd St New York NY 10021 | PC | Building Campaign | MG2018-1074 | \$15,000.00 |
| 12/21/2018 | 1/14/2019 | The Debate Society Ltd PO Box 283, Greenpoint Station Brooklyn NY 11222 | PC | General | MG2019-1120 | \$150.00 |
| 12/28/2018 | 2/25/2019 | The Trevor Project 8704 Santa Monica Blvd. Suite 200 West Hollywood CA 90069 | PC | General | MG2019-1188 | \$90.00 |
| 12/27/2018 | 1/14/2019 | Thrive DC 1525 Newton Street NW, Suite G1 Washington DC 20010 | PC | General | MG2019-1105 | \$210.00 |
| 12/3/2018 | 2/11/2019 | Trustees of the University of Pennsylvania 433 Franklin Building 3451 Walnut Street Philadelphia PA 19104-5069 | PC | Arts & Sciences Annual Fund | MG2019-1168 | \$7,500.00 |
| 12/27/2018 | 1/14/2019 | Tufts University 169 Holland St Rm 203 Somerville MA 02144 | PC | Tufts Fund | MG2019-1103 | \$150.00 |
| 12/5/2018 | 1/14/2019 | Union of Concerned Scientists 2 Brattle Square, 6th Floor Cambridge MA 02115 | PC | General | MG2019-1108 | \$225.00 |
| 12/1/2018 | 1/14/2019 | United Health Services Foundation, Inc. 10-42 Mitchell Avenue Binghamton NY 13903 | PC | General | MG2019-1138 | \$750.00 |
| 9/17/2018 | 11/5/2018 | United Hospital Fund of New York 1411 Broadway Ave Fl 12 New York NY 10018 | PC | General | MG2018-1029 | \$7,500.00 |

The Commonwealth Fund

7-1-2018 to 6-30-2019

Part XV - Grants and Contributions Paid (MATCHING GIFTS)

Paid During the Year

| Donation Date | Check Date | Institution and Address | Foundation Status of Recipient | Purpose | Matching Gift # | Amount Paid |
|---------------|------------|---|--------------------------------|--|-----------------|-------------|
| 12/1/2018 | 1/14/2019 | United Hospital Fund of New York 1411 Broadway Ave Fl 12 New York NY 10018 | PC | General | MG2019-1139 | \$3,000.00 |
| 12/25/2018 | 1/28/2019 | United Hospital Fund of New York 1411 Broadway Ave Fl 12 New York NY 10018 | PC | General | MG2019-1143 | \$500.00 |
| 6/18/2018 | 7/2/2018 | United Jewish Appeal Federation of Jewish Philanthropies of NY 130 East 59th Street New York NY 10022 | PC | General | MG2018-1006 | \$4,500.00 |
| 10/30/2018 | 11/19/2018 | United Way of Central Ohio 360 S. Third Street Columbus OH 43215 | PC | Planned Parenthood, Legal Aid Columbus,, COSI | MG2018-1048 | \$7,500.00 |
| 12/27/2018 | 1/14/2019 | University of California Berkeley Foundation 2080 Addison Street, Suite 4200 Berkeley CA 94720 | PC | Haas School of Business | MG2019-1116 | \$750.00 |
| 5/2/2019 | 6/3/2019 | University of Minnesota Foundation 1300 S 2nd St Minneapolis MN 55454-1029 | PC | Humphrey School of Public Affairs | MG2019-1221 | \$5,000.00 |
| 6/15/2018 | 11/5/2018 | University of Rochester 260 1325th Mount Hope Ave Ste 260 Rochester NY 14620 | PC | Annual Fund | MG2018-1032 | \$400.00 |
| 6/15/2018 | 8/13/2018 | Untermeyer Gardens Conservancy 945 North Broadway Yonkers NY 10701 | PC | General | MG2018-1013 | \$750.00 |
| 11/5/2018 | 12/3/2018 | Urban Institute 2100 M St NW Washington DC 20037 | PC | General | MG2018-1050 | \$50,000.00 |
| 6/19/2018 | 7/2/2018 | Urban Justice Center 40 Rector Street 9th Floor New York NY 10006 | PC | Asylum Seeker Advocacy Project | MG2018-1001 | \$1,200.00 |
| 5/5/2019 | 6/3/2019 | Urban School of San Francisco 1563 Page St San Francisco CA 94117 | PC | Scholarship Fund | MG2019-1219 | \$750.00 |
| 12/31/2018 | 1/28/2019 | Victim Rights Law Center 115 Broad Street, 3rd fl Boston MA 02111 | PC | General | MG2019-1159 | \$300.00 |
| 12/24/2018 | 1/28/2019 | Wave Farm Inc. PO Box 13 5662 Route 23 Acra NY 12405 | PC | WGXR 90.7FM | MG2019-1146 | \$750.00 |

The Commonwealth Fund
7-1-2018 to 6-30-2019
Part XV - Grants and Contributions Paid (MATCHING GIFTS)
Paid During the Year

| Donation Date | Check Date | Institution and Address | Foundation Status of Recipient | Purpose | Matching Gift # | Amount Paid |
|---------------|------------|---|--------------------------------|---------------------------|-----------------|--------------|
| 1/7/2019 | 2/25/2019 | Wellesley College 350 Green Hall Wellesley MA 02181 | PC | General | MG2019-1192 | \$1,500.00 |
| 12/27/2018 | 1/14/2019 | Wesleyan University 343 High St Middletown CT 06459 | PC | General | MG2019-1132 | \$3,000.00 |
| 12/11/2018 | 1/14/2019 | Wikimedia Foundation, Inc. PO Box 98204 Washington DC 20090 | PC | General | MG2019-1117 | \$156.00 |
| 6/22/2018 | 7/2/2018 | WNYC Radio 160 Varick St Fl 9 New York NY 10013 | PC | General | MG2018-1007 | \$16,666.66 |
| 4/28/2018 | 9/24/2018 | WNYC Radio 160 Varick St Fl 9 New York NY 10013 | PC | General | MG2018-1017 | \$1,500.00 |
| 11/16/2018 | 12/3/2018 | WNYC Radio 160 Varick St Fl 9 New York NY 10013 | PC | General | MG2018-1056 | \$63.00 |
| 12/27/2018 | 1/14/2019 | WNYC Radio 160 Varick St Fl 9 New York NY 10013 | PC | General | MG2019-1106 | \$138.00 |
| 12/5/2018 | 1/14/2019 | WNYC Radio 160 Varick St Fl 9 New York NY 10013 | PC | General | MG2019-1110 | \$231.00 |
| 3/6/2019 | 5/6/2019 | WNYC Radio 160 Varick St Fl 9 New York NY 10013 | PC | Winter Fundraiser | MG2019-1209 | \$180.00 |
| 5/21/2019 | 6/3/2019 | WNYC Radio 160 Varick St Fl 9 New York NY 10013 | PC | General | MG2019-1220 | \$1,536.00 |
| 12/25/2018 | 1/14/2019 | Woodstock Area Jewish Community PO Box 526 Woodstock VT 05091 | PC | WAJC/Shir Shalom | MG2019-1141 | \$750.00 |
| 11/22/2018 | 1/14/2019 | Yale University PO Box 2038 New Haven CT 06520 | PC | Scholarships | MG2019-1128 | \$3,000.00 |
| 12/27/2018 | 1/14/2019 | Yale University PO Box 2038 New Haven CT 06520 | PC | Yale School of Management | MG2019-1135 | \$12,000.00 |
| 12/13/2018 | 1/14/2019 | Zauqi Charitable & Educational Trust 6458 32nd Street NW Washington DC 20015 | PC | General | MG2019-1131 | \$20,000.00 |
| | | | | | | \$547,425.60 |

