



SENATE FISCAL OFFICE  
REPORT

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**AMERICAN RESCUE PLAN ACT**

**STATE FISCAL RECOVERY FUNDS**

**PROJECT UPDATES JANUARY 2024**

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JANUARY 4, 2024

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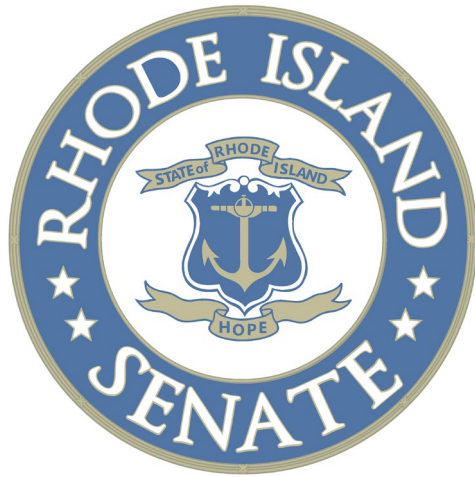
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**EXECUTIVE SUMMARY**

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## Executive Summary

### OVERVIEW

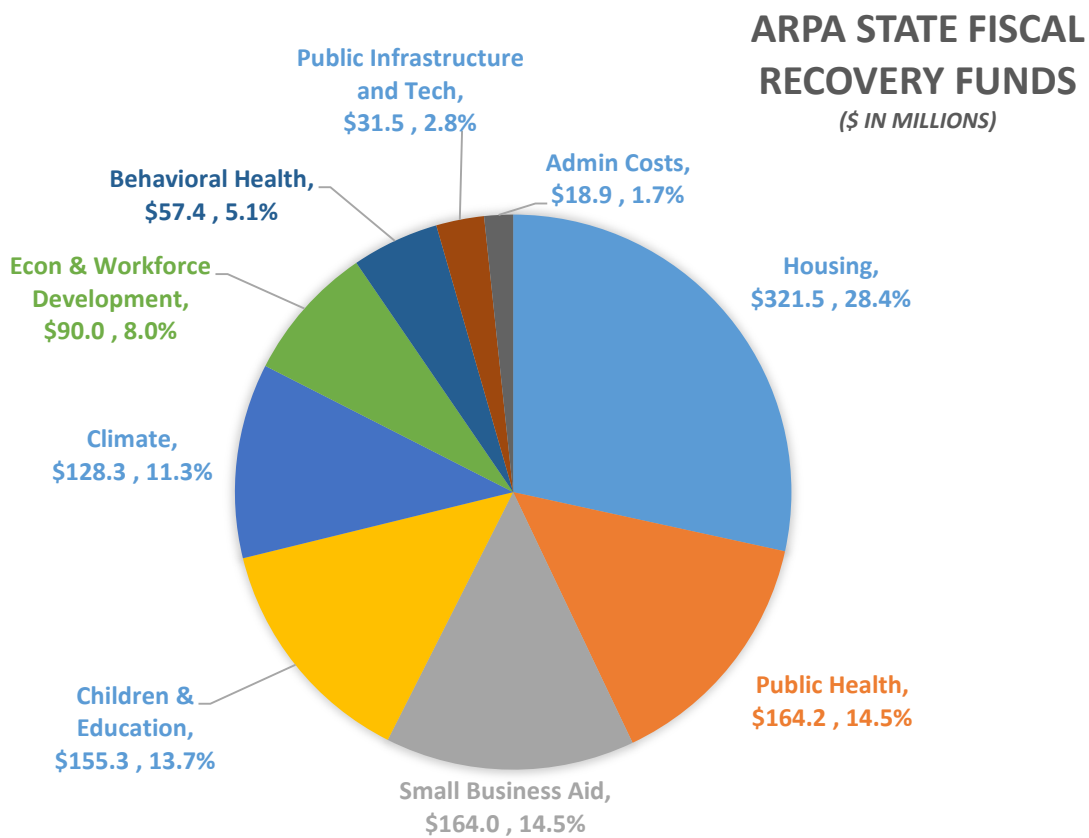
The federal American Rescue Plan Act of 2021 (ARPA) allocated federal funds to state and local governments to aid in the fiscal recovery from the COVID-19 pandemic. The Act included direct allocations to states under the State Fiscal Recovery Fund (SFRF) program. Rhode Island received \$1,131.1 million in SFRF funding. Funds are required to be obligated by December 31, 2024, and expended by December 31, 2026 (FY2027 Q2).

The State first appropriated a portion of SFRF in an amendment to the FY2022 Budget. The Governor proposed the use of approximately 10.0 percent of the SFRF in October 2021. The General Assembly held hearings that fall and early winter, and on January 4, 2022, the \$119.0 million ARPA “down payment” plan was adopted into law. The remainder of SFRF was allocated and appropriated in the FY2023 enacted budget, and amendments to projects and allocations have followed in the FY2023 Supplemental and FY2024 Enacted Budgets.

### FY2024 BUDGET AS ENACTED

The FY2024 Budget as Enacted shifted funding allocations so that all funds were obligated in fiscal years 2022 through 2024, to assure federal obligation timelines are met. Funds may still be spent beyond FY2024, through December 2026. Appropriations bills for FY2025 through FY2027 will presumably include carry forward allocations to allow spending in those outyears.

The Governor has categorized SFRF projects into nine functional areas, as shown in the chart.



The following table outlines SFRF allocations by fiscal year, adopted as of the FY2024 Budget as Enacted.

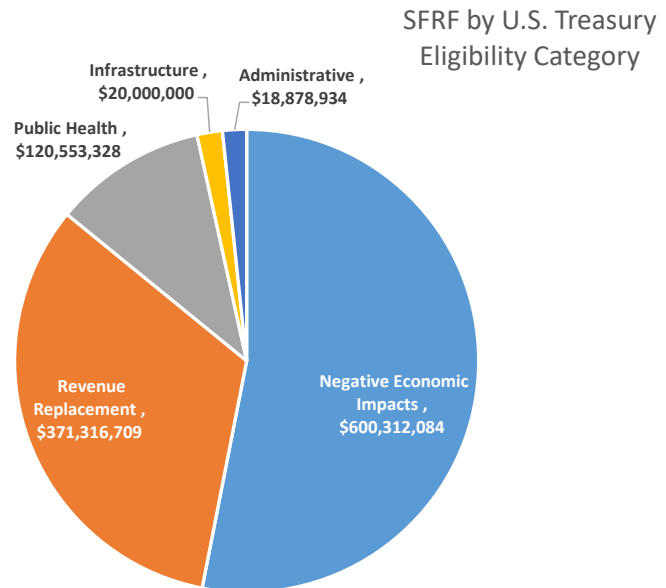
SFRF State Category	FY2022 Actuals	FY2023 Revised	FY2024 Enacted	Total
Housing	\$20,819,434	\$105,180,566	\$195,500,000	\$321,500,000
Public Health	-	84,788,710	79,422,645	164,211,355
Small Business and Impacted Industry	26,613,433	131,886,567	5,500,000	164,000,000
Children, Families, and Education	29,468,532	63,814,468	62,012,766	155,295,766
Climate	-	13,500,000	114,750,000	128,250,000
Economic and Workforce Development	-	10,000,000	80,000,000	90,000,000
Behavioral Health	-	53,425,000	4,000,000	57,425,000
Public Infrastructure and Technology	269,200	230,800	31,000,000	31,500,000
Administration	6,093,604	5,866,542	6,918,788	18,878,934
<b>Grand Total</b>	<b>\$83,264,203</b>	<b>\$468,692,653</b>	<b>\$579,104,199</b>	<b>\$1,131,061,055</b>

The multi-year spending plan is presented in detail in the Summary Tables section at the end of this report.

**U.S. TREASURY GUIDELINES**

The United States Treasury governs the use of federal SFRF monies. Under guidance issued by the agency, SFRF monies must be applied against the following allowable uses:

- (a) To respond to the public health emergency or its negative economic impacts, including assistance to households, small businesses, and nonprofits, or aid to impacted industries such as tourism, travel, and hospitality;
- (b) To respond to workers performing essential work during the COVID-19 public health emergency by providing premium pay to eligible workers (This eligible use expired with the conclusion of the official public health emergency on May 11, 2023).
- (c) For the provision of government services (including public sector capacity building investments), to the extent of the reduction in revenue due to the COVID-19 public health emergency relative to revenues collected in the most recent full fiscal year prior to the emergency; and
- (d) To make necessary investments in water, sewer, broadband infrastructure, and some limited surface transportation projects.



The FY2024 Budget makes the largest allocation in the Negative Economic Impacts category, followed by Revenue Replacement.

**REVENUE REPLACEMENT**

The “revenue replacement” category allows for the least restricted uses of ARPA SFRF monies. The U.S. Treasury outlined methodologies for states to calculate lost revenues, as measured by comparing actual revenues against what would have otherwise been expected to be collected (counterfactual revenue) if not for the pandemic and the subsequent economic downturn.



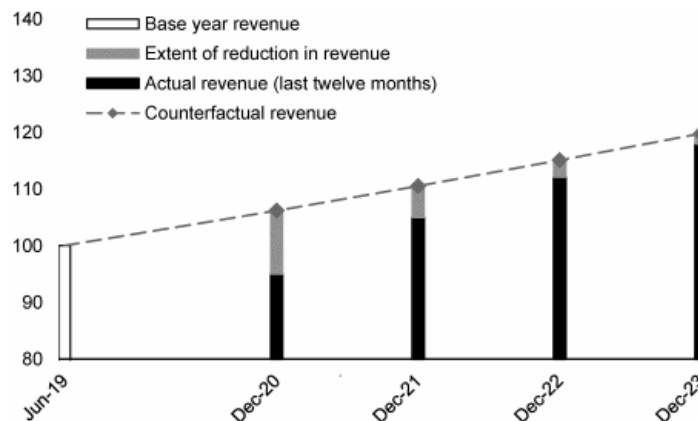
The RI Office of Management and Budget (OMB) calculated the state's calendar year 2020 revenue loss using a cash basis. The Treasury guidance allows the calculation to include state general fund revenues, and certain quasi-public revenues. OMB used FY2019 as the base year to calculate forward.

Treasury allows for an assumed 5.2 percent annual baseline growth, or a state's actual revenue growth rates between FY2017 and FY2019. Rhode Island's actual growth was lower than the Treasury baseline, so OMB used the 5.2 percent to calculate what the state should have collected in CY2020 versus what was actually collected.

Base Year (FY2019) Revenue	\$5,478,193,728
Growth Assumption	5.20%
Counterfactual Revenue (5.2% annual growth from 6/30/19 to 12/21/20)	\$5,911,000,500
Actual Revenue 12/31/20	\$5,397,353,602
<b>Estimated revenue loss due to pandemic in 2020</b>	<b>\$513,646,898</b>

Treasury guidance indicates that states may calculate revenue loss at four points in time: As of December 31, 2020; December 31, 2021; December 31, 2022; and, December 31, 2023. The \$513.6 million calculation is as of December 31, 2020, so additional revenue loss is possible in future years. Of note, if actual revenues exceed counterfactual revenues in future years, there is no claw-back provision. So, the state could see enhanced allowable revenue loss use of SFRF, but it will not be reduced below the \$513.6 million baseline already established regardless of revenue collection strength in the future.

The overall methodology for calculating the reduction in revenue is illustrated in the figure below:



Source: RI State Budget Office

## PERFORMANCE EVALUATION

A key component of the ARPA SFRF monies is that states must be able to identify the problems that the funding is being used to address, and then must regularly report progress in meeting defined benchmarks for success. The Office of Management and Budget and the Pandemic Recovery Office issued a project evaluation matrix for state agencies when proposing funding.

When evaluating the effectiveness of SFRF projects, legislators will need to review whether projects define deliverables that meet federal program effectiveness requirements. According to the Pandemic Recovery Office failure to accurately define and measure success could lead to federal funds recoupment.

**PANDEMIC RECOVERY OFFICE PROJECT STATUS EVALUATIONS**

The FY2024 Budget as Enacted required the Pandemic Recovery Office (PRO) to submit quarterly status reports on SFRF projects. Section 18 of Article 1 requires these reports to “identify programs that are at risk of underspending or noncompliance with federal or state requirements. The report, at a minimum must include an assessment of how programs that are at risk can be remedied.”

The PRO issued their first status report in October 2023. The report outlines the evaluation methodology used by the PRO.

“Every SFRF project approved by PRO has a planned expenditure schedule created by the State agency implementing it. To assist in identifying projects potentially at risk of significant underspending, PRO measured each SFRF project’s actual expenditures through the first quarter of FY 2024 against its planned expenditure through the same period. PRO identified projects with actual expenditures that were less than 25.0percent of planned expenditures through the first quarter of FY 2024 and contacted the relevant State agency to explain why spending is significantly behind expectations and how spending will accelerate so that all funds are obligated by U.S. Treasury’s deadline of December 31, 2024, and spent by U.S. Treasury’s deadline of December 31, 2026.”

The PRO then determined which projects to include in this report, breaking projects into two categories, Level I Monitored Projects and Level II Monitored Projects.

- **Level I Monitored Project** is an SFRF-funded project that is “at risk of significant underspending.” For each Level I Monitored Project included, PRO stated why it was identified as being at risk of significant underspending and/or noncompliance with federal or state requirements and includes the remedy proposed by the State agency to address this finding.

**Level II Monitored Project** is a project that PRO is monitoring for potential significant underspending in the future. PRO will provide an update on all monitored projects in the next quarterly report, due in January 2024.

American Rescue Plan Act Funding Project/Use Evaluation Matrix

	Eligibility	Administration / Tracking	Effectiveness
The Problem to be Addressed	Not every problem can be addressed with ARPA funds.  To be eligible for consideration, a project or use must: 1. Respond to a problem caused by COVID*, 2. Or be related to water, sewer, or broadband infrastructure.	Can the problem be measured?  Is there a “before COVID” baseline?  Can data be gathered to demonstrate a “post project” improvement?	Do we know how the problem arose?  Was the problem caused by one simple factor or by the interaction of many complicated factors?
The Solution to be Applied	The purpose of ARPA is to keep people from getting sick and to build a solid base for enduring economic recovery.  The Act clearly prefers some solutions over others.  Is the proposed solution one that is statutorily preferred, or does it need to be justified?  Will the solution contribute to investment in productive capacity?	How will the implementation of the solution/project be documented? (This is the who, what, where, when, and how of the project).  How will the success or failure of the implementation process be monitored, evaluated and reported?  How will mid-course corrections be documented and made if experience demonstrates the need for changes in administration?	Is this solution the best of all possible alternatives? Why? And how do we know?  How will the substantive success of the project be determined?  How will outcomes be documented and reported?
The People and Businesses to be Helped	Some communities and some groups of people were harmed by COVID more than others. The Act is designed to help those who need help the most.**	Can the participants be adequately communicated with?  Will it be expensive, easy or difficult to monitor program or project participation?  How many need to be engaged and how often?	How will questions of equity be handled?  Will the project or use be subject to attack because it is perceived of as unfair, inappropriate or unnecessary?

\* For the sake of brevity, this summary statement must suffice. The details are covered elsewhere.  
\*\* Even beyond the disproportionately impacted classes specifically addressed, the Act’s design avoids capital infusions into large institutions and financial markets; it emphasizes assistance to households and small businesses. The Act was designed to stimulate investment in productive assets and sectors rather than fleeting consumption or acquisition of passive assets.



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**PROJECTS AND PROGRAMS**

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## SFRF Projects and Programs

The General Assembly appropriated Rhode Island's allocation of State Fiscal Recovery Funds under the federal American Rescue Plan Act in three tranches from FY2022 through FY2024. These appropriations total \$1.131.1 million in SFRF resources supporting 85 distinct projects across nine categories ranging from affordable housing to children and families to aid to small businesses. According to the Pandemic Recovery Office, 75 projects have been approved for compliance with US Treasury guidelines, and 11 have been revised to incorporate new funding in subsequent appropriations. This totals \$942.4 million, or 83.3 percent of the State's total allocation.

