# **U.S. Department of Labor**

Office of Administrative Law Judges 800 K Street, NW, Suite 400-N Washington, DC 20001-8002 TATES OF HE

(202) 693-7300 (202) 693-7365 (FAX)

Issue Date: 12 February 2016

**Case Number: 2015-STA-00005** 

In the Matter of:

MARK BREUNINGER Complainant,

v.

## MANN ENTERPRISES LLC, MELANIE MANN, CHRISTOPHER SPINDLER and WALLACE BLANKENSHIP Respondents

Appearances: Paul Taylor, Esq.

Truckers Justice Center Burnsville, Minnesota For the Complainant

Irv Nodland, Esq. Bismarck, North Dakota

For Respondent Mann Enterprises

Lawrence Dopson, Esq. Vogel Law Firm Bismarck, North Dakota

For Respondents Melanie Mann and Wallace Blankenship

Christopher Spindler Emily, Minnesota Pro Se

Before:

Stephen R. Henley

Administrative Law Judge

#### DECISION AND ORDER APPROVING SETTLEMENT AGREEMENT

This proceeding arises under the employee protection provisions of the Surface Transportation Assistance Act of 1982, as amended and recodified, 49 U.S.C. § 31105 (hereinafter the "STAA" or "Act") and the regulations promulgated thereunder at 29 C.F.R. Part 1978. Section 405 of the STAA protects employees from discharge, discipline and other forms of retaliation for engaging in protected activity, such as reporting violations of commercial motor vehicle safety rules or refusing to operate a vehicle when the operation would violate these rules or cause serious injury.

This case was scheduled for hearing on December 9, 2015 in St. Paul, Minnesota but cancelled after the undersigned received an unopposed motion from Claimant to vacate the hearing because the parties had reached a settlement.<sup>1</sup> On February 9, 2016, the parties submitted an executed Confidential Settlement Agreement and General Release ("Settlement")<sup>2</sup> for my review and requested that the case be dismissed.<sup>3</sup>

The STAA and implementing regulations provide that proceedings may be terminated on the basis of a settlement if either the Secretary or the Administrative Law Judge approves the settlement. 49 U.S.C. § 31105(b)(2)C); 29 C.F.R. § 1978.111(d)(2). Under the STAA, a settlement agreement cannot become effective until its terms have been reviewed and determined to be fair, adequate, and reasonable, and in the public interest. *Edmisten v. Ray Thomas Petroleum*, ARB No. 10-020, ALJ No. 2009-STA-00036 (ARB Dec. 16, 2009). Consistent with this required review, the regulations direct the parties to file a copy of the settlement "with the ALJ or the Administrative Review Board, United States Department of Labor, as the case may be." 29 C.F.R. 1978.111(d)(2). Any settlement approved by the Assistant Secretary, the ALJ or the ARB constitutes the final order of the Secretary and may be enforced pursuant to § 1978.113. 29 C.F.R. § 1978.111(e).

Having reviewed the settlement agreement and its provisions, which includes dismissal of the complaint with prejudice, I find the terms, obligations, and conditions fair, adequate and reasonable, and in the public interest. I also find that the settlement was not procured through duress.<sup>4</sup> Accordingly, I approve the parties' settlement and dismissal of the complaint with

<sup>2</sup> 29 C.F.R. § 1982.111(d)(1) states that at any time after the filing of objections to the Assistant Secretary's findings and preliminary order, the case may be settled, and, if the case is before an administrative law judge, the settlement

is contingent upon the approval of the administrative law judge.

<sup>&</sup>lt;sup>1</sup> The parties participated in mediation services offered by the Office of Administrative Law Judges pursuant to an order appointing a mediator issued on October 9, 2015.

<sup>&</sup>lt;sup>3</sup> The parties have agreed that the terms of the settlement will be treated as confidential. The parties are afforded the right to request that information be treated as confidential commercial information where, as here, they are required to submit information involuntarily. 20 C.F.R. § 70.26(b) (2001). The DOL is then required to take steps to preserve the confidentiality of that information, and must provide the parties with predisclosure notification if a FOIA request is received seeking release of that information. Accordingly, the Settlement in this matter will be placed in an envelope marked "PREDISCLOSURE NOTIFICATION MATERIALS." Consequently, before any information in this file is disclosed pursuant to a FOIA request, the DOL is required to notify the parties to permit them to file any objections to disclosure. *See* 29 C.F.R. § 70.26 (2001). Furthermore, the undersigned will refrain from discussing specific terms or dollar amounts contained in the Settlement.

<sup>&</sup>lt;sup>4</sup> I also find that Claimant and three out of four Respondents were ably represented by counsel. It appears that Mr. Spindler, though unrepresented, had ample time to consult an attorney if he had chosen to do so.

prejudice.<sup>5</sup> The parties shall implement the terms of the approved settlement as specifically stated in their agreement.

### **ORDER**

The settlement agreement is APPROVED and this matter is DISMISSED with prejudice.

### **SO ORDERED:**

STEPHEN R. HENLEY

Administrative Law Judge

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<sup>&</sup>lt;sup>5</sup> This approval applies only to the STAA complaint over which the Office of Administrative Law Judges has jurisdiction.