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|-----------|---|--|------------|--------------|--------------------------|--|--|-----------------------------------|
| 20170960 | Avalere Health LLC 1350 Connecticut Ave NW Ste 900 Washington, DC 20036 | Christie Teigland 5/1/17 - 2/28/18. Comprehensive Analysis of the Medicare Advantage Population | 4/11/2017 | \$ 395,000 | no | Final narrative report submitted 6/27/18 and interim financial report submitted 3/30/18. Final financial report was waived. Grant work is complete | \$ 400,376 | Not required |
| 20181277e | Beaconfire RED 2300 Clarendon Blvd., Suite 925 Arlington, VA 22201 | Jeff Herron 7/1/18 - 9/30/18 . SOW Expansion: Tool to Assess Return-on-Investment for Coordinating Primary Medical Care and Social Services, Phase 2 | 6/21/2018 | \$ 35,000 | no | Final financial report submitted 9/9/19. Grant work is complete. Expenses exceeded contract amount and were reimbursed under a new contract during FY 2019-20, #20192404. | \$ 70,181 | Not required |
| 20192404 | Beaconfire RED 2300 Clarendon Blvd., Suite 925 Arlington, VA 22201 | Jeff Herron 7/1/19 - 3/31/20 . SOW Expansion: Tool to Assess Return-on-Investment for Coordinating Medical Care and Social Services, Phase 3 | 6/27/2019 | \$ 50,000 | no | N/A.. Grant Reports and Products due next fiscal year | N/A.-Financial Report due in next fiscal year. | Not required |
| 20181916 | Benzajen, Inc. 4047 Hilton Head Way Tarzana, CA 91356 | Victor Tabbush Ph.D. 7/1/18 - 5/31/19 . Tool to Assess Return-on-Investment for Coordinating Primary Medical Care and Social Services, Phase 2 | 6/21/2018 | \$ 49,256 | no | Final narrative report and final financial report submitted 7.2.19. All grant work is complete | \$ 49,349 | Not required |
| 20170970 | CapView Associates, LLC 601 New Jersey Ave, NW, Suite 450 Washington D.C. 20001 | Purva Rawal 4/1/17 - 12/31/17. Evaluating and Assessing Medicare Advanced Alternative Payment Models | 4/11/2017 | \$ 80,000 | no | Grant products were submitted during grant period, however final financial report and final grant products are overdue. Final payment will be withheld until final products are submitted. | Not available. Financial Report overdue | Not required |

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|-----------|---|---|------------|--------------|--------------------------|--|--|-----------------------------------|
| 20181484 | Dobson DaVanzo & Associates, LLC 440 Maple Ave E Ste 203 Vienna, VA 22180 | Allen Dobson, Ph.D. 2/1/18 - 1/31/19. Utilization and Financial Performance Tracking System for Safety-Net Hospitals | 2/5/2018 | \$ 36,668 | no | Final financial report submitted 7.8.19, final narrative report was waived. All grant work is complete | \$ 74,361 | Not required |
| 20192365 | Dobson DaVanzo & Associates, LLC 440 Maple Ave E Ste 203 Vienna, VA 22180 | Allen Dobson, Ph.D. 5/31/19 - 9/15/19 . Impact of Medicaid Work Requirements on Hospitals in Selected States | 5/22/2019 | \$ 24,005 | no | N/A. Grant Reports and Products due next fiscal year | N/A.-Financial Report due in next fiscal year. | Not required |
| 4/9/2019 | Dutch Ministry of Health, Welfare, and Sport PO Box 20350, DML, A09.36 2500 EJ The Hague Netherlands | International Health Policy Survey, 2020, Dutch Sample | 4/2/2019 | \$ 50,000 | no | N/A. Grant Reports and Products due next fiscal year | N/A.-Financial Report due in next fiscal year. | Not required |
| 20171022 | Faegre Baker Daniels LLP 1050 K Street NW, Suite 400 Washington, DC 20001 | Michael Adelberg, M.A., M.P.P. 7/15/17 - 1/14/18. Using Machine?Readable Provider Directories in Medicare Advantage Plans | 7/11/2017 | \$ 65,000 | no | Grant work completed in prior fiscal years. Final narrative report submitted 5.1.18 and final financial report submitted 8.22.18 | \$ 99,381 | Not required |
| 20181869 | German Managed Care Association Friedrichstr. 136 Berlin, 10117 | Patricia Ex, Ph.D. 10/1/18 - 10/31/19 . Drug Pricing and Purchasing in Germany: Insights and Implications for the United States | 7/10/2018 | \$ 201,635 | no | Interim financial report submitted 4.17.19 | \$ 64,375 | Not required |
| 20181352 | Health Vista LLC PO Box 47141 Chicago, IL 47141-0141 | Sarah Klein 11/15/17 - 11/14/18. Transforming Care | 11/14/2017 | \$ 51,374 | no | Final financial report submitted 9.27.18. Grant work is complete | \$ 51,200 | Not required |

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|-----------|---|---|------------|--------------|--------------------------|--|--|-----------------------------------|
| 20192115 | Health Vista LLC PO Box 47141 Chicago, IL 47141-0141 | Sarah Klein. 11/15/18 - 12/30/19. Transforming Care | 11/13/2018 | \$ 84,138 | no | Interim financial report submitted 7.2.19. Grant work to date is complete. | \$ 40,687 | Not required |
| 20192089 | Issues Research, Inc. 7116 Falls Creek Main Durango, CO 81301 | Douglas McCarthy, M.B.A. 1/1/19 - 12/31/19 . Case Studies and Tools to Support the Spread of Promising Practices in Health Care Delivery and Cost Control | 11/13/2018 | \$ 345,900 | no | Interim financial report submitted 7.22.19. Grant work to date is complete. | \$ 90,730 | Not required |
| 20181374 | Issues Research, Inc. PO Box 220 Durango, CO 81302 | Douglas McCarthy, M.B.A. 1/1/18 - 12/31/18. Support for Health System Performance Tracking: Analysis and Reports | 11/14/2017 | \$ 434,380 | no | Final financial report submitted 3.19.19. Grant work is complete | \$ 434,380 | Not required |
| 20160524 | Leavitt Partners, LLC 299 South Main Street, #2300 Salt Lake City, UT 84111 | David Muhlestein, Ph.D.,J.D. 4/15/16 - 4/14/17. Assessing Value-Based Payment's Impact on the Total Costs of Care | 4/12/2016 | \$ 390,067 | no | Final Financial Report submitted 5.31.18 and final narrative report submitted 6.20.18. All grant work is complete. | \$ 531,640 | Not required |
| 20192260 | Leavitt Partners, LLC 299 South Main Street, #2300 Salt Lake City, UT 84111 | Erik Krisle, M.P.P. 3/1/19 - 6/15/19 . Analysis of Florida Medicaid Expansion | 2/20/2019 | \$ 147,531 | no | N/A. Grant Reports due next fiscal year | N/A.-Financial Report due in next fiscal year. | Not required |
| 20170801 | London School of Economics and Political Science Houghton Street London, ENGLAND WC2A 2AE | Elias Mossialos, Ph.D. 12/15/16 - 12/14/17. The Commonwealth Fund International Profiles of Health Care Systems 2017 | 11/8/2016 | \$ 133,000 | no | Final narative report submitted 6.28.19. Final financial report overdue. | \$ 40,998 | Not required |