The following section provides project descriptions, appropriation and spending data, and implementation updates. Tables include various additional data on the project, including US Treasury eligibility category, PRO risk level, and expenditures<sup>1</sup>. The fiscal data includes total appropriations, the total amounts that have been transferred from the PRO to the administering agency, expenditures and remaining appropriations and unspent transfers.

<b>Category</b>	<b>FY2022 Actual</b>	<b>FY2023 Revised</b>	<b>FY2024 Enacted</b>	<b>Total Appropriation</b>
Housing	\$20.8	\$105.2	\$195.5	\$321.5
Public Health	-	84.8	79.4	164.2
Aid to Small Business and Impacted Industries	26.6	131.9	5.5	164.0
Children, Families, and Education	29.5	63.8	62.0	155.3
Climate	-	13.5	114.8	128.3
Economic and Workforce Development	-	10.0	80.0	90.0
Behavioral Health	-	53.4	4.0	57.4
Public Infrastructure and Technology	0.3	0.2	31.0	31.5
Administration	6.1	5.9	6.9	18.9
<b>Total</b>	<b>\$83.3</b>	<b>\$468.7</b>	<b>\$579.1</b>	<b>\$1,131.1</b>

*\$ in millions. Totals may vary due to rounding.*

### HOUSING

*In preparation of this report the Senate Fiscal Office made repeated requests to the Department of Housing for a status update on the components of the Development of Affordable Housing initiatives. As of December 15, 2023, the Department of Housing had not provided a response to these requests. This is the second year in a row that the Department has not responded to Senate Fiscal Office requests for information. The Department was also unresponsive to Senate Fiscal Office requests in January 2023 when it previously produced a status report on SFRF projects.*

There are 16 project categories related to affordable housing and homelessness, totaling \$321.5 million in SFRF. Of these, only one at this time has been identified by the PRO as being at risk of underspending.

<sup>1</sup> US Treasury categories are denoted in tables as follows: Revenue Replacement (RR), Negative Economic Impacts (NEI), Public Health (PH), and Infrastructure (Infrastructure). PRO Risk Levels include I, II, or N/A. The latter indicates that the projects is not at risk.

<b>Project/Program</b>	<b>FY2022 Actual</b>	<b>FY2023 Revised</b>	<b>FY2024 Enacted</b>	<b>Total Appropriation</b>
Development of Affordable Housing	\$14.7	\$30.3	\$55.0	\$100.0
Homelessness Infrastructure	-	15.0	30.0	45.0
Targeted Housing Development	-	-	31.0	31.0
Down Payment Assistance	-	10.0	20.0	30.0
Site Acquisition	6.0	9.0	10.0	25.0
Home Repair and Community Revitalization	-	15.0	9.5	24.5
Homelessness Assistance Program	-	8.5	13.0	21.5
Workforce and Middle-Income Housing	-	12.0	8.0	20.0
Affordable Housing Predevelopment Program	-	2.5	7.5	10.0
Housing Related Infrastructure	-	-	4.3	4.3
Municipal Homeless Support	-	-	2.5	2.5
Municipal Planning	-	-	2.3	2.3
Statewide Housing Plan	-	2.0	-	2.0
Predevelopment and Capacity Building	0.1	0.9	0.5	1.5
Proactive Housing Development	-	-	1.4	1.4
Preservation of Affordable Housing Units	-	-	0.5	0.5
<b>Total</b>	<b>\$20.8</b>	<b>\$105.2</b>	<b>\$195.5</b>	<b>\$321.5</b>

*\$ in millions. Totals may vary due to rounding.*

### **Development of Affordable Housing**

SFRF-supported “Development of Affordable Housing” initiatives are administered by the Department of Housing in partnership with RIHousing and provide an enhanced gap-financing subsidy for affordable housing developments. The funds may be used in combination with or as a match to various housing programs including the state bond-supported Building Homes RI, Rhode Island’s Housing Production Fund, and U.S. Housing and Urban Development (HUD)’s Low-Income Housing Tax Credit programs. The program’s authorizing legislation also requires RIHousing to use \$10.0 million of the SFRF appropriations to develop and support a pilot program that supports low income public housing through project-based rental assistance vouchers and financing for pre-development, improvement, and housing production costs.

An initial SFRF appropriation of \$15.0 million occurred in January 2022, and is identified in the PRO reports and the table below as “Development of Affordable Housing”. Subsequent appropriations in FY2023 and FY2024 amounting to \$75.0 million are officially tracked as “Development of Affordable Housing Phase II” to distinguish progress from the earlier tranche. Total appropriations are \$100.0 million, with \$90.0 million for development and \$10.0 million for the pilot.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Development of Affordable Housing	Housing/RIH	NEI	N/A	\$15.0	\$14.7	\$7.0	\$0.3	\$7.8
Development of Affordable Housing Phase II	Housing/RIH	NEI	N/A	75.0	20.0	1.2	55.0	18.8
Public Housing Pilot Program	Housing/RIH	NEI	N/A	10.0	-	-	10.0	-
<b>Total</b>				<b>\$100.0</b>	<b>\$34.7</b>	<b>\$8.2</b>	<b>\$65.3</b>	<b>\$26.6</b>

\$ in millions. Totals may vary due to rounding.

**Status:** The Senate Fiscal Office has not received a response from the Department of Housing to its request for a status update on each of the components of the Development of Affordable Housing.

### Homelessness Infrastructure

SFRF-supported “Homelessness Infrastructure” initiatives, administered by the Department of Housing, are intended to reduce and mitigate the effects of homelessness. Program funding is used to acquire or construct, both temporary and permanent shelter space, to support homelessness prevention and stabilization programs, and other housing solutions. Additionally, the authorizing legislation requires a portion of SFRF funding be provided to Crossroads Rhode Island for sponsored housing development-based and/or housing-based solutions, wrap-around services, and administrative costs of implementation.

An initial SFRF appropriation of \$15.0 million occurred in FY2023, with \$10.0 million statutorily designated for Crossroads Rhode Island and \$5.0 million identified in the PRO reports and the table below as “Homelessness Infrastructure”. Subsequent revised appropriations in FY2023 and FY2024 amounting to \$30.0 million are officially tracked as “Homelessness Infrastructure Phase II” to distinguish progress from the earlier tranche. Total appropriations are \$45.0 million, with \$35.0 million for general homelessness infrastructure and \$10.0 million for Crossroads Rhode Island.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Homelessness Infrastructure	Housing/OHCD	NEI	N/A	\$5.0	\$3.2	\$3.2	\$1.8	-
Homelessness Infrastructure Phase II	Housing/OHCD	NEI	N/A	30.0	1.0	1.0	29.0	-
Permanent Supportive Housing: Crossroads	Housing/OHCD	NEI	N/A	10.0	1.3	0.6	8.7	0.7
<b>Total</b>				<b>\$45.0</b>	<b>\$5.5</b>	<b>\$4.8</b>	<b>\$39.5</b>	<b>\$0.7</b>

\$ in millions. Totals may vary due to rounding.

**Status:** The Senate Fiscal Office has not received a response from the Department of Housing to its request for a status update on each of the components of the Homelessness Infrastructure initiatives.

### Targeted Housing Development

SFRF-supported “Targeted Housing Development” initiatives are administered by the Department of Housing and are intended to develop housing in targeted areas and/or priority projects. A Priority Projects Fund supports the development of permanent supportive housing, housing dedicated to vulnerable Rhode Islanders, individuals transitioning out of state care, and extremely low-income individuals. A portion of the SFRF appropriation is reserved for the development of transit-oriented housing.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Targeted Housing Development: Priority Projects Fund	Housing	NEI	N/A	\$27.0	-	-	\$27.0	-
Targeted Housing Development: Transit-Oriented Housing	Housing	NEI	N/A	4.0	-	-	4.0	-
<b>Total</b>				<b>\$31.0</b>	<b>-</b>	<b>-</b>	<b>\$31.0</b>	<b>-</b>

*\$ in millions. Totals may vary due to rounding.*

**Status:** The Senate Fiscal Office has not received a response from the Department of Housing to its request for a status update on each of the components of the Targeted Housing Development initiative.

### Down Payment Assistance

The Down Payment Assistance program provides financial resources to eligible first-time home buyers to help lower down payment costs and promote homeownership. The initial iterations of the program limited the assistance to \$17,500. Out of concern that this limit could restrict the program’s responsiveness to the housing market, the General Assembly increased the limit to \$20,000 in the FY2023 Supplemental Budget. RIHousing has since indicated that \$17,500 has proven to be an effective level for the program.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Down Payment Assistance	Housing/RIH	RR	N/A	\$30.0	\$30.0	\$19.9	-	\$10.1

*\$ in millions. Totals may vary due to rounding.*

**Status:** The Senate Fiscal Office has not received a response from the Department of Housing to its request for a status update on the Down Payment Assistance program.

### Site Acquisition

The Site Acquisition program supports the purchasing of properties for redevelopment as affordable and supportive housing. Projects funded through this program are required to have deed restrictions of not less than thirty (30) years, and associated financing debt in which the only recourse a lender may have in the event of a default is to seize the property.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Site Acquisition Program	Housing/RIH	NEI	N/A	\$25.0	\$25.0	\$15.1	-	\$9.9

*\$ in millions. Totals may vary due to rounding.*



**Status:** The Senate Fiscal Office has not received a response from the Department of Housing to its request for a status update on the Site Acquisition program.

### **Home Repair and Community Revitalization**

The SFRF-supported “Home Repair and Community Revitalization” initiatives consist of two components. The first expands RIHousing’s existing acquisition and revitalization program, which finances the acquisition and redevelopment of blighted properties to increase the number of commercial and community spaces in disproportionately impacted communities and/or to increase the development of affordable housing. Residential developments through this program will serve households earning no more than 80.0 percent of area median income, while commercial and community spaces must meet the needs of residents of a census tract where at least 51.0 percent of the residents are low-and-moderate-income persons. The second component supports critical home repairs within the same communities.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Community Revitalization	Housing/RIH	NEI	N/A	\$20.0	\$14.0	\$0.8	\$6.0	\$13.2
Home Repair Program	Housing/RIH	NEI	N/A	4.5	-	-	4.5	-
<b>Total</b>				<b>\$24.5</b>	<b>\$14.0</b>	<b>\$0.8</b>	<b>\$10.5</b>	<b>\$13.2</b>

*\$ in millions. Totals may vary due to rounding.*

**Status:** The Senate Fiscal Office has not received a response from the Department of Housing to its request for a status update on each of the components of the Home Repair and Community Revitalization initiative.

### **Homelessness Assistance Programs**

SFRF-supported “Homelessness Assistance Programs” consists of several initiatives that expand housing navigation, behavioral health, and stabilization services to address homelessness; support operating subsidies for extremely low-income housing units; and support services for people transitioning from homelessness to housing, including individuals transitioning out of the adult correctional institutions.

An initial SFRF appropriation of \$1.5 million occurred in January 2022, and is identified in the PRO reports and the table below as “Homelessness Assistance”. Subsequent appropriations for the program of \$7.0 million and \$20.0 million were made in FY2023 and FY2024, respectively. The former allotment primarily supported the standup and operations of a winter warming/shelter center during the winter of 2022-2023. This is identified distinctly in the table below and by PRO reporting.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Homelessness Assistance	Housing/OHCD	NEI	N/A	\$1.5	\$1.2	\$1.2	\$0.3	-
Homelessness Assistance Phase II	Housing/OHCD	NEI	N/A	13.0	0.8	0.8	12.2	-
Homelessness Assistance Warming Center and Shelter	Housing/OHCD	NEI	N/A	7.0	5.8	5.8	1.2	-
<b>Total</b>				<b>\$21.5</b>	<b>\$7.8</b>	<b>\$7.8</b>	<b>\$13.7</b>	<b>-</b>

*\$ in millions. Totals may vary due to rounding.*

**Status:** The Senate Fiscal Office has not received a response from the Department of Housing to its request for a status update on each of the components of the Homelessness Assistance initiative.

### **Workforce and Middle-Income Housing**

The Workforce and Middle-Income Housing program supports efforts to increase the housing supply located near places of employment for families earning up to 120.0 percent of area median income. Housing supply for this program includes both rental housing and home ownership.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Workforce and Middle-Income Housing	Housing/RIH	RR	N/A	\$20.0	-	-	\$20.0	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** The Senate Fiscal Office has not received a response from the Department of Housing to its request for a status update on the Workforce and Middle-Income Housing program.

### **Affordable Housing Predevelopment Program**

The Affordable Housing Predevelopment program supports activity and work that is necessary before affordable housing development can take place, including vetting project viability, environmental testing, architectural design, etc. This predevelopment support helps to establish a pipeline of new projects and to build the capacity of affordable housing developers in the state.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Affordable Housing Predevelopment	Housing/RIH	RR	N/A	\$10.0	\$6.3	\$0.5	\$3.7	\$5.7

*\$ in millions. Totals may vary due to rounding.*

**Status:** The Senate Fiscal Office has not received a response from the Department of Housing to its request for a status update on the Affordable Housing Predevelopment program.

### **Housing Related Infrastructure**

The Housing Related Infrastructure program supports activity that increases the physical infrastructure necessary to produce additional housing. Program funds are allocated to the Rhode Island Infrastructure Bank and must be used for pre-development and development of site-related infrastructure that supports housing that meets affordable housing pricing and/or income and other criteria established by the Department of Housing.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Housing Related Infrastructure	Housing/RIIB	RR	N/A	\$4.3	-	-	\$4.3	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** The Senate Fiscal Office has not received a response from the Department of Housing to its request for a status update on the Housing Related Infrastructure program.

### **Municipal Homelessness Support**

The Municipal Homelessness Support program provides grants for community services that help emergency shelters improve outcomes in local communities. Services supported by this program include homeless outreach, day programs, ambulance response, and subsidizing municipal services delivered in support of shelters.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Municipal Homelessness Support	Housing	RR	N/A	\$2.5	-	-	\$2.5	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** The Senate Fiscal Office has not received a response from the Department of Housing to its request for a status update on the Municipal Homelessness Support program.

### **Municipal Planning**

SFRF-supported Municipal Planning initiatives provide technical and expert support to municipalities around affordable housing planning and implementation. A municipal fellows program is envisioned that would embed trained housing experts within communities. A separate grant program would make traditional technical assistance resources available to cities and towns, increasing their capacity to evaluate and implement innovative zoning changes in support of viable affordable housing.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Municipal Planning: Municipality Fellows	Housing	RR	N/A	\$1.3	-	-	\$1.3	-
Municipal Planning: Municipality Technical Assistance	Housing	RR	N/A	1.0	-	-	1.0	-
<b>Total</b>				<b>\$2.3</b>	<b>-</b>	<b>-</b>	<b>\$2.3</b>	<b>-</b>

*\$ in millions. Totals may vary due to rounding.*

**Status:** The Pandemic Recovery Office approved the program design of these Municipal Planning initiatives on October 4, 2023.

### **Statewide Housing Plan**

The General Assembly appropriated SFRF funds in 2022 to support the development of a statewide comprehensive housing plan that would assess current and future housing needs, consider barriers to home ownership and affordability, and identify services needed for increased investments toward disproportionately impacted individuals and communities. The funding can also be used to support municipal planning efforts to identify and cultivate viable sites and housing projects.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
State Housing Plan	Housing	RR	I	\$2.0	-	-	\$2.0	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** The Senate Fiscal Office has not received a response from the Department of Housing to its request for a status update on the Statewide Housing Plan.

The Pandemic Recovery Office indicates that the Department of Housing has only programmed half of the total appropriation since July 2022. PRO determined that actual expenditures to date by the Department of Housing on the Statewide Housing Plan are less than 25.0 percent of what it originally planned. Because of this PRO has designated this project a **Level I Risk** for significant underspending. The Department has submitted a modified set of planned expenditures to PRO that include:

- \$600,000 in additional work scope for its current plan consultant, Abt Associates;
- \$250,000 for a consultant to advise the Department on “approaches for building sufficient density, such as larger-scale development...and...building community support for them”; and
- \$150,000 for a new consultant and attorney to review and make recommendations on how the state should best organize around housing governance and what the relationships across housing organizations should be.

