Office of Administrative Law Judges William S. Moorhead Federal Office Building 1000 Liberty Avenue, Suite 1800 Pittsburgh, PA 15222



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Issue Date: 13 January 2020

CASE NO. 2019-STA-00035

In the Matter of:

KEN CROSLIN, Complainant,

v.

STAR CORTAGE CO., INC., ANTHONY BLACKBURN And CHRISTOPHER LOVE, Respondents

ORDER APPROVING SETTLEMENT AND DISMISSING COMPLAINT WITH PREJUDICE

This matter arises from a complaint filed under the employee protection provisions of the Surface Transportation Assistance Act (STAA), 49 U.S.C. § 31105, as amended by Pub. L. No. 110-53 and its implementing regulations at 29 C.F.R. Part 1978. The claim was referred to the Office of Administrative Law Judges for formal hearing upon the filing of Objections to the findings of the Secretary of Labor's, Occupational Safety and Health Administration (OSHA), that there was no reasonable cause to believe Respondent violated the STAA.

Pursuant to the notice of settlement, on January 6, 2020, I cancelled the hearing in this matter then scheduled for January 9, 2020 in Nashville, Tennessee pending receipt of a motion to approve settlement with a copy of the fully executed settlement agreement. On January 9, 2020, Complainant, by and through his counsel, filed an unopposed motion to approve the settlement reached and to dismiss the complaint with prejudice. A copy of the executed Settlement Agreement was attached to the motion.

Pursuant to 29 C.F.R. § 1978.111(d)(2), I must approve the settlement agreement. In reviewing the settlement agreement, the Administrative Law Judge (ALJ) must determine whether the terms of the agreement fairly, adequately and reasonably settle the Complainant's allegations that Respondent violated the STAA and are not against public policy. *See, Edmisten v. Ray Thomas Petroleum*, No. 10-020, 2009 WL 5178504 (ARB Dec. 16, 2009). Once the settlement agreement is approved, it becomes the final action of the Secretary and may be enforced pursuant to 29 C.F.R. § 1978.111(e).

I have carefully reviewed the terms of the Agreement which encompass settlement and release of matters arising under the Act, as well as other federal, state, and local statutes and principles of contract and common law. It should be noted that my authority extends only to

approving matters properly before the U.S. Department of Labor, <u>Office of Administrative Law</u> <u>Judges</u>, i.e., the STA case, and therefore my review is limited to those matters over which I have proper jurisdiction. *See Poulos v. Ambassador Fuel Oil Co., Inc.,* 86-CAA-l, slip op. at 2 (Sec'y Nov. 2, 1987).

The parties jointly request that the Agreement be placed under seal under 29 C.F.R. §18.85 which I further construe as an assertion of Respondent's pre-disclosure notification rights in accordance with 29 C.F.R. §70.26.¹ The terms of the Agreement include a confidentiality provision limited only by disclosures required by law and to the Complainant's attorney, accountant/tax advisor and physicians, therapists or governmental entities [Agreement at Para. 13(a)]. It has been held in a number of cases with respect to confidentiality of settlement agreements that the Freedom of Information Act (FOIA),² requires federal agencies to disclose requested documents unless they are exempt from disclosure. *Faust v. Chemical Leaman Tank Lines, Inc.*, 92-SWD-2 and 93-STA-15 (ARB 1998). The records in this case are agency records which may be made available for public inspection and copying under the FOIA.

Pursuant to 29 C.F.R. §18.85 upon the motion of an interested person or on the Judge's own, the Administrative Law Judge may seal a portion of the record to protect against undue disclosure of privileged, sensitive or classified material. However, 29 C.F.R. §18.85(b)(2) provides that notwithstanding the Judge's Order, all parts of the record remain subject to statutes and regulations pertaining to public access to agency records.

In light of the parties' joint request, the confidentiality provision of the Agreement and noting the generally sensitive nature of the financial terms of the Agreement, I have decided to seal the Settlement Agreement from disclosure to the public. I have decided to redact the financial terms of the Agreement from disclosure to the public. Accordingly, the Agreement with the redaction of the financial terms will be placed in a separate sealed envelope and marked **"SETTLEMENT AGREEMENT REDACTED FOR PUBLIC DISCLOSURE"**. An unredacted version of the Agreement will be placed in a separate sealed envelope and marked **"UNREDACTED AGREEMENT – UNDER SEAL."** Consequently, before any information in the unredacted file is disclosed pursuant to a FOIA request, the DOL is required to notify the parties to permit them to file any objections to disclosure. *See* 29 C.F.R. § 70.26 (2016).

I have reviewed the administrative record and the Settlement Agreement executed by the parties. Based on my review, I find the Settlement Agreement to be fair, reasonable and adequate and have determined that it constitutes a fair, adequate and reasonable settlement of the complaint and is in the public interest. Thus, the Settlement Agreement complies with the standards required under the STAA and is **APPROVED**.

¹The parties are afforded the right to request that information be treated as confidential business information. See 29 C.F.R. §70.26 (2016). The DOL is then required to take steps to preserve the confidentiality of that information, and must provide the parties with predisclosure notification if a FOIA request is received seeking release of that information. Accordingly, an unredacted copy of the Settlement Agreement in this matter will be placed in an envelope marked "PREDISCLOSURE NOTIFICATION MATERIALS." Consequently, before any information in this unredacted file is disclosed pursuant to a FOIA request, the DOL is required to notify the parties to permit them to file any objections to disclosure. *See* 29 C.F.R. § 70.26 (2016).

² 5 U.S.C. §552, *et seq.* (1988).

Accordingly, it is **ORDERED** that:

- 1. The Motion to Approve Settlement Agreement is **GRANTED** and the accompanying Settlement Agreement **is APPROVED**; and
- 2. The Complaint is hereby **DISMISSED WITH PREJUDICE**.

PATRICIA J. DAUM Administrative Law Judge