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|-----------|--|--|------------|--------------|--------------------------|--|--|-----------------------------------|
| 20181255 | Manatt, Phelps & Phillips, LLP 7 Times Sq New York, NY 10034 | Deborah Bachrach, J.D. 11/1/17 - 3/15/18. Medicaid and the Justice-Involved Populations: Opportunities for States to Improve Connections to Needed Health Care Services | 11/3/2017 | \$ 50,000 | no | Final Financial Report submitted 1.17.19 and final narrative report submitted 1.17.19. All grant work is complete. | \$ 105,600 | Not required |
| 20181844 | Manatt, Phelps & Phillips, LLP 7 Times Sq New York, NY 10035 | Deborah Bachrach, J.D. 4/4/18 - 5/31/18 . Work and Community Engagement Requirements in Medicaid: Operational Considerations for States | 4/11/2018 | \$ 40,000 | no | Final Financial Report submitted 7.27.18 and final narrative report submitted 7.13.18. All grant work is complete. | \$ 153,479 | Not required |
| 20170961b | Manatt, Phelps & Phillips, LLP 7 Times Sq New York, NY 10036 | Cindy Mann, J.D. 5/29/17 - 7/29/17. Implications of Capping Medicaid Funding | 5/26/2017 | \$ 165,000 | no | Final Financial Report submitted 8.8.19 and final narrative report submitted 8.8.19. All grant work is complete. | \$ 132,000 | Not required |
| 20181705 | Manatt, Phelps & Phillips, LLP 7 Times Sq New York, NY 10036 | Cindy Mann, J.D. 5/1/18 - 4/30/19 . Implications of Federal Medicaid Changes for the Health Care Delivery System | 4/10/2018 | \$ 225,000 | no | Interim financial report submitted 12.27.18. | \$ 88,153 | Not required |
| 20192217 | Manatt, Phelps & Phillips, LLP 7 Times Sq New York, NY 10036 | Deborah Bachrach, J.D. 12/10/18 - 1/31/19 . Evaluating Provider Tax Options to Finance Nebraska's Medicaid Expansion | 12/18/2018 | \$ 60,000 | no | Final Financial Report submitted 2.20.19 and final narrative report submitted 2.20.19. All grant work is complete. | \$ 56,246 | Not required |

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|-----------|---|---|------------|--------------|--------------------------|--|--|-----------------------------------|
| 20192225 | Manatt, Phelps & Phillips, LLP 7 Times Sq New York, NY 10036 | Melinda Dutton, J.D. 1/16/19 - 6/30/19 . Expert Advisory Panel on Rate Schedule Development for North Carolina's Healthy Opportunities Pilot Services | 1/17/2019 | \$ 200,000 | no | N/A. Grant Reports due next fiscal year | N/A.-Financial Report due in next fiscal year. | Not required |
| 20192317 | Manatt, Phelps & Phillips, LLP 7 Times Sq New York, NY 10036 | Cindy Mann, J.D. Projected Impacts of Capping Medicaid Funding Through Waivers | 6/29/2019 | \$ 199,000 | no | N/A. Grant Reports due next fiscal year | N/A.-Financial Report due in next fiscal year. | Not required |
| 20181277f | McCabe Message Partners, LLC 1825 Connecticut Avenue NW #300 Washington, DC 20009 | Patrick McCabe 7/15/18 - 12/31/18 . Better Care Playbook 2018 Communications | 6/30/2018 | \$ 15,000 | no | Grant and financial reports are not required during 2018-19 fiscal year. | \$ 15,000 | Not required |
| 20192216 | McCabe Message Partners, LLC 1825 Connecticut Avenue NW #300 Washington, DC 20009 | Patrick McCabe 3/6/19 - 2/29/20 . 2019 Communications Plan for Six Foundation Collaborative | 3/5/2019 | \$ 25,000 | no | N/A. Grant Reports due next fiscal year | N/A.-Financial Report due in next fiscal year. | Not required |
| 20192004 | Milbank Memorial Fund 645 Madison Ave Fl 15 New York, NY 10022-1095 | Lisa Dulsky Watkins, M.D. 9/1/18 - 12/31/18 . Multi-payer Primary Care Transformation: Investing in an Effective Collaborative | 8/1/2018 | \$ 50,000 | no | Final Financial Report submitted 2.28.18 and final narrative report submitted 2.28.18. All grant work is complete. | \$ 49,384 | Not required |

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|-----------|---|---|------------|--------------|--------------------------|--|--|-----------------------------------|
| 20181860 | Nathanson+Hauck 601 13th Street, Eleventh Floor North Washington, D.C. 20005 | Melanie Nathanson, M.P.A. 7/11/18 - 7/10/19 . Partnering to Engage Federal Policymakers, 2018–2019 | 7/10/2018 | \$ 120,000 | no | Final Financial Report submitted 7.10.19 and final narrative report submitted 7.1.19. All grant work is complete. | \$ 72,000 | Not required |
| 20192348 | Nathanson+Hauck 601 13th Street, Eleventh Floor North Washington, D.C. 20005 | Melanie Nathanson, M.P.A. 7/11/19 - 7/10/20 . Federal Insight and Engagement 2019-2020 | 6/22/2019 | \$ 120,000 | no | N/A. Grant Reports due next fiscal year | N/A.-Financial Report due in next fiscal year. | Not required |
| 20181618 | Nathanson+Hauck 601 13th Street, Eleventh Floor North Washington, D.C. 20005 | Melanie Nathanson, M.P.A. 1/1/18 - 6/30/18. 2018 Insight and Federal Engagement | 12/20/2017 | \$ 50,000 | no | Final Financial Report submitted 9.28.18 and final narrative report submitted 6.30.18. All grant work is complete. | \$ 50,000 | Not required |
| 20160726 | National Institute for Public Health and the Environment P.O. Box 1 3720 BA Bilthoven | Jeroen Struijs, Ph.D. 10/1/16 - 1/31/18. Key Design Elements of Bundled Payments – A Cross-Nation Comparison | 7/20/2016 | \$ 49,900 | no | Final Financial Report submitted 7.9.18 and final narrative report submitted 4.29.18. All grant work is complete. | \$ 43,430 | Not required |
| 20170807 | Pear Tree Communications, Inc. 3035 Lincoln Blvd Cleveland Heights, OH 44118 | Martha Hostetter, M.F.A. 1/1/17 - 12/31/17. Editorial Services in Support of Communications and Delivery System Reform | 11/8/2016 | \$ 98,645 | no | Final Financial Report submitted 4.10.18 and final narrative report submitted 12.21.17. All grant work is complete. | \$ 81,868 | Not required |
| 20181353 | Pear Tree Communications, Inc. 3035 Lincoln Blvd Cleveland Heights, OH 44118 | Martha Hostetter, M.F.A. 1/1/18 - 12/31/18. Transforming Care | 11/14/2017 | \$ 48,153 | no | Final Financial Report submitted 12.21.18 and final narrative report submitted 12.27.17. All grant work is complete. | \$ 45,898 | Not required |

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|-----------|--|---|------------|--------------|--------------------------|--|--|-----------------------------------|
| 20192114 | Pear Tree Communications, Inc. 3035 Lincoln Blvd Cleveland Heights, OH 44118 | Martha Hostetter, M.F.A. Transforming Care | 11/13/2018 | \$ 35,862 | no | Interim Financial Report submitted 6.27.19. All grant work to date is complete. | \$ 21,768 | Not required |
| 20181813 | Promoting Practical Health, Inc. 475 K Street NW Washington, DC 20001 | Lisa Fitzpatrick, M.D., M.P.H. 5/1/18 - 7/31/18 . Exploring the Acceptability and Use of Digital Technology to Improve Patient Engagement and Delivery of Health Information | 4/26/2018 | \$ 47,018 | no | Final Financial Report submitted 10.26.18 and final narrative report submitted 10.15.18. All grant work is complete. | \$ 47,291 | Not required |
| 20181908 | Providence St. Joseph's and St. Michael's Healthcare 30 Bond Street Toronto, Ontario M5B-1W8 Canada | Wendy Levinson, M.D. 7/1/18 - 12/31/18 . Sharing Innovations of Choosing Wisely Campaigns Globally | 6/6/2018 | \$ 40,000 | no | Final Financial Report submitted 7.31.19 and final narrative report submitted 7.31.19. All grant work is complete. | \$ 39,731 | Not required |
| 20192062 | Public Private Strategies, LLC 1305 Florida Ave NW Washington, DC 20009 | Rhett Buttle 12/1/18 - 6/30/19 . Support CMWF's Engagement with the Private Sector | 12/10/2018 | \$ 197,296 | no | Interim Financial Report submitted 4.15.19 and interim narrative report submitted 4.15.19. All grant work to date is complete. | \$ 98,648 | Not required |
| 20171048 | Rockefeller Archive Center 15 Dayton Ave Sleepy Hollow, NY 10591-1598 | Lee Hiltzik, Ph.D. Transfer and Maintenance of The Commonwealth Fund's Archives, Year 22 | 7/11/2017 | \$ 88,000 | no | Final Financial Report submitted 7.30.18 and final narrative report submitted 7.30.18. | \$ 85,000 | Not required |

