

U.S. Department of Labor

Office of Administrative Law Judges
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Issue Date: 30 March 2015

Case No.: 2015-CFP-00001

In the Matter of

BIRINDER KAUR SHANKAR
Complainant

v.

WELLS FARGO BANK, NA
Respondent

**ORDER INFORMING PARTIES OF NEW CASE NUMBER; AND DIRECTING
PARTIES TO USE NEW CASE NUMBER IN ALL FUTURE SUBMISSIONS**

This matter arises under Section 806 (the employee protection provision) of the Sarbanes-Oxley Act of 2002, as amended (“SOX” or “the Act”), 18 U.S.C. §1514A, and its implementing regulations found at 29 CFR Part 1980 and Part 18, Subpart A. It is scheduled for hearing commencing on April 27, 2015, in Denver, Colorado. The Complainant is not represented by counsel.

By Order dated February 18, 2014, I limited cognizance of the Complainant’s complaint to the Sarbanes-Oxley Act, as amended by the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010, Pub. L. 111-203 (July 21, 2010), and informed the parties that in the future I would issue an order changing the case number to reflect that it is a “SOX” case. Order of Feb. 18, 2015 at 5 n. 5.

The Office of Administrative Law Judges has administratively closed the prior case number and has issued the following case number for this matter: 2015-SOX-00010. I DIRECT that the parties use this case number for all future submissions.

SO ORDERED.

ADELE H. ODEGARD
Administrative Law Judge

Cherry Hill, New Jersey