*Analyst Note: The proposed review of housing governance by a new consultant is nearly the same charge put to the original Secretary of Housing, Josh Saal, in 2022. Saal's review and recommendations were submitted to the General Assembly and Governor 17 days late and was deemed unresponsive. During testimony before the Senate Finance Committee in May 2023, the then new Secretary of Housing Stefan Pryor was asked if Saal's report recommendations would be adopted or if another process would be undertaken to improve upon them. In response Pryor indicated that*

a Rhode Island Foundation effort culminating in an April 2023 report titled [Housing Supply and Homelessness in Rhode Island - Observations and Options](#), would serve as the foundational document for housing reorganization. The options presented in that report presumably informed the significant changes in programming, policy, and powers that the Governor proposed for the Department of Housing in Articles 1 and 6 of his FY2024 proposed budget and subsequently enacted by the General Assembly in July, 2023.

In light of this history and the Secretary's previous positions, it appears that the \$150,000 of new planned expenditures to support additional review and advisement of housing governance may be redundant and unnecessary.

### **Predevelopment and Capacity Building**

The Predevelopment and Capacity Building program supports increased contract staff at the Department of Housing to administer proposed affordable housing projects through research and data analysis, stakeholder engagement, and the expansion of services for people experiencing homelessness.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Predevelopment and Capacity Building	Housing	RR	N/A	\$1.5	\$0.8	\$0.7	\$0.7	\$0.1

*\$ in millions. Totals may vary due to rounding.*

**Status:** The Senate Fiscal Office has not received a response from the Department of Housing to its request for a status update on the Predevelopment and Capacity Building program.

### **Proactive Housing Development**

The Proactive Housing Development initiative focuses on proactive development activities, including staffing, that are conducted by a new enhanced subsidiary of the Rhode Island Housing and Mortgage Finance Corporation that was initially established under Article 6 of the FY2024 Budget.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Proactive Housing Development	Housing	RR	N/A	\$1.4	-	-	\$1.4	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** As of September 30, 2023, the Pandemic Recovery Office had yet to approve the program design for the Proactive Housing Development program.

### **Preservation of Affordable Housing Units**

The Preservation of Affordable Housing Units program supports the preservation of existing structures for affordable housing, particularly for properties at risk of foreclosure or blight.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Preservation of Affordable Housing Units	Housing	RR	N/A	\$0.5	-	-	\$0.5	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** The Senate Fiscal Office has not received a response from the Department of Housing to its request for a status update on the Preservation of Affordable Housing Units program.

## PUBLIC HEALTH

There are four project categories related to public health, totaling \$164.2 million in SFRF. Of these, two have been identified by the PRO as being at risk of underspending.

Project/Program	FY2022 Actual	FY2023 Revised	FY2024 Enacted	Total Appropriation
Ongoing COVID-19 Response	-	\$2.0	\$78.1	\$80.1
Health Care Facilities	-	77.5	-	77.5
Public Health Clinics	-	4.0	-	4.0
Auto-Enrollment Program HSRI	-	1.3	1.3	2.6
<b>Total</b>	-	<b>\$84.8</b>	<b>\$79.4</b>	<b>\$164.2</b>

*\$ in millions. Totals may vary due to rounding.*

### Ongoing COVID-19 Response

SFRF-supported initiatives related to State's public health response to the pandemic include a foundational level of baseline support within the Department of Health for public health response activities and reserve funding within the Department of Administration to be available going forward in the event of the pandemic worsening. It also includes funding for the warehousing of pandemic-related supplies.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Ongoing COVID-19 Response	DOA	RR	I	\$41.8	-	-	\$41.8	-
COVID Baseline	DOH	RR	I	34.9	4.0	4.0	30.8	-
Public Health Response Warehouse Support	DOA	RR	N/A	3.4	0.8	0.8	2.6	-
<b>Total</b>				<b>\$80.1</b>	<b>\$4.8</b>	<b>\$4.8</b>	<b>\$75.2</b>	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** Two of the three components of the Ongoing COVID-19 Response initiatives have been identified as being in risk of significantly underspending by the PRO. The status is elaborated on below.

- **Ongoing COVID-19 Response:** The FY2024 Budget as Enacted includes \$41.8 million in federal funding from the ARPA SFRF for COVID-19 Ongoing Response activities. This includes the shift of unspent funds from FY2023, to continue COVID-19 mitigation activities and to address public health impacts, such as COVID-19 testing, vaccine campaign, case investigation, contact tracing efforts; and, for public health emergency response supports such as data and reporting; and communications.

According to PRO there have been no expenditures and that the State does not anticipate needing to use ongoing COVID-19 response funds during FY2024. As such, PRO identified this as a **Level I Risk**. The Department of Administration will recommend returning the FY2024 appropriation to the SFRF to be reappropriated for other eligible uses.

- **COVID Baseline:** These funds are allocated to (1) activities related to the prevention and mitigation of COVID-19, and (2) addressing public health impacts of the pandemic. The Department utilizes funds to provide epidemiological, testing, analytics, and operational support.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
COVID-19 Operational Support: Analytics	DOH	PH	N/A	\$15.0	\$3.5	\$3.5	\$11.5	-
COVID-19 Operational Support: Epidemiology	DOH	PH	I	10.9	0.5	0.5	10.4	-
COVID-19 Operational Support: Testing	DOH	PH	I	8.9	-	-	8.9	-
<b>Total</b>				<b>\$34.8</b>	<b>\$4.0</b>	<b>\$4.0</b>	<b>\$30.8</b>	<b>-</b>

\$ in millions. Totals may vary due to rounding.

- **COVID-19 Operational Support: Analytics:** This portion of the COVID-19 baseline supports the project’s analytics team with \$15.0 million in total appropriations. This portion of the project is not identified as at risk by PRO at this time. Pursuant to the Department FY2024 Revised and FY2025 requested budget, the Department plans to re-budget the COVID-19 funds for the upcoming fiscal year.
- **COVID-19 Operation Support: Epidemiology:** This portion of the COVID-19 baseline project supports epidemiological portions of COVID-19 mitigation. The subproject received a total of \$10.9 million in appropriations in FY2024. It is identified by the PRO as a **Level I Risk** project because actual expenditures are significantly less than the Department’s planned expenditures. RIDOH is revising its budget timeline and amending the timeline so that it more realistically reflects current trends. Pursuant to the Department FY2024 Revised and FY2025 requested budget, the Department plans to re-budget the COVID-19 funds for the upcoming fiscal year.
- **COVID-19 Operation Support: Testing:** This portion of the COVID-19 baseline project supports the acquisition and distribution of COVID-19 test kits. The subproject received a total of \$8.9 million in appropriations in FY2024. It is identified as a **Level I Risk** project because actual expenditures are significantly less than the Department’s planned expenditures. RIDOH is revising and amending its budget timeline so that is more realistically reflects current trends. Pursuant to the Department FY2024 Revised and FY2025 requested budget, the Department plans to re-budget the COVID-19 funds for the upcoming fiscal year.
- **Public Health Response Warehouse Support:** The FY2023 Budget as Enacted included \$2.0 million in federal funding from the ARPA SFRF for the storage of personal protection equipment (PPE) and other necessary COVID-19 response related supplies. The FY2024 Budget as Enacted included an additional \$1.4 million for a total revised appropriation of \$3.4 million. The Pandemic Recovery Office (PRO) approved the continuation of funding for this project on November 6, 2023. Currently, the State is leasing two warehouses; one is located at 2700 Plainfield Pike, in Cranston, and owned by EIM Plainfield Pike LLC; and the other is located at 100 Higginson Avenue, in Lincoln, and owned by Waterloo Way LLP. Through October 18, 2023, a total of \$764,960 has been expended leaving a balance of \$2.6 million for warehouse lease payments.

The costs of the warehouse, including lease payments, etc., are no longer eligible for FEMA reimbursement at 90.0 percent. For all SFRF projects, unexpended funds at the end of a fiscal year were automatically reappropriated to the following fiscal year. Expenditures from this appropriation through November 30, 2023, is \$973,943.

### Health Care Facilities

SFRF-funding to Health Care Facilities has been provided to support the ongoing staffing needs of hospitals, nursing facilities and community health centers related to the COVID-19 public health emergency. The FY2023 Budget as Enacted included \$77.5 million in federal funds, including \$45.0 million allocated to hospitals, or systems of hospitals with a base payment equivalent to \$1.0 million per hospital with the remaining appropriation allocated to each hospital based on a hospital's pro rata share of operating expenses from the 2021 cost reports and \$30.0 million distributed to nursing facilities based on the number of Medicaid beds days from the 2020 facility cost reports, provided at least 80.0 percent of a given nursing facility's allocation is dedicated to direct care workers. Lastly, \$2.5 million was distributed to the community health centers through the Rhode Island Health Center Association to support direct care staffing needs.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Health Care Facilities: Nonprofit Hospital Assistance	DOA	RR	N/A	\$40.5	\$40.5	\$40.5	-	-
Health Care Facilities: Nursing Home Assistance	DOA	RR	N/A	30.0	30.0	30.0	-	-
For Profit Hospital Assistance	DOA	RR	N/A	4.5	4.5	4.5	-	-
Health Care Facilities: Health Center Assistance	DOA	RR	N/A	2.5	2.5	2.5	-	-
<b>Total</b>				<b>\$77.5</b>	<b>\$77.5</b>	<b>\$77.5</b>	-	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** The FY2023 Budget as Enacted included \$77.5 million to address ongoing staffing needs of hospitals, nursing facilities and community health centers related to the COVID-19 public health emergency. The Pandemic Recovery Office (PRO) has not yet issued the final report on this item. According to the PRO, although all funds have been expended, the PRO is waiting on the final key performance indicators (KPIs) in order to produce the close-out reports for each sub-project. The subprojects included:

- **Hospital Assistance:** \$45.0 million was allocated to hospitals, or systems of hospitals, if hospitals are members of one; to include a base payment equivalent to \$1.0 million per hospital with the remainder of the appropriation allocated to each hospital based on a hospital's pro rata share of operating expenses from the 2021 cost reports.



<b>Health Care Facilities: Nonprofit Hospital Assistance</b>	<b>Amount</b>
Rhode Island Hospital	\$14,075,265
Miriam Hospital	5,192,832
Women and Infants Hospital of Rhode Island	4,857,780
Kent County Memorial Hospital	4,216,829
South County Hospital Healthcare System	2,685,878
Landmark Medical Center	1,992,026
Newport Hospital	1,955,147
Butler Hospital	1,926,538
Westerly Hospital	1,892,849
Emma Pendleton Bradley Hospital	1,677,600
Audit Fees	12,145
<b>Subtotal</b>	<b>\$40,484,889</b>
<b>Health Care Facilities: For-Profit Hospital Assistance</b>	<b>Amount</b>
Prospect Chartercare Roger Williams Medical Center	\$2,408,164
Prospect Chartercare Our Lady of Fatima Hospital	2,105,593
Audit Fees	1,355
<b>Subtotal</b>	<b>\$4,515,111</b>
<b>Total</b>	<b>\$45,000,000</b>

- **Nursing Home Assistance:** \$30.0 million was distributed to nursing facilities, based on the number of Medicaid beds days from the 2020 facility cost reports, provided at least 80.0 percent of the funding received by a nursing facility is dedicated to direct care workers. The State started distributing each nursing facility's share of the \$30.0 million on December 23, 2022. A total of 75 nursing facilities received their share of the \$30.0 million.
- **Health Center Assistance:** \$2.5 million was paid to the Rhode Island Health Center Association on December 29, 2022, and distributed to the eight eligible community health centers on that date to support direct care staffing needs.

<b>Health Care Facilities: Health Center Assistance</b>	<b>Amount</b>
Providence Community Health Center Inc.	\$775,517
Thundermist Health Center	688,543
Blackstone Valley Community Health Center	265,920
Comprehensive Community Action Program	231,930
Wellone	216,435
East Bay Health Center	131,461
Tricounty Health Center	94,972
Wood River Health Services Inc.	94,472
Audit Fees	750
<b>Total</b>	<b>\$2,500,000</b>

### **Public Health Clinics**

SFRF-funded Public Health Clinic initiatives includes assistance for the Rhode Island Free Clinic to improve statewide access and quality of primary care for uninsured adults, to increase access to dental care for uninsured adults integrated into medical care at the clinic, and to build infrastructure for telehealth and electronic medical records. It also includes resources for Open Door Health, a health clinic focused on the LGBTQ+ community, to expand services to address issues for people who are disproportionately impacted by the COVID-19 pandemic. These resources include \$2.0 million to support the building of a new facility.

Land acquisition and construction contracts for the new facility are required to be executed prior to June 30, 2024. Any funds not committed by that date are to be transferred back to the State.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Public Health Clinics: Free Clinic	DOH	NEI	N/A	\$2.0	\$0.7	\$0.7	\$1.3	-
Public Health Clinics: Open Door	DOH	RR	N/A	2.0	-	-	2.0	-
<b>Total</b>				<b>\$4.0</b>	<b>\$0.7</b>	<b>\$0.7</b>	<b>\$3.3</b>	<b>-</b>

\$ in millions. Totals may vary due to rounding.

**Status:** The Rhode Island Free Clinic has received approximately 35.0 percent of their \$2.0 million appropriation. Funding for Open Door Health was initially delayed due to a delay in negotiations for the purchase of a site. According to Open Door Health, the contract for the site has been established and it is now receiving payments.

**Auto-Enrollment Program HSRI**

The Auto-Enrollment Program at HealthSource Rhode Island (HSRI) supports the automatic enrollment of qualified individuals transitioned off Medicaid coverage at the end of the COVID-19 public health emergency into qualified health plans to avoid gaps in coverage following the public health emergency.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Auto-Enrollment Program HSRI	DOA/HSRI	NEI	I	\$2.6	\$0.5	\$0.5	\$2.1	-

\$ in millions. Totals may vary due to rounding.

**Status:** The Auto-Enrollment Program at HSRI has been identified as a **Level I Risk** by the PRO. The General Assembly appropriated a total of \$2.6 million in SFRF funds, \$1.3 million in FY2023 and an additional \$1.3 million in FY2024. In FY2024 the General Assembly appropriated additional funding based on a high-scenario estimate where as many as 50.0 percent of Medicaid terminations transferred to HSRI. Recent updated data reflect that 15.0 percent of Medicaid terminations are transferring to HSRI. According to PRO’s SFRF Quarterly Progress and Performance Report, HSRI is not going to spend the FY2024 appropriation and intends to return it to the State to be reallocated.

HSRI is approximately halfway done with the auto-enrollment program. As of late November 2023, eight of the 12 cohorts have kicked off, and six have generally completed Medicaid renewals, with two cohorts in process. Four cohorts remain to start, although they are by far the largest because families with children were assigned to the last four cohorts. Through the end of October, HSRI had paid for coverage for 4,314 individuals (in 3,735 households). Of these, 2,683 individuals were auto-enrolled, while the remainder actively selected a plan.

**AID TO SMALL BUSINESS AND IMPACTED INDUSTRIES**

There are five project categories related to aid to small businesses and impacted industries, totaling \$164.0 million in SFRF. Of these, two have been identified by the PRO as being at risk of underspending.