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|-----------|---|--|------------|--------------|--------------------------|---|---|-----------------------------------|
| 20181896 | Rockefeller Archive Center 15 Dayton Ave Sleepy Hollow, NY 10591-1598 | Robert Clark 7/11/18 - 6/30/19 . Transfer and Maintenance of The Commonwealth Fund's Archives, Year 23 | 7/10/2018 | \$ 85,000 | no | Interim Financial Report submitted 3.6.19 and interim narrative report submitted 3.6.19 | \$ 47,133 | Not required |
| 20192239 | Scientific Institute for Quality of Healthcare Raboud University Nijmegen Medical Centre P.O. Box 9101 114 Nijmegen, 6500 HB | Philip van der Wees, Ph.D. 1/16/19 - 12/31/19 . Expansion of 2019 Commonwealth Fund International Health Policy Survey to include the Netherlands | 1/17/2019 | \$ 40,256 | no | Interim Financial Report submitted 6.19.19. All grant work to date is complete. | \$ 30,934 | Not required |
| 20192109 | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | Robyn Rapoport, M.A. 11/15/18 - 10/31/19 . Affordable Care Act Tracking Survey, 2019 | 11/13/2018 | \$ 674,866 | no | Interim Financial Report submitted 4.22.19. All grant work to date is complete. | \$ 205,592 | Not required |
| 20192210 | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | Robyn Rapoport, M.A. 12/30/18 - 11/30/19 . Oversample of U.S. Primary Care Physicians Serving Low- Income Areas in the 2019 International Health Policy Survey | 12/20/2018 | \$ 56,450 | no | Interim narrative report overdue. | N/A.-Interim Financial Report overdue and final financial report due in next fiscal year. | Not required |
| 20192298 | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | Robyn Rapoport, M.A. 5/1/19 - 11/30/20 . International Health Policy Survey, 2020 | 4/5/2019 | \$ 579,584 | no | N/A. Grant Reports due next fiscal year | N/A.-Financial Report due in next fiscal year. | Not required |

The Commonwealth Fund
Attachment to the Internal Revenue Service Form 990-PF
Expenditure Responsibility Statement for the year ending June 30, 2019

| Grant No. | Institution | Project Director and Description | Award Date | Award Amount | Any Diversion By Grantee | Dates of Reports by Grantee | Amount Spent by Grantee during fiscal year or grant period | Dates and Results of Verification |
|-----------|--|---|------------|--------------|--------------------------|--|--|-----------------------------------|
| 20160648 | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | Robyn Rapoport, M.A. 8/1/16 - 11/30/17. International Health Policy Survey, 2017 | 7/13/2016 | \$ 550,458 | no | Final Financial Report submitted 3.6.18 and final narrative report submitted 1.5.18. All grant work is complete. | \$ 550,458 | Not required |
| 20170962 | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | Robyn Rapoport, M.A. 4/15/17 - 4/14/18. Survey of Federally Qualified Health Centers, 2017 | 4/11/2017 | \$ 230,207 | no | Final Financial Report submitted 4.25.19. All grant work is complete. | \$ 228,204 | Not required |
| 20171079 | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | Robyn Rapoport, M.A. Affordable Care Act Tracking Survey, 2018 | 7/11/2017 | \$ 505,968 | no | Final Financial Report submitted 8.15.18 and final narrative report submitted 8.15.18. All grant work is complete. | \$ 605,192 | Not required |
| 20181496 | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | Robyn Rapoport, M.A. 4/15/18 - 6/30/18 . Learning About the Challenges Smaller Independent Practices Face in Delivering Primary Care to Low Income Patients | 4/10/2018 | \$ 79,635 | no | Final Financial Report submitted 11.1.18 and final narrative report submitted 7.17.18. All grant work is complete. | \$ 73,057 | Not required |
| 20181677 | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | Robyn Rapoport, M.A. 5/1/18 - 11/30/19 . International Health Policy Survey, 2019 | 4/10/2018 | \$ 538,877 | no | Interim Financial Report submitted 3.20.19. All grant work to date is complete. | \$ 147,539 | Not required |

The Commonwealth Fund
Attachment to the Internal Revenue Service Form 990-PF
Expenditure Responsibility Statement for the year ending June 30, 2019

| Grant No. | Institution | Project Director and Description | Award Date | Award Amount | Any Diversion By Grantee | Dates of Reports by Grantee | Amount Spent by Grantee during fiscal year or grant period | Dates and Results of Verification |
|-----------|--|--|------------|--------------|--------------------------|---|--|-----------------------------------|
| 20181774 | SSRS 1 Braxton Way, Suite 125 Glen Mills, PA 19342 | Robyn Rapoport, M.A. 5/1/18 - 12/31/18 . The Commonwealth Fund 2018 Biennial Health Insurance Survey | 4/10/2018 | \$ 626,431 | no | Final Financial Report submitted 2.19.19. All grant work is complete. | \$ 742,246 | Not required |
| 20192288 | Vox Media 1201 Connecticut Avenue NW Washington, DC 20036 | Kate Dailey 7/15/19 - 3/31/20 . Media Partnership to Examine International Models of Universal Health Coverage | 4/5/2019 | \$ 323,000 | no | N/A. Grant Reports due next fiscal year | N/A.-Financial Report due in next fiscal year. | Not required |
| 20150833 | Wakely Consulting Group 17757 Us Highway 19N, Suite 310 Clearwater, FL 33764 | Julia Lerche, F.S.A., M.A.A.A., M.S.P.H. 10/1/15 - 9/30/16. Actuarial Support Under Two Board Grants | 6/26/2015 | \$ 40,000 | no | Grant work submitted throughout grant period. Multiple financial reports submitted 3.16.16 - 2.28.17. | \$ 33,357 | Not required |
| 20181359 | Waxman Consulting, Inc. 1900 M Street, NW 3rd Floor Washington, DC 20036 | William Corr, J.D. 11/15/17 - 7/14/18. Generating and Disseminating Evidence on the Problems and Drivers of High Prescription Drug Pricing | 11/14/2017 | \$ 130,250 | no | Final Financial Report submitted 8.7.18 and final narrative report submitted 7.19.18. All grant work is complete. | \$ 152,240 | Not required |
| 20181795 | Waxman Consulting, Inc. 1900 M Street, NW 3rd Floor Washington, DC 20036 | William Corr, J.D. 8/1/18 - 7/31/19 . Lowering Prescription Drug Prices: Moving the Ball Forward | 7/10/2018 | \$ 266,000 | no | Interim Financial Report submitted 1.13.19. All grant work to date is complete. | \$ 124,884 | Not required |

