Exhibit 300: Capital Asset Plan and Business Case Summary

PART I: SUMMARY INFORMATION AND JUSTIFICATION (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: 09/08/2006
2. Agency: 449, U.S. Securities and Exchange Commission
3. Bureau: 00
4. Name of this Capital Asset: Enforcement Support System (Phoenix)
5. Unique Project (Investment) Identifier: (For IT investments only, see section *53*. For all other, use agency ID system.) 449-00-xx-xx-xx-xxxx-xx
6. What kind of investment will this be in FY2008? (Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)
   ☒ Planning ☐ Full Acquisition ☐ Operations and Maintenance ☐ Mixed Life Cycle☐ E-Gov/LoB Oversight
7. What was the first budget year this investment was submitted to OMB?
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

This investment addresses significant weaknesses in the SEC Enforcement PART, in which we reported that we have not collected a substantial proportion of ordered disgorgements. It also addresses material weaknesses in the GAO's audit of our financial systems.

Planning for this system began in FY2004 with a requirements assessment and design effort. Implementation was funded in FY2005, and envisions three primary development phases with steady state beginning in FY2008.

The purpose of this investment is to purchase the services necessary to develop a replacement application for the Enforcement Case Activity Tracking System (CATS). The following are reasons for replacing CATS 2000:

       a. The Lawpack product is outdated and no longer supported
       b. The software is proprietary and extensibility is very limited
       c. Software requires specialized knowledge to maintain
       d. System does not assist Enforcement staff in their work
       e. Reports are difficult to produce and are not timely
       f. System does not support the full scope of case activity
       g. System does not readily interface with other SEC systems

The replacement system will replace outdated client/server technology with browser-based software and add the management of work product content to create a system that helps staff manage cases as opposed to tracking status. This will be a system integrating commercial products where appropriate and leveraging software components that already exist. The database tables will be integrated into the SEC's enterprise database design. Improved functionality and

accessibility of the system will assist Enforcement users with their work. Document templates, wizards, and automatic fill functionality will provide users with active document creation capabilities to eliminate unnecessary tasks. Improved data accessibility will be achieved by using Business Objects to create a comprehensive ad hoc lookup and reporting capability. Accessibility will be further enhanced using full text search and notification features of the Autonomy search product. Full implementation of the system will support the enforcement case management process from the point that possible violations are identified through the debt collection process.

9. Did the Agency's Executive/Investment Committee approve this request? Yes

    a. If "yes," what was the date of this approval?

10. Did the Project Manager review this Exhibit? Yes

11. Contact information of Project Manager?

        Name: Lewis Walker

        Phone Number 202-551-8161

        E-mail walkerl@sec.gov

12. Has the agency developed and/or promoted cost effective, energy-efficient, and environmentally sustainable techniques or practices for this project. (Answer applicable to non-IT assets only) N/A

    a. Will this investment include electronic assets (including computers)? N/A

    b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)) N/A

        1. If "yes," is an ESPC or UESC being used to help fund this investment? N/A

        2. If "yes," will this investment meet sustainable design principles? N/A

        3. If "yes," is it designed to be 30% more energy efficient than relevant code? N/A

13. Does this investment support one of the PMA initiatives?

    If "yes," check all that apply:

        ☐ Human Capital

        ☐ Budget Performance Integration

        ☒ Financial Performance

        ☐ Expanded E-Government

        ☐ Competitive Sourcing

        ☐ Faith Based and Community

        ☐ Real Property Asset Management

        ☐ Eliminating Improper Payments

        ☐ Privatization of Military Housing

        ☐ Research & Development Investment Criteria

        ☐ Housing & Urban Development Management & Performance

        ☐ Broadening Health Insurance Coverage through State Initiatives

        ☐ "Right Sized" Overseas Presence

        ☐ Coordination of VA & DoD Programs and Systems

a. Briefly describe how this asset directly supports the identified initiative(s)? This investment will permit the agency to gain better visibility into the collection and handling of fines and disgorgements as a result of enforcement action. Aside from improving our ability to identify uncollected debts, it will assist in better management and accounting for those collections we do make. The GAO has noted a need for improvement in this area as a material weakness.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.) Yes

   a. If "yes," does this investment address a weakness found during the PART review? Yes

   b. If "yes," what is the name of the PARTed program? Enforcement

   c. If "yes," what rating did the PART receive? Results Not Demonstrated

15. Is this investment for information technology? (see section 53 for definition) Yes

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project (per CIO Council PM Guidance)?