Project/Program	FY2022 Actual	FY2023 Revised	FY2024 Enacted	Total Appropriation
Unemployment Insurance Trust Fund Contribution	-	\$100.0	-	\$100.0
Aid to Small Business and Impacted Industries	26.6	18.4	-	45.0
Aid to the Convention Center	-	10.0	-	10.0
Minority Business Accelerator	-	2.0	4.0	6.0
Destination Marketing	-	1.5	1.5	3.0
<b>Total</b>	<b>\$26.6</b>	<b>\$131.9</b>	<b>\$5.5</b>	<b>\$164.0</b>

*\$ in millions. Totals may vary due to rounding.*

### **Unemployment Insurance Trust Fund Contribution**

The Unemployment Insurance (UI) Trust Fund Contribution project involves a direct payment of \$100.0 million to the Department of Labor and Training (DLT) in order to partially replenish the State's Unemployment Insurance Trust Fund. The events of COVID-19 led to an increase in unemployment claims, which resulted in a significant reduction in the UI Trust Fund's balance. The fund reflected a balance of \$264.4 million in July of 2022, compared to a \$537.9 million balance in January of 2020. The low fund balance increased the UI tax rate schedule for employers to Schedule H from Schedule F, resulting in an estimated cost of \$31.0 million for businesses. A \$100.0 million infusion to the trust fund will mitigate the taxes Rhode Island businesses will pay by reducing the unemployment rate schedule from Schedule H to Schedule G.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Unemployment Trust Fund Contribution	DLT	NEI	N/A	\$100.0	\$100.0	\$100.0	-	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** The project has been completed and the funds were deposited to the Unemployment Trust Fund on September 26, 2022. The unemployment rate schedule for 2023 is confirmed to be Schedule G and the fund balance as of October 11, 2023, is \$486.9 million.

### **Aid to Small Business and Impacted Industries**

Helping businesses negatively impacted by the pandemic is one of the primary purposes of the State Fiscal Recovery fund. SFRF funding has been appropriated through several different programs targeting the various challenges faced by Rhode Island businesses. All of the Aid to Small Business and Impacted Industries initiatives are required to reserve 20.0 percent of appropriated resources for minority business enterprises.

- **Direct Grant Program:** The Small Business Direct Grant (SBDG) program has provided direct financial payments to businesses to mitigate the impact of pandemic-related lost revenue. The program was first authorized in January 2022 and received a \$12.5 million appropriation. It was part of a larger \$32.0 million SFRF-funded small business assistance package. A cap of \$10,000 per business per assistance program and \$20,000 per business in aggregate was established. According to the Rhode Island Executive Office of Commerce (EOC) the actual direct grants ranged from \$2,500 to \$5,000. Eligible businesses had to demonstrate negative impact from the pandemic. Supplemental grants of \$800 were provided to businesses in the food services, personal services, and arts, entertainment, and recreation industries.
- **Technical Assistance Program:** The Small Business Technical Assistance program is authorized to provide resources to businesses for purposes of building their long-term capacity in the wake of the pandemic. Technical assistance services may include accounting and bookkeeping, legal services, and

marketing, communications, and public relations. Grants are capped at \$10,000 per business. The program has received a total of \$10.5 million in SFRF appropriations.

- **HTE Direct Grants Program:** The Hospitality, Tourism, and Event Industry (HTE) Direct Grant Program provides direct financial payments to HTE businesses. It consists of three subprograms: restaurants and event professionals (\$12,500 grant minus any funds from SBDG); hotels, travel agents, tour operators; and arts/culture businesses. It has received \$8.0 million in appropriations and is administered similarly to the Small Business Direct Grant program.
- **HTE Placemaking Program:** The Hospitality, Tourism, and Event Industry (HTE) Placemaking program focuses on local community projects enhance a place’s attractiveness and livability. The program funds two types of projects - event programming and public space capital improvements. The program has received \$3.0 million in SFRF appropriations.
- **HTE Marketing Program:** The Hospitality, Tourism, and Event Industry (HTE) Marketing program provided \$2.0 million to the Rhode Island Airport Corporation and the State’s regional tourism organization to fund tourism marketing as pandemic travel restrictions were lifted.
- **Public Health Capital Improvements Program:** The Public Health Capital Improvements program provides resources to small businesses to help them make changes to their environment and processes that protect and enhance the health of their customers and employees, including improved ventilation and energy needs. The program has received \$7.5 million in SFRF appropriations.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Small Business Direct Grants	EOC	NEI	N/A	\$13.3	\$13.3	\$13.0	-	\$0.3
Small Business Technical Assistance	EOC	NEI	I	10.6	8.0	0.5	2.6	7.6
HTE Direct Grants	EOC	NEI	N/A	8.4	8.4	8.4	-	-
Public Health Capital Improvements	EOC	RR	N/A	7.6	7.6	4.5	-	3.1
HTE Placemaking	EOC	RR	N/A	3.1	3.1	2.3	-	0.8
HTE Marketing	EOC	NEI	N/A	2.0	2.0	2.0	-	-
<b>Total</b>				<b>\$45.0</b>	<b>\$42.4</b>	<b>\$30.7</b>	<b>\$2.6</b>	<b>\$11.8</b>

*\$ in millions. Totals may vary due to rounding.*

#### Status:

- **Direct Grant Program:** According to the EOC, the Small Business Direct Grant program has provided grants to 2,489 businesses, with 60.1 percent going to women or minority business enterprises. The program is now concluded, with PRO reporting all but \$303,288 of total appropriations expended. These funds have been returned to the SFRF and are available for reallocation.
- **Technical Assistance Program:** The Small Business Technical Assistance program has been identified by PRO as a **Level I Risk** for significant underspending. The EOC indicated that the delay can be attributed to its decision to take a deliberate approach to the program’s design. The EOC feels that better upfront design and planning yields a more responsive service to businesses.

In its risk level report, PRO notes that matching the appropriate vendor to a business component has also led to delays, with many small businesses requesting multiple types of technical assistance. RI Commerce Corporation has partnered with Skills for Rhode Island’s Future to assist with this project. The latter has had to meet with each small business to understand what services would best support their growth and development. There have been 1,156 eligible applicants of which 605 are in the matching and/or actively working with vendor stage, and 94 are receiving services.

- **HTE Direct Grants Program:** The Hospitality, Tourism, and Event Industry (HTE) Direct Grant Program has provided grants to 162 businesses and organizations with 44.6 percent going to women or minority business enterprises. The program is now concluded, with PRO reporting all appropriations expended.
- **HTE Placemaking Program:** According to the EOC, the Hospitality, Tourism, and Event Industry (HTE) Placemaking program has funded 32 project/programs. Program funded events have been attended by over 30,500 visitors. There are ten projects remaining, with five approved for an extended period between December 2023 and March 2024. All funds are obligated, and just over \$2.0 million has been spent.
- **HTE Marketing Program:** According to the EOC, the HTE Marketing program has spent its entire \$2.0 million appropriation. Funds were used to support air service marketing programs (\$1.0 million), a paid advertising campaign to target market the regional drive and fly markets via streaming and cable TV (\$250,000), and to provide direct grants to the six tourism districts to use for paid advertising, meetings and conventions advertising, and sports advertising (\$750,000).
- **Public Health Capital Improvements Program:** The Public Health Capital Improvements program has undergone several iterations since it was initially authorized. According to the EOC, as the impact of the pandemic continues to recede the types of the capital improvements businesses are prioritizing have changed. The EOC has programmed \$2.0 million for ventilation improvements at businesses, with 275 current applicants and \$1.6 million in eligible reimbursements being paid. The EOC also offers the Take it Outside program, which supports the purchase of goods and services that expand small business’s outdoor operations and outdoor capacity. The goods and services can support individual small businesses or support outdoor markets or shopping & dining events. There have been 447 businesses assisted to date, 49.0 percent being women or minority business enterprises. Lastly, the EOC has proposed a new RI Rebounds Energy Efficiency Program to launch in early 2024. The program would reimburse small businesses up to \$10,000 for energy efficiency measures implemented as the result of an energy efficiency audit. This program proposal, with a \$600,000 budget, is currently under review by the PRO.

**Aid to the Convention Center**

The State provided the Rhode Island Convention Center Authority (RICCA) with \$10.0 million of SFRF for operating support. RICCA is using these funds to tailor its operations for a post-pandemic environment to ensure the comfort and confidence of patrons.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Aid to the Convention Center	DOA/RICCA	RR	N/A	\$10.0	\$10.0	\$10.0	-	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** The project concluded July 28, 2023, and the Pandemic Recovery Office issued its final report on September 15, 2023. The funds are being used for the following purposes:

- **Technology Upgrades - \$5.0 million:** Upgraded technology across all facilities, particularly at the RICC, by expanding broadband capacity, improving Wi-Fi capabilities, and integrating cell service within the building. The RICCA has a contract in place and work is undergoing with Boingo to install the Wi-Fi/PNet for \$4.1 million. This expense may increase based on a couple of potential change orders. The work is expected to be complete by the end of CY2023. The balance of this allotment has been used to upgrade servers, employee computers and associated technology expenses.
- **AMP Seating Upgrades - \$3.2 million:** Funds were contracted to Irwin Seating to replace the seats in the north end of the Amica Mutual Pavilion (AMP), making it easier to reconfigure the space to accommodate different types of events. The project also added seating capacity in the north end (approximately 400 additional seats for basketball games, concerts, and other events and approximately 36 seats for hockey games). This work is complete and in use for the hockey and basketball seasons.
- **Signage Improvements - \$800,000:** The marquee project was performed through a contract with Poyant Signs for \$591,000. The balance of the allotment was spent prepping the marquee supports with painting and electrical upgrades to power the signs.
- **General Operating Support - \$1.0 million:** This money was absorbed as an operating subsidy in FY2023 to assist in replacing revenue lost during the pandemic.
- **Single Audit Fee - \$3,000:** This is a charge applied to all SFRF projects. The single audit fee is equal 0.03percent of the project’s appropriated amount.

**Minority Business Accelerator**

The SFRF-funded Minority Business Accelerator initiatives support and invest resources to enhance the growth of minority business enterprises in Rhode Island. This support is a range of assistance and programming, including financial and technical assistance, entrepreneurship training, space for programming and co-working, and assistance accessing low-interest loans. RI Commerce Corporation works with minority small business associations, including the Rhode Island Black Business Association (RIBBA), to advance this program. Of the \$6.0 million in appropriated SFRF funds for the program to date, \$500,000 is proscribed for the Rhode Island Black Business Association (RIBBA) and \$300,000 is allocated to the Roger Williams University Business Start-Up Clinic (RWU).

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Minority Business Accelerator	EOC	NEI	1	\$5.2	\$2.1	\$0.1	\$3.1	\$2.0
MBA: Black Business Association	EOC	RR	N/A	0.5	0.5	0.5	-	-
MBA- RWU Start-Up Clinic	EOC	RR	N/A	0.3	0.3	0.3	-	-
<b>Total</b>				<b>\$6.0</b>	<b>\$2.9</b>	<b>\$0.9</b>	<b>\$3.1</b>	<b>\$2.0</b>

\$ in millions. Totals may vary due to rounding.

**Status:** In November 2022, PRO approved the implementation proposal for the Minority Business Accelerator program. The proposal consisted of the following components:

- **MBE Network:** \$2.5 million to support a network of intermediary organizations that serve MBEs, including costs related to acquiring/using physical space, providing entrepreneurship training and

mentorship programming, and other small business supports, and \$200k to train business support organizations (BSOs) in the execution of the program-to ensure its effectiveness.

- **Access to Capital:** \$1.7 million to increase access to capital through a competitive grant program, with grant awards of up to \$20,000 to stimulate growth in MBEs.
- **Technical Assistance:** \$300,000 to provide technical assistance to MBEs that are not eligible for the Rhode Island Rebounds TA program.
- **RIBBA and RWU:** In January 2023, RI Commerce Corporation executed agreements with RIBBA and RWU and transferred the required allotments to them. Per the agreement, the two organizations are required to report back on key performance indicators.

In February, 2023, an MBA program manager was hired, and significant outreach began to understand the challenges facing MBEs and receive input on how to create a meaningful program. A Request for Proposals (RFP) to identify intermediaries was issued in April, 2023 and 11 responders were selected. Negotiated budgets and agreements were completed and \$2.3 million in SFRF was awarded.

Intermediaries had their kick-off meeting in September 2023 and have begun submitting monthly invoices for services rendered. A second RFP was issued in October 2023 to identify vendors to administer the technical assistance, particularly for the food and beverage, child care, and construction industries.

EOC is also drafting the application and determining the vetting process for the Direct Grant portion of the program which will be implemented in early 2024. PRO has been asked to amend the eligibility criteria to allow more MBEs to be eligible for funding and make this process easier for our minority community to navigate.

Nonetheless, as of September 30, 2023, the project had actual expenditures of \$90,675. The actual expenditures are 4.3 percent of planned expenditures to date, below the PRO 25.0 percent threshold and therefore has been identified as **Level I Risk** to underspend.

**Destination Marketing**

The ability of the Rhode Island Airport Corporation (RIAC) to market Rhode Island as a destination in out-of-state airports is limited by federal regulations. The SFRF-funded Destination Marketing initiative supports alternative strategies to develop new markets for Rhode Island tourism. There has been \$3.0 million in SFRF appropriated to the initiative, with an additional \$3.0 million in RI Commerce Corporation tourism funds contributed, for a total of \$6.0 million.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Destination Marketing	EOC	RR	N/A	\$3.0	\$1.3	\$1.2	\$1.7	\$0.1

*\$ in millions. Totals may vary due to rounding.*

**Status:** According to the EOC, \$560,000 of the Destination Marketing funds are being used to maintain existing air service programs and launch advertising in new markets. Another \$1.1 million is going towards New England sports media buys (to attract visiting team fans). Experiential events and installations (including the Quahog campaign) are supported by \$1.0 million in SFRF. Hotel Month, an annual marketing event is allocated \$160,000. EOC says that marketing is targeted at driving leisure travel during the shoulder and off season from gateway cities such as Los Angeles, Chicago, Washington DC, and Detroit.



**CHILDREN, FAMILIES, AND EDUCATION**

There are 11 project categories related to children, families, and/or education, totaling \$155.3 million in SFRF. Of these, five have been identified by the PRO as being at risk of underspending.

<b>Project/Program</b>	<b>FY2022 Actual</b>	<b>FY2023 Revised</b>	<b>FY2024 Enacted</b>	<b>Total Appropriation</b>
Child Care Support	\$12.6	\$12.7	\$16.7	\$42.0
Provider Workforce Stabilization	6.8	8.2	7.9	22.9
Nonprofit Assistance	-	20.0	-	20.0
PFAS Water Treatment	-	-	20.0	20.0
Pediatric Recovery	6.0	9.0	-	15.0
Early Intervention Recovery	4.1	6.9	-	11.0
Support for Survivors of Domestic Violence	-	3.5	7.0	10.5
Adult Education Providers	-	2.0	3.0	5.0
Out-of-School Time Education Providers	-	-	4.0	4.0
Rhode Island Community Food Bank	-	-	3.0	3.0
Foster Home Lead Abatement & Fire Safety	-	1.5	0.4	1.9
<b>Total</b>	<b>\$29.5</b>	<b>\$63.8</b>	<b>\$62.0</b>	<b>\$155.3</b>

*\$ in millions. Totals may vary due to rounding.*

**Child Care Support**

The Department of Human Services (DHS) child care support consists of the following components: (1) the financing of retention bonuses for direct care staff at child care centers and licensed family providers in response to pandemic-related staffing shortages, (2) start up and technical assistance grants for family child care providers, (3) support for quality improvements for child care centers, and (4) support for improvements in child care workforce development, including the establishment and implementation of workforce registry and educational opportunities for direct care staff. The FY2023 Budget as Enacted amended language pertaining to retention bonuses as there were departmental setbacks to administering the program as originally described in the FY2021 Budget. Per the FY2023 Budget as Enacted, retention bonuses are required to be paid monthly or as often as administratively feasible, but not less than quarterly.



Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Pandemic Retention Bonuses	DHS	NEI	N/A	\$37.4	\$28.4	\$24.5	\$9.0	\$3.8
TEACH	DHS	NEI	II	2.0	-	-	2.0	-
Quality Improvement	DHS	RR	N/A	1.0	0.1	0.1	0.9	-
Workforce Registry	DHS	RR	I	1.0	0.0	0.0	1.0	-
Grants to Family Child Care Providers	DHS	NEI	N/A	0.6	0.6	0.4	-	0.2
<b>Total</b>				<b>\$42.0</b>	<b>\$29.1</b>	<b>\$25.0</b>	<b>\$12.9</b>	<b>\$4.0</b>

\$ in millions. Totals may vary due to rounding.