The Commonwealth Fund
Attachment to the Internal Revenue Service Form 990-PF
Expenditure Responsibility Statement for the year ending June 30, 2019

| Grant No. | Institution | Project Director and Description | Award Date | Award Amount | Any Diversion By Grantee | Dates of Reports by Grantee | Amount Spent by Grantee during fiscal year or grant period | Dates and Results of Verification |
|-----------|---|--|------------|--------------|--------------------------|--|--|-----------------------------------|
| 20181375 | Westat, Inc. 1600 Research Blvd Rockville, MD 20850-3129 | David Radley, Ph.D., M.P.H. 1/1/18 - 12/31/18. Support for Health System Performance Tracking: Analysis and Reports | 11/14/2017 | \$ 351,414 | no | Final Financial Report submitted 7.25.19. All grant work is complete. | \$ 357,930 | Not required |
| 20192091 | Westat, Inc. 1600 Research Blvd Rockville, MD 20850-3129 | David Radley, Ph.D., M.P.H. 1/1/19 - 12/31/19. Support for a Research Unit to Update the Health System Scorecards and Analyze Variations in Health System Performance | 11/13/2018 | \$ 354,362 | no | N/A. Grant Reports due next fiscal year | N/A.-Financial Report due in next fiscal year. | Not required |
| 20181751 | Wynne Health Group, LLC 300 New Jersey Ave NW, Suite 900 Washington, DC 20001 | William Wynne, J.D. Tracking and Targeted Analysis of Medicare and Health Care Cost Policy Development, Phase 2 | 7/11/2017 | \$ 42,250 | no | Final Financial Report submitted 7.27.18 and final narrative report submitted 7.27.18. All grant work is complete. | \$ 42,500 | Not required |
| 20181825 | Wynne Health Group, LLC 300 New Jersey Ave NW, Suite 900 Washington, DC 20001 | William Wynne, J.D. 8/1/18 - 7/31/19. Tracking and Targeted Analysis of Medicare and Health Care Cost Policy Development | 7/10/2018 | \$ 90,000 | no | N/A. Grant Reports due next fiscal year | N/A.-Financial Report due in next fiscal year. | Not required |
| 20192344 | Wynne Health Group, LLC 300 New Jersey Ave NW, Suite 900 Washington, DC 20001 | William Wynne, J.D. 8/1/19 - 7/31/20. Tracking and Targeted Analysis of Medicare and Health Care Cost Policy Development | 6/2/2019 | \$ 98,000 | no | N/A. Grant Reports due next fiscal year | N/A.-Financial Report due in next fiscal year. | Not required |

The Commonwealth Fund
 Attachment to the Internal Revenue Service Form 990-PF
 Expenditure Responsibility Statement for the year ending June 30, 2019

| Grant No. | Institution | Project Director and Description | Award Date | Award Amount | Any Diversion By Grantee | Dates of Reports by Grantee | Amount Spent by Grantee during fiscal year or grant period | Dates and Results of Verification |
|-----------|--|---|------------|--------------|--------------------------|--|--|-----------------------------------|
| 20171038 | X4 Health, Inc. 18548 Clovercrest Circle Olney, MD 20832 | Christine Bechtel, M.A. 9/1/17 - 8/31/18. Developing a Standardized Request and Authorization Protocol for Electronic Patient Records | 7/11/2017 | \$ 119,510 | no | Final Financial Report submitted 10.5.18 and final narrative report submitted 9.19.18. All grant work is complete. | \$ 119,704 | Not required |

The following self-administered grants and authorizations are technically awards or authorizations to The Commonwealth Fund, a private foundation, and are therefore included in the Expenditure Responsibility Statement.

NOTE: For each of these self-administered grants and authorizations, awards, activities, payments and balances are reviewed and reconciled quarterly by the Commonwealth Fund controller, program officers, and grants management office, which satisfies the reporting requirements for these projects.

| Grant No. | Institution | Project Director and Description | Award Date | Award Amount | Any Diversion By Grantee | Dates of Reports by Grantee | Amount Spent by Grantee during fiscal year or grant period | Dates and Results of Verification |
|-----------|--|---|------------|--------------|--------------------------|---------------------------------------|--|-----------------------------------|
| 20140708 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Robin Osborn 4/1/14 - 10/14/16. Harkness Fellowships in Health Care Policy and Practice, 2015-16 | 4/8/2014 | \$ 1,800,625 | no | see note above | \$ 1,784,794 | Not required |
| 20150496 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Robin Osborn Harkness Fellowships in Health Care Policy and Practice, 2016-17 | 4/14/2015 | \$ 1,808,493 | no | see note above | \$ 1,861,128 | Not required |
| 20150567 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Donald Moulds. 6/1/15-5/31/16. Advisory Group Funds | 5/22/2015 | \$ 50,000 | no | see note above | \$ 45,734 | Not required |
| 20150684 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Robin Osborn 8/1/15 - 12/31/16. International Symposium on Health Care Policy, Fall 2016 | 7/13/2015 | \$ 375,505 | no | see note above. \$23,782.23 canceled. | \$ 351,723 | Not required |
| 20160623 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Robin Osborn 4/15/16 - 10/14/18. Harkness Fellowships in Health Care Policy and Practice, 2017-18 | 4/12/2016 | \$ 1,807,368 | no | see note above | \$ 2,628,490 | Not required |
| 20160649 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Robin Osborn 8/1/16 - 12/31/17. International Symposium on Health Care Policy, Fall 2017 | 7/11/2016 | \$ 395,800 | no | see note above | \$ 290,563 | Not required |

| | | | | | | | | |
|----------|--|---|-----------|--------------|----|---|--------------|--------------|
| 20170799 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Robin Osborn 12/1/16 - 8/31/17. Israel-United States Meeting on Caring for High Need/High Cost Patients 2017 | 11/8/2016 | \$ 92,400 | no | see note above. \$6,276.41 was canceled. | \$ 86,124 | Not required |
| 20170952 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Robin Osborn, M.B.A. 4/15/17 - 10/14/19. Harkness Fellowships in Health Care Policy and Practice, 2018-19 | 4/11/2017 | \$ 1,834,315 | no | see note above | \$ 2,084,777 | Not required |
| 20171005 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Michelle Doty, Ph.D. 4/15/17 - 4/14/18. 2017 - 2018 Authorization to Support Commonwealth Fund Stakeholder Data | 4/25/2017 | \$ 50,000 | no | see note above | \$ 42,759 | Not required |
| 20171075 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Robin Osborn, M.B.A. 8/1/17 - 12/31/18. International Symposium on Health Care Policy, Fall 2018 | 7/11/2017 | \$ 365,000 | no | see note above | \$ 441,984 | Not required |
| 20171076 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Robin Osborn Harkness Fellowship 20th Anniversary Event | 7/11/2017 | \$ 125,000 | no | see note above | \$ 74,593 | Not required |
| 20171107 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Eric Schneider, M.D, M.Sc. 7/1/17 - 6/30/18. Council of Economic Advisors Group Funds | 5/26/2017 | \$ 25,200 | no | see note above | \$ 21,165 | Not required |
| 20171121 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Donald Moulds, Ph.D. 7/1/17 - 6/30/18. Commonwealth Fund Program Advisory Funds | 6/22/2017 | \$ 50,000 | no | see note above | \$ 47,037 | Not required |
| 20171144 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Robin Osborn, M.B.A. 6/1/17 - 8/1/17. Consultant Writer/Editor to Summarize IHP Publications for Website | 4/29/17 | \$ 12,000 | no | see note above. Grant work was not initiated, all funds were canceled. | \$ - | Not required |