   ☐ Level 1
   ☒ Level 2
   ☐ Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance):

   ☐ Project manager has been validated as qualified for this investment

   ☒ Project manager qualification is under review for this investment

   ☐ Project manager assigned to investment, but does not meet requirements

   ☐ Project manager assigned but qualification status review has not yet started

   ☐ No Project manager has yet been assigned to this investment

18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's 'high risk' memo)? No

19. Is this a financial management system?

   a. If "yes," does this investment address a FFMIA compliance area? Yes

      b. 1. If "yes," which compliance area: Provides access to timely and useful financial and non-financial information for senior leaders and program managers.

   2. If "no," what does it address? N/A

   c. If "yes," please identify the system name(s) and system acronym(s) as

   reported in the most recent financial systems inventory update required by

   Circular A–11 section 52: Enforcement Support System

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100% - enter as decimal, e.g., .25 = 25%)

Hardware 0%   Software 0%   Services 100%   Other 0%

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? N/A

22. Contact information of individual responsible for privacy related questions:

Name Barbara Stance

Phone Number 202-551-7209

Title SEC Privacy Officer

E-mail stanceb@sec.gov

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Section B: Summary of Spending (All Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be **excluded** from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

SUMMARY OF SPENDING FOR PROJECT PHASES (Reported In Millions) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)								
	PY-1 and Earlier <2005	PY 2006	CY 2007	BY 2008	BY+1 2009	BY+2 2010	BY+3 2011	BY+4 and Beyond >2012	TOTAL
Planning:	0.290	0.000	0.000	0.000					0.290
Acquisition:	1.200	0.600	0.150	0.000					1.950
Subtotal Planning & Acquisition:	1.490	0.600	0.150	0.000					2.240
Operations & Maintenance:	0.000	0.000	0.200	0.598					3.422
TOTAL:	1.490	0.600	0.350	0.598					5.662
Government FTE Costs should not be included in the amounts provided above.								
Government FTE Costs	0.523	0.430	0.219	0.039					1.414
Number of FTE represented by Costs:	4.04	3.18	1.51	0.25					10.12

OIT FTE:	1.48	1.00	1.00	0.25					4.87

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? No

   a. If "yes," How many and in what year? N/A

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes. We did not identify this system as an FMS in the prior year request because it was not our intention to include financial recordkeeping capabilities. As a result

of GAO audits of the agency's financial management processes, we have added those capabilities to the planned system, which caused its reclassification as a financial system and added to the proposed cost and delivery schedule. The original plan was to complete the first two phases of the system in FY06. The present plan is to complete one of those phases by the end of CY06 and the project manager is requesting additional funding to complete the second phase.

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Contracts/Task Orders Table:

Contractor Task Order Number	Type of Contract/Task Order	Has the contract been awarded (Y/N)	If so what is the date of the ward? If not, what is the planned award date?	Start & end date of Contact / Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order ($M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? N)	Does the contract include the Required security & privacy clauses?(Y/N)	Name of CO	CO Contact information (phone/email)	Contracting Officer Certification Level(Level 1, 2, 3, N/A)	If N/A, has the agency determined the CO assigned has the competencies and skills necessary to support this acquisition? Y/N)
SECHQ01-04-A-0276-0018	T&M	Yes	09/15/2005	S: 09/15/2005	09/14/2006	$1.277	No	No	Yes	None	No	Yes	Joanie Newhart	202-551-7303 newhartj@SEC.gov	3	Yes
Short Text	Short Text	Yes	Short Text	Short Text	12/31/2001	$0.00	Yes	Yes	Yes	ESPC	Yes	Yes	Short Text	Short Text	1	Yes
Short Text	Short Text	Yes	Short Text	Short Text	12/31/2001	$0.00	Yes	Yes	Yes	ESPC	Yes	Yes	Short Text	Short Text	1	Yes

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why: In FY2006 this system was not seen as a major investment and, as provided in SEC CPIC policy, the project manager elected to remove it from the contract requirements. This will be addressed in FY2007.
3. Do the contracts ensure Section 508 compliance? Yes

a. Explain why: All SEC IT contracts require conformance to IT policies. Milestone reviews include the Section 508 compliance staff. Every IT system must complete acceptance testing before entering production; that includes automated 508 testing with the Federal BOBBY tool. The system must resolve any compliance issues or obtain a written waiver from the CIO. When the system has known users with disabilities, the project team often elects to include them in user testing.