SFRF Program descriptions are as follows:

- Pandemic Retention Bonuses:** This initiative addresses shortages in the child care industry by providing incentives for those working in early childhood education. Full-time and part-time staff at state-licensed providers would be eligible for the awards. In FY2022, \$1,500 semi-annual bonuses were provided. In FY2023, bonuses changed to quarterly awards in the amount of \$750. Individual bonuses are not to exceed \$3,000 annually.

**Status:** According to the Department, retention bonuses are active and will continue to be disbursed throughout FY2024. The program has provided a total of \$26.0 million in bonuses to 6,143 unique applicants employed at 838 employers.

- TEACH:** The Teacher Education and Compensation Helps (TEACH) scholarship is a nationally recognized workforce development model for early childhood educators. TEACH allows participants to complete credit bearing coursework towards degree credentials while remaining employees at an early learning center. TEACH also guarantees participants an increase in compensation through either a bonus or additional income from their employer sponsor. Funding towards TEACH has supported approximately 100 early childhood educators.

**Status:** PRO has identified the TEACH initiative as a **Level II Risk** project because actual expenditures are lower than planned expenditures. The project is behind schedule because the Department's current vendor, Rhode Island Association for Education of Young Children (RIAEYC), has experienced a delay in invoicing as a majority of expenditures are based on tuition. Additionally, delays in purchasing and the new cohort approval process resulted in RIAEYC skipping Summer 2023 admission and enrolling teachers in the Fall program instead. The Department indicated that it had recently amended the current contract to extend grant opportunities to more teachers.

- Quality Improvement:** Child care facilities who participate in the State's Child Care Assistance Program (CCAP) receive a Brightstars rating of one to five stars based on the quality rating that the provider has achieved within the State's quality rating system. Of the providers who participate, only 16.0 percent have received a high-quality rating of four or five stars. Providers identified funding as a barrier. ARPA SFRF funds have been allocated to grants to support improvements within facilities, materials, curriculum, and staff training, to provide the highest quality of care possible to the children participating in these programs

**Status:** Quality improvement initiatives are active. The Department has reported seeing positive impacts on Rhode Island’s childcare infrastructure, specifically there is a positive correlation between SFRF Funds and increased Brightstar ratings.

- **Workforce Registry:** In response to the workforce shortage, DHS began developing a workforce registry which will survey child care providers annually to track the number of individuals working in the State’s child care industry.

**Status:** The project has been identified as a **Level I Risk** by PRO because it did not have any actual expenditures despite the expenditure schedule having \$543,613 in planned expenditures through September 30, 2023. The Workforce Registry portion of the initiative finished onboarding a vendor in October and is expected to go live in January.

- **Grants to Family Child Care Providers:** Grants to Family Child Care Providers are one of five projects that DHS implemented to stabilize the industry as the COVID-19 pandemic exacerbated the State’s existing child care workforce shortage. To mitigate the adverse effects of the shortage and support the industry, the Department began providing technical assistance and start up grants up to \$2,000 to increase the supply of family child care providers (FCCP).

**Status:** According to the Department, the project is currently live; however, it is set to finish at the end of calendar year 2023.

**Provider Workforce Stabilization**

The Provider Workforce Stabilization program supports workforce stabilization supplemental wage payments of up to \$700 monthly and hiring incentives of up to \$750 per employee to eligible direct care and supporting care staff of contracted service providers. Eligible employees for both payments must earn an annual salary of less than \$75,000, and hiring incentives may not be disbursed until 90 days of work has been completed by the employee.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Provider Workforce Stabilization	DCYF	NEI	N/A	\$22.9	\$15.9	\$15.9	\$7.0	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** As of the most recent report, issued November 15, 2023, the program has expended \$6.8 million in FY2022, \$7.7 million in FY2023, and \$1.9 million in FY2024. Of the \$6.8 million spent in FY2022, approximately 1.1 percent was spent on hiring bonuses, 7.1 percent on tax subsidies, and 92.6 percent on wages. Of the \$7.7 million in FY2023 expenditures, approximately 4.0 percent was spent on hiring bonuses, 7.1 percent on tax subsidies, and 88.3 percent on wages. Of the \$1.9 million spent in FY2024 to date, approximately 3.8 percent was on hiring bonuses, 6.9 percent on tax subsidies, and 84.2 percent on wages. Providers have reported a net gain of 183 staff through September 30, 2023.

**Nonprofit Assistance**

The SFRF-funded Nonprofit Assistance initiative to support and mitigate the strain on Rhode Island’s network of Not-for-Profit Organization that provide essential community services throughout the State and often are critical partners to the work of State agencies. The missions of these organizations and the need of their customers have been strained by COVID-19, including housing and food insecurity, and behavioral health issues. The \$20.0 million in SFRF funding was allocated to the Rhode Island Foundation to distribute and manage.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Nonprofit Assistance	DOA/RIF	NEI	N/A	\$20.0	\$20.0	\$20.0	-	-

\$ in millions. Totals may vary due to rounding.

**Status:** A total of \$20.0 million has been expended leaving no balance. A total of \$19.9 million was made to support the operations of the 241 various non-profits. The appropriation balance will be used for Rhode Island Foundation’s single audit fee of \$25,000, and an expense of \$6,000 was made for the state assessment of 0.03 percent of federal awards for its single audit fee (money goes to the Office of the Auditor General).

**PFAS Water Treatment**

The PFAS Water Treatment program supports the replacement of 3.0 new wells and the construction of a water treatment plant at the University of Rhode Island.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
PFAS Water Treatment	OPC	Infrastructure	II	\$20.0	-	-	\$20.0	-

\$ in millions. Totals may vary due to rounding.

**Status:** In 2023 URI reported that three of its wells had a PFAS contamination level over twenty parts per trillion, which is the legal limit. The FY2024 Budget as Enacted appropriates \$20.0 million in ARPA SFRF funds to address this water infrastructure problem. PRO has identified this project as a **Level II Risk** project as the budget timeline indicates that \$3.2 million of the total project costs will be incurred in the first two quarters of FY2027 and does not provide any flexibility to URI in case there is a delay in the project. Additionally, the timeline allows for 6 months for project approvals, which would require both RIDOH and DBR to prioritize the PFAS project.

The URI Finance team is working with the PRO approval process. The permanent filtration systems are moving forward with the expectation that the permanent filtration system be completed at the end of calendar year 2026.

**Pediatric Recovery**

The Pediatric Recovery SFRF program provides relief to pediatric providers in response to the decline in visitation and enrollment caused by the public health emergency and incentivize providers to increase developmental and psychosocial behavioral screenings. In each year, the allocation provides \$6.0 million for stabilization grant funding of certain targets established by the Executive Office of Health and Human Services are met by the pediatric providers and \$1.5 million to be awarded to incentivize pediatric providers to increase developmental and psychosocial behavioral screenings. According to EOHHS, 43 practices, serving 58,644 Medicaid-enrolled children participated in Phase I of the program, and 40 practices serving 54,687 children are participating in Phase II.

The project provided payments to pediatric and family practice primary care providers who serve Medicaid-covered children. The first phase of the project was part of Rhode Island Rebounds and had two components:

- **Grants for Reporting and Performance on Child and Adolescent Well Visits, \$6,000,000:** These funds created an incentive for pediatric primary care providers to improve access to care and drive investment into their practices. Support was necessary for staffing and infrastructure to rebound from COVID-19-related delays in care. Payments were contingent on practices reporting baseline data and either meeting targets or demonstrating measurable improvement in the Child and Adolescent Well Care Visit

measure. The Rhode Island Executive Office of Health and Human Services (EOHHS) tracked additional measures related to immunizations, including COVID-19 immunizations, and lead screening rates, which are expected to be closely tied to performance on the Child and Adolescent Well Care Visit measure.

- **Grants for Participation in Technical Assistance Activities to Enhance Efforts to Conduct Developmental, Psychosocial, and Behavioral Health Screenings, \$1,266,993:** EOHHS provided an additional round of grants to pediatric and family practice primary care providers who participated in the technical assistance outlined below. These performance grants were a meaningful incentive for providers to adopt new training and workflow designs to screen children for healthy physical, cognitive, and socio-emotional development. These grants were distributed to providers who engaged with the technical assistance vendor, Care Transformation Collaborative of Rhode Island (CTC).

In addition, Technical Assistance (\$233,008) supported CTC to support training and workflow redesign, data collection and reporting, and best practice sharing to aid providers in improving performance. In addition, these funds supported CTC in evaluating practice performance.

The second Phase continues to incentivize pediatric primary care providers to improve access to care, immunizations, and screenings, and invest in primary care practices to support the staffing and infrastructure necessary to rebound from pandemic-related delays in care. The Rhode Island Executive Office of Health and Human Services (EOHHS) is making six payments to participating practices: The first payment is based on the submission of the program application, including a practice improvement plan and a commitment to participate in the technical assistance program. Four quarterly payments are contingent on practices' performance on several vaccine and screening measures. One payment is based on participation in the program's robust learning component, a six-session Behavioral Health Learning Initiative. The learning initiative took place from January 2023 through June 2023. Some funds support a technical assistance contractor tasked with training and workflow redesign, data collection and reporting, and best practice sharing to aid providers in successfully improving performance, as well as evaluating practice performance. The contractor is providing the quality improvement practice facilitation and implementing the Behavioral Health Learning Initiative. Practices have access to a customized behavioral health practice facilitator as an optional service to assist with the development of responses to behavioral health needs.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Pediatric Provider Relief and Recovery	EOHHS	PH	N/A	\$7.5	\$7.5	\$7.5	-	-
Pediatric Provider Relief and Recovery: Phase II	EOHHS	PH	N/A	7.5	6.2	6.2	1.3	-
<b>Total</b>				<b>\$15.0</b>	<b>\$13.7</b>	<b>\$13.7</b>	<b>\$1.3</b>	<b>-</b>

*\$ in millions. Totals may vary due to rounding.*

**Status:** In Phase 1, implemented from March through July 2022, all pediatric practices met their performance and/or improvement targets for the "Child and Adolescent Well Care Visit" measure. All practices attended at least one technical assistance session, and most attended two or three sessions. As of June 30, 2022, 100.0 percent of providers engaged with CTC. According to the evaluation results as of June 7, 2022, 98.1 percent of respondents reported that the sessions were good (17.5 percent) or excellent (80.6 percent), and 46.9 percent (75 individuals) suggested that they were interested in customized psychosocial/behavioral health technical assistance.

In Phase II, all practices submitted their performance improvement plans and attended at least four out of six Behavioral Health Learning Initiative sessions. EOHHS has made three out of four payments for achieving performance and/or improvement targets on the vaccination and lead screening measures and plans to make the final payment by the first week of November 2023. For the first and second payments, 30 out of 39 providers achieved the targets needed to receive payment. For the third payment, 31 out of 39 achieved the targets needed to receive payment. As a group, the cohort of participating practices has exceeded each quarterly target for the vaccine and lead screening measures and is on target to exceed the targets for the final quarter as well.

For Phase I, \$7,266,992 was spent on provider payments with \$233,007 spent on technical assistance. For Phase II, \$5,948,952 has been spent to date with \$201,919 spent on technical assistance. The final provider payments totaling, \$1,199,640, is expected to be spent in November 2023. An additional, final payment is also expected for CTC-RI for the provision of technical assistance. This payment is also expected to be made in November 2023.

**Early Intervention Recovery**

The Early Intervention Recovery program provides relief to early intervention providers in response to a decline in enrollment for early intervention, family home visiting and screening programs. The Executive Office of Health and Human Services (EOHHS) is providing stabilization grants totaling \$5.2 million to all nine early intervention (EI) providers. The grant amount was calculated based on the size of the population served by each provider, as provided through a survey. Eligible uses for the stabilization grant included, but were not limited to, enhanced outreach activities to ensure equitable access and family engagement in EI services; retention bonuses for staff; workforce costs due to labor market conditions; professional development activities; and, costs required to increase in-person visits.

This program also provides performance bonuses for providers who hit certain targets, such as recovering referral numbers and achieving reduced staff turnover. EOHHS developed a pay-for-performance model to incentivize providers to increase service activity. A total of \$5.8 million is available in bonus payments based on EI providers meeting targets, such as recovering referral and staffing figures to 2019 levels, increasing the percentage of children referred to EI who completed the eligibility process and engaged in services, and reducing disproportionality for families with Medicaid coverage and families of color.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Early Intervention Recovery	EOHHS	PH	N/A	\$11.0	\$10.6	\$10.6	\$0.3	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** A total of \$10.6 million has been paid for stabilization and pay-for-performance payments. The FY22 expenditures are comprised of \$2.6 million in stabilization grants and \$1.5 million in pay-for-performance payments. The FY2023 expenditures are comprised of \$2.6 million in stabilization grants and \$2.9 million in pay-for-performance payments. To date in FY2024, \$1.0 million in pay-for-performance payments have been made. The final pay-for-performance payments totaling \$435,000 will be paid in December 2023. Key Performance Indicator Data, as of September 30, 2023:

- Referrals have increased from a program start of 82.0 percent of typical to 105.0 percent.
- Staffing capacity has increased from a program start of 78.0 percent, as of January 31, 2023, to 91.0 percent.
- Initial engagement, children referred and enrolled in EI, increased from a program start of 67.0 percent to 80.0 percent.

- Children who disengage from EI services before program completion from a program start of 31.0 percent to 18.0 percent.

**Support for Survivors of Domestic Violence**

The Support for Survivors of Domestic Violence project includes \$10.5 million in total funding to nonprofit organizations in order to provide services to adult and youth victims of domestic violence and sexual assault. The project seeks to bolster transitional housing programs that include both a housing option as well as supportive services such as counseling, childcare, transportation, life skills, education, and/or job training. Additionally, the project seeks to increase the number of transitional housing units available in the State for survivors by way of purchasing, renovating, or constructing additional units.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Support for Survivors of Domestic Violence	DPS	PH	I	\$10.5	-	-	\$10.5	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** The Public Safety Grant Administration Office (PSGAO) received 14 responses to their RFP and the program submissions are currently being evaluated. The PSGAO indicated that the evaluation process has been the most challenging aspect of implementation and hope to have the evaluations completed in November. The PRO has identified this project as a **Level I Risk** for significant underspending.

**Adult Education Providers**

The SFRF-funded Adult Education Providers initiative provides additional support for adult learning in Rhode Island. Funds are to be distributed through a grant process managed by the Office of Adult Education. Proposals will be reviewed and awards will be made to the network of 19 nonprofit, adult education providers to expand access to educational programs and literary services including high school equivalency preparation, English for Speakers of Other Languages, digital literacy skills development, and workforce preparation and training, including Integrated Education and Training (IET). IET is a nationally recognized workforce development model shown to accelerate successful completion of sectoral job training by adults with foundational skill gaps.

Specifically, the project will fund:

- Direct grants to create regional hubs to streamline access to services statewide and facilitate knowledge transfer.
- Direct grants to purchase devices and software to support the integration of digital literacy and use of eTests statewide.
- Direct grants for the expansion of Adult Education services statewide.
- Temporary increased capacity of the Rhode Island Department of Education (RIDE) to oversee implementation, ongoing evaluation, and strategic planning of the project.
- Third-party evaluation to identify service gaps and ensure regional equity.
- Third-party marketing and outreach campaign in multiple languages.



Project/Program	Agency	Treasury Category	PRO	Total	Total	Total	Remaining	Unexpended
			Risk Level	Approps.	Transfers	Expenses	Approps.	Transfers
Adult Education Providers	RIDE	NEI	I	\$5.0	-	-	\$5.0	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** There were delays in launching the project due to a delay in completing the approval process to access the funding, and the subsequent delay in hiring the Project Manager for the initiatives. The Adult Education Providers SFRF project was not approved until April 3, 2023. The project manager was hired in August. Elements of the SFRF-funded work such as the launch of four regional orientation, assessment, and referral hubs and evaluation and communications work with external contractors were on hold pending hiring of the project manager. According to the Department, progress on the Adult Education Providers SFRF project is about a quarter behind its original implementation schedule. This project was flagged by PRO at a **Level I Risk** because as of September 30, 2023, the project had actual expenditures of \$28,359, or 3.9 percent of planned expenditures through September 30, 2023, and below the PRO threshold percentage of 25.0 percent used to identify an SFRF project as at risk for significant underspend.

