



**Issue Date: 25 February 2020**

Case Nos.: 2020-SOX-00007; 2020-CFP-00001  
OSHA No.: 3-0050-15-049

*In the Matter of:*

**JOSEPH HAMILL,**  
*Complainant,*

v.

**BANC OF CALIFORNIA, N.A.,**  
*Respondent.*

**DECISION AND ORDER APPROVING SETTLEMENT,**  
**ORDER OF DISMISSAL WITH PREJUDICE, AND**  
**ORDER CANCELLING HEARING**

This proceeding arises under Section 806 of the Corporate and Criminal Fraud Accountability Act of 2002, Title VIII of the Sarbanes-Oxley Act of 2002 (“SOX”), as amended, 18 U.S.C. § 1514A, and the implementing regulations at 29 C.F.R. Part 1980, and the Consumer Financial Protection Act of 2010 (“CFPA”), codified at 12 U.S.C. § 5567, and its implementing regulations at 29 C.F.R. Part 1985. On February 24, 2020, I received the parties’ joint request that I approve the parties’ settlement agreement and dismiss this matter with prejudice.

I have reviewed the parties’ settlement agreement, and find its terms and conditions to be reasonable and appropriate, and that it was entered into voluntarily and not under duress. The terms and conditions of the settlement agreement are thus approved.

Accordingly, I find good cause to grant the parties’ joint request. Case Nos. 2020-SOX-00007 and 2020-CFP-00001 are hereby **DISMISSED WITH PREJUDICE**. All pending dates are vacated, and the hearing of this matter set for June 29, 2020, at 9:00 a.m. in Washington, D.C. is **CANCELLED**.

**SO ORDERED.**

**PAUL R. ALMANZA**  
Associate Chief Administrative Law Judge