4. Is there an acquisition plan which has been approved in accordance with agency requirements?
   a. If "yes," what is the date? 22 July 2005
   b. If "no," will an acquisition plan be developed?
      1. If "no," briefly explain why: Medium Text

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

no goals have been defined

Section E: Security and Privacy (IT Capital Assets only)

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

All systems supporting and/or part of this investment should be included in the tables below, inclusive of both agency owned systems and contractor systems. For IT investments under development, security, and privacy planning must proceed in parallel with the development of the system(s) to ensure IT security and privacy requirements and costs are identified and incorporated into the overall lifecycle of the system(s).

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment: NO
   a. If "yes," provide the "Percentage IT Security" for the budget year: 0.00%
2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment. YES

6. Planning & Operational Systems – Privacy:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there a Privacy Impact Assessment (PIA) that covers this system? (1,2,3,4)	(d) Is the PIA available to the public? (1,2,3)	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Was a new or amended SORN published in FY 06? (1,2,3,4,5)

6. Planning & Operational Systems – Privacy:

(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there a Privacy Impact Assessment (PIA) that covers this system? (1,2,3,4)	(d) Is the PIA available to the public? (1,2,3)	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Was a new or amended SORN published in FY 06? (1,2,3,4,5)
Enforcement Support System	Yes	2	3	Yes	3

(c) Is there a Privacy Impact Assessment (PIA) that covers this system?
1.   Yes.
2.   No
3.   No, because the system does not contain, process, or transmit personal identifying information.
4.   No, because even though it has personal identifying information, the system contains information solely about federal employees and agency contractors.
(d) Is the PIA available to the public?
1.   Yes.
2.   No, because a PIA is not required to be completed at this time.
3.   No, because the PIA has not yet been prepared.
(e) Was a new or amended SORN published in FY2006?
1.   Yes, because this is a newly established Privacy Act system of records.
2.   Yes, because the existing Privacy Act system of records was substantially revised in FY 06.
3.   No, because the existing Privacy Act system of records was not substantially revised in FY 06.
4.   No; because the system is operational, but the SORN has not yet been published.
   No, because the system is not a Privacy Act system of records.

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture? Yes
    a. If "no," please explain why? Long Text
2. Is this investment included in the agency's EA Transition Strategy? Yes
    a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. The SEC is a small, non-scorecard agency currently not required to perform Enterprise Architecture assessments.
    b. If "no," please explain why? Long Text

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3. Service Component Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.whitehouse.gov/omb/egov/.

Agency Component Name	Agency Component Description	FEA SRM Service Type	FEA SRM Component (a)	FEA Service Component Reused (b)		Internal or External Reuse? (c)	BY Funding Percentage (d)
				Component Name	UPI		
Short Text	Medium Text	Tracking and Workflow	Case Management	FEA Enumeration	xxx-xx-xx-xx-xx-xxxx-xx	Internal	80%
Short Text	Medium Text	Financial Management	Payment / Settlement	FEA Enumeration	xxx-xx-xx-xx-xx-xxxx-xx	Internal	20%

a. Use existing Service Reference Model (SRM) Components or identify as "NEW." A "NEW" component is one not already identified as a service component in the FEA SRM.
b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.
c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.
d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

Short text - 250 characters Medium text - 500 characters Long text - 2500 characters
All dollar amounts must be reported in millions with at least 3 decimals (6 decimals available)

4.   Technical Reference Model Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Tracking and Workflow Financial Management	Service Platform and Infrastructure	Database / Storage	Databases	Sybase
Tracking and Workflow Financial Management	Service Access & Delivery	Access Channel	Web Browser	Internet Explorer
Tracking and Workflow Financial Management	Service Access & Delivery	Service Transport	Supporting Network Services	Hyper Text Transfer Protocol Secure (HTTPS)
Tracking and Workflow Financial Management	Component Framework Service Area	Business Logic	Platform Independent	Java
Tracking and Workflow Financial Management	Component Framework Service Area	Business Logic	Platform Independent	JavaScript
Tracking and Workflow Financial Management	Service Platform and Infrastructure	Delivery Servers	Application Servers	JBoss
Tracking and Workflow Financial Management	Service Platform and Infrastructure	Delivery Servers	Operating System Platforms	Solaris
Tracking and Workflow Financial Management	Component Framework Service Area	Data Management	Database Connectivity	JDBC
Tracking and Workflow Financial Management	Service Platform and Infrastructure	Delivery Servers	Application Servers	Business Objects

   a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

   b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)? No

   a. If "yes," please describe. Long Text

6. Does this investment provide the public with access to a government automated information system? No

    a. If "yes," does customer access require specific software (e.g., a specific web browser version)? N/A

        1. If "yes," provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services). N/A

PART II: Planning, Acquisition And Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part 1, Section A above.