According to the Department, procurement for the communications and evaluation vendors is in progress and work will be completed by May 2024. RIDE will be billing against the balance of the project funds to support the Project Manager FTE, the communications and evaluations contracts, and ongoing marketing and outreach efforts. The largest portion of SFRF funding, three mini-grants to disburse the bulk of the funding to local adult education providers, are also on track to ramp up with the project manager's support.

The Department provides that the grants are awarded on a reimbursement basis; consequently, once the funds are allocated, the grantee must make approved expenditures before requesting reimbursement. This results in a lag time between the date when the funds are obligated and expended. The Department provided that, as of November 27, 2023, \$1.3 million has been obligated and an additional \$1.0 million is projected be obligated by the end of December 2023. In addition, \$750,000 is projected to be obligated through regional mini-grants by mid-December 2023.

According to the Department, as of the end of September 2023, 3,918 participants received orientation, assessment, and referral services. Of these:

- 1,981 participants enrolled in Adult Education services with 12 or more hours,
- 230 of those enrolled demonstrated a Measurable Skill Gain (e.g. 2 grade levels on a standardized test, completing an industry-recognized credential, etc.),
- 165 of those enrolled demonstrated a Digital Literacy Skill gain, and
- 55 participants were employed in the second quarter after exit from Adult Education.

#### ***Out-of-School Time Education Providers***

The Out-of-School Time Education Providers initiative provides SFRF funds to “expand access to educational programs”. The funding is to be directly distributed through the Rhode Island Department of Education’s Office of Student, Community and Academic Supports.

Project/Program	Agency	Treasury Category	PRO	Total	Total	Total	Remaining	Unexpended
			Risk Level	Approps.	Transfers	Expenses	Approps.	Transfers
Out-of-School Time Education Providers	RIDE	RR	N/A	\$4.0	-	-	\$4.0	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** According to the Governor’s Office, there originally was \$4.0 million in federal pandemic Governor’s Emergency Education Relief Funds (GEER) available to provide grants to fund out-of-school time education providers, or “municipal learning centers”. Based on this the Governor’s Office has decided to delay issuing an RFP for municipal learning centers until 2024. The Senate Fiscal Office has received no additional information regarding this initiative or how it is to be implemented.

### **Rhode Island Community Food Bank**

The SFRF-funded Rhode Island Community Food Bank initiative provides increased financial support to one of the State’s most important food security organizations. The Food Bank has reported a significant increase in utilization, as food insecurity has nearly tripled from pre-pandemic numbers. The additional funds will support the Food Bank in achieving its mission of providing nutrient dense food to RI households who struggle with food insecurity.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Rhode Island Community Food Bank	DHS	NEI	N/A	\$3.0	-	-	\$3.0	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** All funds are expected to be disbursed by the end of January 2024. The Department is distributing the funds in two parts. The Department expects \$1.5 million to be expended in December 2023. The final half will be distributed to the food bank in January 2024.

### **Foster Home Lead Abatement & Fire Safety**

The Foster Home Lead Abatement & Fire Safety initiatives provide financial assistance to foster families for lead remediation and fire suppression upgrades to assist potential foster homes in meeting lead and/or fire code standards.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Lead Remediation in Foster Homes	DCYF	NEI	II	\$1.0	-	-	1.0	-
Fire Safety Upgrades in Foster Homes	DCYF	NEI	I	0.9	-	-	0.9	-
<b>Total</b>				<b>\$1.9</b>	<b>-</b>	<b>-</b>	<b>\$1.9</b>	<b>-</b>

*\$ in millions. Totals may vary due to rounding.*

### **Status:**

- **Lead Remediation in Foster Homes:** The Department intends to work with RIHousing as a sub-recipient of the federal funds due to RIHousing’s existing lead abatement program. As of October 3, 2023, an MOU with RIHousing was being finalized but had not yet been signed. This project is identified as a **Level II Risk** due to the fact that the project has no planned expenditures until the second quarter of FY2024. There is also the risk of implementation difficulties due to the fact that many homes identified by the Department for the project are not owned by the foster parent and therefore approval will be needed from a landlord/homeowner.
- **Fire Safety Upgrades in Foster Homes:** The RFP for qualified individual(s)/vendor(s) for this project was submitted to the Department of Administration as of October 4, 2023. The Department must wait the required number of days following submission of the RFP to see if there are any responses. If no proposals are submitted, the Department will be unable to move forward with this portion of the



program as there is no other way to secure an individual/vendor. If the Department receives proposals from qualified individual(s)/vendor(s) they can then move forward with the hiring process of a Project Manager to oversee the project moving forward. This project is identified as a **Level I Risk** due to the fact that the project still does not have enough information to create a project budget or key performance indicators.

## CLIMATE

There are four project categories related to climate initiatives, totaling \$128.3 million in SFRF. Of these, only one at this time has been identified by the PRO as being at risk of underspending.

<b>Project/Program</b>	<b>FY2022 Actual</b>	<b>FY2023 Revised</b>	<b>FY2024 Enacted</b>	<b>Total Appropriation</b>
Port of Davisville	-	\$6.0	\$59.0	\$65.0
South Quay Marine Terminal	-	-	35.0	35.0
OER Electric Heat Pumps	-	5.0	20.0	25.0
RIPTA R-Line Free Service Pilot	-	2.5	0.8	3.3
<b>Total</b>	-	<b>\$13.5</b>	<b>\$114.8</b>	<b>\$128.3</b>

*\$ in millions. Totals may vary due to rounding.*

### **Port of Davisville**

The SFRF-funded Port of Davisville initiative supports the improvement and expansion of port infrastructure and services at the Port of Davisville in Quonset in accordance with the Quonset Development Corporation's (QDC) master plan. There is a total of \$65.0 million in SFRF funds appropriated to the Port.

The Port of Davisville is a publicly-owned international shipping port located within the Quonset Business Park (QBP) in North Kingstown. The facilities currently include 4,500 linear feet of berthing space, consisting of five terminals, two piers (each 1,200 feet in length), a bulkhead, 32 feet of controlled water depth, on-dock rail, and 60 acres of laydown and terminal storage.

Infrastructure improvement at the Port is governed by a Port Master Plan. The plan calls for multiple projects required to modernize the existing infrastructure, expand new piers and terminals, and customize the infrastructure to meet the demands of the off-shore wind energy industry. The total cost of the plan is \$234.5 million, of which \$120.2 million is funded.

The SFRF funds are being used to partially finance two of the currently unfunded projects in the Port Master Plan.

- **Pier 1 Rehabilitation:** Pier 1 was originally built by the US Naval Construction Battalions in 1941 when the Port was part of the Quonset Naval Air Station. The nearly 80-year old structure is long past its original design life. The Master Plan calls for a complete rehabilitation, modernization, and expansion of the pier.
- **New Terminal 5 Pier:** The new pier at Terminal 5 is estimated to cost \$56.0 million and is planned to be constructed in phases in order to respond to funding availability, with expanded services available upon completion of each stage.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Port of Davisville	QDC	RR	N/A	\$65.0	\$6.0	\$1.8	\$59.0	\$4.2

*\$ in millions. Totals may vary due to rounding.*

**Status:** According to the QDC, \$63.4 million, or approximately 98.0 percent of the total SFRF appropriation has been committed. The individual projects supported by the funding include:

- **Pier 1:** \$10.3 million has been allocated to the on-going Pier 1 reconstruction project. This project is planned to be completed in fall of 2024.
- **Pier 5:** \$53.1 million has been allocated to the construction of the new Terminal 5 Pier. The QDC indicates that this project got underway in the fall of 2023 and is planned to be completed in the fall of 2025.
- **Other Master Plan Items:** The remaining \$1.6 million is earmarked for a port access reconfiguration project which will be bid in the Summer of 2024.

### **South Quay Marine Terminal**

The SFRF-funded South Quay Marine Terminal initiative is intended to support the development of an integrated and centralized hub of intermodal shipping designed to support the offshore wind industry along memorial parkway in the East Providence waterfront special development district.

The initiative received \$12.0 million in SFRF in FY2023, and \$35.0 million in total through FY2024, to help finance the build-out of the new port facilities at South Quay. This build-out was initially planned to take place in several stages, with facilities being usable to some capacity immediately after the first phase. Authorization of the use of the SFRF funds is contingent upon additional resources being identified. Originally, the amount of outside funding was planned to be \$68.0 million for a total investment of \$103.0 million in port infrastructure, the amount estimated to complete the first phase only.

South Quay is a 32-acre peninsula in East Providence located along the 16.8-mile Providence River waterway. The waterway is a deep-water channel with access through Narragansett Bay to the Atlantic shipping lanes and nearby off-shore wind-energy leased property. According to the EOC, Rhode Island Waterfront Enterprises, LLC (RIWE) purchased the land in 2019 with the intent of developing for industrial uses.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
South Quay Marine Terminal	EOC	RR	I	\$35.0	-	-	\$35.0	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** According to the Executive Office of Commerce there has been progress in ongoing efforts to secure non-SFRF-funding for the development of the South Quay Marine Terminal. Negotiations between the EOC, Rhode Island Waterfront Enterprises (RIWE) -the landowner of the intended development - and Waterson Terminal Services, LLC., continue with the goal of securing the mandated funding match which will pave the way for the release of the \$35.0 million of SFRF support for this endeavor.

The EOC indicated that it is not only relying on private equity but is seeking federal opportunities to fund this infrastructure project. The project has submitted applications for two federal grant programs: The Port Infrastructure Development Program (PIDP) from the U.S. Department of Transportation's Maritime

Administration and the Mega Program, also under the USDOT's purview. According to the PRO, the PIDP grant application was unsuccessful and the State is still waiting on the decision regarding the second application.

In its October 2023 Quarterly SFRF Performance Report, PRO indicated that because the project principals have not secured the required matching funds, it has not been able to approve the project and funds have not been transferred. This has jeopardized the timely expenditure of funds and has resulted in the PRO designating this project a **Level I Risk** for underspending.

### **OER Electric Heat Pumps**

The Electric Heat Pump Grant Program within the Office of Energy Resources (OER) assists homeowners and small-to-mid-size business owners with the purchase and installation of high-efficiency electric heat pumps, with an emphasis on families in environmental justice communities, minority-owned businesses, and community organizations who otherwise cannot afford this technology.

The OER is required to report to the Speaker of the House and Senate President no later than April 1 of each year the results of this program, including but not limited to, the number of grants issued, amount of each grant and the average grant amount, and the expected cumulative carbon emissions reductions associated with heat pumps that received a grant, of which \$5.0 million is disbursed in FY2023, \$10.0 million in FY2024, and \$10.0 million in FY2025.

<b>Project/Program</b>	<b>Agency</b>	<b>Treasury Category</b>	<b>PRO Risk Level</b>	<b>Total Approps.</b>	<b>Total Transfers</b>	<b>Total Expenses</b>	<b>Remaining Approps.</b>	<b>Unexpended Transfers</b>
OER Electric Heat Pumps	DOA/OER	RR	N/A	\$25.0	\$1.8	\$1.8	\$23.2	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** The FY2024 Budget as Enacted allocated \$20.0 million in federal American Rescue Plan Act (ARPA) State Fiscal Recovery Funds (SFRF), an increase of \$15.0 million from the FY2023 Budget as Enacted, to support a grant program within the Office of Energy Resources to assist homeowners and small business owners in purchasing and installing high-efficiency electric heat pumps.

A total of \$25.0 million in federal ARPA SFRF was allocated to fund grants for low and moderate-income households and community organizations to purchase and install energy efficient electric heat pumps, of which \$5.0 million was appropriated in FY2023. The original plan was to disburse the balance in equal amounts in FY2024 and FY2025. However, the Budget advanced the amount scheduled for disbursement in FY2025 to FY2024, thereby appropriating the full allocation for electric heat pumps.

The Clean Heat RI Program administered by Abode Energy Management, an energy management firm. The program launched on Tuesday, September 5, 2023. OER will be posting a dashboard on the website with the results of the program (i.e.: number of applications approved, geographic distribution of the grants awarded) on a monthly basis beginning later in October 2023.

### **RIPTA R-Line Free Service Pilot**

The R-Line Free Service Pilot project was funded with \$3.3 million and provided 12 months of free fare service on the R-Line bus route to all passengers. The pilot program began on September 1, 2022, and originally was to conclude on August 30, 2023. RIPTA extended the program an additional month to September 30, 2023, using general revenue. The Rhode Island Public Transit Authority (RIPTA) has tracked ridership data and will submit a report to General Assembly Leadership and the Governor by March 1, 2024. The R-Line is RIPTA's most utilized route and provides transportation from Broad Street in Providence to the Transit Center in Pawtucket.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
R-Line Free Service Pilot	DOT/RIPTA	RR	N/A	\$3.3	\$3.0	\$3.0	\$0.3	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** The R-Line Free Service Pilot program concluded on September 30, 2023, following a one-month extension. The Authority will submit a report to General Assembly Leadership and the Governor by March 1, 2024, outlining the pilot's results and an analysis of the ridership data.

## ECONOMIC AND WORKFORCE DEVELOPMENT

There are five project categories related to economic and workforce development, totaling \$90.0 million in SFRF. None of these have been identified by the PRO as being at risk of underspending.

Project/Program	FY2022 Actual	FY2023 Revised	FY2024 Enacted	Total Appropriation
Bioscience Investments	-	-	\$45.0	\$45.0
Enhanced Real Jobs	-	10.0	20.0	30.0
RI Reconnects	-	-	8.0	8.0
Fresh Start Scholarship	-	-	5.0	5.0
Institute for Cybersecurity	-	-	2.0	2.0
<b>Total</b>	-	<b>\$10.0</b>	<b>\$80.0</b>	<b>\$90.0</b>

*\$ in millions. Totals may vary due to rounding.*

### Bioscience Investments

The SFRF-funded Bioscience Investments initiative is intended to support and grow the biosciences industry in Rhode Island through the establishment of a Life Sciences Hub and a dedicated fund. This support also includes the development of at least one wet lab incubator space in collaboration with industry partners; the creation of a fund that will support wrap-around services to aid in the commercialization of technology and business development, growth of the biosciences talent pipeline, and support for staff to implement the bioscience investments initiative.

To facilitate these initiatives, the FY2024 Budget as Enacted established the Rhode Island Life Sciences Hub as a new quasi-public corporate entity for the purposes of coordinating state investment and strategy around the life sciences industry sector. It also created the Rhode Island Life Sciences Investment Fund within the Hub for the purpose of making investments, grants, loans, research and other funding in life science initiatives.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Bioscience Investments	EOC	RR	N/A	\$45.0	-	-	\$45.0	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** Advancement of the Bioscience Investment initiative is contingent upon many sequenced and moving parts. Although the Executive Office of Commerce is identified in the authorizing statutory language as the entity responsible for the funding, the agency does not have primary control of the elements necessary to stand-up the quasi-public RI Life Sciences Hub or its Life Science Fund. The most critical step in moving forward will be the appointment and confirmation of the Hub's board and adoption of operating rules, actions that cannot take place prior to 2024.