| | | | | | | | | |
|----------|--|---|------------|--------------|----|----------------|------------|--------------|
| 20181212 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Robin Osborn, M.B.A. 4/15/18 - 10/14/20 . Harkness Fellowships in Health Care Policy and Practice, 2019-20 | 4/10/2018 | \$ 1,486,675 | no | see note above | \$ 594,214 | Not required |
| 20181277 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Tanya Shah, M.B.A., M.P.H 1/1/18 - 12/31/18. Playbook Phase 3 Authorization: Activities to Improve Care for High-Need, High-Cost Patients | 11/14/2017 | \$ 300,000 | no | see note above | \$ 300,000 | Not required |
| 20181376 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | David Radley, Ph.D., M.P.H. 1/1/18 - 12/31/18. Authorization to Support Acquisition of Scorecard Data from External Sources, 2017-18 | 11/14/2017 | \$ 214,166 | no | see note above | \$ 128,156 | Not required |
| 20181709 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Robin Osborn, M.B.A. 4/1/18 - 8/31/18 . Support for Data Analysis for International Comparisons of Managing HNHC Patient Care | 3/23/2018 | \$ 50,000 | no | see note above | \$ 34,000 | Not Required |
| 20181893 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Kathleen Regan, 7/1/18 - 6/30/19 . General Support and Membership Grants | 7/10/2018 | \$ 128,400 | no | see note above | \$ 128,400 | Not required |
| 20181902 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Michelle Doty, Ph.D. 7/15/18 - 7/14/19 . Authorization to Support Program Evaluation | 7/10/2018 | \$ 100,000 | no | see note above | \$ 26,670 | Not required |
| 20192104 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Robin Osborn, M.B.A. 4/15/19 10/14/21 . Harkness Fellowships in Health Care Policy and Practice, 2020-21 | 4/5/2019 | \$ 1,250,242 | no | see note above | \$ 21,686 | Not required |
| 20192279 | The Commonwealth Fund 1 E 75th St New York, NY 10021 | Eric Schneider, M.D, M.Sc. 4/15/19 - 4/14/20 . Authorization, Health Care in America Year 2 | 4/5/2019 | \$ 500,000 | no | see note above | \$ 487,718 | Not required |

**The Commonwealth Fund
13-1635260**

**Grant Application Instructions
Fiscal Year End 6/30/19**

**Part XV, Page 10
Lines 2b and 2d**

**Applying for a Grant
How to Submit a Letter of Inquiry
Board-Level and Small Grant Proposal Guidelines
Disclosure of Other Support Form
Grant Budget Guidelines
Applicant Information Form
Project Budget**



The
Commonwealth
Fund

Applying for a Grant

To apply for a grant from the Commonwealth Fund, please send us a **letter of inquiry**. We will contact you if we need more information, and will request full grant proposals in cases where there may be a fit with our **programs' funding priorities**.

We will typically respond to your letter of inquiry within four to six weeks. Letters of inquiry are accepted on a rolling basis.

The Fund makes grants only to tax-exempt organizations and public agencies and **does not support:**

- General support, ongoing activities, or existing deficits
- Endowment or capital costs, including construction, renovation, or equipment
- Basic biomedical research
- Conferences, symposia, major media projects, or documentaries, unless they are an outgrowth of one of the Fund's programs
- Individuals or scholarships, outside of the Fund's existing fellowship programs
- Churches or other religious organizations unless the project for which they seek funding is entirely secular in nature

Key resources for your application are available below. The budget template can be found **here**.

Downloads

- 📎 **[LOI Application Instructions](#)**
- 📎 **[Board and Small Grant Proposal Guidelines](#)**
- 📎 **[Disclosure of Other Support](#)**
- 📎 **[Grant Budget Guidelines 2016](#)**
- 📎 **[Applicant Information Form](#)**



The
Commonwealth
Fund

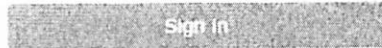


The Commonwealth Fund

How to Submit a Letter of Inquiry

Register for an account

1. Go to: commonwealthfund.force.com
2. Click "New User?" to begin the registration process (or log in if you're already registered).



[Can't access your account?](#) | [New User?](#)

NEW USERS

To access the online registration form:

1. Click the "New User?" link
2. Complete and save your registration
3. Follow the instructions to login

RETURNING USERS

Enter your username and password then click the "Sign in" button.

If you have forgotten your password, click the "Can't access your account?" link and follow the instructions to reset your password.

3. Make sure the "Organization" button at the top is selected and fill in all fields as completely as possible, then click "Register."

[Portal Sign Up](#)

Step 1

* [Organization](#) [Individual](#)

Organization Name *

Select the 'Individual' button if you are applying for a fellowship, such as the Harkness Fellowships in Health Care Policy and Practice, or The Commonwealth Fund Mongan Fellowship in Minority Health Policy.

Employer Identification Number (EIN)

Street

Please enter your Organization's Address in the fields to the left.

City

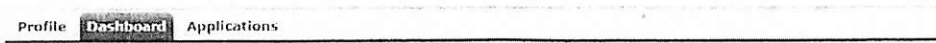
4. You will receive an email from The Commonwealth Fund with the subject line "Thank you for registering! Please verify your Email." Follow the link to verify your account.
5. Click the "Go to Log in Page" button on the verification page to go to the portal and begin your letter of inquiry.

Completing a letter of inquiry

1. After logging, choose the "Applications" tab near the top left of the page.



2. Select the link for "Letter of Inquiry" and begin your letter.
3. If you wish to save your letter in progress before submitting it, you can access it under the "Dashboard" tab under "Letter of Inquiry Applications"

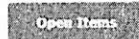
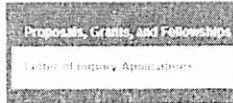


Welcome to The Commonwealth Fund Portal

Click the Applications tab above to select and start a LOI or Fellowship application.

To edit your current application use the Edit icon (paper & pencil) below.

Letter of Inquiry Applications



Closed Items

THE COMMONWEALTH FUND
Board-Level and Small Grant Proposal Guidelines
(Revised August 2014)

Prospective grantees should use these guidelines when preparing proposals for The Commonwealth Fund. Please do not prepare a full proposal unless you have been in contact with a Fund program officer first. If you have not been in touch with a program officer, please submit a letter of inquiry first.

Proposals for Board-level grants and small grants should include the following components. Guidelines for maximum length are shown, but brevity is encouraged.

- I. Dated cover letter from the principal investigator to the Fund program officer(s) requesting support for the project.

- II. Proposal including:
 - A. **Project abstract (up to 200 words)**
 - B. **Background (up to 2 pages)**

The background should explain why the proposed project is important. The rationale should be grounded in relevant evidence and highlight the nature of the problem to be addressed and how the grant will help solve the problem or uniquely contribute to the field. Please describe other related work underway by you or others, and reference published and completed work. If the project is a subsequent phase of a previously funded grant, please summarize how the new work will enhance or extend previous accomplishments and outcomes.
 - C. **The Project (up to 10 pages, 1.5 spaced, 12 pt. font). Please include:**
 1. **Project Goal**

Briefly state the purpose of the project, its unique contribution to the field (e.g., providing new knowledge, increasing awareness, building capacity for change, creating conditions for sustainability) and how it fits with the Fund's program's goals. Describe what we will learn from this project or study.
 2. **Methodology or Major Activities**
 - A. If this is research project, please include:
 - a. Research aims or research questions (clear statement of hypotheses)
 - b. Proposed design and conceptual framework
 - c. Detailed methodology including:
 - i. How data will be collected
 - ii. How intervention sites or participants will be identified
 - iii. If appropriate, how comparison sites or participants will be

identified

- iv. Analytic plan
 - v. Approaches to help minimize bias
 - vi. If applicable, address sampling methodology, power calculations and targeted response rates
 - vii. Strengths and weakness of your methodology
- d. Plan for extending the results or lessons from the project beyond the participants to a broader audience. Define target audience for results and how you will engage them

B. If this is not a research project, please include:

- a. Target population or audience and rationale
- b. Methods for engaging appropriate stakeholders or participants
- c. Major project activities
- d. If this project is a meeting, please include a draft agenda and potential meeting participants
- e. If this project includes provision of technical assistance, provide evidence of successful, similar work conducted by project team
- f. Plan for extending the lessons from the project beyond participants and to a broader audience
- g. Measures of success
- h. Project limitations

3. **The Products.** List project deliverables. If you plan to produce paper(s), include draft titles, authors and proposed publication venues. Think broadly about target audiences and, as appropriate, include ways to reach the audience beyond traditional publications
4. **Timeline.** The anticipated dates for major products should be included in this work schedule.
5. **Expected Outcomes (1 paragraph).** Briefly describe how the project will contribute to work or knowledge in the area, raise public or professional awareness, meet an articulated need, advance the Fund's goals, or set the stage for future work.