4. What specific qualitative benefits will be realized?

The benefits of fielding the fines and disgorgements module are a better ability to manage a significant proportion of the agency's funds and resolution of external audit findings.

The benefits of replacing CATS include:

      - Modern software environment

      - Integrated database and processes

      - Standardization of work products

      - Minimization of data entry and improved status information

      - Case management from inception to closing

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate, or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan? Yes
   a. If "yes," what is the date of the plan? 11 July 2005
   b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? N/A
   c. If "yes," describe any significant changes: N/A
2. If there currently is no plan, will a plan be developed? N/a
   a. If "yes," what is the planned completion date? N/a
   b. If "no," what is the strategy for managing the risks? N/A
3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: SEC PMO guidance for project planning includes a comprehensive risk analysis process. This risk analysis process includes identification of risks, using the 19-factor framework established in OMB Circular A-11, and the risks are scored according to probability and impact. The score is translated into a cost and schedule buffer based on the total project cost. The project execution cost is then appended with this risk buffer amount, thereby creating the risk loading that OMB recommends. Once the investment moves into the execution phase, the risk management plan is updated at least as often as each phase gate

to reflect the current situation and the status of mitigation activities, and the buffers are adjusted or drawn down as appropriate.

Section C: Cost and Schedule Performance (All Capital Assets)

EVM is required only on DME portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the table (Comparison of Initial Baseline and Current Approved Baseline). This table should accurately reflect the milestones in the initial baseline, as well as milestones in the current baseline.

1. Does the earned value management system meet the criteria in ANSI/EIA Standard – 748? Yes

2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs

    a. What is the Planned Value (PV)? $1.460

    b. What is the Earned Value (EV)? $0.733

    c. What is the actual cost of work performed (AC)? $2.190

    d. What costs are included in the reported Cost/Schedule Performance information (Government Only/Contractor Only/Both)?Government Only

    e. "As of" date: 06/30/2006

3. What is the calculated Schedule Performance Index (SPI= EV/PV)? 0.50

4. What is the schedule variance (SV = EV-PV)? $-(0.727)

5. What is the calculated Cost Performance Index (CPI = EV/AC)? 0.33

6. What is the cost variance (CV = EV–AC)? $-(1.457)

7. Is the CV or SV greater than +/-10%? Yes
   (CV%= CV/EV x 100; SV%= SV/PV x 100)

    a. If "yes," was it the? CV AND SV

    b. If "yes," explain the variance: Long Text

    c. If "yes," what corrective actions are being taken? Long Text

    d. What is most current "Estimate at Completion"? $23.218

8. Have any significant changes been made to the past fiscal year? Yes

   a. If "yes," when was it approved by OMB? This is the first submittal to OMB and the revised baseline is offered as the proposed baseline.

7. Comparison of Initial Baseline and Current Approved Baseline:

Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004") and the baseline and actual total costs (in $ Millions). In the event that a milestone is not found in both the initial and current baseline, leave the associated cells blank. Note that the 'Description of Milestone' and 'Percent Complete' fields are required. Indicate '0' for any milestone no longer active.

| Description of Milestone | Initial Baseline | | Current Baseline | | | | Current Baseline Variance | | Percent Complete |
	Planned Completion Date (mm/dd/yyyy)	Total Cost ($M) Estimated	Completion Date (mm/dd/yyyy) Planned/Actual		Total Cost ($M) Planned /Actual		Schedule/ Cost (# days/$M)		
Business requirements	12/31/2004	0.290	12/31/2004	12/31/2004	0.290	0.290	0	0.000	100.0%
Replace CATS system	9/30/2006	0.019	9/30/2006	9/30/2007	0.633	0.600	-365	0.033	0.0%
Implement Disgorgement and Penalties management capability	9/30/2006	0.058	9/30/2006	12/31/2006	0.633	1.300	-92	-0.667	70.0%
Debt collection, Case assessment, litigation process and Enforcement document automation	9/30/2007	0.226	9/30/2007	9/30/2008	1.779		-366	0.000	0.0%
Administrative Proceedings System; Enforcement work product automation	9/30/2008	0.677	9/30/2008	9/30/2008	0.909		0	0.000	0.0%
FY09 O&M	9/30/2009	0.677	9/30/2009	9/30/2009	0.598		0	0.000	0.0%
FY10 O&M	9/30/2010	$0.135	9/30/2010	9/30/2010	0.598		0	0.000	0.0%
Short Text	12/31/2001	$0.00	12/31/2001		$0.00		0	$0.00	0%
Short Text	12/31/2001	$0.00	12/31/2001		$0.00		0	$0.00	0%