The Secretary has indicated, however, that given her dual role as an ex-officio member of the Hub board and custodian of the SFRF-funds in the interim, the EOC has been providing significant preparatory administrative support. This support is outlined below:

- **PRO:** EOC staff engaged PRO and began its required reporting and compliance process in September 2023 with the estimated goal of having forms approved by the end of 2023.
- **Administrative:** RI Commerce Corporation staff has begun or outlined numerous administrative preparations for the Hub including attorney and CPA contracts, articles of incorporation, nonprofit registration, procuring insurance, drafting bylaws, identifying a subcommittee structure, preparing an employee handbook and job descriptions, etc.
- **Third-Party Contracts:** RI Commerce Corporation staff has begun drafting RFP process and language of the Hub Board related to HR consulting, IT, Communications and Public Relations, Engineering and Design, Graphic Design/Marketing, and Cyber Policy.
- **Financial:** Where appropriate, staff has initiated steps necessary for the financial operation of the Hub and Fund, including opening bank accounts, applying and registering for an EIN with the IRS, have linked the accounts to the State Accounting and State Tax systems, and have drafted the required Not-for-Profit status letter. Most of these items are expected to be in place by the end of Q4 of 2023.
- **Programmatic:** Staff has mapped out the initial programmatic decisions that will have to be addressed by the Board within the first half of 2024, including determining eligibility for life science company certification, undertaking development of a master plan/strategic 5-year plan, developing program rules and regulations, and preparation of guidance documents and fund operating procedure.
- **Reporting:** Staff has outlined the reporting requirements that the Board and its staff will have to comply with by September 2024.

**Enhanced Real Jobs**

The Enhanced Real Jobs project builds on the Department of Labor and Training’s (DLT) preexisting Real Jobs program, which provides training and placement assistance for job seekers, as well as skills training for incumbent workers, business owners, and other types of participants. Real Jobs is a demand-driven workforce development program that identifies workforce needs from local employers and provides training to unemployed or underemployed workers. The project includes a total of \$30.0 million for DLT to disburse and the largest recipients include Skills for Rhode Island’s Future, the University of Rhode Island Research Foundation, Community College of Rhode Island, Amos House, and Genesis Center. Additionally, the initiative provides funding to the Office of the Postsecondary Commissioner for supportive services.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Enhanced Real Jobs	DLT	NEI	N/A	\$30.0	\$11.7	\$11.7	\$18.3	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** The project is an ongoing workforce training and upskilling program. The Department indicates that the project will continue utilizing ARPA funding through December 31, 2024.

**RI Reconnect**

RI Reconnect assists students in addressing barriers to education completion, particularly among communities of color and lower socio-economic strata. These funds support a program to improve postsecondary degree and credential attainment among working-age Rhode Islanders and is administered by the Office of the Postsecondary Commissioner.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
RI Reconnect	OPC	RR	N/A	\$8.0	-	-	\$8.0	-

*\$ in millions. Totals may vary due to rounding.*

**Status:**

The Office's application was approved by the PRO on November 21, 2023. Participants are expected to begin enrolling in the final quarter of FY2024.

**Fresh Start Scholarship**

The Fresh Start Scholarship is an SFRF-funded initiative that will fund a semester of tuition and fees at CCRI in order help adult students who previously received college credits but did not attain a degree. Eligible students must be Rhode Island residents who qualify for in-state tuition, have not earned an associate or bachelor's degree, were not enrolled in any college between Fall 2022- and Spring 2023 semesters, and complete the 2023-2024 FASFA. Students who do not meet the Satisfactory Academic Progress must also complete the appeal process during the first semester.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Fresh Start Scholarship	OPC	RR	N/A	\$5.0	-	-	\$5.0	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** The project was approved by the Pandemic Recovery Office on September 7, 2023, and is in its beginning stages of implementation at CCRI.

**Institute for Cybersecurity**

The Institute for Cybersecurity and Emerging Technologies at Rhode Island College will provide certificate, baccalaureate, and master's level courses with focuses on research and developing highly skilled cyber security professionals. This SFRF-funded initiative will provide seed funding for the Institute through the Office of the Postsecondary Commissioner.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Institute for Cybersecurity	OPC	RR	N/A	\$2.0	-	-	\$2.0	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** According to the Office of the Postsecondary Commissioner, RIC is finalizing the draft application that will be submitted to the Pandemic Recovery Office.

**BEHAVIORAL HEALTH**

There are six project categories related to behavioral healthcare, totaling \$57.4 million in SFRF. Of these, three have been identified by the PRO as being at risk of underspending.

Project/Program	FY2022 Actual	FY2023 Revised	FY2024 Enacted	Total Appropriation
Certified Community Behavioral Health Clinics	-	\$30.0	-	\$30.0
Psychiatric Residential Treatment Facility	-	12.0	-	12.0
Butler Hospital Short Term Stay Unit	-	8.0	-	8.0
9-8-8 Hotline	-	1.9	1.6	3.5
Crisis Intervention Trainings	-	0.6	1.7	2.2
RITBA: Safety Barriers Study	-	1.0	0.8	1.8
<b>Total</b>	-	<b>\$53.4</b>	<b>\$4.0</b>	<b>\$57.4</b>

\$ in millions. Totals may vary due to rounding.

**Certified Community Behavioral Health Clinics**

The SFRF-funded Certified Community Behavioral Health Clinics initiative supports certified community behavioral health clinics (CCBHCs) to bolster behavioral health supports, medical screening and monitoring, and social services to particularly vulnerable populations in response to a rise in mental health needs during the public health emergency.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Certified Community Behavioral Health Clinics	EOHHS	PH	II	\$30.0	\$8.2	\$8.2	\$21.8	-

\$ in millions. Totals may vary due to rounding.

The Rhode Island Executive Office of Health and Human Services (EOHHS) is providing infrastructure development grants to community-based behavioral health and social services providers to recover from the pandemic and comply with federal Certified Community Behavioral Health Clinic (CCBHC) standards. These grants are supporting CCBHC providers and organizations seeking to become designated collaborating organizations (DCO). Specifically, this funding will be used to:

- Provide infrastructure grants to community-based behavioral health and social services providers to build capacity to operate as CCBHCs (e.g., electronic health records, data and payment/claims systems, staffing and training, capital needs and related infrastructure).
- Equip DCOs (focused on substance use, cultural competency, and related specialties) to participate in service delivery and collaboration with CCBHCs.
- Support the State’s implementation of this initiative through project management, evaluation, technical assistance, and administration.

EOHHS will provide up to \$25.5 million to be split among organizations as follows:

- **CCBHCs**
  - **Phase 1:** Readiness Assessment – Estimated \$300,000 per grantee, provided upon award
  - **Phase 2:** Capacity Development/Planning Collaboration Participation – If selected, estimated \$760,000 per grantee based on funding request developed and submitted in Phase 1. Funds to be distributed upon completion of specified milestones, contingent on documented participation in the Rhode Island Executive Behavioral Health Interagency Collaborative planning collaborative.

- **Phase 3:** Activities include start-up operational costs and the development of relevant memoranda of understanding (MOUs), contracts, and partnership agreements. (Funding based on remaining grant dollars and EOHHS' state budget for these activities).
- **DCO Providing Other CCBHC Services**
  - **Phase 1:** Readiness Assessment – Estimated \$30,000 per grantee, provided upon award.
  - **Phase 2:** Capacity Development/Planning Collaboration Participation – If selected, estimated \$370,000 per grantee based on funding request developed and submitted in Phase 1. Funds to be distributed upon completion of milestones.
  - **Phase 3:** Activities include start-up operational costs and the development of relevant MOUs, contracts, and partnership agreements. (Funding based on remaining grant dollars and EOHHS' state budget for these activities).

The total amount and number of awards distributed will be determined by the number of qualified applicants. EOHHS projects the following number of awards by entity type for Phase 1: up to 10 CCBHCs and up to 22 DCOs offering other CCBHC services.

**Status:** This project has three separate elements of work including direct grants, operations, and administration.

- **Direct Grants to Potential CCBHCs and Partner Designated Collaborating Organizations (DCOs):**

The original goals were to fund 10 potential CCBHC organizations at \$300,000 each for Phase 1 and \$760,000 each for Phase 2, as well as to fund 22 potential DCOs at \$30,000 each for Phase 1 and \$370,000 each for Phase 2. The total original budget for this area of work was \$25.5 million.

EOHHS met its CCBHC grantee funding goal and has obligated a total of \$10.6 million for these 10 organizations. EOHHS anticipates these funds to be spent by the end of FY2024.

For the DCO grants, EOHHS selected 20 organizations as grantees. EOHHS has obligated a total of \$8.0 million for these organizations. EOHHS anticipates these funds to be spent by the end of FY2024.

EOHHS plans to obligate at least 75.0 percent of the Phase 3 funds by the end of FY2024, and all remaining Phase 3 funds by the obligation deadline of December 31, 2024.

- **Operations:** The operations component includes two major areas: Program Development and Provider Technical Assistance. For Program Development, the State is working with Milliman as its actuarial vendor, Gainwell as its Medicaid Management Information System (MMIS) technical implementation vendor, and Faulkner Consulting Group as its program design and development technical assistance vendor. BHDDH also received \$400,000 for certification staff, to help with the ongoing CCBHC certification and oversight process. For Provider Technical Assistance, which includes a group Learning Collaborative and significant one-on-one support for all grantee provider organizations, the State carried out a competitive RFP to choose the Brandeis Behavioral Health Institute to serve as the technical assistance vendor for this provider support program.
- **Program Administration:** EOHHS filled allocated positions midway through FY2023. The budget allows EOHHS to keep staff into FY2025. To continue the effective operations of the program, the Department indicated it is important to retain staff through the beginning of FY2026 when the rest of the dollars will be spent.

This project was identified by PRO as a **Level II Risk** because, as of September 30, 2023, the project had actual expenditures of \$8,225,638. The actual expenditures are 51.8 percent of planned expenditures through September 30, 2023, above the PRO percentage of 25 percent used to identify an



SFRF project as at risk for significant underspend. However, given the large funding amount appropriated for this project, PRO provided an explanation on its status and anticipated progress.

The Certified Community Behavioral Health Clinics (CCBHC) Interagency State Team and providers have grappled with unexpected changes, some of which are still pending, in federal CCBHC program requirements and conflicting guidance from the Centers for Medicare and Medicaid Services (CMS) and the Substance Abuse and Mental Health Services Administration (SAMHSA). Additionally, providers have needed more assistance than expected in correctly completing cost reports and staffing plans.

The Interagency Team has contingently certified three CCBHCs for the slated program Year 1 start date of February 1, 2024, pending approval from CMS. An additional eight potential CCBHCs are preparing to meet the certification requirements to begin services after the Year 1 go-live date. Of this group, based on their current level of readiness, EOHHS anticipates that between two and five providers will be able to achieve CCBHC certification status during Year 1, between February 1 and June 30, 2024, and the remainder will be able to achieve certification status beginning with, or during Year 2, between July 1, 2024, and June 30, 2025.

As directed by the General Assembly in the FY2023 Budget as Enacted, the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals (BHDDH) and EOHHS worked together to apply for the SAMHSA CCBHC Planning Grant. They successfully secured this \$1.0 million allocation, with new and different requirements for spending not covered by the SFRF dollars and are braiding the funding from these two sources.

**Psychiatric Residential Treatment Facility**

This program provides funding to expand existing instate provider Psychiatric Residential Treatment Facility (PRTF) capacity to provide intensive residential treatment options for adolescent girls and young women who face sever and complex behavioral health challenges.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Psychiatric Residential Treatment Facility	DCYF	RR	N/A	\$12.0	\$2.1	\$2.1	\$8.9	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** The Department of Children, Youth, and Families (DCYF) announced a signed agreement for the expansion of services with St. Mary’s Home for Children, the only existing licensed PRTF in the State, on February 9, 2023. The expansion will consist of the construction and operation of an additional 12-bed facility on the St. Mary’s Campus in North Providence, bringing their total capacity to 26. While a soil contamination issue identified in August 2023 has pushed the anticipated construction completion date from November 2024 to February/March 2025, the facility still expects to begin operations in the spring of 2025 as previously targeted.

**Butler Hospital Short Term Stay Unit**

The Butler Hospital Short Term Stay Unit initiative supports the construction of a 25-bed short stay unit at Butler Hospital to provide behavioral health care services, crisis intervention and other related services, and is based on the success of a similar model of care at Butler Hospital, the 24-bed Brief Stay Unit. That unit opened in January 2022 to address the overwhelming number of patients experiencing a mental health crisis that were boarding in emergency departments. Within three weeks of opening, the average daily census at Butler Hospital rose from 147.5 to 169.3. Patients were taken from emergency departments across the State. The census did not reach full capacity due to limitations imposed by the physical space (converted

conference center), preventing high-acuity patients from being admitted despite referrals from medical emergency departments. This would not be the case with a purpose-built behavioral health unit.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Butler Hospital Short Term Stay Unit	EOHHS	PH	I	\$8.0	\$0.6	\$0.5	\$7.4	\$0.1

\$ in millions. Totals may vary due to rounding.

**Status:** Construction of the Short-Term-Stay Unit began the week of September 18, 2023. EOHHS paid Butler \$600,000 on March 2, 2023, in compliance with the original Reporting and Compliance Form. EOHHS executed a subaward amendment to align with the revised Reporting and Compliance Form issued by PRO on August 15, 2023, and the program teams are working with Butler Hospital to track progress. A payment of \$5.4 million was made on October 31, 2023, in accordance with the revised payment schedule.

As of September 30, 2023, the project had actual expenditures of \$466,677. The actual expenditures are 7.8 percent of planned expenditures through September 30, 2023, below the PRO threshold percentage of 25 percent used to identify an SFRF project as a **Level I Risk** for significant underspend.

The Department remedy provides that spending for the schematics, design development, and construction documents is completed, with a final invoice submitted in September 2023, signaling a transition to construction activities that is anticipated to accelerate spending. Site mobilization began the week of September 11, and the first phases of construction began the week of September 18. EOHHS, which is implementing this project on behalf of the state in collaboration with Butler Hospital, anticipates that construction will be completed around June 2024. Butler Hospital is working with the construction contractor to identify opportunities to reduce the timeline, as completion was initially scheduled by the end of March 2024.

### 9-8-8 Hotline

The 9-8-8 Hotline program establishes and implements a fulltime communications behavioral health crisis hotline. The project would help Rhode Island maintain compliance with the National Suicide Hotline Designation Act of 2020 and the Federal Communications Commission adopted rules to assure that all citizens receive a consistent level of 9-8-8 and crisis behavioral health services.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
9-8-8 Hotline	BHDDH	PH	I	\$3.5	\$0.1	\$0.1	\$3.4	-

\$ in millions. Totals may vary due to rounding.

**Status:** The transition to the 9-8-8 Hotline was successfully made in July 2022 and the hotline is operated out of BH Link, the state's 24/7 behavioral health center. In January of 2023 the project was still awaiting a PO for the SFRF contract and was utilizing other funding until the SFRF funds became available. As of October 19, 2023, the project had begun utilizing SFRF funding but continues to search for permanent funding to support the hotline after the SFRF project is completed. The project is identified as a **Level I Risk** due to the actual expenditures falling under the 25.0 percent threshold used by the PRO to identify projects at risk for significant underspend.

### **Crisis Intervention Trainings**

The Crisis Intervention Trainings initiative provides a total of \$2.2 million to respond to the increased volume of mental-health related calls reported by police departments by providing training every three years for law enforcement as well as continuing education opportunities.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Crisis Intervention Trainings	BHDDH	RR	N/A	\$2.2	\$0.1	\$0.1	\$2.1	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** As of November 20, 2023, five Crisis Intervention Training (CIT) academies have taken place since the onset of the trainings in January 2023. Between March and September, 74 police officers attended a 40-hour training and received crisis intervention certification, in addition to a number of behavioral health professionals, EMTs, criminal justice professionals, and others. Following a request in July, Thundermist Health Center developed an 8-hour training covering mental health basics and de-escalation techniques which was implemented at the Department of Corrections in October 2023, and as part of the training academies in November 2023. In addition to the trainings, Thundermist has developed regional committees to meet with stakeholders across the state, and Roger Williams University has conducted a technical evaluation of the CIT work which took place between December and July.