D. Grantee Organization (up to 1 page).

Describe the mission, size, years in existence, assets, Board of Directors, major sources of support, and unique qualifications of the grantee organization to carry out the project.

E. Project Management (up to 1 page)

1. Describe the Project Director, staff, and other key personnel and their roles (degrees, publications, pertinent honors, memberships, experience in the field, unique qualifications to be in charge of the project, past projects for the Fund).

2. Describe how you plan to work with the Fund, including how often and how closely you plan to interact with Fund staff.

F. Budget with Justification

Provide a line-item budget with justification for all cost calculations. Applicants should carefully review the Fund's *Budget Guidelines* for more specific requirements. As noted in the Budget Guidelines, show total project budget, including any committed and anticipated co-funding.

- III. **Letters of commitment** and/or proposal from key partners and for any portion of the project to be conducted by a consultant or subcontractor, including scope of work, budget with justification, timeframe, workplan, resumes.
- IV. **Resumes** for Project Director and other key staff listed in the project budget.
- V. **Disclosures of Other Support.** A separate *Disclosure of Other Support* Form should be completed for all key personnel to be funded through the proposed grant, including the PI, Co-PI, and any other key person on the grant (i.e., any researcher, consultant, or contractor contributing substantively to the project's execution).
- VI. **Tax Papers.** Evidence that the grantee institution is exempt from federal taxation under IRS Code 501(c)(3) and not a private foundation under IRS Code 509(a), along with a letter signed by a responsible officer of the applicant institution certifying that the copies are true and correct copies of the originals on file and that they remain in full force and effect. If the grantee organization is not exempt from federal taxation under IRS Code 501(c)(3), please provide organization's articles of incorporation and the organization's most recent audited financial statements.
- VI. **Applicant Information Form.** Please attach a completed *Applicant Information Form* with the proposal.

**The Commonwealth Fund
Disclosure of Other Support Form**
(updated 5-1-12)

Date:
Organization Name:
Project Director (or Principal Investigator/P.I.):
Project Title:
Grant Number (if available):
Grant Dates:
Proposed Grant Budget Amount:

This form should be completed during the grant application process, and again when grant-supported publications are submitted for Fund staff review. A separate form should be completed for all key personnel to be funded through the proposed grant, including the PI, Co-PI, and any other key person on the grant (i.e., any researcher, consultant, or contractor contributing substantively to the project's execution). Prior to publication review, the form must be updated or completed for all authors. This form should be signed by an institutional financial/contracts officer.

1. Sources of Institutional Support: Other support includes all financial resources, Federal and non-Federal, commercial, institutional, or clinical. Please note if any of support noted below is funding from a federal government agency through an I.P.A. (Intergovernmental Personnel Act) agreement. If you receive I.P.A. support, Fund staff will contact you to request more information about the contract.

NAME:

TITLE:

| SOURCE OF SUPPORT | % Effort |
|---|----------|
| 1. Commonwealth Fund grant listed above | |
| 2. | |
| 3. | |
| 4. | |
| 5. | |
| 6. | |
| 7. | |
| 8. | |
| TOTAL | |

**The Commonwealth Fund
Disclosure of Other Support Form
(updated 5-1-12)**

If approval of the proposed project will cause the support to increase above 100%, or if there is overlap between activities of funded projects, please note how changes will be made to address the duplication of funding or project work.

II. Do you (person listed in section I) serve on any corporate boards, and/or do you have a financial interest in any other for-profit organization in the health care field?

yes no

If yes, please list any corporate boards on which you serve, and any other for-profit organizations in the health care field in which you have a financial interest or from which you receive compensation (excluding ownership of publicly-traded stock, or stock in mutual funds). Please attach additional pages as necessary.

III. Do you serve on any non-profit health care organization boards?

yes no

If yes, please list any non-profit health care boards on which you serve. Please attach additional pages as necessary.

Reviewed and approved by:

Project Director or Staff date

Institutional Finance/Contracts Officer date



GRANT BUDGET GUIDELINES

1. **Budget format:** The Fund requires a line item budget for all funded projects. A sample budget is provided as an optional template. To the extent possible, grant budgets should be broken down into major tasks or phases of work. If the project is suited to a task or phase format, specify the steps needed to accomplish the work, the time frame, who will participate, and a detailed line item budget. If a task or phase spans more than one year, the line item budget should be broken down into annual budgets, with a grand summary budget provided as well.

The budget should be prepared in a spreadsheet program such as Excel. "Detailed Budget for Initial Budget Period," from PHS 398 from NIH, or an institutional template may be used as well.

2. A detailed budget justification should accompany all budgets, and should include an explanation for each line item in narrative format. Justification may be included in the body of the proposal or as a separate attachment.
3. The final budget should be dated and signed by the project director and the chief financial or contracts officer of the grantee institution. Draft budgets are accepted without signatures during preliminary review process.
4. The Commonwealth Fund total budget amount should be equal to the grant amount in the proposal.
5. **Co-funding:** If the Fund is providing only a portion of the funding for the project, the budget should have separate columns for the total grant budget, the requested amount from the Fund, and other co-funding support. The co-funding source, project period, and amount of other funding should be specified, with letters of commitment from other funders attached.
6. **In Kind support:** Contributions of non-personnel goods and services should be quantified. State the total in-kind support in the budget justification. Include in-kind staff time within the line item budget.

Budget Line Items

1. **Personnel:** The name, title, percent effort, annual salary, and fringe benefits should be listed separately for each individual in the budget. For personnel hired on a per diem basis, the number of days and the daily reimbursement rate should be specified. If the responsibilities of each individual are not described in the proposal, include them in a separate budget narrative.
 - It is recommended individuals with primary responsibility for the project should devote 10 to 25 percent effort. If the project director percent effort falls below this range, please provide an explanation. The Fund views percent effort as an indicator of the principal



The
COMMONWEALTH
FUND

GRANT BUDGET GUIDELINES

1. **Budget format:** The Fund requires a line item budget for all funded projects. A sample budget is provided as an optional template. To the extent possible, grant budgets should be broken down into major tasks or phases of work. If the project is suited to a task or phase format, specify the steps needed to accomplish the work, the time frame, who will participate, and a detailed line item budget. If a task or phase spans more than one year, the line item budget should be broken down into annual budgets, with a grand summary budget provided as well.

The budget should be prepared in a spreadsheet program such as Excel. "Detailed Budget for Initial Budget Period," from PHS 398 from NIH, or an institutional template may be used as well.

2. A detailed budget justification should accompany all budgets, and should include an explanation for each line item in narrative format. Justification may be included in the body of the proposal or as a separate attachment.
3. The final budget should be dated and signed by the project director and the chief financial or contracts officer of the grantee institution. Draft budgets are accepted without signatures during preliminary review process.
4. The Commonwealth Fund total budget amount should be equal to the grant amount in the proposal.
5. **Co-funding:** If the Fund is providing only a portion of the funding for the project, the budget should have separate columns for the total grant budget, the requested amount from the Fund, and other co-funding support. The co-funding source, project period, and amount of other funding should be specified, with letters of commitment from other funders attached.
6. **In Kind support:** Contributions of non-personnel goods and services should be quantified. State the total in-kind support in the budget justification. Include in-kind staff time within the line item budget.

