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Issue Date: 03 June 2008

Case No.: 2008-SOX-00026

In the Matter of

KATHLEEN MacLAUGHLIN

Complainant

v.

PENN TREATY NETWORK NORTH AMERICA INSURANCE COMPANY and WILLIAM HUNT, President Respondents MacLaughlin

ORDER APPROVING WITHDRAWAL OF OBJECTIONS TO ASSISTANT SECRETARY'S FINDINGS

This matter arises out of a complaint of discrimination filed pursuant to the employee protection provisions of Section 806 of the Sarbanes-Oxley Act, codified at 18 U.S.C. § 1514A, and its implementing regulations, 29 C.F.R. part 1980.

On March 5, 2008, the Complainant filed objections to the Assistant Secretary's findings, and this matter was referred to the Office of Administrative Law Judges for adjudication, pursuant to 29 C.F.R. § 1980.106(a).

On May 29, 2008, the parties filed a stipulation, executed by counsel for the Complainant and counsel for the Respondents. In pertinent part, the stipulation stated that "all claims made or that could have been made against Respondents in this lawsuit are hereby dismissed with prejudice, with each party to bear its own costs, expenses and attorneys' fees." Based upon the stipulation, the parties requested I approve the dismissal of the instant matter, with prejudice.

Under the governing regulation, at any time before the Assistant Secretary's findings or order become final, a party may withdraw its objections to the findings or order by filing a written withdrawal with the administrative law judge. 29 C.F.R. § 1980.111(c). The administrative law judge will determine whether to approve the withdrawal.

I construe the parties' stipulation as a withdrawal of objections to the Assistant Secretary's findings, submitted in accordance with 29 C.F.R. § 1980.111(c). Because the parties jointly have submitted the withdrawal of objections, and the withdrawal of objections is unopposed, I hereby approve it. The parties are informed that, pursuant to 29 C.F.R.

§ 1980.106(b)(2), the findings of the Assistant Secretary shall become the final decision of the Secretary, and are not subject to judicial review.

SO ORDERED.

Α

ADELE H. ODEGARD Administrative Law Judge

Cherry Hill, New Jersey