### **RITBA: Safety Barriers Study**

The Safety Barrier Study project provides funding to the Rhode Island Turnpike and Bridge Authority (RITBA) to conduct a feasibility study in order to identify and evaluate options that will prevent and address the risk of suicide on the 4 major bridges in the State. The FY2023 Budget as Enacted provided \$1.0 million for a study for the Mt. Hope and Jamestown-Verrazano bridges, which began in January of 2023. RITBA selected Atkins North America, LLC to conduct the study. The FY2024 Budget as Enacted provided an additional \$750,000 to expand the study's scope to include the Claiborne Pell and Sakonnet River Bridge.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
RITBA: Safety Barriers Study	DOT/RITBA	RR	N/A	\$1.8	\$0.2	\$0.2	\$1.6	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** Both sections of the Safety Barrier Study have progressed on schedule. RITBA selected Atkins North America, LLC as a consultant to conduct the study of the Mt. Hope and Jamestown-Verrazano bridges at the November 16, 2022 Board Meeting. Atkins is currently performing a deep analysis of two different barrier options for the two bridges. Additionally, at the August 23, 2023, Board Meeting, RITBA directed Atkins to expand the project's scope to include the Claiborne Pell and Sakonnet River bridges. Reports for the Mt. Hope and Jamestown-Verrazano bridges are expected in May 2024, and reports for the Claiborne Pell and Sakonnet River bridges are expected in January 2025.

## **PUBLIC INFRASTRUCTURE AND TECHNOLOGY**

There are three project categories related to public infrastructure and technology, totaling \$31.5 million in SFRF. None of these have been identified by the PRO as being at risk of underspending.

Project/Program	FY2022	FY2023	FY2024	Total Appropriation
	Actual	Revised	Enacted	
Municipal Roads Grant Program	-	-	\$20.0	\$20.0
Municipal Public Safety Infrastructure	-	-	11.0	11.0
Statewide Broadband Planning and Mapping	0.3	0.2	-	0.5
<b>Total</b>	<b>\$0.3</b>	<b>\$0.2</b>	<b>\$31.0</b>	<b>\$31.5</b>

*\$ in millions. Totals may vary due to rounding.*

### **Municipal Roads Grant Program**

The Municipal Roads Grant Program provides municipalities with \$20.0 million to serve as a 33.0 percent match to fund road, bridge, and sidewalk projects on locally maintained, municipal roads. The cities and towns will provide the remaining 67.0 percent, reflecting a possible \$60.0 million available for municipal road improvement. The Department will divide the first \$5.0 million of funding equally amongst all municipalities, providing \$128,205 of federal funds to each municipality that matches \$260,295. The remaining \$15.0 million will be disbursed to municipalities based on the amount of non-federal land miles of roads. The municipalities of Warwick, Providence, and Cranston contain the largest number of non-federal land miles of roads and are projected to receive the largest share. The program requires all projects to be completed by the end of 2026. Additionally, the program includes accountability and transparency measures, such as a quarterly reporting requirement. Cities and towns utilizing the program will report on the progress of each project and its anticipated completion date, as well as the values of any contracts and the dates they were awarded.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Municipal Roads Grant Program	DOT	RR	N/A	\$20.0	-	-	\$20.0	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** The Application Portal for the Municipal Roads Grant Program opened on August 2, 2023, and closed on October 15, 2023. The Department received 621 applications for funding projects and all of the State's 39 municipalities are participating in the project. As of November 21, 2023, 621 applications have been approved and one is pending review. The Department anticipates finalizing the projects and awards by December 15, 2023.

### **Municipal Public Safety Infrastructure**

The Municipal Public Safety Infrastructure initiative supports improvements to municipal public safety infrastructure. The FY2024 Budget as Enacted includes \$11.0 million in matching support to cities and towns to make undertake new construction, prioritizing projects based on readiness. Eligible projects are limited to those for which the total costs exceed \$1.0 million. Matching funds to any municipality will be limited to \$5.0 million for projects that serve a regional purpose and \$1.0 million for others.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Municipal Public Safety Infrastructure	DOA	TBD	N/A	\$11.0	-	-	\$11.0	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** As of November 27, 2023, there have been no expenditures. According to the Pandemic Recovery Office (PRO), the project has been approved by the PRO and is awaiting the DOA Director's signature.

### Statewide Broadband Planning and Mapping

The SFRF-funded Statewide Broadband Planning and Mapping Initiative, based in the Executive Office of Commerce (EOC) supports the development of a statewide broadband strategic plan to provide broadband access to unserved and underserved households and businesses, to support a state broadband director at the Commerce Corporation, and to conduct mapping in support of future state broadband investment.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Statewide Broadband Planning and Mapping	EOC	RR	N/A	\$0.5	\$0.5	\$0.5	-	-

*\$ in millions. Totals may vary due to rounding.*

**Status:** On June 23, 2023, the PRO issued its SFRF closeout report for Statewide Broadband Planning and Mapping initiative, indicating the expenditure of all funds and met objectives. The State’s Broadband and Digital Equity Strategic Plan was submitted to and approved by the U.S. Department of Commerce’s National Telecommunications and Information Administration in January of 2023. EOC has convened its Broadband Advisory Committee quarterly since then and has been working on building state broadband maps, aligned to the State’s RIGIS architecture to ensure compatibility with aligned State efforts.

### ADMINISTRATION

There is one project category related to administration, totaling \$18.9 million in SFRF.

Project/Program	FY2022 Actual	FY2023 Revised	FY2024 Enacted	Total Appropriation
Pandemic Recovery Office	\$6.1	\$5.9	\$6.9	\$18.9
<b>Total</b>	<b>\$6.1</b>	<b>\$5.9</b>	<b>\$6.9</b>	<b>\$18.9</b>

*\$ in millions. Totals may vary due to rounding.*

### Pandemic Recovery Office

The Pandemic Recovery Office (PRO), established in the Department of Administration, serves as the central office for policy coordination and compliance for federal COVID-19 stimulus funds. This includes funding from the Coronavirus Aid, Relief, and Economic Security (CARES) Act, and the American Rescue Plan Act (ARPA). A portion of Rhode Island’s State Fiscal Recovery Funds are available to support the overall administration and compliance work that the PRO is responsible for.

Project/Program	Agency	Treasury Category	PRO Risk Level	Total Approps.	Total Transfers	Total Expenses	Remaining Approps.	Unexpended Transfers
Pandemic Recovery Office	DOA	Admin	N/A	\$18.9	\$10.8	\$10.8	\$8.1	-

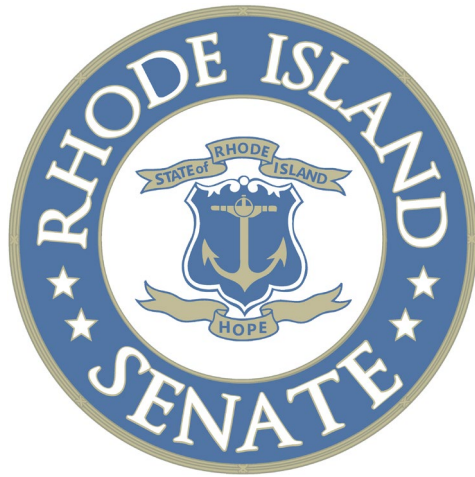
*\$ in millions. Totals may vary due to rounding.*

**Status:** Since FY2022, the Pandemic Recovery Office has received total appropriations of \$18.9 million.

The FY2024 Budget as Enacted initial appropriation was \$6.9 million. An additional \$2.1 million, reflecting unspent FY2023 funds was transferred into the project. As of November 16, 2023, a total of \$2.8 million has been expended and encumbered leaving a balance of \$4.1 million from the enacted appropriation, or \$6.1 million including the reappropriated funds. The following table illustrates the categories of the appropriation and status of the expenses for the Pandemic Recovery Office (PRO).

<b>Category</b>	<b>Enacted</b>	<b>Exp. / Enc</b>	<b>% Exp.</b>	<b>Balance</b>
Personnel	\$1,399,341	\$376,163	13%	\$1,023,178
Purchased Services	5,519,447	1,845,183	65%	3,674,264
Operating	-	608,042	21%	(608,042)
<b>Enacted Total</b>	<b>\$6,918,788</b>	<b>\$2,829,388</b>	<b>100%</b>	<b>\$4,089,400</b>
Reappropriation	\$2,058,271	\$0	0%	\$2,058,271
<b>Total</b>	<b>\$8,977,059</b>	<b>\$2,829,388</b>	<b>100%</b>	<b>\$6,147,671</b>

Source: RIFANS November 16, 2023



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**SUMMARY TABLE**

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## STATE FISCAL RECOVERY FUNDS

Project/Program	FY2022 Final Enacted (A)	Enacted FY2023 (B)	FY2022 Actuals (C)	FY2022 to FY2023 Re-Appropriation (A-C)	FY2023 Revised (B+(A-C))	FY2024 Enacted	Total
9-8-8 Hotline	-	\$1,875,000	-	-	\$1,875,000	\$1,600,000	\$3,475,000
Adult Education Providers	-	2,000,000	-	-	2,000,000	3,000,000	5,000,000
Affordable Housing Predevelopment Program	-	2,500,000	-	-	2,500,000	7,500,000	10,000,000
Aid to the Convention Center	5,000,000	5,000,000	-	5,000,000	10,000,000	-	10,000,000
Assistance to Impacted Industries	13,328,000	-	8,199,000	5,129,000	5,129,000	-	13,328,000
Auto-Enrollment Program	-	1,288,710	-	-	1,288,710	1,325,358	2,614,068
Bioscience Investments	-	5,000,000	-	-	-	45,000,000	45,000,000
Butler Hospital Short Term Stay Unit	-	8,000,000	-	-	8,000,000	-	8,000,000
Certified Community Behavioral Health Clinics	-	30,000,000	-	-	30,000,000	-	30,000,000
Child Care Support	19,000,000	21,283,000	12,624,421	6,375,579	12,658,579	16,717,000	42,000,000
Crisis Intervention Trainings	-	550,000	-	-	550,000	1,650,000	2,200,000
Destination Marketing	-	1,500,000	-	-	1,500,000	1,500,000	3,000,000
Development of Affordable Housing	15,000,000	30,000,000	14,740,825	259,175	30,259,175	55,000,000	100,000,000
Down Payment Assistance	-	10,000,000	-	-	10,000,000	20,000,000	30,000,000
Early Intervention Recovery	5,500,000	5,500,000	4,051,215	1,448,785	6,948,785	-	11,000,000
Electric Heat Pump Grant Program	-	5,000,000	-	-	5,000,000	20,000,000	25,000,000
Enhanced Real Jobs	-	10,000,000	-	-	10,000,000	20,000,000	30,000,000
Foster Home Lead Abatement & Fire Safety	-	1,500,000	-	-	1,500,000	375,000	1,875,000
Health Care Facilities	-	77,500,000	-	-	77,500,000	-	77,500,000
Home Repair and Community Revitalization	-	15,000,000	-	-	15,000,000	9,500,000	24,500,000
Homelessness Assistance Program	1,500,000	7,000,000	-	1,500,000	8,500,000	13,000,000	21,500,000
Homelessness Infrastructure	-	-	-	-	15,000,000	30,000,000	45,000,000
Housing Related Infrastructure	-	-	-	-	-	4,300,000	4,300,000
Minority Business Accelerator	-	2,000,000	-	-	2,000,000	4,000,000	6,000,000
Municipal Homeless Support	-	-	-	-	-	2,500,000	2,500,000
Municipal Planning	-	-	-	-	-	2,300,000	2,300,000
Municipal Public Safety Infrastructure	-	-	-	-	-	11,000,000	11,000,000
Municipal Roads Grant Program	-	-	-	-	-	20,000,000	20,000,000
Nonprofit Assistance	-	20,000,000	-	-	20,000,000	-	20,000,000
Ongoing COVID-19 Response	-	73,000,000	-	-	2,000,000	78,097,287	80,097,287
OPC - RIC Cyber Center	-	-	-	-	-	2,000,000	2,000,000
OPC - CCRI Fresh Start	-	-	-	-	-	5,000,000	5,000,000
Out-of-School Time Learning Grants/ Municipal Learning Centers	-	-	-	-	-	4,000,000	4,000,000
Pandemic Recovery Office	7,011,307	4,948,839	6,093,604	917,703	5,866,542	6,918,788	18,878,934
Pediatric Recovery	7,500,000	7,500,000	5,965,100	1,534,900	9,034,900	-	15,000,000
Port of Davisville	-	6,000,000	-	-	6,000,000	59,000,000	65,000,000
Predevelopment and Capacity Building	500,000	500,000	78,609	421,391	921,391	500,000	1,500,000
Preservation of Affordable Housing Units	-	-	-	-	-	500,000	500,000
Proactive Housing Development	-	-	-	-	-	1,400,000	1,400,000
Provider Workforce Stabilization	12,500,000	2,500,000	6,827,796	5,672,204	8,172,204	7,920,766	22,920,766
Psychiatric Residential Treatment Facility	-	12,000,000	-	-	12,000,000	-	12,000,000
Public Health Clinics	-	4,000,000	-	-	4,000,000	-	4,000,000
RI Community Food Bank	-	-	-	-	-	3,000,000	3,000,000
RI Reconnects	-	-	-	-	-	8,000,000	8,000,000
RIPTA R-Line Free Service Pilot	-	2,500,000	-	-	2,500,000	750,000	3,250,000
Site Acquisition	12,000,000	3,000,000	6,000,000	6,000,000	9,000,000	10,000,000	25,000,000
Small Business Assistance	31,672,000	-	18,414,432	13,257,568	13,257,568	-	31,672,000
South Quay Marine Terminal	-	12,000,000	-	-	-	35,000,000	35,000,000
Statewide Broadband Planning and Mapping	500,000	-	269,200	230,800	230,800	-	500,000
Statewide Housing Plan	-	2,000,000	-	-	2,000,000	-	2,000,000
Support for Survivors of Domestic Violence	-	3,500,000	-	-	3,500,000	7,000,000	10,500,000
Targeted Housing Development	-	-	-	-	-	31,000,000	31,000,000
Turnpike and Bridge Authority – Safety Barriers Study	-	1,000,000	-	-	1,000,000	750,000	1,750,000
Unemployment Insurance Trust Fund Contribution	-	100,000,000	-	-	100,000,000	-	100,000,000
URI- PFAS	-	-	-	-	-	20,000,000	20,000,000
Workforce Housing	-	12,000,000	-	-	12,000,000	8,000,000	20,000,000
<b>Total</b>	<b>\$131,011,307</b>	<b>\$508,945,549</b>	<b>\$83,264,203</b>	<b>\$47,747,104</b>	<b>\$468,692,653</b>	<b>\$579,104,199</b>	<b>\$1,131,061,055</b>



## Senate Fiscal Office

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### **Stephen H. Whitney**

*Senate Fiscal Advisor*

### **Emmery Brakke**

*Legislative Fiscal Analyst I*

Arts Council  
Behavioral Healthcare, Developmental  
Disabilities, and Hospitals  
Children, Youth, and Families  
Child Advocate  
Department of Housing  
Mental Health Advocate  
RIHMFC (RI Housing)

### **Robert C. Bromley**

*Senior Legislative Fiscal Analyst*

Administration  
Board of Elections  
Commission on Disabilities  
Convention Center Authority  
General Treasurer  
Governor  
Historic Preservation and Heritage Commission  
Human Rights Commission  
I-195 Redevelopment Commission  
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RI Emergency Management Agency  
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### **Kelly M. Carpenter**

*Senior Legislative Fiscal Analyst*

Coastal Resources Management Council  
Elementary and Secondary Education  
Environmental Management  
Executive Office of Health and Human Services  
Health & Educational Building Corporation  
Judiciary  
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Resource Recovery Corporation  
RI Infrastructure Bank

### **MaKenzie Pratt**

*Legislative Fiscal Analyst I*

Atomic Energy Commission  
Business Regulation  
Commission on Deaf and Hard of Hearing  
Ethics Commission  
Health  
HealthSource RI  
Higher Education  
Human Services  
Student Loan Authority

### **David Schultz**

*Legislative Fiscal Analyst I*

Attorney General  
Corrections  
Labor and Training  
Public Defender  
Public Safety  
RI Airport Corporation  
RI Public Transit Authority  
RI Turnpike & Bridge Authority  
Transportation

### **David Tremblay**

*Deputy Senate Fiscal Advisor*

Executive Office of Commerce  
Legislature  
Revenue  
RI Commerce Corporation