Budget Line Items

1. **Personnel:** The name, title, percent effort, annual salary, and fringe benefits should be listed separately for each individual in the budget. For personnel hired on a per diem basis, the number of days and the daily reimbursement rate should be specified. If the responsibilities of each individual are not described in the proposal, include them in a separate budget narrative.
 - It is recommended individuals with primary responsibility for the project should devote 10 to 25 percent effort. If the project director percent effort falls below this range, please provide an explanation. The Fund views percent effort as an indicator of the principal

investigator's involvement and commitment to the project.

- The Fund cannot support more than 50 percent effort of university faculty members.
 - The budget may not include payments to members of the project director's or co-project director's immediate family for salaries, expenses reimbursement, or any other type of compensation. "Family Members" include spouses, domestic partners, children, grandchildren, great grandchildren, parents, siblings, half-siblings, ancestors, and spouses or domestic partners of children, grandchildren, great grandchildren, or siblings.
 - All key project personnel, as well as those devoting 10 percent effort or more, should complete the Fund's "Disclosure of Other Support" form.
2. ***Fringe benefits:*** Show fringe benefits at the grantee institution's standard rate, with a list of specific benefits included in the rate in the budget justification section. The Fund cannot provide additional benefits.
 3. ***Meetings:*** Meetings with participants from outside the grantee institution should be planned to minimize costs. The budget for meetings should indicate the number and type of participants, the length of the meeting, and the location. Show detailed cost calculations for travel and meeting expenses and honoraria if applicable. Wherever possible, the Fund encourages the use of video-conferencing to minimize meeting costs.
 4. ***Travel:*** Travel costs must directly relate to grant activities; costs typically include travel to the Fund for monitoring and oversight purposes or participation in advisory committee meetings, to disseminate project findings at professional conferences, and to conduct interviews or surveys as they relate to the project. The Fund supports coach class travel, and encourages the use of cost-savings booking processes, such as utilizing discounted university or association rates, wherever possible. Prospective grantees should check with their program officer to assure that adequate travel expenses have been budgeted to allow for possible trips to the Fund during the grant period.

Budgets should use the following average rates:

- \$1,100/trip for a one-night trip (includes coach airfare, hotel, food, ground transportation).
- \$500/trip for a one-day trip between NY and Boston or NY and Washington, D.C. (includes coach airfare or regional Amtrak, food, and ground transportation),
- \$1,500/trip for a two-night trip (includes coach airfare, hotel, food, ground transportation).

The grantee may propose different rates (higher or lower) with appropriate justification.

Pursuant to IRS regulations, travel expenses may not be paid directly to or on behalf

of U.S. government officials or employees (state, local, or federal governments) for meetings held outside of the United States, including Puerto Rico.

5. ***Honoraria/Consulting Fees:*** Up to \$500/day. Honoraria or consulting fees may not be paid to officials or employees of federal, state, and local governments, individuals who receive funding from a federal agency through an I.P.A (Intergovernmental Personnel Act) agreement, or employees of a private, operating or community foundation.
 - If consulting fees are paid to individuals from grant funds, a payee form must be completed for each individual and submitted to the Fund's finance office.
6. ***Equipment:*** The Fund does not support the purchase of major equipment for grantees in one year projects. If equipment is necessary for the completion of a multi-year project, the Fund will review these requests on a case-by-case basis. Provide an explanation of the purpose of the equipment, how it relates to the project objectives, and list project staff who would utilize equipment in the budget narrative. Equipment purchased with grant funds such as tablets, phones, and computers may be requested to be returned to the Fund or donated to charity at the end of the grant period.
7. ***Communications/Publications:*** Cost estimates for any communications and dissemination activities included in the work plan should be covered in the budget. The Fund does not support printing or reprinting costs of publications, but will support digital design. To reduce costs, the Fund may provide in-kind support from its own Communications department in preparation of publications.
8. ***Overhead:*** Indirect costs may not exceed 10 percent of direct costs. This indirect cost allowance is to cover items such as rent, utilities, office furnishings and equipment, accounting/staff services, library services, and the time of the organization's chief executive, financial, and administrative officers. If any of these charges is to be listed separately, then the sum of that charge and indirect charge cannot exceed the 10 percent allowance.

Direct costs are defined as those costs directly attributable to the project (i.e., personnel, consultants, project-related travel, data, and supplies, postage, and telephones use by project staff).

Items that should be excluded from the indirect cost calculation include:

- Subcontracts and purchased services (data and consulting)
- Direct fellowship support, stipends or tuition

If a subcontract budget does not include overhead expenses, the project budget may include the subcontract amount in the direct cost base for the indirect cost calculation.

9. ***Subcontracts:*** All the above guidelines apply to subcontract budgets.

Applicant Information Form



**The
Commonwealth
Fund**

Affordable, quality health care. For everyone.

APPLICANT ORGANIZATION

Organization IRS Name AKA
Employer Identification Number (EIN) Tax Status 501(c)3 Other

HEAD OF ORGANIZATION (PRESIDENT, EXECUTIVE DIRECTOR, CHAIRMAN, etc.)

Name Degrees(s) (If applicable)
Official Title
Mailing Address
City State ZIP
Telephone Email

PROJECT DIRECTOR

Name Degrees(s) (If applicable)
Official Title
Department
Mailing Address
City State ZIP
Telephone Email

CO-PROJECT DIRECTOR (IF APPLICABLE)

Name Degrees(s) (If applicable)
Official Title
Department
Mailing Address
City State ZIP
Telephone Email

INSTITUTIONAL FINANCIAL OFFICER

Name Degrees(s) (If applicable)
Official Title
Mailing Address
City State ZIP
Telephone Email

CONTRACTS MANAGER

Name Degrees(s) (If applicable)
Official Title
Mailing Address
City State ZIP
Telephone Email

COMPLETED BY

DATE

THE COMMONWEALTH FUND
PROJECT BUDGET
(Please attach budget narrative.)

Name of organization: _____ Project Director: _____
Fiscal year end date: _____ Budget Contact: _____
Project title: _____ Phone number: _____
Project start/end dates: _____ E-mail: _____
Date Prepared: _____

PROJECT EXPENSES

| | | Phase _____ | Task _____ | | | Requested from Commonwealth Fund | Other Support** |
|--|--------------|-----------------|--------------------|---------------|------------|--|-----------------|
| | | | | Total | | | |
| Personnel Expenses | | | | | | | |
| <i>Name</i> | <i>Title</i> | <i>% Effort</i> | <i>Base Salary</i> | <i>Fringe</i> | | | |
| 1. | | | | | \$ - | | \$ - |
| 2. | | | | | \$ - | | \$ - |
| 3. | | | | | \$ - | | \$ - |
| 4. | | | | | \$ - | | \$ - |
| | | | | | \$ - | | \$ - |
| Other Than Personnel Expenses | | | | | | | |
| Data | | | | | | | \$ - |
| Meeting Expenses | | | | | | | \$ - |
| Travel Expenses | | | | | | | \$ - |
| Telephone | | | | | | | \$ - |
| Postage | | | | | | | \$ - |
| Other | | | | | | | \$ - |
| (list or explain in narrative) | | | | | | | \$ - |
| | | | | | | | \$ - |
| Consultants | | | | | | | \$ - |
| Indirect Costs*** | | | | | | | \$ - |
| Subcontracts / Purchased Services | | | | | | | \$ - |
| | | | | | | | \$ - |
| Total Project Expenses | | | | | \$0 | \$0 | \$ - |

* Divide project by phase and or task as applicable; use a separate budget table for each.
**Add additional columns if there is more than one co-funder. Include in-kind contributions.
*** Indirect costs cannot exceed 10% of direct costs, excluding subcontracts and equipment; please see budgeting guidelines for further detail.